

Estimation of Water Budget Components of the Sakarya River Basin by Using the WEAP-PGM Model

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Abstract: The use of water resources has increased with rapid population growth, industrial development, and agricultural activities. Besides, the problem might increase with the potential climate change impacts on water quantity. Thus, sustainable use of water resources becomes crucial. Modeling studies provide scientific support to the analysis of water resource problems and develop strategies for current and potential problems for the sustainable management of water resources. In this study, WEAP-PGM (Water Evaluation and Planning System—Plant Growth Model) was applied to the Sakarya River Basin in Turkey, where almost 50% of the area is agricultural land. The main goals in the study are compiling/integrating available data from different sources in a data-scarce region for hydrological models, and estimating the water budget components of Sakarya River Basin on an annual basis as well as investigating the applicability of WEAP-PGM. General model performance ratings indicated that model simulations represent streamflow variations at acceptable levels. Model results revealed that, runoff is 4747 million m³, flow to groundwater is 3065 million m³ and evapotranspiration is 23,011 million m³. This model setup can be used as a baseline for calculating the crop yields under climate change in the context of water-food-energy nexus in the further studies.

Keywords: hydrological modeling; WEAP; plant growth modeling; water budget; Sakarya River Basin; data integration

1. Introduction

Water is crucial for all living things, since it is accepted as the source of life on Earth. Therefore, the conscientious use of water resources holds high importance. The use of water resources has increased with rapid population growth, industrial development, and agricultural activities. Besides, the problem might increase with the potential climate change impacts on water quantity. Thus, the sustainable use of water resources is crucial [1,2].

Modeling studies provide scientific support to the analysis of water resource problems and develop strategies for current and potential problems for the sustainable management of water resources. Water budget components, such as surface runoff, subsurface flow, base flow, groundwater flow, evaporation, and transpiration can be calculated by using hydrological models. By developing scenarios for present and future, analyses of possible climate change impacts, population growth, land use change, crop pattern water requirement, irrigation practices can be conducted [3–8]. Various hydrological modeling studies have been carried out to determine the water budgets of watersheds [9]. Hydrological models used in these studies are WEAP (Water Evaluation and Planning System) [10–18], SWAT (Soil and Water Assessment Tool) [19–30], HYPE (Hydrological Predictions for the Environment) [31–37], MIKE SHE (Système Hydrologique Européen) [38–40],

HEC-HMS (Hydrologic Engineering Center Hydrologic Modeling System) [41–44], SWIM (Soil and Water Integrated Model) [45–49], and HSPF (Hydrological Simulation Program-Fortran) [50–53].

In a modeling study, the developed model should be able to simulate the real system as much as possible. The data analysis step is of great importance for modeling. During the data gathering stage, the scale of the study area must be considered. Required data can be obtained from the related institutions at this phase. Local/global open access data sets can be used in cases where data at the associated institutions are inexistent [54–56]. In order to integrate data, to process numerical and spatial data and to analyze and to visualize the results numerical calculations, geographical information systems and spreadsheet programs are used [4–8].

Turkey is considered as a water stressed country, as the yearly available water per capita is 1519 m³ [57–60]. Therefore, priority should be given to the studies conducted for protecting, developing and providing the sustainability of natural water resources. In Turkey, sustainable development of water resources is the basis for managing these resources at a watershed scale. On the other hand, in order to accomplish Water Framework Directive objectives, integrated watershed management has been accepted as the key instrument by the European Union [61,62].

In various developing/developed countries, the WEAP model has been used widely, whereas the use of the model in the studies conducted in Turkey is limited. Cuceloglu & Ertürk [12] conducted a hydrological modeling study in Darlık Basin with the WEAP model, and calculated the water budget components of the study area. Surface flow, base flow, percolation, groundwater flow and daily evaporation of the basin were determined. A model infrastructure has been established in which the effects of population growth, land use change and global warming on the current and future water budget components can be estimated. Yilmaz & Harmancıoğlu [14] developed a watershed management model that examines the environmental, social and economic situation of Gediz Basin. In the study, researchers aimed to simulate and evaluate possible management strategies based on measured indicators using WEAP. Yilmaz [15] studied the climate change impacts on water balance of the Gediz Basin with the WEAP model with the aim of examining the supply-demand balance and the unmet demand areas with regional and global climate models. The model was operated at 30-year intervals until 2100, and the possible climate change impacts on the basin were simulated; evaluations and recommendations were made.

The Plant Growth Model (PGM) method was added to the WEAP model in 2015 and is being updated with new versions [63]. This study is one of the pioneer studies in the world and Turkey that used WEAP-PGM after its release. The model was applied to the Sakarya River Basin in Turkey, where almost 50% of the area is agricultural land. Agricultural irrigation has the highest water demand in Turkey between the sectors for annual water consumption with 74% share. As agricultural activities are of utmost importance for water resource management, it was considered that a plant-based model would better simulate the hydrological behavior of the basin. This study is expected to form the base model for investigating future climate change impacts on water resources and crop yield in the basin. There are numerous hydrological models available, but few of them have a crop growth module. In recent years, watershed models having crop growth modules, such as SWAT and EPIC (Environmental Policy Integrated Climate Model), have been used in many studies. This research aimed to investigate the applicability of the WEAP model with its recently developed module. WEAP also allows users to model human activities' impact on the hydrological cycle, such as water transfers, irrigation, dam operations, etc. easily with its user-friendly interface. Therefore, WEAP-PGM was chosen as the model for the Sakarya River Basin among other watershed models.

The main goals of this study are compiling/integrating available data from different sources in a data-scarce region for hydrological models, and estimating the water budget components of the Sakarya River Basin at annual basis by using the WEAP model. This study is one of the first attempts to investigate the applicability of the WEAP-PGM in hydrological simulations, as stated in the previous paragraph. In this paper, results obtained from the application of the model to the selected area are presented and discussed along with its model setup.

