

## Article

# Association of CSF GAP-43 and APOE $\epsilon$ 4 with Cognition in Mild Cognitive Impairment and Alzheimer's Disease

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‡ Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at:

[http://adni.loni.usc.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf).

**Abstract:** The growth-associated protein 43 (GAP-43) is a presynaptic phosphoprotein in cerebrospinal fluid (CSF). The  $\epsilon$ 4 allele of apolipoprotein E (APOE) is an important genetic risk factor for Alzheimer's disease (AD). We aimed to evaluate the association of CSF GAP-43 with cognition and whether this correlation was related to the APOE  $\epsilon$ 4 status. We recruited participants from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database, and they were divided into cognitively normal (CN)  $\epsilon$ 4 negative (CN  $\epsilon$ 4<sup>-</sup>), CN  $\epsilon$ 4 positive (CN  $\epsilon$ 4<sup>+</sup>), mild cognitive impairment (MCI)  $\epsilon$ 4 negative (MCI  $\epsilon$ 4<sup>-</sup>), MCI  $\epsilon$ 4 positive (MCI  $\epsilon$ 4<sup>+</sup>), AD  $\epsilon$ 4 negative (AD  $\epsilon$ 4<sup>-</sup>), and AD  $\epsilon$ 4 positive (AD  $\epsilon$ 4<sup>+</sup>) groups. Spearman's correlation was utilized to evaluate the relationship between CSF GAP-43 and core AD biomarkers at the baseline. We performed receiver-operating characteristic (ROC) curve analyses to investigate the diagnostic accuracy of CSF GAP-43. The correlations between CSF GAP-43 and the Mini-Mental State Examination (MMSE) scores and brain atrophy at baseline were assessed by using multiple linear regression, while the association between CSF GAP-43 and MMSE scores at the follow-up was tested by performing the generalized estimating equation (GEE). The role of CSF GAP-43 in the conversion from MCI to AD was evaluated using the Cox proportional hazard model. We found that the CSF GAP-43 level was significantly increased in MCI  $\epsilon$ 4<sup>+</sup>, AD  $\epsilon$ 4<sup>-</sup> and AD  $\epsilon$ 4<sup>+</sup> groups compared with CN  $\epsilon$ 4<sup>-</sup> or MCI  $\epsilon$ 4<sup>-</sup> group. The negative associations between the CSF GAP-43 and MMSE scores at the baseline and follow-up were found in MCI  $\epsilon$ 4<sup>-</sup> and MCI  $\epsilon$ 4<sup>+</sup> groups. In addition, baseline CSF GAP-43 was able to predict the clinical progression from MCI to AD. CSF GAP-43 may be a promising biomarker to screen cognition for AD. The effects of CSF GAP-43 on cognition were suspected to be relevant to APOE  $\epsilon$ 4 status.

**Keywords:** Alzheimer's disease; growth-associated protein 43; Apolipoprotein E  $\epsilon$ 4; synaptic loss; biomarker



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## 1. Background

Alzheimer's disease (AD) is the most common type of dementia presenting with progressive cognitive decline and is characterized by abnormal accumulation of extracellular amyloid- $\beta$  (A $\beta$ ) plaques, intracellular neurofibrillary tangles of tau protein, and neurodegeneration [1,2]. As we know, pathological changes in the brains of AD patients occur prior to the onset of clinical symptoms. Therefore, it is critical to identify patients early

and provide timely intervention. According to the newly updated AT(N) research framework, three groups of biomarkers are recognized, including aggregated A $\beta$  or associated pathophysiologic processes (labeled “A”); aggregated tau or associated pathophysiologic processes (labeled “T”); and neurodegeneration or neuronal injury (labeled “N”) [3]. Furthermore, this AT(N) scheme is also designed to incorporate new biomarkers to better reflect the mechanisms of AD [3].

Synapse dysfunction and loss are prominent neuropathological features of AD, which occur early even at the stage of mild cognitive impairment (MCI) [4]. The loss of synapses is considered to be associated with the degree of cognitive impairment in AD patients [5]. The growth-associated protein 43 (GAP-43) is a presynaptic phosphoprotein and has been found to be neuron-specific and important for modulating synaptic functions as required for learning and memory [6–8]. There is evidence showing that the level of cerebrospinal fluid (CSF) GAP-43 increases even in the early stage of AD [9–11]. The increase in GAP-43 levels may be attributed to the leakage of GAP-43 into CSF as a consequence of the adaptive response to synaptic degradation [10]. The  $\epsilon 4$  allele of the *APOE* gene remains to be the strongest genetic risk factor for AD since it was found in 1993 [12–14]. It has been reported that *APOE*  $\epsilon 4$  targeted replacement mice have increased the levels of the vesicular glutamate transporter VGLUT1, indicating that the *APOE* genotype can affect the presynaptic terminal composition and consequently contribute to neurodegeneration [15].

Hence, we wonder about the potential role of CSF GAP-43 for AD and whether *APOE*  $\epsilon 4$ , which has been found to exert effects on presynaptic function, could influence CSF GAP-43 levels. In this present study, we mainly investigated the effects of CSF GAP-43 on cognitive impairment and whether the roles of GAP-43 were related to *APOE*  $\epsilon 4$  status among cognitively normal (CN) controls, MCI and AD participants from the Alzheimer’s Disease Neuroimaging Initiative (ADNI) database.

## 2. Methods

### 2.1. ADNI Database Description

All data were obtained from the ADNI database ([adni.loni.usc.edu](http://adni.loni.usc.edu)), a public–private partnership launched in 2003 under the leadership of Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test the roles of magnetic resonance imaging (MRI), positron emission tomography (PET), CSF and blood biological markers, and neuropsychological assessments in detecting the progression of MCI and early AD. For more information, see [www.adni-info.org](http://www.adni-info.org), accessed on 25 October 2021.

