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Black Carbon: The Concentration and Sources Study at the Nam Co Lake, the Tibetan Plateau from 2015 to 2016

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Abstract: We measured black carbon (BC) with a seven-wavelength aethalometer (AE-31) at the Nam Co Lake (NCL), the hinterland of the Tibetan Plateau (TP) from May 2015 to April 2016. The daily average concentration of BC was 145 ± 85 ng m⁻³, increasing by 50% since 2006. The seasonal variation of BC shows higher concentrations in spring and summer and lower concentrations in autumn and winter, dominated by the adjacent sources and meteorological conditions. The diurnal variation of BC showed that its concentrations peaked at 9:00–16:00 (UTC + 8), significantly related to local human activities (e.g., animal-manure burning and nearby traffic due to the tourism industry). The concentration-weighted trajectory (CWT) analysis showed that the long-distance transport of BC from South Asia could also be a potential contributor to BC at the NCL, as well as the biomass burning by the surrounding residents. The analyses of the absorption coefficient and absorption Ångström exponent show the consistency of sourcing the BC at the NCL. We suggest here that urgent measures should be taken to protect the atmospheric environment at the NCL, considering the fast-increasing concentrations of BC as an indicator of fuel combustion.

Keywords: black carbon; meteorology; biomass burning; absorption Ångström exponent (AAE); Nam Co Lake (NCL)

1. Introduction

Black carbon (BC) has a vital role in the earth's climate system and energy budget. BC is produced by the incomplete combustion of biomass, coal, and diesel fuels. In the atmosphere, BC causes atmospheric heating and alters cloud formation processes [1], which is estimated to be the second most important agent of global warming after CO_2 [2,3]. BC can transport far away from its emission source since its lifetime in the atmosphere varies from several days to weeks. BC deposited on ice and snow surfaces has been proven to reduce surface albedo by absorbing more solar radiation, which may accelerate glacier melting and result in changes in glaciers [4,5]. BC not only plays a major role in climate change, but also has negative influences on human health [6].

The Nam Co Lake, hereafter NCL $(30^{\circ}30'-30^{\circ}56' \text{ N}, 90^{\circ}16'-91^{\circ}03' \text{ E})$ is located in the hinterland of the Tibet Plateau (TP). It is the highest saltwater lake in the world and the second-largest saltwater lake in China. Due to the harsh natural environment and climatic conditions, the NCL is far from human life and industrial production areas, and human activities there are weak. The NCL adjoins several



important BC emission regions, such as South East Asia, South Asia, and the southwestern part of China. The atmospheric pollutants from these areas can reach the TP through long-distance transmission, leading to significant changes in the environment and climate of the NCL [7]. Therefore, the NCL has relatively weaker emissions, which is an ideal choice to monitor the regional background concentration of BC and evaluate the various impacts caused by human activities. Moreover, the monitoring of BC as an air pollutant at the NCL is necessary and fundamental, not only for its environmental purpose, but also for its climatic significance.

In recent decades, many studies have been devoted to the observation and research of BC at the remote site of the TP. These studies have played a key role in determining the seasonal and diurnal variation, potential source contribution areas, and optical properties of BC [8–11]. For example, Ming et al. [12] used traditional sampling methods to study the BC concentration at the NCL from July 2006 to January 2007 and suggested that the BC concentration at the NCL can reflect regional and even global atmospheric background concentration. Zhao et al. [8] used the same method to measure the BC concentrations at the NCL from July 2006 to December 2009 and suggested that BC concentration in non-monsoon periods is higher than that in monsoon periods due to the emission sources in South Asia. Wan et al. [13] studied the BC concentration with different sample sizes at the NCL from January 2012 to December 2013. Besides the studies on the concentrations of BC, there are also studies on the source of BC. Li et al. [14] analyzed the carbon isotope composition of aerosol samples and snow pit samples in the northern Qinghai-Tibet Plateau, suggesting that BC is mainly affected by fossil fuel combustion rather than biomass combustion. Zhang et al. [9] studied the diurnal variation of BC at the NCL from October 2010 to October 2014 and analyzed the possible sources of BC by the correlation of atmospheric optical depth (AOD) and BC. However, China's research on BC was mainly concentrated in cities and some suburbs [10,15–17], while the BC observation data in the hinterland of the TP are still scarce, especially since real-time online observation, and the absorption characteristics of aerosols at the NCL and its source analysis based on this are not well understood.

