




Article

Assessment and Spatial-Temporal Evolution Analysis of Urban Land Use Efficiency under Green Development Orientation: Case of the Yangtze River Delta Urban Agglomerations

Yingkai Tang ¹, Kun Wang ¹ , Xuanming Ji ² , He Xu ³  and Yangqing Xiao ^{4,*}

¹ Department of Accounting and Corporate Finance, Business School, Sichuan University, Chengdu 610064, China; tang@scu.edu.cn (Y.T.); liam_wang@stu.scu.edu.cn (K.W.)

² Department of Investment, School of Finance and Economics, Jimei University, Xiamen 361021, China; jxm@jmu.edu.cn

³ Department of Investment, School of Management Science and Engineering, Central University of Finance and Economics, Beijing 102206, China; 2019110139@email.cufe.edu.cn

⁴ Department of Finance, School of Finance and Economics, Jimei University, Xiamen 361021, China

* Correspondence: yqxiao@jmu.edu.cn; Tel.: +86-136-0690-7136

Abstract: Rapid urbanization has provided a strong impetus for the economic growth of China, but it has also caused many problems such as inefficient urban land use and environmental pollution. With the popularization of the concept of green and sustainable development, the Environmental-Social-Governance (ESG) assessment concept is widely accepted. The government and residents are paying more and more attention to environmental issues in urban development, and environmental protection has formed an important part of urban development. In this context, this study takes 26 cities in the Yangtze River Delta as examples to build an evaluation system for urban land-use efficiency under green development orientation. The evaluation system takes into account the inputs of land, capital, labor, and energy factors in the process of urban development. Based on emphasizing economic output, the social benefits and undesired outputs brought about by urban development are taken into account. This paper measures urban land use efficiency by the super-efficiency SBM model, and on this basis, analyses the spatial-temporal evolution characteristics of urban land-use efficiency. Further, this paper measures urban land use efficiency without considering undesired outputs and compares the two evaluation methods. Again, the comparison illustrates the rationality of urban land use efficiency evaluation system under green development orientation.

Keywords: urban land-use efficiency; global super-SBM model; global malmquist index; convergence model; The Yangtze River Delta urban agglomeration



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1. Introduction

In recent years, the whole world has been in a rapid wave of urbanization [1]. In the long term, this wave of urbanization still has strong growth potential. According to United Nations data [2], by 2050, about 70% of the world's population will live in urban. Compared with population urbanization, urban land expansion is more rapid, about twice as fast as population growth [3]. Moreover, in the coming decades, urban expansion will be mainly concentrated in developing countries [4].

As the largest developing country in the world, China has made remarkable achievements in the process of urbanization in the past decades. In 1987, the urbanization rate was only 17.90%, but reached 58.52% in 2017, with an average annual growth rate of 1.04% far exceeding the average level of the world in the same period [5]. With the continuous advancement of urbanization, urban construction land, which is a basic element of urban development, is also growing rapidly [6]. By 2017, it had reached 55,155.5 square kilometers [7]. At the same time, energy consumption and industrial pollutant emission also increased sharply [8,9]. Such high input, high consumption, and high emission economic

development mode also lead to low urban land-use efficiency, serious energy waste, and great pressure on the ecological environment, which is not conducive to the sustainable development of China's social economy [10,11]. Moreover, industrial pollution also frequently causes many malignant events, causing huge economic losses and adversely affecting social stability [12]. Therefore, to achieve sustainable development of the national social economy and land management, it is very important to improve urban land-use efficiency [13].

A key to improving urban land-use efficiency is to adopt effective evaluation methods [14]. According to different research purposes and methods, there are great differences in current evaluation methods of urban land-use efficiency. The easiest way is to use the gross regional product generated by each unit of land used [15]. This single input-output orientation ignores the fact that land use is a complex social economic-natural environment system [16], and its application scope is narrow. Many studies measure urban land-use efficiency through index evaluation systems [17], but the selection of indexes is difficult to be widely recognized due to strong subjectivity. Nowadays, more and more studies regard urban land as one of the input factors and believe that urban land-use efficiency is the ratio of various input combinations including urban land to economic output [18,19]. In addition, as the concept of environmental protection gets more and more attention, the negative effects of pollutant emissions are taken into consideration while the economic benefits have been paid attention [1,9]. Regrettably, there are few kinds of literature that include energy input and social output, and there is no concept of coordinated development that takes into account economy, society, and ecology, which does not meet the requirements of China's current green development strategy.

Essentially, green development means reducing resource input and pollution emissions without reducing output, increase the effective supply of public goods. At the same time, the effective supply of public goods should be increased, environmental governance and protection should be strengthened, and residents' demands for environmental quality and quality of life should be met to the best of their ability, to increase the well-being of urban residents and realize the comprehensive, coordinated and sustainable development of economy, society and ecological environment [20]. It can be seen that under the concept of green development, the ecological environment is the basis of development, social output (benefit) is the goal of development, and economic output (benefit) is the premise of development. Based on the concept of green development, we based on the related research that urban land use efficiency is to point to in certain natural and social conditions, considering expected output, such as economic benefit, social benefit, and damage to the ecological environment of the unexpected output combination with land inputs as the core of a variety of elements into the combined ratio.

The Yangtze River Delta urban agglomeration is an important intersection of "The Belt and Road" and the Yangtze River Economic Belt. It has a pivotal strategic position in the overall situation of the national modernization construction and all-around opening-up pattern. It has superior natural endowment and geographical advantages, and the urbanization degree ranks among the top in China [21]. At the same time, the Yangtze River Delta city group also faces many problems. Relative to the population, public service provision is seriously inadequate, urban functions are weak, the overall quality of urban development is not high, urban sprawl is serious, land-use efficiency is low, ecological space is gradually eroded, and environmental quality tends to deteriorate [21]. This situation seriously restricts the sustainable development of the Yangtze River Delta urban agglomeration and will also affect the smooth implementation of the national strategy. However, at present, there is still no perfect evaluation system of urban land-use efficiency to comprehensively reflect the urban land-use efficiency of the Yangtze River Delta urban agglomeration, which provides an obstacle to further study on the influencing factors of urban land-use efficiency. The imperfect evaluation system may also draw wrong conclusions, lead to misjudgment for policymaking, and have a negative impact on urban development.

Accordingly, this paper uses panel data of 26 cities in the Yangtze River Delta urban agglomeration from 2003–2017 to construct a land-use efficiency (LUE) evaluation system from the perspective of urban development with land, capital, labor, energy, and social output as input factors, and environmental pollutants as product factors. This paper uses the global super slacks-based measure (SBM) model to measure the LUE scores and analyzes the spatio-temporal evolution characteristics of LUE on this basis. Then, the global reference Malmquist index and decomposition index are calculated, and the reasons for the variation of LUE are analyzed. Finally, the convergence model is used to analyze the convergence of the urban land-use efficiency of the cities in the Yangtze River Delta urban agglomeration. Possible contributions of this paper are as follows: (1) Based on the theory of green development, this paper constructs a more comprehensive evaluation system of urban land-use efficiency, which can more accurately measure urban land-use efficiency and provide a reference for the study of urban land-use efficiency and the design of statistical index system; (2) the SBM model with overall reference is used to make up for the problem that the urban land-use efficiency calculated in previous studies is not compared vertically by other institutes, so that the urban land-use efficiency calculated in this paper has a broader application space; (3) by analyzing the development status and spatial characteristics of the urban use efficiency in the Yangtze River Delta urban agglomeration, it can help identify the bottlenecks and obstacles of urban land use and promote the healthy and sustainable development of urban land use.

