



# Article Crystalline AlN Interfacial Layer on GaN Using Plasma-Enhanced Atomic Layer Deposition

Il-Hwan Hwang<sup>1</sup>, Myoung-Jin Kang<sup>1</sup>, Ho-Young Cha<sup>2,\*</sup> and Kwang-Seok Seo<sup>1,\*</sup>

- <sup>1</sup> Department of Electrical and Computer Engineering, Inter-University Semiconductor Research Center,
- Seoul National University, Seoul 151-744, Korea; hih0705@gmail.com (I.-H.H.); mjkang5@snu.ac.kr (M.-J.K.) School of Electronic and Electrical Engineering, Hengik University, Seoul 121,791, Korea
  - <sup>2</sup> School of Electronic and Electrical Engineering, Hongik University, Seoul 121-791, Korea
  - \* Correspondence: hcha@hongik.ac.kr (H.-Y.C.); ksseo@snu.ac.kr (K.-S.S.); Tel.: +82-10-4151-7275 (K.-S.S.)

**Abstract:** In this study, we report on the deposition of a highly crystalline AlN interfacial layer on GaN at 330 °C via plasma-enhanced atomic layer deposition (PEALD). Trimethylaluminum (TMA) and NH<sub>3</sub> plasma were used as the Al and N precursors, respectively. The crystallinity and mass density of AlN were examined using X-ray diffraction (XRD) and X-ray reflectivity (XRR) measurements, respectively, and the chemical bonding states and atomic concentrations of the AlN were determined by X-ray photoelectron spectroscopy (XPS). The AlN/n-GaN interface characteristics were analyzed using TOF-SIMS and STEM, and the electrical characteristics of the AlN were evaluated using metal-insulator-semiconductor (MIS) capacitors. The PEALD process exhibited high linearity between the AlN thickness and the number of cycles without any incubation period, as well as a low carbon impurity of less than 1% and high crystal quality even at a low deposition temperature of 330 °C. Moreover, the GaN surface oxidation was successfully suppressed by the AlN interfacial layer. Furthermore, enhanced electrical characteristics were achieved by the MIS capacitor with AlN compared to those achieved without AlN.

Keywords: GaN; AlN; AlHfON; ALD; interfacial layer; MIS capacitor

## 1. Introduction

GaN-based metal-insulator-semiconductor field-effect transistors (MIS-FETs) require a high positive gate voltage for power switching applications. However, this can cause electrons in the two-dimensional electron gas (2DEG) channel to enter the high-density trap states at the dielectrics/(Al)GaN interface, thereby resulting in threshold voltage instability in these devices [1-3]. Therefore, several gate dielectrics, such as SiO<sub>2</sub> [4,5], HfSiO [6,7], SiON [8,9], ZrO<sub>2</sub> [10,11], Al<sub>2</sub>O<sub>3</sub> [12,13], AlON [14,15], and HfO<sub>2</sub> [16,17], have been proposed for use as the gate dielectrics of MIS-FETs to improve the dielectric/(Al)GaN interface characteristics. However, some studies have indicated that trap states can be attributed to the poor-quality native oxide (GaO<sub>x</sub>) between the dielectric/III–V interface formed during the gate oxide deposition process [18,19]. Gate oxides deposited via thermal atomic layer deposition (ALD) using water as an oxidizing agent have been shown to reduce the interfacial oxide between the dielectric/GaN because of their weaker oxidability compared to  $O_3$  [20]. Our group also reported on the atomic layer deposition (ALD) of HfO<sub>2</sub> using isopropyl alcohol (IPA) as an oxidant during the process, thereby efficiently reducing surface oxidation compared to  $O_3$  [21]. Surface oxidation can also be reduced using nitride-based dielectrics such as  $Si_3N_4$  and AlN. Given that oxidants that suppress surface oxidation are not used in these processes, surface traps and current collapses are reduced, resulting in reliable device performance [22,23]. In particular, AlN has a smaller lattice mismatch between (Al)GaN and AlN [24] compared to that between (Al)GaN and SiNx, and AlN serves as a good candidate for interfacial layers in GaN-based MIS devices. However, only a few studies have investigated AlN interfacial layers.