Here, the high temporal-resolution data of BC at the NCL, measured by an aethalometer instrument (Model AE-31), are presented. The primary objectives of this study were: (1) to characterize the seasonal and diurnal variation of BC; (2) to explore the relationship between BC, meteorological conditions, and absorption properties; (3) to investigate the potential sources and transport pathways of BC. The results can provide scientific and theoretical support for assessing the environmental and climatic effects of BC in remote areas.

2. Experiments

The data collected in this work were uniformized to Beijing time (UTC +8).

2.1. Site Description

A seven-wavelength aethalometer (AE-31) was deployed at the NCL, located in the hinterland of the TP, as shown in Figure 1. The observation station is set up at the NCL Station (30°46.44′ N, 90°59.31′ E) for Multi-Sphere Observation and Research, Chinese Academy of Sciences (hereinafter referred to as the NCL Station). This station is located in the southeast of the NCL, north of the Nyainqen Tanglha Mountains, and is about 60 km away from the nearest city, Dangxiong, Tibet. The NCL is mainly affected by the Indian monsoon in summer and westerly circulation in winter.

Figure 2 shows the temperature, precipitation, wind speed, and relative humidity data observed by the automatic weather station (AWS) at the NCL Station from May 2015 to April 2016. Meteorological conditions show seasonal variations. The highest average temperature was 8.3 °C in summer, and the lowest average temperature was -11 °C in winter. The average temperatures in spring and autumn were -0.9 °C and 1.9 °C, respectively. Rainfall mainly occurred in summer, accounting for 68% of one year and the relative humidity exceeded 59%. Rainfall was scarce in winter, accounting for only 7% of one year, and the atmosphere was relatively dry. During the entire sampling period, wind speeds were 3.9, 2.6, 3, and 4.1 m s⁻¹ from spring to winter, respectively.

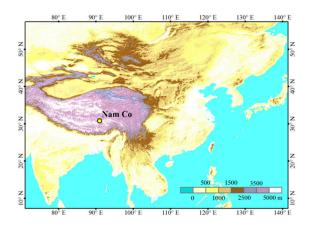


Figure 1. Measurement site and the surrounding areas.

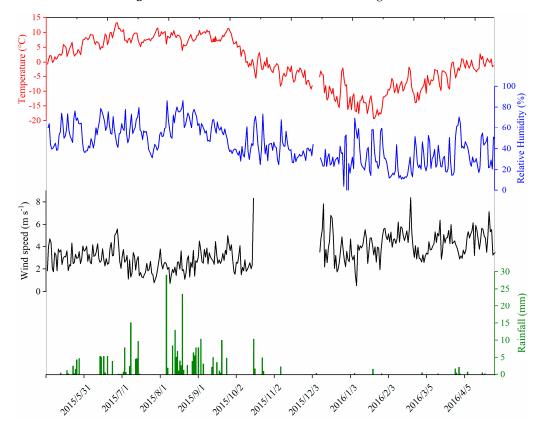


Figure 2. Elementary meteorological observations at the Nam Co Lake (NCL) Station from May 2015 to April 2016, including air temperature, relative humidity, wind speeds, and precipitation.

2.2. Instrument and Data Analysis

Near-real-time continuous measurement of BC was observed from May 2015 to April 2016 using a seven-wavelength aethalometer, model AE31 (Magee Scientific[®], Berkeley, CA, USA, measuring at 370, 470, 520, 590, 660, 880, and 950 nm). The flow rate was 3.9 liters per minute (LPM) with a time resolution of 5 min. The air sampling inlet without cutoff was amounted at the height of ~2 m above the ground [18]. The optical attenuation-detecting technique was used in the aethalometer. The BC concentration was calculated from an incremental attenuation between two measurements using the effective specific mass absorption cross-section (MAC) of the BC deposited on the quartz filter, area of the sample spot, and the flow rate. Regular calibrations and flow checks were carried out on the instrument to maintain the data reliability and accuracy. This instrument was calibrated in 2015 by the

manufacturer, Magee TechnologiesTM. The mass concentration of BC derived from the aethalometer did not represent the actual value of BC but was referred to as "equivalent BC" [19]. The 880 nm channel was considered as the standard channel for BC measurement, since BC is the principle absorber of light at that wavelength. The MAC value of 16.6 m² g⁻¹ was used to determine the BC concentration at 880 nm [20].

