



# Article Sustainability Enhancement of Fossil-Fueled Power Plants by Optimal Design and Operation of Membrane-Based CO<sub>2</sub> Capture Process

Javad Asadi and Pejman Kazempoor \*

School of Aerospace and Mechanical Engineering, University of Oklahoma, Norman, OK 73019, USA \* Correspondence: pkazempoor@ou.edu

Abstract: Fossil-fueled power plants are a major source of carbon dioxide ( $CO_2$ ) emission and the membrane process is a promising technology for  $CO_2$  removal and mitigation. This study aims to develop optimal membrane-based carbon capture systems to enhance the sustainability of fossil-fuel power plants by reducing their energy consumption and operating costs. The multi-stage membrane process is numerically modeled using Aspen Custom Modeler based on the solution-diffusion mechanism and then the effects of important operating and design parameters are investigated. Multi-objective process optimization is then carried out by linking Aspen Plus with MATLAB and using an evolutionary technique to determine optimal operating and design conditions. The results show that, as the  $CO_2$  concentration in the feed gas increases, the  $CO_2$  capture cost significantly decreases and  $CO_2$  removal is enhanced, although the process energy demand slightly increases. The best possible trade-offs between objective functions are reported and analyzed, which confirm the considerable potential for improving the sustainability of the process. The  $CO_2$  capture cost and energy penalty of the process is as low as 13.1  $/tCO_2$  and 10% at optimal design and operating conditions. This study provides valuable insight into membrane separation and can be used by decision-makers for the sustainable improvement of fossil-fueled power plants.

Keywords: CO<sub>2</sub> capture; membrane-based CO<sub>2</sub> capture; sustainability; optimization

# 1. Introduction

Industrial activity has increased greenhouse gas (GHG) emissions, which have led to various environmental problems, such as global warming. A total of 41% of total CO<sub>2</sub> emissions are attributed to electricity and heat generation industries, of which coal-fired power plants are the most significant emissions sources [1,2]. Several factors influence the amount of  $CO_2$  emissions produced by fossil fuel power plants, including utilized fossil fuel, power generation technology, plant size and plant efficiency. Fossil-fueled power plants can reduce their CO<sub>2</sub> emissions through various methods such as increasing their efficiency, switching to fuels with low carbon content, and CO<sub>2</sub> capture and storage. Improving the power plant efficiency can considerably reduce the  $CO_2$  emission, by 2–3% with a 1% increase in power plant efficiency. However, the average efficiency of coalfired power plants in the world is around 35% and there are various technical limitations to improving the efficiency further [3]. A coal-fired power plant utilizing bituminous coal emits approximately 850 kg CO<sub>2</sub> per one MWh. In comparison, natural gas-fueled combined cycles (NGCC) are less carbon-intensive and generate about 350 kg CO<sub>2</sub> per one MWh (60% lower CO<sub>2</sub> emission compared to coal-fired power plants) [4]. Furthermore, NGCC plants generate flue gases typically containing 4 to 5% CO<sub>2</sub> by volume, while this value is about 12 to 15% in the flue gas of coal-fired power plants).

The implementation of carbon capture, utilization, and storage (CCUS) technologies is considered a practical and economical solution for improving the sustainability of CO<sub>2</sub>-intensive industries, such as fossil-fueled power plants [5]. Post-combustion CO<sub>2</sub> capture,



**Citation:** Asadi, J.; Kazempoor, P. Sustainability Enhancement of Fossil-Fueled Power Plants by Optimal Design and Operation of Membrane-Based CO<sub>2</sub> Capture Process. *Atmosphere* **2022**, *13*, 1620. https://doi.org/10.3390/ atmos13101620

Academic Editors: Dimitra Karali, Panagiotis Grammelis and Panagiotis Boutikos

Received: 18 September 2022 Accepted: 30 September 2022 Published: 4 October 2022

**Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). pre-combustion  $CO_2$  capture and oxyfuel combustion are among the  $CO_2$  capture technologies that have been proposed and demonstrated, among which post-combustion  $CO_2$ capture is considered one of the best solutions for retrofitting existing power plants [6]. In carbon capture and storage (CCS),  $CO_2$  is captured, compressed, transported and then stored geologically. CCS costs are heavily influenced by  $CO_2$  capture and separation as the main component of CCS. A variety of approaches can be employed for separating  $CO_2$  from flue gas streams, such as chemical absorption using amines, physical absorption, adsorption (pressure swings and temperature swings), membrane technologies and cryogenic processes [7,8]. Chemical absorption has been widely used for post-combustion  $CO_2$  capture since it can be retrofitted to existing power plants, handle a large volume of flue gas and capture up to 90% of  $CO_2$  with high purity. Despite its benefits, this method has high energy requirements and operating costs, negatively affecting its sustainability and flexibility [9].

Compared with traditional separation methods, membrane-based  $CO_2$  separation is considered to be an attractive alternative for  $CO_2$  capture, mainly because of its lower energy requirement and operation cost [10]. In spite of the fact that the membrane-based  $CO_2$  separation method has not been used commercially in coal-fired power plants, recent developments in membrane materials plus easy scaling-up, high packing density, small footprint and mobility make the membrane separation method a potential candidate for environmentally friendly and sustainable  $CO_2$  capture [11]. Using membrane technology in coal power plants presents the major challenge of low  $CO_2$  concentration (10–15%), which requires compressors on the feed side or vacuum pumps on the permeate side to enhance the driving force across the membrane [12].

The selectivity and permeability of the membranes available on the market make a single-stage membrane process unsuitable for recovering  $CO_2$  at more than 90% purity from diluted-  $CO_2$  flue gases (5 to 15 mole%  $CO_2$ ), typical in fossil gas combustion [13]. Several membrane improvements have been proposed to overcome the challenge of  $CO_2$  capture from the power industry. Membrane materials with high selectivity and permeability, including polymeric, organic and inorganic materials, have been the subject of significant studies in recent years. It has been demonstrated that Polaris membranes developed by Membrane Technology Research Inc. can provide significant CO<sub>2</sub> permeances of 1000–2000 while the  $CO_2/N_2$  selectivity is acceptable at 50 [14]. Using facilitated transport membranes such as Poly-vinylamine/Pirazine Glycinate based membranes can also provide higher  $CO_2/N_2$  selectivity (about 140) under normal flue gas conditions [15]. It has been shown that membranes with high  $CO_2$  permeability reduce the area and cost of membranes, whereas membranes with high  $CO_2/N_2$  selectivity reduce the energy consumption and cost of operation of the system. Accordingly, future developments in membrane materials require deep insight into how membrane properties affect the operation and economy of the carbon capture system.

