



Article A Numerically Sensitive Study of Two Continuous Heavy-Pollution Episodes in the Southern Sichuan Basin of China

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Abstract: To explore the causes of pollution formation and changes in the complex topography of the Sichuan Basin, China, and improve the comprehensive simulation capability of pollution models, we use two online coupling models, WRF/Chem and WRF/CUACE, to simulate two heavy pollution episode that successively occurred in the southern part of Sichuan Province from 15 December 2016 to 11 January 2017 in this paper. Additionally, two sets of meteorological physics parameterization schemes MET1 and MET2 are designed, and four groups of experiments are carried out. The results suggest that the two models are good at simulating the static weather parameters such as temperature, low speed of winds and boundary layer height. The four groups of tests can accurately simulate the beginning, maintenance and turning point of the two pollution episodes' life cycles. CUACE shows better performance in terms of higher correlation coefficients and lower errors in most of the particles and particulate components evaluations. It also performs better in the competitive mechanism of sulfate and nitrate against ammonium in the thermodynamic equilibrium mechanism. In addition, the evaluation of PM_{2.5} and the component simulation show that CUACE is more capable of simulating the mechanisms of heavy pollutions in southern Sichuan. Meanwhile, MET2 scheme is more appropriate for the simulation than MET1 dose. Based on the simulated concentrations of components and their precursors, the models overestimate the conversion of NO2 to nitrate but underestimate the conversion of SO_2 to sulfate, which is the essential cause of the general overestimation of nitrate. Therefore, reducing the overestimation of nitrate is one major target for future model improvement.

Keywords: pollution episode; aerosol; Southern Sichuan Basin; CUACE; WRF/Chem

1. Introduction

The Sichuan Basin, surrounded by high mountains, lies at the east edge of the Tibetan Plateau. With complex terrain, the thermodynamic and dynamic condition of atmosphere in the basin is very special and easy for static weather conditions characterized by weak wind speed and high relative humidity, to form. Such weather conditions are not conducive to the diffusion of pollutants [1], often causing serious pollution problems [2,3]. Affected by terrain factors, the vertical distribution and diffusion mechanisms of pollutants are more complex, and the observation is more difficult [4,5]. Thus, the Sichuan Basin has become one of the four major polluted regions in China [6]. Serious pollution problems, especially PM_{2.5} and O₃, have an impact on people's health [7]. The area is close to Tibet. The nature of the air pollution and how it is happened are very important for air pollution control and also for the interactions between the air pollution and the weather/climate in the



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). region. The city cluster of southern Sichuan is located in the southern part of the Sichuan Basin, consisting of five prefecture-level cities, i.e., Zigong, Neijiang, Luzhou, Leshan, and Yibin. The visibility in this region has become very poor, close to or below 10 km all year round. Statistical analysis showed that the pollution in this area is mainly caused by high concentrations of PM_{2.5} or ozone [8–10]. The airflow enters the basin from the north and the east, and the pollutants spread to the southwest and then to the south along with the airflow, which tends to cause heavy pollution [11–13]. However, all the above findings were mainly based on statistical studies, and there are few in-depth studies on the interaction mechanism between heavy pollution and weather in southern Sichuan.

Numerical simulations can show good temporal and spatial continuity and pin down mechanizes behind them with variable physical and chemical schemes selected and evaluations [14]. The contributions of varying emission sources to PM_{2.5} in Dalian, Liaoning Province, in 2016 and the Beijing–Tianjin–Hebei region in 2018 were studied [15,16]. In terms of aerosol composition, simulation results revealed that nitrate is the main component of aerosols in the winter air pollution in Dalian [17]. In the Yangtze River Delta, several studies have used WRF-Chem to analyze the changes in ozone during the pollution process [18,19]. Fan et al. perform a numerical simulation analysis for three air pollution episodes in the Pearl River Delta (PRD) region during March 2012 using the third-generation air quality modeling system Models-3/CMAQ [20]. Chen et al. focused on the simulation of organic nitrates through a box model coupled with RACM2 (Regional Atmospheric Chemistry Mechanism, version 2) [21]. Wang et al. used WRF-Chem to investigate the sulfate formation mechanism [22]. The chemical schemes used in these studies were different [23], and few studies have been conducted on the changes of pollution and the effect of pollution on weather and climate in the heavily polluted Sichuan Basin, especially in southern Sichuan.