Considering the instability of aethalometer, extreme data are prone to appear in online observations, including outliers and negative values. In this study, the raw data were processed through the noise reduction averaging (ONA) algorithm [21], which can be used to reduce noise. The similar data screening procedure of BC is described elsewhere [21–23]. In addition, the data were processed into a 1 h average for further calculation and analysis.

2.3. Calculation of Optical Properties

The aerosol absorption coefficient $\alpha(\lambda)$ was obtained by using the following equation:

$$\alpha(\lambda) = \frac{BC \times 14,625 \times 10^{-3}}{\lambda \times C \times R} \tag{1}$$

where $\alpha(\lambda)$ is aerosol absorption coefficient, λ is the wavelength, which was 880 nm for BC calculations here, *C* is the multiple scattering uncertainty factor, which depends on the filter paper and apparatus, and R is the correction factor for minimizing the inherent uncertainty associated with the aethalometer, arising from the multiple scattering of light in the filter matrix and the change in the optical path length due to successive aerosol loading [24]. Here *C* = 2.14 and *R* = 1 were adopted here to simplify calculation [25], although recently some studies have demonstrated that those correction factors depend on wavelengths and meteorological conditions [26].

In order to understand the spectral changes in the aerosol light absorption properties, we calculated the absorption Ångström exponent (*AAE*) in the present study with the following equations:

$$AAE(\lambda_1, \lambda_2) = -\frac{\ln(\alpha(\lambda_1)) - \ln(\alpha(\lambda_2))}{\ln(\lambda_1) - \ln(\lambda_2)}$$
(2)

where *AAE* is the absorption Ångström exponent, and λ is wavelength. *AAE* is a powerful real-time measurable spectral parameter for source apportionment [27,28] and to see its applicability for source identification presents some actual examples of *AAE* associated with different sources, such as vehicle, biomass, or coal emissions. For example, *AAE* is close to 1 when vehicle emissions are dominant, while it falls between 1 and 3 when incomplete combustion is significant [29,30] and it can reach even higher values during the processes of biomass burning or secondary organic formation [31]. Earlier investigators have reported that BC originating from fossil fuel combustion has an *AAE* varying inversely with wavelength [32]. The *AAE* used here was calculated restrictedly in visible lights (470 and 660 nm).

2.4. Backward Trajectory Analysis and Concentration Weighted Trajectory Analysis

To investigate the potential transport pathways of BC, five-day backward air-mass trajectories were computed using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model [33]. This model was run every six hours for the whole sampling period for each sampling site at 500 m above ground level (AGL) on each day from 1 May 2015 to 30 April 2016. The meteorological data used for trajectory calculating were the GDAS (Global Data Assimilation System) data ($1^{\circ} \times 1^{\circ}$) and were downloaded from the web server of NOAA Air Resources Laboratory.

Concentration weighted trajectory analysis (CWT) is a method for calculating the weighted concentration of trajectories in a potential source area, which assigns the concentration values at the receptor site to the respective backward trajectories [34]. In the CWT method, each grid cell is assigned

a weighted concentration by averaging the sample concentrations that have associated trajectories that crossed that grid cell as follows:

$$C_{ij} = \frac{1}{\sum_{l=1}^{M} m_{ijl}} \sum_{l=1}^{M} C_l m_{ijl}$$
(3)

where C_{ij} is the average weight concentration on the grid (i, j), *l* is index of the trajectory, *M* is the total number of trajectories, C_l the concentration observed on arrival of trajectory *l*, and m_{ijl} is the time spent on the grid (i, j) by trajectory *l*. A high value for C_{ij} implies that air parcels traveling over the grid (i, j) would be, on average, associated with high concentrations at the receptor. The arbitrary weighting function described above was also used in the CWT analyses to reduce the effect of the small values of n_{ij} , which is the total endpoint number of air-mass trajectories falling into the *ij*th cell during the study period.