2. Materials and Methods

2.1. WEAP Model

Stockholm Environmental Institute (SEI) developed the WEAP (Water Assessment and Planning System) model, which is a user-friendly software to plan water resources' use with an integrated approach. This model can be used for modeling natural and artificial components of the system such as streamflow, base flow, groundwater potential, sectoral water demand, water allocation priorities, reservoir operations, hydroelectric power generation, financial planning, water quality, and environmental requirements. The effects of climate change on water resources can be investigated according to various climate and water allocation scenarios [10]. More details and equations can be found in the WEAP User Guide [64]. Various hydrological processes (infiltration, runoff, evapotranspiration) and water demand (environmental flow, irrigation, domestic uses etc.) can be simulated with five different approaches. The approaches used in the model are as follows:

- Rainfall Runoff
- Irrigation Demands Only versions of the Simplified Coefficient Approach
- Soil Moisture Method
- The MABIA Method
- Plant Growth Model

In the study, the plant growth model (WEAP-PGM) has been selected, since its structure is appropriate for water management studies; besides, hydrological processes in the model take into consideration varying atmospheric CO₂ level and temperature effects on plant water use and growth. Thus, the model is capable of analyzing the climate change impacts on crop growth and its water demand. The plant growth routines are based on an approach used by SWAT [65] and EPIC [66–68] model databases. Calculation of water consumption for agricultural production and demand for irrigation can be done; thus, analysis of crop pattern effects on the hydrology of catchment can be conducted. Illustration of the basic structure of the WEAP-PGM model is compiled by using the schematics provided in [63,69] (Figure 1).

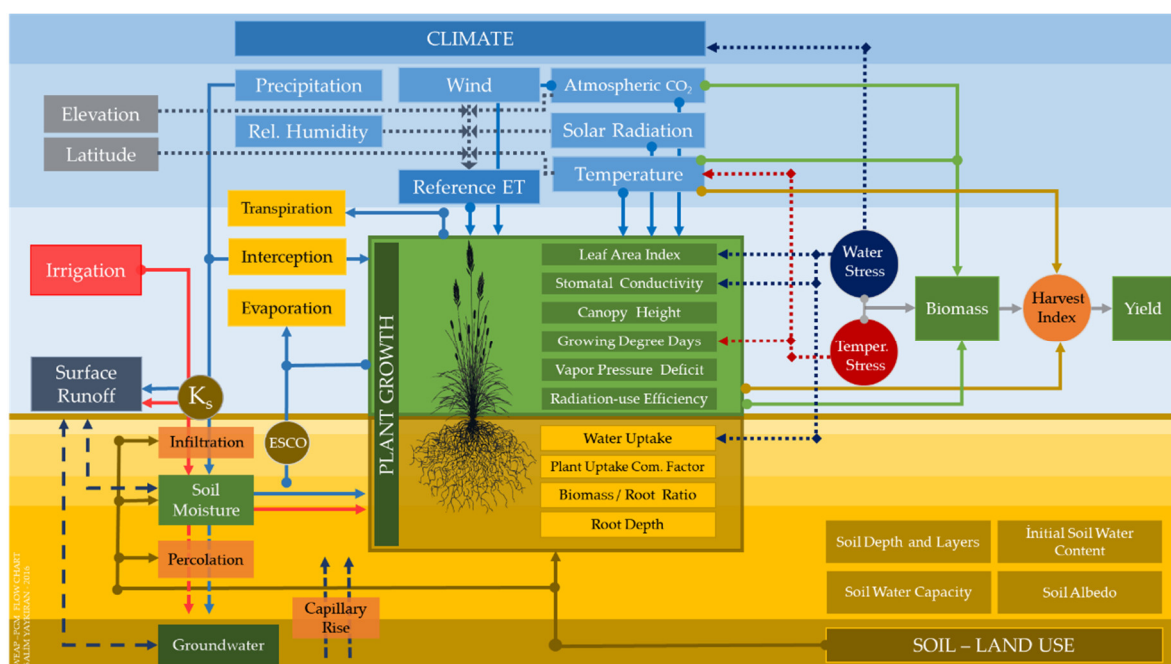


Figure 1. The structure of the conceptual WEAP Plant Growth Model.

2.2. Study Area

The Sakarya River Basin, which is located in the northwest Anatolian region of Turkey, was chosen as the study area (Figure 2). The drainage area of Sakarya River that discharges into Black Sea is 58,160 km², and it covers about 7% of Turkey. The average altitude of the basin is 965 m. Due to its location and wide coverage, various climatic characteristics are observed in the basin; however, the typical continental climate is the dominant one. The average temperature is between 3 °C and 13 °C in winter, and between 24 °C and 32 °C in the summers. Long-term average annual precipitation in the basin is approximately 480 mm, which is lower than the average of Turkey, and increases from south to north generally. The average total annual rainfall in the Sakarya River Basin was estimated as 32 billion m³. It contributes to 3.4% of Turkey's water potential with an average annual flow of 6.4 billion m³ [70–72].



Figure 2. Sakarya River Basin.

The city center of Ankara, which is the capital of Turkey, is located within the basin. The Sakarya River Basin also neighbors the water resources of mega city Istanbul, which has more than 15 million inhabitants. Due to its location, the Sakarya River Basin is of utmost importance for interbasin water transfers, economy and cultural activities, as well as transportation. The Sakarya River Basin hosts approximately one-tenth of Turkey's population, and Ankara has the largest population within the basin [70]. According to the Falkenmark water stress index, the Sakarya River Basin can be considered as a region that has water shortage, since the amount of annual available water changes between 1000–1700 m³ per capita. Water scarcity is anticipated to be experienced in the near future because of an increasing population and climate change impacts in the basin [58–60].

