



Article Attribution of the Extreme Drought in the Horn of Africa during Short-Rains of 2016 and Long-Rains of 2017

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Abstract: The Horn of Africa (HOA) is one of the most drought-prone regions in the world with many arid and semiarid areas, and even some extremely arid areas. Primarily affected by the marine continental climate systems, this zone is really sensitive to global warming. Drought is the main type of natural disaster affecting this region, which triggers famine, civil conflict, and even deterioration of food security. The present study examined changes in droughts in the HOA during 1979–2019 based on Standardized Precipitation Evapotranspiration Index (SPEI). Results show that frequency, duration, and intensity of droughts exhibited an increasing trend over the past decades. Moreover, in October to December (called locate "short rains") 2016 and March to May (called locate "long rains") 2017, the HOA experienced the most severe drought. Based on Generalized Extreme Value (GEV) fitting, the 2016/2017 SPEI index corresponds to a drought that occurs every 250 years in the observational records. However, considering the precipitation in short rains of 2016 (long rains of 2017) was only 27.1% (11.8%) less than normal, it is hard to explain the formation of this extreme drought only from precipitation anomalies. Further statistical result shows that the evaporation in 2016/2017 corresponds to a 1-in-131 years event in the observed records. The abnormally high temperature (1.02 $^{\circ}$ C higher than normal) as well as the greatest potential evaporation since 1979 are the more important causes for the formation of drought. Thus, the extreme drought in 2016/2017, probably caused by the combined effect of dry condition and high temperature simultaneously, and the latter, played a leading role. In other words, droughts can be exacerbated by the co-occurrence of extreme high temperature. With continuous warming caused by anthropogenic activities in the next decades, the Horn of Africa may be a hotspot of the compound droughts and, therefore, it is especially important to considering the combined impacts from less precipitation and high temperature when predicting the future drought trend and making adaptation measures.

Keywords: the Horn of Africa; extreme events; drought; compound drought; global warming

1. Introduction

Today, climate warming is a global concern. According to the IPCC-AR6, since 1970, global surface temperatures have risen faster than in any other 50-year period for at least the past 2000 years. From 2001 to 2020, global surface temperature was 0.99 °C higher than 1850–1900, with stronger warming over land than over the ocean [1]. If the current rate of growth continues, between 2030 and 2052, global temperatures could be 1.5 °C higher than 1850–1900 [2]. Extreme events, including weather and climate extremes with devastating impacts, have been confirmed to be a result of complicated interactions among a range of physical processes on multiple spatial-temporal scales under global warming [3–5]. There has been an increase in the frequency and intensity of some extreme events, particularly droughts, floods, heat waves, and tropical cyclones. In fact, the impacts of extreme events may not be determined by a single factor, but may be associated with a comprehensive



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). synergy among all of factors [6]. Extreme events that occur concurrently or continuously are defined as compound events or compound extreme events, which were first proposed by IPCC-SREX in 2012 [7]. At present, a compound event, which is a combination of multiple forcing factors and/or risks that cause serious damage to society or the environment, has been embedded under the IPCC risk framework [8]. More recently, the IPC-AR6 report noted that many land areas are expected to experience more compound events as global warming increases, with a high likelihood of heat waves and droughts, as well as compound floods. In particular, concurrent heatwaves and droughts are likely to become more frequent on the global scale [1].

Concurrence of multiple hazards (for example, droughts and heatwaves), in combination, causes devastating impacts beyond which any one of these hazards would cause in isolation [8]. Indeed, in recent decades, more drought events have occurred with high temperatures or heatwaves, such as the Millennium Australia drought of 1997–2010 [9], the Texas drought of 2011 [10], and California drought of 2013–2014 [11]. These compound drought events, with drought and high temperature occurring at the same time, have been confirmed to amplify their risks. Therefore, it requires an increased emphasis on identification, analysis, assessment, and prediction of compound events, especially for vulnerable areas that are extremely sensitive to climate change.

