

# Ferroelectricity in Simple Binary Crystals

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**Abstract:** The origin of ferroelectricity in doped binary crystals,  $\text{Pb}_{1-x}\text{Ge}_x\text{Te}$ ,  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$ ,  $\text{Zn}_{1-x}\text{Li}_x\text{O}$ , and  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$  is discussed, while no binary ferroelectrics have been reported except for two crystals, HCl and HBr. The ferroelectricity is induced only in doped crystals, which shows an importance of electronic modification in chemical bonds by dopants. The phenomenological and microscopic treatments are given for the appearance of ferroelectric activity. The discovery of ferroelectricity in binary crystals such as ZnO and  $\text{HfO}_2$  is of high interest in fundamental science and also in application for complementary metal–oxide semiconductor (CMOS) technology.

**Keywords:** ferroelectric; binary crystal; ZnO;  $\text{HfO}_2$ ; mixed bond

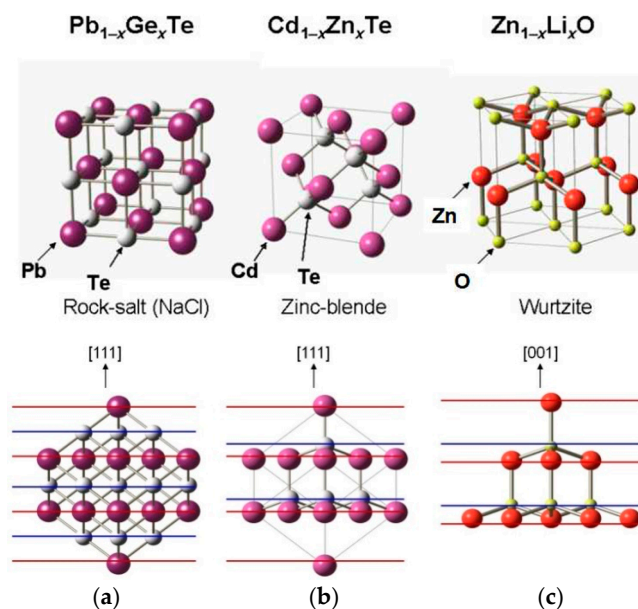
## 1. Introduction

Ferroelectrics are expected as a key material for next-generation nonvolatile ferroelectric memories (FeRAM), piezoelectric actuators, high- $k$  gate-materials for high-speed FET (field effect transistor), and optoelectronic devices [1–6]. Particularly, ferroelectric thin films such as perovskite PZT ( $\text{PbZr}_{1-x}\text{Ti}_x\text{O}_3$ ) and Bi-layered perovskite SBT ( $\text{SrBi}_2\text{Ta}_2\text{O}_9$ ) have been investigated extensively for FeRAM, because of their excellent dielectric properties, i.e., high dielectric constant and large spontaneous polarization. However, it is not so easy to fabricate good quality ferroelectric thin films on silicon substrate and integrate into devices overcoming degradation of ferroelectric properties due to the so-called size effect. Many ferroelectrics have crystal structures consisting of more than three atoms. For example,  $\text{BaTiO}_3$ , known as a typical ferroelectric with a perovskite structure, consists of three atoms. New materials with a simple structure are not only preferable for understanding the microscopic origin of ferroelectricity, but are also easy for integrating into modern ferroelectric devices. No ferroelectrics with two atoms have been reported except for two molecular crystals, HCl and HBr (Table 1) [7]. In 1969, Cochran developed the theory of lattice dynamics for alkali halide crystals such as NaCl and discussed the possibility of ferroelectricity, which revealed a real alkali halide crystal is not a ferroelectric, because a short-range restoring contribution is about twice as great as a long-range Coulomb contribution as discussed later [8–10]. However, it is important to point out that these two contributions are the same order for alkali halide crystals. We will show in this article that this balance of these two contributions may be modified by introducing some dopants, strain or defects in crystals.

**Table 1.** Phase diagram of HCl and HBr after Hoshino et al. [7].

	Phase III Ferroelectric		Phase II Paraelectric		Phase I Liquid
HCl	Orthorhombic- $Bb2_1m$		Cubic- $Fm3m$		Liquid
	Below 98 K		98~159 K		Above 159 K
HBr	Orthorhombic- $Bb2_1m$	Phase IIc Orthorhombic- $Bbcm$	Phase IIb Cubic	Phase IIa Cubic- $Fm3m$	Liquid
	Below 90 K	90~114 K	114~117 K	117~186 K	Above 186 K

The electronic ferroelectricity was found in wide-gap semiconductor ZnO by introducing a small amount of Li dopants, although pure ZnO does not show any evidence of ferroelectricity [11–15]. ZnO has a simple binary AB structure with high-symmetry (wurtzite structure). Besides ZnO, Ge-doped PbTe, a IV–VI narrow-gap semiconductor [16], and Zn-doped CdTe, a II–VI wide-gap semiconductor [17], have been investigated as materials of binary crystals accompanying ferroelectricity (Figure 1). Moreover, recent works showed that thin films of HfO<sub>2</sub>-ZrO<sub>2</sub> systems exhibit ferroelectricity [18,19]. Hafnia (HfO<sub>2</sub>) and Zirconia (ZrO<sub>2</sub>) have been well studied as high-*k* dielectric materials in CMOS (complementary metal–oxide semiconductor) technology. Pure HfO<sub>2</sub> crystal is monoclinic with space group  $P2_1/c$  at room temperature and atmospheric pressure. Only HfO<sub>2</sub> thin films doped with Si, Y, Al and Zr change the monoclinic crystal structure to a polar orthorhombic structure. The discovery of ferroelectricity in binary crystals such as ZnO and HfO<sub>2</sub> is of high interest in fundamental science and also in application fields. Our concern is to study the origin of this unexpected appearance of ferroelectricity in doped binary crystals. We will discuss why the ferroelectricity does not appear in pure systems but in doped crystals.



