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# Quantitative Analysis of Membrane Fouling Mechanisms Involved in Microfiltration of Humic Acid–Protein Mixtures at Different Solution Conditions

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Abstract: A systematical quantitative understanding of different mechanisms, though of fundamental importance for better fouling control, is still unavailable for the microfiltration (MF) of humic acid (HA) and protein mixtures. Based on extended Derjaguin-Landau-Verwey-Overbeek (xDLVO) theory, the major fouling mechanisms, i.e., Lifshitz-van der Waals (LW), electrostatic (EL), and acid-base (AB) interactions, were for the first time quantitatively analyzed for model HA-bovine serum albumin (BSA) mixtures at different solution conditions. Results indicated that the pH, ionic strength, and calcium ion concentration of the solution significantly affected the physicochemical properties and the interaction energy between the polyethersulfone (PES) membrane and HA-BSA mixtures. The free energy of cohesion of the HA–BSA mixtures was minimum at pH = 3.0, ionic strength = 100 mM, and c(Ca<sup>2+</sup>) = 1.0 mM. The AB interaction energy was a key contributor to the total interaction energy when the separation distance between the membrane surface and HA-BSA mixtures was less than 3 nm, while the influence of EL interaction energy was of less importance to the total interaction energy. The attractive interaction energies of membrane-foulant and foulant-foulant increased at low pH, high ionic strength, and calcium ion concentration, thus aggravating membrane fouling, which was supported by the fouling experimental results. The obtained findings would provide valuable insights for the quantitative understanding of membrane fouling mechanisms of mixed organics during MF.

**Keywords:** microfiltration; xDLVO theory; HA–BSA mixtures; interaction energy; membrane fouling; solution conditions

# 1. Introduction

Microfiltration (MF) is increasingly applied in water treatment due to the continuously decreasing cost and progressively more efficient performance of MF membranes [1,2]. However, membrane fouling, mainly caused by natural organic matter (NOM), still remains the primary impediment for the widespread application of MF technology [3]. Humic acid (HA) was identified as the main



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component of NOM [4], and is considered to be one of the main culprits causing membrane fouling [5]. Furthermore, protein is also ubiquitous in natural water and more hydrophilic than HA. Some investigations found that hydrophilic matter could result in more serious membrane fouling than hydrophobic matter [6,7]. Thus, protein can also contribute to membrane fouling in spite of its lower content in natural water [8]. Early literature demonstrated the fouling mechanisms of individual HA or protein in low-pressure membranes, as well as the impact of solution conditions on fouling propensity [9,10]. However, natural water does not contain only one kind of foulant, but a mixture of organic foulants. Therefore, it is necessary to explore more complicated fouling mechanisms of mixed organics during MF.

The mechanisms involved in HA–protein mixture fouling were studied to certain extent. Madaeni et al. [11] found that the co-existence of bovine serum albumin (BSA) resulted in higher HA rejection and lower flux during ultrafiltration (UF), which was mainly attributed to pore blocking and cake deposition. Salehi et al. [12] studied the adsorption behavior of HA to a UF membrane in the presence of protein and proposed that intermolecular electrostatic interactions played an important role. Myat et al. [13] evaluated the importance of interactions between HA and BSA in membrane fouling and put forward that electrostatic interactions, hydrophobic interactions, and hydrogen-bonding interactions were the dominant types of interactions. These previous studies provided a sound starting point for understanding the complex fouling mechanisms of HA–BSA mixtures. However, to date, the relative contribution to membrane fouling of each individual interaction, at the quantitative level, still remains unknown. The lack of such useful information greatly hinders the development of more precise fouling control strategies.

The extended Derjaguin–Landau–Verwey–Overbeek (xDLVO) theory is widely acknowledged as the most notable approach for the quantitative analysis of major membrane fouling mechanisms [14,15]. However, its application mainly focuses on the case of single foulant, and it is rarely reported for more complicated organic mixture fouling. Recently, Lin et al. [16] utilized xDLVO theory to elucidate the relative roles of different fouling mechanisms involved in the UF of HA and fulvic acid (FA) mixtures, and demonstrated the feasibility of using xDLVO theory for predicting UF membrane fouling. Nevertheless, this study only focused on the interactions between membrane and foulants in the initial stage, neglecting those between foulants and foulants in the subsequent stage. Ding et al. [17] adopted xDLVO theory to explore the influence of varying proportions of BSA and sodium alginate (SA) mixtures on MF membrane fouling. It was reported that the physicochemical interactions between foulants and membrane and between foulant molecules are very complex, which are greatly impacted by solution conditions (i.e., ionic strength and divalent cations). However, to date, the xDLVO theory is yet to be applied for the quantitative analysis of membrane fouling mechanisms involved in MF of humic acid–protein mixtures.

In this work, the major mechanisms (i.e., Lifshitz–van der Waals (LW), electrostatic (EL), and acid–base (AB) interactions) underlying HA–protein mixture fouling was, for the first time, quantitatively analyzed in a systematical manner. The xDLVO theory was applied for both the initial membrane–foulant interactions and the subsequent foulant–foulant interactions. Furthermore, the effects of all classically investigated solution conditions (i.e., pH, ionic strength, and calcium ion concentration) on fouling mechanisms were quantitatively evaluated. The acquired results would further extend xDLVO application in elucidating organic mixture fouling, and would particularly provide valuable quantitative insight into humic acid–protein mixture fouling.

