

Article

Influence of the Polymer Microstructure over the Phase Separation of Thermo-Responsive Nanoparticles

Nicolò Manfredini, Marco Tomasoni, Mattia Sponchioni *  and Davide Moscatelli 

Department of Chemistry, Materials and Chemical Engineering “Giulio Natta”, Politecnico di Milano, via Mancinelli 7, 20131 Milan, Italy; nicolo.manfredini@polimi.it (N.M.); marco3.tomasoni@mail.polimi.it (M.T.); davide.moscatelli@polimi.it (D.M.)

* Correspondence: mattia.sponchioni@polimi.it

Abstract: Thermo-responsive nanoparticles (NPs), i.e., colloids with a sharp and often reversible phase separation in response to thermal stimuli, are coming to the forefront due to their dynamic behavior, useful in applications ranging from biomedicine to advanced separations and smart optics. What is guiding the macroscopic behavior of these systems above their critical temperature is mainly the microstructure of the polymer chains of which these NPs are comprised. Therefore, a comprehensive understanding of the influence of the polymer properties over the thermal response is highly required to reproducibly target a specific behavior. In this study, we synthesized thermo-responsive NPs with different size, polymeric microstructure and hydrophilic-lipophilic balance (HLB) and investigated the role of these properties over their phase separation. We first synthesized four different thermo-responsive oligomers via Reversible Addition-Fragmentation Chain Transfer (RAFT) Polymerization of poly(ethylene glycol)methyl ether methacrylate. Then, exploiting the RAFT living character, we chain-extended these oligomers with butyl methacrylate obtaining a library of NPs. Finally, we investigated the NP thermo-responsive behavior, their physical state above the cloud point (T_{cp}) as well as their reversibility once the stimulus is removed. We concluded that the solid content plays a minor role compared to the relative length of the two blocks forming the polymer chains. In particular, the longer the stabilizer, the more favored the formation of a gel. At the same time, the reversibility is mainly achieved at high HLB, independently from the absolute lengths of the block copolymers.

Keywords: polymeric nanoparticles; emulsion polymerization; RAFT; thermo-responsive polymers; smart materials; LCST; phase diagram; phase separation



Citation: Manfredini, N.; Tomasoni, M.; Sponchioni, M.; Moscatelli, D. Influence of the Polymer Microstructure over the Phase Separation of Thermo-Responsive Nanoparticles. *Polymers* **2021**, *13*, 1032. <https://doi.org/10.3390/polym13071032>

Academic Editors: Alina Sionkowska and Sebastien Lecommandoux

Received: 9 March 2021

Accepted: 23 March 2021

Published: 26 March 2021

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introduction

Polymeric nanoparticles (NPs) have been extensively studied since the middle of the previous century for their appealing and controllable interfacial and composition properties [1–3]. These features determined their consolidation in several fields, such as coating [4], painting [5], textile [6], or cosmetics [7].

Nowadays, these colloids are mainly produced via free radical emulsion polymerization (FRPe) [8]. This technique allows the synthesis of concentrated (up to 50–60% *w/w*) NP suspensions, commonly referred to as latexes, directly in water, avoiding the use of any organic solvent [9,10]. Although economically viable and brought to a multi-ton scale to satisfy the ever-increasing market demand for polymer latexes, one of the main drawbacks of FRPe is the limited control over the polymer microstructure and hence over the NP physico-chemical properties [11,12].

In the last decades, this limitation was progressively overcome by the advent of controlled radical polymerizations, which gave new lymph to the field [13–15]. In particular, the high control over the polymer chain microstructure achievable with these polymerization techniques paved the way to highly engineered colloids [16–22], contributing to the spreading of the NP technology towards previously unexplored applications.

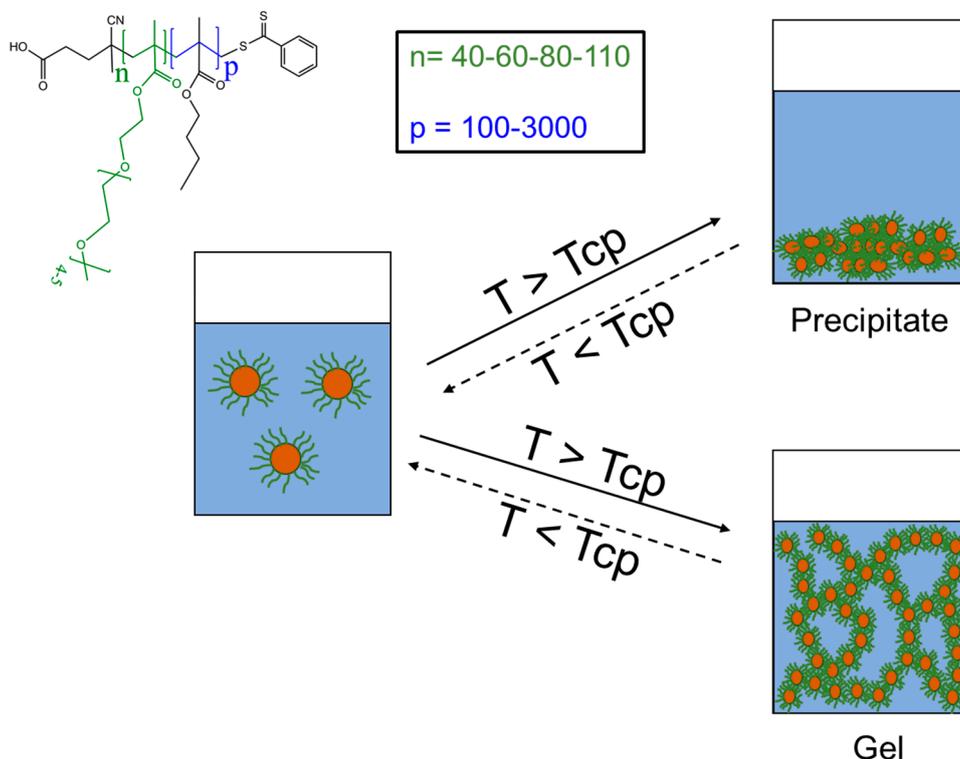
In a similar direction, such controlled radical polymerizations were exploited to tune the response of a particularly appealing class of NPs, the so-called stimuli-responsive NPs, i.e., colloids with a sharp and often reversible phase separation behavior in response to external stimuli. Among the different stimuli that can be exploited to trigger such behavior (e.g., pH [23], temperature [24–28], CO₂ [29,30], redox potential [31]), the temperature is surely one of the most interesting, promising and versatile. In fact, the possibility of applying thermal stimuli in a controlled way, coupled with the natural occurrence of thermal gradients in living organisms, made thermo-responsive materials attractive for several applications.