The structure of the rest of this study is as follows: the second part gives a detailed description of the data and methods used in this study; the third part gives the results of the empirical analysis; the fourth part discusses the results and future research; the fifth part concludes and puts forward policy suggestions.

2. Data and Methodology

2.1. Research Area and Data

2.1.1. Research Area

The Yangtze River Delta Urban Agglomeration is located in the east coast of China, between $115^{\circ}46'$ – $123^{\circ}25'$ E, $29^{\circ}20'$ – $32^{\circ}34'$ N, bordering the Yellow Sea and the East China Sea (see Figure 1). It is an alluvial plain formed before the Yangtze River enters the sea. The region has a mild climate, abundant rainfall, mainly subtropical monsoon climate. With superior geographical conditions and a sound economic foundation, the Yangtze River Delta urban agglomeration has developed into one of the six major urban agglomerations in the world, with the highest degree and system of urbanization in China, and plays an extremely important role in the process of China's economic and social development [22,23]. With Shanghai as the center, the Yangtze River Delta Urban Agglomeration covers parts of Jiangsu, Zhejiang, and Anhui provinces, covering a total of 26 cities (see Table 1), with a land area of 211,700 square kilometers, accounting for 2.2% of the national land area. In 2014, the regional GDP reached 12.67 trillion yuan and the total population was 150 million. They account for 18.5% and 11.0% respectively in China [21]. However, rapid economic development has brought great pressure to the local ecological environment, and the emission of pollutants in the process of economic development has caused damage to the environment. At the same time, the continuous expansion of cities poses a threat to the ecological land and agricultural land around cities, and the inefficient use of land further increases the contradiction of land use and gradually restricts the development of cities. Therefore, it is of great significance to study the urban land-use efficiency of each city in the Yangtze River Delta urban agglomeration to improve the urban land-use efficiency of the Yangtze River Delta urban agglomeration and promote the healthy development of each city.

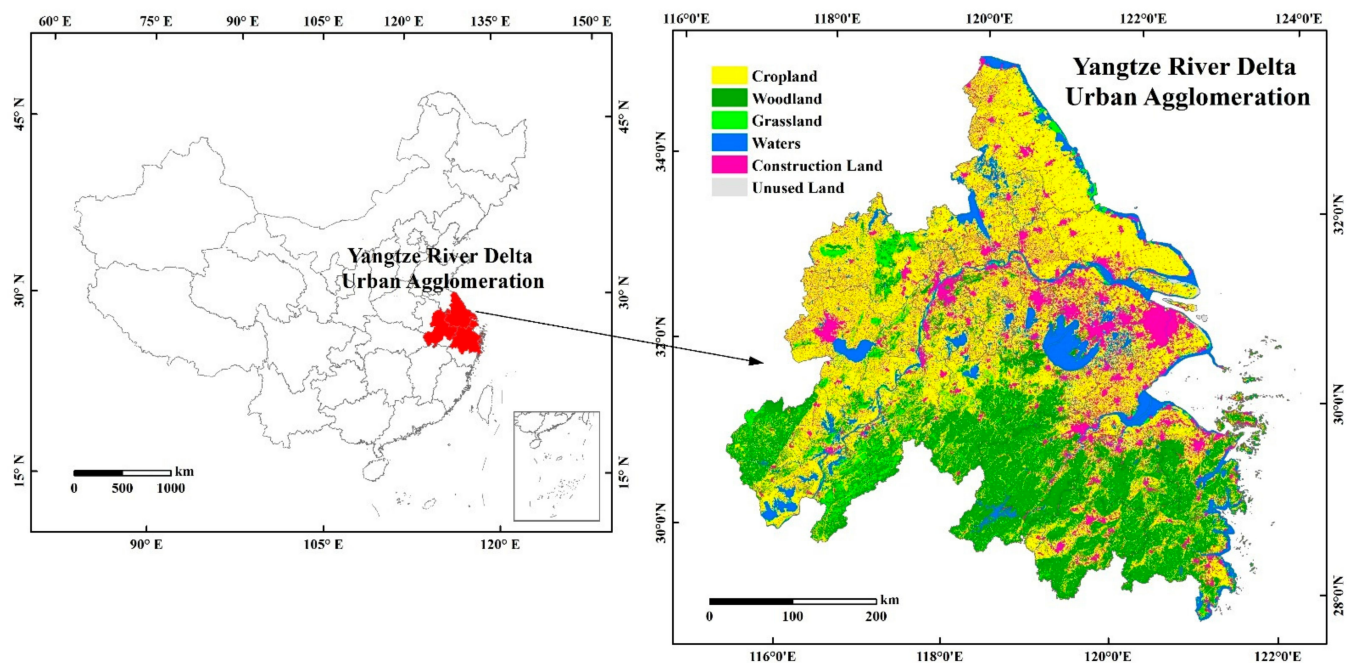


Figure 1. The location of the study area.

Table 1. Land area and a permanent population of cities in the Yangtze River Delta urban agglomeration.

City	Population (10,000 People)	Land Area (km ²)	City	Population (10,000 People)	Land Area (km ²)
Shanghai	2425	6340	Huzhou	293	5824
Nanjing	821	6587	Shaoxing	495	8279
Wuxi	650	4627	Jinhua	543	10,942
Changzhou	469	4372	Zhoushan	114	1455
Suzhou	1060	8488	Taizhou	601	9411
Nantong	729	8001	Hefei	770	11,445
Yancheng	722	16,972	Wuhu	362	5988
Yangzhou	447	6591	Ma'anshan	223	4049
Zhenjiang	317	3847	Tongling	74	1201
Taizhou	463	5787	Anqing	538	15,318
Hangzhou	889	16,596	Chuzhou	399	13,516
Ningbo	781	9816	Chizhou	143	8272
Jiaxing	457	3915	Xuancheng	257	12,453

2.1.2. Indicator Selection and Data Source

As mentioned above, we believe that urban land use efficiency refers to the ratio of the combination of expected outputs such as economic benefits and social benefits and non-expected outputs for ecological environmental damage and the combination of multiple input factors with land factor input as the core under certain natural and social conditions. From the definition, the measurement of urban land use efficiency involves the input and output of various factors. In the traditional production function, labor and capital are usually used as input factors, but the input of land resources is ignored. Land is the carrier of human social and economic activities, so it is a common practice to include land into input factors to measure urban land use efficiency. This ignores the importance of energy in production. Jorgenson et al. [24] for the first time included energy as a factor of production into the production function and proposed the famous KLEM model. Learn from his model, we believe that input consists of four elements: labor, capital, land, and energy. According to the definition, the output mix consists of three parts: economic output, social output, and undesired output. To accurately measure urban land-use efficiency, all output and

input variables are considered in the data available in this study. The specific indicators are as follows:

Economic output: We use the real GDP of secondary and tertiary industries in municipal districts to measure economic output (based on the prices in 2003). In this article, we assume that the municipal districts are actually not the first industry, while there is no secondary and tertiary industry in non-built areas. However, since the secondary and tertiary industries are mainly concentrated in urban areas, even if there is measurement error and the error is acceptable [19].