### 2.2. Participants and Classification Criteria

From the ADNI database, we recruited participants with available CSF core biomarkers for AD and GAP-43 levels, Mini-Mental State Examination (MMSE) scores, Clinical Dementia Rating (CDR) scales, and MRI imaging. These selected participants were classified as CN ( $n = 238$ ), MCI ( $n = 388$ ), and AD ( $n = 118$ ) groups on the basis of diagnoses provided by the ADNI database. Participants with at least one  $\epsilon 4$  allele were defined as *APOE*  $\epsilon 4$  carriers. They were further divided into six groups based on *APOE*  $\epsilon 4$  status: CN  $\epsilon 4$  negative (CN  $\epsilon 4-$ ,  $n = 169$ ); CN  $\epsilon 4$  positive (CN  $\epsilon 4+$ ,  $n = 69$ ); MCI  $\epsilon 4$  negative (MCI  $\epsilon 4-$ ,  $n = 204$ ); MCI  $\epsilon 4$  positive (MCI  $\epsilon 4+$ ,  $n = 184$ ); AD  $\epsilon 4$  negative (AD  $\epsilon 4-$ ,  $n = 40$ ); and AD  $\epsilon 4$  positive (AD  $\epsilon 4+$ ,  $n = 78$ ) groups.

CN participants were defined as those who had an MMSE score between 24 and 30 and a CDR score of 0 [16]. Participants with MCI had an MMSE score of 24 to 30, a CDR score of 0.5, subjective complaints of memory, and remaining activities of daily living; therefore, the diagnosis of dementia cannot be made [16]. AD participants fulfilled the criteria of NINCDS/ADRDA for probable AD, who had an MMSE score between 20 and 26 and a CDR score of 0.5 or 1.0 [16,17].

### 2.3. CSF Measurements

The levels of CSF A $\beta$ 42, total tau (T-tau), and phosphorylated tau at threonine 181 (P-tau) were tested using the multiplex xMAP Luminex platform (Luminex Corp, Austin, TX, USA) with Innogenetics (INNO-BIA AlzBio3; Ghent, Belgium) immunoassay kit-based reagents [18].

CSF GAP-43 was analyzed by enzyme-linked immunoassay (ELISA) technology, using an in-house ELISA method described previously in detail [19]. The mouse monoclonal GAP-43 antibody NM4 (Fujirebio, Ghent, Belgium) and a polyclonal GAP-43 antibody (ABB-135, Nordic Biosite, Täby, Sweden) were combined in the ELISA procedure. Board-certified laboratory technicians performed these analyses. Residual CSF samples were used for quality control (QC1 and QC2). During sample runs in the clinical evaluation study, the repeatability coefficient of variation (CV)% of QC1 and QC2, was 5.5% versus 11% and the inter-assay CV% was 6.9% versus 15.6%. All values were given as pg/mL.

### 2.4. Neuroimaging Methods and Cognitive Assessments

Hippocampal and ventricular volumes adjusted by the intracranial volumes were selected for further analyses. The neuroimaging methods from the ADNI database have been described in detail previously [20]. We used the MMSE scores to assess the global cognitive function. Six time points of MMSE scores were analyzed, including baseline, 6, 12, 24, 36, and 48 months.

### 2.5. Statistical Methods

Since the baseline demographic data and biomarker levels were not normally distributed, the differences among three or more independent groups were compared using the Chi-square test for categorical variables and the Kruskal–Wallis test for continuous variables which were summarized with median and interquartile range (IQR). The Mann–Whitney U test was used to examine the differences in CSF GAP-43 levels between MCI  $\epsilon$ 3/ $\epsilon$ 4 and MCI  $\epsilon$ 4/ $\epsilon$ 4 groups, as well as between AD  $\epsilon$ 3/ $\epsilon$ 4 and AD  $\epsilon$ 4/ $\epsilon$ 4 groups. The Spearman's correlation test was applied to assess the correlations between CSF GAP-43 levels and CSF core AD biomarkers, including CSF A $\beta$ 42, T-tau, and P-tau.

For comparing the diagnostic accuracy of each biomarker, the area under the curves (AUCs) with 95% confidence intervals (CIs) were calculated by receiver operating characteristic (ROC) curve analyses. Age, sex, and education were adjusted in all ROC curves. The differences between the AUCs of two biomarkers or combinations were tested by using MedCalc Statistical Software version 20.019.

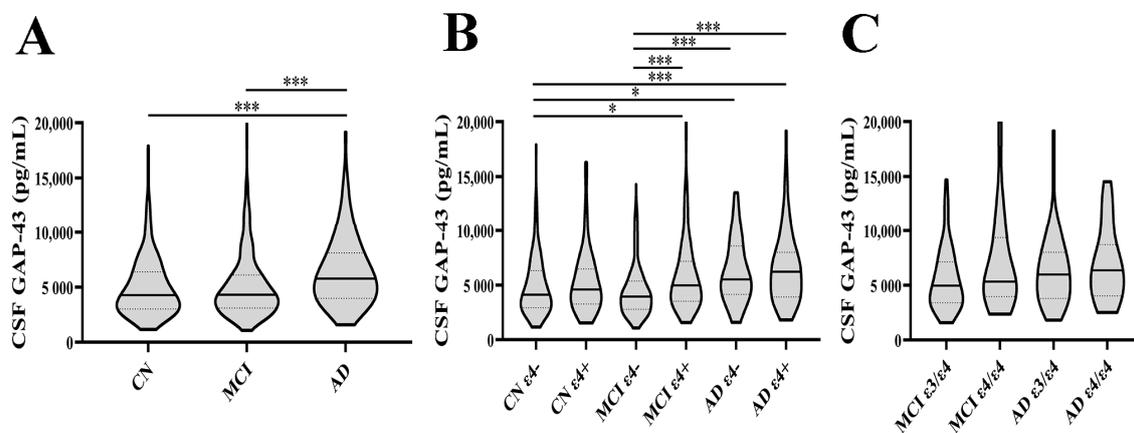
The correlations between CSF GAP-43 levels and MMSE scores, hippocampal volumes, and ventricular volumes at baseline were evaluated with multiple linear regression (adjustment for age and sex; for education for MMSE; and for intracranial volumes for hippocampal and ventricular volumes). CSF GAP-43, MMSE scores, hippocampal volumes, ventricular volumes, and intracranial volumes were z-scale transformed to ensure normality. The influence of CSF GAP-43 levels on longitudinal cognition was tested using the generalized estimating equation (GEE), which accounted for the possible correlation of variables measured in the same participant over time and allowed for missing values [21]. In this study, MMSE scores at different follow-up time points were modeled as dependent variables while baseline CSF GAP-43 level was modeled as independent variables in GEE analyses, after adjustment for age, sex, and education.

