

Article



Band Gap Modulation of Tantalum(V) Perovskite Semiconductors by Anion Control

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Abstract: Band gap magnitudes and valence band energies of Ta⁵⁺ containing simple perovskites (BaTaO₂N, SrTaO₂N, CaTaO₂N, KTaO₃, NaTaO₃, and TaO₂F) were studied by diffuse reflection absorbance measurements, density-functional theoretical calculations, and X-ray photoelectron spectroscopy. As a universal trend, the oxynitrides have wider valence bands and narrower band gaps than isostructural oxides, owing to the N 2*p* contribution to the electronic structure. Visible light-driven water splitting was achieved by using Pt-loaded CaTaO₂N, together with a sacrificial agent CH₃OH.

Keywords: perovskite oxynitride; band gap; density-functional theory; water splitting

1. Introduction

Transition metal oxynitrides are of interest due to their potential as photocatalysts [1–6], pigments [7,8], battery electrodes [9], high-permittivity dielectrics [10], etc. Such a diverse functionality of oxynitrides is derived largely from the coexistence of O^{2-}/N^{3-} in the anion lattice. As is well established, the conduction and valence bands of simple perovskites *AMX*₃ are based mostly on the frontier orbitals of *M* and *X*, respectively. In the case of oxide perovskites, O 2*p* orbitals participate in the valence band formation near the Fermi level. However, the inclusion of nitrogen, which brings a higher 2*p* orbital energy level than that of oxygen 2*p*, can effectively shift the top of the valence band upward resulting in the decreased band gap. It is interesting to note that if the energy difference between O 2*p* (–14.1 eV) and N 2*p* (–11.4 eV) orbitals [11] is reflected onto the valence band edge positions, many of the complex oxynitrides containing Ta⁵⁺, Nb⁵⁺, or Ti⁴⁺ would have band gaps falling in the visible light range (3.1~1.8 eV). Such a prospect in optical properties has motivated a number of studies on oxynitride perovskites and related phases, with views to semiconductor developments for visible light-harvesting photocatalysts or non-toxic inorganic pigments [1–8,12–18].

In 2001, Asahi et al. reported the visible light-driven photocatalytic activity of $TiO_{2-x}N_x$ [1], which was followed by a number of studies on oxynitride-type photocatalysts. Promising photocatalysts were identified in various structure types such as simple perovskite (CaTaO₂N, SrTaO₂N, BaTaO₂N, LaTiO₂N, LaTaON₂, CaNbO₂N, SrNbO₂N, BaNbO₂N), complex perovskites (LaMg_xTa_{1-x}O_{1+3x}N_{2-3x}), spinel (ZnGa₂O_xN_y), wurtzite (Ga_{1-x}Zn_xN_{1-x}O_x), baddeleyite (TaON), and anosovite (Ta₃N₅) [3–6]. Among notable examples, Ga_{1-x}Zn_xN_{1-x}O_x has an absorption edge at ~500 nm and showed a quantum yield of 5.2% for 410 nm light [19]. BaTaO₂N-BaZrO₃ solid solution could catalyze H₂ evolution from water without sacrificial agents [20]. TaON showed overall water splitting activity with surface modification and appropriate co-catalysts [21]. LaMg_xTa_{1-x}O_{1+3x}N_{2-3x} and CaTaO₂N could achieve overall water splitting with a Rh-Cr mixed oxide co-catalyst [22,23]. However, it was apparent that

the photocatalytic behavior of a particular catalyst depended not merely on the composition but the morphology, defects, co-catalysts, and the type of photocatalytic reaction.

In this study, we compare the electronic structures of several Ta⁵⁺ perovskites having different anion matrices of pure oxide, oxynitride, and oxyfluoride types. The diffuse reflection absorbance spectra for $ATaO_2N$ (A = Ba, Sr, and Ca) are presented along with those of KTaO₃, NaTaO₃, and TaO₂F, revealing a clear dependence of the semiconductor band gap on the electronegativity of anion components. The density-functional theory (DFT) based computations, combined with the valence level X-ray photoelectron spectroscopy (XPS), confirm that the N 2*p* component plays a critical role in extending the valence band edge in oxynitride compounds. We also present the photocatalytic activity of oxynitride samples tested by examining the water splitting under visible light irradiation.