Social output: social output is a multi-dimensional index and should also be a concept of aggregate. To comprehensively and accurately measure social output, we select indicators from five aspects of urban wages, education, medical care, transportation, and environment, and then use these five indicators to build a comprehensive index to measure social output. The specific calculation method is included in the Appendix A. The five indicators are the total wages of employees on the job, the number of full-time teachers in municipal districts, the number of doctors in municipal districts, the actual urban road area and green area in municipal districts at the end of the year, and the green area covered in built-up areas.

Undesired outputs: We select pollutant emissions as the undesired outputs. Considering the lack of pollutant emission data at the city level, according to the research of Yun et al. and Xie et al. [1,9]. As social output, we synthesize three indicators into a comprehensive index, which are: industrial wastewater discharge, industrial smoke discharge, and industrial sulfur dioxide discharge.

Land input: we use the area of urban construction land to measure land input.

Capital input: capital is a stock concept, so we use the perpetual inventory method to calculate the annual capital stock. The calculation formula is shown in Equation (1) [25].

$$RDK_t = (1 - \delta)RDK_{t-1} + E_t \quad (1)$$

where RDK_t is the capital stock in the year t , E is the investment in fixed assets of the whole society, and δ is the depreciation rate. The capital stock in 2003 is the sum of the total social capital investment in 2003 divided by the depreciation rate and the average growth rate of the total social fixed asset investment from 2003 to 2008, and the depreciation rate is 9.6% [25]. All social fixed asset investment is converted to the value calculated at 2003 prices, by using the fixed asset price index.

Labor input: Considering that urban areas are dominated by the secondary and tertiary industries, we use the number of employees in the secondary and tertiary industries to measure the labor input.

Energy input: According to the research of Wang and Pang [26], and considering the availability of data, we use the power supply of the whole society in the municipal district to measure the energy input.

All the data are from China City Statistical Yearbook 2004–2018, and some missing data are supplemented by local statistical yearbook, government bulletin, or interpolation method. Details of all variables are shown in Table 2.

2.2. Methodology

2.2.1. Global Super-SBM Model

Since Charnes et al. [27] proposed the DEA model for the first time in 1978, DEA has been widely used in efficiency evaluation in various fields because it does not require prior knowledge of production function but only needs to use real data and can combine multiple inputs with multiple outputs [28]. However, the traditional DEA also has some shortcomings. First, the slack variables are not taken into account, resulting in a large efficiency value. Second, when there are multiple effective decision-making units, efficiency cannot be further distinguished. Third, undesired outputs are not taken into account; Fourth, the measured efficiencies cannot be compared across time. Tone [29] introduced slacks into the objective function and combined them with the super-efficiency model to

put forward the super efficiency SBM model considering the undesired output, which perfectly solved the first three shortcomings, but the fourth problem still exists. Later, Huang et al. [30] proposed an SBM model considering global reference, super efficiency, and undesired output simultaneously, which combined the advantages of the original model and solved the problem that the efficiency value could not be compared across time. Therefore, this paper uses this model to measure urban land-use efficiency, and the specific construction method is as follows [30]:

Suppose there are N decision units (DAUs) with three elements: input, expected output, and non-expected output, and the observation period is $t = 1 \dots T$. Where the o ($o = 1 \dots N$). The input-output variables of DMUO are respectively represented by three vectors: $x_{it} \in R^m$, $y_{jt}^g \in R^{S_1}$, and $y_{it}^b \in R^{S_2}$, where m , S_1 , and S_2 represent the quantities of three types of elements respectively. Therefore, in the SBM model with non-expected output, the super efficiency of DMUO in period t can be obtained by solving the following program, as shown in Equation (2) [30].

$$\begin{aligned} \rho_{ot}^* = \min & \frac{1 + \frac{1}{m} \sum_{k=1}^m \frac{s_{kot}^-}{x_{bot}}}{1 - \frac{1}{S_1+S_2} \left(\sum_{r=1}^{S_1} \frac{s_{rot}^g}{y_{rat}^g} + \sum_{r=1}^{S_2} \frac{s_{rot}^b}{y_{rat}^b} \right)} \\ x_{ot} - \sum_{j=1(j \neq 0 \text{ if } t=1)}^N \sum_{t=1}^T \lambda_{jt} x_{jz} + S_{ot}^- & \geq 0 \\ \sum_{j=1(j \neq 0 \text{ if } t=1)}^N \sum_{t=1}^T \lambda_{jt} y_{jt}^g - y_{ot}^g + s_{ot}^g & \geq 0 \\ y_{ot}^b - \sum_{j=1(j \neq 0 \text{ if } t=1)}^N \sum_{\tau=1}^T \lambda_{j\tau} y_{jz}^b + S_{ot}^b & \geq 0 \\ 1 - \frac{1}{S_1+S_2} \left(\sum_{r=1}^{S_1} \frac{s_{rot}^g}{y_{rat}^g} + \sum_{r=1}^{S_2} \frac{s_{rot}^b}{y_{rat}^b} \right) & \geq \varepsilon \\ \lambda_{ot}, S_{ot}^-, S_{ot}^g, S_{ot}^b & \geq 0 \end{aligned} \quad (2)$$

where S_{ot}^- , S_{ot}^g , and S_{ot}^b respectively represent the relaxation variables corresponding to input, expected output, and non-expected output; ε is a non-Archimedean infinitesimal. Equation (2) can be transformed into linear programming by using the Charnes–Cooper transformation, and then the super efficiency score ρ^* of each period can be obtained, namely the urban land-use efficiency of each city in each year [31]. Based on the classification of Yao and Zhang, this paper divides efficiency values into four categories as shown in Table 3 [19].

Table 2. Input-output index table.

Variable Type		Index
Input	Land	The area of urban construction land
	Capital	The Capital stock
	Labor	The number of employees in the secondary and tertiary industries
	Energy	The power supply of the whole society in the municipal district
	Economic	real GDP of secondary and tertiary industries in municipal districts
Output		the total wages of employees on the job
		the number of full-time teachers in municipal districts
	Social	the number of doctors in municipal districts
		the actual urban road area and green area in municipal districts at the end of the year
		the green area covered in built-up areas
		industrial smoke discharge
	Undesired	industrial sulfur dioxide discharge
	industrial wastewater discharge	

Table 3. Classification of urban land-use efficiency.

Urban Land Use Efficiency	Category
$0 < \delta^* < 0.6$	Low efficiency
$0.6 \leq \delta^* < 0.8$	Medium-low efficiency
$0.8 \leq \delta^* < 1$	Medium efficiency
$1 \leq \delta^*$	High efficiency

2.2.2. Global Malmquist Index

Malmquist index, combined with the DEA model, can measure the efficiency change of DUM, and giving the reason of efficiency change can be found by decomposition. However, the traditional Malmquist index has the potential problem of unsolved linear programming, and it is not cyclic and transitive. Pastor and Lovell [32] proposed a Malmquist index based on a global production technology set, which can effectively avoid the defect of linear programming without solution and the phenomenon of “technology regression” and which also has transitivity. The calculation method is as follows.

