

Hypothesis

Individual Copy Number of Ribosomal Genes as a Factor of Mental Retardation and Autism Risk and Severity

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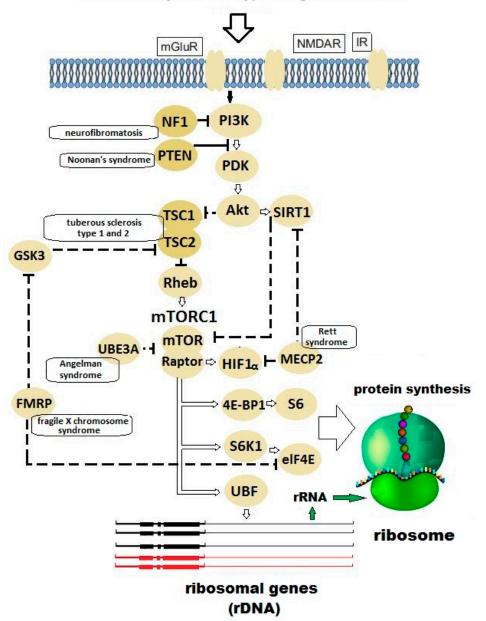
Abstract: Autism is a complex multifactorial developmental disorder characterized by deficits in communication and restricted interests, often followed by mental retardation. Autism spectrum disorders (ASD) are caused by defects in miscellaneous molecular mechanisms, many of which remain unclear. But a considerable part of the known pathways converges on protein synthesis or degradation processes at different stages in the dendrites, laying the foundation for a concept of disturbed "translational homeostasis" or "proteostasis" in autism. The protein synthesis is conducted on ribosomes, cellular organelles consisting from a complex of riboproteins and a ribosomal RNA (rRNA) framework. The rRNA is encoded by ribosomal genes (RG) existing in multiple copies in the genome. The more copies of RG that are contained in the genome, the higher is the peak (maximum possible) ribosome abundance in the cell. A hypothesis is proposed that the RG copy number, through determining the quantity of ribosomes available in the dendrites, modulates the level of local dendritic translation and thus is a factor of risk and severity of a series of neuropsychiatric disorders caused by aberrant dendritic translation. A carrier of very low copy number of ribosomal genes is expected to have a milder form of ASD than a subject with the same epigenetic and genetic background, but a higher ribosomal gene dosage. Various ways of evaluation and testing the hypothesis on clinical material and animal models are suggested.

Keywords: autism; ribosome; rDNA; ribosomal gene; TSC; copy number

1. Introduction

Autism is a complex multifactorial disease associated with >1000 genes. For example, the SFARI (Simons Foundation Autism Research Initiative) database currently lists 1036 genes implicated in autism [1]. Approximately 31% of patients with autism and ASD (autism spectrum disorders) present mental retardation, also termed intellectual disability (reduced communication and learning capacities, IQ < 70) [2]. Autism and mental retardation are caused by various molecular mechanisms, many of which remain unclear. But a considerable part of known pathways converges on protein synthesis or degradation processes (Figure 1) at different stages laying the foundation for a concept of disturbed "translational homeostasis" or "proteostasis" in ASD [3–5].





Hormones, cytokines, hypoxia, growth factors

Figure 1. The molecular signaling pathways that control the pace of protein biosynthesis in ribosomes. An arrow stands for activation or synthesis of a product, a dashed line means suppression. Close to the key regulatory proteins, monogenic syndromes are indicated, which result from mutations in the gene for the relevant protein and cause mental retardation and autism in most (but not all) cases. Abbreviations: mGLuR—metabotropic glutamate receptor; NMDAR—N-methyl-D-aspartate receptor; IR—insulin receptor; NF1—neurofibromin; PI3K—phosphoinositide-3 kinase; PTEN—phosphatase and tensin homolog deleted on chromosome 10; PDK—phosphoinositide-dependent kinase; TSC1/2—tuberous sclerosis complex 1/2; Akt—protein kinase B; SIRT1—sirtuin 1; Rheb—rat sarcoma homolog enriched in brain; GSK3—glycogen synthase kinase 3; mTORC1—mammalian target of rapamycin complex 1; mTOR—mammalian target of rapamycin; Raptor—regulatory-associated protein of mTOR; UBE3A—ubiquitin protein ligase E3A; HIF1 α —hypoxia-inducible factor 1-alpha; MECP2—methyl-CpG binding protein 2; FMRP—fragile X mental retardation protein; eIF4E—eukaryotic initiation factor 4E; 4E-BP1—4E-binding protein 1; S6—ribosomal protein S6; S6K1—p70 ribosomal S6 kinase; UBF—upstream binding factor.