In the literature, two opposite behaviors are reported for thermo-responsive polymers. With reference to the phase diagram, namely the diagram of temperature vs. polymer volume fraction, for a solvent/polymer binary mixture, the thermo-responsive polymers can be miscible with the solvent in any proportion below their binodal curve, while phase separating in a polymer-rich phase above it. The minimum of this curve is called lower critical solution temperature (LCST), while each other point is commonly referred to as cloud point (T_{cp}), since the formation of polymer-rich droplets above this critical temperature often determines the cloudiness of the system. Oppositely, the binodal curve can form a maximum, known as upper critical solution temperature (UCST), with the thermo-responsive polymer being miscible with the solvent above this curve, while phase separating below it [24,32,33]. Despite examples of both kinds of systems have been reported, the easier capability of modulating the T_{cp} and the almost insensitivity of their phase behavior to environmental properties rendered polymers with a LCST the most studied. These have been successfully investigated in many different applications ranging from pharmaceutical [17,24,34–36] to oil and gas [37] industries.

What clearly appears from these studies is that the peculiar microstructure of the chains constituting the final NPs has a tremendous impact on the macroscopic thermo-responsive behavior of these colloids, including their reversibility (i.e., the capacity to recover the original physico-chemical properties when the stimulus is removed) [38]. At the same time, guidelines for the selection of the polymer chain requisites necessary to access a desired macroscopic behavior are lacking.

In this work, with the aim of rationalizing this concept, we considered NPs constituted by amphiphilic block copolymers comprising a thermo-responsive chain and a hydrophobic portion and systematically investigated the impact of the length of each chain on the final thermo-responsive behavior. In particular, we first synthesized four different thermo-responsive macromolecular chain transfer agents (macro CTAs) via reversible addition-fragmentation chain transfer (RAFT) polymerization. This technique allows to set a priori the average degree of polymerization (n) of the oligomers by simply changing the thermo-responsive monomer (in our case, oligo(ethylene glycol)₄ methyl ether methacrylate, hereinafter EG₄) to chain transfer agent (CTA) molar ratio. The choice of this thermo-responsive monomer instead of the more common N-isopropylacrylamide (NIPAM) was driven by the higher biocompatibility and poor hysteresis of EG₄ that contributed to intensify the research towards this compound [17]. Then, exploiting the living nature of the RAFT polymerization, we chain-extended these macro CTAs with butyl methacrylate (BMA) and targeted different chain lengths (p). The adoption of the RAFT emulsion polymerization allowed us to obtain the formation of NPs with a lipophilic core and a thermo-responsive shell (nEG₄-pBMA) directly in water. These colloids are expected to be stable as long as the temperature is kept below the T_{cp}. In fact, in this configuration the thermo-responsive chains should be well hydrated providing steric stabilization to the colloids. On the contrary, as soon as the temperature is raised above the T_{cp}, a sharp coil-to-globule transition of the EG₄ moieties is expected. With the thermo-responsive chains collapsing on the NP surface, the colloidal stability is lost, which leads to the NP aggregation, as schematically reported in Scheme 1. For each combination of n and p , we evaluated the physical state (precipitate or gel in Scheme 1) of the separated phase as well

as the reversibility of these aggregates once the temperature is lowered again below the T_{cp} and concluded on the factors governing such macroscopic behavior.



Scheme 1. Schematic representation of the expected thermo-responsive behavior for NPs stabilized by a thermo-responsive polymer.

2. Materials and Methods

2.1. Materials

4-Cyano-4-(phenyl-carbonothioylthio) pentanoic acid (CPA, MW = 279.38, 99%, Sigma Aldrich, Saint Louis, MO, USA), 2,2'-azobis(2-methylpropionamide) dihydrochloride (V-50, MW = 271.19, 98%, Acros Organics, Geel, Belgium), poly(ethylene glycol)methylether methacrylate (EG₄, MW = ca300, Sigma Aldrich, Saint Louis, MO, USA), 4-4'-azobis(4-cyanovaleric acid) (ACVA, MW = 280.28, Sigma Aldrich, Saint Louis, MO, USA), butyl methacrylate (BMA, ≥99%, MW = 142.20, Fluka Chemika, Buchs, Switzerland), diethyl ether (99.7%, MW = 74.12, Sigma Aldrich, Saint Louis, MO, USA), deuterated chloroform (CDCl₃, 99.8%, MW = 120.38, Sigma Aldrich, Saint Louis, MO, USA), ethanol (EtOH, MW = 46.07, ≥99.8%, Sigma Aldrich, Saint Louis, MO, USA), and tetrahydrofuran (THF, ≥99.9%, MW = 72.11, Sigma Aldrich, Saint Louis, MO, USA) were used as received.

2.2. Synthesis of the Thermo-Responsive Macro CTAs

Four different thermo-responsive macro CTAs were synthesized via RAFT polymerization of EG₄ using ACVA as initiator and CPA as CTA. The ACVA to CPA molar ratio (IA) was kept equal to 1/3 for all the syntheses while the EG₄ to CPA molar ratio (n) was varied in order to obtain different degrees of polymerization (i.e., $n = 40, 60, 80,$ and 100). The polymerizations were carried out in ethanol at a monomer concentration equal to 20% *w/w*. Briefly, to synthesize the macro CTA with $n = 80$, hereinafter 80EG₄, 8 g of EG₄ (26.6 mmol), 0.093 g of CPA (0.33 mmol, i.e., EG₄/CPA = 80 mol/mol), and 0.031 g of ACVA (0.11 mmol, i.e., IA = 1/3 mol/mol) were placed in a round bottom flask equipped with a magnetic stirrer. Then, 32.5 g of ethanol were added and the solution was purged with nitrogen for 20 min. The reaction was let occurring at 65 °C for 24 h under stirring at 300 rpm. The solution was then purified through three consecutive precipitations in

diethyl ether in order to remove all the impurities and the unreacted monomer. At the end of the purification process, the solution was centrifuged for 10 min at 5000 rpm to separate the two phases and the polymer pellet was recovered and dried inside a vacuum drying oven (VACUCELL) at 35 °C overnight. Finally, the polymer was stored at −20 °C. Aliquots of the samples were dissolved in deuterated chloroform (CDCl₃) at a concentration equal to 14 mg/mL and analyzed via nuclear magnetic resonance (¹H-NMR) on a Bruker Ultrashield 400 MHz spectrometer (Bruker, Billerica, MA, USA) with 64 scans (see reaction scheme and spectrum in Figure S1). The monomer conversion and average degree of polymerization, n , were calculated according to Equations (S1) and (S2), respectively, and the results are summarized in Table S1.

In order to calculate the number-average molecular weight (M_n) and dispersity (\mathcal{D}), the samples were dissolved in tetrahydrofuran (THF) at a concentration of 4 mg/mL, filtered through a 0.45 μm polytetrafluoroethylene (PTFE) membrane and injected in a Jasco LC-2000Plus (Jasco, Easton, MD, USA) gel permeation chromatograph (GPC). The analysis was performed at a flow rate of 1 mL/min and at a temperature equal to 35 °C. Three styrene/divinyl benzene columns in series (300 × 8 mm², particle size 5 μm, pore size 1000, 10⁵ and 10⁶ Å, respectively) were used for the separation and the signal collected with a refractive index (RI) detector. A pre-column (50 × 8 mm²) was added before the first column. The instrument calibration was performed with polystyrene standards.