Considering the existing membrane properties and other technical limitations, the development and improvement of membrane process design and optimization of system operating and design conditions can play an important role in improving the sustainability and viability of the membrane process for CCS application. Due to the low partial pressure of  $CO_2$  and low driving force in flue gas, implementing multi-stage designs of membrane and creating an internal gas recycling is essential to reach the high  $CO_2$  recovery (90%) and high  $CO_2$  purity (95 mole%) targets. To reach this separation target for post-combustion applications, previous authors suggested different approaches for generating higher driving forces for  $CO_2$  permeation by combining feed compression, vacuum permeation, feed-air sweep system, retentate recycling, as well as enricher and stripper designs [16–20]. In this regard, various parametric studies have been implemented to study the effect of multiple designs, operating conditions and membrane properties on the system performance and economy [21].

Using multi-objective optimization (MOO), it is possible to address the various tradeoffs between operating efficiently and design parameters in membrane-based CCS to achieve the specified CO<sub>2</sub> removal target. In CCS processes, evolutionary algorithms, such as NSGA (Non-dominated sorting genetic algorithm), and gradient-based methods, such as nonlinear programming, have been applied [22]. The optimal design and operation of solvent-based CCS have been widely studied [23–25]. For membrane-based CCS, multi-objective and superstructure-based optimization methods have also been applied [22,26–31]. For a multi-stage membrane process, Arias et al. [22] used a superstructure optimization approach to determine the optimal number of membrane stages and operating conditions for a range of  $CO_2$  recovery objectives. According to Mat and Lipscomb [27], a global search of the decision variable space was used to find optimal membrane properties and operating conditions for minimizing levelized electricity costs for multi-stage hybrid membrane-cryogenic design. The cost function for a novel cryogenic carbon capture system below ambient temperature was minimized by Lee et al. [29]. To the best of our knowledge, most studies conducted on the optimization of membrane-based CCS have focused on the economic optimization of specific membrane designs with a fixed value of membrane properties. Furthermore, few publications are available addressing multi-objective and superstructure optimization in two-stage membrane CCS systems for determining simultaneously and systematically the optimal configuration variables, operating conditions and membrane properties for capturing  $CO_2$  from coal-fired power plants to meet the separation target specified by the U.S. Department of Energy (90%  $CO_2$  recovery).

In this paper, based on a mathematical model developed in Aspen Custom Modeler for the hollow-fiber membrane module, a sensitivity analysis was conducted to determine which operating and design parameters significantly affect the efficiency of a two-stage membrane-based CCS in terms of energy and economy. To achieve a sustainable and flexible membrane-based CCS that can integrate with fossil-fueled power plants, this paper uses an evolutionary algorithm to perform a comprehensive multi-objective superstructure optimization for a two-stage membrane-based CCS. This is aimed at identifying the optimal system design, operating conditions and membrane transport properties.

#### 2. Methodology

# 2.1. Fossil-Fueled Power Plant Integrated with CO<sub>2</sub> Capture Technologies

Combustible fuels are the main source of power generation in the world, with a share of 63.1% [1]. Among various fossil-fueled power generation methods, coal-fired power plants generate major electricity and heat worldwide (36.7%), while producing a significant amount of  $CO_2$  emissions. In a coal-fired power plant, pulverized coal is combusted in a boiler with preheated air. The generated heat from combustion is utilized to produce highpressure steam in the water-steam cycle, which is further utilized for electricity generation using turbines. In order to treat NOx, SOx and fly ash from power plant flue gas prior to  $CO_2$  capturing, exhaust gas from the boiler is passed through a denitrification system, an electrostatic precipitator and a wet flue gas desulphurization (FGD) unit [32]. Considering the recent global push for reductions in GHG emissions to meet the climate goal specified in the Paris Climate Agreement, carbon capture, utilization and storage systems have a great potential for reducing  $CO_2$  emissions from the power sector [33]. Among all  $CO_2$ mitigation technologies, post-combustion CCS is considered to be the best option for significantly enhancing the sustainability of both existing and new fossil-fueled power plants by reducing CO<sub>2</sub> emissions. However, the CCS technology has a profound effect on plant performance due to its energy-intensive nature. For instance, the stripper reboiler in an amine-based  $CO_2$  capture process requires a massive amount of steam (typically  $3.5-4.5 \text{ GJ/t CO}_2$  [34]. This amount of steam is provided from the steam cycle of a power plant, which leads to efficiency loss. Previous studies showed that amine absorption CCS would require 30% of the generated power by the power plant in order to capture 90% of the  $CO_2$  in flue gas, which results in a cost of \$40–100 for capturing one ton of  $CO_2$  [16]. In order to improve the sustainability of fossil-fueled power plants, it is of great importance to integrate a CCS technology that requires a lower amount of energy and cost. Both the industrial and scientific communities have shown increasing interest in membranebased CO<sub>2</sub> separation in recent years due to several advantages over the amine-based post-combustion capture method. However, this process is still under development and requires a significant amount of energy to generate enough driving force in the membrane module to separate  $CO_2$  from other flue gas components. Accordingly, optimal design and operation of membrane-based CCS pave the way for optimal integration and improving the sustainability of fossil-fueled power plants. In the following sections, the potential of a two-stage membrane process for optimal  $CO_2$  capturing from a 600 MW coal-fired power plant are discussed and investigated.

# 2.2. Superstructure for Two-Stage Membrane-Based CCS Process

For an optimal membrane separation process, it is necessary to develop a superstructure that includes various potential designs and all the required components (e.g., compressors, heat exchangers, splitters, membranes, vacuum pumps, etc.). Figure 1 illustrates the general superstructure for a two-stage membrane process for separating  $CO_2$ from flue gases of a 600 MW coal-fired power plant. Gasification or combustion exhaust flue gas must be treated before entering the membrane module in order to remove contaminants like ash, SOx, NOx and water. A membrane-based CCS feed gas primarily contains N<sub>2</sub> and  $CO_2$ , and the fraction of  $CO_2$  is commonly below 15 mole%. Because the  $CO_2$  partial pressure in flue gas is low, an additional driving force is needed to separate the gas, which can be supplied by either compressor at the membrane feed side or by a vacuum pump at the permeate side. Flue gas conditions and other fixed parameters used in this study are presented in Table 1.