The Horn of Africa (HOA) is one of the most environmentally fragile places on earth, where people survive on the traditional farming and animal husbandry on the semi-arid land area. The economy of the HOA is heavily centered around rain-fed agriculture and livestock farming, which are particularly sensitive to variations in climate change. Dry/wet variations have an important influence on its agriculture production, ecological environment, land use, economic structure, and social stability. Due to its topography and land-sea distribution, the HOA has a unique semiarid climate, with approximately the lowest annual rainfall in the tropics. Drought is a serious natural disaster in this region, threatening millions of people and livestock in the HOA, and it has gotten more severe since the 1970s [12,13]. The extreme dry, in particular, fatally impacts the HOA with fragile ecosystems. About 20 million people in the HOA are meanwhile dependent on food aid as a severe drought spreads over the region.

Climatologically, rainfall exhibits bimodal distribution in the southern and eastern parts of the HOA, involving Kenya, Somalia, eastern Ethiopia, and central and northern Tanzania [14]. A "long rains" season typically occurs from March to May (MAM), and a "short rains" season from October to December (OND) [15–17]. Because agricultural production in this region is based on traditional rain-fed agriculture, low rainfall can reduce harvests, lead to famine, and spark conflict [18–21]. For example, drought in 1983/1984 led to a civil conflict and triggered a famine in northern Ethiopia and Sudan [22]. The rainfall deficit both in short rains in 1991 and long rains in 1992, as a "consecutive drought" event, contributed to the deterioration of food security. Another consecutive drought event during the growing season in 2010/2011 was estimated to be the worst in the last 60 years [23–25], resulting in declines in agriculture yields, high rates of animal mortality, severe food shortages for 12 million people, and severe humanitarian crisis [26]. Previous studies have analyzed trends of drought on temporal and spatial extent over the HOA [12–14,27], and papers have looked at the 2010/2011 drought event [28,29].

The HOA endured an extreme drought during short rains in 2016 and long rains in 2017. Across most regions of the HOA, a persistent lack of precipitation in growing season led to record low vegetation cover and soil moisture conditions. Moreover, in 2016, millions of people suffered severe food and water shortages [30,31]. However, there have been no studies on the causes of this extreme drought event in the scientific literature. To illustrate features and possible causes of the drought event in 2016/2017, our work aimed to: (1) assess and investigate the drought in 2016/2017 of the HOA by applying the Standardize Precipitation Evapotranspiration Index (SPEI); (2) estimate return periods of SPEI and meteorological factors; (3) investigate the attribution of the drought event in 2016/2017 by analyzing anomalies of meteorological factors such as precipitation, temperature, and

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evaporation; and (4) explore its characteristics by comparing it with historical drought events of HOA.

Extreme drought occurred frequently under the background of global warming, and it has become the most devastating threat of human social security in the HOA. This research can better understand the causes of the extreme drought event and provide reliable and scientific support for planning of adaptation measures to address food insecurity in the HOA.

2. Data and Methodology

2.1. Study Area

The Horn of Africa (HOA) is located on the easternmost part of the African mainland. It lies along the southern boundary of the Red Sea and extends to the Guardafui Channel, Gulf of Aden, and Indian Ocean. Diverse topographical features around the HOA (Figure 1a), including Ethiopian highlands, Eastern Great Rift, Ogaden desert, and the Somalian and Eritrean coast, influence local climate of the HOA by changing the lower atmospheric circulations and altering water vapor transport [32]. In this study, the HOA refers to the area of positive values in Figure 1b, with a significant annual cycle of bimodal precipitation (as shown in the bar plot next to box 1) mainly dominating the east of the highlands over an approximate extending range of (5° S–12° N, 30° E–52° E). Due to the various topography, the region is characterized by a semiarid climate, which is significantly different from the humid and rainy climate of other tropical regions. The Horn's arid lowlands are particularly dry because it is under high temperature and receives lower rainfall. It is absolutely accepted that the environment of this region is very sensitive to dry/wet climate changes [33].



Figure 1. (a) Topographic elevation (m) around the study area. (b) Annual cycle type of GPCC precipitation measured by log2 $|C_2/C_1|$, where C_1 and C_2 are the Fourier harmonics of the annual period and the semi-annual period, respectively. Positive (negative) values occur when the semiannual (annual) period mode dominates. Boxes 1, 2, and 3 have ranges of $[36^\circ \text{ E}, 50^\circ \text{ E}] \times [0, 8^\circ \text{ N}]$, $[30^\circ \text{ E}, 36^\circ \text{ E}] \times [6^\circ \text{ N}, 11^\circ \text{ N}]$ and $[30^\circ \text{ E}, 36^\circ \text{ E}] \times [10^\circ \text{ S}, 4^\circ \text{ S}]$ respectively. Bar plots next to the boxes show the annual cycle of precipitation over the corresponding boxes.