In this paper, we will use the WRF/Chem&CUACE to study two long-lasting pollution episodes in the southern Sichuan Basin from 15 December 2016 to 11 January 2017. Additionally, to pin down the mechanics of the heavy pollution in southern Sichuan Basin, the simulation capacity of the new comprehensive WRF/Chem&CUACE will be also investigated for its further improvement.

2. Experiments

2.1. Model Description

CMA Unified Atmospheric Chemistry Environment (CUACE) is an online chemical weather numerical prediction system developed by China Meteorological Administration (CMA) [24–26]. CUACE has been online coupled into version 3 of the Weather Research and Forecasting (WRF) community model that simulates trace gases and particulates simultaneously with meteorological fields [27], to build WRF/CUACE [28]. CUACE can simulate seven components of dust, black carbon (BC), organic carbon (OC), sulfate, nitrate, ammonium and sea salt. The aerosol microphysical processes include nucleation, hygroscopic growth, coagulation, condensation, and dry/wet deposition. The equilibrium scheme ISORROPIA (a new thermodynamic equilibrium model for multiphase multicomponent inorganic aerosols) [29,30] is applied to calculate nitrate and ammonium. The particle drydeposition scheme developed by Petroff et al. [31] was introduced in the coupling model WRF-CUACE, and the distribution of the vegetation leaf area index (LAI) was improved by using MODIS data [32]. In CUACE, the heterogeneous chemical processes of SO₂, NO₂ and O_3 on the surfaces of aerosols are taken into account, and the simulation effect of gases and secondary aerosols such as sulfate and nitrate is improved [33]. The aerosol mass spectrum is divided into 12 bins: 0.01–0.02, 0.02–0.04, 0.04–0.08, 0.08–0.16, 0.16–0.32, 0.32–0.64, 0.64–1.28, 1.28–2.56, 2.56–5.12, 5.12–10.24 and 10.24–20.48 μm [32]. CUACE's sectional aerosol modules are fully online coupled into the WRF/Chem model in V3.8.1 [27] and are linked to the gas-phase chemical and thermodynamic equilibrium schemes, including ISORROPIA in WRF/Chem, to calculate the generation rate of secondary aerosols and the unstable aerosol components of nitrate and ammonium. Both models handle pollutant transport through the positive-definite advection scheme and turbulent diffusion model of WRF model.

2.2. Model Configuration and Experimental Design

The simulation area is set to be triple nesting (Figure 1), which has three domains and just covers the southern Sichuan region. Domain 1 has the number of 120×108 grids with the grid length of 54 km. Domain 2 has 112×97 grids with grid distance being 18 km. In domain 3, meanwhile, the grid number is 124×112 , the grid distance is 6 km and the latitude and longitude of the center are (29.74° N, 105.28° E). There are 32 layers in the vertical direction to the top of 50 hPa, and the integration time step is 300 s.





The simulation period is from 15 December 2016 to 11 January 2017, during which two persistent heavy-pollution events occurred in southern Sichuan. The first event broke out on 15 December 2016, peaked on the 21st and ended on the 26th, while the second started on 27 December 2016, reached its peak on 4 January 2017 and died out on 11 January 2017. During the two pollution processes, the growth, maintenance and reduction of pollutants were very significant, and the PM_{2.5} concentration was as high as 338 µg m⁻³ (Chengdu). The concentration of pollutants decreased due to rainfall but then increased again due to temperature inversion.

In order to avoid the interference of the initial accumulation of pollutant concentration, there is a one-week spin-up period in the simulation.

MET1 and MET2 (Table 1) are two sets of meteorological schemes set to study the sensitivity of pollution to meteorology. We hope to obtain a more suitable meteorological scheme for the Sichuan Basin by this method and provide a basis for the detailed comparative study of meteorological schemes in the future. In MET1 is the reference scheme combination in Zhang et al. [33]: The cloud physics scheme is Lin (Purdue) [34], the long-wave radiation scheme is RRTM [31], the short-wave radiation scheme is Goddard [35,36], the boundary layer scheme is MYJ [37], the near-surface layer scheme is et a similarity [38], and the landsurface scheme is Noah [39]. MET2 is set by reference to the combinatorial optimization of meteorological process parameterization used by multiple studies in China [40–44]. The difference between MET2 and MET1 is in the microphysics and the long- and short-wave radiation. In MET2, the cloud physics scheme is Morrison 2 [45], the long-wave radiation scheme is RRTMG [46], and the short-wave radiation scheme is Dudhia [47].

