



# Effect of Urban Stormwater Road Runoff of Different Land Use Types on an Urban River in Shenzhen, China

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Abstract: Urban storm runoff is a major source of pollutants in receiving water bodies. To assess the impact of urban stormwater runoff on an urban river, the runoff process of total suspended solids (TSS), chemical oxygen demand (COD), ammonium (NH<sub>4</sub>), and total phosphorus (TP) were investigated on road surfaces classified as arterial road (AR), residential area (RA), and industrial area (IA) in the Pingshan River (PSR) watershed in Shenzhen, China. Event mean concentration (EMC) was calculated to analyze the water quality of road runoff, and the dimensionless M(V) cumulative curves were used to estimate the course of decreasing concentration of runoff pollutants during each rainfall event. Multicriteria decision making methods (PROMETHEE-GAIA) were used to identify the linkage between runoff pollutants, land use types, and rainfall intensity. The EMCs of COD and TP in runoff exceeded the class IV level of the water quality standard for surface water (China). RA was a major potential source for NH<sub>4</sub>, COD, and TP in the river. Controlling the first flush is critical to decrease the effect of road runoff on receiving water bodies, as most runoff pollutants in AR, RA, and IA had a first flush effect during heavy rainfall. The specific management measure for runoff pollution varied with land use type. Reducing road TSS concentrations was effective for controlling runoff pollution in AR and RA because NH<sub>4</sub>, TP, and COD attached to particulate matter. In IA, the collection and reuse of stormwater in the initial rainfall period were effective for reducing the effect of soluble pollutants in runoff on receiving water bodies. This study provides new information for managing urban road stormwater runoff in different land use types.

**Keywords:** road stormwater runoff; arterial road; residential area; industrial area; first flush; PROMETHEE-GAIA; Pingshan river

# 1. Introduction

The increasing impervious surface cover (ISC) accompanying urbanization has led to change in watershed hydrology [1–3] and to the increase of stormwater runoff and reduction of evapotranspiration and infiltration [4,5], which cause large urban road runoff to flow into rivers during rainfall events [1]. Increased ISC has been shown to be closely related to the increased concentrations of urban stream-water nitrogen (N), phosphorus (P), and dissolved oxygen carbon (DOC) because most of these pollutants



can be directly transported into urban rivers with surface runoff [1–3,6,7]. Thus, surface runoff is one of the major factors influencing water quality in urban rivers [3]. The higher the ISC, the higher the pollutant export rates to rivers as a result of the increased runoff [1,8].

N, P, DOC, and heavy metals have been identified as the major pollutants in urban surface runoff [3,6,8–12]. Some studies have indicated that urban stormwater runoff pollutant loads for TSS, chemical oxygen demand (COD), N, and P usually exceeded the Class V level of the water quality standard for surface water in China (WQS, GB3838-2002) [13]. Land use type (such as residential areas (RA), arterial roads (AR), and industrial areas (IA)) and traffic intensity in the study areas are the key factors influencing the pollution degree [1,14–17]. In general, the highest TSS and heavy metal concentrations at the surface usually appear in AR because of the high traffic volume [15,18–21]. RA and IA have relatively higher N, P, and organic matter concentrations because of the various litter types in domestic and industrial wastes [6,21,22]. High-density residential areas usually produce more polluted runoff than low-density residential areas [14,23]. Moreover, the first flush effect and seasonal variation of rainfall intensity are important factors that affect the surface pollutant runoff processes [14,17]. The runoff after the first flush is often regarded as the most polluted portion of the urban stormwater runoff [13,14]. In general, the first flush effect assumes that a certain amount of pollutants (e.g., 40% to 80%) is carried within a certain volume of runoff (e.g., 20% to 30%) [14,24]. However, only some runoff events show first flush behavior, which is dependent on rain intensity, duration, the length of the dry period before rain, and the character of the catchment area [13,14,25]. Study of the first flush effect of pollutant load on receiving water bodies would help us to better understand the nutrient delivery from surface runoff and develop appropriate water management strategies in different land use areas.

Pingshan River (PSR) is a typical rain-source urban river in Shenzhen, which is the fastest growing city in the last 40 years in China [11]. With the increase of ISC in urbanization, urban stormwater runoff has exceeded agricultural source pollution and become the main source of pollutants in the PSR watershed [26]. Thus, study of urban runoff pollution in the PSR watershed plays an important role in water quality management and ecological protection of PSR. This study aimed to demonstrate the variations of pollutants in surface road runoff and the related influencing factors, based on an investigation during five rainfall events. The first flush effect was assessed based on the pollutant dynamics in areas with different land use types (RA, AR, and IA). The results can be used as a reference for more effective municipal water management and prevention of surface runoff pollution in urban areas.