**Figure 1.** Crystal structures of (a)  $Pb_{1-x}Ge_xTe$ , (b)  $Cd_{1-x}Zn_xTe$  and (c)  $Zn_{1-x}Li_xO$ . The lower figures are plots of cation (blue lines) and anion (red lines) layers along the polar rhombohedral [111] direction for  $Pb_{1-x}Ge_xTe$  and  $Cd_{1-x}Zn_xTe$ , and polar [001] direction for  $Zn_{1-x}Li_xO$  [15].

## 2. Ferroelectricity in Binary Semiconductors

Ferroelectrics, in general, have complicated crystal structures, which undergo a phase transition from a paraelectric high-temperature phase with decreasing temperature, breaking the symmetry of

inversion. The dielectric constant ( $\epsilon$ ) and the spontaneous polarization ( $P_s$ ) are characterized in the mean field approximation as

$$\epsilon = C/(T - T_c) \quad (1)$$

$$P_s = P_0 (T - T_c)^{1/2} \quad (2)$$

where  $T_c$  is a critical temperature. Ferroelectrics are generally classified into order-disorder, displacive and improper types, though multiferroic materials have been reported recently. According to these types, they show the following characteristic dielectric properties as summarized in Table 2 [20].

**Table 2.** Typical values of the Curie-Weiss constant ( $C$ ), the dielectric constant around  $T_c$  ( $\epsilon_{\max}$ ), and the spontaneous polarization ( $P_s$ ) according to the type of ferroelectrics.

Type of Ferroelectrics	$C$ [K]	$\epsilon_{\max}$ at $T_c$	$P_s$ [ $\mu\text{C}/\text{cm}^2$ ]	Example
Order-disorder	$1\sim 3 \times 10^3$	$10^3$	3~5	TGS <sup>§</sup>
Displacive	$10^5$	$10^4$	10~30	BaTiO <sub>3</sub>
Improper	10	10	Small	ACS <sup>#</sup>
Electronic	-	21	0.9	ZnO

<sup>§</sup> TGS ( $\text{NH}_3\text{CH}_2\text{COOH}$ )<sub>3</sub>H<sub>2</sub>SO<sub>4</sub>, <sup>#</sup> ACS ((NH<sub>4</sub>)<sub>2</sub>Cd<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>).

Binary ferroelectric crystals show different but common dielectric behavior from those of the usual ferroelectrics described above; a small dielectric anomaly at  $T_c$  and relatively large  $P_s$ . We will review the ferroelectric properties of the ferroelectric binary semiconductors, PbTe, CdTe and ZnO, and a high- $k$  dielectric HfO<sub>2</sub>, briefly in this section. More detailed discussion should be referred in a monograph [15].

### 2.1. Narrow-Gap Ferroelectric Semiconductor PbTe

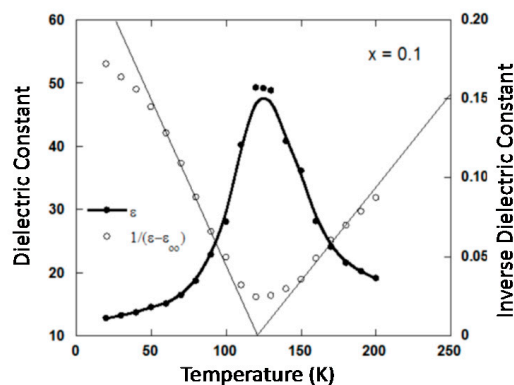
The PbTe-GeTe system has been investigated extensively about its ferroelectricity among IV-VI semiconductors [16], which has a rock-salt type structure ( $Fm\bar{3}m$ ,  $a = 6.46$  Å) at room temperature. The energy gap ( $E_g$ ) is 0.3 eV, which is comparable to the Lorentz field  $(4\pi/3)P$ . The ferroelectricity is observed in solid solution Pb<sub>1-x</sub>Ge<sub>x</sub>Te. The stacking Pb<sup>2+</sup> cation and Te<sup>2-</sup> anion layers dimerize along the rhombohedral [111] direction, as shown in Figure 1. The crystal changes to a rhombohedral structure ( $R\bar{3}m$ ) which allows it to exhibit ferroelectric activity Pb<sub>1-x</sub>Ge<sub>x</sub>Te with  $x = 0.003$  shows a large dielectric anomaly at  $T = 100$  K.

The large dielectric anomaly and the existence of the soft mode suggest the ferroelectric activity.

### 2.2. Wide-Gap Ferroelectric Semiconductor CdTe

Cd<sub>1-x</sub>Zn<sub>x</sub>Te is a II-VI wide-gap semiconductor with  $E_g = 1.53$  eV. Weil et al. discovered the ferroelectric activity in Cd<sub>1-x</sub>Zn<sub>x</sub>Te, as shown in Figure 2 [17,21]. The cubic zinc-blende structure (space group  $F\bar{4}3m$ ,  $a = 6.486$  Å) of pure CdTe crystal changes to a rhombohedral one ( $R\bar{3}m$ ,  $a = 6.401$  Å,  $\alpha = 89.94^\circ$ ) in Cd<sub>1-x</sub>Zn<sub>x</sub>Te, as shown in Figure 1b. The dielectric anomaly at  $T_c$  (393 K) is smaller by two orders than that of typical ferroelectric BaTiO<sub>3</sub> (~14,000). The spontaneous polarization is about 5  $\mu\text{C}/\text{cm}^2$  along the rhombohedral [111] direction. Doped Zn ions locate at *off-center* positions [22] which cause rhombohedral distortion of about 0.01 Å in Cd<sub>1-x</sub>Zn<sub>x</sub>Te [22].