2.3. Synthesis of Amphiphilic Block Copolymers Self-Assembled into NPs

The thermo-responsive macro CTAs were chain-extended with butyl methacrylate via RAFT emulsion polymerization in order to produce amphiphilic block copolymers self-assembled into NPs. These block copolymers, hereinafter nEG₄-pBMA, were synthesized setting the initiator to macro CTA molar ratio to 1/3 and varying the BMA to macro CTA molar ratio (p) in order to obtain copolymers with different degrees of polymerization. The polymerization was performed in water at a concentration equal to 20% *w/w*.

For example, in the synthesis of 80EG₄-500BMA (i.e., molar ratio between BMA and 80EG₄ equal to 500), 1 g of BMA (7 mmol), 0.363 g of 80EG₄ (0.015 mmol, i.e., BMA/80EG₄ = 500 mol/mol), and 1.27 mg of V-50 (0.005 mmol, i.e., V-50/80EG₄ = 1/3 mol/mol) were mixed in 3.64 g of distilled water. The suspension was purged with nitrogen for 20 min at room temperature to avoid side reactions, mixed using a vortex and placed in a pre-heated oil bath at 50 °C under magnetic stirring for 24 h.

At the end of the reaction, the NP suspensions were placed in a cellulose membrane (Spectra/Por) with a molecular weight cut-off of 3.5 kDa and were dialyzed against distilled water for 48 h.

The reaction conversion was first evaluated via thermogravimetric analysis performed on an Ohaus MB35 moisture analyzer [6] and then confirmed via ¹H-NMR (Figure S2, Equation (S3)) following the same procedure described in Section 2.2. From the ¹H-NMR spectrum, the actual p was calculated according to Equation (S4). The block copolymer M_n and \mathcal{D} (Table S2) were measured via GPC according to the procedure described in Section 2.2.

The NP size (reported in terms of Z-average, indicated as D_n) and polydispersity index (PDI) were measured via dynamic light scattering (DLS) on a Zetasizer Nano ZS (Malvern, UK) at a scattering angle of 173° (Table 1). Each sample was diluted before the analysis to a concentration equal to 1% *w/w* and analyzed in triplicate with 13 runs per measurement.

Table 1. Size (Dn), polydispersity index (PDI) and temperature of cloud point (T_{cp}) of the NP synthesized.

Sample	Dn [nm]	PDI [-]	T _{cp} [°C]	HLB [-]
40EG ₄ -100BMA	58.9 ± 0.3	0.15 ± 0.01	64	9.1
40EG ₄ -200BMA	72.6 ± 0.7	0.11 ± 0.01	65	5.9
40EG ₄ -300BMA	135.9 ± 0.7	0.12 ± 0.01	63	4.5
40EG ₄ -500BMA	194.9 ± 3.1	0.08 ± 0.01	63	2.8
40EG ₄ -1000BMA	412.5 ± 3.5	0.09 ± 0.01	64	1.6
40EG ₄ -3000BMA	1251.8 ± 94.1	0.07 ± 0.01	62	0.6
60EG ₄ -150BMA	48.6 ± 0.2	0.15 ± 0.03	65	9.3
60EG ₄ -275BMA	73.3 ± 0.5	0.05 ± 0.02	65	6.2
60EG ₄ -400BMA	125.2 ± 0.8	0.03 ± 0.02	64	4.8
60EG ₄ -725BMA	192.7 ± 0.1	0.06 ± 0.02	64	2.9
80EG ₄ -450BMA	110.3 ± 2.4	0.22 ± 0.01	64	5.9
80EG ₄ -650BMA	160.6 ± 2.1	0.05 ± 0.01	63	4.2
80EG ₄ -850BMA	177.3 ± 1.8	0.04 ± 0.08	63	3.5
100EG ₄ -275BMA	57.7 ± 2.1	0.05 ± 0.10	66	9.2
100EG ₄ -600BMA	102.1 ± 0.9	0.03 ± 0.01	64	5.9
100EG ₄ -850BMA	126.6 ± 2.5	0.03 ± 0.03	65	4.5
100EG ₄ -1200BMA	168.9 ± 4.1	0.03 ± 0.04	64	3.1

The block copolymer hydrophilic-lipophilic balance (HLB, Table 1) was calculated according to Equation (1):

$$HLB = 20 \frac{M_h}{M_t} \quad (1)$$

where M_h is the molecular mass of the hydrophilic portion of the molecule (i.e., the thermo-responsive portion in our case), and M_t is the molecular mass of the whole molecule. This value is a number comprised within 0–20 with a value of 0 corresponding to a completely lipophilic molecule and a value of 20 corresponding to a completely hydrophilic molecule.

2.4. Study of the Thermo-Responsive Properties

The NP cloud point (T_{cp}) was calculated via DLS analyzing the inflection points of Dn vs. temperature curves [17]. The temperature was ramped from 25 °C up to 70 °C at intervals of 1 °C, leaving 120 s of equilibration time before each measurement.

In order to evaluate the NP reversibility, all the samples were diluted at 20%, 10%, 5%, and 1% *w/w* and placed in a pre-heated oven at 80 °C for 1 h. At the end of the heating procedure, the NPs were removed and left to equilibrate at room temperature for an additional hour.

The samples showing a reversibility (i.e., turned again cloudy) were analyzed via DLS in order to compare their actual size with the original one (i.e., before the heating procedure).

3. Results and Discussion

Thermo-responsive amphiphilic block copolymers with a well-defined microstructure and self-assembled into NPs were synthesized via two consecutive RAFT polymerizations.

We first synthesized four thermo-responsive macro CTAs (nEG₄, with *n* equal to 40, 60, 80, and 100, respectively) through RAFT solution polymerization of EG₄ in ethanol. High monomer conversion (>94%), poor *D* (<1.2) and controllable *M_n* were obtained (see Table S1 in the Supporting Information). In fact, one peculiarity of the RAFT process is the possibility of setting *a priori* the desired molecular weight by simply changing the monomer to CTA molar ratio (*n*) as shown in Equation (2) [39].

$$M_{n_{mCTA}} = M_{CTA} + nXM_{EG_4} \quad (2)$$

being *X* the monomer conversion, $M_{n_{mCTA}}$, M_{CTA} and M_{EG_4} the molecular weights of the produced macro CTA (i.e., number-average molecular weight), CTA and EG₄ respectively.

This Equation is validated by the linear increase in M_n reported in Figure 1a for different values of n . At the same time, the RAFT polymerization ensures that the actual n of the product, as measured through $^1\text{H-NMR}$, closely matches the target value, as shown in the comparative plot reported in Figure S3a, thus granting a good control over the polymer average chain length.