Table 1. Flue gas condition and fixed membrane parameters.

Parameter	Value
Flue gas flowrate	500 m <sup>3</sup> /s
Flue gas mole fraction	CO <sub>2</sub> : 13 mole%, N <sub>2</sub> : 87 mole%
Flue gas temperature and pressure	50 $^\circ \mathrm{C}$ and 1 bar
Membrane operating temperature	50 °C
Membrane inner and outer diameter	400 and 600 μm
Membrane length	1 m
Membrane packing density	0.8
Rotary equipment efficiency	0.85
Pressure drop in pipes	0

Figure 1 shows how exhaust flue gas entering the membrane CCS unit can follow various process pathways, which are determined by various splitters (SP) in the superstructure. To generate the driving force, feed compression and permeate vacuum methods are

considered. The blue and green lines depict these approaches, respectively. In this regard, the splitter can be seen as a binary variable that determines the method of generating the driving force. Each method has the same main pipelines, which are represented by black lines. System performance and separation efficiency can be affected by retentate recycling and sweep gas, so different valves need to be considered in the system model, which specifies the flowrate ratio of the recycling process.

The sweep gas is a means of increasing the driving force for membrane gas separation. Recycling a portion of the retentate stream as a sweep gas to the membrane module reduces the concentration of  $CO_2$  on the permeate side, thus the  $CO_2$  partial pressure gradient across the membrane increases and  $CO_2$  can permeate through the membrane more rapidly. However, applying sweep gas reduces the  $CO_2$  concentration on the permeate side and causes downstream issues for handling and storage of  $CO_2$ , which highlights the necessity of considering a second  $CO_2$  separation from the sweep. Accordingly, in the proposed superstructure, sweep gas only applies to the first membrane stages so that the second membrane stages could improve the purity of  $CO_2$  in the permeate gas for better  $CO_2$ handling and storage.

In addition, the proposed superstructure considers two common membrane flow configurations, cross-current and counter-current flow. The membrane modules can also incorporate different polymeric membranes with a wide range of transport properties. Thus, several commercially available membranes are considered for the superstructure. In order to optimize and analyze the proposed model, a mathematical programming model including both discrete and continuous variables is developed based on the proposed superstructure.

# 2.3. Assumptions, Mathematical Formulation of the Membrane Unit, and Process Simulation

On the basis of a solution-diffusion mechanism, mathematical models for countercurrent and crossflow hollow fiber membranes are developed. Figure 2 shows a schematic of a counter-current hollow fiber membrane module with the inlet gas flowing to the module shell side and permeating to the fiber bore side. Gas enriched with N<sub>2</sub> (retentate stream) exits from the shell side of the module, whereas  $CO_2$ -enriched gas (permeate stream) exits from the bore side in the opposite direction. For the mathematical modeling of a membrane stage, the following assumptions have been taken into account:



Figure 2. Schematic diagram of a counter-current flow membrane module.

All fibers have the same thickness and shape.

The gas mixtures can be described as ideal gas.

Pressure and temperature do not affect the permeability of components.

An axial pressure drop can be calculated using the Hagen-Poiseuille equation. On the membrane surface, concentration polarization is negligible.

Isothermal and steady-state conditions are assumed in the membrane model.

The mathematical formulation of a membrane stage is shown in Table 2, assuming solution-diffusion is the primary mechanism for  $CO_2$  permeation. Detailed descriptions of the mathematical modeling for the counter-flow membrane module are available in [21,35].

Table 2. Mathematical equations for modeling membrane stage.

1	Permeation rate of component <i>i</i>	$J_{i} = 2\pi r_{FO} n_{F} \frac{Q_{CO_{2}}}{\alpha_{i}} \left( P_{ret} y_{ret,i} - P_{per} y_{per,i} \right)$
2	Selectivity of component <i>i</i>	$\alpha_i = \frac{Q_{\rm CO_2}}{O_i}$
3	Total permeation rate of component $i$	$J_t = \sum_{i=1}^{n} J_i$
4	Total molar balance of bore and shell side	$\frac{dF_{per}}{dx} = -J_t$ and $\frac{dF_{ret}}{dx} = -J_t$
5	Components molar balance in fiber bore	$\frac{d(F_{per}y_{per,i})}{dx} = J_t y_{per,i} - J_i$
6	Components molar balance in shell side	$\frac{d(F_{ret}y_{ret,i})}{dx} = J_t y_{ret,i} - J_i$
7	Pressure drop in bore side	$P_{per} \frac{dP_{per}}{dx} = \frac{128RT\mu F_{per}}{\pi D_{\pi}^4 n_r}$
8	Pressure drop in shell side	$\frac{dP_{ret}}{dx} = \frac{32\mu}{D_H^2} V_{ret}$

As for the crossflow membrane module, the local concentration of each component on the permeate side is equal to the fraction of gas passing through the membrane at a given point. Detailed mathematical modeling of a crossflow membrane module is presented by [36]. In our previous study [21], we validated the above-mentioned mathematical model of the membrane module.

By utilizing the 2nd order central finite discretization method with 200 elements, we have programmed and solved the mentioned differential equations using the Aspen Custom Modeler and DMO solver. Following the creation of the membrane models, the user-defined models are imported into Aspen PLUS for further analysis and optimization.

As mentioned in Table 1, the flue gas that exits from the power plant is assumed to have constant temperature and pressure. Despite the fact that oxygen and water are more realistically present in flue gases, it is assumed that the flue gas has been sent to treatment units before entering membrane-based CCS, and the treated flue gas is a binary gas, including  $CO_2$  and  $N_2$ . This is in line with previous works [21,37]. Peng-Robinson thermodynamic package is used to model the thermodynamic properties and gas behavior. In addition, single-stage compressors and vacuum pumps are assumed with a fixed efficiency of 85%, and heat exchangers are used for cooling down the gas streams to process operational temperatures after a feed or permeate compression.