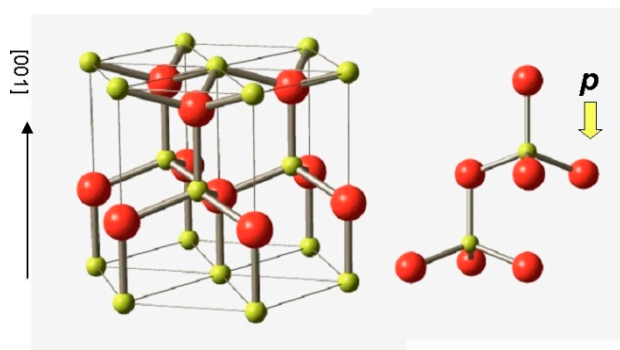
No soft mode has been observed in Cd<sub>1-x</sub>Zn<sub>x</sub>Te, and the dielectric anomaly is small. Although the behavior of *off-center ions* plays an important role in this ferroelectricity like Pb<sub>1-x</sub>Ge<sub>x</sub>Te, the occurrence of phase transition seems to be driven in a different way from that of Pb<sub>1-x</sub>Ge<sub>x</sub>Te.



**Figure 2.** Dependence of dielectric constant and inverse dielectric constant in  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$  ( $x = 0.1$ ) on temperature [17]. Reprinted figure with permission from R. Weil, R. Nkum, E. Muranevich, and L. Benguigui, Physical Review Letters, 62, 2744, 1989. Copyright (1989) by the American Physical Society.

### 2.3. II-VI Wide-Gap Semiconductor ZnO

Zinc Oxide (ZnO), a II-VI wide-gap semiconductor, is a well-studied electronic material with a large piezoelectric constant [23–27]. ZnO has been studied as materials for solar cells, transparent conductors and blue lasers [28,29]. This crystal has a wurtzite structure ( $P6_3mc$ ) (Figure 3). This space group is non-centrosymmetric and is allowed to exhibit ferroelectricity, although no  $D$ - $E$  loop has been observed until melting point. Introduction of a small amount of Li-dopants results in the ferroelectricity.



**Figure 3.** Crystal structure of ZnO. The observed polarization ( $p$ ) is shown by a yellow arrow [15].

A dielectric anomaly in  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  ( $x = 0.09$ ) was found at 470 K ( $T_c$ ) (Figure 4), though pure ZnO shows no anomaly from 20 K to 700 K. The small dielectric anomaly ( $\epsilon_{\text{max}} = 21$ ) is the same order with  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$  ( $\epsilon_{\text{max}} = 50$ ).

The spontaneous polarization is  $0.9 \mu\text{C}/\text{cm}^2$  [30,31]. The phase diagram between  $T_c$  and  $x$  is shown in Figure 5, which reminds us of a phase diagram of quantum ferroelectrics such as  $\text{KTa}_{1-x}\text{Nb}_x\text{O}_3$  and  $\text{Sr}_{1-x}\text{Ca}_x\text{TiO}_3$ . Raman scattering measurements showed no soft modes [32,33].

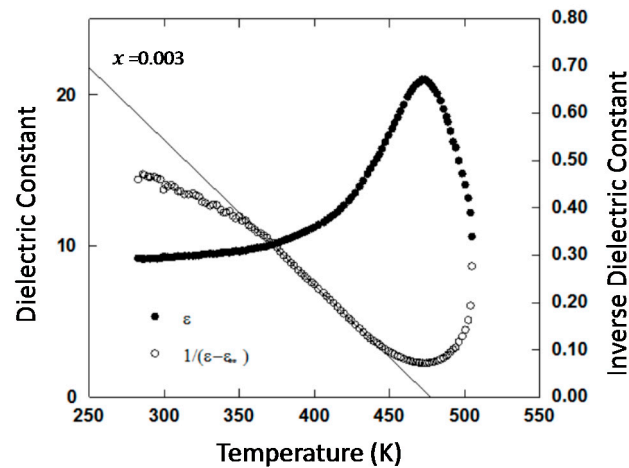


Figure 4. Temperature dependence of the dielectric constant of  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  ( $x = 0.09$ ) [15].

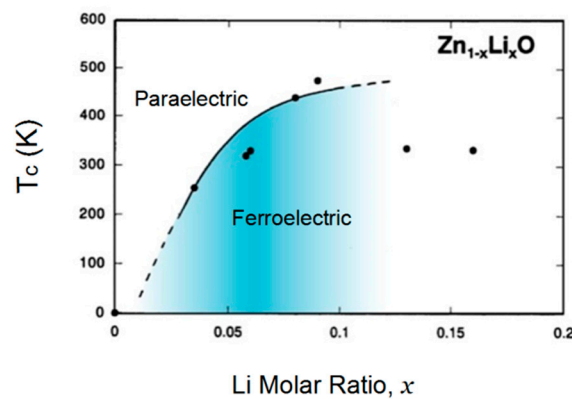


Figure 5. Phase diagram of the ferroelectric transition temperature ( $T_c$ ) vs. Li molar ratio ( $x$ ) in  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  [15].