First, we construct the common reference set of each period, as shown in Equation (3).

$$S_g = S_1(X^1, Y^1) \cup S_2(X^2, Y^2) \cup \dots \cup S_p(X^p, Y^p) \quad (3)$$

where S is the reference set, S_g is the common reference set, X is the input variable, Y is the output variable, and p is the number of sets of the reference set at different times. Since each period refers to the same front, a single Malmquist index can be calculated, as shown in the Equation (4).

$$M(x^{k+1}, y^{k+1}, x^k, y^k) = \frac{Eg(x^{k+1}, y^{k+1})}{Eg(x^k, y^k)} \quad (4)$$

E is the distance function, k is the time variable, and M is the Malmquist index under the common reference set. When the Malmquist index is greater than 1, it means that the urban land use efficiency increases from t year to $t + 1$ year. When the Malmquist index is less than 1, it means that the urban land use efficiency decreases from t year to $t + 1$ year. Based on Equation (4), the Malmquist index can be decomposed into efficiency change (EC) and technical change (TC); further, efficiency change can be decomposed into pure efficiency change (PEC) and scale efficiency improvement (SEC) [33]. We only write a simple expression of their decomposition as shown in Equation (5).

$$M = EC \times TC = PEC \times SEC \times TC \quad (5)$$

where, PEC represents the change of pure technical efficiency; greater than 1 means the improvement of technology application level and resource allocation efficiency; less than 1 means the regression of technology application; SEC represents the change of scale efficiency, greater than 1 means the improvement of reasonable allocation of input and output factors, and scale optimization; less than 1 means the unreasonable allocation of resources. TC represents technological change, greater than 1 represents technological progress, and less than 1 represents technological retreat.

2.2.3. Convergence Model

To reveal whether the gap of urban land use efficiency in the Yangtze River Delta urban agglomeration will narrow with time, we use the convergence model to analyze. There are three common convergence models: σ convergence, absolute β convergence, and conditional β convergence [34].

σ Convergence

The convergence of σ reflects the difference in urban land-use efficiency deviating from the overall average level in different regions. According to the change of its time

series, the dynamic process of this gap can be known. When this difference becomes smaller and smaller, we believe that there is a convergence of regional urban land use efficiency. The calculation formula is shown in Equation (6).

$$\sigma_t = \sqrt{\frac{1}{n} \sum_{i=1}^n (\ln eff_{it} - \frac{1}{n} \sum_{i=1}^n \ln eff_{it})^2}, \quad (6)$$

where σ_t represents the convergence index σ of the year t ; $\ln eff_{i,t}$ represents the natural logarithm value of the urban land i use efficiency of the year t ; n represents the total number of cities. When $\sigma_{t+1} < \sigma_t$, it is believed that the urban land-use efficiency in year $t + 1$ is more convergent than that in year t ; otherwise, it is believed that the urban land-use efficiency in year $t + 1$ is more divergent than that in year t .

Absolute β Convergence

Absolute β convergence means that no matter what the initial value of the urban land-use efficiency of each city is, eventually, the urban land-use efficiency of each city will reach the exact same steady growth rate and growth level. That is, when the urban land-use efficiency of a city is low, its urban land use efficiency will have a higher growth rate than that of a city with a higher urban land use efficiency. Both absolute β convergence and σ convergence belong to absolute convergence, and absolute β convergence is a necessary and not sufficient condition for σ convergence [34,35]. To verify the existence of absolute β convergence, we perform regression on Equation (7) [34].

$$g_{i,t} = \ln(eff_{i,t+T}/eff_{i,t})/T = \alpha + \beta \ln eff_{i,t} + \varepsilon_{i,t}, \quad (7)$$

where $g_{i,t}$ is the annual average growth rate of urban land-use efficiency of the city i from year t to year $t + T$; $\ln eff_{i,t}$ is the natural logarithm value of urban land-use efficiency of the city i in the initial year t ; $\ln eff_{i,t+T}$ is the natural logarithm value of urban land-use efficiency of the city i in the final year $t + T$; T is the time span; α is the constant term; β is the regression coefficient; ε is the random error term. We use the least-squares estimator (OLS), and absolute β convergence is indicated when the regression coefficient β is significantly negative.

Conditional β Convergence

Different from the absolute β convergence, the conditional β convergence takes into account that different cities have their own characteristics and conditions, so the urban land-use efficiency of each city will approach its own steady-state level, which is related to its own characteristics and conditions. In other words, absolute β convergence means that the urban land-use efficiency of each city will tend to the same steady level, that is, the urban land-use efficiency of each city will eventually be the same. The convergence of condition β means that the urban land-use efficiency of each city will tend to its own steady-state level, that is, the urban land-use efficiency of each city will eventually be stable, but the gap between them will persist. To verify the existence of conditional β convergence, we perform regression on Equation (8) [36].

$$d(\ln eff_{i,t}) = \ln eff_{i,t} - \ln eff_{i,t-1} = \alpha + \beta \ln eff_{i,t-1} + \varepsilon_{i,t} \quad (8)$$

where $\ln eff_{i,t}$ is the natural logarithm value of urban land use efficiency in the i -city t year; α is a constant term; β is a regression coefficient; ε is a random error term. We use the fixed-effect model of panel data for estimation. By setting the fixed effect of section and time, we take into account the different steady-state levels in different regions and the change of the steady-state value in each region with time, so there is no need to add additional control variables [36]. Absolute β convergence is indicated when the regression coefficient β is significantly negative.

3. Results

3.1. Results of Urban Land Use Efficiency

We used Max-DEA software to calculate the urban land-use efficiency of each city. For convenience of comparison, we also calculated the urban land use efficiency without considering the non-expected output. Figure 2 shows the average value of urban land use efficiency over the years.

As can be seen from Figure 2, the urban land use- efficiency has been in a state of fluctuation from 2003 to 2011. From 2011 to 2017, urban land use- efficiency increased rapidly and showed a trend of continuous growth. Among them, the urban land use- efficiency without considering the undesired outputs and the urban land use- efficiency considering the expected outputs have extremely similar development trajectories, and the urban land-use efficiency considering the undesired outputs is generally lower than the urban land use efficiency without considering the undesired outputs.

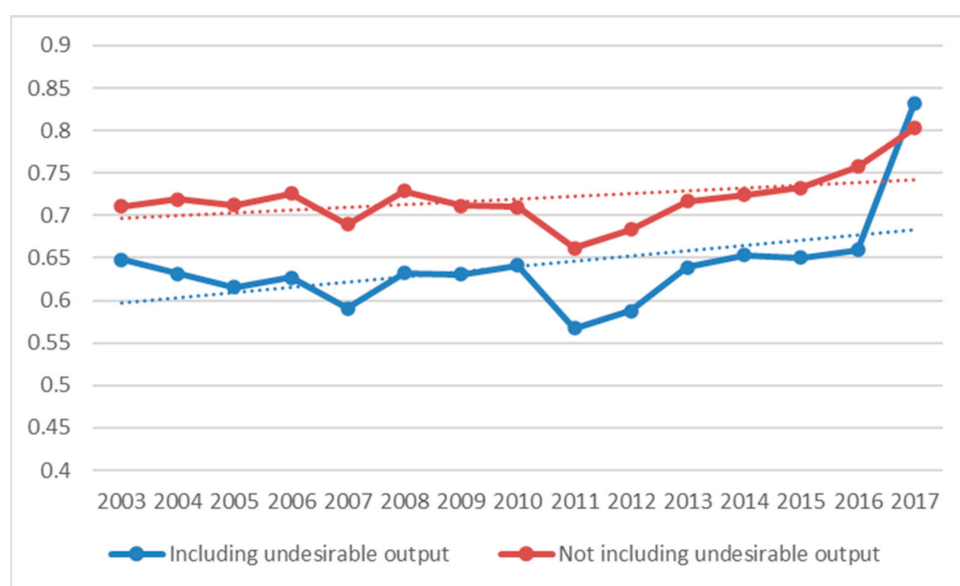


Figure 2. The Average Value of Urban Land Use Efficiency Over the Years.