#### 2.4. Economic Evaluation

A detailed description of the equations used to calculate  $CO_2$  capture cost (\$/tonCO\_2) is presented in Table 3. These equations include fixed operating and maintenance (O & M) costs, annual capital costs, equipment purchase costs and utility costs. We assume that the system operating hours are 8000 per year. It is assumed also that compressors, expanders, vacuum pumps, heat exchangers and membrane modules depreciate over a period of 25 years, while membranes have a lifespan of 5 years. The corresponding depreciation factors for the CCS unit and membrane are mentioned in Table 3.

Economy Parameter	Equation
Membrane module cost (\$/m <sup>2</sup> )	$k_m = 50$
Total membrane cost (\$)	$I_{mb} = A_{mb,t} \times 50$
Membrane frame cost (\$)	$I_{mbf} = 238 \times 10^3 \times \left(\frac{A_{mb,t}}{2000}\right)^{0.7} \times \left(\frac{P_{mb}}{55}\right)^{0.88}$
Compressor cost (\$)	$I_c = F_c \times 0.0224 \times 1.8 \times 96 \times 10^3$
Vacuum pump cost (\$)	$I_{vp} = F_{vp}  imes 0.0224  imes 1.8  imes 4  imes 96  imes 10^3$
Expander cost (\$)	$I_{ex} = W_{ex} \times 0.5 \times 1.8$
Heat exchanger cost (\$)	$I_{hex} = F_{hex} \times \frac{3.5}{440} \times 10^6$
Depreciation factor (25 years)	df = 0.064
Membrane depreciation factor (5 years)	$df_{mb} = 0.225$
Total annual capital cost (\$)	$I_{TC} = \left(I_{mbf} + I_c + I_{vp} + I_{ex} + I_{hex}\right) \times df + I_{ex} \times df$
Total annual operation and maintenance cost (\$)	$I_{OM} = 0.01 \times (I_{mb} + I_{mbf})$ $+0.036 \times (I_c + I_{vp} + I_{ex} + I_{hex})$
Operational time (hr/year)	$t_{op} = 8000$
Electricity cost ( $kW h^{-1}$ )	ec = 0.04
Cooling water cost (\$/GJ)	cwc = 0.354
Total annual energy cost (\$)	$I_{en} = t_{op} \times \begin{pmatrix} ec \times (W_c + W_{vp} - W_{ex}) \\ + cwc \times Q_{hex} \end{pmatrix}$
Total annual cost (\$)	$I_{Total} = I_{TC} + I_{OM} + I_{en}$
Total operational cost (\$)	$OPEX = I_{en} + I_{OM}$
$CO_2$ capture cost ( $\frac{1}{0}$	$I_{CO_2} = rac{I_{Total}}{Annual \ seprated \ CO_2}$

Table 3. Equations used for economic analysis of membrane-based CCS [13,16,22].

#### 2.5. System Optimization Procedure

Optimization of membrane-based CCS system design and operating parameters requires a rigorous optimization procedure that simultaneously optimizes conflicting objective functions with both continuous and discrete decision variables. As there can be no single optimal solution to the multi-objective optimization (MOO) problem because the objective functions compete with each other, the optimization solution leads to a Pareto frontier containing a set of optimal points [38]. As a consequence, the Pareto solutions represent the optimal trade-off between objective functions, which is critical for the design and operation of systems.

Mixed Integer Nonlinear Programming (MINLP) has been formulated to describe the best design and operation of a membrane-based CCS, which can be solved with heuristics and deterministic methods [31]. For optimization, the heuristic optimization algorithms are selected due to their robustness and capability of generating Pareto solution sets. Additionally, the Multi Leader Multi-Objective Particle Swarm Optimization algorithm (MLMOPSO) as a heuristic algorithm proposed by [39] has been employed, which is capable of handling and optimizing constrained MINLP problems efficiently. An innovative approach to updating particle positions by multiple leaders is employed based on this algorithm, which allows particles to use the information of several non-dominated solutions rather than just the closest. Additionally, there is a parameter called the Social Influence Factor (SIF) that controls the influence of leaders on velocity vectors [39]. In previous works [40–42], this method has proven successful in maintaining the diversity and quality of Pareto solution sets.

The membrane-based CCS optimization problem can be expressed as MINLP as follows:

*Minimize*  $F_i(x) \quad \forall_i = 1, 2, \ldots, n_{obj}$ 

Subjected to:

$$\begin{cases} h_m(x) = 0, & \forall_m \\ g_n(x) \le 0, & \forall_n \end{cases}$$

where *F* represents the vector of objective functions, *x* represents the vector of model decision variables,  $h_m(x)$  is the vector of equality constraints and  $g_n(x)$  is the vector of inequality constraints.

The objective functions vector includes the following performance indicators:

 $CO_2$  capture cost: an economic indicator that shows the required cost to capture one ton of  $CO_2$  from flue gas ( $\frac{1}{tCO_2}$ ).

CCS energy penalty: this indicator shows the energy consumption of the CCS process per power plant net capacity.

 $CO_2$  removal percentage: this indicator shows the removal efficiency of CCS, which can be calculated as the flow rate of  $CO_2$  in permeate gas per the flow rate of  $CO_2$  in the flue gas.

In order to generate the best possible trade-offs for enhancing the sustainability and flexibility of membrane-based CCS, the  $CO_2$  capture cost and the total energy consumption and  $CO_2$  capture cost need to be minimized, and  $CO_2$  removal should be maximized.

Continuous decision variables are critical process parameters affecting system performance and economic indicators. These variables include feed gas pressure, CO<sub>2</sub> concentration in the feed gas and retentate recycling ratio, which are considered as the vector of continuous decision variables. As discrete decision variables, we consider three membranes with varying selectivity and permeability (first- and second-generation Polaris membranes and PVAM/PG membrane). In addition, various layouts of the process in the superstructure model are represented through the value of nodes (splitter) as binary variables in the MINLP problem. The SP3 and SP7 splitters value indicate whether the membrane module is counter flow (SP3 = SP7 = 1) or crossflow (SP3 = SP7 = 0). The values of other splitters also determine whether the compression strategy is feed compression (SP1, SP2, SP4, SP5, SP6, SP8 = 1) or permeate vacuum (SP1, SP2, SP4, SP5, SP6, SP8 = 0). The process simulator applies mass and energy balance constraints along with other design specifications automatically. The programmed MINLP has inequalities constraints involving the range of decision variables as well as the  $CO_2$  removal objective function, which according to previous studies must be above 70%. The lower and upper range of decision variables is shown in Table 4.