## 2. Materials and Methods

#### 2.1. Study Area and Sample Collection

The PSR is a typical rain-source urban river located at Pingshan district in Shenzhen. This river has high volumes of precipitation runoff because runoff and flood peak volumes are closely linked to precipitation [4,12]. Organic pollution is the main pollution type in the PSR, and ammonium (NH<sub>4</sub>) and total phosphorus (TP) are the dominant pollutants in the river [12,27,28]. Land use type in the PSR watershed has changed rapidly with urbanization, which has led to the increase of ISC (residential and industrial land) [26,29,30]. Between 1990 and 2000, the areas sensitive to urban non-point source pollution were expanding in the PSR watershed, the average road runoff in these areas increased by 1.9%, and the loads of N and P increased from 483.2 t and 29.2 t to 498.4 t and 36.3 t per year, respectively [26]. Thus, with the development of urbanization, urban road runoff has been recognized as a major source of pollutants in the PSR watershed under the effect of various anthropogenic activities [16].

#### 2.2. Sampling and Physicochemical Analyses

Five rainfall events were investigated in April (14 April 2018 and 15 April 2018) and August (10 August 2018, 11 August 2018, and 12 August 2018) in 2018 (Table 1). According to the dominant pollutant components in the PSR, we examined the concentration of TSS, DOC, NH<sub>4</sub>, and TP in runoff. Three types of urban land use (classified as AR, RA, and IA roads) were determined as potential sources of these pollutants. The ten sampling sites from three land-use types were collected during the first rainfall to identify the effect of land use on runoff pollutants (Table 2). According the result of the UPGMA clustering, PS02, PS04, and PS08 were chosen as the representative sites for each land type, and samples from these sites were collected from five rainfall events (including the first rainfall event) to explore the effect of rainfall intensities on pollutant concentrations (Figure 1). Samples were collected manually from the concrete road surfaces close to road drain pipes. Sampling commenced from the beginning of each runoff event and stopped at the end of runoff or after 1 h had elapsed, because the flush peak can usually be observed within 1 h of the start of runoff. Sampling was conducted at approximately 2–5 min intervals before the first 40 min until the peak flow was reached and 10 min intervals after 40 min. Samples were collected in four 200 mL acid washed polyethylene bottles in each sampling site for subsequent analyses. The runoff loads of pollutants were considered to be influenced by different factors; thus, effects of the following factors were examined in our study. Antecedent dry days (ADD), PM2.5 and PM10 in air during ADD, traffic volume, population, and pedestrian volume were considered to be related to pollutant deposition, whereas rainfall type, rainfall duration, and rainfall intensity were considered to be related to pollutant diffusion. These data are presented in Tables 1 and 2 for each event.



**Figure 1.** Overview map of the Pingshan River watershed and sampling site locations. Sites PS02, PS04, and PS08 were the representative sampling sites for the arterial road, residential area, and industrial area, respectively.

Rainfall Date	14 April 2018	15 April 2018	10 August 2018	11 August 2018	12 August 2018
Rainfall types	light rain	light rain	heavy rain	heavy rain	light rain
Rainfall (mm)	6.60	7.80	15.90	21.75	8.67
Rainfall duration (h)	2.50	3.00	3.50	3.00	3.00
Rainfall intensity (mm/h)	2.60	2.60	4.54	7.25	2.89
Antecedent dry day (ADD) (day)	7	0.52	2	0.44	0.31
Particulate matter in air during ADD (PM2.5)	21	17	13	7	8
Particulate matter in air during ADD (PM10)	36	24	22	13	15

Table 1. Characteristics of the rainfall events.

Land Use Type	Sampling Sites	Road Characteristics	Runoff Coefficient	Traffic Volume (pcu/h)	Population (per/area)	Pedestrian Volume (per/day)
	PS01	concrete	0.796	160	<500	200
Artorial road (AP)	PS02	concrete	0.796	850	<100	50
Artenai Ioau (AK)	PS07	concrete	0.796	256	<500	100
	PS09	concrete	0.796	160	<100	50
	PS03	concrete	0.796	<10	2456	352
Posidoptial area (PA)	PS04	concrete	0.796	<10	1400	500
Residential alea (RA)	PS05	concrete	0.796	<10	1400	500
	PS06	concrete	0.796	<10	3418	359
Inductrial Area (IA)	PS08	concrete	0.796	<10	517	517
Industrial Area (IA)	PS10	concrete	0.796	<10	1000	318

**Table 2.** Characteristics of the sampling sites.

The samples were transported to the laboratory for analysis, and analytical methods were performed using techniques according to Standard Method [31]. The TSS contents were measured by filtration, drying at 103–105 °C and weighing. The COD was measured following the open reflux method using dichromate for oxidation. The NH<sub>4</sub> content was measured by the indophenol colorimetric method, and TP was measured by the persulfate digestion and ascorbic acid method.