2.3. Data Analysis and Model Setup

Structuring a high-resolution hydrological model for the Sakarya River Basin is required for achieving the main objectives of this study. The WEAP-PGM model requires topography, land use, crop pattern, soil and climate data. In order to build a model and analyze the model results, required data for this study were obtained and compiled from national, global and local datasets (Table 1, Figure 3).

Table 1. Data type, source, and resolution used in this study.

Data Type	Source	Resolution
Topography	SRTM (Shuttle Radar Top. Mission) Digital Elevation Map [73]	30 m
Land use	CORINE 2012 Land Cover Project [74]	100 m
Soil	Turkish National Soil Database [75]	1:25,000
	ISRIC Soil Grid 1 km Project [76]	1 km
Crop pattern	TSI Crop Production Statistics Database [77]	District Level
	Turkish National Stand Type Maps [78]	1:25,000
Climate	Turkish National Climate Reports [79]	14 Stations
River discharge	Turkish National River Discharges Reports [80]	1 Station

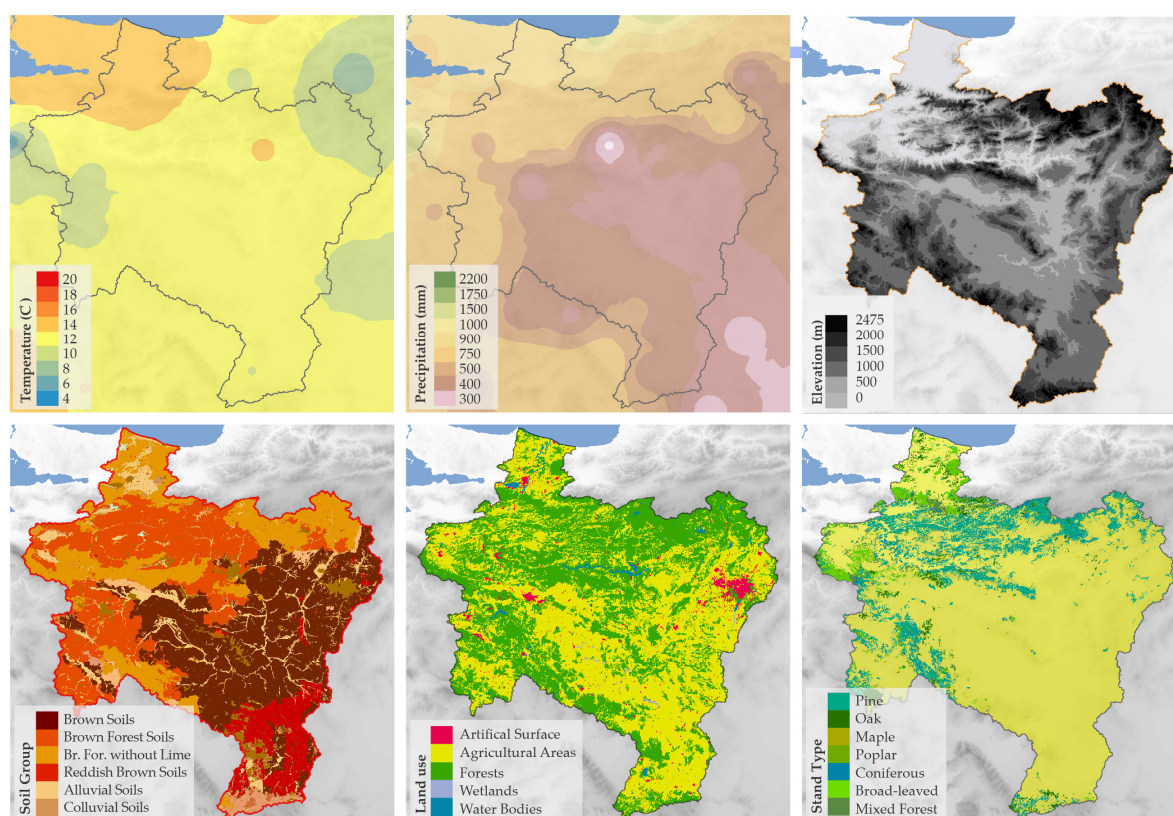


Figure 3. Maps of the study area; temperature, precipitation, elevation, soil group, land use, and stand type.

DEM (Digital elevation map) derived from SRTM (Shuttle Radar Topography Mission) with a resolution of 1 arc-second (30 m × 30 m) data were used to delineate the drainage areas with ArcSWAT [81,82] software (version 2012.10-3.18, Texas A&M AgriLife Research, College Station, TX, USA), and the basin was divided into 379 sub-basins.

Provincial-level National Soil Database with 1:25,000 scale was collected from former Ministry of Food, Agriculture and Livestock of the Republic of Turkey. ISRIC (International Soil Reference and Information Centre) soil data set produced in Soil Grid 1 km Project were obtained from its website. Total of 30 raster data were created, which include the average silt and sand percentage, bulk density

(kg/m³), soil organic carbon content, and coarse fragment (volumetric, %) properties for six layers for each Great Soil Group-Depth Combination. Raster data were overlapped with Great Soil Group-Depth Combination areas of the national database and average values were obtained for each of them. Average pixel values of ISRIC data were calculated related to each spatial area of Great Soil Group-Depth Combination elements. Then, analysis for determining the physical and hydraulic characteristics of soil were carried out for each combination group. In order to consider soil-water relations, pedotransfer functions were used [83]. In this study, National Soil Database and ISRIC soil maps were combined for generating a new soil map aiming at both increasing spatial representation and maintaining the soil classification and soil characteristics.