3. Results and Discussion

3.1. Temporal Variations of BC

The daily and hourly mean concentrations of BC at the NCL from May 2015 to April 2016 are shown in Figure 3. During the observation period, the daily mean BC was substantially in the range of 25–578 ng·m⁻³ with the mean of 145 ± 85 ng·m⁻³. Compared with a decade ago [12], the average concentration of BC increased by 50%. The monthly mean of the concentrations 150 of BC ranged from 77–312 ng·m⁻³. The BC has seasonal cycles at Nam Co. The seasonally averaged BC concentrations during the study period were 203, 194, 116, and 109 ng·m⁻³ in spring, summer, autumn and winter, respectively. The concentrations of BC in spring and summer were approximately two-times higher than in autumn and winter. The highest concentration might be related to the large amounts of biomass burning at Nam Co and relatively drier weather. The lowest average concentration in November may be attributed to more precipitation during the 2015 monsoon period. After the precipitation, the BC concentration at Nam Co dropped to a lower level.

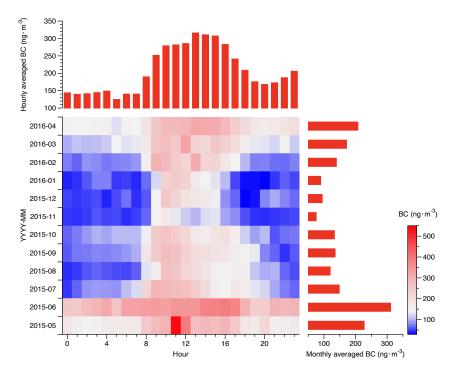


Figure 3. The temporal variation of BC on daily basis vertically, and hourly basis horizontally, including hourly and monthly averaged BC on the top and right panes, respectively, during May 2015 to April 2016.

This seasonal variation was mainly related to long-distance transport and meteorological conditions. High BC concentrations in spring could be attributed to meteorological conditions, such as it being relatively dry and there being less precipitation. Compared with spring, the BC concentration in summer was lower, which may be due to the frequent precipitation events in summer, accounting for 68% of the whole year. Precipitation has a wet removal effect on BC, thereby greatly reducing BC concentration.

Studying the diurnal variation of BC is a useful approach to understand the effect of mesoscale atmospheric processes and human activities on BC [35]. The diurnal variation of BC at Nam Co had obvious unimodal characteristics, which were high during the day ($205 \text{ ng} \cdot \text{m}^{-3}$) and low at night ($106 \text{ ng} \cdot \text{m}^{-3}$). There was a significant peak in BC concentration during 9:00–16: 00 Beijing time (the same applies from now on), which was strongly related to meteorological factors and local anthropogenic activities, such as the indigenous Tibetan burning of animal waste and tourism traffic. At 7:00 h, BC concentration began to increase until the highest value appeared at 11:00, when it started to decline slowly and decreased to the bottom at 0:00–1:00. The peak concentrations appearing at 11: 00 were closely related to local residents' activities (cooking, heating, etc.) and tourism (traffic and biofuel burning). The lowest values appeared between 0:00 and 1:00, which may be related to lower night temperatures and fewer human activities.

Table 1 shows the BC concentrations with the aethalometer in some remote region over the Tibetan Plateau. BC concentrations at Nam Co were significantly lower than at Ranwu and Beiluhe. The BC concentrations at the NCL were close to most of those over the remote region of the TP, such as Muztagh Ata and Qilian Shan, which also reflects that relatively clean atmosphere environment. Therefore, Nam Co has a lower BC concentration and fewer emission sources, which effectively reflects the background concentration of the Tibetan Plateau. However, under appropriate atmospheric transmission conditions, emissions from surrounding areas also increase the BC concentration of Nam Co.

Location	Period	Aethalometer	BC (ng⋅m ⁻³)	Reference
Nam Co	May 2015–April 2016	AE-31	145 ± 85	This study
Waliguan	July 1994–December 1995	AE-10	130-300	[11]
Qilian Shan	May 2009–March 2011	AE-31	18-72	[36]
Muztagh Ata	November 2009–September 2010	AE-16	133	[37]
Ranwu	November 2012–June 2013	AE-31	276	[38]
Beiluhe	November 2012–June 2013	AE-31	413	[38]

Table 1. BC concentration with aethalometer in some remote region over the Tibetan Plateau.