Essential for studying and understanding the ASD pathogenesis are several monogenic syndromes with high autism rates (see Table 1) [6]. Among them, most frequent is fragile X syndrome caused by the lack of family mental retardation protein (FMRP) encoded by FMR1 gene. The FMRP functions as a translation repressor [7–9] for a certain class of mRNA.

Gene	Gene Function	Disease	Frequency of Autism in the Disease	Frequency of the Disease in All Autism Cases
1	2	3	4	5
FMR1	Translation repressor	Fragile X syndrome	15–30%	2–5%
TSC1/2	mTOR inhibitor	Tuberous sclerosis 1/2	25-60%	1–4%
PTEN	PI3K/mTOR signaling pathway inhibitor	Cowden syndrome	No data	1%
NF1	Ras-GTPase activating protein	Neurofibromatosis 1	4%	0–4%
MECP2	Global translation repressor	Rett syndrome	100%	2%
UBE3A	E3 ubiquitin ligase	Angelman syndrome	40%	1%
NLGN3/4	Synaptic adhesion	Familial ASD	No data	<1%
NRXN1	Synaptic adhesion	Familial ASD	No data	<1%

Table 1. Monogenic disorders characterized by a high frequency of autism (syndromic forms of autism).

Animal models have been developed for most common monogenic forms of autism in laboratory rodents, zebrafish (*Danio rerio*) and *Drosophila*. Animal model studies help forward a fundamental understanding of the pathogenic mechanism and search for promising agents of pharmacologic correction [4,10–12].

The existing outline of pathogenesis posits that exaggerated protein synthesis affects synaptic plasticity and cognition through the disturbed mechanism of local dendritic translation, because the transition from labile short-term memory to stable long-term one requires a transient burst of synthesis of specific proteins in dendrities [6,13].

It remains unclear, however, why mental retardation and autism are not intrinsic in every case of the monogenic syndromes (see Table 1, the fourth column). So, tuberous sclerosis is known to have so-called "formes frustes" (from French "crude forms", or "unfinished forms") with safe or slightly affected intellect. These forms are often misdiagnosed [14,15] or remain non-diagnosed until an affected child is born. The only known cause of formes frustes is genetic mosaicism [16–18], but it covers just a part of the phenomenon cases. Finding the answer as to why the intellect is rescued in some cases of syndromic autism could shed the light on the autism pathogenesis and possible ways to prevent its progression.

Defects of memory, learning, cognition and communication can be caused by mutations in genes that control different stages of protein synthesis: Initiation (*eIF2d*, *eIF4e*) [19,20], poly (A), the mechanistic target of rapamycin complex 1 (*mTORC1*), elongation (*eEF2*), folding (reviewed in: [21]). The final loop of the translation chain is the ribosome, and there are known autism-associated "ribosomopathies", i.e., defects in riboproteins (e.g., disrupted Rpl10) [22–25], but no attention is paid to such factors as the abundance (quantity) of available normal ribosomes limited by the availability of ribosomal RNA (rRNA), the major component of the ribosome, encoded by ribosomal genes.

Due to high demand for rRNA (~60–80% of total cellular RNA), the ribosomal genes are represented by tandem repeats in the genome of every eukaryotic organism. Human ribosomal RNA genes encoding a pre-transcript of the three major ribosomal RNA (18S, 5.8S and 28S rRNA) are clustered in five pairs of human chromosomes (13, 14, 15, 21, 22). According to our studies, the total copy number of rRNA genes varies from 250 to 670 per diploid genome in different individuals with a mean of approximately 420 copies, but only a fraction of them is transcriptionally active [26]. There is a recent study with a different estimate [27], but the studies concur to report significant inter-individual variations in rDNA copy numbers. The functional consequences of human rRNA gene dosage are not widely known and often assumed to be negligible. However, there is a body of facts that individual rRNA gene dosage has an effect on normal growth and ageing, stress resistance of healthy subjects and survivability of patients with chromosomal abnormalities, as well as on the risk and severity of some multifactorial diseases with proven genetic predisposition (reviewed in: [26]).