The influence of GAP-43 on the incidence of MCI conversion to AD was assessed by using Cox proportional hazard model and calculating hazard ratio (HR) with 95% CIs, after adjustment for age and sex and education. MCI participants were divided into two groups according to the median value of GAP-43 levels. The statistical significance level of all analyses was defined as  $p < 0.05$ . All statistical analyses were performed using IBM SPSS Statistics version 26 and GraphPad Prism version 8.0.1.

### 3. Results

#### 3.1. CSF GAP-43 Levels in Different Diagnostic Groups

We included a total of 744 participants from the ADNI database (adni.loni.usc.edu). All of these individuals were divided according to the diagnoses and *APOE*  $\epsilon 4$  status. CSF GAP-43 levels were significantly higher in the AD group than in CN and MCI groups (both  $p < 0.001$ ) (Figure 1A). Compared with CN  $\epsilon 4-$  group, higher CSF GAP-43 levels were observed in MCI  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups ( $p = 0.045$ ,  $p = 0.027$ ,  $p < 0.001$ , respectively) (Figure 1B). Compared with the MCI  $\epsilon 4-$  group, MCI  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups also had significantly higher GAP-43 levels (all  $p < 0.001$ ) (Figure 1B). We further investigated the differences between carriers of one or two  $\epsilon 4$  alleles and the CN group was not analyzed since the carriers of two  $\epsilon 4$  alleles in this group were rare. It seemed that the level of GAP-43 in the MCI  $\epsilon 4/\epsilon 4$  group was higher than MCI  $\epsilon 3/\epsilon 4$  group; however, no significant difference was found ( $p = 0.094$ ) (Figure 1C). There was a similar phenomenon between AD  $\epsilon 3/\epsilon 4$  and AD  $\epsilon 4/\epsilon 4$  groups ( $p = 0.506$ ) (Figure 1C).



**Figure 1.** Differences in CSF GAP-43 levels among CN, MCI, and AD groups were tested using Kruskal–Wallis test (A). Differences in CSF GAP-43 levels among CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , MCI  $\epsilon 4-$ , MCI  $\epsilon 4+$ , AD  $\epsilon 4-$  and AD  $\epsilon 4+$  groups were evaluated using the Kruskal–Wallis test (B). Differences in CSF GAP-43 levels between MCI  $\epsilon 3/\epsilon 4$  and MCI  $\epsilon 4/\epsilon 4$  groups, as well as between AD  $\epsilon 3/\epsilon 4$  and AD  $\epsilon 4/\epsilon 4$  groups were assessed using the Mann–Whitney U test (C). \*:  $p < 0.05$ ; \*\*\*:  $p < 0.001$ . CSF: cerebrospinal fluid; GAP-43: growth-associated protein 43; CN: cognitively normal; MCI: mild cognitive impairment; AD: Alzheimer’s disease.

#### 3.2. Characteristics of Included Participants

The demographics and biomarker features of the study participants were provided in Table 1. We found a statistically significant difference in age among the six groups ( $p < 0.001$ ). As for sex, there were more female participants in the CN  $\epsilon 4+$  group than the AD  $\epsilon 4-$  group ( $p = 0.013$ ). No statistical difference was observed among the six groups for education ( $p = 0.131$ ). Six groups differed significantly in terms of MMSE scores and CSF biomarkers. The CN  $\epsilon 4-$  and CN  $\epsilon 4+$  groups had higher MMSE scores than MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups, which had higher MMSE scores than AD  $\epsilon 4-$  and AD  $\epsilon 4+$  groups. The levels of CSF A $\beta 42$  were much lower in *APOE*  $\epsilon 4$  positive participants between CN  $\epsilon 4-$  and CN  $\epsilon 4+$  groups ( $p < 0.001$ ), between MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups ( $p < 0.001$ ), and between AD  $\epsilon 4-$  and AD  $\epsilon 4+$  groups ( $p = 0.031$ ). T-tau level was significantly higher in the MCI  $\epsilon 4+$  group compared with the MCI  $\epsilon 4-$  group ( $p < 0.001$ ). However, T-tau levels showed no significant differences between CN  $\epsilon 4-$  and CN  $\epsilon 4+$  groups, or between AD  $\epsilon 4-$  and AD  $\epsilon 4+$  groups. Between CN  $\epsilon 4-$  and CN  $\epsilon 4+$  groups ( $p = 0.003$ ) and between MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups ( $p < 0.001$ ), the levels of P-tau were much higher in the *APOE*  $\epsilon 4$  carriers. However, a similar phenomenon was not observed between AD  $\epsilon 4-$  and AD  $\epsilon 4+$  groups.