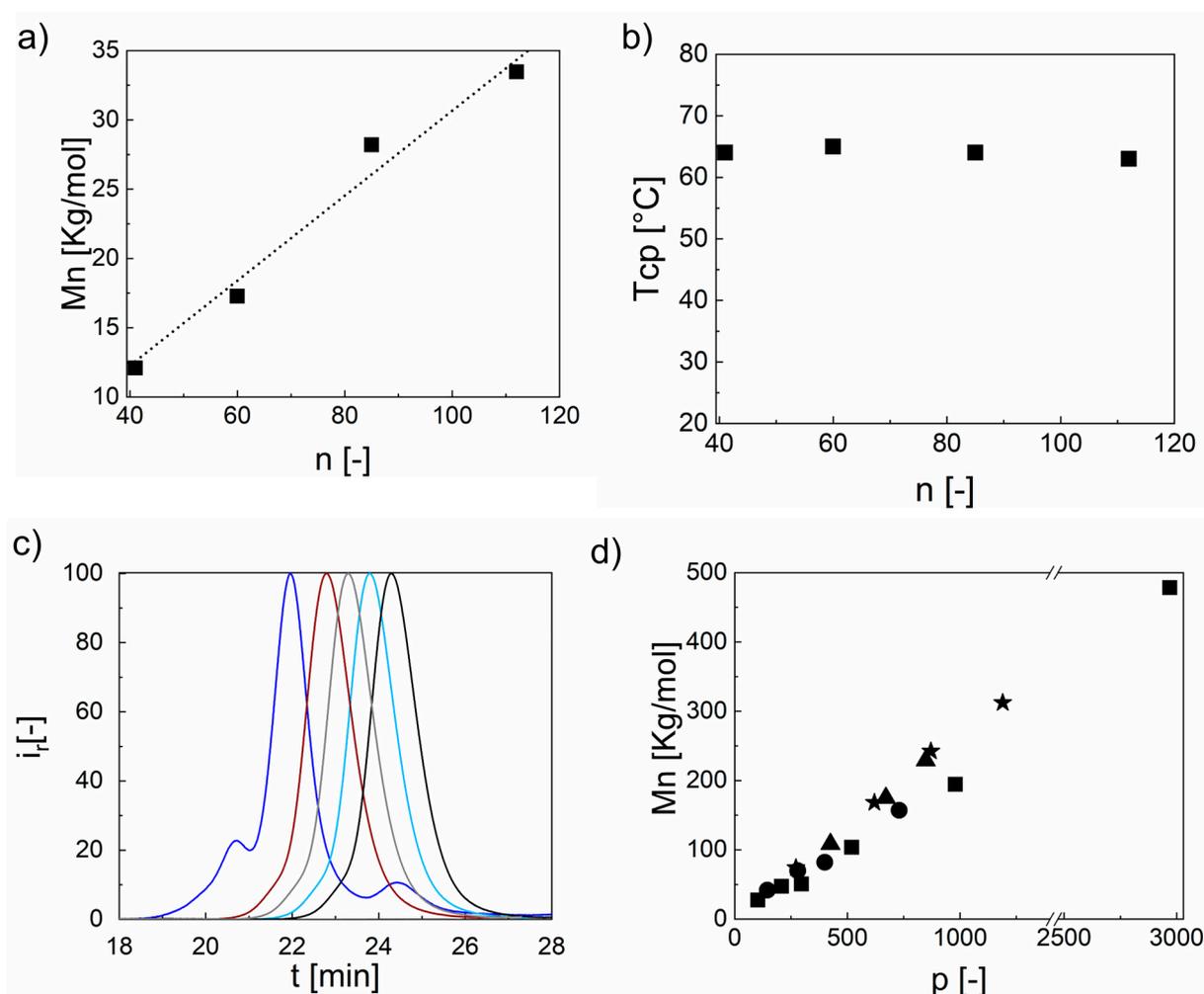


Figure 1. (a) Number average molecular weight as function of the degree of polymerization of the thermo-responsive block (b) T_{cp} as a function of the degree of polymerization of the thermo-responsive block (c) Normalized chromatograms of the block copolymers synthesized from 100EG₄ having p equal to 0 (black), 275 (light blue), 600 (grey), 850 (red), and 1200 (blue), respectively. i_r represents the normalized intensity of the refractive index detector. (d) Number-average molecular weight as a function of the degree of polymerization of the lipophilic block for polymers having n equal to 40 (square), 60 (circle), 80 (triangle), and 100 (star), respectively.

It is worth noticing that this increase in the polymer molecular weight is not followed by a change in its T_{cp} , which changed in a very narrow range between 62 and 66 °C, as demonstrated in Figure 1b. The phase separation at this temperature may find application in the oil and gas field, where thermo-responsive polymers can be applied as viscosity modifiers or to enhance the emulsification/separation of oil in water during the so-called enhanced oil recovery [37,40,41]. However, we would like to point out that with this work we are not aiming at a particular application. Rather, EG₄ was selected as a representative of the oligo(ethylene glycol)methyl ether methacrylates, with a controllable phase separation. The T_{cp} of these polymers can be readily adjusted to the desired value by choosing the appropriate oligo(ethylene glycol) chain length or by copolymerization with hydrophilic (i.e., T_{cp} increases) or hydrophobic (i.e., T_{cp} decreases) monomers [24]. The poor sensitivity

of the T_{cp} to the molecular weight for this class of polymers, quite expected for LCST-type polymers at least for these low values of n , allows to obtain a portfolio of macro CTAs with comparable T_{cp} and different M_n .

These macro CTAs were then chain-extended with BMA via RAFT emulsion polymerization to obtain amphiphilic block copolymers self-assembled into NPs. Different degrees of polymerization for the polyBMA portion (p) were targeted. Again, the RAFT polymerization ensured to achieve values of p very close to the target (Figure S3b). In addition, all the copolymers synthesized presented high monomer conversion (>95%) and poor \mathcal{D} (<1.4, Table S2) as expected from a controlled radical polymerization. The possibility of forming well-defined block copolymers is further demonstrated by the high blocking efficiency. In fact, in most of the samples, the macro CTA showed high conversion during the polymerization as its characteristic peak is strongly reduced in intensity in the GPC chromatogram of the block copolymers. This is shown as an example in Figure 1c for the 100EG₄- p BMA samples. The peak of the macro CTA at 24.5 min is seen as a shoulder in the chromatogram of the 100EG₄-1200BMA, while it is not separated and resolved in all the other samples, suggesting high conversion. Indeed, for these samples, low molecular weight tails that could hide the signal from the macro CTA were recorded. However, their intensity is quite small compared to that of the main copolymer distribution, suggesting that in the worst scenario the residual macro CTA concentration is low as well.

This two-step RAFT polymerization strategy provides two degrees of freedom (n and p) enabling to independently control the partition of the thermo-responsive and hydrophobic units and the copolymer M_n , according to Equation (3) (Figure 1d):

$$M_n = M_{n_{mCTA}} + pXM_{BMA} = M_{CTA} + nM_{EG_4} + pXM_{BMA} \quad (3)$$

with M_{BMA} and M_n being the BMA and final block-copolymer number-average molecular weight, respectively. In particular, through the tuning of n and p it is possible to produce copolymers with the same M_n and different hydrophilic-to-lipophilic balance (HLB). To rationalize this concept, we calculated for all the samples (Table 1) the corresponding HLB (Equation (1)), which was found to play a relevant role in dictating the macroscopic thermo-responsive behavior, as discussed in the following. The HLB could be varied in the range 1–10 and, therefore, block copolymers from very hydrophobic to slightly hydrophilic could be produced with this approach.