Citation: Hwang, I.-H.; Kang, M.-J.; Cha, H.-Y.; Seo, K.-S. Crystalline AlN Interfacial Layer on GaN Using Plasma-Enhanced Atomic Layer Deposition. *Crystals* **2021**, *11*, 405. https://doi.org/10.3390/ cryst11040405

Academic Editor: Alberto Girlando

Received: 25 March 2021 Accepted: 8 April 2021 Published: 10 April 2021

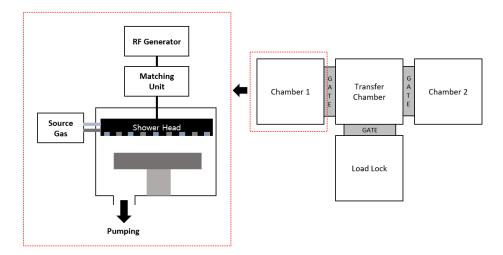
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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). In this study, we developed and optimized AlN as an interfacial layer that suppresses the formation of poor-quality oxides. AlN was deposited via plasma-enhanced atomic layer deposition (PEALD) as this approach facilitates better thickness uniformity, film quality, thickness control, and lower impurity concentration than other deposition techniques [25–27]. The material characteristics of AlN were evaluated using X-ray diffraction (XRD), X-ray reflectivity (XRR), scanning transmission electron microscopy (STEM), secondary ion mass spectrometry (SIMS), and X-ray photoelectron spectroscopy (XPS). The leakage current density (J)–effective electric field ( $E_{eff}$ ) and the capacitance (C)–voltage (V) characteristics of AlN/AlON/AlHfON on GaN were compared with those of AlON/AlHfON on n-GaN.

#### 2. Experiments

ALD AlN deposition was performed in a PEALD system (CN1, Hwaseong-si, Korea) with two chambers: a load-lock and a transfer chamber. This system has showerhead injectors and can accommodate 6-inch wafers. The showerhead was capacitively coupled with an RF of 27.12 MHz and the chuck was grounded. The distance between the showerhead and the chuck was 35 mm. A schematic of the PEALD system is shown in Figure 1.



**Figure 1.** Schematic of plasma-enhanced atomic layer deposition (PEALD) system used for the experiments.

PEALD AlN deposition was performed on n-type Si (111) using trimethylaluminum (TMA) and NH<sub>3</sub> gas as the Al and N precursors, respectively. The temperatures of the gas lines and TMA precursor were 90 °C and 5 °C, respectively, and the deposition temperature of AlN was 330 °C. The temperatures of the upper lid and chamber wall were maintained at 150 °C to reduce impurities such as carbon and oxygen in the dielectric layer. Purging was performed using N<sub>2</sub> gas after the TMA precursor injection and NH<sub>3</sub> plasma step, followed by the NH<sub>3</sub> gas stabilization step. The NH<sub>3</sub> plasma and purge step pressures were 120 and 500 mTorr, respectively.