3.2. Impacts of Meteorological Conditions on BC Variations

BC aerosols in the atmosphere are usually affected by the combination of meteorological conditions, such as source emissions, wet and dry deposition processes, wind, and atmospheric boundary layers. Rainfall, relative humidity, and winds are also significant factors of BC concentration. Figure 4 shows the relationship between BC and winds at Nam Co. Higher concentrations of BC (>150 ng·m⁻³) are associated with higher wind speeds and southern and eastern directions in all seasons, and in winter, when westerlies prevail, the BC was predominantly lower than 50 ng·m⁻³ unless the high-speed wind (>4 m·s⁻¹) brought inputs from the east-southeast. This indicates that the high-speed winds in the southern directions can transport BC with long-distance sources to the NCL. When BC concentration were higher than 300 ng·m⁻³, southeast and southwest winds were high-frequency wind directions in summer. When the BC concentrations fell between 200 ng·m⁻³ and 300 ng·m⁻³, south and southwest winds were high-frequency wind directions in autumn, while southeastern wind was the high-frequency wind direction in winter.

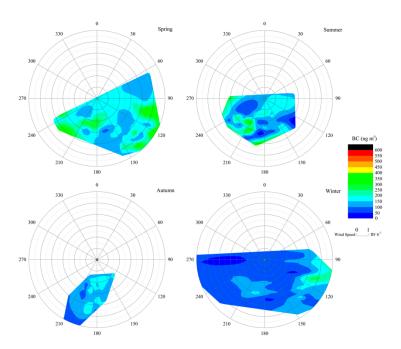


Figure 4. The relationship of average BC concentration and winds during the study period.

Besides the prevailing winds, precipitations also play a crucial role in influencing the concentrations of the atmospheric constituents at the NCL [12]. Figure 5 shows the change of BC concentration during rainfall and snowfall. Precipitation has a wet removal effect on BC, which significantly reduces the concentration of BC. There are frequent rainfall events in summer, which accounts for 68% of the yearly days, making the BC concentrations in summer slightly lower than those in spring. Three days after rainfall, BC concentrations were still at a low level, and the lowest concentration appeared on the rainfall day. Compared with rainfall, snowfall in winter had a more substantial effect on the deposition of BC, and it took longer for the BC concentration to recover to the average concentration after snowfall than after rainfall.

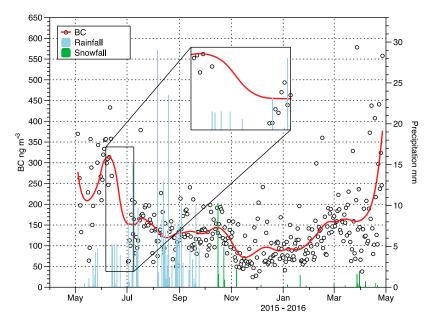


Figure 5. The variation of daily-averaged BC and rainfall during the study period, where the red line is the LOESS fit of the BC. The magnified frame shows the typical suppressing effect of precipitation on the concentrations of BC.

Figure 6 shows the concentration distribution of BC in the map of temperature and relative humidity (RH) at the NCL. The BC particles tend to agglomerate within the area of ~45–60% in RH from ~–5 °C to 0 °C and show significantly higher concentrations than other areas of temperature and RH. At another site—Qilian Mountain of the TP—Zhao et al. [36,39] observed that the BC showed a significantly positive correlation with RH (under 50%) and suggested that BC could join in absorbing water vapor in relatively drier environments and act as a condensing nuclei. We suggest that BC particles at the NCL might also absorb water vapor in the function of relative humidity in a relatively dry and cold environment. The correlation of BC with decreasing temperature could be due to the strong influence of biomass burning for domestic heating, which had been observed at several sites, as shown by a previous study [40].

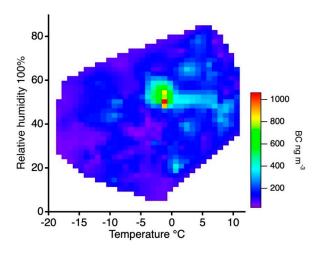


Figure 6. The relationship of BC concentration, temperature, and relative humidity.