**Table 1.** Demographics of included participants at baseline.

Baseline Characteristics	CN $\epsilon 4-$ (n = 169)	CN $\epsilon 4+$ (n = 69)	MCI $\epsilon 4-$ (n = 204)	MCI $\epsilon 4+$ (n = 184)	AD $\epsilon 4-$ (n = 40)	AD $\epsilon 4+$ (n = 78)	p Value
Age (years)	73 $\pm$ 9 <sup>d</sup>	71 $\pm$ 9 <sup>e</sup>	72 $\pm$ 11 <sup>e</sup>	71 $\pm$ 11 <sup>a,e,f</sup>	77 $\pm$ 13 <sup>b,c,d</sup>	74.5 $\pm$ 10 <sup>d</sup>	<0.001
Female, N (%)	86 (50.9%)	44 (63.8%) <sup>e</sup>	93 (45.6%)	81 (44.0%)	12 (30.0%) <sup>b</sup>	35 (44.9%)	0.013
Education (years)	16 $\pm$ 3	17 $\pm$ 2	16 $\pm$ 4	16 $\pm$ 4	16 $\pm$ 4	16 $\pm$ 4	0.131
MMSE	29 $\pm$ 2 <sup>c,d,e,f</sup>	29 $\pm$ 1 <sup>c,d,e,f</sup>	29 $\pm$ 1 <sup>a,b,e,f</sup>	28 $\pm$ 3 <sup>a,b,e,f</sup>	23 $\pm$ 3 <sup>a,b,c,d</sup>	24 $\pm$ 3 <sup>a,b,c,d</sup>	<0.001
CSF A $\beta$ 42 (pg/mL)	215 $\pm$ 63 <sup>b,d,e,f</sup>	159 $\pm$ 74.5 <sup>a,c,f</sup>	205 $\pm$ 82 <sup>b,d,e,f</sup>	140.5 $\pm$ 51.5 <sup>a,c,f</sup>	148 $\pm$ 59.5 <sup>a,c,f</sup>	124.5 $\pm$ 29.3 <sup>a,b,c,d,e</sup>	<0.001
CSF T-tau (pg/mL)	54.2 $\pm$ 31.5 <sup>d,e,f</sup>	63.8 $\pm$ 41.2 <sup>d,e,f</sup>	56.7 $\pm$ 41.5 <sup>d,e,f</sup>	89.75 $\pm$ 75 <sup>a,b,c,f</sup>	106 $\pm$ 71.5 <sup>a,b,c</sup>	120 $\pm$ 75.2 <sup>a,b,c,d</sup>	<0.001
CSF P-tau (pg/mL)	27.5 $\pm$ 19.8 <sup>b,d,e,f</sup>	37.5 $\pm$ 24.3 <sup>a,c,f</sup>	28.6 $\pm$ 21.9 <sup>b,d,e,f</sup>	42.25 $\pm$ 30.1 <sup>a,c</sup>	41.25 $\pm$ 32.6 <sup>a,c</sup>	54.7 $\pm$ 32.6 <sup>a,b,c</sup>	<0.001

Measurement values were expressed by median  $\pm$  interquartile range. <sup>a</sup> = significant differences from CN  $\epsilon 4-$ , <sup>b</sup> = significant differences from CN  $\epsilon 4+$ , <sup>c</sup> = significant differences from MCI  $\epsilon 4-$ , <sup>d</sup> = significant differences from MCI  $\epsilon 4+$ , <sup>e</sup> = significant differences from AD  $\epsilon 4-$ , <sup>f</sup> = significant differences from AD  $\epsilon 4+$ . CN: cognitively normal; MCI: mild cognitive impairment; AD: Alzheimer's disease; MMSE: Mini-Mental State Examination; CSF: cerebrospinal fluid; A $\beta$ : amyloid- $\beta$ ; T-tau: total tau; P-tau: phosphorylated tau.

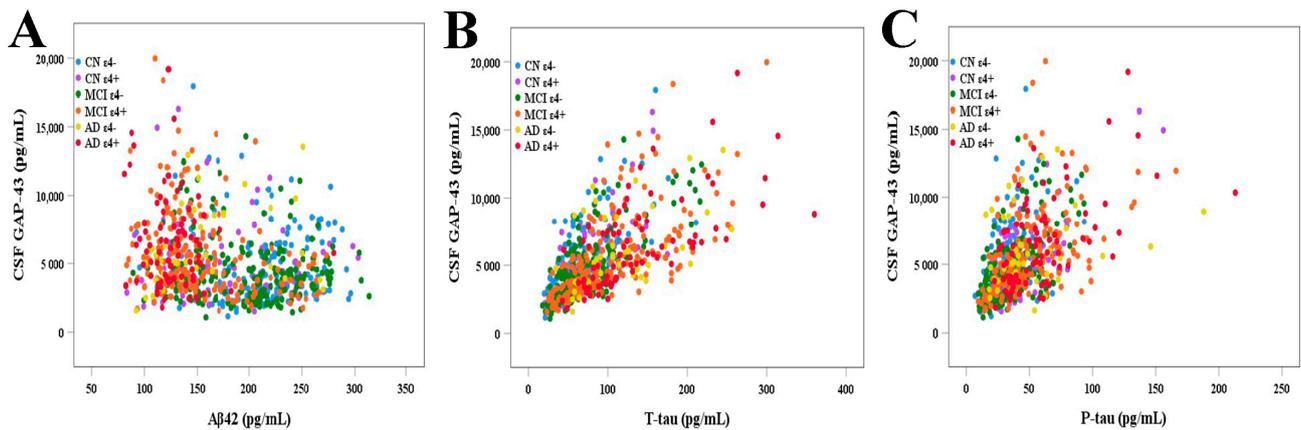
### 3.3. Correlations of CSF GAP-43 Levels with CSF Core AD Biomarkers

CSF GAP-43 was correlated with CSF A $\beta$ 42 in MCI  $\epsilon 4+$  and AD  $\epsilon 4-$  groups ( $r_s = -0.306$ ,  $p < 0.001$ ;  $r_s = 0.379$ ,  $p = 0.016$ ; respectively), but there were no similar significant relationships found in CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , MCI  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups ( $r_s = 0.078$ ,  $p = 0.312$ ;  $r_s = 0.056$ ,  $p = 0.649$ ;  $r_s = -0.094$ ,  $p = 0.180$ ;  $r_s = -0.078$ ,  $p = 0.498$ ; respectively) (Table 2 and Figure 2A). We found there were strong correlations between CSF GAP-43 and T-tau in CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , MCI  $\epsilon 4-$ , MCI  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups ( $r_s = 0.711$ ,  $p < 0.001$ ;  $r_s = 0.709$ ,  $p < 0.001$ ;  $r_s = 0.751$ ,  $p < 0.001$ ;  $r_s = 0.742$ ,  $p < 0.001$ ;  $r_s = 0.453$ ,  $p = 0.003$ ;  $r_s = 0.696$ ,  $p < 0.001$ ; respectively) (Table 2 and Figure 2B). Similarly, CSF GAP-43 had significant positive correlations with P-tau in CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , MCI  $\epsilon 4-$ , MCI  $\epsilon 4+$ , and AD  $\epsilon 4+$  groups ( $r_s = 0.579$ ,  $p < 0.001$ ;  $r_s = 0.576$ ,  $p < 0.001$ ;  $r_s = 0.581$ ,  $p < 0.001$ ;  $r_s = 0.611$ ,  $p < 0.001$ ;  $r_s = 0.509$ ,  $p < 0.001$ ; respectively), except for AD  $\epsilon 4-$  group ( $r_s = 0.227$ ,  $p = 0.158$ ) (Table 2 and Figure 2C).