# 2. Materials and Methods

#### 2.1. Microfiltration Membrane and Model Foulants

A polyethersulfone (PES) membrane with a pore size of  $0.22 \mu m$  (Haichengshijie filtering equipments Co. Ltd., Beijing, China) was adopted in this study. Prior to use, the PES membrane was immersed in deionized (DI) water for 24 h to remove impurities or additives. Powdered humic acid

(HA, Sigma Aldrich, Saint Louis, MO, USA) was chosen as the representative of humic substances in natural organic matter. The stock solution  $(1 \text{ g} \cdot \text{L}^{-1})$  was prepared by dissolving pre-weighed amounts of powdered HA in DI water, followed by filtration through a 0.45-µm nylon membrane to remove insoluble substances. Commercially available bovine serum albumin (BSA; Roche, Mannhein, Germany) was used as the protein-like substance in natural organic matter. According to the manufacturer, BSA has a molecular weight of 68 kDa and a molecular size of 14 nm × 4 nm × 4 nm. Humic substances in NOM accounted for 50–90% in natural water [18], and the concentrations usually ranged from 2 to 10 mg $\cdot$ L<sup>-1</sup> [19]. To simulate natural water components, the applied raw concentrations of HA and BSA in the solution were set at 4:1, respectively, leading to a total concentration of 10 mg $\cdot$ L<sup>-1</sup>.

The solution ionic strength (NaCl) was amended to 10 mM and the pH was adjusted to 3.0, 4.7, 7.0, and 9.0 using small amounts of either 0.1 M HCl or NaOH. At the same time, the mixed solutions with different NaCl concentrations of 10, 20, 50, and 100 mM were used to investigate the influence of ionic strength when the solution pH was 7.0. The mixed solutions with different Ca<sup>2+</sup> concentrations of 0, 0.2, 0.5, and 1.0 mM were prepared to study the effect of divalent cations at a constant pH of 7.0 and an NaCl concentration of 10 mM. In addition, the baseline solution conditions were set as pH = 7.0, ionic strength = 10 mM, and  $c(Ca^{2+}) = 0$  mM.

## 2.2. xDLVO Theory

According to Van Oss [20], the total interfacial interaction energy for aqueous systems comprises Lifshitz–van der Waals (LW), electrostatic (EL), and acid–base (AB) interactions, which can be written as follows:

$$U_{mlf}^{TOT} = U_{mlf}^{LW} + U_{mlf}^{EL} + U_{mlf}^{AB},$$
(1)

where  $U_{mlf}^{TOT}$  is the total interaction energy between a membrane surface and a foulant immersed in water, and  $U_{mlf}^{LW}$ ,  $U_{mlf}^{EL}$ , and  $U_{mlf}^{AB}$  are the LW, EL, and AB interaction energy terms, respectively. The subscripts *m*, *l*, and *f* correspond to the membrane, bulk liquid (e.g., water in this study), and foulants (HA and BSA mixtures in this study), respectively.

#### 2.2.1. Surface Thermodynamic Parameters

In order to calculate interfacial interaction energies, the surface tension parameters ( $\gamma_s^{LW}$ ,  $\gamma_s^+$ , and  $\gamma_s^-$ ) of the membrane and HA–BSA mixtures were obtained using contact angle measurements, performing three probe liquids with well-known surface tension parameters and employing the extended Young equation, which can be given as follows [21,22]:

$$(1+\cos\theta)\gamma_{l}^{TOT} = 2\left(\sqrt{\gamma_{s}^{LW}\gamma_{l}^{LW}} + \sqrt{\gamma_{s}^{+}\gamma_{l}^{-}} + \sqrt{\gamma_{s}^{-}\gamma_{l}^{+}}\right),\tag{2}$$

where  $\theta$  is the contact angle and  $\gamma^{TOT} (= \gamma^{LW} + \gamma^{AB})$  is the total surface tension.  $\gamma^{LW}$  is the Lifshitz–van der Waals component and  $\gamma^{AB} (= 2\sqrt{\gamma^+\gamma^-})$  is the acid–base component.  $\gamma^+$  and  $\gamma^-$  are the electron-acceptor and electron-donor components, respectively. The subscripts *s* and *l* represent solid surface and liquid, respectively.

The interfacial free energy per unit area between membrane and foulant contact in aqueous solution could be determined using the surface tension parameters calculated above. It is assumed that contact occurs at the minimum equilibrium cut-off distance,  $d_0$ , which represents a value of 0.158 nm (±0.009 nm) [23]. The LW and AB free energies per unit are expressed as follows:

$$\Delta G_{d_0}^{LW} = 2 \left( \sqrt{\gamma_l^{LW}} - \sqrt{\gamma_m^{LW}} \right) \left( \sqrt{\gamma_f^{LW}} - \sqrt{\gamma_l^{LW}} \right); \tag{3}$$

$$\Delta G_{d_0}^{AB} = 2\sqrt{\gamma_l^+} \left(\sqrt{\gamma_m^-} + \sqrt{\gamma_f^-} - \sqrt{\gamma_l^-}\right) + 2\sqrt{\gamma_l^-} \left(\sqrt{\gamma_m^+} + \sqrt{\gamma_f^+} - \sqrt{\gamma_l^+}\right) - 2\left(\sqrt{\gamma_m^+\gamma_f^-} + \sqrt{\gamma_m^-\gamma_f^+}\right). \tag{4}$$