Obviously, the genome dosage of human ribosomal genes that determines the overall ribosomal number [28,29] and hence limits protein synthesis and growth [30,31], should be expected to have an influence on the pace of protein synthesis in dendrites during key moments of synapse formation thus modulating the progression of mental diseases associated with exaggerated translational homeostasis.

2. Hypothesis

I postulate here that the copy number of ribosomal genes (genes encoding rRNA) through determining the available ribosome abundance in the dendrites modulates the level of local dendritic translation and thus is a factor of risk and severity of a series of neuropsychiatric disorders caused by aberrant dendritic translation (Figure 2).

Within this scheme, autism and intellectual disability will develop in subjects with dysregulated translation on abundant ribosomes owing to moderate or high copy numbers of ribosomal genes (genes for rRNA), whereas those subjects, whose genomes contain very few genes for rRNA (but still sufficiently for normal life, of course), will have normal intellect despite the same genetic defect.

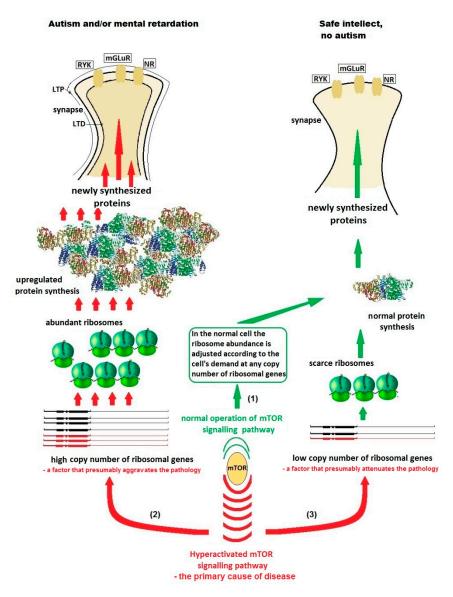


Figure 2. A hypothetical scheme of the influence of ribosomal gene (RG) dosage in the genome of a non-carrier (green text and arrows) and a carrier (red text and arrows) of a monogenic autism mutation on the disease severity. The idea is that low RG copy numbers that lead to ribosome underabundance would attenuate the signs of monogenic autism caused by excessive protein production in the dendrites. (1) Without mutation, the copy number of ribosomal genes makes no difference. The cell produces such quantity of ribosomes and proteins as required for its functioning at the moment, via switching on and off the ribosomal DNA (rDNA) transcription according to demand. (2) A mutation resulting in hyper-activation of mTOR signaling pathway, provided that the cell has a sufficient quantity of ribosomal genes in the genome, leads to elevated rDNA transcription and hyper-activation of local (dendritic) translation resulting in overproduction of some proteins. The protein overproduction results in aberrant synapse morphology and defect LTD/LTP processes in the synaptic networks. Autism and (or) mental retardation develops. (3) I hypothesize here that formes frustes of the monogenic syndromes with safe intellect are only possible in case of the presence of a small number of copies of ribosomal genes in the genome. In that case, the ribosomal genes, despite hyperactivation, are unable to produce so much rRNA and, consequently, ribosomes, so that the local protein synthesis in dendrites would be measurably disturbed. Abbreviations: LTD/LTP—long-term depression/potentiation; RYK—receptor-like tyrosine kinase; mGLuR-metabotropic glutamate receptor; NR-N-methyl-D-aspartate receptor.

3. Evaluation of Hypothesis

First of all, the following question should be addressed: Why has this idea not been proposed ever before?

The scientific community is poorly aware of the variability of ribosomal gene copy number in man and the effects of this trait. Ribosomal RNA remains in the unassembled part of human genome after the completion of Human Genome project. There are only rare publications in this field with calls for studying the manifestation of the individual ribosomal DNA (rDNA) copy number [27]. That's why the researchers' attention is focused on upstream signaling, not on the quantitative factor of ribosome abundance.