#### 2.3. Sampling Data Analysis

The use of an event mean concentration (EMC) is appropriate for evaluating the effect of runoff on the receiving water body because the pollutant concentration often varies by several orders of magnitude during a runoff event [32]. EMC represents a flow weighted average concentration, computed as the total pollutant load divided by the total runoff volume, for an event of duration  $t_r$  [33]. A definition of the first flush is the initial period of stormwater runoff during the concentration of pollutants is substantially higher than those observed during the latter stages of the storm event [33]. The dimensionless normalized mass and flow volumes are taken from the urban stormwater runoff as follows, where (1) represents the EMC and (2) represents the first flush phenomenon:

$$EMC = \frac{M}{V} = \frac{\int_0^{t_r} C_t Q_t dt}{\int_0^{t_r} Q_t dt} \cong \frac{\sum C_t Q_t \Delta t}{\sum Q_t \Delta t}$$
(1)

$$MFF_n = \frac{\int_0^{t_r} C_t Q_t d_t / M}{\int_0^{t_r} Q_t d_t / V}$$
(2)

where EMC is the event mean concentration (mg/L);  $MFF_n$  is the first flush rate of runoff pollutants, which represents the first n% runoff that contains the ratio of the cumulative pollutant load ratio and cumulative runoff ratio; M is the mass of pollutant over entire event duration (g); V is the total volume of flow over entire event duration (m<sup>3</sup>); t is the time (min);  $C_t$  is the time variable concentration (mg/L);  $Q_t$  is the time variable flow (m<sup>3</sup>/min); and  $\Delta t$  is the discrete time interval (min).

The dimensionless M(V) cumulative curves of runoff pollutants were used to analyze the first flush phenomenon in rainfall events [25,33–36]:

$$MFF(X) = X^b \tag{3}$$

where *b* is the first flush coefficient, and  $MFF_n$  was calculated as the total load of pollutant transported by the first n% of runoff.

We applied Equations (2) and (3) using the functions for the nonlinear regressions shown in Figure 2 to estimate the course of decreasing concentration of pollutants in runoff during each rainfall event. A 45° line, when b = 1, indicates that pollutants are uniformly distributed throughout the runoff events. First flush occurs when b > 1, while a first flush fails to occur when b < 1. Different researchers have different criteria for the load threshold over which the first flush is considered significant. Some researchers defined the phenomenon in terms of the pollution load in the first 20%, 25%, and 30% of the event volume, which could be called 20/40, 25/50, 30/60 and 30/80, respectively [14,24,37–39].



**Figure 2.** Zone of the cumulative mass and volume curves depending on the coefficient: (**a**) strong distinctive first flush (0 < b < 0.185,  $80\% \le MFF_{30}$ ), (**b**) moderate first flush (0.185 < b < 0.862,  $35\% \le MFF_{30} \le 80\%$ ), (**c**) weak distinctive first flush (0.862 < b < 1,  $30\% \le MFF_{30} \le 35\%$ ), and (**d**) first flush fails to occur (1 < b,  $MFF_{30} \le 30\%$ ).

#### 2.4. Statistical Analyses

Initial data handling such as scatter plots of the temporal data, box plots and other descriptive statistical analyses were performed using OriginLab 9.0 software (OriginLab Corporation, Northampton, MA, USA). Hierarchical cluster analysis (HCA) was performed to identify similar groups of runoff pollutants depending on the origin and concentration. The HCA was performed on the four variables in three land use types during two rainfall patterns, using a distance cluster between 0 and 25. The data were standardized before clustering in HCA. The data were first standardized to Z core (with a mean of 0 and a standard variation of 1) and then classified with Ward's method. The distance measure was the Squared Euclidean distance. A distance criterion between two variables defined how closely they were associated within the group, and the smaller the clustering distance, the higher the similarity of the data points [9,10,40]. HCA by means of hierarchical dendrograms was performed by using SPSS 24.0 (IBA SPSS Statistics, Armonk, NY, USA) applied to the runoff data for different land use types. To describe the relationships of pollutants for each pair of samples, R software (Lucent Technologies, Murray Hill, NJ, USA) was used to perform the unweighted pair group method with arithmetic mean (UPGMA) clustering. Pearson's correlation analyses were performed to assess the relationships between variables with SPSS software.