TSI (Turkish Statistics Institute) Crop Production Database and EPA (European Environment Agency) CORINE (Coordination of Information on the Environment) Land Cover Project (Level 3) spatial data were combined for classifying the spatial distribution of land use, crop pattern and planted land. In order to achieve this purpose, publicly available national and global database, which can be accessed through the internet, were used. At the end of this process, spatial attributes were included to TSI database. Distribution of crops in an agricultural area can be calculated with the integration of these databases. For example, it is possible to say that the agricultural land consists of 70% wheat, 10% barley and 20% corn rather than just classifying as “agricultural land”. In the study, integration of SWAT crop parameters with WEAP-PGM crop library was also done. This allowed defining the planting and harvesting dates for field crops. The flowchart of data analyses process applied in the study is given in Figure 4.



Figure 4. The flowchart of data analyses process.

In this study, an alternative approach has been proposed for Turkey for the analysis of crop pattern within hydrological studies, which can be used in different regions with site-specific datasets. According to the results of the CORINE-TSI data integration, the distribution of agricultural land in the basin for 2015 was calculated as: 76%, 22% and 3% for non-irrigated agriculture, irrigated agriculture and orchard, respectively. The highest share belongs to wheat with 32% in non-irrigated agriculture; on the other hand, the second one is barley with 14.4% share, and fallowed agricultural land has a 24.3% share. In irrigated agriculture, crops such as sunflower, beet, chickpea, etc. have a high share, as given in Figure 5.

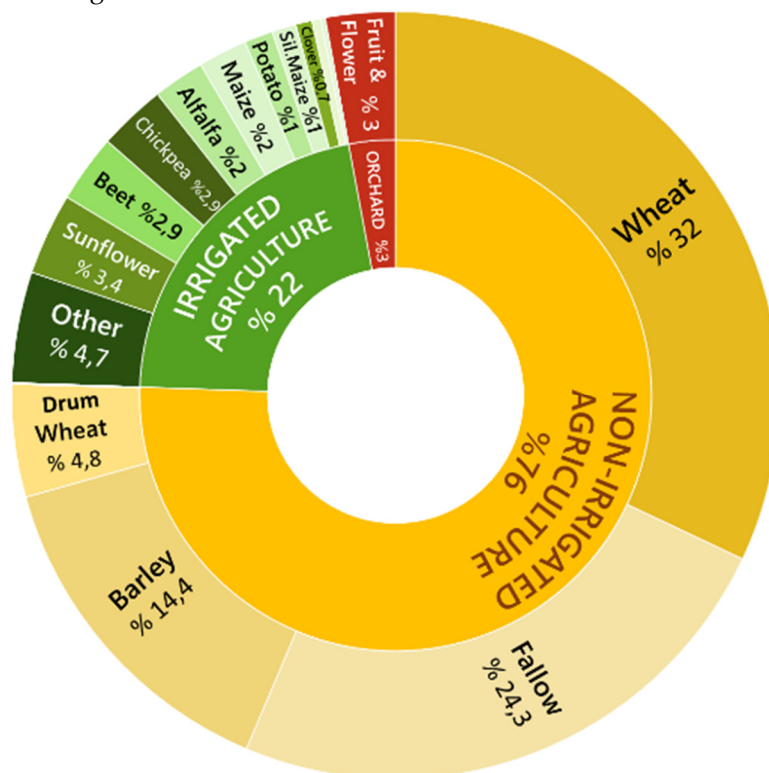


Figure 5. Agricultural land and crop pattern distribution in 2015 for the Sakarya River Basin.

However, the developed approach mentioned above was applied for the year 2015; due to the available data, it can also be applied to other years in the simulation period, and their crop pattern could be identified and compared. Stand type data was integrated with land use map (CORINE) and results were analyzed. The stand type distribution results obtained for pine, oak, maple and poplar trees covers 74.58%, 14.92%, 10.26%, 0.23% of the study area, respectively. Artificial water moves in the basin such as irrigation and hydraulic structures as well as water transfers were introduced into the model. For example, water is transferred from one province to the other within the basin boundaries depending on their water demand and water resources availability. Furthermore, irrigation data were available on an annual basis; in order to supply monthly data, the total annual consumption was distributed between the months when irrigation is conducted. The monthly variations were defined as 10% for February and July, and 20% for March, April, May and June, of the total annual consumption. It was assumed that irrigation is conducted when the soil moisture is less than 50%. Irrigation implementation can transport the water from its source to an agricultural land in a farther location, so it has an importance in the water movement.

In order to determine the Hydrological Response Units (HRUs) for the study area (Figure 6); land use, crop pattern and soil characteristics were compiled by using ArcMap, MS Excel, and MATLAB (Matrix Laboratory) programs. At the end, the number of HRUs defined for the basin was 4150. Representation of the Sakarya River Basin's WEAP-PGM modules is shown in Figure 7.