#### 2.4. High- $k$ Materials $\text{HfO}_2$ and $\text{ZrO}_2$

$\text{HfO}_2$  and  $\text{ZrO}_2$  are well-known high-temperature dielectrics. The thin films of  $\text{HfO}_2$  and  $\text{ZrO}_2$  have been studied extensively as a high- $k$  gate dielectric film in CMOS technology. The crystal structure is monoclinic with space group  $P2_1/c$  at room temperature and atmospheric pressure, which transforms to a tetragonal structure ( $P4_2/nmc$ ) at  $\sim 1990$  K, and then to a cubic Auriferite structure ( $Fm\bar{3}m$ ) at  $\sim 2870$  K. Other two orthorhombic phases have been reported under high pressure near 4 and 14 GPa [34]. Figure 6 shows a  $P$ - $T$  phase diagram of  $\text{HfO}_2$ .

The monoclinic  $P2_1/c$  structure is centrosymmetric, which does not show any ferroelectric activity. Thin films of  $\text{HfO}_2$  undergo a phase transition to a noncentrosymmetric orthorhombic structure, breaking the symmetry of inversion when the films are doped with Si, Y, Al, or Zr [18,19,35–38]. The X-ray diffraction patterns of  $\text{HfO}_2$ ,  $\text{Hf}_{0.5}\text{Zr}_{0.5}\text{O}_2$  and  $\text{ZrO}_2$  thin films are shown in Figure 7 [38]. Four space groups ( $Pmn2_1$ ,  $Pca2_1$ ,  $Pbca$ , and  $Pbcm$ ) are proposed for this orthorhombic ferroelectric phase. Among these space groups, it is considered that  $Pca2_1$  is the most probable. The sequence of phase transitions and crystal structures are shown in Figure 8. The ferroelectricity was confirmed by  $D$ - $E$  hysteresis measurements, which revealed  $P_s$  of  $16 \mu\text{C}/\text{cm}^2$  as shown in Figure 9. The Curie temperature ( $T_c$ ) was estimated to be about 623 K [39,40].

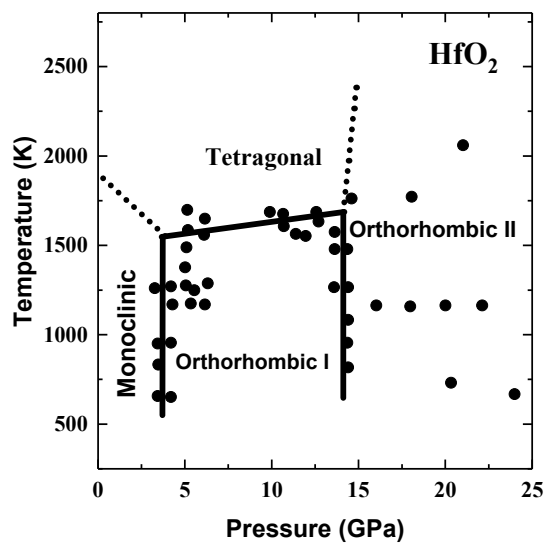
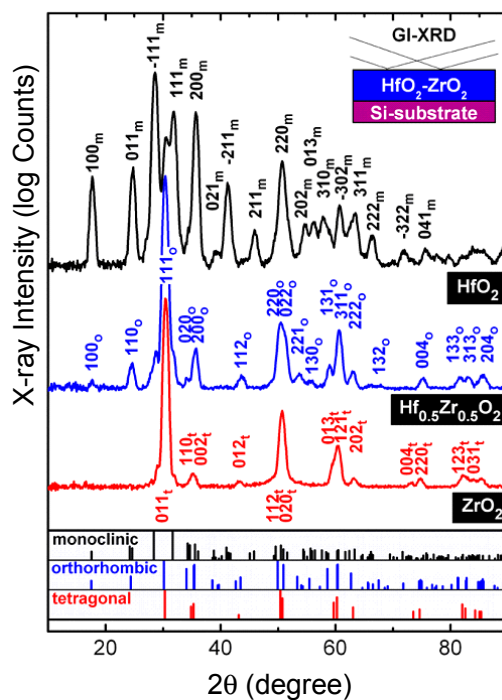


Figure 6.  $P$ - $T$  phase diagram of  $\text{HfO}_2$  [34].



**Figure 7.** X-ray diffraction patterns of  $\text{HfO}_2$ ,  $\text{Hf}_{0.5}\text{Zr}_{0.5}\text{O}_2$  and  $\text{ZrO}_2$  thin films on silicon substrates. The Bragg reflections are assigned as  $h\ k\ l$  with suffixes  $m$ ,  $o$  and  $t$ , which indicate monoclinic, orthorhombic and tetragonal lattices, respectively [38]. Reprinted with permission from Johannes Müller, Tim S. Börske, Uwe Schröder, et al., Ferroelectricity in Simple Binary  $\text{ZrO}_2$  and  $\text{HfO}_2$ , Nano Letters, 2012, 12, 4318–4323. Copyright (2012) American Chemical Society.