Parameterization	MET1	MET2
Cloud Phyiscs	Lin (Purdue)	Morrison 2-mom
Long Wave Radiation	RRTM	RRTMG
Short Wave Radiation	Goddard	Dudhia
Planetary Boundary Layer	MYJ	MYJ
Surface Layer	Eta similarity	Eta similarity
Land Surface Flux	Noah	Noah

Table 1. Parameterized combination of WRF meteorological processes.

CUACE and Chem are two sets of chemistry schemes for WRF/Chem and WRF/CUACE (Table 2). In Chem, the aerosol mechanism is MOSAIC with eight size bins [48]; the gaseous chemical scheme is CBM-Z [49]; CUACE uses the sectional aerosol scheme described in Section 2.1, and the gaseous chemical scheme is RADM-II [50,51]. Both WRF/Chem and WRF/CUACE adopt the ISORROPIA model [29,30].

Table 2. Chemical processes of WRF/Chem and WRF/CUACE.

Parameterization	Chem	CUACE
Aerosol physics	MOSAIC	CAM
Gas-phase Chemistry	CBM-Z	RADM-II
Thermodynamic Equilibrium	ISORROPIA	ISORROPIA

The emission source of the model is from the emission source list of MEIC of Tsinghua University in 2016 (http://www.meicmodel.org/dataset-mix.html (accessed on 1 July 2019)). It is an Asian source emission inventory developed for the third phase of the East Asian model comparison program (MICs Asia III) and the United Nations hemispheric air pollution transfer program (HTAP) [52]. The inventory provides monthly gridded emission data with 0.25° spatial resolution for five emission sectors (power, industry, civil, transportation, agriculture) consisting of the emission intensities of sulfur dioxide (SO₂), nitrogen oxides (NO_x), carbon monoxide (CO), NH₃, BC, OC, non-methane volatile organic compounds (NMVOCs), PM_{2.5} and PM₁₀ from the five trades of power plants, industry, transportation, residents' living and agriculture [53]. We use this source to represent the emission scenarios of the Chinese mainland during the study period. The FNL reanalysis data co-produced by NCEP (National Centers for Environmental Prediction)/NCAR (National Center for Atmospheric Research) are employed as the initial meteorological conditions and boundary conditions of the model, with spatial resolution of $1^{\circ} \times 1^{\circ}$ and temporal resolution of 6 h (https://rda.ucar.edu/ (accessed on 1 March 2019)). The meteorological observation data are the CMA conventional surface observations, including wind speed and direction, temperature, relative humidity, hourly rainfall, etc., and the output interval is 3 h. The hourly concentration data of surface pollutants are obtained from the website of the Ministry of Ecology and Environment of the People's Republic of China (http://www.mee.gov.cn/xxgk2018 (accessed on 10 September 2018)). Data includes 6 standard air pollutants (PM_{2.5}, PM₁₀, SO₂, NO₂, O₃ and CO). Each city has multiple monitoring stations, and the arithmetic average of the hourly concentrations of air pollutants monitored by all national control stations in the city is taken as the hourly concentration of pollutants in the city.

The experiment is divided into four groups, namely WRF/Chem-MET1, WRF/Chem-MET2, WRF/CUACE-MET1 and WRF/CUACE-MET2 (hereinafter referred to as Chem-MET1, Chem-MET2, CUACE-MET1 and CUACE -MET2, respectively).

3. Results and Discussions

3.1. Evaluation of Meteorology

Meteorological conditions are very important for pollution accumulation and diffusion and can contribute to 2/3 of the causes of heavy pollutions [54]. Studies have shown that elements like wind speed, temperature and humidity are more difficult to simulate correctly in the near surface layer than at the high level even though they are more important for pollutant variation [25]. Therefore, the evaluation of this study focuses mostly on the near-surface meteorological elements (Tables 3 and 4).

Table 3. Statistical analysis of 2 m temperature (unit: °C).

	Model Mean	Observed Mean	Correlation Coefficient	RMSE
MET1	10.8	10.6	0.83 **	1.6
MET2	9.4	10.6	0.83 **	1.9
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Note: ** means having passed the significance test of 0.01.

Table 4. Statistical analysis of 10 m wind speed simulation results (unit: $m s^{-1}$).

	Model Mean	Observed Mean	Correlation Coefficient	RMSE
MET1	2.6	1.3	0.33 **	1.6
MET2	1.9	1.3	0.23 **	1.0

Note: ** means having passed the significance test of 0.01.