As shown in Figure 3, most cities are still at a low level from the perspective of each city, in which Hefei, Yangzhou, Changzhou, and Xuancheng have the best performance in urban land-use efficiency. Even without considering the non-expected output, these four cities still have the best performance. Moreover, the urban land use- efficiency without considering the undesirable outputs is always higher than the urban land use- efficiency without considering the undesirable outputs, which is consistent with the above results. In addition, undesired outputs also have an impact on the city ranking of urban land-use efficiency, which indicates that undesired outputs will affect the accuracy of the evaluation results of urban land-use efficiency.

Figure 4 shows the average value of urban land use- efficiency in different regions. It can be seen that the level of urban land use- efficiency in Jiangsu Province is higher, followed by Anhui Province and Zhejiang Province. From the perspective of time trend, the urban land use- efficiency of Jiangsu Province shows a zigzag rising trend, and the growth rate is low. The urban land use- efficiency of Anhui Province showed a downward trend from 2003 to 2011, and began to rise again from 2011 to 2017, but it still decreased overall. The urban land use- efficiency of Zhejiang Province had a low starting point and remained stable during 2003–2011, but increased rapidly during 2011–2017, with the latter ranking the top and surpassing the other two provinces in 2017. It also shows that the growth of urban land use- efficiency in the Yangtze River Delta urban agglomeration mainly comes from the growth of urban land use- efficiency in Zhejiang Province.

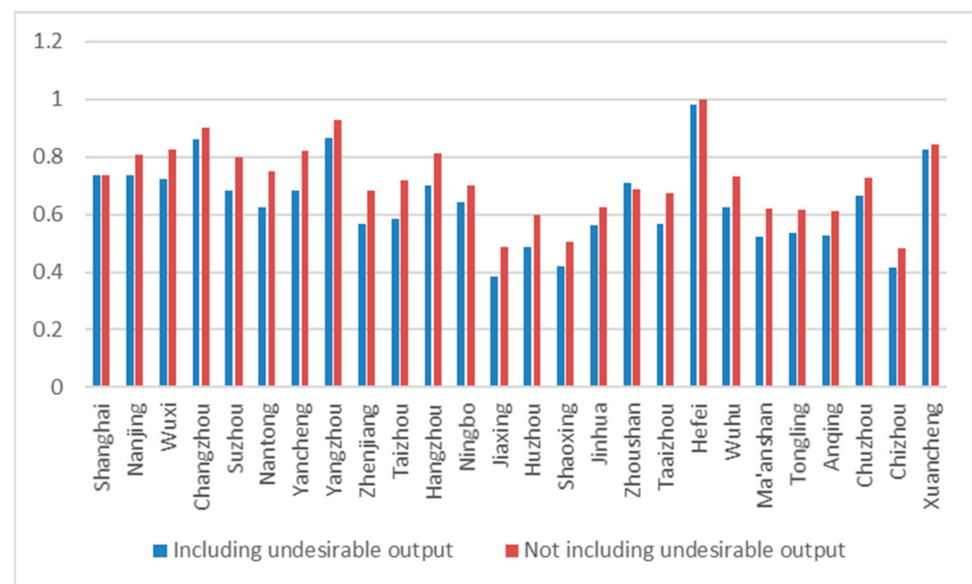


Figure 3. Urban Land Use Efficiency of Each City.

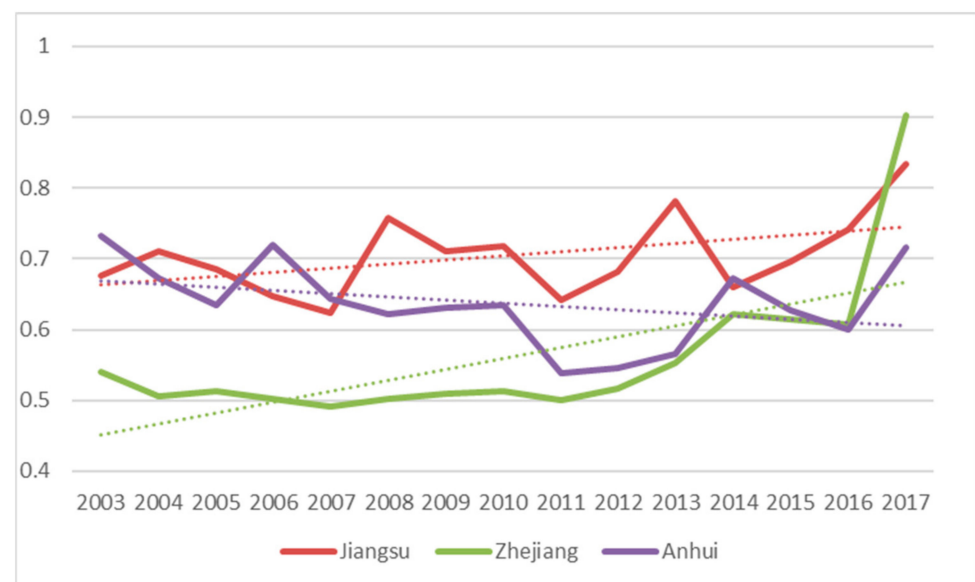


Figure 4. Average Value of Urban Land Use- Efficiency in Each Region.

3.2. Spatial Distribution of Urban Land Use Efficiency

Figure 5 shows the spatial distribution of urban land use efficiency in the Yangtze River Delta urban agglomeration. It can be seen that from 2003 to 2017, the types of cities are mainly low-efficiency cities and high-efficiency cities, among which the number of cities with low-efficiency is the largest, which are 15, 15, 17, 13, 8, respectively, accounting for 58%, 58%, 65%, 50%, and 31% of the proportion of the years respectively, showing a general trend of decrease. The number of cities with high efficiency was 6, 2, 0, 4, 12, accounting for 23%, 8%, 0%, 15%, and 46% of the total in previous years, respectively. This indicates that the urban land-use efficiency of the Yangtze River Delta urban agglomeration has gradually evolved from low-efficiency as the leading role into a polarization characterized by more at both ends and less at the middle.