2. Results and Discussion

Figure 1 displays the diffuse reflection absorbance spectra for simple Ta⁵⁺ perovskites where the oxynitride phases are found with markedly smaller band gap energies than the others. The optical band gaps were estimated by Shapiro's method [24]. The linear region of the absorption edge was extrapolated to the wavelength axis, where the intersection (zero absorption) was taken as the band gap value. The estimated band gap energies are in the following order: BaTaO₂N (1.8 eV) < SrTaO₂N (2.1 eV) < CaTaO₂N (2.4 eV) < KTaO₃ (3.6 eV) < NaTaO₃ (4.0 eV) < TaO₂F (4.1 eV).

For the d^0 perovskites AMX_3 , it has been well elucidated that the band gap magnitude depends on (i) electronegativity difference between M cation and X anion, (ii) deviation of M–X–M bond angles away from 180°, (iii) M–X bond distance, and (iv) electronegativity of A cation [25,26]. The band gap variation among BaTaO₂N (cubic), SrTaO₂N (tetragonal), and CaTaO₂N (orthorhombic) can be explained by the structural distortion factor (ii), in which the more distorted Ta–(O,N)–Ta linkage leads to the narrower band width and the wider band gap. However, the same reasoning cannot be used across distinct anion systems as the cubic KTaO₃ has a greater band gap than that of CaTaO₂N. In this regard, it can be judged that the control of anion components among N, O, and F, which have well-separated electronegativity values, makes a dominant effect on the resulting electronic structure. The absorbance spectra were also examined by using Tauc plots [27] from which the above oxynitride perovskites were found to be indirect-gap semiconductors.



Figure 1. Diffuse reflection absorption spectra for (i) BaTaO₂N, (ii) SrTaO₂N, (iii) CaTaO₂N, (iv) KTaO₃, (v) NaTaO₃, and (vi) TaO₂F.

A detailed aspect of the electronic structural evolution depending on the anion components was studied by band calculations at the DFT level and the XPS measurements. Structural parameters for DFT calculations were taken from the Rietveld refinements for BaTaO₂N, SrTaO₂N, and CaTaO₂N [10], or from the literature data for KTaO₃ [28], NaTaO₃ [29], and TaO₂F [30]. Since the computation

codes cannot handle mixed occupation of any crystallographic site, ordered O/N (or O/F) distributions were assumed for mixed anion phases.



Figure 2. Valence band density of states (DOS) structures for BaTaO₂N, SrTaO₂N, CaTaO₂N, KTaO₃, and TaO₂F as calculated using the CAmbridge Serial Total Energy Package (CASTEP) code.



Figure 3. Total and partial DOS for BaTaO₂N as calculated using linear muffin-tin orbital (LMTO) code.

The density of states (DOS) in BaTaO₂N, SrTaO₂N, CaTaO₂N, KTaO₃, and TaO₂F resulted from the calculations using CAmbridge Serial Total Energy Package (CASTEP) and are compared in Figure

2. As previously observed for similar compounds, the computation tends to underestimate the band gap magnitude. Still, it can be well recognized that the width and position of valence bands vary depending on the anion components. Both of the mixed anion systems have widened valence bands due to the 2*p* orbital mixings between O/N or O/F: extended toward a higher energy side for oxynitrides and toward a lower energy side for oxyfluoride. However, the conduction bands of those five compounds were found at fairly similar energy ranges (not shown) since they have the same octahedral cation, Ta. The net result is the effective band gap reduction in oxynitrides, as compared with oxides. On the other hand, for the oxyfluoride derivative, the band gap itself would not change very much to a first approximation.

The N 2*p* contribution to band structures of oxynitride compounds can be better viewed by extracting the partial DOS of the component atoms. Figure 3 shows the DOS plots for BaTaO₂N as an example, which was obtained by employing linear muffin-tin orbital (LMTO) calculation. Both O *p* and N *p* orbitals were found as the major constituents of the valence band but notably the N character resides primarily at the upper region of the valence band, in agreement with the design concept of these oxynitride perovskites.



Figure 4. Valence level XPS spectra for (i) BaTaO₂N, (ii) SrTaO₂N, (iii) CaTaO₂N, (iv) KTaO₃, (v) NaTaO₃, and (vi) TaO₂F. Vertical bar on each data indicates the top edge of the valence band.

