# endo-Selective Construction of Spiro-[butyrolactone-pyrrolidine] via Ag(I)/CAAA-Amidphos-Catalyzed 1,3-Dipolar Cycloaddition Between Azomethine Ylides and $\alpha$ -Methylene- $\gamma$ -butyrolactone

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#### A. General Information

<sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on Bruker AV-400 spectrometers in CDCl<sub>3</sub> unless otherwise noted. CDCl<sub>3</sub> served as internal standard ( $\delta = 7.26$ ) for <sup>1</sup>H NMR and ( $\delta = 77.0$ ) for <sup>13</sup>C NMR. Diastereomeric ratios were determined from <sup>1</sup>H NMR or HPLC analysis. High-performance liquid chromatography was carried out using a Agilent 1260 apparatuse which equipped with a spectrophotometric detector (monitoring at 205-230 nm) using a Daicel chiral AS-H 、 AD-H column with hexane and i-PrOH as solvents . The toluene used for 1,3-Dipolar Cycloaddition of Imino Esters with α-methylene-γ-butyrolactone has not been dehydrated, and other solvents are used after reevaporation. The  $\alpha$ -methylene- $\gamma$ butyrolactone were purchased from Adamas-beta® Co., Ltd.. Commercially available reagents were used without further purification. Reactions were monitored by thin-layer chromatography (TLC) on silica gel precoated glass plates (qingdaohaiyang GF254). Chromatograms were visualized by fluorescence quenching with UV light at 254 nm or potassium permanganate stains. Flash column chromatography was performed using silica gel 200-300 (particle size 0.0040-0.0750 mm) from Qingdaohaiyang. Precat. 1a-1d were synthesized according to our previous work.<sup>1</sup> The absolute configuration of 6e was determined unequivocally according to the XRD, and those of other product were deduced on the basis of these results.

# B. General Procedure for 1,3-Dipolar Cycloaddition of Imino Esters with <u>α-methylene-γ-butyrolactone</u>



To a solution of Precat. 1d (5.57 mg, 0.008 mmol) in toluene (1.4 mL) was added Ag2O

(0.92 mg, 0.004 mmol), followed by water dropwise (0.72 mg, 0.04 mmol) at room temperature under N<sub>2</sub> atmosphere. After the reaction mixture was stirred for 1 h,  $\alpha$ -imino ester **2** (0.30 mmol) and  $\alpha$ -methylene- $\gamma$ -butyrolactone **3** (19.6 mg, 0.20 mmol) was successively added at -20 °C. The reaction was monitored by TLC plate, and the residue was purified by silica gel column chromatography to afford the spiro *endo*-**4** adducts.

# C. Analytical data and HPLC chromatogram of the [3 + 2] cyclization products of $\alpha$ -iminoesters and the $\alpha$ -methylene- $\gamma$ -butyrolactone

(5S,6R,8R)-Methyl 1-oxo-6-phenyl-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4a)



White solid, yield: 51 mg (92%); Mp.110-115°C;  $[\alpha]_D^{30} = -28.3$  (*c* 0.40, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.01-7.34 (m, 5H), 4.16 (s, 1H), 4.08-4.02 (m, 2H), 3.84 (s, 3H), 3.51 (dd, J = 17.2, 9.2 Hz, 1H), 2.69 (dd, J = 13.2, 5.2 Hz, 1H), 2.51-2.43 (m, 1H), 2.33 (dd, J = 13.4, 8.4 Hz, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.7, 173.3, 136.6, 128.9, 127.1, 73.3, 65.2, 58.9, 53.7, 52.4, 40.4, 32.9; IR (film): *v* (cm<sup>-1</sup>) 3348, 2950, 2914, 1769, 1730, 1459, 1373, 1173, 1025, 953, 701, 534; The ee value was 93%, t<sub>R</sub> (major) = 20.46 min, t<sub>R</sub>(minor) = 11.21 min (Chiralcel AS-H,  $\lambda$  = 220 nm, <sup>i</sup>PrOH/hexanes 50:50, flow rate = 1 mL/min).







Colorless oil, yield: 52 mg (90%);  $[\alpha]_D^{30} = -6.27$  (c 1.35, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz,

CDCl<sub>3</sub>)  $\delta$  7.27 (d, J = 7.2 Hz, 2H), 7.15 (d, J = 7.6 Hz, 2H), 4.12 (s, 1H), 4.07-4.01 (m, 2H), 3.83 (s, 1H), 3.52 (q, J = 8.4 Hz, 1H), 2.68 (dd, J = 13.2, 5.2 Hz, 1H), 2.50-2.40 (m, 1H), 2.34-2.28 (m, 6H),1.72(brs, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.8, 173.3, 138.7, 133.5, 129.6, 127.0, 73.2, 65.2, 58.9, 53.6, 52.4, 40.4, 32.9, 21.1; IR (film):  $\nu$  (cm<sup>-1</sup>) 3328, 2956, 2863, 1760, 1745, 1513, 1376, 1168, 1028, 778, 647, 531; The ee value was 86%, t<sub>R</sub> (major) = 14.83 min, t<sub>R</sub>(minor) =7.01 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-(4-methoxyphenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl -ate (4c)