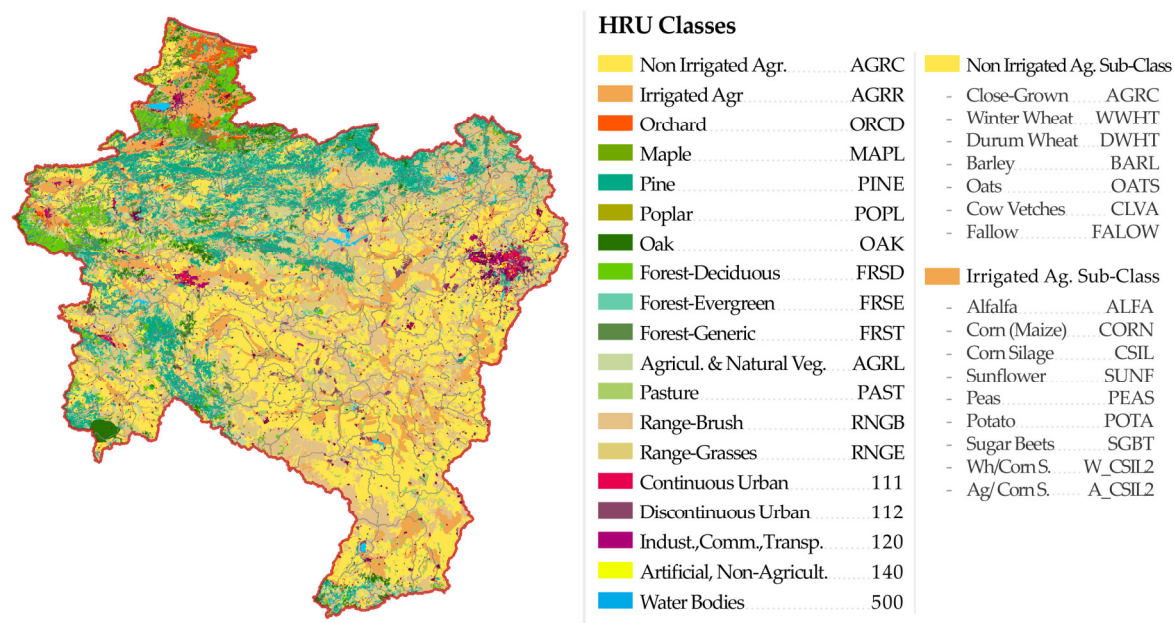
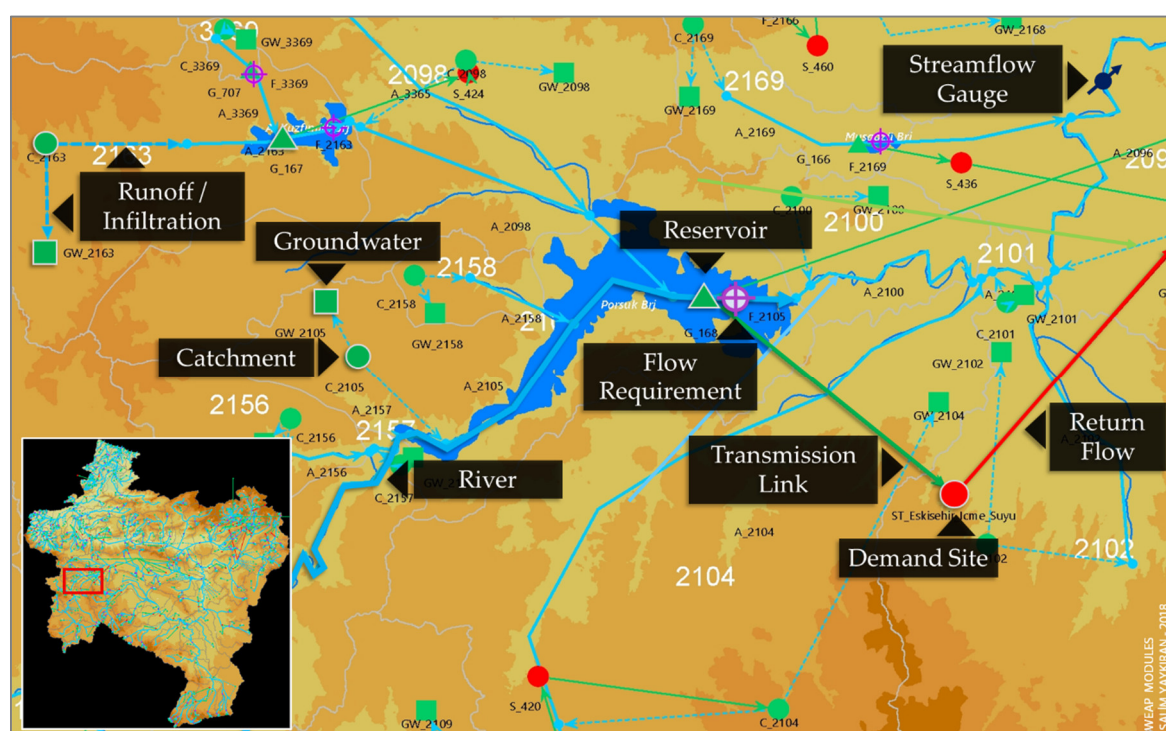


Figure 6. Hydrological Response Unit classes in the Sakarya River Basin.



R^2 defines goodness of fit of the regression line by using the ratio of the sum of squares of regression to the sum of squares of values around the average. As R^2 , becomes closer to 1, the goodness of fit increases. Generally, greater than 0.5 values are considered acceptable. Coefficient of determination was calculated as given by Equation 1, where Q_{obs} represents observed flow rates, Q_{sim} flow rate model results and \bar{Q} average flow rate values.

$$R^2 = \frac{\sum[(Q_{sim} - \bar{Q}_{sim})(Q_{obs} - \bar{Q}_{obs})]^2}{\sum(Q_{sim} - \bar{Q}_{sim})^2 \sum(Q_{obs} - \bar{Q}_{obs})^2} \quad (1)$$

NSE is commonly used for measuring the goodness of fit in hydrological modeling. It defines the relative magnitude of the residual variance (noise) compared to the observed data variance. The NSE combines the correlation of observed and simulated data, and also averages and standard deviations, which is calculated as given by Equation (2). The NSE coefficient ranges between $-\infty$ and 1.0. Values of NSE is between 0.0 and 1.0 indicates that the performance of the method is at an acceptable level. However, if it is lower than 0, it indicates that the simulated value is worse than the mean observed value, so model performance cannot be accepted [84,86].

$$NSE = 1 - \frac{\sum(Q_{obs} - Q_{sim})^2}{\sum(Q_{obs} - \bar{Q}_{obs})^2} \quad (2)$$