Along with the theoretical calculation, an experimental probe was also used to study the valence band structures of $ATaO_2N$ (A = Ba, Sr, Ca), $KTaO_3$, $NaTaO_3$, and TaO_2F . The XPS spectra presented in Figure 4 were collected at near the Fermi level and, therefore, depict the DOS of valence bands. After the energy calibration using the C 1*s* peak energy and background subtraction, the tops of the valence bands were determined as indicated on the plots (Figure 4). The valence band edges of oxynitrides were found to be higher in energy by significant margins ($\approx 1 \text{ eV}$) than the oxides' or oxyfluoride's, which is consistent with the electronic structure calculations. It is, therefore, corroborated both experimentally and theoretically that the hybridization of O 2*p* and N 2*p* orbitals are energetically feasible in the extended solid lattice, and that the partial N/O replacement can be a useful means to reduce the band gap size of oxide semiconductors. Based on the measured band gap magnitudes and the valence band widths, simplified band structures can be proposed for the Ta⁵⁺ perovskites studied here (Figure 5).

The reduced band gaps of oxynitride phases have immediate relevance to the photocatalytic reactivity. In this respect, we tested the water splitting by Pt-loaded oxynitride samples under visible light irradiation. Figure 6 presents the time-dependent H₂ evolution from the Pt-CaTaO₂N in H₂O/CH₃OH, along with the result from Pt-TiO₂ (P25). Since CH₃OH contains carbon with a formal

oxidation number of -2, it can act as a reducing agent that removes O_2 and expedite water decomposition as follows:

$$2H_2O + h\nu \rightarrow 2H_2 + O_2 \tag{1}$$

$$2CH_{3}OH + O_{2} \rightarrow 2CO_{2} + 2H_{2}$$
⁽²⁾

The sacrificial agent CH₃OH should boost the generation of H₂ according to the Le Chatelier principle, and also help suppress the H_2 – O_2 recombination.

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Figure 5. Conduction (unfilled) and valence (shaded) band positions for several simple perovskites with octahedral Ta⁵⁺, as deduced from diffuse reflection absorbance and XPS measurements.



Figure 6. Photocatalytic H₂ evolutions over Pt-loaded powders of CaTaO₂N (filled circles) and P25 TiO₂ (open circles). At the beginning and after 30 h had elapsed, the reactor vessel was purged with Ar.

As displayed in Figure 6, Pt-CaTaO₂N possesses the photocatalytic activity that can be triggered by visible light photons. Using the irradiation source of $\lambda > 395$ nm here, the photocatalytic efficiency of Pt-CaTaO₂N is significantly higher than that of Pt-TiO₂ (P25), a well-established photocatalyst system. Certainly, the superior performance of Pt-CaTaO₂N is attributed to its narrower band gap. As can be found from Figure 1, CaTaO₂N can utilize the photons with λ as long as ≈ 500 nm, whereas the absorption by TiO₂ (P25) is limited to $\lambda < 400$ nm. The other oxynitride samples Pt-BaTaO₂N and Pt-SrTaO₂N and an oxide sample Pt-KTaO₃ were also examined under the same experimental condition, but none of them produced discernible amounts of H₂. The lack of photocatalytic ability in Pt-KTaO₃ is simply ascribable to its wide band gap. However, in the cases of BaTaO₂N and SrTaO₂N, which possess even smaller band gap energies than CaTaO₂N, the inferior photocatalytic property can be due to other factors. As one possibility, the valence band edges of BaTaO₂N and SrTaO₂N might be higher than the O²/O₂ oxidation level, or the H₂–O₂ recombination might occur so fast as to disallow the observation of water decomposition. Yet, BaTaO₂N and SrTaO₂N are regarded as promising candidates for visible light photocatalysts that could be well exploited in deliberately designed reaction systems. In the studies by Domen et al., it was demonstrated that the combination of Pt-ATaO₂N (A = Ba, Sr, Ca) and Pt-WO₃ achieves overall water splitting under visible light in the presence of IO₃⁻/I⁻ as a shuttle redox mediator [2,12].

3. Materials and Methods

3.1. Sample Syntheses and Crystal Structure

Polycrystalline oxynitride samples $ATaO_2N$ (A = Ba, Sr, Ca) were prepared by ammonolysis reaction using BaCO₃ (J. T. Baker, 99.8%, Phillipsburg, NJ, USA), SrCO₃ (Aldrich, 99.9+%, St. Louis, MO, USA), CaCO₃ (Mallinckrodt, 99.95%, Phillipsburg, NJ, USA), and Ta₂O₅ (Cerac, 99.5%, Milwaukee, WI, USA), as described previously [10]. Quantitative mixture of reagents was heated in anhydrous ammonia (99.99%) at a flow rate of \approx 50 cm³/min. Each ammonolytic heating cycle consisted of heating/cooling ramps of 10°C/min and a dwell step of 20 h at 1000 °C. The heat treatment cycle was repeated 2–5 times to obtain phase pure products. For preparing TaO₂F, Ta powder (Alfa Aesar, 99.9%, Karlsruhe, Germany) was dissolved in HF solution (47%) in a Teflon beaker. After evaporating the solvent at 125 °C, white precipitate was washed with distilled water, dried at 150 °C, and finally heated at 500 °C in air for 1 h. Reference compounds KTaO₃ (Cerac, 99.9%) and NaTaO₃ (Cerac, 99.9%) were used as purchased.