3.3. Absorption Properties of BC

The monthly averages absorption coefficient of BC ranged from 0.6 to 2.43 Mm⁻¹ for $\alpha(\lambda)$ (880 nm) from Equation (1) with an average value of 1.2 ± 0.52 Mm⁻¹. The seasonal averages were 1.58 ± 0.22 , 1.51 ± 0.8 , 0.9 ± 0.26 , and 0.85 ± 0.21 Mm⁻¹ for $\alpha(\lambda)$ (880 nm) in spring, summer, autumn, and winter, respectively. In general, $\alpha(\lambda)$ (880 nm) is the largest in spring, and the lowest in winter. This is associated with the emission sourcing varying with seasons at the NCL. In spring and summer, there are more active human activities on the plateau, including relatively intense tourism and pasturing. The induced biomass burning from these activities emits brown carbon which has remarkable—and gradually increasing—absorption capabilities towards the shorter wavelengths under 600 nm [41], while in autumn and winter, strong westerlies swipe and carry dust, impacting the NCL area, which have a three order-of-magnitude smaller absorption than BC [42]. However, in this wavelength range, the absorption of dust, including hematite composite, can have absorption comparable with BC [43]. The stronger aerosol absorption in spring might result from the absorption by higher BC concentrations and additional dust input in dryer seasons [9].

Several previous studies have shown that AAE can be used to distinguish between aerosols originating from fossil fuel and biomass fuel combustion [32,44,45]. Monthly average AAEs, calculated for the atmosphere at Nam Co, are shown in Figure 7. The AAEs restricted between 470 nm and 660 nm ranged from 0.67 to 1.4, with an average of 1. The AAEs in summer (0.78) and autumn (0.85) were less than 1, while the AAEs in winter (1.17) and spring (1.27) were higher than 1. The higher AAEs in winter and spring indicated the potential contribution of brown carbon (BrC) by combusting yak manure for heating in Tibet [46].

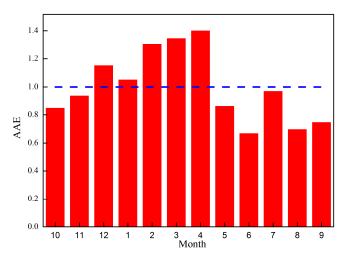


Figure 7. The monthly variation of AAEs, restricted between 470 nm and 660 nm during the study period.

Several studies have found that aerosols originating from fossil-fuel combustion have a weaker dependence on spectral light absorption, with an average AAE close to 1 [27,47]. Different from fossil-fuel emissions, the AAEs from biomass burning have been reported between 1.5 and 3, and a value of 2 is often considered as a mean [32]. AAE values between 1 and 2 could be indicative of aerosols of mixed sources, that is, fossil and biomass fuels. Therefore, fossil-fuel emissions are the primary source of BC at the NCL, but the contribution of biomass burning and other impurities to BC in winter and spring was higher than that in summer and autumn.

3.4. BC Potential Sources

Figure 8 shows that there are four pathways for air masses to arrive at the NCL from their distant sources during the observation period. The cluster analysis suggested that air masses over the sampling site are mostly from Nepal and northern India in spring. Air masses originating in West Asia also accounted for 31.5%, and western Xinjiang and Central Asia accounted for 7.6% of the total trajectories. Air masses were mainly from Nepal in summer, and trajectories from Nepal account for ~38% of the total trajectories, indicating that emissions in South Asia could travel to Nam Co by the Indian monsoon. The NCL was also affected by short-range air masses from the north and east, accounting for 26.1% and 19.6%, respectively. In autumn, the proportion of air masses in Bangladesh, Nepal, and northern India began to increase, reaching 71.5%, and Nam Co was more susceptible to the impact of long-distance air mass transmission in South Asia. In winter, the proportion of western transmission by the westerly circulation began to increase, accounting for 30.8%, only second to southern transmission (37.4%).

We also calculated and analyzed the potential source areas and their contributions to BC in different seasons. The concentration-weighted trajectory analysis method (CWT) was used here. Figure 9 shows the results of the CWT analysis at Nam Co during the observation period. In spring, higher concentrations of BC were mainly distributed in Nepal, northwestern India, and Central Asia; in summer, higher concentrations were mainly distributed in Nepal, northwestern India, and Central Asia; in autumn and winter, higher concentrations appeared in the surroundings of the NCL due to the weaker background.