Empirical data that could prove the hypothesis are indirect and segmentary. They are listed below.

(a) Autism and ASD are known to have some similarity with schizophrenia [32], a mental disorder characterized by abnormal behavior, strange speech and a decreased ability to understand reality, unclear or confused thinking, reduced social engagement and emotional expression and lack of motivation, with a global prevalence of the same magnitude as autism [33,34]. Schizophrenia patients have an elevated rDNA abundance in their genomes [35]. This fact can point at an exaggerated protein synthesis and distorted translational homeostasis in the patients.

(b) There are some anecdotal reports from practical neurologists (personal communications to the author) that the formes frustes of tuberous sclerosis with safe intellect are more affected somatically. This observation can be well explained by the decreased rDNA content in such cases, because subjects with low copy numbers of ribosomal genes are generally more amenable to stresses [26], and the same is true of *Drosophila* "bobbed" phenotype (see below in the "Predictions" section, subparagraph (ii) of paragraph b).

(c) There is a body of cases of ameliorating symptoms and behavior in some children with autistic disorders during and/or soon after a heat shock, such as fever or external heat (e.g., sauna) [36]. Special therapeutic devices called "heat tubes" or infrared saunas have been developed and, though unapproved officially for autism correction and often criticized, are offered for the treatment of responders as a device for "toxin clearance" [37,38]. Scientifically, the effect of heat shock was hypothetically attributed to different factors, including altered expression of the causative genes [39], or transiently restoring the modulatory functions of the locus coeruleus-noradrenergic (LC-NA) system [40], or the effect of stress-induced chaperons termed heat-shock proteins on proteostasis [41,42]. An alternative explanation can be proposed on the base of the fact that the heat shock represses rRNA synthesis by inactivation of TIF-IA and lncRNA-dependent changes in nucleosome positioning [43]. Obviously, attenuation of RNA Pol I is a condition that simulates a genome with low number of active ribosomal genes: In both cases, the rate of rRNA production is low and this improves the cases with pathologically up-regulated translation.

4. Predictions from the Hypothesis and Direct Testing

Determining predictions and testing their consequences is the best way to prove a hypothesis.

My hypothesis predicts that the carriers of the "monogenic autism" mutations (neurofibromatosis, tuberous sclerosis, Cowden syndrome, and some other) in mild form with safe intellect have lower numbers of ribosomal genes in their genomes compared to intellectually disabled patients with the same syndromes, because scarce ribosomes are expected to attenuate the exaggerated dendritic translation in cases. The same can be referred to in animal models. This prediction naturally determines two directions of testing the hypothesis.

4.1. Testing on Patients

This way implies direct determination of the copy number of total and active (non-methylated) ribosomal genes in formes frustes and intellectually disabled cases of monogenic autism syndromes. The logically predicted result from the hypothesis is that subjects with safe intellect will show less

copies of total and active ribosomal genes in their genomes. Alternatively, severe cases are expected to have higher rDNA abundance, because high ribosome content facilitates translations and thus aggravates the disease. Cases of mosaicism should be excluded from these tests.

4.2. Testing on Animal Models

(*i*) Rodents: In a murine autism model, pharmacologic attenuation of RNA Pol I (like heat-shock treatment described above) would yield a decrease in ribosome content, thus simulating a very low dosage of active ribosomal genes. If the hypothesis is true, this will result in an improvement of autism-like symptoms and an increase in memory and learning abilities. Many agents for RNA I attenuation are available now. A series of novel rRNA synthesis inhibitors, such as quarfloxin [44], were developed recently.

(*ii*) *Drosophila*: Fruit fly is an ideal model for studying the effects of ribosomal gene dosage. Unlike human rDNA (ribosomal genes), which is distributed over five pairs of acrocentrics, *Drosophila* has only one pair of rDNA clusters on sexual chromosomes [45]. The rDNA cluster size is normally constant within the population and through generations because meiotic recombination in the rDNA arrays is rare due to their heterochromatic locations. However, there are genetically altered flies with partially deleted rDNA clusters, but are still sufficient for life. This rDNA deficiency determines the hypomorphic "bobbed" (*bb*) phenotype, described by Bridges [46] as a recessive trait characterized by slow development, production of short and thin thoracic bristles, thinning, and, sometimes, etching of the abdominal cuticular tergites and by deposition of dechorionated eggs. All of these morphological features reflect the reduced protein synthetic ability caused by lack of rRNA [47].