Crystal structure analyses of *A*TaO₂N samples used the synchrotron X-ray powder diffraction patterns collected at the beamline X7A of National Synchrotron Light Source, Brookhaven National Laboratory (Upton, NY, USA). Lattice parameters and atomic coordinates for *A*TaO₂N phases were refined using the Rietveld method as incorporated in the GSAS-GUI software suite [31,32].

3.2. Electronic Structure and Photocatalytic Property

Diffuse reflectance data were recorded and converted to absorbance using a spectrophotometer (Perkin Elmer, Lambda 20, Waltham, MA, USA) equipped with a 50-mm Labsphere integrating sphere over the spectral range 200–900 nm. The band gap energies were determined from Shapiro's method [24] of extrapolating the onset of absorption to the wavelength axis.

DFT-based computations were performed using the CASTEP program as embodied in Accelrys Materials Studio [33]. Norm-conserving nonlocal pseudo-potentials were generated using the Kerker scheme with a kinetic energy cutoff of 400 eV. A convergence criterion of 0.02 meV was applied for the energy change per atom. Electron exchange and correlation were described using the Perdew-Wang generalized gradient approximation (PW91-GGA) [34]. For BaTaO₂N, the total and partial densities of states were also calculated using a computation code, Stuttgart LMTO version 47, developed by Anderson and co-workers [35,36]. The program employs a TB-LMTO-ASA (tight binding linear muffin-tin orbital atomic sphere approximation) algorithm. Integrations over k space were performed using the tetrahedron method with a total of 40 irreducible k points from a $6 \times 6 \times 6$ grid of reducible k points.

Valence band structures of ATaO₂N (A = Ba, Sr, Ca), KTaO₃, NaTaO₃, and TaO₂F were experimentally studied by XPS at near Fermi energy level, using a V. G. Scientific spectrometer equipped with a Mg K_{α} source (1253.6 eV) and operated at 9 kV and 20 mA with a base pressure of $\approx 2 \times 10^{-9}$ Torr. Shirley method [37] was used for the data smoothening and background removal from the raw XPS spectra.

Photocatalytic activity of CaTaO₂N, in comparison with that of TiO₂ (Degussa P25) [38], was examined for the water decomposition using visible light irradiation. To focus on the photocatalytic H₂ evolution, Pt was employed as a co-catalyst [39]. For preparing the Pt-impregnated catalyst, sample powder was stirred in an aqueous solution of H₂PtCl₆·6H₂O ([Pt⁴⁺] \approx 0.4 mM) under ultraviolet (UV) irradiation for 24 h, rinsed, and dried at room temperature. Thus, the obtained Pt-loaded catalyst (\approx 50 mg) was suspended in a mixture of 35 mL H₂O and 0.6 mL MeOH contained in a 43.5 mL quartz vessel, which was sealed with a latex septum and filled with \approx 1 atm of Ar. The photocatalytic reaction was induced by external illumination with an Oriel Xe lamp (24 V, 7 A) through a liquid filter and a long-pass filter (λ_{cutoff} = 395 nm), and was monitored using a gas chromatograph (Shimadzu, GC-14A, Tokyo, Japan) with Ar (99.998%) carrier gas. By using the liquid filter with a circulating water cooler, the reaction vessel was kept from the heating effect of infrared light component.

4. Conclusions

It was shown, using six simple perovskites with octahedral Ta⁵⁺, that the semiconductor band gap can be widely modulated by the electronegativity of anion components. The band gap generally widens from oxynitrides to oxides to oxyfluorides, and in most cases, the *d*⁰ oxynitride phases have band gaps corresponding to visible light energy. Band structure calculations by the DFT method and XPS measurements indicate that the N 2*p* component contributes to extend the top of the valence band in oxynitrides, making a principal distinction from the oxides' electronic structures. The photocatalytic H₂ generation from H₂O was observed by using Pt-CaTaO₂N and a sacrificial electron donor CH₃OH under visible light.

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