KGE is formulated by separating NSE into various components. It is reproduced by temporal dynamics (r) while maintaining the distribution of flows (α, β). The KGE is calculated as given in Equations (3) and (4), where r , α and β indicate the mean, the standard deviation, and the correlation between data and observation, respectively. Value of KGE can change between $-\infty$ and 1.0. If the value of KGE is between 0 and 1, it indicates that the performance of the method is at an acceptable level [87].

$$KGE = 1 - \sqrt{(r - 1)^2 + (\alpha - 1)^2 + (\beta - 1)^2} \quad (3)$$

$$\alpha = \frac{\sigma_{sim}}{\sigma_{obs}}, \quad \beta = \frac{\mu_{sim}}{\mu_{obs}} \quad (4)$$

PBIAS evaluates the average trend of simulated values to be larger or smaller than observed values, and is calculated as given by Equation 5. PBIAS can vary in different small and large ranges with negative and positive. The optimal value is 0.0, and values close to zero indicate a better model performance. PBIAS values greater than 0 indicate overestimation, whereas the ones lower than zero show that the model underestimates the results [88].

$$PBIAS = \left[\frac{\sum(Q_{sim} - Q_{obs})}{\sum Q_{obs}} \right] \times 100 \quad (5)$$

RSR standardizes root mean square error (RMSE) using the standard deviation of the observed data. The RSR is calculated as given by Equation 6. RSR efficiency ranges from 0.0 (the best fit) to large positive values. The higher RSR means higher RMSE, which indicates poor model performance [89].

$$RSR = \frac{\sqrt{\sum(Q_{obs} - Q_{sim})^2}}{\sqrt{\sum(Q_{obs} - \bar{Q}_{sim})^2}} \quad (6)$$

3. Results and Discussion

3.1. Flow Rate Results

Monthly calibration and validation results of the model simulations on an annual basis are summarized in Table 2, and the general performance ratings are given in Table 3. Satisfactory model results for a monthly streamflow were obtained for both NSE and RSR values. However, there are some poor model performances on a monthly basis, but quite good model performance was achieved for PBIAS according to Moriasi et al. [89]. In the general model performance ratings, the NSE and PBIAS values are at the acceptable level, which is compatible with the objectives of the study, as can be seen from Table 3.

Table 2. Model performance rating results on annual basis.

Benchmarking Indices	Calibration Period				Validation Period				
	2003	2004	2005	2006	2007	2008	2009	2010	2011
R ²	0.89	0.59	0.75	0.80	0.83	0.84	0.81	0.55	0.65
NSE	0.74	0.39	0.22	0.65	-0.27	-1.16	0.76	0.48	0.39
KGE	0.78	0.53	0.40	0.54	0.19	-0.11	0.68	0.63	0.67
PBIAS	21.68	-30.08	7.26	-21.8	-31.68	46.23	-9.32	-18.31	-12.58
RSR	0.51	0.78	0.88	0.59	1.13	1.47	0.49	0.72	0.78

Table 3. General model performance ratings.

Benchmarking Indices	Calibration	Validation	Simulation Period
R ²	0.57	0.56	0.55
NSE	0.55	0.54	0.53
KGE	0.73	0.59	0.72
PBIAS	-9.15	1.09	-3.60
RSR	0.67	0.70	0.69

R² values for both periods range from 0.55 to 0.89, so that model simulations represent the streamflow variations at acceptable levels. Duru et al [29] conducted a study in the Ankara River Basin, which has a drainage area of 4932 km², for predicting the stream flow and sediment yield with SWAT model between 1989–1996. According to their results, the NSE value was calculated as 0.79 on monthly basis for their simulation period. A similar study was conducted by Güngör and Göncü [22] in Lower Porsuk River Basin (5649 km²) on a monthly basis to estimate the streamflow by using the SWAT model. They calibrated the model at two gauge stations, their model performance for the NSE value were 0.74 and 0.59 for the calibration period, and 0.87 and 0.31 for the validation period, respectively. Both studies were conducted in the sub-basins of the Sakarya River Basin. Although these studies were faced with similar data problems, they achieved acceptable model performance satisfying their objectives [22,29]. These model performances were acceptable for these sub-basins, but it should be kept in mind that achieving higher model performances can be difficult for the entire Sakarya River Basin, since the impact of the data limitation is higher at a larger basin scale. So, the model performance ratings of our study satisfy the objectives, considering the available data and inadequate representation of anthropogenic activities to the model in the entire Sakarya River Basin. The model results are relatively not satisfactory for 2004–2005 and 2007–2008. One of the reasons for the poor performance of the model over these years could be the effect of water transfers from other basins and changes in the reservoir operations due to the drought that occurred in the region. The drought observed in the 2007–2008 period was more intense; since, it was observed not only in the study area but also throughout Turkey. During this extreme period in the basin, temporal changes in the water consumption rates by agricultural demand cannot be represented in the model at a satisfactory level (Figure 8).