MIS capacitors using n-GaN wafers were fabricated to investigate the electrical properties of AlN on n-GaN. The epitaxial layers consisted of a 300 nm n-GaN layer with a Si doping concentration of  $2.5 \times 10^{17}$  cm<sup>-3</sup>, 700 nm n-type GaN layer, 700 nm n-GaN layer with a 2–3 × 10<sup>18</sup> cm<sup>-3</sup> Si doping concentration, and 3900 nm GaN buffer layer grown on an Si substrate. The effect of NH<sub>3</sub> plasma power on the electrical characteristics of AlN was determined by fabricating MIS capacitors with different plasma powers of 30, 50, and 100 W. Ex situ and in situ treatments were conducted before the AlN deposition. The ex situ treatment included SPM and diluted HF (10:1) to remove organic contaminants and native oxides on the GaN surface. The in situ treatment included TMA pulsing (10 times with a duration of 0.2 s) and NH<sub>3</sub> thermal treatment for 5 min; these two treatments were conducted to remove oxides and for surface nitridation, respectively. After AlN deposition, postdeposition annealing (PDA) was conducted at 500 °C for 10 min in an ambient N<sub>2</sub> atmosphere. Following ohmic patterning and ohmic recess, ohmic contacts were formed with Ti/Al (40/200 nm) metallization. The ohmic contact formation was annealed at 500 °C under an ambient N<sub>2</sub> atmosphere for 1 min. Finally, an Ni/Au (40/130 nm) metal electrode was deposited via e-gun evaporation. The circular metal electrodes had diameters of either 100  $\mu$ m or 50  $\mu$ m and were separated by a gap of 15  $\mu$ m from a concentric contact, as shown in Figure 2.

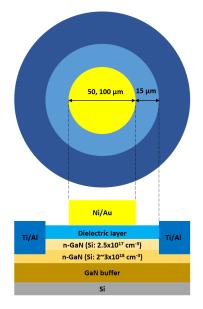


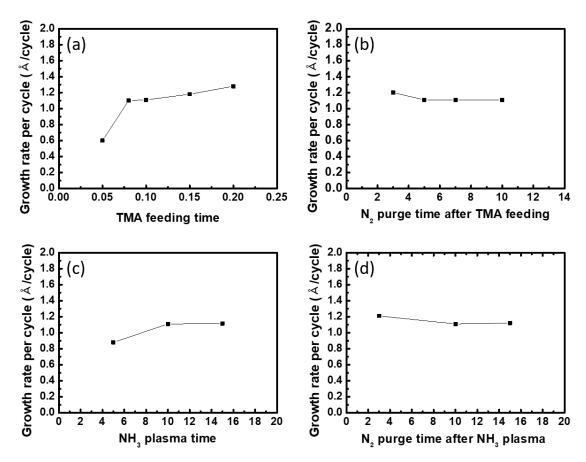
Figure 2. Cross-sectional schematic of the fabricated metal-insulator-semiconductor (MIS) capacitors.

XRD and XRR measurements were performed using a SmartLab diffractometer (Rigaku, Tokyo, Japan) to determine the crystallinity and mass density of the AlN film, respectively. The cross-sections at the AlN/GaN interface were examined using a STEM (JEM-2100F, JEOL). The chemical bonding states and components of AlN were determined via XPS (SIGMA PROBE, ThermoFisher Scientific, Waltham, MA, USA). Time-of-flight (TOF)-SIMS (TOF-SIMS.5, ION-TOF, Münster, Germany) analysis was also performed on the AlN film to investigate the presence of GaO<sub>x</sub> at the AlN/GaN interface.

The J–E<sub>eff</sub> and C–V characteristics of AlN/AlON/AlHfON on GaN MIS capacitors were also investigated. An AlON/AlHfON layer was deposited onto the AlN layer to reduce the leakage current and increase the dielectric constant. AlON/AlHfON on GaN MIS capacitors were also fabricated as reference devices. The effective electric field was defined as (applied voltage–flat band voltage)/capacitance-equivalent thickness (CET). PEALD AlON, and AlHfON films were deposited using trimethylaluminum (TMA), tetrakis(dimethylamido)hafnium (TDMAHf), N<sub>2</sub> plasma, and ozone as the Al, Hf, N, and O precursors, respectively. The substrate temperature and N<sub>2</sub> plasma power were 330 °C and 30 W, respectively. The AlHfON film was deposited using the nanolaminate technique with alternating stacks of AlON and HfO<sub>2</sub> films with an equal cycle ratio of 1:1.