### 2.2.2. Interfacial Interaction Energy

As the separation distance (*d*) between two surfaces increases, the LW and AB interaction energy components are gradually reduced by the interaction energy following the specific attenuation form. In order to obtain the actual interaction energies, Derjaguin's technique was applied to calculate interaction energies between a spherical foulant and an infinite planar surface. The LW, AB, and EL interaction energy components can be given by [24]

$$U_{mlf}^{LW}(d) = -\frac{A_H a_f}{6d},\tag{5}$$

$$U_{mlf}^{AB}(d) = 2\pi a_f \lambda \Delta G_{d_0}^{AB} \exp\left(\frac{d_0 - d}{\lambda}\right),\tag{6}$$

$$U_{mlf}^{EL}(d) = \pi \varepsilon_r \varepsilon_0 a_f \left( 2\zeta_m \zeta_f \ln\left(\frac{1 + \exp(-\kappa d)}{1 - \exp(-\kappa d)}\right) + (\zeta_m^2 + \zeta_f^2) \ln(1 - \exp(-2\kappa d)) \right),\tag{7}$$

where  $A_H (= -12\pi d_0^2 \Delta G_{d_0}^{LW})$  is the Hamaker constant,  $a_f$  is the radius of the spherical foulant, d is the separation distance between foulant and membrane,  $\lambda$  (=0.6 nm) is the characteristic decay length of AB interaction in water,  $\varepsilon_0$  (=8.854 × 10<sup>-12</sup> C·V<sup>-1</sup>·m<sup>-1</sup>) is the dielectric permittivity in vacuum,  $\varepsilon_r$  (=78.5) is the relative permittivity of water,  $\zeta_m$  and  $\zeta_f$  are the zeta potentials of membrane and foulant, respectively, and  $\kappa$  is the inverse Debye screening length, which is determined by [25]

$$\kappa = \sqrt{\frac{e^2 \Sigma n_i z_i^2}{\varepsilon_r \varepsilon_0 k T}},\tag{8}$$

where  $e (=1.6 \times 10^{-19} \text{ C})$  is the electron charge,  $n_i$  is the number concentration of ion *i* in the bulk solution,  $z_i$  is the valence of ion *i*,  $k (=1.38 \times 10^{-23} \text{ J} \cdot \text{K}^{-1})$  is the Boltzmann's constant, and *T* is the absolute temperature. The background electrolyte concentration in this study was 0.01 M NaCl.

Likewise, interaction energies between two spherical foulant particles could also be calculated using Derjaguin's technique.

$$U_{flf}^{LW}(d) = \frac{-A_H a_1 a_2}{6d(a_1 + a_2)},\tag{9}$$

$$U_{flf}^{AB}(d) = \frac{2\pi a_1 a_2}{a_1 + a_2} \lambda \Delta G_{d_0}^{AB} \exp(\frac{d_0 - d}{\lambda}), \tag{10}$$

$$U_{flf}^{EL}(d) = \pi \varepsilon_0 \varepsilon_r \frac{a_1 a_2}{a_1 + a_2} \zeta_f^2 \ln(1 + e^{-\kappa d}), \tag{11}$$

where  $a_1$  and  $a_2$  are the radii of the interacting foulant particles.

#### 2.3. Analytical Methods

Contact angles of the PES membrane and HA–BSA mixtures at different solution conditions were measured using the sessile drop method with a goniometer (JC2000C Contact Angle Meter, Shanghai Zhongchen Experiment Equipments Co. Ltd., Shanghai, China). The three kinds of probe liquids selected for contact angle measurements were DI water, glycerol, and diiodomethane. These probe liquids were chosen on the premise that two of them must be polar (DI water and glycerol) and the other must be non-polar (diiodomethane) [23]. At least seven measurements at different locations were averaged to obtain a reliable value for each sample.

The zeta potential of the PES membrane was determined using a zeta potential analyzer (SurPASS, Anton Paar, Graz, Austria). The zeta potential of foulants was measured using a zetasizer (3000HSa, Malvern Instruments, Malvern, UK). Dynamic light scattering (DLS; BI-200SM/BI-9000, Brookhaven, Holtsville, NY, USA) was used to measure the hydraulic diameters of foulant molecules at different solution conditions in order to calculate the interfacial interaction energies. Each data value is the

average of three measurements. All the measurements in the study were performed at  $20.0 \pm 1.0$  °C. The morphology of the fouled membrane surface was observed with scanning electron microscopy (SEM; JSM-7600F, JEOL, Tokyo, Japan) under baseline solution conditions. In order to ensure the reliability of experimental results, the same side of the HA–BSA fouled membrane was chosen for SEM image analysis.

# 2.4. Fouling Experiments

The dead-end MF experiments were conducted at constant pressure mode at room temperature ( $20 \pm 1.0$  °C). The experimental system is shown in Figure S1 of the Supplementary Materials. The stirred cell had an inner diameter of 8 cm, providing an effective filtration area of 50.26 cm<sup>2</sup>, and it was equipped with a built-in rotor. The stirring speed was set at 180 rpm [26] throughout the whole filtration process to prevent concentration polarization by means of applying shear stress on the membrane surface. Before each filtration of feed solution, DI water was filtered through the PES membrane with an operating pressure of 20 kPa for about 20 min to stabilize the filtration system, and the initial flux  $J_0$  was measured. Then, the mixed solution was introduced into the stirred cell, and permeate flux was measured by a balance connected to a computer. Filtration was stopped when permeate flux leveled off (approximately 5 L) and no change happened within 30 min.