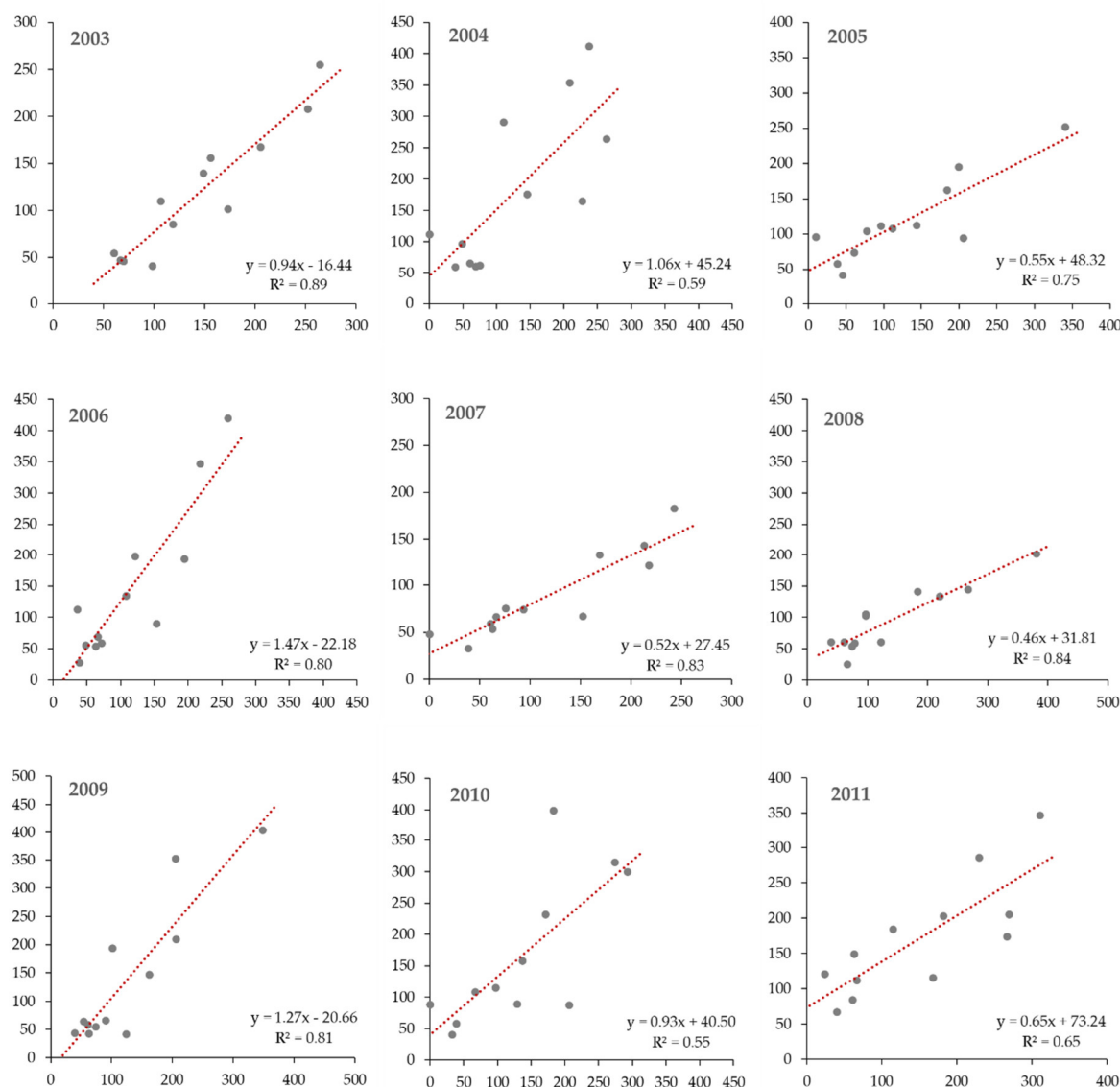


Figure 8. Regression of simulated and observed annual discharges for the basin outlet. The y-axis shows the observed data, whereas the x-axis shows model simulations. Both axes are in the unit of $\text{m}^3 \cdot \text{s}^{-1}$.

Monthly observed and simulated flowrate time series at the outlet of the Sakarya River Basin as well as the monthly precipitation rates are given in Figure 9. As discussed previously, the main focus of the study is estimating the annual water budget; thus, monthly results represent the watershed dynamics at a satisfactory level. Discrepancies in monthly results might be due to missing reservoir operations data, and lack of routing components in the model. Routing processes are quite important to simulate the hydrograph shifts in basins with high concentration times [90,91], as is the case of the Sakarya River Basin. Uncertainty of water consumption rates from wells for agricultural activities and inadequate groundwater data availability affect simulation performance of the baseflow (Figure 9). The long-term monthly average of observed and simulated flowrates, and comparison of annual observed and simulated discharges are depicted in Figure 10.

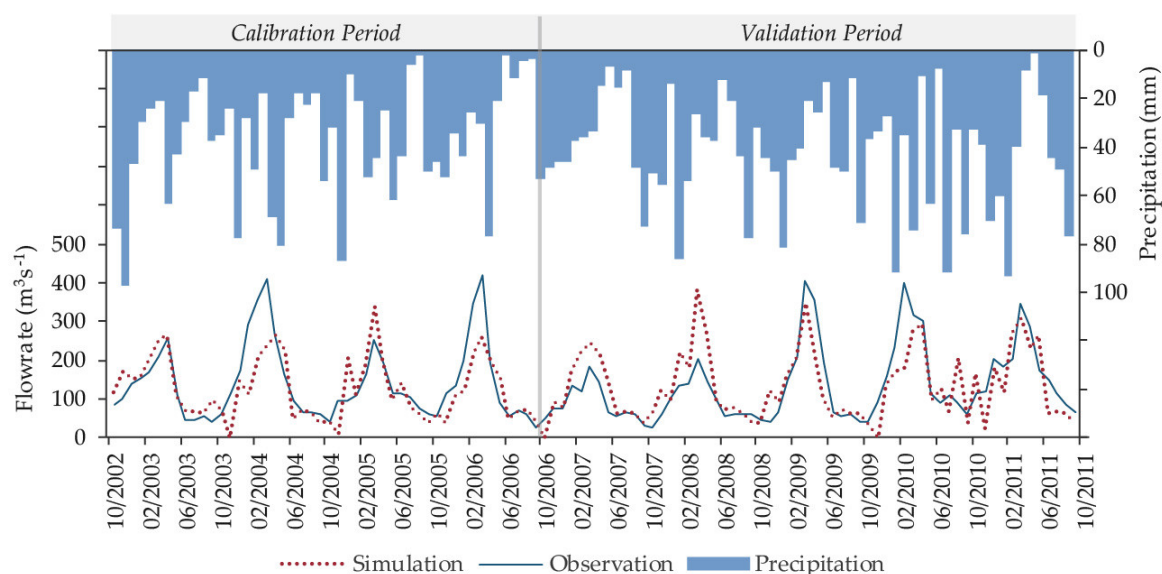


Figure 9. Monthly observed and simulated flowrate time series.