#### 3. Results

Figure 3 shows the growth rate per cycle (GPC) as a function of the process step time. The deposition temperature was 330 °C, and the NH<sub>3</sub> plasma power was 100 W. The GPC was saturated at a TMA feeding time of 0.1 s and NH<sub>3</sub> plasma time of 10 s, and the saturated value was 1.1 Å/cycle. The purge times after the TMA precursor injection and NH<sub>3</sub> plasma step were chosen as 7 and 10 s, respectively.



**Figure 3.** (**a**–**d**) Growth rate per cycle (GPC) values for AlN deposited using the PEALD system as a function of the trimethylaluminum (TMA) feeding time, N<sub>2</sub> purge time after TMA feeding, NH<sub>3</sub> plasma time, and N<sub>2</sub> purge time after NH<sub>3</sub> plasma (NH<sub>3</sub> plasma power: 100 W).

Figure 4 shows the linear dependence of the AlN film thickness, measured using ellipsometry, on the number of ALD cycles. The thickness increased linearly as the number of cycles increased without any incubation period. An interface layer was not observed between the Si and AlN, which can be attributed to the suppression of surface oxidation by AlN.

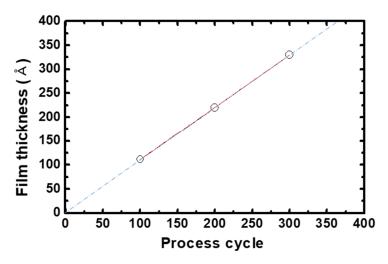
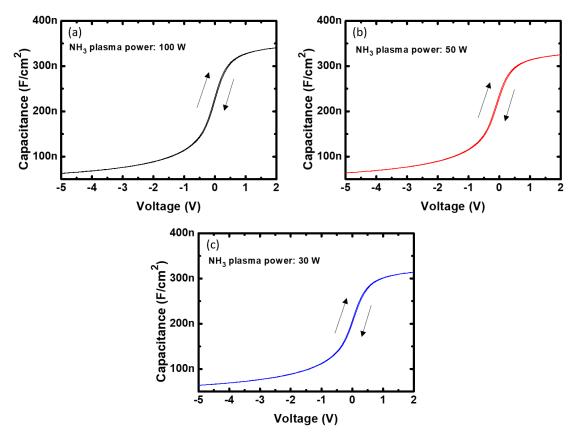


Figure 4. AlN film thickness values as a function of ALD cycles (NH<sub>3</sub> plasma power: 100 W).

Figure 5 shows the double sweep C–V characteristics of AlN on n-GaN capacitors with various  $NH_3$  plasma powers when the bias was swept from -5 V to 2 V and back to -5 V.

The C–V measurements were performed at 1 MHz. The C–V hysteresis characteristics of the MIS capacitors were almost identical regardless of the change in the NH<sub>3</sub> plasma power, and exhibited minor hysteresis. The dielectric constants of the AlN films prepared using 30, 50, and 100 W NH<sub>3</sub> plasma were 8.2, 7.8, and 8.1, respectively.



**Figure 5.** C–V characteristics of the AlN metal-insulator-semiconductor (MIS) capacitors. NH<sub>3</sub> plasma power (AlN thickness): (a) 100 W (20.8 nm), (b) 50 W (21.3 nm), and (c) 30 W (22.9 nm).

The J–E characteristics of AlN on the n-GaN capacitor with an NH<sub>3</sub> plasma power of 30 W indicated a higher breakdown field and lower leakage current at a low electrical field compared to the other capacitors with plasma powers over 30 W, as shown in Figure 6. The low plasma power at the NH<sub>3</sub> plasma step was considered to reduce the plasma-induced damage on the n-GaN surface, resulting in better J–V characteristics of the AlN on the n-GaN capacitor [28]. In conclusion, a TMA feeding time of 0.1 s, purge time of 7 s after the TMA precursor injection step, NH<sub>3</sub> gas stabilization time of 3 s, NH<sub>3</sub> plasma time of 10 s at 30 W, purge time of 10 s after the NH<sub>3</sub> plasma step, and deposition temperature of 330 °C were chosen as the optimized deposition conditions for the fabrication of AlN on n-GaN.