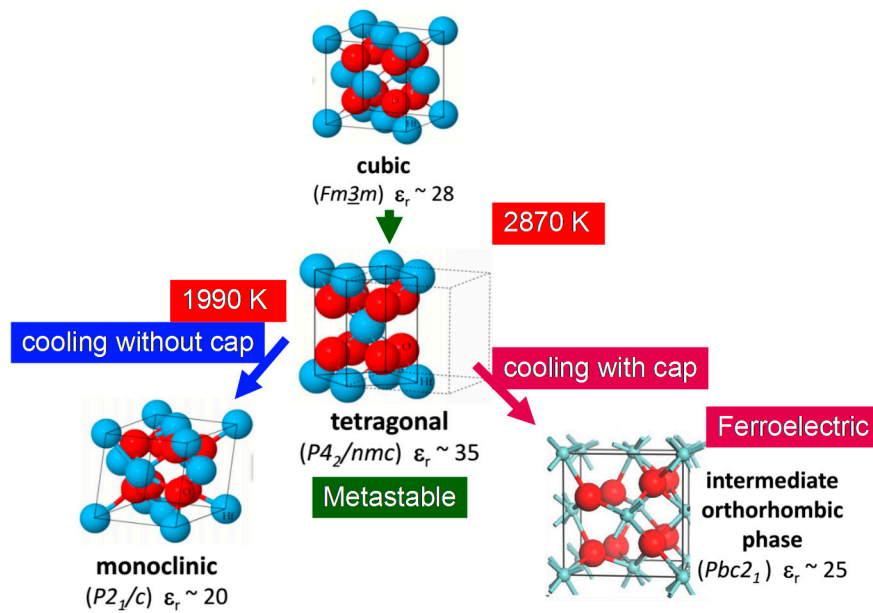


Figure 8. Sequence of phase transitions and crystal structures of  $\text{HfO}_2$ .

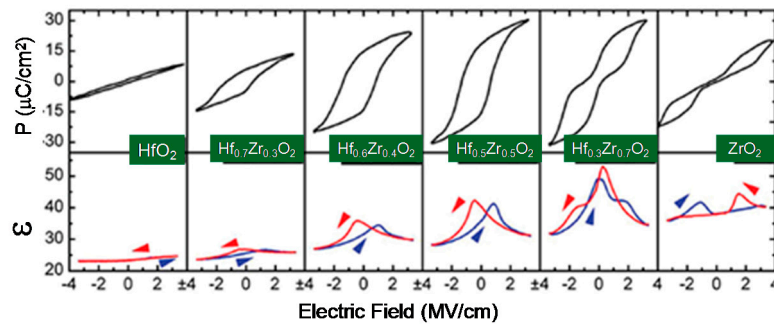


Figure 9.  $P$ - $E$  hysteresis loops and dielectric constant ( $\epsilon$ ) in thin films of  $\text{HfO}_2$ - $\text{ZrO}_2$  system [38]. Reprinted with permission from Johannes Müller, Tim S. Böske, Uwe Schröder, et al., Ferroelectricity in Simple Binary  $\text{ZrO}_2$  and  $\text{HfO}_2$ , Nano Letters, 2012, 12, 4318–4323. Copyright (2012) American Chemical Society.

### 3. Phenomenological Treatment for the Appearance of Ferroelectricity

Firstly following the Landau theory, we consider the free energy ( $F$ ) for the paraelectric phase of binary crystals in terms of polarization  $P$  as

$$F = 1/2\alpha P^2 + 1/4\beta P^4 + \dots, \quad (3)$$

where  $\alpha$ , and  $\beta$  are coefficients. In general, the only coefficient  $\alpha$  depends on temperature as

$$\alpha = \alpha_0 (T - T_0), \alpha_0 > 0 \quad (4)$$

In the case for paraelectric dielectrics, the critical temperature  $T_0$  is considered to be lower than 0 K, because of the absence of phase transitions above 0 K. When some dopants are introduced to crystals, some structural changes due to a difference in atomic radii and bonding electrons are modified



by dopants. These may induce some local changes in electronic distribution in crystals. These extra contributions could be added to the above free energy as

$$F = 1/2\alpha P^2 + 1/4\beta P^4 + g\eta P + 1/2\alpha'\eta^2 \dots, \quad (5)$$

where the last term  $1/2\alpha'\eta^2$  is the contribution by dopants, and  $g\eta P$  is an interaction term between the host crystal and extrinsic dopants. From the stability condition  $\partial F/\partial \eta = 0$ ,

$$\eta = -(g/\alpha')P, \quad (6)$$

The above free energy  $F$  can be rewritten as

$$F = 1/2(\alpha - g^2/\alpha')P^2 + 1/4\beta P^4 \quad (7)$$

Using Equation (4), we get

$$(\alpha - g^2/\alpha') = \alpha_0 (T - T_c) \quad (8)$$

where

$$T_c = T_0 + g^2/\alpha_0\alpha' \quad (9)$$

As the critical temperature  $T_c$  increases when the coefficient  $\alpha'$  is positive, it should be possible to undergo a ferroelectric phase transition.  $T_c$  shows a rapid increase in the order of  $10^2$  K for large  $g$  and small  $\alpha'$  in the case of  $\text{Pb}_{1-x}\text{Ge}_x\text{Te}$ ,  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$ , and  $\text{Zn}_{1-x}\text{Li}_x\text{O}$ . The appearance of ferroelectricity is realized in the doped binary crystals, while any phase transition does not occur in pure crystals.

#### 4. Microscopic Consideration after Cochran's Lattice Dynamical Theory

Although the above phenomenological theory can explain well the appearance of ferroelectricity, it is not so easy for us to understand what kind of phenomena occurs in real crystals. For binary crystals such as NaCl, Cochran proposed a lattice dynamical theory to elucidate the origin of ferroelectric phase transition based on the shell model [9,10]. We review simply Cochran's soft mode theory for ferroelectrics at first.

Cochran calculated the frequencies of transverse and optic phonon modes in a diatomic cubic crystal using a shell model such as NaCl, as illustrated in Figure 10. The shell is originated from some local lattice deformation, electronic overlap forces, or covalency in chemical bonds. The core of the negative ion (charge  $Xe$ , mass  $m_2$ ) will interact through an outer shell (charge  $Ye$ , mass  $\sim 0$ ) with a force constant  $k$ . The positive ion (charge  $Ze$ , mass  $m_1$ ) interacts with the shell by a short range force through a force constant  $R_0$ . The displacements are denoted as  $u_1$ ,  $v_2$  and  $u_2$  for the positive ion, shell and negative core, respectively.