MET1 and MET2 show good simulation results for the 2 m temperature, with the correlation coefficients both reaching 0.83 and RMSE being less than 2 °C (Table 3). The 2 m temperature are slightly overestimated by MET1 but slightly underestimated by MET2. RMSE is slightly less in MET1 than that in MET2.

The correlation coefficient of both MET1 and MET2 are not high, which reflects the complexity of the boundary layer wind speed simulation (Table 4). The RMSE in MET2 is much lower than that of MET1, indicating a better ability of MET2 to simulate low wind speed in static weather.

The simulation accuracy of temperature and wind speed in this study is close to or better than that of similar research results. For example, Ning et al. once used WRF/Chem to simulate a winter pollution episode in Chengdu, and their results demonstrated that the correlation coefficients between the simulation and observation of 2 m temperature and 10 m wind speed were 0.75 and 0.22, respectively, and the mean of 10 m wind speed simulation was significantly higher than the observed value [55]. Several researchers have also found that the near-surface wind speeds by WRF/Chem are generally overestimated [56–59].

Because the relative humidity is very important for the hygroscopic growth of aerosols [60,61], we compared the simulation and observation results of relative humidity (Figure 2). The results show that: observation > MET2 > MET1. The change trend in the three curves is relatively consistent, but the observed values are higher regardless of the peak or valley. Especially near 27 December 2016 (corresponding to the low pollutant concentrations), the relative humidity of MET1 and MET2 is below 50%, while the observed value is above 60%.

The lower planetary boundary layer height (PBLH) is more beneficial to the accumulation of pollutants, promoting the formation of heavy pollution. Therefore, the PBLH is also an important parameter for the static condition of the near-surface atmosphere. Due to the lack of observational data, it is difficult to obtain accurate PBLH observations. Previous studies show that PBLH is generally less than 1 km during heavy pollution in the Sichuan Basin using the fine-resolution sounding measurements from 2014 to 2017 [62]. It shows that PBLH by MET2 is mostly near or less than 1 km and obviously lower than that by MET1, which is larger than 1 km during the two episodes (Figure 3). Therefore, the result for MET2 is closer to the actual boundary layer height during a heavy-pollution period than the result of MET1. It also shows that the diurnal variations of PBLH in both MET1 and MET2. This is because of the arbitrary regime division of the unstable and stable PBL schemes in meteorological models [25].



Figure 2. Time series of relative humidity (RH, unit: %) in simulation results of MET1 (black solid line), MET2 (grey dot dash line) and Observed (black dash line).



Figure 3. Time series of boundary layer height (PBLH, unit: m) in simulation results of MET1 (black solid line) and MET2 (red solid line).

To sum up, both MET1 and MET2 can simulate the static weather pattern, while MET2 is a little superior to MET1 in terms of near-surface low wind speed and low PBLH.

3.2. Episodes Evaluation

Figure 4 shows the simulated and observed $PM_{2.5}$ and PM_{10} concentrations averaged from the selected five cities in Southern Sichuan, and the simulated and observed ratios

of $PM_{2.5}$ in PM_{10} during the two pollution episodes. The simulated $PM_{2.5}$ concentration is averaged for the four tests and the five cities. According to the observation, the life cycle of the start, maintenance and end of the two pollution episodes are very obvious, during which the peak values of $PM_{2.5}$ are 156.2 µg m⁻³ and 197.4 µg m⁻³, and the peak values of PM_{10} are 200.4 µg m⁻³ and 247.4 µg m⁻³, respectively. During the two episodes, the ratio of $PM_{2.5}$ in PM_{10} is generally more than 70% except in the pollution transition period in which the value drops to near 55%, which demonstrates that finer particles are the main particulate pollutant during the polluted episodes. The simulated results also show the life cycles of the start, development and dissipation of the two pollution events (Figure 4). The simulated peak concentrations of pollutants are relatively higher than the observed values, and the proportions of $PM_{2.5}$ in PM_{10} remain stable at more than 90%, obviously higher than the observed values. This suggests that the model can simulated the pollution episodes in terms of the life circle but it still has bias for the variations during the maintaining period and for the coarse particles with diameter between 2.5 µm and 10 µm.