To investigate the film quality, various characterization methods were conducted using films deposited under optimum conditions. Figure 7a shows the  $\theta$ –2 $\theta$  XRD patterns of a 100 nm-thick AlN layer deposited on n-GaN. Two diffraction peaks at 2 $\theta$  values of ~33°, and ~36° were observed, which corresponded to the (100) and (002) planes, respectively [29,30]. The peak at ~31° is an artifact related to the high power of 9 kW. As shown in Figure 7b, the crystal quality was characterized using the rocking curve of AlN (002). The measured full width at half-maximum (FWHM) was 1050 arcsec, and the density of the ALD AlN which was evaluated by fitting the XRR data was 3.27 g/cm<sup>3</sup>, which is higher than the values reported in earlier studies [31,32]. These results indicate that highly crystalline AlN can be achieved at a low growth temperature of 330 °C.

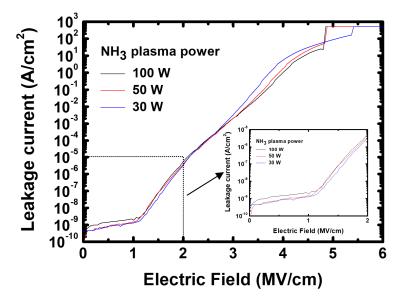
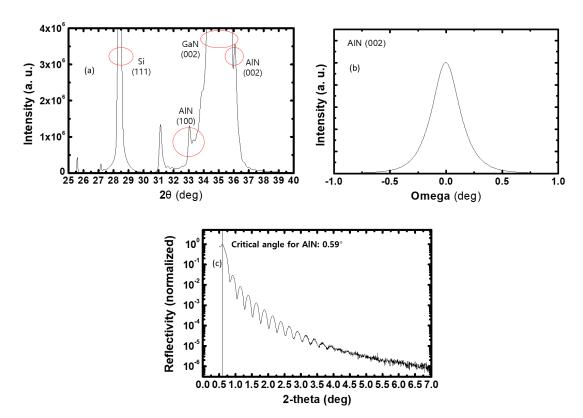


Figure 6. J-E characteristics of the MIS capacitors.



**Figure 7.** (a) XRD result and (b) rocking curve of (002) of the ALD AlN of ~100 nm on n-GaN and (c) XRR result of the ALD AlN of ~30 nm on n-GaN (NH<sub>3</sub> plasma power: 30 W).

Figure 8 shows the STEM cross-sectional image of ~10 nm AlN on n-GaN. Crystalline AlN was observed in the image, and a sharp interface between the n-GaN and ALD AlN was confirmed, indicating the successful suppression of the surface oxidation of GaN by the introduction of an AlN layer.

Figure 9 shows the XPS spectra of Al2p, N1s, C1s, and O1s of ~22 nm AlN on n-GaN. The deconvoluted Al2p spectra show two peaks at binding energies of 73.6 eV and 74.2 eV, which are associated with Al–N and Al–O bonds, respectively [33]. Binding energies of 396.5 eV and 398.4 eV were observed in the deconvoluted N1s spectra and are associated

with N–Al and N–O–Al bonds, respectively [33]. The atomic concentrations of Al, N, C, and O in AlN were 47.4%, 44.2%, 0.9%, and 7.5%, respectively.