2.2. Observation and Reanalysis Datasets

The primary dataset is the monthly analysis precipitation product of Global Precipitation Climatology Centre (GPCC) [34] at $1.0^{\circ} \times 1.0^{\circ}$ resolution and covering the period 1901–2019. For this study, GPCC monthly precipitation from 1979 to 2019 was extracted. Considering timely data update and higher spatial resolution, monthly surface air temperature (T2m), evaporation, and potential evaporation (PEV) during 1979–2019 at 0.25°

resolution from the fifth generation ECMWF, reanalysis datasets [35] were also analyzed (Table 1). Anomalies of all variables were defined as deviations from the climatological mean of the 30 years (1981–2010).

Table 1. Observation and reanalysis datasets.

	Datasets	Horizontal Resolution	Period
Precipitation	GPCC	$1.0^{\circ} imes 1.0^{\circ}$	1979–2019 of (1901–2019)
Temperature (T2 m)	ERA5	$0.25^{\circ} imes 0.25^{\circ}$	1979–2019
Potential evaporation (PEV)	ERA5	$0.25^{\circ} imes 0.25^{\circ}$	1979–2019

2.3. Meterological Drought Indices

In order to understand drought, which is considered to be one of the most severe natural disasters, many drought indices are commonly used to quantify and assess drought characteristics, such as the Precipitation Anomaly (PA), Composite Index (CI), Aridity Index (AI), Palmer Drought Severity Index (PDSI), Standardized Precipitation Index (SPI), and Standardized Precipitation Evapotranspiration Index (SPEI) [36–41]. Among these, SPEI can be used for multi time scales and take into account both precipitation and temperature in assessing drought conditions. Considering the reliability of data, here the SPEI was performed to study characteristics of drought in the HOA. The SPEI was defined for monthly data, incorporating both precipitation and temperature, to define and monitor drought by quantifying anomalies in accumulated climatic water balance [42]. Generally, a log-logistic distribution is required to standardize the accumulation of D_n^k to estimate the SPEI.

$$D_{n}^{k} = \sum_{i=0}^{k-1} (P_{n-i} - PET_{n-i})$$
(1)

where D is monthly water difference between precipitation P and potential evapotranspiration PET in month "n" when the time scale is "k", whereby the monthly PET is calculated by the Thornthwaite equation [43]:

$$PET = 16(\frac{10T}{I})^{a}, 0 \ ^{\circ}C \le T < 26.5 \ ^{\circ}C$$
(2)

$$I = \sum_{i=1}^{12} \left(\frac{T}{5}\right)^{1.514}, \ T > 0 \ ^{\circ}C$$
(3)

$$\mathbf{a} = 0.49239 + 1.792 \times 10^{-2} \mathrm{I} - 7.71 \times 10^{-5} \mathrm{I}^2 + 6.75 \times 10^{-7} \mathrm{I}^3 \tag{4}$$

where T is monthly temperature, and I refers to the total annual value of heat index.

The detailed steps in the SPEI calculation were described by Vicente-Serrano [44]. The SPEI can be calculated at the monthly scale with 1 month, or accumulated at more than 1 month. Typical timescale values are 3, 6, 12, and 24 months, presenting seasonal, semi-annual, annual, and biennial drought conditions respectively. If the accumulated month (time scale "k") is set, the starting date of the resulting SPEI series will be lagged a number of months equal to k - 1.

In this study, considering the special climatic characteristics of two rainy seasons, and drought spanning 8 months from short rains of 2016 to long rains of 2017, monthly SPEI for multiple time scales of seasonal 3-month, two rainy seasonal 8-month, and annual 12-month based on the period of 1979–2019 were calculated. The classification of SPEI is indicated in Table 2. Generally, the positive (negative) value indicates wet (dry) condition [45].