Figure 4. Time series of simulated and observed hourly mean $PM_{2.5}$ concentrations ($\mu g m^{-3}$) and ration of $PM_{2.5}$ in PM10 (unit:%) of the five cities in Southern Sichuan from 15 December 2016 to 11 January 2017. The solid black line is for Obs-PM_{2.5}, the dash black line is for the ratio of observed PM_{2.5} in PM10. The solid red line is for Model-PM_{2.5}, the dash red line is for the ratio of simulated PM_{2.5} in PM10.

Here we compare the time series of observed and simulated $PM_{2.5}$ concentrations of the five cities for each individual test (Figure 5). It shows that each test has good capability for the simulation of life cycle these two episodes. The simulation results also reflect well the start and end times of the two heavy pollution episodes and the changing trend of $PM_{2.5}$ concentration growth, accumulation and dissipation of all the four tests. It also shows that the variation trends of $PM_{2.5}$ shown by the four schemes are very agreed.

In the Pollution Episode I the observed $PM_{2.5}$ concentration presents the pattern of rising, slightly falling, rising again (not exceeding the first peak), and then falling again till the end of the pollution episode, but the simulation shows the pattern of rising, daily fluctuating slightly, and falling rapidly to the end of the pollution episode. It has not so monotonous increase and decrease as the observed. For the Pollution Episode II, the observed $PM_{2.5}$ concentration rises and then fluctuates down till the end of the pollution episode, while the simulation results show that it rises, clearly descends for a relative long time, then rises again (slightly higher than the previous peak) and finally rapidly goes to the end of the pollution episode, still having not so clearly momentous increase and decrease as the observed.



Figure 5. Time series of hourly the 24 h averages of the observed $PM_{2.5}$ concentration (unit: $\mu g m^{-3}$) from 15 December 2016 to 11 January 2017 (solid black line) and simulated PM2.5 concentration from the four groups of tests (red dotted line: Chem-MET1; red solid line: Chem-MET2; blue dotted line: CUACE-MET1; blue solid line: CUACE-MET2) (unit: $\mu g m^{-3}$).

By analyzing the precipitation(Figure 6), we found that the long-time descending during the Pollution Episode II is due to the abnormal precipitation from the model, whose result shows that MET1 and MET2 both predicted the precipitation during the long-time descending at all five stations. During the period from 31 December 2016 to 1 January 2017 (Figure 7), the simulated precipitation of MET1 and MET2 is higher than the observation. In these three days, the simulation results show that it rains every day, but the observation is only two days, and the observed rainfall is smaller. This shows that the simulated precipitation is much more and the duration is much longer. Therefore, the underestimation of PM_{2.5} in the continuous phase of Pollution Episode II is mainly caused by the large abnormal wet removal. On the other hand, the smaller rainfall can not effectively clear the pollutants, and the pollution is still serious after the rainfall.



Figure 6. Histogram of hourly the 24 h averages of the observed precipitation (unit: mm) from 15 December 2016 to 11 January 2017 (white bar) and simulated precipitation of MET1 (black bar) and MET2 (grey bar).

Observation and Model

9 of 17



Figure 7. Histogram of hourly the 24 h averages of the observed precipitation (unit: mm) from 29 December 2016 to 02 January 2017 (white bar) and simulated precipitation of MET1 (black bar) and MET2 (grey bar).

3.3. PM_{2.5} Evaluation

The statistical analyses of the two pollution episodes in the four tests are given in Tables 5 and 6. Except for the average value of CUACE-MET1 in the Pollution Episode II, which is slightly smaller and very close to the observed value, the mean $PM_{2.5}$ concentrations of the other three are obviously larger than the observed value. In the both processes, the correlation coefficient of MET2s with the same chemical processes is higher than that of MET1s, and the correlation coefficient of CUACEs with the same meteorological processes is higher than that of Chems. The correlation coefficients of each test in the Pollution Episode I are above 0.4, with the highest reaching 0.48 in CUACE-MET2, and the correlation is better than that of the Pollution episode II. The RMSE of MET1s in both processes is apparently smaller than that of MET2s, and of CUACEs is greatly smaller than that of Chems. In the study by James W. et al. [63], it has been proposed that a model performance goal has been met when both the mean fractional error (MFE) and the mean fractional bias (MFB) are less than or equal to +50% and $\pm 30\%$, respectively. Additionally, the model performance criteria has been met when both the MFE \leq +75% and MFB $\leq \pm 60\%$. In the Pollution Episode I, only CUACE-MET1 had MFE less than 50% and MFB less than 30%. The MFE and MFB of the four groups of experiments were all less than 75% and 60%, respectively. In the Pollution Episode II, the MFE and MFB of the four groups of experiments were all less than 50% and 30%, respectively.