Another advantage of using the RAFT emulsion polymerization is the simultaneous synthesis of the copolymer and its self-assembly into NPs at high solid content. The high control in the block copolymer synthesis allows the formation of colloids with tunable size (D_n) and poor polydispersity indexes (PDI) as clearly shown in Table 1.

In particular, the two-step approach adopted in this work makes the NP size a function of n and p (Equation (4)) [39], thus providing the opportunity of decoupling D_n from the polymer molecular weight and in turn of obtaining NPs with comparable size but different microstructure:

$$D_n = \frac{6 p M_{BMA}}{A N \rho} = \frac{6 p M_{BMA}}{(\alpha + n\beta) N \rho} \quad (4)$$

with M_{BMA} being the molecular weight of BMA, ρ the density of the lipophilic chains, N the Avogadro number, A the area on the NP surface covered by a single polymeric chain, α and β two constants characteristic of the polymer. In particular, A is a function of the molecular weight of the hydrophilic portion of the block copolymer when amphiphilic stabilizers as nEG_4 are used at a concentration higher than their critical micellar concentration (CMC). In this case, the lipophilic backbone is expected to dispose tangentially to the NP surface with the hydrophilic moieties exposed to the bulk. In a similar conformation, the higher n (and in turn M_n of the stabilizer), the higher the portion of the surface that it is able to cover and, in turn, the lower the average NP size [39]. The NP synthesized in this work are in line with this prediction and their size, at given p , decreases with n as clearly visible in Figure 2a. In fact, the higher n the higher the surface area covered by a single chain with a

consequent reduction in the NP size (Equation (4)). Moreover, a linear increase in the NP size with p , at a given n , is obtained independently from the stabilizer used (Figure 2a).

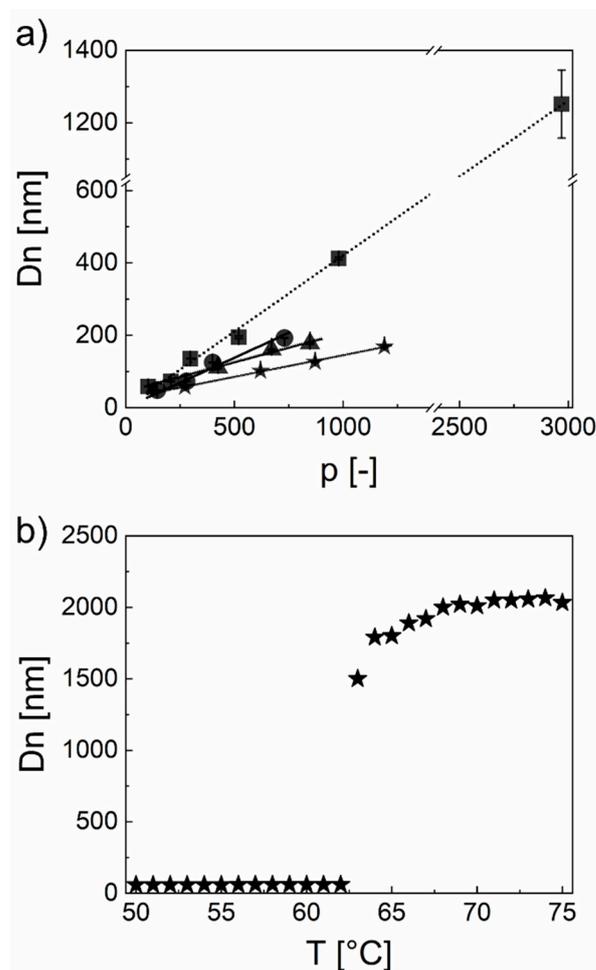


Figure 2. (a) NP size (D_n) as a function of p in the case of n equal to 40 (square), 60 (circle), 80 (triangle), and 100 (star), respectively. (b) NP size as function of external temperature in the case of 80EG₄-650BMA.

It is worth mentioning that a broad range of D_n can be accessed with this strategy, from around 50 nm up to 1 μm (i.e., 40EG₄-3000BMA). This is a remarkable result for colloids produced via RAFT emulsion polymerization, which typically leads to NPs with an upper size limit of 500 nm [42].

The high control achievable with this two-step RAFT polymerization strategy allows the formation of a wide portfolio of colloids with different size and HLB suitable for a systematic analysis of the impact of these parameters over the macroscopic thermo-responsive behavior.

First, we measured the T_{cp} of the different samples via DLS, according to the procedure described in Section 2.4. All the NPs show a similar trend with a sharp change in their size from nm to few μm once the T_{cp} is reached (Figure 2b). It is worth mentioning that the absolute value of the size reached above the T_{cp} should be only considered as an indication, since at this size scale the gravity causes the sedimentation of the larger aggregates already within the time of the analysis. What is reliable is the dynamic of the phase separation, which is due to the loss of steric stability. In fact, once the T_{cp} is overcome, the thermo-responsive shell collapses on the NP core leading to aggregation (Scheme 1). It is worth noticing that the T_{cp} is independent from p for all the n considered (Table 1). In fact, the high conversions obtained in the synthesis of the stabilizer coupled

with the high compartmentalization achievable with the RAFT emulsion polymerization permits to obtain a library of NPs with a transition temperature that is dependent only on the thermo-responsive monomer chosen [17–25].

After having demonstrated that all of the samples exhibit a thermo-responsive behavior, their physical state above the T_{cp} as well as their reversibility once the thermal stimulus is removed were systematically investigated and related to the three degrees of freedom characterizing the system, i.e., solid content, n and p .

We explored the thermo-responsive behavior at four different concentrations, namely 1, 5, 10, and 20% w/w according to the procedure described in Section 2.4. Interestingly, no significant effects of NP concentration over their reversibility were noticed for all of the NPs analyzed, as visible in Figure S4. Thus, samples with a reversible transition at given n and p , are so at any of the concentrations tested. Moreover, only a modest effect on the NP physical state above the T_{cp} was observed with a tendency to the formation of gel when the concentration is increased. Then, the effect of n and p was investigated in details at a fixed concentration of 20% w/w . We divided the phase diagram into four classes depending on the NP reversibility as well as the physical state of the aggregate above the T_{cp} . In particular, we individuated two possible physical states, namely precipitate and gel (Figure S5). In the former, the destabilization led to the NP aggregation and precipitation of a bulky polymer phase, while in the case of the gel, the destabilization involved the whole mass of the sample, leading to a self-standing monolith. Both of these states can be reversible or irreversible depending on the capability of the NPs to recover their original size once the thermal stimulus is removed, as shown for example in Figure S6 for the sample 60EG₄-150BMA. The four different behaviors are indicated in the phase diagram of Figure 3a as reversible precipitate, irreversible precipitate, irreversible gel, and reversible gel, respectively. In general, we observed that an increase in p always led to a progressive loss in the reversibility of the phase separation, independently from the n considered. This behavior can be justified considering that an increase in p is associated with an increase in the NP lipophilicity, leading to stronger hydrophobic interactions between the aggregated NPs. This hypothesis is supported by the evidence that by counter-balancing the increase in lipophilicity of the block copolymer with an increase in the thermo-responsive portion (i.e., n), the range of p leading to reversible transitions is expanded. In addition, n seems to play a relevant role in the physical state of the phase separated system, favoring the formation of hydrogels. This may be attributed to the decrease in the NP size, and then to an increase in their number, associated with an increase in n , when the solid content is fixed. This higher number of particles, together with the partial shell interpenetration could lead to a percolating system, in which at a critical NP distance the system stops flowing forming a self-standing gel [43].