SPEI Value	Drought Type	
0 to -0.99	Mild drought	
-1 to -1.49	Moderate drought	
-1.5 to -1.99	Severe drought	
≤ 2.0	Extreme drought	

Table 2. Drought category based on the SPEI value.

2.4. Generalized Extreme Value (GEV) Distribution

Generalized extreme value (GEV) distribution can provide an estimation of the probabilities and return periods for extreme events by flexibly combining three maximum extreme value distributions of Gumbel, Frèchet, and Weibull [46]. It can be generally described by:

$$G(\mathbf{x},\boldsymbol{\mu},\boldsymbol{\sigma},\boldsymbol{\xi}) = \begin{cases} \exp\{-\exp\left[-\frac{\mathbf{x}-\boldsymbol{\mu}}{\boldsymbol{\sigma}}\right]\}, \boldsymbol{\xi} = 0\\ \exp\{-\left[1+\boldsymbol{\xi}\frac{\mathbf{x}-\boldsymbol{\mu}}{\boldsymbol{\sigma}}\right]^{-\boldsymbol{\xi}^{-1}}\}, \boldsymbol{\xi} \neq 0, 1+\boldsymbol{\xi}\frac{\mathbf{x}-\boldsymbol{\mu}}{\boldsymbol{\sigma}} > 0 \end{cases}$$
(5)

where μ , σ and ξ are the location, scale, and shape parameters, respectively. When $\xi \approx 0$, $\xi > 0$, and $\xi < 0$, the GEV corresponds to the Gumbel, Frèchet, and the negative Weibull distributions, respectively. The details of GEV are summarized by [47,48]. Here, we implemented this GEV analysis to examine the return periods of SPEI index, accumulated precipitation, average temperature, and potential evaporation during the period from OND 2016 to MAM 2017.

3. Results

3.1. Climatological Background

The HOA is located in the tropical region. Based on the T2m dataset of ERA5, the characteristics of temperature in the HOA were analyzed. The local annual mean temperature of the HOA is around 25.05 °C, with a maximum temperature of 26.28 °C in February and a minimum temperature of 24.08 °C in June. However, comparing with other tropical regions, the uniqueness of precipitation in HOA with significant spatial heterogeneity in its climate characteristics can be seen from Figure 2. Most of the HOA is almost the only semiarid region in the deep tropics with annual precipitation less than 600 mm, while generally tropical regions are characterized by wet and rainy with more than 2000 mm of annual precipitation. Similar to South America at the same latitude, the heaviest precipitation occurs in April, however, the HOA has a precipitation minimum at all longitudes of tropical land. There are several factors contributing to its semiarid climatological characteristics, such as substantial topographic variations, larger-scale flow, and low-level atmospheric circulation and vapor transport [49].



Figure 2. GPCC climatological annual precipitation. The direction of the arrow represents the month of maximum precipitation, which is represented according to the clock dial. Blue box denotes the HOA region.

To investigate the spatial pattern of precipitation annual cycle, the binary logarithm of the ratio $|C_2/C_1|$ at each grid was calculated based on the Fourier harmonics analysis of GPCC data (Figure 1b), while C_1 and C_2 represent the annual and semi-annual period, respectively. As shown in Figure 1b, positive values that represent bimodal precipitation annual cycle dominate the east of the highlands and near the equator. The average precipitation annual cycle at the right of the box 1 shows two remarkable rainy seasons: The long rains in MAM and the short rains in OND. In contrast, boxes 2 and 3 exhibit a typical monsoon rainfall character with precipitation peaks during boreal summer in box 2 and during austral summer in box 3. The bimodal distribution of annual cycle is the most significant precipitation characteristic over most of the HOA, including Somalia, Kenya, and eastern Ethiopia, which is consistent with previous studies [50].

According to the positive values in Figure 1b, bimodal precipitation areas were highlighted as gray shading in the mini panel of Figure 3. Hereafter, the term "HOA" refers to the area shaded in gray in Figure 3. The bar chart with diagonal lines shows the GPCC precipitation climatological annual cycle over the HOA, and the blank bar chart is the variance (Figure 3). It indicates that the heaviest rainfall amounts occur during long rains and short rains. While the variances of short rains (especially from October to November) are greater than those of long rains, this indicates that the interannual change of precipitation depends mainly on the variation of short rains. Previous researches have discussed that short rains show a close relationship with tropical sea surface temperature anomalies (SSTAs), such as typical ENSO and Indian Ocean dipole (IOD) [51–53]. The stronger teleconnection between interannual variability of short rains and long-scale SST forcing helps us understand and predict OND precipitation of HOA better. However, unlike short rains, long rains show barely any correlation with SSTA or any other climate anomalies on interannual and seasonal timescales [54,55]. Therefore, it is hard to predict forecast precipitation in long rains of the HOA.