To analyze fouling behaviors in different filtration stages, the entire filtration process was separated into initial and final stages, with correspondence to adhesion stage and cohesion stage, respectively. Following the initial stage, the final stage started (i.e., where the two stages were separated) when the ratio of filtration time t to cumulative permeate volume V was proportional to V, as expressed in the cake filtration model [27]:

$$t/V = aV + b, (12)$$

where  $\alpha$  and *b* are model parameters.

Fouling potential (*K*) was adopted as a parameter indicating the severity of membrane fouling. It is defined as the reduction in relative flux caused by a unit mass of HA–BSA mixtures that is brought in contact with the membrane surface. Fouling potentials of initial and final stages were determined according to the following equation:

$$K = \frac{\Delta(J/J_0)}{C_0 \times \Delta V'},\tag{13}$$

where  $C_0$  is the concentration of feed solution.

# 3. Results and Discussion

### 3.1. Physicochemical Properties of the PES Membrane and Foulants

The average contact angles, as well as the zeta potentials of the clean membranes before usage and the fouled membranes fully covered with HA–BSA mixtures after usage, were systemically measured, and are listed in Table 1. Despite the contact angles of the PES membrane being reported in many references [28,29], the variation in PES membrane contact angle in different solution conditions remains unknown. It was found that the water contact angles ( $\theta_W$ ) of the PES membrane decreased with increasing pH, suggesting that water molecules are energetically favorable for contacting with the membrane. The reduced  $\theta_W$  probably resulted from the more intensive hydrogen bonding between water molecules and the membrane surface at higher pH. A similar trend was also observed by Meng et al. measuring the  $\theta_W$  of polyamide (PA) and polypropylene (PP) membranes at different solution pH [30]. On the contrary, the  $\theta_W$  of the PES membrane increased with increasing ionic strength and calcium ion concentration. Though the contact angles of HA or BSA alone with solution conditions were measured in previous work [30,31], no information was available regarding HA–BSA mixtures. As shown in Table 1, it is interesting to note that the  $\theta_W$  of the HA–BSA mixtures exhibited a similar trend with solution conditions to that of the PES membrane. The glycerol contact angles ( $\theta_G$ ) increased with decreasing pH or increasing ionic strength and calcium ion concentration, but not as significantly as  $\theta_W$ . In contrast, no significant trends regarding the variation in diiodomethane contact angle ( $\theta_D$ ) with solution conditions were observed regardless of membrane and foulant.

**Table 1.** Contact angles and zeta potentials of the polyethersulfone (PES) membrane and humic acid(HA)-bovine serum albumin (BSA) mixtures at different solution conditions.

Solution Conditions		PES M	embrane		HA-BSA				
	$ heta_W$ (°)	$\theta_G$ (°)	$ heta_D$ (°)	Zeta (mV)	$ heta_W$ (°)	$\theta_G$ (°)	$ heta_D$ (°)	Zeta (mV)	
pH = 3.0	$37.7\pm1.9\ ^{a}$	$44.4\pm2.1$	$40.0\pm2.5$	$-16.8\pm2.1$	$90.2\pm2.1$	$72.9\pm3.1$	$30.4\pm1.0$	$-16.5\pm2.5$	
pH = 4.7	$32.0\pm2.2$	$43.4\pm2.0$	$37.7\pm1.8$	$-21.8\pm1.8$	$84.6\pm3.0$	$72.0\pm0.9$	$41.9\pm2.9$	$-28.4\pm2.7$	
pH = 7.0	$27.5\pm1.6$	$42.8\pm1.8$	$36.9\pm1.5$	$-37.8\pm2.3$	$69.1 \pm 1.2$	$71.9\pm2.7$	$39.0\pm2.1$	$-32.2\pm1.9$	
pH = 9.0	$23.0\pm2.5$	$41.8\pm1.9$	$40.5\pm2.2$	$-51.8\pm1.5$	$62.6\pm1.5$	$70.1\pm2.7$	$35.8\pm1.6$	$-43.0\pm2.1$	
IS = 10  mM	$27.5\pm1.6$	$42.8\pm1.8$	$36.9\pm1.5$	$-37.8\pm2.3$	$69.1 \pm 1.2$	$71.9\pm2.7$	$39.0\pm2.1$	$-32.2\pm1.9$	
IS = 20 mM	$34.1\pm1.4$	$46.4\pm1.1$	$36.4\pm1.8$	$-31.3\pm1.9$	$70.4 \pm 2.1$	$72.3\pm1.3$	$39.8\pm1.6$	$-26.3\pm1.2$	
IS = 50  mM	$41.8\pm2.3$	$50.6 \pm 1.5$	$36.8\pm1.5$	$-23.1\pm1.3$	$71.9\pm2.3$	$72.8\pm2.2$	$47.9 \pm 1.5$	$-19.2\pm2.4$	
IS = 100  mM	$53.7\pm2.1$	$51.5\pm2.9$	$38.5\pm2.3$	$-13.8\pm2.7$	$76.3\pm2.0$	$73.5\pm2.1$	$50.2\pm2.3$	$-10.9\pm2.6$	
$c(Ca^{2+}) = 0 \text{ mM}$	$27.5\pm1.6$	$42.8\pm1.8$	$36.9\pm1.5$	$-37.8\pm2.3$	$69.1 \pm 1.2$	$71.9\pm2.7$	$39.0\pm2.1$	$-32.2\pm1.9$	
$c(Ca^{2+}) = 0.2 \text{ mM}$	$53.4\pm2.6$	$55.3\pm2.0$	$29.3\pm1.3$	$-34.9\pm2.4$	$76.8\pm2.8$	$72.8\pm0.7$	$36.5\pm1.0$	$-29.3\pm2.3$	
$c(Ca^{2+}) = 0.5 \text{ mM}$	$54.5\pm0.9$	$55.3\pm2.2$	$30.2\pm0.5$	$-32.4\pm1.4$	$81.1\pm2.3$	$73.8\pm1.2$	$43.0\pm0.8$	$-22.2\pm1.8$	
$c(Ca^{2+}) = 1.0 \text{ mM}$	$64.7\pm3.5$	$58.3\pm2.9$	$32.2\pm2.2$	$-30.7\pm1.2$	$85.3\pm2.1$	$74.1\pm1.9$	$40.6\pm2.7$	$-16.9\pm2.6$	