Since, as shown, a change in p and n is always accomplished with a variation in the NP size making the understanding of the real impact of these parameters difficult, we re-analyzed the samples as a function of their HLB (Equation (1)). Interestingly, it was found that NPs with comparable HLB present similar size (Figure S7). Moreover, the decreasing trend of D_n with the HLB observed for all the n considered is in line with Equation (4). Grouping NPs with similar size allows to understand the impact of the absolute length of both blocks on the NP reversibility. In fact, as visible from Figure 3b, for HLB lower than 4.5, an irreversible aggregation is obtained independently from the n considered. However, the systems stabilized by shorter n (40 and 60 in Table S1) are more prone to the reversibility (achieved at lower HLB compared to the samples with $n = 80$ and 100). This result suggests that the longer the stabilizer, the lower the NP reversibility when size and lipophilicity are fixed, which may be due to a certain interaction between the thermo-responsive shells. Hence, a fine tuning of the block copolymer microstructure allows to decouple the NP size and HLB from their thermo-responsive response.

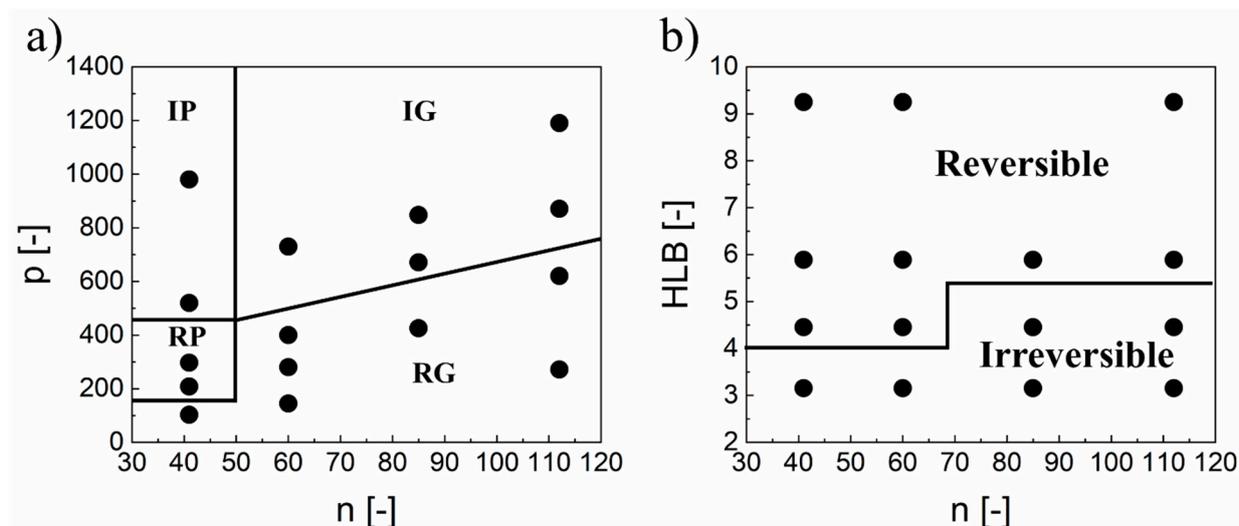


Figure 3. (a) Phase diagram reporting the physical state of the NP suspension above its T_{cp} at a fixed concentration of 20% w/w being RP, reversible precipitate, IP, irreversible precipitate, IG, irreversible gel, and RG, reversible gel, respectively. (b) Phase diagram reporting the reversibility of the phase separation at a given concentration of 20% w/w and as a function of the HLB of the block copolymer and n .

4. Conclusions

In this work, we correlated the macroscopic behavior of thermo-responsive NPs with the microstructure of the block copolymer they are made up of. The use of two sequential RAFT polymerization steps allows the synthesis of colloids with high blocking efficiency and controllable properties including size, molecular weight, HLB and microstructure. Moreover, exploiting the two degrees of freedom that this procedure allows, it was possible to decouple all the NP properties in order to better clarify the role of each parameter over the final macroscopic behavior.

First, it was found that the solid content does not play a significant role on the properties of these systems. In general, a decrease in the nanoparticle size is accomplished with a better reversibility of the system and a major tendency to form gel. However, this behavior is impacted also from the absolute length of both the portions (n and p) of the block copolymers that form the NP. In fact, NPs with comparable HLB and size recover their reversibility more easily when the stabilizing block is shorter.

Supplementary Materials: The following are available online at <https://www.mdpi.com/article/10.3390/polym13071032/s1>, Figure S1: $^1\text{H-NMR}$ spectrum of 60EG₄; Figure S2: $^1\text{H-NMR}$ spectrum of 60EG₄-400BMA; Figure S3: (a) thermo-responsive polymer degree of polymerization calculated via $^1\text{H-NMR}$ (n_{NMR}) as a function of the EG₄/CTA mole ratio (n_{target}). (b) hydrophobic block degree of polymerization calculated via $^1\text{H-NMR}$ (p_{NMR}) as function of the BMA/macro CTA mole ratio (p_{target}); Figure S4: Phase diagram reporting the reversibility of the phase separation as a function of the NP concentration and p in the case of n equal to 40 (a), 60 (b), 80 (c) and 100 (d), being RP = Reversible Precipitate, IP = Irreversible Precipitate, IG = Irreversible Gel and RG = Reversible Gel, respectively; Figure S5: NP forming a precipitate (left) or a hydrogel (right) once the temperature is increased above the T_{cp} ; Figure S6: NP size as function of temperature during heating (triangle) and cooling (star) in the case of 60EG₄-150BMA; Figure S7: NP size as function of block copolymers HLB in the case of n equal to 40 (square), 60 (circle), 80 (triangle) and 100 (star); Table S1: conversion (X), degree of polymerization (n), number-average molecular weight (M_n) and dispersity (Đ) of the macro CTAs synthesized; Table S2: conversion (X), degree of polymerization (n , p), number-average molecular weight (M_n) and dispersity (Đ) of the block copolymers synthesized; Equation (S1): EG₄ conversion; Equation (S2): Degree of polymerization for the macro CTA from the corresponding $^1\text{H-NMR}$ spectrum; Equation (S3): BMA conversion; Equation (S4): Degree of polymerization for the polyBMA from the corresponding $^1\text{H-NMR}$ spectrum.