Table 5. Statistical analysis of $PM_{2.5}$ concentrations of four tests in the Pollution Episode I in 15–26 December 2016 (unit: $\mu g m^{-3}$).

	Model Mean	Observed Mean	Correlation Coefficient	RMSE	MFE (%)	MFB (%)
CUACE-MET1	130.3	92.6	0.44 **	56.5	31.32	26.20
CUACE-MET2	155.7		0.48 **	79.2	41.74	38.30
Chem-MET1	160.2		0.41 **	80.4	43.24	41.80
Chem-MET2	192.4		0.46 **	111.6	55.54	54.88

Note: ** means having passed the significance test of 0.01.

	Model Mean	Observed Mean	Correlation Coefficient	RMSE	MFE (%)	MFB (%)
CUACE-MET1	116.0	120.1	0.30 **	54.0	27.79	2.60
CUACE-MET2	131.6		0.24 **	63.0	32.07	10.15
Chem-MET1	144.8		0.24 **	64.7	31.96	17.90
Chem-MET2	169.2		0.19 **	85.8	38.27	28.00

Table 6. Statistical analysis of $PM_{2.5}$ concentrations of four tests in the Pollution Episode II from 27 December 2016 to 11 January 2017) (unit: $\mu g m^{-3}$).

Note: ** means having passed the significance test of 0.01.

It can be seen from the above simulation results that CUACE performs better than Chem interns of both correlation coefficients and RMSE. The analysis results of Section 3.1 show that MET2 performs better for static weather than that of MET1. But for the point of $PM_{2.5}$ concentration, the two schemes have no clear superiority for one or another. This reveals again that the complex interactions between the meteorology and pollution.

3.4. Aerosol Component Evaluation

We also analysis the temporal variations of different aerosol components in each test (Figure 8). It indicates that the time variation of each component is very consistent with the time variation of PM_{2.5} in Figure 5. The results again denote that the main factors affecting the quick changes of aerosol components are the changing meteorological conditions in short time period. Both in the time series of Figures 4 and 5 and the statistics results in Tables 5 and 6 shows the highly overestimated concentration of $PM_{2.5}$ simulated. In Figure 8, we see the obviously high concentrations of nitrate in all the four tests. The concentration proportion of each component also shows this phenomenon, the proportion of nitrate is the highest, fluctuating between 40% and 50% in all of the four groups of tests (Figure 9). The ratios of ammonium is accounting for 15–18%. The ratio of OC is between 8% and 18%, and the minimum proportion is BC, around 5%. The average proportion of sulfates is 7.5%. These results of sulfate, OC and BC are consistent with the observations from Atmospheric Research Laboratory of Chengdu Academy of Environmental Sciences, and National Air Pollution Control and Associated Center, which means that ammonium accounts for 20–23%, BC 3%, OC 11–12%, sulfate 7–8%. But for nitrate, the observation only accounts for 27-33%, with very scarcely value reaching 40% which indicates that nitrate is clearly overestimated. This is consistent with the unified overestimations of nitrate in China in Miao et al.'s work [64].



Figure 8. Cont.



Figure 8. Time series of daily averages of the concentrations of ammonium (blue solid line), BC (purple solid line), OC (green solid line), sulfate (blue solid line), and nitrate (red solid line) by Chem-MET1 (**a**), Chem-MET2 (**b**), CUACE-MET1 (**c**) and CUACE-MET2 (**d**) (unit: μ g m⁻³).



Figure 9. Hourly time series of concentration ratios of ion ammonium salt (blue solid line), BC (purple solid line), OC (green solid line), sulfate (blue solid line), and nitrate (red solid line) simulated by Chem-MET1 (**a**), Chem-MET2 (**b**), CUACE-MET1 (**c**) and CUACE-MET2 (**d**) (unit: μ g m⁻³) (unit: %).

3.5. Potential Contributors to the Abnormally High Nitrate Concentration

Nitrate is thermodynamically unstable, whose concentration is affected by multiple elements like atmospheric conditions, concentrations of precursors, sulfate and nitrate, etc. Therefore, we also analyze its relations among nitrate, sulfate and ammonium (Tables 7 and 8).