<sup>a</sup> Sample mean  $\pm$  standard deviation, number of measurements: n = 7 (contact angle); n = 3 (zeta potential). IS: ionic strength;  $\theta_W$ : water contact angle;  $\theta_G$ : glycerol contact angle;  $\theta_D$ : diiodomethane contact angle.

The variations in zeta potential of the PES membrane and HA–BSA mixtures with solution conditions were also measured, as shown in Table 1. Zeta potentials of the membrane and foulants decreased remarkably (i.e., more negatively charged) with the increase in solution pH. This can be attributed to the intensified deprotonation of –COOH groups at higher solution pH. The increase in ionic strength lowered the absolute value of zeta potentials (i.e., less negatively charged). This phenomenon may be related with the shielding effect or double-layer compression by the increase in the number of counter ions with the increasing ionic strength [32]. Zeta potentials increased with the addition of calcium ions, probably due to the preferential adsorption of divalent cations to the negatively charged membrane/foulant surface.

# 3.2. Surface Tension Parameters of the PES Membrane and Foulants

The calculated surface tension parameters and free energy of cohesion of the PES membrane and HA–BSA mixtures at different solution conditions are summarized in Table 2. Both the PES membrane and HA–BSA mixtures possessed high electron-donor components ( $\gamma^-$ ) and relatively low electron-acceptor components ( $\gamma^+$ ). This is consistent with previous studies, reporting that polymeric membranes and organic matter typically showed high electron-donor properties [33,34]. The  $\gamma^-$  values of the PES membrane and HA–BSA mixtures increased with increasing pH, while they decreased at a high ionic strength and calcium concentration. It can be noted that the trend of  $\gamma^-$  values with solution conditions was similar to that of the absolute value of zeta potentials. There seems to be a strong relationship between electron-donor components and negative surface charge. This may be ascribed to the deprotonation of surface groups, the enhancement of negative charge at high pH, and low ionic strength and calcium ion concentration. In contrast, no significant trend of  $\gamma^+$  values with solution conditions was observed. In addition, compared with LW surface tension ( $\gamma^{LW}$ ), acid–base surface tension ( $\gamma^{AB}$ ) was found to be much lower, which can be attributed to the smaller  $\gamma^+$  values.

 $\Delta G_{sls}$  represents the interaction energy per unit area when two surfaces with the same material composition come into contact with each other [35,36]. It can be used as an indicator of hydrophobic/hydrophilic properties with negative and positive values indicating hydrophobic and hydrophilic surfaces, respectively. As shown in Table 2, the positive  $\Delta G_{sls}$  of the PES membrane increased with increasing solution pH, suggesting that higher pH enhanced membrane hydrophilicity. In particular, the PES membrane changed from hydrophilic to hydrophobic when the ionic strength and

calcium ion concentration reached 100 mM and 0.2 mM, respectively. This implies that the increasing ionic strength and the presence of calcium ions would make the HA–BSA mixtures deposit/adsorb onto the membrane surface easier. It can also be seen from Table 2 that the calculated  $\Delta G_{sls}$  of the HA–BSA mixtures at pH = 3.0, ionic strength = 100 mM, and  $c(Ca^{2+}) = 1.0$  mM was minimum, indicating that the HA–BSA mixtures was more hydrophobic and thermodynamically unstable. In addition, the  $\Delta G_{sls}$  of the HA–BSA mixtures under all the conditions tested was negative, suggesting that the HA–BSA mixtures was hydrophobic. However, previous studies found that BSA was hydrophilic and HA was more hydrophobic in natural water [37]. These results probably appeared because the high proportion of hydrophobic HA played a decisive role in the mixed solution.