**Table 2.** Correlations of CSF GAP-43 levels with CSF core AD biomarkers.

	CN $\epsilon 4-$		CN $\epsilon 4+$		MCI $\epsilon 4-$		MCI $\epsilon 4+$		AD $\epsilon 4-$		AD $\epsilon 4+$	
	$r_s$	$p$	$r_s$	$p$	$r_s$	$p$	$r_s$	$p$	$r_s$	$p$	$r_s$	$p$
A $\beta$ 42	0.078	0.312	0.056	0.649	-0.094	0.180	-0.306	<0.001	0.379	0.016	-0.078	0.498
T-tau	0.711	<0.001	0.709	<0.001	0.751	<0.001	0.742	<0.001	0.453	0.003	0.696	<0.001
P-tau	0.579	<0.001	0.576	<0.001	0.581	<0.001	0.611	<0.001	0.227	0.158	0.509	<0.001

CSF: cerebrospinal fluid; GAP-43: growth-associated protein 43; AD: Alzheimer's disease; A $\beta$ : amyloid- $\beta$ ; T-tau: total tau; P-tau; CN: cognitively normal; MCI: mild cognitive impairment.



**Figure 2.** The correlations of CSF GAP-43 with CSF core AD biomarkers, including Aβ42 (A), T-tau (B) and P-tau (C). CSF: cerebrospinal fluid; GAP-43: growth-associated protein 43; Aβ: amyloid-β; T-tau: total tau; P-tau: phosphorylated tau; CN: cognitively normal; MCI: mild cognitive impairment; AD: Alzheimer’s disease.

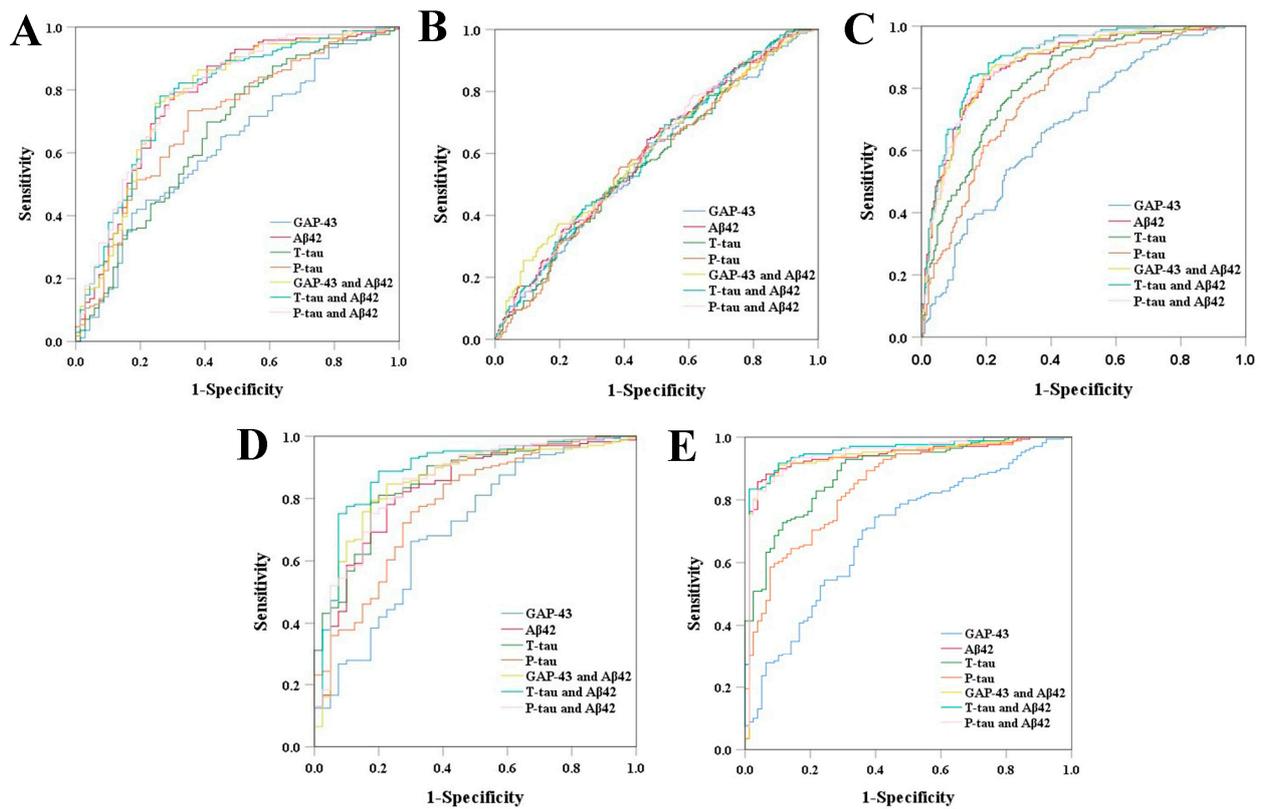
3.4. Diagnostic Ability of CSF GAP-43 and CSF Core AD Biomarkers

The AUCs were calculated by performing ROC analyses to test the diagnostic accuracy of CSF GAP-43 and CSF core biomarkers. Compared to CN ε4−, the diagnostic accuracy of these CSF biomarkers was particularly good for MCI ε4+, AD ε4−, and AD ε4+, since the AUCs were obviously larger than that of other diagnoses (Table 3 and Figure 3). The diagnostic performance of CSF GAP-43 was poorer than other CSF biomarkers. After combination with CSF Aβ42, the diagnostic accuracy of CSF GAP-43 had been significantly increased, even showing no significant difference compared with the combination of P-tau and Aβ42 for MCI ε4+ (GAP-43 and Aβ42 versus P-tau and Aβ42,  $p = 0.071$ ), AD ε4− (GAP-43 and Aβ42 versus P-tau and Aβ42,  $p = 0.905$ ), and AD ε4+ (GAP-43 and Aβ42 versus P-tau and Aβ42,  $p = 0.127$ ; Supplementary Table S1).