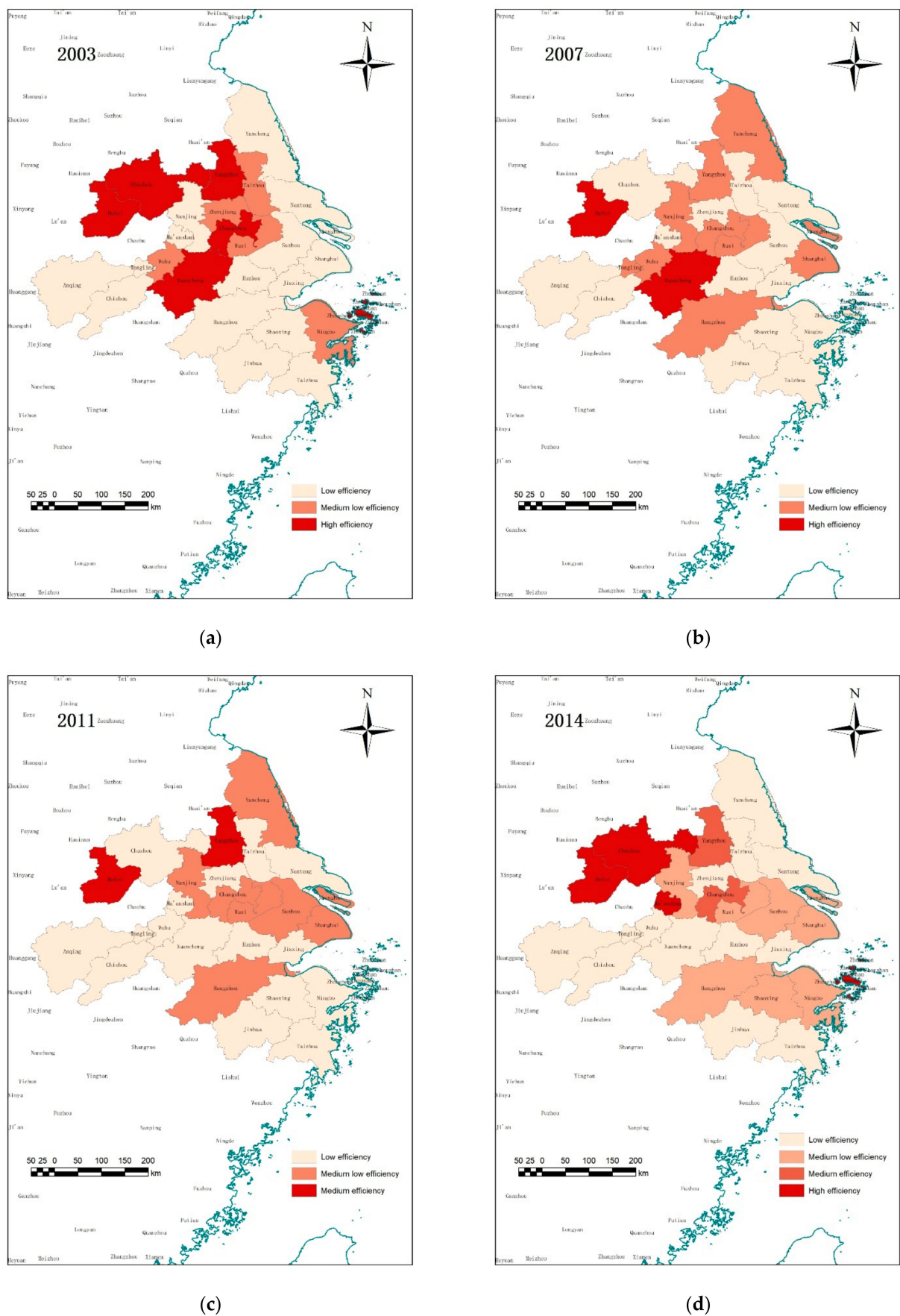
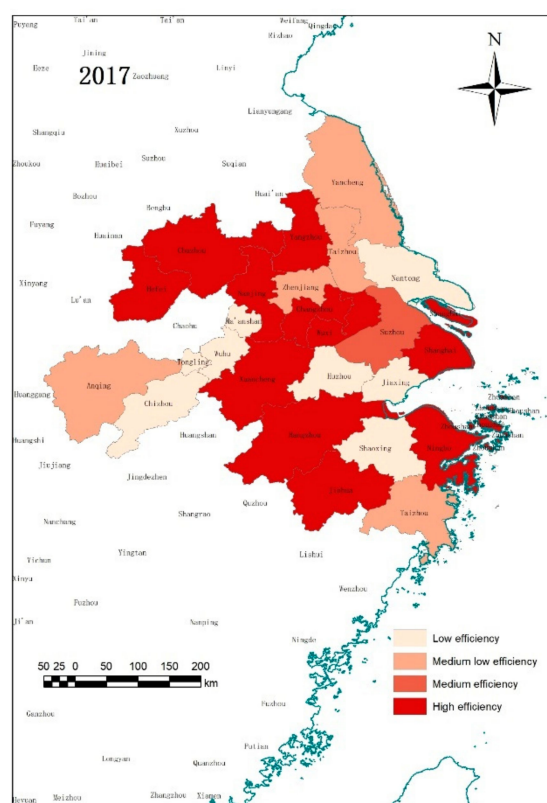


Figure 5. Cont.



(e)

Figure 5. The Spatial Diagram of The Urban Land Use Efficiency. (a) The urban land use efficiency in 2003. (b) The urban land use efficiency in 2007. (c) The urban land use efficiency in 2011. (d) The urban land use efficiency in 2014. (e) The urban land use efficiency in 2017.

In the early stage, the efficient cities were mainly located in the inland areas, concentrated in the vicinity of Hefei, Yangzhou, Xuancheng, and Zhoushan, while the Coastal cities are generally inefficient. As time goes by, there is a trend of spatial dispersion, and the overall gap is decreasing. The cities with high efficiency are mainly concentrated in the inland areas, the middle and low-efficiency cities in Zhejiang Province are gradually increasing, while the efficiency of coastal cities has been improved. Subsequently, the number of high-efficiency gradually decreased and they were mainly concentrated in Jiangsu province, while the coastal cities were inefficiency. Then the high-efficiency cities are concentrated in inland areas, and mainly in Anhui province, while the efficiency of coastal cities is still at a low level. Finally, high-efficiency cities did not form a state of spatial agglomeration, but a spatial uniform state. The efficiency growth of Shanghai, Ningbo, and other coastal cities are very significant. In general, the efficiency of coastal cities is increasing, and the high-efficiency cities are no longer concentrated in the inland areas, and the spatial concentration state is transformed into a spatial dispersion state.

3.3. Results of Global Malmquist Index

Table 4 shows The Annual Malmquist Index and Its Decomposition of each city. In general, from 2003 to 2017, the urban land-use efficiency of the Yangtze River Delta urban agglomeration increased, with an average annual growth rate of 1.9%. From the perspective of index decomposition, the average annual growth rate of PEC and SEC is close to 0, while the annual growth rate of technological change is 1.7%. This shows that the level of technology application in the Yangtze River Delta urban agglomeration has not increased significantly, the distribution of factor input is slightly unreasonable, the growth

of urban land use efficiency mainly depends on technological progress, and there is still upside potential of urban land-use efficiency.

From the perspective of cities, the urban land-use efficiency of Jinhua, Shanghai, Hangzhou, Shaoxing, Zhoushan, and Nanjing has the fastest growth, and the average annual growth rate is higher than 4%, far exceeding the average growth level, among which Jinhua has the highest average annual growth rate of 6%. Technological progress is the main driving force for growth. In addition, there were still 7 cities with negative growth of urban land-use efficiency. The reason for the negative growth was mainly the decline of technology application level, which required the local authorities to strengthen institutional management and promote the improvement of institutional management level.

Table 4. The Annual Malmquist Index and Its Decomposition.