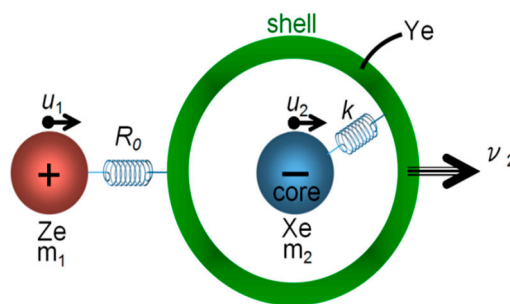


Figure 10. Schematic diagram of a shell model for a diatomic crystal.



The frequencies of the transverse and longitudinal optic modes,  $\omega_{TO}$  and  $\omega_{LO}$  are calculated as

$$\mu\omega_{TO}^2 = R'_o - \frac{4\pi(\epsilon_\infty + 2)(Z'e)^2}{9V} \quad (10)$$

$$\mu\omega_{LO}^2 = R'_o + \frac{8\pi(\epsilon_\infty + 2)(Z'e)^2}{9V\epsilon_\infty} \quad (11)$$

where

$$R'_o = \frac{kR_o}{k + R_o} < R_o \quad (12)$$

$$Z' = Z + \frac{YR_o}{k + R_o} < Z \quad (13)$$

$$\mu = \frac{m_1 m_2}{m_1 + m_2} \quad (14)$$

$\epsilon_\infty$  and  $V$  are a high-frequency dielectric constant and the unit cell volume. Following the Lyddane-Sachs-Teller (LST) relation, the dielectric constant  $\epsilon$  is given as

$$\frac{\epsilon}{\epsilon_\infty} = \frac{\omega_{LO}^2}{\omega_{TO}^2} \quad (15)$$

The ferroelectric phase is realized if  $\omega_{TO} = 0$  in Equation (10), because ferroelectric phase transitions generally accompany with a divergence of dielectric constant. The ferroelectricity is induced from the delicate balance between the short-range term  $R'_o$  and the second dipolar Coulomb term in the right side of Equation (10).

Cochran showed that a real alkali halide crystal is not a ferroelectric, because  $R'_o$  is about twice as great as the other while these two contributions are the same order for real alkali halide crystals. This is one reason why the ferroelectricity has been found only in HCl and HBr. Dopants will change local electronic distribution of chemical bond, i.e., the nature of covalency, particularly in mixed bonded crystals. Dopants also force it to displace to *off-centered* positions and induce local structural distortions. Particularly, the chemical bonds may be affected sensitively by dopants in ZnO, CdTe and HfO<sub>2</sub> where the degree of covalency (or ionicity) is nearly half, as shown in Table 3. The balance between the restoring force  $R'_o$  and the dipolar Coulomb force can be modified by dopants, defects or strain.

**Table 3.** Dielectric constant and fractional degree of ionicity after J.C. Phillips, Bonds and Bands in Semiconductors [41].

Materials	$\epsilon$ at R.T.	Fractional Degree of Ionicity
NaCl	5.6	0.94
MgO	10.0	0.84
ZnO	8.8	0.62
CdTe	7.1	0.67
HfO <sub>2</sub>	25	0.8
ZrO <sub>2</sub>	30~46	0.8
TiO <sub>2</sub>	170	0.6
Si	3.6	0

## 5. Discussion

### 5.1. Electronic Ferroelectricity in ZnO; Effect of Dopants

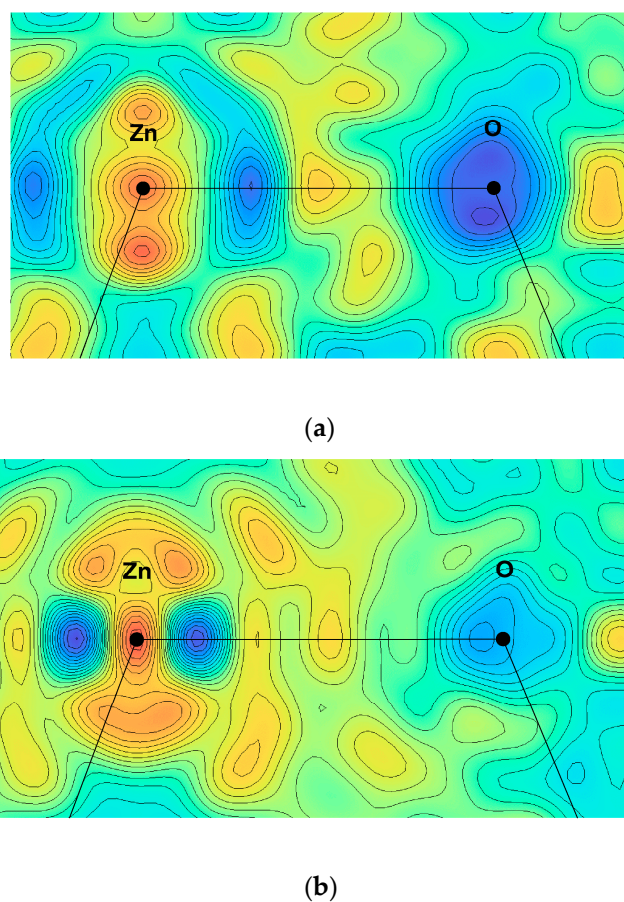
The replacement of host Zn ions by substitutional Li ions plays a primary role for the appearance of ferroelectricity in ZnO. To clarify the effect of dopants, structural size-mismatch and electronic models are studied: the introduction of small Be<sup>2+</sup> ions (ionic radius 0.3 Å) should be effective than

$\text{Li}^+$  (ionic radius 0.6 Å) and  $\text{Mg}^{2+}$  (ionic radius 0.65 Å) ions if the ionic size-mismatch is important for ferroelectricity, while  $\text{Mg}^{2+}$  ions ( $1s^2 2s^2 2p^6$ ) should play a different role from the isoelectronic  $\text{Li}^+$  and  $\text{Be}^{2+}$  ions ( $1s^2$ ) if the electronic configuration is important.