Table 4. The range of decision variables.

Variable	Bound
Compressor pressure ratio	4–14
Vacuum ratio	2–8
$CO_2$ concentration in flue gas	5–20 (mole%)
Retentate recycling ratio	0–1
Sweep gas ratio	0–0.1
Polaris gen 1 [14]	$\alpha$ : 50, $Q_{CO_2}$ : 1000 GPU
Polaris gen 2 [14]	$\alpha$ : 49, $Q_{CO_2}$ : 2000 GPU
PVAM/PG [43]	<i>α</i> : 148, <i>Q</i> <sub>CO2</sub> : 735 GPU

It should be mentioned that a higher vacuum level is not achievable at an industrial scale (<0.2 bar) [28].

The steady-state simulation of the process is performed in Aspen Plus and the MINLP problem and MLMOPSO optimization algorithm are implemented in MATLAB 2021a. Aspen Plus and MATLAB are then linked using the Actxserver function in MATLAB through a Component Object Model (COM) server, which enables information about equipment and streams to be exchanged between the two software.

## 3. Results and Discussion

#### 3.1. Parametric Study of Membrane-Based CCS

Prior to performing process optimization, it is beneficial to have an understanding of the process behavior under different operating conditions. In our previous work [21], a detailed technical evaluation of the two-stage membrane process was performed considering fixed CO<sub>2</sub> recovery (90%) and fixed CO<sub>2</sub> purity in the permeate gas (95 mole%). In this study, we have considered a fixed membrane area in the module

Here, a parametric study for counter-flow configuration has been discussed in this subsection, where the membrane areas of the modules are fixed and the  $CO_2$  recovery varies.

Considering the first generation of Polaris<sup>TM</sup> membrane (CO<sub>2</sub>/N<sub>2</sub> selectivity: 50, CO<sub>2</sub> permeance: 1000 GPU) in the first and second module membrane with a fixed area equal to  $6.6 \times 10^5$  and  $3.5 \times 10^4$  m<sup>2</sup>, respectively, the effect of various operating parameters on the system performance has been analyzed. It should be noted that, at the considered membrane areas, the compressors discharge pressure of 8 bar, zero sweep gas and full retentate recycling, the CCS unit leads to 90% CO<sub>2</sub> recovery and 95 mole% CO<sub>2</sub> purity.

The influence of the compressor outlet pressure on the membrane separation performance and the economic and energy indicators is illustrated in Figure 3. The results show that, when the feed pressure is increased, the total energy requirement of the CCS unit increases because of the extra power required by the compressors. There is also an optimum compressor discharge pressure (~7 bar) at which the CO<sub>2</sub> capture cost of the system is minimum (~25.2 \$/tCO<sub>2</sub>). In addition, since there is a low driving force for CO<sub>2</sub> separation at lower pressures, lower CO<sub>2</sub> flow rates at permeate stream can be obtained, leading to a declining trend in CO<sub>2</sub> capturing cost. However, by further increasing the compressor discharge pressure, although CO<sub>2</sub> recovery increases, the increasing slope becomes slow at high pressures and negatively impacts CO<sub>2</sub> capture cost.



**Figure 3.** Effect of feed pressure on the membrane performance indicators (**left**) total energy requirement (**right**) CO<sub>2</sub> recovery (%).

Depending on the operational conditions imposed by the grid and power plant fuel type, the CO<sub>2</sub> concentration of flue gas can fluctuate considerably. Accordingly, the influence of the CO<sub>2</sub> fraction of flue gas on the CCS unit performance has been analyzed and the results are presented in Figure 4. It is shown that, by raising the feed CO<sub>2</sub> concentration, the total energy requirement for membrane-based CCS units increases, which can be described by the higher energy consumption of the compressor and cooler upstream of the second membrane module. The increment of feed CO<sub>2</sub> concentration also increases the CO<sub>2</sub> recovery of process and CO<sub>2</sub> purity of permeate gas due to the availability of extra driving force. The higher increasing slope of CO<sub>2</sub> purity compared with CO<sub>2</sub> recovery is associated with the influence of membrane selectivity to improve the permeate purity at low availability of driving force. Although increasing the CO<sub>2</sub> concentration in the feed gas increases the energy cost, the CO<sub>2</sub> capture cost significantly decreases due to the higher flow rate of CO<sub>2</sub> in permeate gas which is the denominator of the economic indicator.



**Figure 4.** Effect of CO<sub>2</sub> fraction of flue gas on the CCS unit performance (**left**) total energy requirement (**right**) CO<sub>2</sub> recovery (%).

The influence of retentate recycling on the system performance indicator is illustrated in Figure 5. By increasing the retentate recycling, it can be concluded that the permeate  $CO_2$ purity and  $CO_2$  recovery improve due to the recirculation concept and high availability of driving force. Although recycling the second stage retentate stream increases the process energy consumption, since a higher flow rate enters the compressors, it improves the economic indicator of the membrane CCS unit as the system can capture a larger amount of  $CO_2$ . It is shown here that it is necessary to recirculate the retentate gas from the second stage back to the first stage in order to guarantee high  $CO_2$  purity in the permeate, although the energy consumption increases compared with a design without retentate recirculation.



**Figure 5.** Effect of retentate recycling on the system performance (**left**) total energy requirement (**right**) Permeate CO<sub>2</sub> purity.

According to the above parametric study of membrane-based CCS, along with the results provided in our previous work [21], there are various conflicts between the effect of operating and design variables of the CCS unit on the system performance, which need to be addressed for flexible and sustainable operation and design. In this regard, multi-objective optimization of the system has been performed and the results are presented in the following section.