3.2. Characteristics of Long-Term Trends in Drought of HOA

The SPEI is a multi-scalar drought index used to assess the occurrence, duration, and intensity of drought events. In this study, the SPEI at given time intervals based on monthly time series of precipitation and temperature were calculated. Figure 4a-c clearly depicts variations and trends of SPEI in 3-month (SPEI-3), 8-month (SPEI-8), and 12-month (SPEI-12) timescales, reflecting drought characteristics on seasonal, two consecutive rainy seasons (from pre-OND to MAM), and annual timescales, respectively. Results show that variations of frequency, intensity and magnitude of drought events at different time scales are basically consistent. Figure 4a-c reveals that three SPEI at different timescales, respectively, decline at a rate of -0.018/3-month, -0.023/8-month, and -0.027/year. The obvious downward trend of SPEI-3 (Figure 4a) is significant at 95% confidence level (R = 0.38). Relatively, the occurring frequency of drought events on seasonal timescale in the 21st century is higher than that in the past, with the most severe drought occurring around 2016–2017. Figure 4b illustrates that SPEI-8 declines significantly at 99% confidence level (R = 0.48). It shows that the frequency, duration, and intensity of drought events occurring on two consecutive rainy seasons increase significantly. The longest duration of drought event in SPEI-8 is found in the period from 2014 to 2018, with the worst magnitude in 2017. The variation of annual drought described by SPEI-12 (Figure 4c) shows a more significant decreasing trend, reaching 99.9% (R = 0.54) significant level. It successfully identifies mild droughts of 1980–1981, 1984–1985, 1988–1989, 1992–1993, 1994–1995, 1999–2000, 2003–2005, and 2006–2007; moderate droughts of 2010–2011 and 2019–2020; and severe drought of 2016-2017.



Figure 4. Temporal variation and trend analysis (red line) of SPEI in the HOA at each 3-month (**a**), 8-month (**b**), and 12-month (**c**) timescale from 1979–2019.

The SPEI analyses indicate that the HOA is highly sensitive to climate and has poor tolerance to drought. Mild droughts could result in severe social crises to this region. For instance, in northern Ethiopia and Sudan, the 1983/1984 drought triggered a famine that killed nearly 1 million people [22], and the drought in 1991/1992 led to the deterioration of food security. Since the 21st century, the occurrence of drought has been more frequent with longer duration and greater intensity, as the climate change scientists warmed. In 2010/2011, Kenya, Somalia, and southeastern Ethiopia were struck by a moderate drought, which was estimated to be the worst in the last 60 years [17], placing approximately 12 million people into a humanitarian crisis (http://reliefweb.int/horn-africa-crisis2011, accessed on 13 January 2022). Subsequently, from the short rains of 2016 to the long rains of 2017, severe drought led to significant losses in crop and pasture production, and millions were affected by famine [30,31]. Distributions of the lowest values of SPEI at different timescales indicate that the drought occurring during 2016–2017was the worst in the last 41 years. Worsening drought, to be sure, has exacerbated environmental vulnerability and triggered human social crisis in the HOA.

To further discuss the occurrence probabilities of severe drought event in 2016/2017, the generalized extreme value (GEV) is performed to estimate return periods of drought of two consecutive rainy seasons from short rains to long rains (from October to May in the following year). Thus, GEV distribution is applied respectively to time series of SPEI-8, accumulated precipitation, average temperature of T2m, and the potential evaporation (PEV) of ERA5 in the same period. Based on the current ECMWF Integrated Forecasting System (IFS), PEV is a measure of the extent to which near-surface atmospheric conditions are conducive to the process of evaporation. Negative values indicate evaporation, and positive values indicate condensation. As shown in Figure 5a, according to the fitted curve

of scaled GEV distribution, the return period of the SPEI-8 from 2016 October to 2017 May is about 1-in-250 years. Meanwhile, the return periods of low accumulated precipitation (Figure 5b) and high mean temperature (Figure 5c) in this period are about 1-in-9 years and 1-in-33 years, respectively, and the return period of mean PEV (Figure 5d) is 1-in-131 years. The analysis of return periods illuminates that an extreme drought occurred from short rains in 2016 to long rains in 2017, however, precipitation and temperature, as the two main driving factors, presented less degrees of extremes. On the other hand, evaporation presented through PEV shows notable degrees of extreme.