**Table 3.** AUCs of CSF biomarkers for clinical diagnoses.

	GAP-43	Aβ42	T-tau	P-tau	GAP-43 and Aβ42	T-tau and Aβ42	P-tau and Aβ42
CN ε4+	0.627 (0.548–0.705) ( $p = 0.002$ )	0.776 (0.706–0.847) ( $p < 0.001$ )	0.664 (0.585–0.743) ( $p < 0.001$ )	0.708 (0.634–0.782) ( $p < 0.001$ )	0.778 (0.708–0.849) ( $p < 0.001$ )	0.782 (0.714–0.850) ( $p < 0.001$ )	0.789 (0.722–0.856) ( $p < 0.001$ )
MCI ε4-	0.586 (0.528–0.644) ( $p = 0.004$ )	0.603 (0.546–0.660) ( $p = 0.001$ )	0.582 (0.524–0.639) ( $p = 0.007$ )	0.582 (0.524–0.639) ( $p = 0.007$ )	0.608 (0.551–0.666) ( $p < 0.001$ )	0.602 (0.545–0.659) ( $p = 0.001$ )	0.603 (0.546–0.660) ( $p = 0.001$ )
MCI ε4+	0.686 (0.631–0.741) ( $p < 0.001$ )	0.879 (0.843–0.915) ( $p < 0.001$ )	0.825 (0.783–0.868) ( $p < 0.001$ )	0.785 (0.738–0.832) ( $p < 0.001$ )	0.880 (0.844–0.916) ( $p < 0.001$ )	0.901 (0.870–0.933) ( $p < 0.001$ )	0.888 (0.854–0.922) ( $p < 0.001$ )
AD ε4-	0.700 (0.606–0.795) ( $p < 0.001$ )	0.828 (0.754–0.902) ( $p < 0.001$ )	0.855 (0.792–0.918) ( $p < 0.001$ )	0.780 (0.700–0.861) ( $p < 0.001$ )	0.850 (0.779–0.920) ( $p < 0.001$ )	0.890 (0.830–0.951) ( $p < 0.001$ )	0.851 (0.781–0.921) ( $p < 0.001$ )
AD ε4+	0.695 (0.625–0.765) ( $p < 0.001$ )	0.937 (0.903–0.972) ( $p < 0.001$ )	0.889 (0.848–0.931) ( $p < 0.001$ )	0.847 (0.796–0.898) ( $p < 0.001$ )	0.939 (0.905–0.973) ( $p < 0.001$ )	0.956 (0.929–0.982) ( $p < 0.001$ )	0.948 (0.917–0.979) ( $p < 0.001$ )

AUC: area under curve; CSF: cerebrospinal fluid; GAP-43: growth-associated protein 43; Aβ: amyloid-β; T-tau: total tau; P-tau: phosphorylated tau; CN: cognitively normal; MCI: mild cognitive impairment; AD: Alzheimer’s disease.



**Figure 3.** ROCs for the diagnostic accuracy of CSF biomarkers. CN  $\epsilon 4^-$  versus CN  $\epsilon 4^+$  (A), CN  $\epsilon 4^-$  versus MCI  $\epsilon 4^-$  (B), CN  $\epsilon 4^-$  versus MCI  $\epsilon 4^+$  (C), CN  $\epsilon 4^-$  versus AD  $\epsilon 4^-$  (D) and CN  $\epsilon 4^-$  versus AD  $\epsilon 4^+$  (E). GAP-43: growth-associated protein 43; A $\beta$ : amyloid- $\beta$ ; T-tau: total tau; P-tau: phosphorylated tau.

### 3.5. Cross-Sectional Correlations of CSF GAP-43 with MMSE, Hippocampus Volumes, and Ventricular Volumes

We further explore the relationships between CSF GAP-43 and MMSE, hippocampus volumes, and ventricular volumes at baseline by performing multiple linear regression analysis (Table 4). Significant negative correlations were observed between GAP-43 and MMSE scores in both MCI  $\epsilon 4^-$  and MCI  $\epsilon 4^+$  groups ( $\beta = -0.148$ ,  $p = 0.029$ ;  $\beta = -0.179$ ,  $p = 0.014$ ; respectively), which was not found in the other four groups. A higher GAP-43 level was related to smaller hippocampus volumes in CN  $\epsilon 4^-$  and AD  $\epsilon 4^-$  groups ( $\beta = -0.117$ ,  $p = 0.036$ ;  $\beta = -0.276$ ,  $p = 0.045$ ; respectively). Furthermore, GAP-43 was found to be correlated with smaller ventricular volumes in CN  $\epsilon 4^-$ , MCI  $\epsilon 4^-$ , MCI  $\epsilon 4^+$ , and AD  $\epsilon 4^+$  groups ( $\beta = -0.287$ ,  $p < 0.001$ ;  $\beta = -0.139$ ,  $p = 0.027$ ;  $\beta = -0.279$ ,  $p < 0.001$ ;  $\beta = -0.228$ ,  $p = 0.027$ ; respectively).