## 3.2. Process Optimization

The multi-objective optimization of the two-stage membrane CCS process has been implemented by linking Aspen Plus and MATLAB using the MLMOPSO technique. As mentioned before, the membrane area is considered to be fixed and their values for various membrane types are considered as the system reaches 90% CO<sub>2</sub> recovery and 95 mole% CO<sub>2</sub> purity at the pressure of 8 bar, 13 mole% CO<sub>2</sub> in the feed gas and full recycling. For the case of the Polaris gen1 membrane, the first and second module membrane areas are fixed

at 6.6  $\times$  10<sup>5</sup> m<sup>2</sup> and 3.5  $\times$  10<sup>4</sup> m<sup>2</sup>, respectively. These values are 3.41  $\times$  10<sup>5</sup> and 1.79  $\times$  10<sup>4</sup> for the case of the Polaris gen2 membrane.

To reach acceptable Pareto solution sets, several algorithm parameters are evaluated, and it has been concluded to consider maximum archive size = 200, swarm size = 50, number of leaders = 5, maximum iteration = 100, SIF = 2, global learning coefficient = 2.8 and personal learning coefficient = 1.2. The stopping criteria were met at the iteration number of 64, and 73 Pareto optimal solutions are found, as shown in Figure 6.



**Figure 6.** Pareto solutions set obtained from process optimization (**A**) CO<sub>2</sub> Capture Cost vs. CCS Energy Penalty (%) (**B**) CO<sub>2</sub> Recovery (%) vs. CCS Energy Penalty (%) (**C**) CO<sub>2</sub> Capture Cost vs. CO<sub>2</sub> Recovery (%).

Figure 6A presents the Pareto optimum solutions for the CO<sub>2</sub> capture cost and energy penalty of the process. Two Pareto points of A and B are marked, corresponding to the minimum total power requirement and the minimum CO<sub>2</sub> capture cost, respectively. Based on point (A), using the PVAM/PG membrane in the counter flow module and permeate vacuum approach led to the most energy-saving approach compared to the other designs, leading to the minimum energy penalty, equal to 10.02%. Although a turboexpander is unavailable in the vacuum design, since this design handles the permeate stream with a

lower flow rate compared to the feed stream, which mostly consists of nitrogen, it requires a lower amount of power to recover more than 70% of CO<sub>2</sub>. However, the CO<sub>2</sub> capture cost at point (A) is the maximum (194  $/tCO_2$ ), which is mainly due to the higher capital cost, as the prespecified required area of vacuum design (1.3  $\times$  10<sup>7</sup> m<sup>2</sup>) is significantly higher than feed compression, to reach the separation target.

The minimum CO<sub>2</sub> capture cost (point B) is equal to  $13.1 \text{/tCO}_2$  resulting from using the feed compression method and Polaris gen2 in the counter flow membrane module, which can be related to the low required membrane area resulting from using a membrane with high permeance and efficient design. It should be noted that, at this point, the values of energy penalty and CO<sub>2</sub> recovery are relatively high (35.5% and 92%, respectively), which is because of the high discharge pressure of compressors.

The Pareto optimum solutions for the  $CO_2$  recovery and total power requirement of the process are shown in Figure 6B, in which Point C represents the highest possible  $CO_2$  removal of the system (99.99%). Considering a fixed membrane area, using feed compression and counter flow module equipped with Polaris gen1 leads to the highest separation efficiency in the Pareto solution set. At this point, the second stage is fully recycled and flue gas  $CO_2$  concentration and feed pressure are 20 mole% and 10.57 bar, respectively.

Along with the inherent benefits of membrane-based CCS, such as modularity, compactness, easy installation, ease for a remote area such as offshore, and easy operation and maintenance, the proposed membrane-based CCS could provide a lower CO<sub>2</sub> capture cost compared to the conventional solvent-based CCS. As shown in the Pareto optimum solutions set (Figure 6) of the proposed membrane-based CCS, most Pareto solutions have a CO<sub>2</sub> capture cost lower than 40  $\pm$ /tCO<sub>2</sub> with a minimum of 13.1  $\pm$ /tCO<sub>2</sub>. However, the CO<sub>2</sub> capture cost of the solvent-based post-combustion CCS (conventional process for CCS) is between 50–110  $\pm$ /tCO<sub>2</sub>, depending on the solvent type and the level of heat integration [44,45].

Besides having appropriate permeability and selectivity, industrially desirable membranes for CCS application should be chemically and mechanically compatible with the process environment, stable, fouling-free, have a reasonable useful lifespan, be easily fabricated and packaged and be resistant to high pressures. However, many studies on CO<sub>2</sub> capture membrane materials focus on improving perm-selectivity without addressing other important factors.

Due to the low  $CO_2$  content of flue gas, single-stage membrane configurations, even by using a membrane with high perm-selectivity properties, are not viable for integrating with fossil-fueled power plants. However, in multi-stage membrane systems, both high product purity and high  $CO_2$  removal efficiency can be simultaneously achieved. This study proved that using an appropriate driving force generation method and optimal operating and design conditions for membrane gas separation are critical to reducing the energy penalty and the capture cost of the systems and enhancing the sustainability of fossil-fueled power plants integrated with membrane-based CCS. Accordingly, through the integration of a counter-current membrane module and feed compression approach, a post-combustion carbon capture process can be considerably improved in terms of sustainability. Additionally, generating driving force by means of permeate vacuum is more energy-efficient, enhancing the flexibility of the system. The results of the process analysis and optimization presented here can help process developers and decision-makers to select the sustainable design and operating conditions for the membrane-based carbon capture systems.

#### 4. Conclusions

The significant amount of  $CO_2$  emitted by various sources, including fossil-fueled power plants, is a considerable threat to the environment in this century. The membrane process is a promising technology for removing carbon dioxide from existing power plants that can easily be integrated. This study aims to design and operate membrane-based carbon capture systems (CCSs) in a sustainable manner, given that their energy consumption and economics are crucial to their large-scale deployment. To model a multicomponent gas separation process with a hollow fiber membrane module, a numerical model based on the solution-diffusion mechanism is developed using Aspen Custom Modeler. The model was imported into Aspen Plus to examine the effects of feed pressure,  $CO_2$  concentration, retentate recycling and membrane properties on separation efficiency, power consumption and economic performance of a double-stage membrane process. Following that, Aspen Plus and MATLAB are linked to determine the optimal operating and design conditions of the process using the MLMOPSO technique. With increasing  $CO_2$  concentration in the feed gas,  $CO_2$  removal improves and  $CO_2$  capture costs decrease significantly, although the process energy requirement increases slightly. Analyzing the best possible trade-offs between objective functions confirms that there is significant potential to improve the sustainability of the process. The result of this study is beneficial for decision-makers to optimize and improve the sustainable performance of the system with the aim of facilitating the commercial implementation of membrane-based CCS.