Multivariate data analysis techniques were used to identify the linkage between runoff pollutants, land use types, and rainfall types. In this study, multicriteria decision making methods (MCDM), namely PROMETHEE-GAIA, were selected for the data analysis [20,41]. PROMETHEE-GAIA has been increasingly employed to handle multivariate data in environmental data analysis [20,42]. PROMETHEE, a non-parametric ranking analysis procedure, was used to rank the pollutant contents

of the samples at each site. GAIA is a visualization software, which displays PROMETHEE results as simple principal component analysis biplots. These methods have a high capability for ranking and pattern recognition even with a small amount of data.

#### 3. Results

## 3.1. Stormwater Urban Runoff Quality from Different Land Use Types

The EMCs obtained in this study are presented in Table 3. According to the water quality standard for surface water in China (WQS, GB3838-2002), the average value of COD (45.38, 110.43, and 61.10 mg/L) and TP (0.44, 0.56, and 45.38 mg/L) in AR, RA, and IA, respectively, exceeded the Class IV values of WQS (Table 3). The TSS content in AR (148.7 mg/L) and RA (208.9 mg/L) was about threefold that in IA (56.61 mg/L). The concentrations of COD and NH<sub>4</sub> were higher in RA than those in AR and IA.

The runoff pollutants showed clear spatial variation, which coincided with the result of the UPGMA clustering (Figure 3a). The RA samples (PS04, PS05, PS06, and PS03) comprised the first cluster, AR samples (PS01, PS02, and PS09) comprised the second cluster, and IA samples (PS08 and PS10) formed the third cluster. The HCA was performed on the four pollutants in road runoff, using a distance cluster between 0 and 25 (Figure 3b). Two clusters were identified. The first cluster contained NH<sub>4</sub> and TP, and the second cluster consisted of COD and TSS (Figure 3b). Pearson correlation analyses showed populations, pedestrian volume, and traffic volume had positive correlations with NH<sub>4</sub> and TP; however, these factors were not closely associated with COD and TSS (Table 4).



**Figure 3.** (a) UPGMA clustering of samples sites and (b) hierarchical dendrogram for four elements obtained by Ward's method.

Date		Arteria	l Road			Resident	tial Area	L		Industri	ial Area	
	TSS	COD	NH <sub>4</sub>	ТР	TSS	COD	NH <sub>4</sub>	ТР	TSS	COD	NH <sub>4</sub>	ТР
14 April 2018	302.10	71.56	1.16	0.52	300.64	81.54	1.33	0.53	35.80	155.40	0.96	0.34
15 April 2018	95.20	27.28	0.33	0.22	121.30	81.54	1.33	0.53	142.70	70.49	0.25	0.16
10 August 2018	160.54	26.31	0.34	0.54	299	159.44	1.65	1.06	40.09	24.14	1.44	0.21
11 August 2018	103.61	28.42	0.37	0.65	180.89	76.55	0.52	0.34	22.60	21.64	0.41	0.15
12 August 2018	162.92	73.35	0.10	0.26	142.69	153.08	0.30	0.36	41.85	33.83	0.21	0.10
Average values	148.70	45.38	0.46	0.44	208.90	110.43	1.03	0.56	56.61	61.10	0.65	0.19
RSD (%)	45.58	48.74	78.68	38.25	36.69	33.97	50.52	46.45	76.97	82.32	72.91	42.19
Class IV level of WQS	/	30	1.5	0.3	/	30	1.5	0.3	/	30	1.5	0.3

Table 3. EMC values of runoff pollutants in different land use types.

Note: RSD—Relative standard deviation; Class IV level of WQS—Class IV level of water quality standard for surface water in China (GB3838-2002).

Parameters	Populations (per)	Pedestrian Volume (per/day)	Traffic Volume (pcu/h)
COD	-0.067	0.239	-0.171
$NH_4$	0.771 **	0.893 **	0.809 **
TP	0.734 *	0.722 *	0.829 **
TSS	0.373	0.324	0.459

**Table 4.** Pearson correlations between runoff pollutants and populations, pedestrian volume, and trafficflow volume.

\*\* indicates p < 0.01 and \* indicates p < 0.05. Populations refers to the total population of each sampling area.

#### 3.2. First Flush Load of Urban Surface Runoff

The first flush effect of the entire quality parameters was studied by plotting the cumulative pollutant mass scatters against the cumulative runoff volume as shown in Figure 4. Most of the TSS, COD, NH<sub>4</sub>, and TP cumulative mass curves for the study areas were above the 45° line during rainfall in August, suggesting a first flush effect (Figure 4c–e); however, no first flush was recorded during rainfall in April (Figure 4a,b). There was a strong first flush phenomenon in some cases, which was identified by the fact that 80% of the pollutant mass was transported in the first 30% of the runoff volume. However, this was extremely rare and was found in only 5% of the events (Table 5). Almost all of runoff pollutants in the three land use types during rainfall in August showed a first flush phenomenon, when the first flush effect was defined by at least 20% (40%) of the pollutant mass being transported in the first 25% (40%) of the volume (Table 5). In AR, the relative strength of the first flush of pollutants decreased in the order TSS > COD > NH<sub>4</sub> > TSS (Table 5). Pearson correlation results showed that PM2.5 and PM10 were strongly associated with COD (*MFF*<sub>30</sub>) in AR and IA and were also closely associated with TP in AR and RA. ADD did not show a close correlation with *MFF*<sub>30</sub> of pollutants, except for TSS in RA (Table 6).