	PES Membrane						HA-BSA					
Solution Conditions	$\gamma^+$	$\gamma^{-}$	$\gamma^{AB}$	$\gamma^{LW}$	$\gamma^{TOT}$	$\Delta G_{sls}$	$\gamma^+$	$\gamma^{-}$	$\gamma^{AB}$	$\gamma^{LW}$	$\gamma^{TOT}$	$\Delta G_{sls}$
pH = 3.0	0.52	34.53	8.51	34.47	42.98	11.42	0.01	0.87	0.21	44.06	44.27	-89.16
pH = 4.7	0.37	39.32	7.60	35.37	42.97	18.42	0.06	3.56	0.95	38.64	39.59	-65.58
pH = 7.0	0.29	42.93	7.06	35.67	42.73	23.71	0.24	19.47	4.31	40.11	44.42	-17.16
pH = 9.0	0.37	46.18	8.27	34.27	42.54	28.21	0.45	27.31	6.98	41.66	48.64	-3.29
IS = 10  mM	0.29	42.93	7.06	35.67	42.73	23.71	0.24	19.47	4.31	40.11	44.42	-17.16
IS = 20  mM	0.19	39.38	5.54	35.86	41.40	19.20	0.20	18.06	3.82	39.71	43.53	-20.12
IS = 50  mM	0.13	34.37	4.25	35.71	39.96	11.77	0.03	17.05	1.53	35.44	36.97	-21.33
IS = 100  mM	0.45	21.79	6.28	35.06	41.34	-9.92	0.001	12.24	0.09	34.16	34.25	-34.02
$c(Ca^{2+}) = 0 \text{ mM}$	0.29	42.93	7.06	35.67	42.73	23.71	0.24	19.47	4.31	40.11	44.42	-17.16
$c(Ca^{2+}) = 0.2 \text{ mM}$	0.05	24.05	2.09	38.33	40.42	-7.45	0.10	10.41	2.04	41.32	43.36	-40.71
$c(Ca^{2+}) = 0.5 \text{ mM}$	0.07	22.86	2.52	38.04	40.56	-9.63	0.002	7.07	0.21	38.07	38.28	-52.37
$c(Ca^{2+}) = 1.0 \text{ mM}$	0.18	13.99	3.14	37.37	40.51	-28.40	0.06	3.12	0.83	39.31	40.14	-68.23

**Table 2.** Surface tension parameters ( $\gamma$ ) and interfacial free energy of cohesion,  $\Delta G_{sls}$  (mJ/m<sup>2</sup>) of the PES membrane and HA–BSA mixtures at different solution conditions. Surface tension components:  $\gamma^+$ , electron acceptor;  $\gamma^-$ , electron donor;  $\gamma^{AB}$ , acid–base;  $\gamma^{LW}$ , Lifshitz–van der Waals;  $\gamma^{TOT}$ , total.

# 3.3. Interfacial Interaction Energies of Membrane–Foulant and Foulant–Foulant Combinations

Based on the above surface tension parameters, the interfacial interaction energies of membrane–foulant and foulant–foulant combinations at different solution conditions were calculated and are shown in Table 3. According to the xDLVO theory, a positive value of interaction energy implies repulsive interaction that hinders membrane fouling, while a negative value indicates attractive interaction that aggravates membrane fouling [16,38]. The greater absolute value of interfacial interfacial interaction energy signifies a stronger repulsive/attractive interaction between two surfaces.

According to the corresponding measurements, the change in membrane adhesive features before and after usage can be determined, corresponding to the clean membrane–foulant and fouled membrane–foulant interactions, respectively. Usually, membrane fouling behavior during the initial filtration period can be reasonably expected to be determined by the clean membrane–foulant interaction. As shown in Table 3, the PES–foulant combination had a negative LW interaction energy regardless of the variation in solution conditions, indicating that the LW component accelerates membrane fouling in the initial stage. The EL interaction energy was positive in the solution conditions studied, suggesting that the EL component can prevent initial membrane fouling. However, the AB interaction energy ( $U_{mlf}^{AB}$ ) of the PES–foulant combination was negative at low pH, high ionic strength, and calcium ion concentration, indicating that the AB interaction can accelerate initial fouling. This is attributed to the more hydrophobic nature of the PES membrane at these solution conditions, which is indicated by  $\Delta G_{sls}$  shown in Table 2. Furthermore, compared with the LW and EL interaction energy plays an important role in determining both the sign and absolute value of the overall interaction energy plays an important combinates.

Calution Canditions		PES-F	oulant		Foulant–Foulant				
Solution Conditions	$U_{mlf}^{LW}(d_0)$	$U^{AB}_{mlf}(d_0)$	$U_{mlf}^{EL}(d_0)$	$U_{mlf}^{TOT}(d_0)$	$U_{flf}^{LW}(d_0)$	$U^{AB}_{flf}(d_0)$	$U_{flf}^{EL}(d_0)$	$U_{flf}^{TOT}(d_0)$	
pH = 3.0	-34.56	-765.35	12.04	-787.87	-28.49	-1136.38	1.50	-1163.37	
pH = 4.7	-28.68	-455.13	25.26	-458.55	-17.61	-848.66	4.44	-861.83	
pH = 7.0	-31.91	221.98	51.71	241.78	-20.37	-162.22	5.74	-176.85	
pH = 9.0	-30.88	470.49	93.88	533.49	-23.53	43.14	10.17	29.78	
IS = 10  mM	-31.91	221.98	51.71	241.78	-20.37	-162.22	5.74	-176.85	
IS = 20 mM	-31.62	108.90	34.41	111.69	-19.59	-206.47	3.75	-222.31	
IS = 50  mM	-24.26	-21.50	18.00	-27.76	-12.13	-251.71	1.93	-261.91	
IS = 100 mM	-21.32	-488.64	5.84	-504.12	-10.18	-436.26	0.60	-445.84	
$c(Ca^{2+}) = 0 \text{ mM}$	-31.91	221.98	51.71	241.78	-20.37	-162.22	5.74	-176.85	
$c(Ca^{2+}) = 0.2 \text{ mM}$	-66.18	-879.55	72.03	-873.70	-37.93	-803.19	4.72	-836.40	
$c(Ca^{2+}) = 0.5 \text{ mM}$	-53.92	-1186.69	45.60	-1195.01	-27.63	-1113.58	4.51	-1136.70	
$c(Ca^{2+}) = 1.0 \text{ mM}$	-56.37	-1999.22	26.05	-2029.54	-31.37	-1468.17	1.56	-1497.98	