City	ML	PEC	SEC	TC	City	ML	PEC	SEC	TC
Shanghai	1.051	0.995	1.008	1.047	Shaoxing	1.048	1.029	0.993	1.026
Nanjing	1.042	0.996	1.006	1.040	Jinhua	1.060	0.999	1.001	1.061
Wuxi	1.033	0.997	1.001	1.035	Zhoushan	1.046	1.001	0.986	0.983
Changzhou	0.999	0.996	1.000	1.004	Taizhou	1.016	0.998	0.972	1.047
Suzhou	1.034	1.001	1.019	1.014	Hefei	0.999	0.990	1.002	1.008
Nantong	1.011	1.007	0.993	1.011	Wuhu	0.979	0.968	0.991	1.020
Yancheng	1.013	1.025	0.988	1.000	Ma'anshan	0.991	1.001	0.987	1.003
Yangzhou	1.002	1.000	1.000	1.001	Tongling	1.014	1.035	0.978	1.003
Zhenjiang	1.016	1.030	1.000	0.986	Anqing	1.004	1.037	0.968	0.999
Taizhou	0.994	0.976	1.008	1.010	Chuzhou	0.997	1.002	0.996	1.000
Hangzhou	1.048	1.003	1.015	1.030	Chizhou	1.030	1.002	1.027	1.002
Ningbo	1.030	0.996	1.000	1.035	Xuancheng	0.993	0.934	1.064	0.999
Jiaxing	1.028	1.021	0.982	1.025	Mean	1.019	1.000	0.999	1.017
Huzhou	1.005	0.968	0.982	1.057					

3.4. Convergence Analysis of Urban Land Use Efficiency

3.4.1. Results of σ Convergence

To further analyze the convergence of the urban land-use efficiency of the cities in the Yangtze River Delta urban agglomeration over time, we first calculate the σ convergence index of the Yangtze River Delta urban agglomeration and the three provinces, and the results are shown in Figure 6. It can be seen that the urban land-use efficiency of the Yangtze River Delta urban agglomeration is bounded by 2011, showing a trend of first convergence and then divergence. Similarly, Zhejiang Province also showed a convergent change from 2003 to 2011, while a divergent change from 2011 to 2017. The σ convergence index of urban land use efficiency in Jiangsu Province fluctuates frequently and does not show obvious characteristics of convergence or divergence. The urban land-use efficiency of Anhui Province showed a convergence trend from 2003 to 2011 but had no obvious change trend from 2011 to 2017. A horizontal comparison of the three provinces shows that the σ convergence index of Anhui Province is generally higher than that of the other two provinces, which indicates that the gap of urban land use efficiency within Anhui Province is larger.

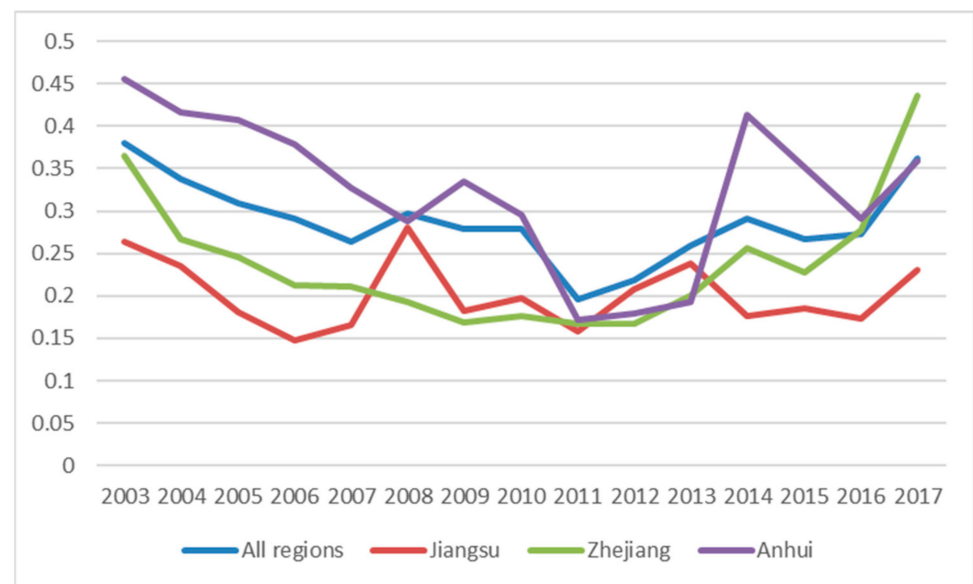


Figure 6. The σ Convergence Index of Each Region.

3.4.2. Results of Absolute β Convergence

Next, we used the OLS method to carry out regression on Equation (8), and the results are shown in Table 5. As you can see, the regression coefficient of the Yangtze River Delta urban agglomeration is negative at the significance level of 1%, indicating that the urban land-use efficiency of the urban agglomeration has absolute β convergence. Similarly, the regression coefficients of Jiangsu Province and Anhui Province are negative at the significance level of 5% and 10% respectively, indicating that there is absolute β convergence of urban land use efficiency in Jiangsu Province and Anhui Province. The regression coefficient of Zhejiang province is not significant, indicating that there is no absolute β convergence in Zhejiang province. In addition, the regression coefficient of Zhejiang Province is divergent, which means that the internal gap has a widening trend, which is consistent with the analysis of the σ convergence index.

Table 5. Absolute β Convergent Regression Results of Each Region.

Variable	All Regions	Jiangsu	Zhejiang	Anhui
$\ln eff_{i,t}$	−0.025 *** (−3.05)	−0.033 ** (−2.73)	−0.000 (−0.01)	−0.021 * (−2.22)
Constant	0.005 (0.91)	0.001 (0.29)	0.034 ** (3.51)	−0.008 (−1.56)
Observations	26	9	8	8
R-squared	0.204	0.316	0.000	0.422

Note. T statistics Robust *t*-statistics in parentheses. *** $p < 0.01$, ** $p < 0.05$, * $p < 0.1$.

3.4.3. Results of Condition β Convergence

Finally, we use the panel data fixed effect model to estimate Equation (9), and the results are shown in Table 6. From the results, when we control the cross-section after effect and time effect, all of the regression coefficients is more than 5% of the significant level is negative, it shows that in the Yangtze River Delta urban agglomeration and the three provinces, within the scope of the urban land use efficiency is conditional β convergence, also that said, Yangtze River Delta urban agglomeration and the three provinces of urban land-use efficiency.

Table 6. Condition β Convergent Regression Results of Each Region.

Variable	All Regions	Jiangsu	Zhejiang	Anhui
$d(\ln eff_{i,t})$	−0.6425 *** (−6.9485)	−0.7338 *** (−7.3074)	−0.9094 ** (−2.9831)	−0.5623 *** (−6.1966)
Constant	−0.3350 *** (−5.1470)	−0.2575 ** (−2.7820)	−0.6550 ** (−2.8635)	−0.2973 *** (−5.6882)
Observations	364	126	112	112
Regulation R^2	0.382	0.507	0.539	0.373

Note. Robust t -statistics in parentheses. *** $p < 0.01$, ** $p < 0.05$, * $p < 0.1$.

4. Discussion

Green development is an inevitable choice to achieve sustainable urban development under the constraints of resources and the environment. It is also an important guiding ideology and main realization path for China's comprehensive social and economic transformation at present [37]. In the early stage, limited by China's low economic level, economic development was the focus. Economic development was an important assessment indicator for local officials, and it was also a core element of the academic community to measure the efficiency of urban land use. Under the pressure of assessment, local governments often have excessive investment and regional redundant construction, which has caused serious industrial structure isomorphism and waste of resources, resulting in low urban land-use efficiency and serious ecological environmental pollution [18]. As the introduction and implementation of the concept of green development, a single economic output in land use can no longer meet the current strategic development goals and academic research needs. and we need a multi-dimensional output of land use system to reflect the land use in various output, thus the accurate measure of urban land use efficiency, to provide help for policy and academic research. This is also the problem that this research is trying to solve. It can be seen that urban land use efficiency without considering the non-expected output underestimates the real level of urban land use efficiency, which may lead to misleading conclusions for policy formulation and academic research.