Figure 5. Return periods and 95% confidence intervals for SPEI-8 (**a**), accumulated precipitation (**b**), average temperature (**c**), and PEV (**d**), where the blue-filled dots represent the period from OND 2016 to MAM 2017.

We knew that the occurrence and development of drought depends on the budget variations of precipitation and evaporation, whereas the evaporation is closely related with temperature. Undoubtedly, precipitation and temperature are key drivers of meteorological drought formation [56]. The SPEI is designed to take into account not only precipitation but also temperature when assessing drought conditions, so that it can indicate the crucial effects of temperatures on water budget. To better understand the impacts of meteorologic factors on SPEI, the standardized multiple linear regression analysis is performed on seasonal SPEI and anomalies of precipitation and temperature during the last 41 years. Since the standardized regression coefficients (Table 3) are expressed in units of standard deviation, they may be directly compared with each other to determine the most effective variables. As can be seen from Table 3, an increasing precipitation or decreasing temperature results in an increasing SPEI. The results of the significanc test indicate that the effect of precipitation on seasonal dry/wet condition in HOA is generally more significant than that of temperature. However, return periods analysis shows that precipitation anomalies of extremely severe drought 2016/2017 are not as extreme as temperature anomalies. Therefore, the attribution of this extreme drought event is unique and particular, which deserves further analysis.

Seasonal SPEI	Precipitation	Temperature
MAM	0.35 *	-0.29
OND	0.58 **	-0.21
* 0.05 ** 0.001		

Table 3. Standardized regression coefficients between seasonal SPEI and anomalies of precipitation and temperature in HOA during 1979–2019.

 $* \alpha = 0.05, ** \alpha = 0.001.$

3.3. Analysis of Attribution of Extreme Drought in 2016/2017

In this section, anomalies of meteorologic factors during the extreme drought in 2016/2017 are analyzed in comparison with those of historical drought events. The time series of MAM and OND precipitation anomalies based on GPCC dataset are shown in Figure 6a. The interannual rainfall in MAM shows a declining trend, while the anomalies of OND rainfall show an increasing trend. In addition, OND rainfall displays more significant interannual variability than that of long rains. Comparing with historical drought events detected by SPEI, the accumulated rainfall from October 2016 to May 2017 was the fourth lowest during the last 41 years, with the OND 2016 rainfall anomalies of -64.81 mm, the second lowest during this period, and MAM 2017 rainfall anomalies of -20.37 mm. Figure 7a shows the box-and-whisker plot of monthly precipitation in 2016–2017. The box-and-whisker plot splits datasets into quartiles based on the period of 1979–2019, which can be used to compare climate anomalies in a specific month. From January 2016 to April 2017, except for abundant precipitation higher than the 75th percentile in April 2016, precipitations were all below the 25th percentile in other months. Significantly less precipitations from OND 2016 to MAM 2017 are in favor of the occurrence of persistent drought in the HOA.



Figure 6. Seasonal temporal variation and trend analysis (solid lines) of precipitation anomalies (**a**), temperature anomalies (**b**), and PEV anomalies (**c**) during MAM (red) and OND (blue).



Figure 7. The box-and-whisker plots of precipitation (**a**) and temperature (**b**) during 2016–2017. The three horizontal lines from bottom to top in the box denotes the values of lower quartile (25th), median, and upper quartile (75th) percentiles, respectively, while the whiskers represent the values of lower bound (5th) and upper bound (95th) percentiles.