The series of dielectric measurements show that the introduction of  $\text{Mg}^{2+}$  ions suppresses  $T_c$  [42]. The appearance of ferroelectricity is primarily due to electronic origin.

## 5.2. Structural Modification by Dopants

The electronic distribution, especially the nature of  $d$ - $p$  hybridization of paraelectric pure ZnO and ferroelectric  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  at 19 K, was measured directly by X-ray diffraction. The main difference is observed in electronic distribution around Zn ion, as shown in Figure 11.



**Figure 11.** The difference Fourier maps of (a) paraelectric ZnO and (b) ferroelectric  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  at 19 K in the (110) plane with a contour increment of  $0.2 e/\text{\AA}^3$ . The horizontal straight lines from Zn to O ions are the [001] direction. Bluish cold color means negative charge density and reddish warm region is positive charge density.

The negative distribution is observed around the Zn atom in  $\text{Zn}_{1-x}\text{Li}_x\text{O}$ , whose shape corresponds to  $\text{Zn-}3d_z^2$ -orbital. This evidence shows that the Zn 3d-electrons disappear around Zn position in the doped ZnO.

Most crystals have a fraction of covalent and ionic bonding components. ZnO is bonded half by ionic and half by covalent forces, of which delicate balance is slightly changed by Li-dopants without  $d$ -electrons. Pure ZnO has large dipole moment [11], while this host dipole could not be reversible by an electric field. In  $\text{Zn}_{1-x}\text{Li}_x\text{O}$ , the dipole is reduced a little bit by the local electronic deformation along the polar (0 0 1) direction; this is, in other words, an introduction of *negative dipoles* in the host lattice. These *negative dipoles* are responsible for an electric field and behave as “hole dipoles” which are

similar to “hole electrons” in *n*-type semiconductors. We should call this type of ferroelectrics as “*n*-type ferroelectrics”, while usual ferroelectrics are “*p*-type ferroelectrics”.

In the case of  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$ , the ionic radii ( $0.83 \text{ \AA}$  for  $\text{Hf}^{4+}$  and  $0.84 \text{ \AA}$  for  $\text{Zr}^{4+}$ ) are almost the same. The main difference is an electronic structure,  $\text{Hf}(-4f^{14})$  and  $\text{Zr}(-4p^6)$ . As the high dielectric constants in these crystals ( $\epsilon = 25$  for  $\text{HfO}_2$ ,  $\epsilon = 30\sim 46$  for  $\text{ZrO}_2$ ) mean the large splitting of  $\omega_{\text{TO}}$  and  $\omega_{\text{LO}}$  phonon modes, a  $\omega_{\text{TO}}$  phonon mode is much lower compared with a  $\omega_{\text{LO}}$  (LST relation, Equation (10)). In this sense,  $\text{HfO}_2$  and  $\text{ZrO}_2$  are incipient ferroelectrics as  $\text{SrTiO}_3$ . The electronic distribution in the  $\text{Hf-O}$  bond is perturbed by  $\text{Zr}$  dopants without *f*-electrons. This modification may stabilize an orthorhombic polar structure and results in the appearance of ferroelectricity in  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$ .

The above microscopic consideration gives us one perspective to understand the origin of ferroelectricity in doped binary crystals. If the condition that  $\omega_{\text{TO}}$  approaches to zero at  $T_c$  holds exactly, soft mode should be detectable. However, no soft mode has been observed in  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$ ,  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  and  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$ , except for  $\text{Pb}_{1-x}\text{Ge}_x\text{Te}$ . This evidence suggests that the mechanism of the ferroelectric phase transition is not so simple in real crystals which are partially covalent and partially ionic. The small dielectric anomaly in  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$ ,  $\text{Zn}_{1-x}\text{Li}_x\text{O}$  and  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$  reminds us of an improper type ferroelectrics, rather than the order-disorder-type and displacive-type of ferroelectrics. This problem has been left for our future studies.

## 6. Another Possible Ferroelectric $\text{TiO}_2$

Rutile  $\text{TiO}_2$  is studied well by various techniques [43]. The structure is tetragonal with space group  $P4_2/mnm$  ( $a = 4.593659 (18)$  and  $c = 2.958682 (8) \text{ \AA}$  at 298 K,  $Z = 2$ ) (Figure 12) [44]. Besides rutile,  $\text{TiO}_2$  admits another two polymorphic forms in nature, i.e., anatase ( $I4_1/amd$ ,  $a = 3.7845$ ,  $c = 9.5143 \text{ \AA}$ ,  $Z = 4$ ) and brookite ( $Pbca$ ,  $a = 5.4558$ ,  $b = 9.1819$ ,  $c = 5.1429 \text{ \AA}$ ,  $Z = 8$ ). Rutile  $\text{TiO}_2$  is the most common of the three polymorphic forms. Under high pressure,  $\text{TiO}_2$  undergoes a series of structural phase transitions.