**Table 3.** The calculated interfacial interaction energies (kT) of PES–foulant and foulant-foulant combinations at different solution conditions. LW, AB, and EL represent the Lifshitz–van der Waals, acid–base, and electrostatic contributions to energy, respectively, while m, l, and f represent the contact involving membrane, liquid, and foulant, respectively;  $d_0$  is the minimum equilibrium cut-off distance.

As the entire membrane surface is covered with HA–BSA mixtures, the following membrane fouling behavior would be controlled by foulant–foulant interaction. It can also be seen from Table 3 that the trend of absolute value with solution conditions of all foulant–foulant interaction energies was similar as that of membrane–foulant energies. The LW interaction energy was negative, suggesting that LW interaction can boost the attachment of the approaching HA–BSA mixtures to the deposited mixtures. The EL interaction energy was positive, and thus, resisted the HA–BSA mixtures. The AB interaction energy, because of its much larger absolute value, also plays a critical role in determining the value of total interaction energy between foulants and foulants.

To further elucidate the role of different mechanisms in membrane fouling, the variation in interaction energy components with separation distance under baseline solution conditions is shown in Figure 1. It can be found from Figure 1a that the HA–BSA mixtures was subject to repulsive interactions (AB component) with a decrease in the distance between membrane and foulants (d < 3 nm). When the foulants approached the membrane surface, the total interaction energy became attractive, resulting in the adsorption of foulants. In addition, Figure 1b depicts that the AB and LW interaction energies between foulants and foulants exhibited entirely attractive interactions. The effect of EL interaction energy was of less importance to the total interaction energy, which agrees with certain studies [15,39]. These results clearly showed that the AB interaction energy was a main contributor to the total interaction energy when the separation distance between the membrane surface and HA–BSA mixtures was less than 3 nm.

Variations in total interaction energy were significantly influenced by different solution conditions. Figure 2 displays the variation in interaction energies of membrane–foulant and foulant–foulant with separation distance at different solution conditions. It can be found from Figure 2a that the energy barrier decreased with the decrease in pH from 9.0 to 7.0, and then disappeared with the further decrease in pH to 4.7 and 3.0. The energy barrier means that the foulants should have sufficient kinetic energy to overcome the barrier to arrive at the membrane surface [40]. Under alkaline conditions, the interaction energy between membrane and foulants exhibited entirely repulsive interactions because of the deprotonation of the HA–BSA mixtures, resulting in the increase of the energy barrier and reducing the adsorption of foulants. In addition, Hoek et al. [41] and Chen et al. [28] reported that surface morphology significantly influenced the fouling behavior of the membrane, and found that the great influence of roughness on the membrane surface was to reduce the primary energy barrier's height, thus rendering rough surfaces more favorable for foulant deposition. As seen from Figure 2b, the interaction energy of foulant–foulant exhibited similar variation with solution pH as that of membrane–foulant. Figure 2c–f show that ionic strength and calcium ion concentration energy increased

substantially with the increases in ionic strength and calcium ion concentration. When the ionic strength and calcium ion concentration were 50 mM and 0.2 mM, respectively, the interaction energies were entirely attractive. The reduction in repulsive interaction can be ascribed to the charge neutralization effect with the addition of electrolytes. Thus, it can be concluded that the HA–BSA mixtures was subject to greater attractive interactions with the PES membrane at low pH, and high ionic strength and calcium ion concentration.



**Figure 1.** Variation in interaction energy components with separation distance under baseline solution conditions (pH = 7.0, ionic strength (IS) = 10 mM,  $c(Ca^{2+}) = 0$  mM): (a) membrane–foulant; (b) foulant–foulant.





**Figure 2.** Variations in interaction energies of membrane–foulant and foulant–foulant with separation distance at different solution conditions: (**a**,**b**) pH; (**c**,**d**) IS; (**e**,**f**)  $Ca^{2+}$  concentration.

According to the above trends of total interaction energies with solution conditions, some qualitative predictions about MF membrane fouling caused by HA–BSA mixtures can be yielded. The membrane fouling potential of HA–BSA mixtures would follow the order of pH = 3.0 > pH = 4.7 > pH = 7.0 > pH = 9.0; IS = 100 mM > IS = 50 mM > IS = 20 mM > IS = 10 mM; and  $c(Ca^{2+}) = 1.0$  mM >  $c(Ca^{2+}) = 0.5$  mM >  $c(Ca^{2+}) = 0.2$  mM >  $c(Ca^{2+}) = 0$  mM.