Figure 6b depicts the temperature anomalies over the last 41 years, which has increased at an unprecedented rate. The obviously increasing trends of temperature anomalies both in MAM and OND are significant at 99.9% confidence level (R = 0.67 for MAM, R = 0.72 for OND). In 2016, the temperature of the whole year was above the 95th percentile (Figure 7b). As expected, the anomalous temperature values in MAM 2016 were the second highest during 1979–2019, and temperature in OND 2016 was the highest. Although the temperature in 2017 was lower than that of 2016, it was still around 1 °C above average temperature. High temperature approaching the 95th percentile persisted the whole year. Undoubtedly, the extreme heat deteriorated this drought event. Therefore, it can be seen that the drought in 2016/2017 was not a normal drought caused by less rainfall, but a compound drought accompanied by high temperature. Simultaneous occurrence of drought and high temperature is the unique characteristic of this extreme event.

According to previous researches, temperature plays a strong role on the drought severity by increasing evapotranspiration and exacerbating drought stress [33,57]. To further discuss the contribution of extreme heat to this compound event, the potential evaporation (PEV) of ERA5 was further analyzed in the study period (Figure 6c). Unlike the PET, which is calculated by the Thornthwaite equation, the PEV is the output of the current ECMWF Integrated Forecasting System (IFS). PEV is usually considered to be the amount of evaporation, under existing atmospheric conditions, from a surface of pure water, which has the temperature of the lowest layer of the atmosphere and gives an indication of the maximum possible evaporation. In Figure 6c, seasonal PEV exhibits a declining trend. It illuminates that PEV in MAM shows a more significant declining trend than that in OND, reaching 99.9% significant level (R = 0.59). The amount of evaporation in OND 2016 is the highest ever for the same period, and the higher evaporation persists till MAM 2017, which further exacerbates this drought event. As a consequence, the devastating impact of this compound drought is much higher than that of the normal drought events due to the combined effect of less precipitation and higher evaporation caused by high temperature.

4. Discussion

Unlike the humid climate of tropical region, the HOA presents a unique semiarid feature with the lowest annual rainfall in the tropics. This region that we focused on in this study shows a notable bimodal rainfall characteristic, with the greatest precipitation during long rains (MAM) and short rains (OND). The analysis of SPEI on different timescales reveals that the drought of the HOA was getting worse from 1979 to 2019, which corresponds with previous studies [28]. Meanwhile, compared with temperature, less precipitation plays a crucial role in the formation of drought in this region. The spatial and temporal

characteristics of droughts in the HOA have been extensively studied [14,27,28]. In terms of severity, however, the drought of recent years is unprecedented.

Thus, there are two important issues worth thinking about and discussing: (1) why are droughts getting worse in this region? Primary researchers have found that decreasing long rains precipitation is closely related to increasing droughts of the HOA in recent years [58,59]. However, so far, it is difficult to explain the causes of the changes in long rains due to the insignificant correlations between the large scale oceanic and atmospheric modes and the long rains [60,61]. Either oceanic or atmospheric, no forcing factors of the precipitation in this season, had been identified in past studies [55,62]. Unlike long rains, precipitation of short rains, which dominates the interannual variability of East African rainfall [14,63], shows the strongest teleconnection with large-scale climate modes, such as El Niño-Southern Oscillation (ENSO) and Indian Ocean Dipole (IOD) [53,64–66]. Drought in short rains is closely related to La Niña and negative IOD modes, as the zonal gradient anomalies of sea surface temperature (SST) favor less OND rainfall over the HOA [14]. For instance, two severe drought events were due to below-normal rainfalls in OND of 2010 and 2016 consistent with La Niña. Therefore, ENSO can be used as a significant indicator for precipitation anomalies in short rains of the HOA, while the causes of low precipitation in long rains still need to be further studied.

Results of GEV illuminate that the return period of drought in 2016/2017 is about 1-in-250 years, which indicates that it was an extreme drought during 1979 to 2019. Historically, Africa has experienced huge droughts that were mainly driven by rare rainfall [33]. However, unlike a normal drought caused by a severe lack of rainfall, the 2016 short rains' (2017 long rains) precipitation was only 27.1% (11.8%) below normal. Therefore, we further analyzed the possible causes why the extreme drought disaster formed and intensified in the absence of extremely low rainfall. Trends analyses show that the increasing trend of temperature of the HOA is much more significant than the decreasing trend of precipitation in long rains and the increasing trend of precipitation in short rains. The temperature of the HOA goes up steadily under the background of global warming. Global record heat in 2016 above expectation shocked scientists and the World Meteorological Organization (WMO), yet global temperature in 2018 set a new record.