Author Contributions: Funding acquisition: D.M.; investigation: N.M.; methodology: M.S.; supervision: D.M.; validation: M.T.; writing—original draft: N.M.; writing—review and editing: M.S. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: The data presented in this study are available on request from the corresponding author.

Acknowledgments: The authors are grateful to Roberto Panebianco for the help with the experimental part.

Conflicts of Interest: The authors declare no conflict of interests for this publication.

References

1. Bennet, D.; Kim, S. Polymer Nanoparticles for Smart Drug Delivery. *Appl. Nanotechnol. Drug Deliv.* **2014**. [[CrossRef](#)]
2. Birrenbach, G.; Speiser, P.P. Polymerized Micelles and Their Use as Adjuvants in Immunology. *J. Pharm. Sci.* **1976**, *65*, 1763–1766. [[CrossRef](#)] [[PubMed](#)]
3. Kreuter, J. Nanoparticles and Nanocapsules—New Dosage Forms in the Nanometer Size Range. *Pharm. Acta Helv.* **1978**, *53*, 33–39. [[PubMed](#)]
4. Devonport, I.W.; Even, R.C.; Hermes, A.R.; Lorah, D.P.; Tanzer, J.D.; VanDyk, A.K. Aqueous Composition Containing Polymeric Nanoparticles. U.S. Patent No. US7071261B2, 4 July 2006.
5. Serhan, M.; Sprowls, M.; Jackemeyer, D.; Long, M.; Perez, I.D.; Maret, W.; Tao, N.; Forzani, E. Industrial Applications of Nanoparticles. *AIChE Annu. Meet. Conf. Proc.* **2019**. [[CrossRef](#)]
6. Manfredini, N.; Ilare, J.; Invernizzi, M.; Polvara, E.; Contreras Mejia, D.; Sironi, S.; Moscatelli, D.; Sponchioni, M. Polymer Nanoparticles for the Release of Fragrances: How the Physicochemical Properties Influence the Adsorption on Textile and the Delivery of Limonene. *Ind. Eng. Chem. Res.* **2020**, *59*, 12766–12773. [[CrossRef](#)]
7. Patel, A.; Prajapati, P.; Boghra, R. Overview on Application of Nanoparticles in Cosmetics. *Asian J. Pharm. Sci. Clin. Res.* **2011**, *1*, 40–55.
8. Nasir, A.; Kausar, A.; Younus, A. A Review on Preparation, Properties and Applications of Polymeric Nanoparticle-Based Materials. *Polym. Plast. Technol. Eng.* **2015**, *54*, 325–341. [[CrossRef](#)]
9. Asua, J.M. Emulsion Polymerization: From Fundamental Mechanisms to Process Developments. *J. Polym. Sci. Part A Polym. Chem.* **2004**, *42*, 1025–1041. [[CrossRef](#)]
10. Chern, C.S. Emulsion Polymerization Mechanisms and Kinetics. *Prog. Polym. Sci.* **2006**, *31*, 443–486. [[CrossRef](#)]
11. Monteiro, M.J.; Cunningham, M.F. Polymer Nanoparticles via Living Radical Polymerization in Aqueous Dispersions: Design and Applications. *Macromolecules* **2012**, *45*, 4939–4957. [[CrossRef](#)]
12. Chem, R. Reaction Chemistry & Engineering Progress in Reactor Engineering of Controlled Radical Polymerization: A Comprehensive Review. *React. Chem. Eng.* **2016**, *1*, 23–59. [[CrossRef](#)]
13. Nicolas, J.; Guillaneuf, Y.; Lefay, C.; Bertin, D.; Gimes, D.; Charleux, B. Nitroxide-Mediated Polymerization. *Prog. Polym. Sci.* **2013**, *38*, 63–235. [[CrossRef](#)]
14. Matyjaszewski, K. Atom Transfer Radical Polymerization (ATRP): Current Status and Future Perspectives. *Macromolecules* **2012**, *45*, 4015–4039. [[CrossRef](#)]
15. Chiefari, J.; Chong, Y.K.; Ercole, F.; Krstina, J.; Jeffery, J.; Le, T.P.T.; Mayadunne, R.T.A.; Meijs, G.F.; Moad, C.L.; Moad, G.; et al. Living Free-Radical Polymerization by Reversible Addition—Fragmentation Chain Transfer: The RAFT Process. *Macromolecules* **1998**, *31*, 5559–5562. [[CrossRef](#)]
16. Capasso Palmiero, U.; Maraldi, M.; Manfredini, N.; Moscatelli, D. Zwitterionic Polyester-Based Nanoparticles with Tunable Size, Polymer Molecular Weight, and Degradation Time. *Biomacromolecules* **2018**, *19*, 1314–1323. [[CrossRef](#)] [[PubMed](#)]
17. Sponchioni, M.; Ferrari, R.; Morosi, L.; Moscatelli, D. Influence of the Polymer Structure over Self-Assembly and Thermo-Responsive Properties: The Case of PEG-b-PCL Grafted Copolymers via a Combination of RAFT and ROP. *J. Polym. Sci. Part A Polym. Chem.* **2016**, *54*, 2919–2931. [[CrossRef](#)]
18. Sponchioni, M.; Palmiero, U.C.; Moscatelli, D. HPMA-PEG Surfmers and Their Use in Stabilizing Fully Biodegradable Polymer Nanoparticles. *Macromol. Chem. Phys.* **2017**, *218*, 1–12. [[CrossRef](#)]
19. Sponchioni, M.; Morosi, L.; Lupi, M.; Capasso Palmiero, U. Poly(HPMA)-Based Copolymers with Biodegradable Side Chains Able to Self Assemble into Nanoparticles. *RSC Adv.* **2017**, *7*, 50981–50992. [[CrossRef](#)]
20. Zanon, A.; Gardoni, G.; Sponchioni, M.; Moscatelli, D. Valorisation of Glycerol and CO₂ to Produce Biodegradable Polymer Nanoparticles with a High Percentage of Bio-Based Components. *J. CO₂ Util.* **2020**, *40*, 101192. [[CrossRef](#)]
21. Zanetti, M.; Carniel, T.K.; Dalcanton, F.; dos Anjos, R.S.; Gracher Riella, H.; de Araújo, P.H.H.; de Oliveira, D.; Antônio Fiori, M. Use of Encapsulated Natural Compounds as Antimicrobial Additives in Food Packaging: A Brief Review. *Trends Food Sci. Technol.* **2018**, *81*, 51–60. [[CrossRef](#)]