Correlation Coefficient	Chem-MET1	Chem-MET2	CUACE-MET1	CUACE-MET2
Nitrate and sulfate	0.91 **	0.92 **	0.88 **	0.86 **
Nitrate and ammonium	1.00 **	1.00 **	0.99 **	0.98 **
Sulfate and ammonium	0.93 **	0.95 **	0.92 **	0.94 **

Table 7. Correlations among nitrate, sulfate and ammonium concentrations in the four groups of test results.

Note: ** means having passed the significance test of 0.01.

Table 8. Correlations of nitrate, sulfate and ammonium in the proportion of PM_{2.5} in the four tests.

Correlation Coefficient	Chem-MET1	Chem-MET2	CUACE-MET1	CUACE-MET2
Nitrate and sulfate	0.09 **	-0.13 **	-0.20 **	-0.55 **
Nitrate and ammonium	0.91 **	0.80 **	0.57 **	0.11 **
Sulfate and ammonium	0.51 **	0.50 **	0.64 **	0.75 **

Note: ** means having passed the significance test of 0.01.

Table 7 illustrates significant positive correlations among nitrate, sulfate and ammonium in all the four tests. This is consistent with the results of Section 3.3 and again explains that the change of components is mainly controlled by the change of meteorological elements. The correlation among the ratios of the three components in $PM_{2.5}$ is further analyzed to exclude the influence of meteorological factors (Table 8). The concentration ratios of nitrate and sulfate are negatively correlated, but those of sulfate and ammonium, nitrate and ammonium are all obviously positively correlated. Sulfate, nitrate, and ammonium exist thermodynamically in the form of ammonium sulfate and ammonium nitrate in the atmosphere, so sulfate and nitrate have to compete to get ammonium which is depicted by their negative correlations in Table 8. Nitrate and sulfate in Chems is poorly correlated with the value of 0.09 and -0.13 in Chem-MET1 and Chem-MET2, indicating that Chem is insufficient for the competition mechanism of nitrate and sulfate. The correlation between nitrate and sulfate in CUACE is -0.20 (CUACE-MET1) and -0.55 (CUACE-MET2) respectively. This shows that CUACE pays more attention to the competitive mechanism of nitrate and sulfate. CUACE-MET2 > CUACE-MET1 also shows that different meteorological processes will affect the reaction and transformation between chemical substances. For the correlation between nitrate and ammonium, the experimental result shows that Chem-MET1 > Chem-MET2 > CUACE-MET1 > CUACE-MET2. For the correlation between sulfate and ammonium, the experimental results show that Chem-MET1 \approx Chem-MET2 < CUACE-MET1 < CUACE-MET2, is contrary to the order of correlation between nitrate and ammonium in the four groups. The two chemical models are much more stable for sulfate ammonium simulation, among which the CUACE correlation is better.

 NO_2 and SO_2 are the precursor materials of sulfate and nitrate production, and their concentration changes have a great impact on the concentration of sulfate and sulfate in the atmosphere [22]. Therefore, we also analyzed the concentration of NO_2 and SO_2 . The concentration of NO_2 in the four tests is obviously lower than the observed ones, indicating that the model overestimates the conversion of NO_2 to nitrate which is the cause of the high nitrate concentrations (Figure 10a). Comparatively, the simulation results of SO_2 in the four groups are higher than those observed, but the simulated sulfate is lower (average proportion is 7.5%), which suggests that the model have still underestimated the conversion of SO_2 to sulfate (Figure 10b).



Figure 10. Time series of daily averaged observed (black solid lines) and simulated NO₂ concentrations (**a**), observed and simulated SO₂ concentrations (**b**) of the four tests (red dotted line: Chem-MET1; red solid line: Chem-MET2; blue dotted line: CUACE-MET1; blue solid line: CUACE-MET2). (unit: μ g m⁻³).

This is also true in statistical results in Table 9. It can be seen that nitrate and NO₂ are negatively correlated while sulfate and SO₂ are positively correlated in the four tests. It also shows that more NO₂ is converted into nitrate and less left to be accumulated, while some SO₂ can still accumulate and other convert into sulfate in heavy pollution condition. The negative correlation between nitrate and NO₂ is more noticeable in CUACE than in Chem, in MET2 than in MET1, so for the positive correlations. Hence, the conversion relationship between CUACE's sulfate and nitrate and their precursors is more reasonable.

Table 9. Correlation Coefficients between nitrate and NO₂, sulfate and SO₂ in the four tests.