**Table 4.** Correlations of CSF GAP-43 with cognition, hippocampus volume, and ventricular volume.

	CN $\epsilon$ 4-		CN $\epsilon$ 4+		MCI $\epsilon$ 4-		MCI $\epsilon$ 4+		AD $\epsilon$ 4-		AD $\epsilon$ 4+	
	$\beta$ (95% CI)	<i>p</i>	$\beta$ (95% CI)	<i>p</i>	$\beta$ (95% CI)	<i>p</i>	$\beta$ (95% CI)	<i>p</i>	$\beta$ (95% CI)	<i>p</i>	$\beta$ (95% CI)	<i>p</i>
Cross-sectional (MLR)												
MMSE	0.069 (−0.082, 0.221)	0.368	0.176 (−0.052, 0.403)	0.128	−0.148 (−0.280, −0.015)	0.029	−0.179 (−0.321, −0.037)	0.014	−0.281 (−0.617, 0.056)	0.099	0.179 (−0.041, 0.399)	0.109
Bilateral hippocampal volume	−0.117 (−0.227, −0.008)	0.036	0.033 (−0.199, 0.265)	0.775	−0.036 (−0.150, 0.078)	0.535	−0.026 (−0.146, 0.093)	0.663	−0.276 (−0.546, −0.006)	0.045	−0.027 (−0.207, 0.152)	0.762
Ventricular volume	−0.287 (−0.426, −0.147)	<0.001	−0.163 (−0.393, 0.067)	0.161	−0.139 (−0.263, −0.016)	0.027	−0.279 (−0.401, −0.158)	<0.001	−0.283 (−0.597, 0.032)	0.076	−0.228 (−0.430, −0.027)	0.027
Longitudinal (GEE)												
MMSE progression	-	-	-	-	−0.197 (−0.372, −0.022)	0.027	−0.151 (−0.289, −0.013)	0.032	-	-	-	-

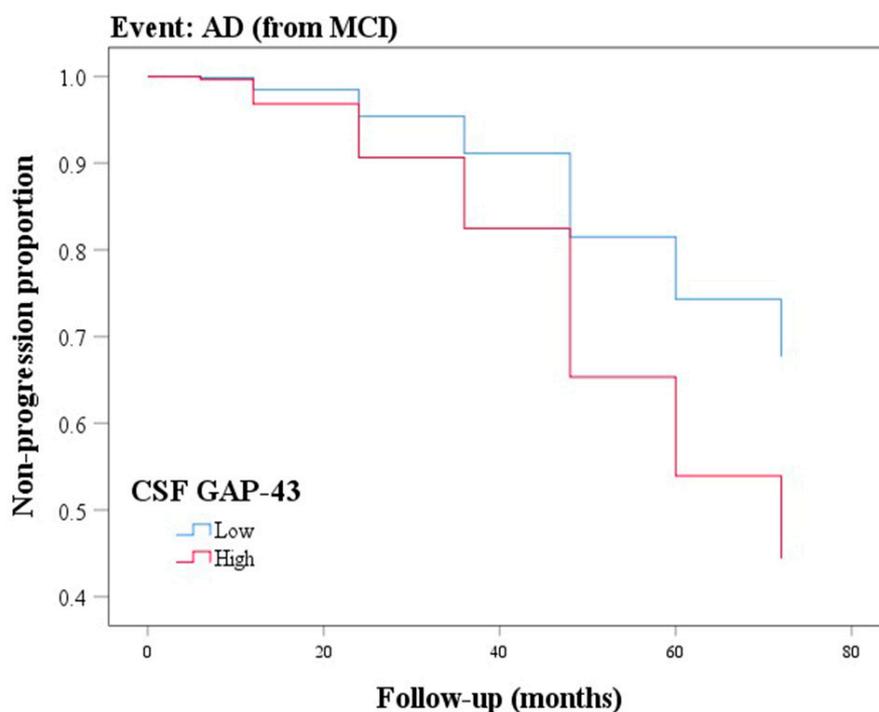
CN: cognitively normal; MCI: mild cognitive impairment; AD: Alzheimer's disease; MLR: multiple linear regression; MMSE: Mini-Mental State Examination; GEE: generalized estimating equation.

### 3.6. Longitudinal Associations between Baseline CSF GAP-43 and MMSE Progression

Next, GEE was utilized to test the associations between baseline CSF GAP-43 level and cognition decline, after adjustment for age, sex, and education. The baseline CSF GAP-43 level was found to be significantly and negatively associated with MMSE scores in both MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups ( $\beta = -0.197, p = 0.027$ ;  $\beta = -0.151, p = 0.032$ ; respectively) (Table 4). Longitudinal data analysis was not performed in CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups, for the reason of a lack of large amounts of follow-up data of these groups.

### 3.7. Ability of CSF GAP-43 to Predict Future Cognitive Impairment

We further explored the ability of CSF GAP-43 to predict conversion from MCI to AD by performing the Cox proportional hazard model, after controlling for age, sex, and education. The GAP-43 was treated as a dichotomized variable and the median value of the GAP-43 level was used as a cut-off to calculate the HR. We found the group with high GAP-43 (concentration  $\geq 4350.7$  pg/mL) progressed to dementia more rapidly compared with the group with lower values (concentration  $< 4350.7$  pg/mL) (HR: 2.079, 95% CI: 1.340–3.226,  $p = 0.001$ ; Figure 4).



**Figure 4.** Baseline CSF GAP-43 as a predictor of conversion from MCI to AD. GAP-43 was analyzed as categorical variables using median value in the Cox proportional hazard model, after adjustment for age, sex, and education. GAP-43: growth-associated protein 43; MCI: mild cognitive impairment; AD: Alzheimer’s disease.

## 4. Discussion

In this study, the main findings were as follows: (1) The CSF GAP-43 level was significantly elevated in MCI  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups compared with CN  $\epsilon 4-$  or MCI  $\epsilon 4-$  group; (2) The CSF GAP-43 level was significantly related to T-tau and P-tau in almost all of the six groups, but the significant relationship with A $\beta$ 42 was not found in CN  $\epsilon 4-$ , CN  $\epsilon 4+$ , MCI  $\epsilon 4-$ , and AD  $\epsilon 4+$  groups; (3) The diagnostic accuracy of CSF GAP-43 was greatly improved after combined with CSF A $\beta$ 42; (4) The CSF GAP-43 level was negatively correlated with MMSE scores at baseline and follow-up in MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups; (5) Baseline GAP-43 was able to predict the clinical progression of MCI to AD.