22. Kumari, A.; Yadav, S.K.; Yadav, S.C. Biodegradable Polymeric Nanoparticles Based Drug Delivery Systems. *Colloids Surf. B Biointerfaces* **2010**, *75*, 1–18. [[CrossRef](#)]
23. Wang, F.; Luo, Y.; Li, B.G.; Zhu, S. Synthesis and Redispersibility of Poly(Styrene-Block-N-Butyl Acrylate) Core-Shell Latexes by Emulsion Polymerization with Raft Agent-Surfactant Design. *Macromolecules* **2015**, *48*, 1313–1319. [[CrossRef](#)]
24. Sponchioni, M.; Capasso Palmiero, U.; Moscatelli, D. Thermo-Responsive Polymers: Applications of Smart Materials in Drug Delivery and Tissue Engineering. *Mater. Sci. Eng. C* **2019**, *102*, 589–605. [[CrossRef](#)] [[PubMed](#)]
25. Sponchioni, M.; Rodrigues Bassam, P.; Moscatelli, D.; Arosio, P.; Capasso Palmiero, U. Biodegradable Zwitterionic Nanoparticles with Tunable UCST-Type Phase Separation under Physiological Conditions. *Nanoscale* **2019**, *11*, 16582–16591. [[CrossRef](#)]
26. Sponchioni, M.; O'Brien, C.T.; Borchers, C.; Wang, E.; Rivolta, M.N.; Penfold, N.J.W.; Canton, I.; Armes, S.P. Probing the Mechanism for Hydrogel-Based Stasis Induction in Human Pluripotent Stem Cells: Is the Chemical Functionality of the Hydrogel Important? *Chem. Sci.* **2020**, *11*, 232–240. [[CrossRef](#)]
27. Sponchioni, M.; Manfredini, N.; Zanoni, A.; Scibona, E.; Morbidelli, M.; Moscatelli, D. Readily Adsorbable Thermoresponsive Polymers for the Preparation of Smart Cell-Culturing Surfaces on Site. *ACS Biomater. Sci. Eng.* **2020**, *6*, 5337–5345. [[CrossRef](#)] [[PubMed](#)]
28. Sponchioni, M.; Capasso Palmiero, U.; Manfredini, N.; Moscatelli, D. RAFT Copolymerization of Oppositely Charged Monomers and Its Use to Tailor the Composition of Nonfouling Polyampholytes with an UCST Behaviour. *React. Chem. Eng.* **2019**, *4*, 436–446. [[CrossRef](#)]
29. Zhang, Q.; Yu, G.; Wang, W.J.; Yuan, H.; Li, B.G.; Zhu, S. Switchable Block Copolymer Surfactants for Preparation of Reversibly Coagulatable and Redispersible Poly(Methyl Methacrylate) Latexes. *Macromolecules* **2013**, *46*, 1261–1267. [[CrossRef](#)]
30. Jessop, P.G.; Mercer, S.M.; Heldebrant, D.J. CO₂-Triggered Switchable Solvents, Surfactants, and Other Materials. *Energy Environ. Sci.* **2012**, *5*, 7240–7253. [[CrossRef](#)]
31. Li, Y.; Liu, L.; Liu, X.; Chen, S.; Fang, Y. Reversibly Responsive Microemulsion Triggered by Redox Reactions. *J. Colloid Interface Sci.* **2019**, *540*, 51–58. [[CrossRef](#)] [[PubMed](#)]
32. Halperin, A.; Kröger, M.; Winnik, F.M. Poly(N-Isopropylacrylamide) Phase Diagrams: Fifty Years of Research. *Angew. Chem. Int. Ed.* **2015**, *54*, 15342–15367. [[CrossRef](#)] [[PubMed](#)]
33. Zhang, Q.; Weber, C.; Schubert, U.S.; Hoogenboom, R. Thermoresponsive Polymers with Lower Critical Solution Temperature: From Fundamental Aspects and Measuring Techniques to Recommended Turbidimetry Conditions. *Mater. Horiz.* **2017**, *4*, 109–116. [[CrossRef](#)]
34. Ward, M.A.; Georgiou, T.K. Thermoresponsive Polymers for Biomedical Applications. *Polymers* **2011**, *3*, 1215–1242. [[CrossRef](#)]
35. Sanoj Rejinold, N.; Muthunayanan, M.; Divyarani, V.V.; Sreerexha, P.R.; Chennazhi, K.P.; Nair, S.V.; Tamura, H.; Jayakumar, R. Curcumin-Loaded Biocompatible Thermoresponsive Polymeric Nanoparticles for Cancer Drug Delivery. *J. Colloid Interface Sci.* **2011**, *360*, 39–51. [[CrossRef](#)] [[PubMed](#)]
36. Sponchioni, M. Polymeric Nanoparticles for Controlled Drug Delivery. In *Nanomaterials for Theranostics and Tissue Engineering*; Rossi, F., Rainer, A.B.T.-N., Eds.; Elsevier: Amsterdam, The Netherlands, 2020; pp. 1–28. [[CrossRef](#)]
37. Cao, P.-F.; Mangadla, J.D.; Advincula, R.C. Stimuli-Responsive Polymers and Their Potential Applications in Oil-Gas Industry. *Polym. Rev.* **2015**, *55*, 706–733. [[CrossRef](#)]
38. Winninger, J.; Iurea, D.M.; Atanase, L.I.; Salhi, S.; Delaite, C.; Riess, G. Micellization of Novel Biocompatible Thermo-Sensitive Graft Copolymers Based on Poly(ϵ -Caprolactone), Poly(N-Vinylcaprolactam) and Poly(N-Vinylpyrrolidone). *Eur. Polym. J.* **2019**, *119*, 74–82. [[CrossRef](#)]
39. Palmiero, U.C.; Agostini, A.; Gatti, S.; Sponchioni, M.; Valenti, V.; Brunel, L.; Moscatelli, D. RAFT Macro-Surfmers and Their Use in the Ab Initio RAFT Emulsion Polymerization To Decouple Nanoparticle Size and Polymer Molecular Weight. *Macromolecules* **2016**, *49*, 8387–8396. [[CrossRef](#)]
40. Maddinelli, G.; Bartosek, M.; Carminati, S.; Moghadasi, L.; Mandredini, N.; Moscatelli, D. Design of Thermoresponsive Polymers to Selective Permeability Reduction in Porous Media. In Proceedings of the Abu Dhabi International Petroleum Exhibition and Conference, Abu Dhabi, United Arab Emirates, 9–12 November 2020.
41. Boulif, N.; Sebakh, K.O.; Joosten, H.; Raffa, P. Design and Synthesis of Novel Di- and Triblock Amphiphilic Polyelectrolytes: Improving Salt-Induced Viscosity Reduction of Water Solutions for Potential Application in Enhanced Oil Recovery. *J. Appl. Polym. Sci.* **2021**, *138*, 50366. [[CrossRef](#)]
42. Parker, B.R.; Derry, M.J.; Ning, Y.; Armes, S.P. Exploring the Upper Size Limit for Sterically Stabilized Diblock Copolymer Nanoparticles Prepared by Polymerization-Induced Self-Assembly in Non-Polar Media. *Langmuir* **2020**, *36*, 3730–3736. [[CrossRef](#)]
43. Wu, H.; Xie, J.; Morbidelli, M. Soft Matter Kinetics of Colloidal Gelation and Scaling of the Gelation point. *Soft Matter* **2013**, *9*, 4437–4443. [[CrossRef](#)]