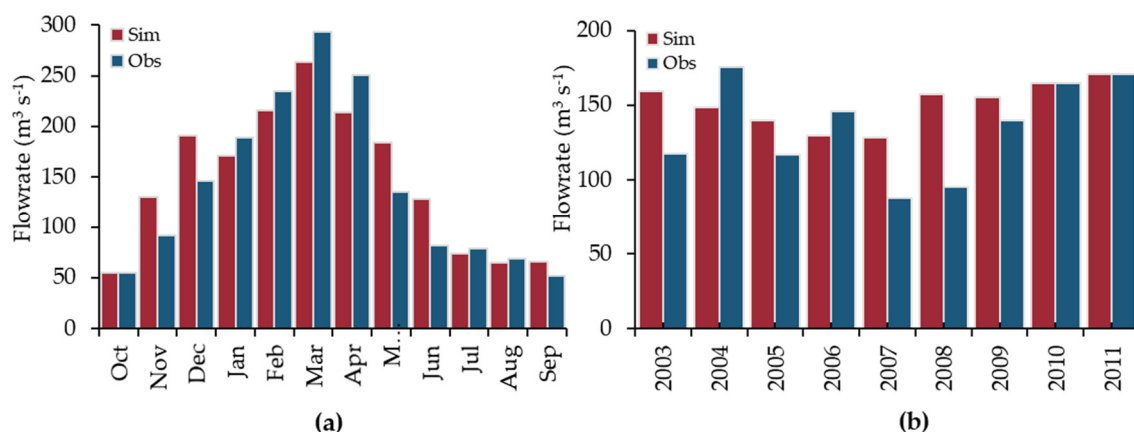


Figure 10. Comparison of: (a) Long-term monthly average of observed and simulated flowrates; (b) annual observed and simulated flowrates.

3.2. Water Budget

The principle of conservation of mass is the basis of the water budget equation, and it takes into account all flows entering and leaving the system, and the amount of stored water in the system at a certain time interval. The estimated annual water budget components of the Sakarya River Basin for the 2003–2011 period are given in Table 4, and the estimated average annual distribution of water budget components of the Sakarya River Basin is illustrated in Figure 11.

Table 4. Precipitation data and estimated annual water budget components of the Sakarya River Basin.

Water Budget (km ³)	2003	2004	2005	2006	2007	2008	2009	2010	2011	Average
Precipitation	32.3	30.9	28.3	26.6	22.4	34.4	32.4	33.5	37.2	30.9
Evaporation	10.6	11.5	10.8	9.8	7.7	12.2	12.4	13.7	10.8	11.1
Transpiration	11.3	13.3	11.5	11.1	10.6	12.1	10.7	12.3	14.7	12.0
Surface Runoff	5.0	4.7	4.4	4.1	4.0	5.0	4.9	5.2	5.4	4.7
Flow to Groundwater	4.3	2.0	2.0	1.7	0.2	4.5	3.9	2.2	6.8	3.1

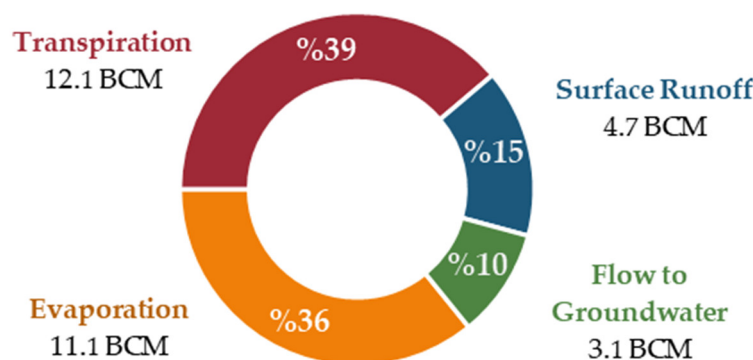


Figure 11. Estimated average water budget components of the Sakarya River Basin.

The long-term annual water budget model results were compared with Turkish State Hydraulic Works (TSHW) data for surface runoff, evapotranspiration, and flow to groundwater. These results are summarized in Table 5. The model estimated lower values for surface runoff, and evapotranspiration; on the other hand, model estimations of flow to groundwater are higher than the TSHW values. The model results estimated that, runoff is 4747 million m³, flow to groundwater is 3065 million m³ and evapotranspiration is 23,011 million m³.

Table 5. Long-term average annual water budget values.

Water Budget (mil. m ³)	Surface Runoff	Evapotranspiration	Flow to Groundwater
Observed	6400	27,187	2197
Simulated	4747	23,011	3065

4. Conclusions

In this study, the WEAP-PGM model was applied to the Sakarya River Basin in Turkey, where about almost 50% of the study area is agricultural land. The PGM method was added to the WEAP model in 2015 and is being updated with new versions, so this study is among the first studies in the world and in Turkey to use the model after its release. Compilation and integration of available data from different sources in a data-scarce region and estimation of water budget components of the Sakarya River Basin on an annual basis by using the WEAP model were achieved. Hydrological results were compared with the measurement data and model performance was evaluated by using globally recommended indices in the literature. Model performance indices were found to be within the acceptable ranges according to the literature, considering the objectives of the study. However, there is room to improve the model performance by using more detailed water management data (such as reservoir operations, water demands, etc.) in the basin. The WEAP-PGM model is capable of not only hydrological calculations, but also estimating crop yield in the agricultural activities. This model setup can be used as a baseline for calculating the crop yields under climate change in the context of water-food-energy nexus in the further studies.

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