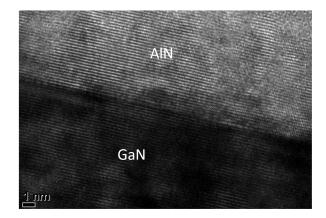
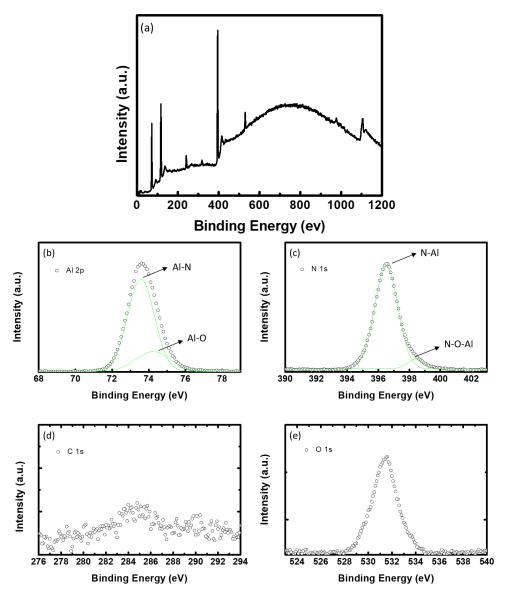
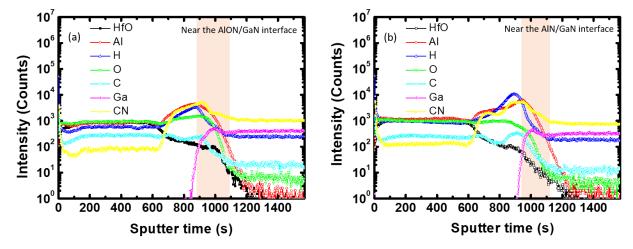


Figure 8. Cross-sectional STEM image of the AlN/n-GaN interface (NH<sub>3</sub> plasma power: 30 W).



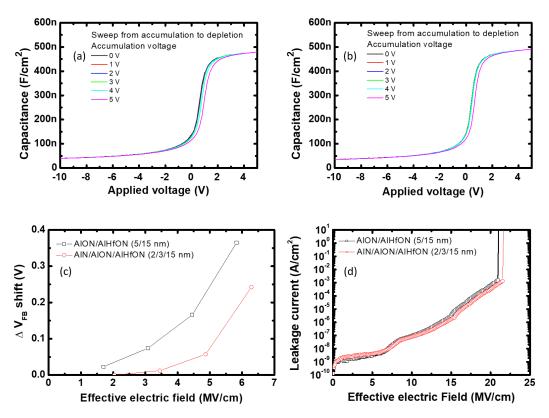
**Figure 9.** (a) Wide-scan XPS spectra and high-resolution XPS spectra of the (b) Al 2p, (c) N 1s, (d) C 1s, and (e) O 1s of the ALD AlN (NH<sub>3</sub> plasma power: 30 W).

As previously discussed, the AlN layer showed low C–V hysteresis, confirming that it efficiently suppressed charge injection into the dielectrics. A low breakdown field of ~5 MV/cm and a high leakage current for an applied electric field of 2 MV/cm were also observed in the AlN MIS capacitors. An AlON/AlHfON layer was employed on the AlN layer to increase the breakdown field and reduce the leakage current of AlN. TOF-SIMS analysis of AlON/AlHfON and AlN/AlON/AlHfON on the n-GaN layer was conducted to obtain further information about the interface between AlN and n-GaN, and the results are shown in Figure 10. The oxygen intensity at the AlON/n-GaN interface was higher than that at the AlN/n-GaN interface, whereas the gallium intensity slope observed at the AlN/n-GaN interface was steeper than that observed at the AlON/n-GaN interface. These observations imply that using ALD AlN as an interfacial layer has an advantage compared to the use of AlON with O<sub>3</sub> oxidant at the III–V interface because ALD AlN suppresses surface oxidation.