Table 5. Judgement of the first flush effect.

First Elush Data	Painfall Data		Arteria	ıl Road		Residential Area				Industrial Area			
rirst riush Kate	Kalifiali Date	TSS	COD	$NH_4$	ТР	TSS	COD	NH <sub>4</sub>	ТР	TSS	COD	NH <sub>4</sub>	ТР
	14 April 2018	6.68	0.74	4.44	4.58	2.25	5.91	8.70	6.86	9.13	19.08	19.46	22.10
	15 April 2018	14.00	7.71	4.67	8.54	34.58	5.91	8.67	6.85	57.20	7.87	21.89	6.88
$MFF_{20}(\%)$	10 August 2018	68.29	53.45	54.16	44.29	57.25	80.19	64.82	20.85	19.09	35.44	45.22	27.02
	11 August 2018	50.28	40.31	46.31	43.37	52.67	54.37	37.27	43.17	51.99	47.59	40.72	43.79
	12 August 2018	60.48	57.11	41.70	28.61	43.40	44.90	65.17	54.46	53.31	75.94	82.03	48.63
	14 April 2018	9.74	1.49	6.88	7.04	3.82	8.78	12.26	9.98	13.20	24.10	24.53	27.30
	15 April 2018	18.51	11.01	7.20	12.09	40.21	8.80	12.20	10.0	61.92	11.34	29.66	9.99
MFF <sub>25</sub> (%)	10 August 2018	72.66	58.58	58.68	49.10	62.30	83.51	69.17	26.04	22.95	41.01	51.20	31.80
	11 August 2018	56.25	46.10	52.30	49.10	56.67	58.78	42.72	49.03	58.23	50.72	46.92	49.79
	12 August 2018	66.21	62.80	53.90	33.75	49.53	52.91	70.32	68.33	57.63	79.00	85.68	54.11
	14 April 2018	13.24	2.64	9.84	10.00	5.89	12.12	16.24	13.56	17.83	29.16	29.66	32.44
MFF <sub>30</sub> (%)	15 April 2018	23.23	14.75	10.24	16.06	45.49	12.12	16.24	13.56	66.06	15.29	38.02	13.55
	10 August 2018	76.45	63.14	62.66	53.41	66.75	86.32	72.94	31.22	26.68	46.21	56.66	36.34
	11 August 2018	61.66	51.45	57.78	54.33	60.15	62.65	47.75	54.40	63.87	59.26	52.69	55.29
	12 August 2018	70.70	67.86	51.67	38.64	55.17	60.52	74.82	65.83	61.42	81.59	88.79	59.05

Table 6. Pearson correlations between the *MFF*<sub>30</sub> of pollutants and ADD, PM2.5, and PM10.

Parameters	Aı	rterial Ro	ad (MFI	- <sub>30</sub> )	Resi	idential A	Area (M	FF <sub>30</sub> )	Industrial Area (MFF <sub>30</sub> )			
	TSS	COD	$\mathbf{NH}_4$	ТР	TSS	COD	$\mathbf{NH}_4$	ТР	TSS	COD	$NH_4$	ТР
ADD	0.40	0.70	0.30	0.50	0.87 *	0.45	0.48	0.58	0.60	0.70	0.70	0.70
PM2.5	0.60	0.80 *	0.70	0.90 *	0.80 *	0.74 *	0.75	0.94 *	0.40	0.85 *	0.70	0.80
PM10	0.60	0.80 *	0.70	0.90 *	0.86 *	0.66	0.66	0.85 *	0.40	0.85 *	0.70	0.80

\* indicates *p* < 0.05.



**Figure 4.** Cumulative curves of pollutants during the five rainfall events of (**a**) 14 April 2018, (**b**) 15 April 2018, (**c**) 10 August 2018, (**d**) 11 August 2018, and (**e**) 12 August 2018.