Despite the obvious anatomical difference, biological processes are highly conserved between *Drosophila* and humans at the molecular, cellular, and synaptic levels. About 75% of human disease genes have identifiable homologs in *Drosophila*, 44% of which are sufficiently conserved for functional study [48–52]. The effects of five of the most promising and probable ASD candidate genes: Fmr1 (fragile X mental retardation 1, FraX), Ube3a (ubiquitin-protein ligase E3A), Nrx-1 (neurexin-1), Nlg4 (neuroligin 4), and Tsc1 (tuberous sclerosis complex 1) are well investigated on *Drosophila* [53]. The *Drosophila* studies that characterize the molecular and behavioral abnormalities in fly ASD candidate gene mutants, including locomotor activity, memory and learning, and courtesy patterns are well reviewed in [54]. The most affected behavioral pattern is courtship in male flies [55]. Proceeding from the above-mentioned hypothesis, one can assume that double mutants with *bobbed* phenotype and simultaneously *fmr1* or *tsc1* autism gene ortholog mutations would have less affected memory/courtship pattern than the autism gene mutants without *bobbed* deletion of the rDNA cluster.

An original experiment design developed by Chang et al. [10] could be also useful for experimental testing. Wild type flies grew well on a medium containing glutamate, whereas *Fmr1* mutants died because of neuronal excitotoxicity. It can be predicted that *Fmr1* mutant flies with an additional *bobbed* deletion (double mutants) would have a higher threshold of glutamate excitotoxicity and less mortality on the glutamate medium.

5. Discussion

Monogenic autism cases constitute a mere part of ASD cases. However, I consider it possible that the hypothetic scheme represented above can relate to most, if not all, cases with idiopathic autism/ASD. So, the syndromic forms of autism are believed to account for up to 25% of the total autism spectrum cases [56]; however, microarray analysis of peripheral blood from patients with idiopathic autism has shown an abnormal activity of the signaling pathways associated with translation initiation [57]; and a direct measurement of Akt/mTOR pathway in vitro in blood cells isolated from children with idiopathic autism demonstrated elevated Akt/mTOR pathway activity as compared to healthy controls, suggesting an essential role of the mTOR pathway in the pathogenesis of the entire autism spectrum [58]. There is tentative evidence that hyperactivation of the Akt/mTOR pathway underpins approximately 80% of idiopathic ASD cases [59]. Dysregulation of the mTOR pathway has

been identified in multiple models, suggesting a potential common mechanism in the development of ASD [60]. Perhaps, the exaggerated translation in idiopathic autism is realized through epigenetic mechanisms. Anyway, in most cases, a carrier of a very low copy number of ribosomal genes is expected to have a milder form of ASD or no signs at all, than a subject with the same epigenetic and/or genetic background, but a higher ribosomal gene dosage.

If the hypothesis is proved, two benefits can be obtained. The first one is a good prognostic possibility for family risk and de novo cases. Second, novel drugs targeting the rDNA transcription and the ribosome itself could be developed and applied as alternative therapy in psychiatry and neurology. There are already some reports of mood stabilizing effects of macrolids that inhibit the mitochondrial ribosomes, and rapamycin, which is an upstream inhibitor of cytoplasmic ribosomes via mTOR pathway blockage [61]. A possibility to tune precisely the activity of cytoplasmic ribosomes might become a promising step forward in the psychiatry.

I note finally, that the hypothesis is not relevant to any case of ribosomopathy, that is disrupted riboproteins [22–25], nor to defects in specific rRNA modifications required for rRNA biogenesis and assembly, playing a role in neocortical development and involved in some diseases (e.g., as reviewed in [62]). The hypothesis stated above comprises only a quantitative mechanism of modulating a proteostasis shift in cases with upregulated dendritic translation, which implies normal ribosomes.

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Conflicts of Interest: The author declares no conflict of interest.

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