Author Contributions: Conceptualization, J.A. and P.K.; methodology, J.A. and P.K.; software, J.A.; validation, J.A.; formal analysis, J.A.; investigation, J.A.; resources, J.A. and P.K.; writing—original draft preparation, J.A.; writing—review and editing, J.A. and P.K.; supervision, P.K.; project administration, P.K. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

**Conflicts of Interest:** The authors declare no conflict of interest.

## References

- 1. IEA. World Energy Outlook; OECD Publishing: Paris, France, 2021.
- Gunawardene, O.H.P.; Gunathilake, C.A.; Vikrant, K.; Amaraweera, S.M. Carbon Dioxide Capture through Physical and Chemical Adsorption Using Porous Carbon Materials: A Review. *Atmosphere* 2022, *13*, 397. [CrossRef]
- Goto, K.; Yogo, K.; Higashii, T. A review of efficiency penalty in a coal-fired power plant with post-combustion CO<sub>2</sub> capture. *Appl. Energy* 2013, 111, 710–720. [CrossRef]
- Cebrucean, D.; Cebrucean, V.; Ionel, I. CO<sub>2</sub> Capture and Storage from Fossil Fuel Power Plants. *Energy Procedia* 2014, 63, 18–26. [CrossRef]
- 5. Chu, S. Carbon capture and sequestration. *Science* 2009, 325, 1599. [CrossRef] [PubMed]
- Chao, C.; Deng, Y.; Dewil, R.; Baeyens, J.; Fan, X. Post-combustion carbon capture. *Renew. Sustain. Energy Rev.* 2021, 138, 110490. [CrossRef]
- 7. Ghiat, I.; Al-Ansari, T. A review of carbon capture and utilisation as a CO<sub>2</sub> abatement opportunity within the EWF nexus. *J. CO<sub>2</sub> Util.* **2021**, *45*, 101432. [CrossRef]
- Tian, W.; Wang, Y.; Hao, J.; Guo, T.; Wang, X.; Xiang, X.; Guo, Q. Amine-Modified Biochar for the Efficient Adsorption of Carbon Dioxide in Flue Gas. *Atmosphere* 2022, 13, 579. [CrossRef]
- Vega, F.; Baena-Moreno, F.M.; Fernández, L.M.G.; Portillo, E.; Navarrete, B.; Zhang, Z. Current status of CO<sub>2</sub> chemical absorption research applied to CCS: Towards full deployment at industrial scale. *Appl. Energy* 2020, 260, 114313. [CrossRef]
- 10. Asadi, J.; Kazempoor, P. Dynamic response and flexibility analyses of a membrane-based CO<sub>2</sub> separation module. *Int. J. Greenh. Gas Control* **2022**, *116*, 103634. [CrossRef]
- 11. Khalilpour, R.; Mumford, K.; Zhai, H.; Abbas, A.; Stevens, G.; Rubin, E.S. Membrane-based carbon capture from flue gas: A review. J. Clean. Prod. 2015, 103, 286–300. [CrossRef]
- 12. Belaissaoui, B.; Willson, D.; Favre, E. Membrane gas separations and post-combustion carbon dioxide capture: Parametric sensitivity and process integration strategies. *Chem. Eng. J.* **2012**, *211*, 122–132. [CrossRef]
- 13. Xu, J.; Wang, Z.; Qiao, Z.; Wu, H.; Dong, S.; Zhao, S.; Wang, J. Post-combustion CO<sub>2</sub> capture with membrane process: Practical membrane performance and appropriate pressure. *J. Memb. Sci.* **2019**, *581*, 195–213. [CrossRef]
- 14. White, L.S.; Amo, K.D.; Wu, T.; Merkel, T.C. Extended field trials of Polaris sweep modules for carbon capture. *J. Memb. Sci.* 2017, 542, 217–225. [CrossRef]
- 15. Tong, Z.; Ho, W.S.W. Facilitated transport membranes for CO<sub>2</sub> separation and capture. *Sep. Sci. Technol.* **2017**, *52*, 156–167. [CrossRef]
- 16. Merkel, T.C.; Lin, H.; Wei, X.; Baker, R. Power plant post-combustion carbon dioxide capture: An opportunity for membranes. *J. Memb. Sci.* **2010**, *359*, 126–139. [CrossRef]
- 17. Zhao, L.; Riensche, E.; Blum, L.; Stolten, D. Multi-stage gas separation membrane processes used in post-combustion capture: Energetic and economic analyses. *J. Memb. Sci.* **2010**, *359*, 160–172. [CrossRef]
- 18. Bhattacharyya, D. Design and optimization of hybrid membrane–solvent-processes for post-combustion CO<sub>2</sub> capture. *Curr. Opin. Chem. Eng.* **2022**, *36*, 100768. [CrossRef]