## 3.3. Effect Factors on Pollutant Loads

The PROMETHEE-GAIA analysis was undertaken considering samples for all kinds of rainfall intensities and land use types. Figure 5a showed the principal component biplot obtained from the GAIA analysis. The total data variance of 74.7% explained by the GAIA biplot indicates that the majority of the information had been included in the analysis (Figure 5). TSS, COD, NH<sub>4</sub>, and TP all showed positive loading on PC1. Almost all of the heavy rain samples were located in the positive direction of PC2, and light rain samples were located in the negative direction of PC2. The RA samples were clustered in the positive direction of PC1; AR samples were scattered in the negative direction of PC1, and IA samples were scattered in the center of this graph (Figure 5a). Notably, the decision-making axis  $\pi$  vector points towards the AR and RA, which confirms the significance of these land use types in the pollutant build-up process. Table 7 showed outcomes of the PROMETHEE analysis. Fourteen out of eighteen most polluted objects were collected from the heavy rainfall events, and twenty out of twenty-seven least polluted objects from RA, and thirteen out of twenty-seven least polluted objects from IA (Table 7).



**Figure 5.** (a) GAIA analysis of the five rainfall events in the three land use types and (b) hierarchical dendrogram for two rainfall types and three land use types obtained by Ward's method.

Sample	Net	Ranking Order	Sample	Net	Ranking Order
HR-RA-30 min	0.807	1	LR-AR-15 min	-0.052	24
HR-RA-10 min	0.623	2	HR-AR-60 min	-0.062	25
HR-RA-5 min	0.444	3	LR-RA-15 min	-0.076	26
HR-RA-15 min	0.424	4	LR-AR-50 min	-0.091	27
LR-RA-5 min	0.363	5	LR-RA-60 min	-0.114	28
LR-RA-10 min	0.257	6	LR-AR-60 min	-0.162	29
LR-RA-20 min	0.254	7	LR-IA-5 min	-0.220	30
HR-AR-20 min	0.193	8	HR-IA-10 min	-0.225	31
HR-AR-5 min	0.192	9	HR-IA-5 min	-0.240	32
HR-AR-40 min	0.179	10	HR-AR-10 min	-0.376	33
HR-RA-20 min	0.167	11	HR-IA-50 min	-0.484	34
HR-IA-20 min	0.134	12	LR-IA-15 min	-0.540	35
HR-AR-15 min	0.093	13	LR-RA-50 min	-0.613	36
HR-AR-50 min	0.066	14	LR-RA-40 min	-0.636	37
HR-AR-30 min	0.059	15	HR-IA-60 min	-0.728	38
HR- IA-30 min	0.056	16	HR-IA-40 min	-0.734	39
HR- IA-15 min	0.046	17	LR-IA-10 min	-0.782	40
LR-AR-5 min	0.029	18	LR-IA-30 min	-0.782	41
LR-AR-30 min	-0.017	19	LR-IA-20 min	-0.830	42
LR-AR-40 min	-0.025	20	LR-IA-40 min	-0.837	43
LR-AR-10 min	-0.029	21	LR-IA-50 min	-0.843	44
LR-RA-30 min	-0.037	22	LR-IA-60 min	-0.881	45
LR-AR-20 min	-0.040	23			

Table 7. PROMETHEE ranking.

HR: Heavy Rain; LR: Light Rain; RA: Residential Area; AR: Arterial Road; IA: Industrial Area.

In order to thoroughly investigate the similarity among all the data points in GAIA biplot (Figure 5a), the same data set was analyzed using HCA. The resulting dendrogram using Ward linkage and Euclidean distance is shown in Figure 5b. Four clusters were identified. The first cluster featured all the data points related to TSS and COD in IA and AR, and the second cluster consisted of  $NH_4$  and TP in three land use types during two rainfall types. Moreover, TSS and COD in RA during heavy rainfall events constitute the third cluster, and TSS and COD in RA during light rainfall events constitute the fourth cluster.

Figure 6 provides box plots for the comparison of runoff pollutant concentrations for the different rainfall densities (heavy rain and light rain). The higher TSS concentrations found in RA during heavy

rain with a large fluctuation range (Figure 6a). However, the fluctuation range of COD concentrations in heavy rain was narrow, especially in AR and IA. There were more outliers of COD and  $NH_4$  in RA (Figure 6b,c). Compared with AR, the fluctuation range of  $NH_4$  concentrations in RA and IA were wide, with high mean values of pollutants in heavy rain (Figure 6c). Compared with light rain, mean values of TP concentrations during heavy rain were higher in all land use types, and there was a wide fluctuation range of TP concentrations in AR and RA (Figure 6d).



**Figure 6.** Boxplot of (**a**) TSS, (**b**) COD, (**c**) NH<sub>4</sub>, and (**d**) TP with rainfall characteristics in the three land use types.