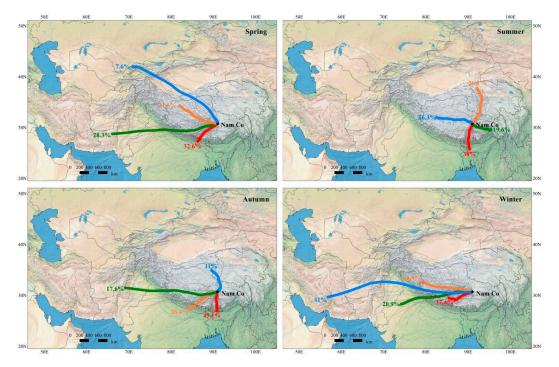


Figure 8. Cluster-mean 5-day backward air-mass trajectories calculated by the HYSPLIT model, including the percentages of each cluster trajectory during spring, summer, autumn, and winter.

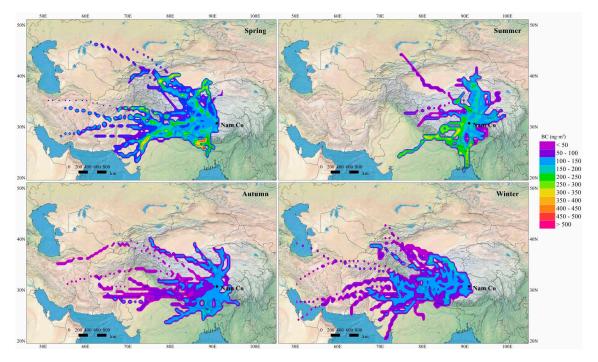


Figure 9. Seasonal distribution of concentration-weighted trajectory (CWT) of BC.

The NCL is a clean area with weak pollutant emissions, but it is adjacent to two large BC emission sources in East and South Asia. The NCL area is mainly affected by long-range air masses over the TP and its surrounding areas, and it also inevitably retains the pollution signals caused by human production and living in the surrounding areas (such as South Asia). Studies have found that BC aerosols from South Asia can cross the Himalayas to the inland areas of the TP. If the BC emissions of South Asian are taken into account, they inevitably have a significant impact on BC over the NCL via long-distance transports.

4. Conclusions

BC was measured by a seven-wavelength aethalometer (AE-31) at the Nam Co Lake (NCL), the Tibetan Plateau (TP). The average daily BC concentration was 145 ± 85 ng·m⁻³. The BC concentrations at the NCL were lower than many remote sites of the TP, reflecting a background level. The diurnal variations of BC showed plateaus from 9:00 to 16:00 (UTC + 8), associated with human activities, such as the indigenous burning of animal waste for heating and tourist traffic. Backward-trajectory analysis and meteorology show that the seasonal variation of BC was both related to sources on the local scale and long-distance transport from adjacent heavily polluted areas, such as South Asia.

We also analyzed the relationship between BC, relative humidity, and air temperature. The higher BC concentrations were more associated with the winds greater than 2 m·s⁻¹, relative humidity between 50% and 60%, and temperatures between -5 °C and 0 °C. This suggests that BC here might absorb water vapor in the function of relative humidity in a relatively dry and cold environment. The precipitations may keep the concentrations of BC low for three days. The absorption coefficient of BC, $\alpha(\lambda)(880 \text{ nm})$, were 50% higher than 1 in spring and summer and slightly lower than 1 in autumn and winter. The difference shows that the fossil-fuel and biofuel emissions of BC dominate the main sources at the NCL in warm and cold seasons, respectively.

With one year of continuous and high-resolution BC data, we conclude that the average concentration of BC at the NCL increased by ~50% compared with the result one decade ago [12]. The local air pollution has been elevated due to intensified human activities, although BC is still reflecting a background level at a remote site. The environment of the Nam Co Lake in the hinterland of the Tibetan Plateau cannot avoid the worsening of this harsh situation. Urgent protection measures are needed to preserve the local environment from the administration level.

Author Contributions: F.W. collected the data and drafted the manuscript. X.Z., X.Y., and M.S. helped collect data and plot figures. G.Z. helped to draft the manuscript. J.M. conceived and oversaw the work and sophisticated the manuscript. All authors have read and agreed to the published version of the manuscript.

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Conflicts of Interest: The authors declare no conflict of interest.

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