From 2016 to spring 2017, across most of the HOA, not only was there less precipitation but it also was struck by an unprecedented heat. Thus, the extremely severe drought of 2016/2017 is not a normal drought that is only caused by low rainfall, but can be referred to as a compound drought, involving the concurrence of multiple dependent hazards: drought and heat. In this compound drought event, below-normal precipitation and extreme heat contributed to the exceptional magnitude of the extreme drought, which were exacerbated by unusually high temperatures.

Compound extreme event usually refers to multiple dependent extremes that occur concurrently or consecutively. It involves the interaction of various physical phenomenon or the interaction leading to extreme effects. Compound extremes tend to have high impacts because their effects may not depend on an individual extreme factor, but comprehensive effects of multiple factors [6,67,68]. The concurrence of droughts and extreme heat, as a compound drought, may lead to larger impacts [69–71]. Thus, the extreme drought in 2016/2017, as a compound drought, may involve more than two variables, such as low precipitation, low soil moisture, high temperature, and high evaporation. There is a clear sense that multiple contributing factors play a crucial role in tracing compound extremes, by amplifying their potential impacts. Therefore, when analyzing the causes of the droughts getting worse in the HOA, the extreme heat caused by global warming must be taken into account.

The second issue is: What is the variation trend of drought in the HOA under the background of global warming? In the HOA, according to the long term variations of precipitation and temperature, characteristics of climate change re obvious. The adverse effects of climate warming have been the concern of the entire world. It is likely to cause environmental, social, economic, food security, and other political issues, especially in

sensitive and vulnerable areas [72]. So far, results of different modeling experiments show ambiguous conclusions about the climate of the HOA, especially the contribution of anthropogenic forcing to rainfall. A sensitivity experiment [73] found that the anthropogenic forcing could have resulted in the drought of 2011 long rains, as well as the conclusion of [74], which indicated that the long rains will be dry by the midcentury due to the anthropogenic forcing based on phase 3 of the Coupled Model Intercomparison Project (CMIP3). However, Yang et al. [59] discussed that anthropogenic forcing will contribute to more rainfall in the HOA based on model projections of CMIP5. Although the IPCC notes that climate model projections generally suggest that land will become wetter this century due to anthropogenic forcing, whether it will result in wetter or drier HOA is still a controversial issue because of the poor simulation of rainfall in the HOA [14,59].

According to IPCC-AR6, if global warming continues unabated, compound extreme events, which have been unlikely to occur in the past and until now, will become more frequent. In addition, unprecedented events with increased intensities, duration, and spatial extents will occur [1]. As the risks of compound events increase in the context of global warming, it is necessary to develop methods for their detection and attribution, assess their societal and environmental impacts and risks, and improve their predictability [75], especially in vulnerable regions like the Horn of Africa.

5. Conclusions

In this study, we focused on the extreme drought of the HOA in 2016/2017. Through analyzing and discussing characteristics of drought index, precipitation, temperature, and potential evaporation, the following conclusions are drawn:

Drought is an inescapable disaster due to the semiarid climate of the HOA, especially in Kenya, Somalia, and southeastern Ethiopia. There is little difference in temperature between months, but precipitation shows obvious bimodal distribution in the HOA. Precipitation of short rains in OND, which is less than that of long rains in MAM, shows more significant interannual variance.

Generally, the occurrence of normal drought events in the HOA depends on less rainfall. Droughts have exhibited increasing trends over the past decades, with an extreme drought event during the period of 1979–2019 occurring in 2016/2017. The drought of 2016/2017 can be referred to as a compound drought event. The concurrence of below-normal precipitation and extreme heat contributed to the exceptional magnitude of the extreme drought.

At present, it is difficult to predict the precipitation over the HOA region due to the poor simulation ability of dynamic models. Meanwhile, as the occurrences of compound events will increase in the context of global warming, it is necessary to improve the predictability of compound droughts and provide forewarning for the devastation caused by compound extreme events in the vulnerable HOA.

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