In terms of research methods, there are mainly two most popular methods for the calculation of urban land use efficiency, namely stochastic frontier analysis (SFA) and DEA model. Among them, SFA is based on the production function, adding the random error term into the production equation, and estimating the efficiency value through the regression [38,39]. The advantage of this method is that the actual efficiency value is obtained, and the influence of random factors is considered. However, the specific distribution form of the random error term is difficult to determine, which affects the accuracy of the estimation. At the same time, only a single output is considered, so it is not suitable for the evaluation of multi-output efficiency. The DEA model is more suitable for this kind of efficiency evaluation of multiple inputs and outputs, and with the continuous improvement of the DEA model, the currently developed global reference super-efficiency DEA method inherits the advantages of the traditional DEA model, it can be combined with the Malmquist index, while overcoming the comparative disadvantages such as traditional DEA cannot across the interface and the author analyzes the reasons of efficiency change, it is more suitable for the calculation of urban land-use efficiency [30]. The only drawback is that it calculates relative efficiency and does not consider the influence of random factors. However, relative to its advantages and disadvantages are not significant, which is also the reason why it is widely used in efficiency evaluation.

In addition, this study also has some shortcomings, which can be solved in future research. (1) Due to the limitation of data availability, we did not consider the input of oil and natural gas in the energy input. The undesired output is not limited to the three mentioned in this paper, and the establishment of a more comprehensive evaluation index system can make the evaluation results more accurate. (2) Due to the limitation of space in this paper, although the temporal and spatial evolution law of urban land-use efficiency in the Yangtze River Delta urban agglomeration is discussed, the influencing

factors and mechanism are not deeply analyzed, which will be the focus of our research in the next stage.

5. Conclusions

It is of great significance to strengthen the land management of the Yangtze River Delta urban agglomeration, improve the urban land-use efficiency and promote the sustainable development of the Yangtze River Delta urban agglomeration by studying the evaluation system of the urban land use efficiency and its spatio-temporal evolution law. Therefore, we use the relevant data of 26 cities in the Yangtze River Delta urban agglomeration from 2003 to 2017 to construct the urban land use efficiency evaluation system, which includes the input mix of land, labor, capital, and energy and the output mix of economic output, social output and undesired output. Then, the value of urban land-use efficiency is calculated by using the super efficiency SBM model of global reference, and based on this, the temporal development trend and spatial distribution characteristics of urban land use efficiency are analyzed. Then the global reference Malmquist index is used to analyze the reasons for its change. Finally, the convergence with time is tested by using the convergence model. The conclusions are as follows:

(1) Considering the urban land use efficiency with non-expected outputs is generally lower than that without considering non-expected outputs. Since the latter does not consider the pollution caused by urban development, it usually overestimates the urban land-use efficiency and has an impact on the ranking of urban land-use efficiency. This indicates that the evaluation system of considering urban land use efficiency with non-expected output is more reasonable.

(2) The urban land use efficiency fluctuated weakly from 2003 to 2011 and showed a trend of rapid growth from 2011 to 2017. The development of Jiangsu Province is the best, followed by Anhui Province, and Zhejiang Province is the worst. However, Zhejiang Province developed rapidly in the late period and realizes the reverse. At the urban level, Hefei is the most efficient, followed by Yangzhou and Changzhou, and Jiaxing has the worst efficiency.

(3) The high-efficiency cities were mainly concentrated in the inland areas in the early stage, and finally showed a state of spatial dispersion. And urban land use efficiency from low-efficiency as the leading role into two more than the middle of the fewer polarization characteristics.

(4) In general, the distribution of factor input in the Yangtze River Delta urban agglomeration is not balanced, which slows down the growth rate of urban land-use efficiency. Technological progress is the main reason to promote the growth of urban land-use efficiency. From the perspective of individual cities, the reason for the regression of cities with partial negative growth is the retrogression of technology application level.

(5) From the perspective of convergence, there is both absolute convergence and conditional convergence between the Yangtze River Delta urban agglomeration and Jiangsu Province. But only conditional convergence exists in Zhejiang and Anhui provinces. It shows that the urban land-use efficiency of each city is approaching its own steady-state level.

Based on the above research results, we propose the following policy recommendations. First, the government should establish a more complete evaluation index system to prevent local officials from blindly pursuing economic benefits while ignoring social benefits and the ecological environment; secondly, the government should pay attention to pollution emissions in production, strengthen environmental protection and promote the coordinated development of society, economy, and ecological environment. Then, the government should accelerate the reform of industrial structure, eliminate industries with high pollution and high energy consumption, release the space for urban development, and increase the investment in science and technology to promote technological progress. Finally, the government should reduce the intervention in the land factor market, adopt a

more scientific urban land use evaluation system to carry out land planning, strictly manage the new construction land, and avoid the waste and inefficient use of land resources.

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Appendix A

To obtain the social output index and the undesired output index, we use the following methods to obtain the comprehensive index.

Appendix A.1. The Dimensionless of the Indicator

Since the dimensions of different indicators are different, we first conduct dimensionless treatment for each indicator, as shown in Equation (A1) [40].

$$Y_{ijt} = (X_{ijt} - m_j) / (M_j - m_j) \quad (\text{A1})$$

where the X is the actual value of the index, Y is the value after dimensionless, M is the upper limit of the index, m is the lower limit of the index, j is the number of indexes, i is the number of regions, and t is the year. As for the upper and lower limits of each indicator, if they are set according to the annual indicator situation, the comparison baseline of the indicators in different regions will change in different years, thus leading to the longitudinal incomparability of indicators. Therefore, we take the maximum value of the actual index data in 2003 as the upper limit and the minimum value of the actual value as the lower limit. After our dimensionless treatment, the dimensionless value of the index in the base year (2003) is between 0 and 100. The higher the value, the higher the development level of the corresponding index. The dimensionless value of other year indexes will be less than 0 or more than 100.

Appendix A.2. Determination of Weight

After the index has been dimensionless, the next step is to determine the weight of each index when synthesizing the comprehensive index. We commonly used weighting methods mainly including the subjective weighting method and the objective weighting methods. To truly reflect the information contained in the index data, we selected the coefficient of variation method in the objective weighting method to determine the weight of the index. The specific methods are as follows:

- (1) Calculate the mean \bar{x}_j and standard deviation S_j of the j index. As shown in Equation (A2).

$$\begin{cases} \bar{x}_j = \frac{1}{n} \sum_{i=1}^n x_{ij} \\ S_j = \sqrt{\frac{\sum_{i=1}^n (x_{ij} - \bar{x}_j)^2}{n-1}} \end{cases} \quad (\text{A2})$$

- (2) Calculate the coefficient of variation v_j of the j index. As shown in Equation (A3).

$$v_j = \frac{s_j}{\bar{x}_j}, j = 1, 2, \dots, p \quad (\text{A3})$$

- (3) Normalize the coefficient of variation, to get the weight w_j of each index. As shown in Equation (A4).

$$w_j = \frac{v_j}{\sum_{j=1}^p v_j} \quad (\text{A4})$$

To make the indexes of each year vertically comparable and ensure that future studies can be compared with the present, as the data is updated, so we use the data of the base year (2003) to calculate the weight of the index.

Appendix A.3. Calculation of the Composite Index

After doing the above steps, we finally synthesize the composite index. The calculation method is shown in Equation (A5).

$$Z_i = \sum_{j=1}^n w_j y_{ij} \quad (\text{A5})$$

where Z is the value of the composite index; y represents the dimensionless value of the index; w represents the weight of the corresponding index value; j is the number of indices; i is the number of cities.

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