**Figure 10.** Time-of-flight (TOF)-secondary ion mass spectrometry (SIMS) results for (**a**) AlON/AlHfON ( $\sim$ 5/ $\sim$ 15 nm) and (**b**) AlN/AlON/AlHfON ( $\sim$ 2/ $\sim$ 3/ $\sim$ 15 nm) on n-GaN (NH<sub>3</sub> plasma power: 30 W).

To evaluate the immunity against electron injection into the dielectrics, repeated C–V measurements were performed by sweeping the applied voltage from the accumulation to the depletion region [14]. The maximum accumulation voltage was increased in steps of 1 V by 5 V, and the depletion voltage was set to -10 V. The C–V characteristics of the MIS capacitors swept from the accumulation to depletion region, and the V<sub>FB</sub> shift of MIS capacitors as a function of the accumulation voltage are shown in Figure 11a–c. The MIS capacitor with AlN as an interfacial layer exhibited a smaller V<sub>FB</sub> shift compared to the MIS capacitor without the AlN interfacial layer. A V<sub>FB</sub> drift of 242 mV was observed for the MIS capacitor with AlN after sweeping from 5 V to -10 V, whereas a V<sub>FB</sub> drift of 365 mV was confirmed for the MIS capacitor without AlN. This difference indicates that there are fewer interface states between AlN and GaN than between AlON and GaN owing to the suppression of the formation of interfacial oxides. Figure 11d shows the J–E<sub>eff</sub> characteristics of the fabricated MIS capacitors. Despite the high leakage current characteristics similar to those of MIS capacitors without AlN.



**Figure 11.** (**a**,**b**) C–V characteristics of the GaN MIS capacitors with accumulation voltages ranging from 0 V to 5 V; (**c**)  $V_{FB}$  shift as a function of the effective electric field in the fabricated GaN MIS capacitors; and (**d**) J–E<sub>eff</sub> characteristics of the fabricated MIS capacitors (NH<sub>3</sub> plasma power: 30 W).

#### 4. Conclusions

An AlN interfacial layer using TMA and NH<sub>3</sub> plasma in a PEALD system was developed to improve the dielectric/GaN interface characteristics. The optimized AlN process conditions were as follows: TMA feeding for 0.1 s, N<sub>2</sub> purge for 7 s after TMA feeding, NH<sub>3</sub> gas stabilization for 3 s, NH<sub>3</sub> plasma for 10 s at 30 W, N<sub>2</sub> purge for 10 s after NH<sub>3</sub> plasma, and a deposition temperature of 330 °C. The PEALD process ensured a high linearity between the AlN thickness and the number of cycles. Two different diffraction peaks at 20 values of ~33° and ~36° corresponding to (100) and (002) planes, respectively, were observed in the XRD measurements, and the density of the ALD AlN was 3.27 g/cm<sup>3</sup> from the XRR measurement. The AlN interfacial layer successfully suppressed the GaN surface oxidation and improved the V<sub>FB</sub> drift characteristics of the MIS capacitor, showing excellent leakage current characteristics. Thus, it is suggested that the low-temperature PEALD AlN is a promising interfacial layer with a high crystal quality for GaN.

Author Contributions: Process set-up: I.-H.H. and M.-J.K.; investigation: I.-H.H. and M.-J.K.; formal analysis: I.-H.H.; conceptualization: I.-H.H., H.-Y.C., and K.-S.S.; methodology: I.-H.H., H.-Y.C., and K.-S.S.; visualization: I.-H.H.; writing—original draft preparation: I.-H.H., H.-Y.C., and K.-S.S.; writing—review and editing: I.-H.H., H.-Y.C., and K.-S.S.; supervision: H.-Y.C. and K.-S.S. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the Ministry of Trade, Industry & Energy (10067636) and the Basic Science Research Program (No. 2015R1A6A1A03031833 and No. 2019R1A2C1008894).

Acknowledgments: Myoung-Jin Kang currently works at the future technology R&D center, SK Hynix Co., Ltd., KOREA.

Conflicts of Interest: The authors declare no conflict of interest.

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