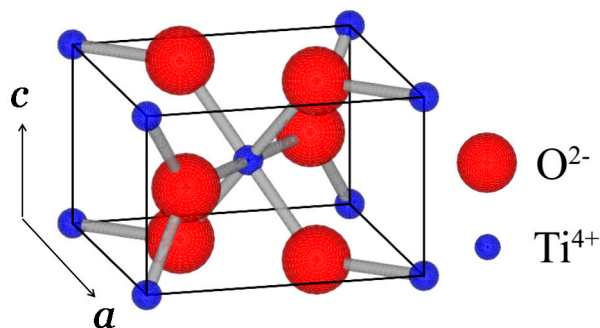
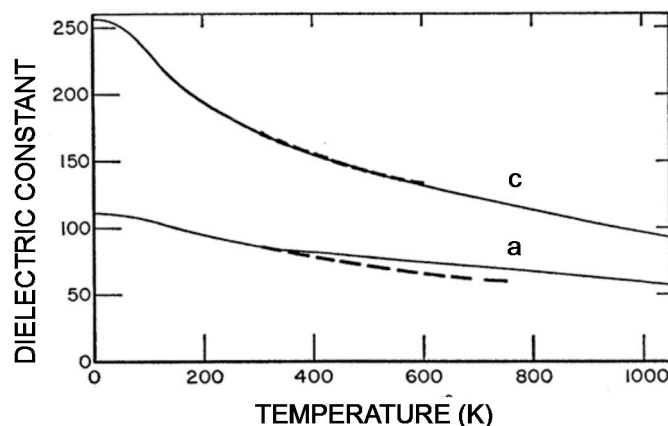


Figure 12. Crystal structure of Rutile  $\text{TiO}_2$ . Ti (blue) and O (red).

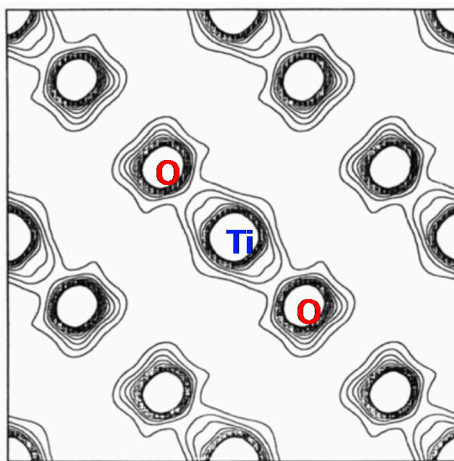
Rutile  $\text{TiO}_2$  has large refractive indices ( $n_c = 2.903$ ,  $n_a = 2.616$ ) and large static dielectric constants ( $\epsilon_c = 170$ ,  $\epsilon_a = 86$ ) at room temperature.

Parker measured the dielectric constant  $\epsilon$  of  $\text{TiO}_2$  which increases with decreasing temperature, but does not show any anomaly from 1.6 to 1060 K, as shown in Figure 13 [45,46]. Pure  $\text{TiO}_2$  does not show any ferroelectric or antiferroelectric activity. The dielectric constant shows a plateau at low temperatures around 0 K, which reminds us of dielectric behavior in quantum paraelectrics, such as  $\text{SrTiO}_3$ .

Although there had been a long-running discussion concerning the covalency of the bonding in rutile, Grunschorek [47] and Sakata et al. [48] concluded that  $\text{Ti-O}$  bonding in rutile is largely covalent, as shown in Figure 14. If we can modify the nature of bonding by some dopants or stress, it may be possible to expect the appearance of ferroelectricity.



**Figure 13.** Temperature dependence of dielectric constant of rutile  $\text{TiO}_2$  along the  $a$ - and  $c$ -directions. The solid lines are after Parker [45] and the dashed lines after von Hippel [46].



**Figure 14.** Electron density map of rutile  $\text{TiO}_2$  on the (0 0 2) plane. Charge of  $0.4 \text{ e } \text{\AA}^{-3}$  is observed in Ti-O bond.

Recently, Montanari and Harrison proposed by density functional calculations that ferroelectric instability can be possible in rutile  $\text{TiO}_2$  by applying a negative isotropic pressure [49]. The TO A2u mode, which is the  $c$ -axis ferroelectric mode, vanishes at  $-4 \text{ GPa}$ , thereby leading to a crystal instability.

Similar calculations on binary oxides such  $\text{BaO}$ ,  $\text{CaO}$ ,  $\text{MgO}$ ,  $\text{EuO}$ ,  $\text{SnO}_2$  have been reported recently [50,51], although these crystals are primarily ionic (the degree of ionicity is  $\sim 0.8$ ). They showed that ferroelectricity can be induced even in simple alkaline-earth-metal binary oxides by using appropriate epitaxial strains in thin films or in nano-particles.

## 7. Conclusions

We discussed the origin of ferroelectricity in doped binary crystals,  $\text{Pb}_{1-x}\text{Ge}_x\text{Te}$ ,  $\text{Cd}_{1-x}\text{Zn}_x\text{Te}$ ,  $\text{Zn}_{1-x}\text{Li}_x\text{O}$ , and  $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$  on the basis of phenomenological and lattice dynamical treatments, while no ferroelectrics have been reported in pure binary crystals except for  $\text{HCl}$  and  $\text{HBr}$ . The delicate balance of the short-range restoring force and the long-range dipolar Coulomb force is tuned by dopants, particularly in binary crystals which are half covalent and half ionic. The modification of the electronic distribution in the chemical bond results in the local structural distortion, which may stabilize a non-centrosymmetric polar structure from a paraelectric structure with high-symmetry.

The discovery of ferroelectricity in doped binary crystals shows us a richness of structural science. Moreover, the ferroelectric  $\text{HfO}_2$  is expected to be a promising candidate for FeRAM and high-speed

FET. Ferroelectrics are a group of materials sensitive to small structural changes. We must take into account the electronic contribution in the case of simple binary crystals discussed here. Further precise structural and theoretical studies should be necessary to clarify the possibility of ferroelectricity in other doped binary crystals.

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