The results of Pearson's correlation analyses among pollutants are shown in Table 6. In both AR and RA, TSS was positively associated with COD and NH<sub>4</sub> (p < 0.01). TSS was positively related with TP in RA, whereas it was negatively linked to TP in AR (p < 0.05, Figure 7). Moreover, TSS was not significantly associated with COD, NH<sub>4</sub>, or TP in IA (p > 0.05). COD was positively associated with NH<sub>4</sub> and TP in RA and IA; however, it was not strongly related with NH<sub>4</sub> in AR (Table 8).

Parameters	A	rterial Ro	ad	Res	sidential A	rea	Industrial Area			
	COD	$NH_4$	ТР	COD	$NH_4$	ТР	COD	$\rm NH_4$	ТР	
TSS	0.52 **	0.38 **	-0.25 **	0.45 **	0.71 **	0.76 **	0.16	-0.07	0.04	
COD		-0.09	-0.53 **		0.47 **	0.52 **		0.37 **	0.70 **	
NH <sub>4</sub>			0.43 **			0.87 **			0.50 **	

**Table 8.** Pearson correlation between the concentrations of DOC, NH<sub>4</sub>, TP, and TSS in runoff from the three different land use types.

\*\* indicates *p* < 0.01.





**Figure 7.** Linear correlation analyses between COD vs. TSS, NH<sub>4</sub> vs. TSS, and TP vs. TSS in the three different land use types.

### 4. Discussion

This study found that the EMCs of COD and TP in study areas were high, and exceeded the class IV values of WQS for COD and TP (GB3838-2002, Table 3). Moreover, the average event mean concentration of COD in RA (110.43 mg/L) was about threefold that of the class IV level of WQS. RA was the main source of COD in runoff, which was consistent with results of other studies [13,23]. DOC was found to be a major pollutant in urban road runoff, which could lead to the decrease of the dissolved oxygen in water bodies as a result of microbial oxidation [16]. Pollutant concentrations in road runoff varied with land use; the EMCs of TSS, COD, NH<sub>4</sub>, and TP were higher in RA than in AR and IA during rainfall events, especially during the event with a long antecedent dry weather period (Table 3). These findings agree with earlier studies that revealed that RA and IA roads were dominant sources of N and P in road runoff [5,6,15,21,43], and AR were the dominant sources of TSS [21,44,45]. Automobile catalytic converters operate less effectively during the first 1–2 min. after ignition, and thus vehicles may deposit more N and TSS on residential roads as they depart from residences than when they are later cruising on arterial roads [6]. In addition, the HCA of pollutants with low distance criterion (<5) revealed a close relationship between NH<sub>4</sub> and TP (Figure 3a), suggesting NH<sub>4</sub> and TP are from the same source(s) such as bird droppings, insect frass, litter deposits, leachate of domestic waste, fertilizers, and particulate matter from atmospheric deposition [15,21]. The EMCs of TSS in AR and RA observed in this study were higher than the EMC of TSS (100 mg/L) in urban runoff reported in the nationwide urban runoff program (NURP) of the USA [44], whereas they were lower than the EMC (300 mg/L) reported for asphalt roads [45]. Moreover, the seasonal rainfall density in Shenzhen could explain the higher TSS concentrations observed in April than in August (Table 3). High frequency and intensity of rainfall during August could thoroughly wash the road surface, which caused fewer particles to be retained on the road surface. In contrast, long antecedent dry days and light rainfall density caused more particulate matter to be retained on the road surface during April [23]. Populations, pedestrian volume, and traffic volume were the important factors influencing the concentration of NH<sub>4</sub> and TP in runoff (Table 4). In general, high-density residential areas usually

create more polluted urban runoff when compared with low-density residential areas, industrial areas, and undeveloped urban watersheds [14,23], because they have more varied and complex sources of N, P, and COD including litter deposition and domestic waste [15,21]. Urban road runoff was an important source of pollutants in PSR because most of this runoff could flow directly into the river. Compared with the other two land uses, RA is a potential source for elevated concentrations of these pollutants in PSR.