- Brunetti, A.; Drioli, E.; Lee, Y.M.; Barbieri, G. Engineering evaluation of CO<sub>2</sub> separation by membrane gas separation systems. J. Memb. Sci. 2014, 454, 305–315. [CrossRef]
- Giordano, L.; Roizard, D.; Bounaceur, R.; Favre, E. Evaluating the effects of CO<sub>2</sub> capture benchmarks on efficiency and costs of membrane systems for post-combustion capture: A parametric simulation study. *Int. J. Greenh. Gas Control* 2017, 63, 449–461. [CrossRef]
- Asadi, J.; Kazempoor, P. Techno-economic analysis of membrane-based processes for flexible CO<sub>2</sub> capturing from power plants. *Energy Convers. Manag.* 2021, 246, 114633. [CrossRef]
- Arias, A.M.; Mussati, M.C.; Mores, P.L.; Scenna, N.J.; Caballero, J.A.; Mussati, S.F. Optimization of multi-stage membrane systems for CO<sub>2</sub> capture from flue gas. *Int. J. Greenh. Gas Control* 2016, 53, 371–390. [CrossRef]
- 23. Damartzis, T.; Papadopoulos, A.I.; Seferlis, P. Optimum synthesis of solvent-based post-combustion CO<sub>2</sub> capture flowsheets through a generalized modeling framework. *Clean Technol. Environ. Policy* **2014**, *16*, 1363–1380. [CrossRef]
- 24. Khalilpour, R.; Abbas, A. Optimal synthesis and design of solvent-based PCC process using a rate-based model. *Sep. Purif. Technol.* **2014**, *132*, 149–167. [CrossRef]
- Xi, H.; Liao, P.; Wu, X. Simultaneous parametric optimization for design and operation of solvent-based post-combustion carbon capture using particle swarm optimization. *Appl. Therm. Eng.* 2021, 184, 116287. [CrossRef]
- 26. Song, C.; Liu, Q.; Ji, N.; Deng, S.; Zhao, J.; Li, Y.; Kitamura, Y. Reducing the energy consumption of membrane-cryogenic hybrid CO<sub>2</sub> capture by process optimization. *Energy* **2017**, *124*, 29–39. [CrossRef]
- 27. Mat, N.C.; Lipscomb, G.G. Membrane process optimization for carbon capture. Int. J. Greenh. Gas Control 2017, 62, 1–12. [CrossRef]
- Ramírez-Santos, Á.A.; Bozorg, M.; Addis, B.; Piccialli, V.; Castel, C.; Favre, E. Optimization of multistage membrane gas separation processes. Example of application to CO<sub>2</sub> capture from blast furnace gas. J. Memb. Sci. 2018, 566, 346–366. [CrossRef]
- Lee, S.; Yun, S.; Kim, J.-K. Development of novel sub-ambient membrane systems for energy-efficient post-combustion CO<sub>2</sub> capture. *Appl. Energy* 2019, 238, 1060–1073. [CrossRef]
- 30. Yuan, M.; Teichgraeber, H.; Wilcox, J.; Brandt, A.R. Design and operations optimization of membrane-based flexible carbon capture. *Int. J. Greenh. Gas Control* **2019**, *84*, 154–163. [CrossRef]
- Gabrielli, P.; Gazzani, M.; Mazzotti, M. On the optimal design of membrane-based gas separation processes. J. Memb. Sci. 2017, 526, 118–130. [CrossRef]
- 32. Oh, S.-Y.; Yun, S.; Kim, J.-K. Process integration and design for maximizing energy efficiency of a coal-fired power plant integrated with amine-based CO<sub>2</sub> capture process. *Appl. Energy* **2018**, *216*, 311–322. [CrossRef]
- Vasilakos, P.N.; Shen, H.; Mehdi, Q.; Wilcoxen, P.; Driscoll, C.; Fallon, K.; Burtraw, D.; Domeshek, M.; Russell, A.G. US Clean Energy Futures—Air Quality Benefits of Zero Carbon Energy Policies. *Atmosphere* 2022, 13, 1401. [CrossRef]
- 34. Tramošljika, B.; Blecich, P.; Bonefačić, I.; Glažar, V. Advanced Ultra-Supercritical Coal-Fired Power Plant with Post-Combustion Carbon Capture: Analysis of Electricity Penalty and CO<sub>2</sub> Emission Reduction. *Sustainability* **2021**, *13*, 801. [CrossRef]
- 35. Zhai, H.; Rubin, E.S. Techno-economic assessment of polymer membrane systems for postcombustion carbon capture at coal-fired power plants. *Environ. Sci. Technol.* **2013**, *47*, 3006–3014. [CrossRef]
- Yang, D.; Ren, H.; Li, Y.; Wang, Z. Suitability of cross-flow model for practical membrane gas separation processes. *Chem. Eng. Res. Des.* 2017, 117, 376–381. [CrossRef]
- 37. Cerveira, G.S.; Borges, C.P.; Kronemberger, F.d.A. Gas permeation applied to biogas upgrading using cellulose acetate and polydimethylsiloxane membranes. *J. Clean. Prod.* **2018**, *187*, 830–838. [CrossRef]
- Asadi, J.; Yazdani, E.; Hosseinzadeh Dehaghani, Y.; Kazempoor, P. Technical evaluation and optimization of a flare gas recovery system for improving energy efficiency and reducing emissions. *Energy Convers. Manag.* 2021, 236, 114076. [CrossRef]
- Shokrian, M.; High, K.A. Application of a multi objective multi-leader particle swarm optimization algorithm on NLP and MINLP problems. *Comput. Chem. Eng.* 2014, 60, 57–75. [CrossRef]
- 40. Fouladvand, M.T.; Asadi, J.; Lotfollahi, M.N. Simulation and optimization of aromatic extraction from lube oil cuts by liquid-liquid extraction. *Chem. Eng. Res. Des.* **2021**, *165*, 118–128. [CrossRef]
- 41. Shokrian, M.; High, K.A. An efficient multi criteria process optimization framework: Sustainable improvement of the Dimethyl Ether Process. *Comput. Chem. Eng.* **2014**, *60*, 213–230. [CrossRef]
- Asadi, J.; Amani, P.; Amani, M.; Kasaeian, A.; Bahiraei, M. Thermo-economic analysis and multi-objective optimization of absorption cooling system driven by various solar collectors. *Energy Convers. Manag.* 2018, 173, 715–727. [CrossRef]
- Vakharia, V.; Salim, W.; Wu, D.; Han, Y.; Chen, Y.; Zhao, L.; Ho, W.S.W. Scale-up of amine-containing thin-film composite membranes for CO<sub>2</sub> capture from flue gas. *J. Memb. Sci.* 2018, 555, 379–387. [CrossRef]
- Budinis, S.; Krevor, S.; Dowell, N.M.; Brandon, N.; Hawkes, A. An assessment of CCS costs, barriers and potential. *Energy Strateg. Rev.* 2018, 22, 61–81. [CrossRef]
- 45. Hüser, N.; Schmitz, O.; Kenig, E.Y. A comparative study of different amine-based solvents for CO<sub>2</sub>-capture using the rate-based approach. *Chem. Eng. Sci.* 2017, 157, 221–231. [CrossRef]