# 3.4. Experimental Verification

In order to verify the above theoretical predictions, the normalized flux reduction curves of the HA–BSA mixtures at different solution conditions are depicted in Figure 3. It can be observed that great flux decline was found at the beginning of filtration, and then, the speed of flux decline gradually decreased. This observation is consistent with previous reports on the behavior of membrane fouling during different filtration periods [42,43]. It can be seen from Figure 3a that when filtrating the HA–BSA mixtures at different solution pH, fouling propensity was in the order of pH = 3.0 > pH = 4.7 > pH = 7.0 > pH = 9.0. Moreover, the SEM image also shows that the HA–BSA-fouled membrane with baseline solution conditions displayed a homogeneous porous surface structure (Figure S2a). The porosity of the membrane surface decreased significantly when the solution pH decreased to 3.0 (Figure S2b). This may be attributed to the greater attractive interactions enhancing the HA–BSA mixture's attachment at low pH.

Based on the xDLVO predictions, filtrating HA–BSA mixtures with an increase in ionic strength was expected to have greater fouling propensity. In fact, this fouling trend can be reflected in Figure 3b. When the ionic strength increased to 100 mM, the surface porosity of the PES membrane also decreased (Figure S2c). As expected (Figure 3c), the flux decline rate of the PES membrane was faster with the increase in calcium ion concentration. Following the addition of calcium ions, it would not only neutralize the negative charge on the membrane and foulant surface, but it would bridge between membrane surface and foulant molecules, resulting in the formation of a cross-linked chelate in the fouling layer [44,45]. A further addition of calcium ions can be evidenced by the fact that there were no pores on the membrane surface, and the deposited layer structure seemed to be much more compact (Figure S2d). The corresponding fouling experimental results were consistent with the above theoretical predictions.



**Figure 3.** Normalized flux reduction curves of the humic acid (HA)–bovine serum albumin (BSA) mixtures during microfiltration (MF) at different solution conditions: (**a**) pH; (**b**) IS; (**c**)  $Ca^{2+}$  concentration.

Figure 4 presents the correlation analysis between interaction energies and fouling potentials at initial and final stages with different solution conditions. An obvious negative linear relationship was observed between fouling potential and interaction energy under various solution conditions for different filtration stages. The same correlations between fouling behaviors and adhesive and cohesive interaction energies were observed in previous studies [46,47]. It can be seen from Figure 4 that the attractive interaction energy in the initial and final stages increased with decreasing pH or increasing ionic strength and calcium ion concentration; thus membrane fouling was aggravated. These results would further extend the xDLVO application in elucidating the mechanisms of MF membrane fouling by HA–BSA mixtures at different solution conditions.



**Figure 4.** The correlation analysis between interaction energies and fouling potentials at initial and final stages with different solution conditions: (**a**,**b**) pH; (**c**,**d**) IS; (**e**,**f**)  $Ca^{2+}$  concentration.

# 4. Conclusions

The xDLVO theory was used to quantitatively analyze the MF membrane fouling mechanisms of HA–protein mixtures at different solution conditions. Measured physicochemical properties revealed that the contact angles, as well as the zeta potentials of membrane and foulants, varied with solution conditions, which was due to the deprotonation of functional groups and the electrostatic shielding effect. Both the PES membrane and HA–BSA mixtures exhibited high electron-donor components ( $\gamma^-$ ) and relatively low electron-acceptor components ( $\gamma^+$ ), and the minimum free energy of cohesion of the HA–BSA mixtures was exhibited at pH = 3.0, ionic strength = 100 mM, and c(Ca<sup>2+</sup>) = 1.0 mM, indicating the mixed solution was unstable and hydrophobic. The calculated interaction parameters showed that the AB interaction energy played an important role in the total interaction energy when the separation distance was less than 3 nm, while the contribution of EL interaction energy to the total

interaction energy was of less importance. The attractive interaction energies of membrane–foulant and foulant–foulant were substantially increased with decreasing pH or increasing ionic strength and calcium ion concentration, thus aggravating membrane fouling. Fouling experiments showed that MF membrane fouling by the HA–BSA mixtures was more serious at low pH, and high ionic strength and calcium ion concentration, which was consistent with theoretical predictions. In addition, a strong negative linear relationship between fouling potential and corresponding interaction energy in both stages was observed. This study would provide valuable quantitative information for a more detailed understanding of membrane fouling mechanisms involved in the MF of humic acid–protein mixtures.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2073-4441/10/10/1306/s1. Figure S1: Schematic diagram of experimental system for constant pressure dead-end microfiltration; Figure S2: SEM characterization results at different solution conditions: (a) pH = 7.0, IS = 10 mM, c ( $Ca^{2+}$ ) = 0 mM; (b) pH = 3.0, IS = 10 mM, c ( $Ca^{2+}$ ) = 0 mM; (c) pH = 7.0, IS = 100 mM; (d) pH = 7.0, IS = 10 mM, c ( $Ca^{2+}$ ) = 0 mM; (d) pH = 7.0, IS = 10 mM, c ( $Ca^{2+}$ ) = 0 mM; (d) pH = 7.0, IS = 10 mM, c ( $Ca^{2+}$ ) = 1.0 mM.

**Author Contributions:** The experimental work was conducted by S.L., C.S., N.Z., and X.L. The manuscript was written by C.S. and S.L. Data analysis was performed by C.S., N.Z., F.L., G.K., L.S., X.L., and S.L. All authors approved the final version of the article, including the authorship list.

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