Previous studies have shown that synaptic loss occurred at the early stage of AD [4], and it had attracted researchers' attention to the CSF biomarkers of synaptic function, such as the synaptosomal-associated protein 25 (SNAP-25) [22], neurogranin [23], and GAP-43 [19]. To investigate whether the CSF GAP-43 and *APOE*  $\epsilon 4$  status correlated with the diagnoses, each group was further dichotomized on the basis of *APOE*  $\epsilon 4$  status in this study. We found that the level of GAP-43 was significantly elevated in MCI  $\epsilon 4+$  group compared with MCI  $\epsilon 4-$  group. Furthermore, the GAP-43 level was higher in MCI  $\epsilon 4/\epsilon 4$  group than MCI  $\epsilon 3/\epsilon 4$  group, as well as between the AD  $\epsilon 4/\epsilon 4$  group and the AD  $\epsilon 3/\epsilon 4$  group, although no statistical differences were observed. Hence, we supposed that CSF GAP-43 could be an early biomarker for cognitive decline and the effects of CSF GAP-43 on cognition may be correlated with *APOE*  $\epsilon 4$  status and the number of  $\epsilon 4$  alleles. Compared with CN  $\epsilon 4-$  and MCI  $\epsilon 4-$  groups, we also found that the AD  $\epsilon 4-$  group had significantly higher GAP-43 levels ( $p = 0.027$ ,  $p = 0.001$ , respectively). This phenomenon may be due to the small sample size of the AD  $\epsilon 4-$  group. The number of patients in the AD  $\epsilon 4-$  group was relatively smaller than in CN and MCI groups, possibly causing bias to some extent. Furthermore, the level of GAP-43 was higher in the AD  $\epsilon 4+$  group than in the AD  $\epsilon 4-$  group, even though no significant difference was found which can be attributed to the small sample size of AD patients.

Our study showed strong positive correlations of CSF GAP-43 with CSF T-tau and P-tau levels in five groups apart from the AD  $\epsilon 4-$  group, which may be due to the small sample size of this group. The results were consistent with prior studies which demonstrated that tau phosphorylation played an important role in leading to synaptic dysfunction [24,25]. Interestingly, the negative correlation between CSF GAP-43 and  $A\beta 42$  was only found in MCI  $\epsilon 4+$  group. It has been reported that the *APOE*  $\epsilon 4$  allele can increase the localization of toxic oligomeric  $A\beta$  to synapses [26]. We speculated that the *APOE*  $\epsilon 4$  allele played an important role in affecting CSF GAP-43 in the pathogenesis of cognitive decline.

We next found CSF GAP-43 offered moderate diagnostic performance for MCI  $\epsilon 4+$ , AD  $\epsilon 4-$ , and AD  $\epsilon 4+$ . The diagnostic accuracy of CSF GAP-43 was significantly increased after combination with CSF  $A\beta 42$  and appeared almost the same as those of the combination of T-tau or P-tau with CSF  $A\beta 42$ . Previous literature showed that the initial target for  $A\beta$  was postsynaptic glutamate receptor trafficking, suggesting  $A\beta$  preferred to affect the postsynaptic terminals in the early stage of AD [25,27,28]. As we know, CSF GAP-43 is a presynaptic protein. Therefore, the diagnostic performance of CSF GAP-43 was greatly improved when combined with CSF  $A\beta 42$  on account of targeting presynaptic and postsynaptic function, respectively. We supported that CSF GAP-43 may be suitable to be an early diagnostic marker for the presymptomatic stage of AD.

Many studies have demonstrated that the loss of synapses is a major factor involved in cognitive decline in early AD [25,29,30]. Sandelius et al. reported that CSF GAP-43 levels were weakly associated with both at baseline and the annual change of MMSE scores, but neither significant correlations were found in clinical subgroups [19]. In our study, the CSF GAP-43 level was observed to be negatively correlated with MMSE scores at the baseline in MCI  $\epsilon 4-$  and MCI  $\epsilon 4+$  groups, which was also observed in terms of MMSE progression over time using longitudinal data. The inconsistent results may be due to the relatively small sample size of the study conducted by Sandelius et al. Furthermore, we found CSF GAP-43 had a favorable predictive value for the conversion from MCI to AD and there was as yet no relevant research.

There were several limitations in our study. First, the restricted sample selection of the ADNI database may thus have limited the generalizability of the results. Using this database, a significant difference in the percentage of females was found between CN  $\epsilon 4+$  and AD  $\epsilon 4-$  groups and the number of samples per group was divergent. It may introduce some bias in statistical analysis which should be taken into consideration for interpreting the data. Second, there were large amounts of missing longitudinal data, such as the MMSE scores in some groups. Third, we concentrated on baseline CSF GAP-43 level to predict cognitive decline and brain atrophy. We did not analyze the effects of the change of GAP-43

level over time on cognition since our initial purpose was to explore the roles of the baseline GAP-43 level. Future studies targeting other different populations and with more complete follow-up data are required to confirm our conclusions.

## 5. Conclusions

In summary, our findings revealed that the CSF GAP-43 level was significantly higher in MCI  $\epsilon$ 4+, AD  $\epsilon$ 4– and AD  $\epsilon$ 4+ groups compared with CN  $\epsilon$ 4– or MCI  $\epsilon$ 4– group. There were negative relationships between CSF GAP-43 and MMSE scores at baseline and follow-up in MCI  $\epsilon$ 4– and MCI  $\epsilon$ 4+ groups. Baseline CSF GAP-43 can predict the clinical progression from MCI to AD. Thus, CSF GAP-43 may be a promising candidate to screen and track disease progression for AD. We suspected that the effects of CSF GAP-43 in the pathophysiology of cognitive decline may be relevant to *APOE*  $\epsilon$ 4 status.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/cells12010013/s1>, Table S1: The comparisons of CSF biomarkers diagnostic accuracy.

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**Informed Consent Statement:** Written informed consent was obtained from all participants and authorized representatives involved in the ADNI study.

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**Conflicts of Interest:** The authors declare that they have no competing interests.

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