Correlation Coefficient	Chem-MET1	Chem-MET2	CUACE-MET1	CUACE-MET2	
Nitrate and NO ₂	-0.14 **	-0.12 **	-0.45 **	-0.45 **	
Sulfate and SO ₂	0.20 **	0.30 **	0.58 **	0.71 **	

Note: ** means having passed the significance test of 0.01.

We analyzed the correlation of ammonia(NH_3), nitric acid(HNO_3), 2m temperature(T2) and relative humidity(RH). The results(Table 10) showed that NH_3 was generally positively correlated with RH and negatively correlated with T2. On the contrary, HNO_3 is negatively correlated with RH and positively correlated with T2. The general negative correlation between ammonia and nitric acid is consistent with the fact that they react to form ammonium nitrate.

Table 10. Correlation coefficient among ammonia(NH₃), nitric acid(HNO₃), 2m temperature(T2) and relative humidity(RH) in the four tests.

Correlation Coefficient	Chem-MET1	Chem-MET2	CUACE-MET1	CUACE-MET2
NH ₃ -RH	0.667 **	0.329 **	0.680 **	0.317 **
NH3-T2	-0.537 **	0.068 **	-0.573 **	-0.124 **
HNO3-RH	-0.721 **	-0.123 **	-0.211 **	-0.123 **
HNO ₃ -T2	0.791 **	0.057 **	0.186 **	0.057 **
NH ₃ -HNO ₃	-0.652 **	-0.110 **	-0.266 **	-0.120 **

Note: ** means having passed the significance test of 0.01.

The results of the four experiments show that each correlation coefficient of Chem-MET1 is the largest among the four experiments. This shows that Chem-MET1 pays the most attention to the correlation between these factors. For the two groups of experiments using MET2, whether Chem or CUACE, the experimental results are relatively similar, and the correlation coefficient is small. By comparing the two groups of experiments using MET1, we found that CUACE and Chem had the same results for the correlation between NH₃ and meteorological elements, but there was a significant gap between CUACE and Chem for the correlation between HNO₃ and meteorological elements. This shows that the influence of meteorological elements on nitric acid in Chem is more obvious.

4. Summary and Conclusions

The online air quality models WRF/Chem and WRF/CUACE have been used to simulate the two heavy pollution episodes in one month that occurred in the Southern Sichuan basin, one of the four major pollution zones in China, from 15 December 2016 to 11 January 2017. The driving weather model WRF has a good simulation effect of 2 m temperature in Southern Sichuan, which is close to the observation with good correlation coefficient and small error. It can also simulate well the low wind speed and boundary layer height, which are important variables of static weather, providing a good meteorological background for model to simulate pollution episodes.

Both Chem and CUACE have accurately simulated the life cycle of the two pollution events in terms of the onset, maintenance and dissipating phase. In the four tests, the variation trends of the $PM_{2.5}$ concentration, which is an important indicator of haze-fog, and the concentration of each component are in line with the development of pollution episode. The proportion of each component in $PM_{2.5}$ is very close to the observation except the extraordinary overestimated nitrate. The overestimation of nitrate has been pinned down in this paper and it is due to the model overestimation of the conversion of NO_2 to nitrate and underestimations of the conversion of SO_2 to sulfate.

Meteorological parameterizations all have important impacts on the model simulation results. MET2 can simulate stronger atmospheric static weather conditions than MET1 dose. It also shows a relatively better performance than MET1 in terms of higher correlation coefficients and lower errors in most of the particles and particulate components evaluations. CUACE also shows a relatively better performance in terms of higher correlation coefficients and lower errors in most of the particles and particulate components evaluations. It also performs better in the competitive mechanism of sulfate and nitrate against ammonium in the thermodynamic equilibrium mechanism. However, the negative correlation between the two is not obvious in the results of Chem model. Then, CUACE-MET2 performs the best in all the four tests.

The static weather is very important condition for pollutants to be accumulated and is also the most complex and difficult weather conditions to be accurately simulated due to the models limitation in the near surface of the atmosphere. More light should shine into this hard core of pollutions. And nitrate is the main source of deviations in aerosol simulation at present in many air pollutions models. With the increase of the national emission reduction mechanism in China, the proportion of nitrate in particulate matters is getting higher and higher and will be the major component in particles in the near future. The mechanism of mutual conversion between nitrate and other components also need more detail research together with more comprehensive observations.

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