In the present study, we proposed defining the first flush by the fact that at least 80% of the pollutant mass is transported in the first 30% of the volume. This first flush, named the 30/80 first flush, also corresponds to values of *b* below 0.185; the lower the value of *b*, the stronger the first flush effect [25]. Dimensionless M(V) curves suggesting the distribution of pollutant mass vs. storm water discharge volume are used to compare pollutant discharges from different rainfall events and have been applied in many studies focusing on the first flush of pollutants [1,33,46]. In our study, COD showed a strong first flush in RA and IA, which has previously been shown to represent urban runoff characteristics in many studies [13,23]. There was a difference in the response tendencies of pollutants to rain flushes between different land uses (Figure 5), and the result showed the same order of COD >  $TSS > TP > NH_4$  in RA in Korea [23], whereas it was different to the order of TSS > COD > TN found for RA in Iran [13]. The first flush phenomenon for COD in the PSR watershed was more perceptible than that of other pollutants. The first flush is usually influenced by many factors, such as pollutant types, catchment, and rainfall-runoff characteristics [13,35]. In our study, all pollutants tended to be washed off in heavy rain, while first flush occurred weakly during light rain (Figure 4), suggesting that rainfall intensity was an important factor influencing the first flush effect [23]. The close relationship between particulate matter in air (PM2.5 and PM10) and the MFF<sub>30</sub> of pollutants (COD and TP) confirmed that the dry deposition could affect TP in runoff. Some studies also confirmed that N and P in road runoff originated mainly from atmospheric deposits [8,15,21,42,47]. Thus, the control of atmospheric deposition is a non-negligible factor in managing P loads in road runoff in this investigation area. Some studies have indicated that ADD is the crucial factor influencing the TSS, NH<sub>4</sub>, TP, and DOC concentrations in road runoff [15], whereas other studies suggested that there was no relationship between initial N and ADD in RA [6]. ADD had strong effect on  $MFF_{30}$  of TSS in RA, and a weak effect on that in AR and IA. In addition, ADD also had a weak effect on *MFF*<sub>30</sub> of COD, NH<sub>4</sub>, and TP in RA (Table 6). Daily road sweeping is one of the important reasons for the lack of effect of ADD on TSS and pollutants [15].

Rainfall pattern is a major factor affecting the wash-off process of pollutants [16,35]. In our study, AR and RA were the main areas for pollutant build-up, and COD, NH<sub>4</sub>, and TP in these areas can be easily washed-off during heavy rainfall events (Figure 5 and Table 7). Some studies have revealed that the magnitude of pollutant loading is positively and strongly correlated with the rainfall intensity [13,24,35] because pollutants could be sufficiently washed-off by high intensity rainfall. In addition, with the development of urbanization, even low density rainfall would produce road runoff and hence pollutant loading [16]. Moreover, high density of traffic volumes during light rainfall events could increase the accumulation of pollutants in road runoff [9,10,14]. Most of the pollution loads were primarily derived from road surface wash-off, as well as the accumulation of vehicular exhaust during light rainfall. There were strong linear dependences between TSS and pollutants, indicating that these pollutants attached to road particulate matter. It could be hypothesized that an appreciable proportion of pollutants such as DOC, N, and P originated from litter, atmospheric particulate deposition, and road dust [15,21], and these pollutants could be easily washed-off during heavy rainfall [23]. Thus, TSS was the dominant explanatory variable for these parameters and a suitable predictor for these pollutants in the PSR watershed. In addition, COD, NH<sub>4</sub>, and TP were primarily present in the dissolved form in IA because these parameters were not correlated with TSS (Table 8). In general, most IA mixed with RA, which caused pollutants in these areas to be more complex and various [26], and soluble pollutants could be leached easily from IA and RA litter [48]. There is no question that the urban environment is adversely affected by a variety of anthropogenic activities, which

introduce numerous pollutants with various physicochemical forms to the environment, and pose a considerable threat to the receiving rivers [16].

We suggest that controlling the first flush is a critical measure in reducing the urban stormwater pollution in the PSR watershed. Based on different land uses, multiple management actions could be used to address or prevent excessive runoff pollutants in the study area. The best management action in the AR and RA is to build the structural stormwater improvement measures such as detention basins or sediment traps, which could effective in removing these TSS. The effective management action in the IA is to collect and reuse initial stormwater, because structural stormwater improvement measures could be effective in removing TSS but not the soluble pollutants.

## 5. Conclusions

Urban surface runoff is one of the crucial factors affecting water quality in urban rivers. In this study, we examined the variations in runoff pollutants and the related influencing factors in Pingshan River (PSR) watershed, a typical area covering different land-use types in Shenzhen, China, during five rainfall events. The result showed that the concentrations of pollutants (DOC, TP, and NH<sub>4</sub>) were high in urban road runoff especially in residential area, and rainfall intensity was the important factor affecting wash-off of these pollutants. Controlling the first flush is a critical measure to reduce the effect of runoff pollution on PSR during heavy rainfall because most pollutants showed a strong first flush phenomenon in arterial road, industrial area, and residential area during high intensity rainfall events. Moreover, in the arterial road and residential area, the control of TSS is the effective measure for decreasing the effect of runoff pollutants on PSR because NH<sub>4</sub>, TP, and COD mainly attach to particulate matter. In residential area, due to pollutants mainly dissolved in stormwater, the collection and reuse of road runoff during the initial rainfall period were effective measures for reducing the effect of these soluble pollutants on PSR. This study provides a reference for the government to formulate effective management measures for pollutants in urban stormwater runoff within different land use types.

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