Colorless oil, yield: 57 mg (91%);  $[\alpha]_D{}^{30} = +8.414$  (*c* 1.3, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.32 (d, J = 8.4 Hz, 2H), 7.03 (d, J = 8.4 Hz, 2H), 4.11 (s, 1H), 4.06-4.02 (m, 2H), 3.83-3.76 (m, 6H), 3.52 (dd, J = 17.2, 8.8 Hz, 1H), 2.68 (dd, J = 13.4, 4.8 Hz, 2H), 2.50-2.42 (m, 1H), 2.34-2.26 (m, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.9, 173.3, 159.9, 128.3, 114.2, 72.9, 65.2, 58.8, 55.2, 53.5, 52.4, 40.3, 32.9; IR (film):  $\nu$  (cm<sup>-1</sup>) 3331, 2962, 2840, 1757, 1742, 1516, 1379, 1177, 1028, 781, 644, 537; The ee value was 90%, t<sub>R</sub> (major) = 16.33 min, t<sub>R</sub>(minor) =8.73 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*R*,8*R*)-Methyl 6-(4-fluorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4d)



White solid, yield: 53 mg (91%); Mp.125-127°C;  $[\alpha]_D^{30} = -10.56$  (*c* 0.8, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.38 (dd, J = 8.6, 5.4 Hz, 2H), 7.03 (t, J = 8.4 Hz, 2H), 4.16 (s, 1H), 4.09-4.00 (m, 2H), 3.82 (s, 3H), 3.58-3.52 (m, 1H), 2.77 (brs, 1H), 2.68 (dd, J = 13.4, 5.4 Hz, 1H), 2.49-2.41(m, 1H), 2.34-2.28 (m, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.5, 173. 2, 164.1, 161.7, 132.5, 128.9, 128.8, 115.9, 115.7, 72.3, 65.1, 58.7, 53.5, 52.4, 40.0, 32.9; IR (film): *v* (cm<sup>-1</sup>) 3343, 2998, 2956, 1756, 1610, 1512, 1378, 1179, 1027, 849, 656, 543; The ee value was 83%, t<sub>R</sub> (major) = 11.84 min, t<sub>R</sub>(minor) =8.01 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-(4-chlorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate

(4e)



Colorless oil, yield: 55 mg (88%);  $[\alpha]_D{}^{30} = +17.922$  (*c* 1.5, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.36-7.29 (m, 4H), 4.16 (s, 1H), 4.11-4.03 (m, 2H), 3.83 (s, 3H), 3.64-3.56 (m, 1H), 2.69 (dd, J = 13.4, 5.6 Hz, 1H), 2.50-2.42 (m, 2H), 2.35-2.29 (m, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 173.2, 135.4, 134.7, 129.1, 128.6, 72.3, 65.2, 58.8, 53.6, 52.5, 40.1, 33.0; IR (film): *v* (cm<sup>-1</sup>) 3337, 2953, 2917, 1763, 1438, 1212, 1177, 1028, 831, 671, 543; The ee value was 88%, t<sub>R</sub> (major) = 13.92 min, t<sub>R</sub>(minor) =8.10 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-(4-bromophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylat -e (4f)



Colorless oil, yield: 66 mg (93%);  $[\alpha]_D{}^{30} = -10.19$  (*c* 1.15, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7 .47 (d, J = 8.4 Hz, 2H), 7 .28 (d, J = 8.4 Hz, 2H), 4.14 (s, 1H), 4.11-4.02 (m, 2H), 3.82 (s, 3H), 3.63-3.57 (m, 1H), 2.68 (dd, J = 13.2, 5.6 Hz, 1H), 2.49-2.41 (m, 2H),, 2.35-2.28 (m, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ 178.3,173.2,135.9,132.0,128.9,122.8, 72.3,65.2,53.5,52.5,40.1,33.0; IR (film):  $\nu$  (cm<sup>-1</sup>) 3372, 2956, 2917, 1748, 1492, 1438, 1206, 1177, 1028, 820, 739, 537; The ee value was 85%, t<sub>R</sub> (major) = 15.60 min, t<sub>R</sub>(minor) =8.70 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-(3-chlorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4g)



Colorless oil, yield: 55 mg (89%);  $[\alpha]_D{}^{30} = -14.751$  (*c* 1.35, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.38 (s, 1H),7.32-7.30 (m, 4H), 4.14 (s, 1H), 4.13-4.03 (m, 2H), 3.83 (s, 3H), 3.68-3.61 (m, 1H), 2.69 (dd, J = 13.4, 5.4 Hz, 1H), 2.50-2.42 (m, 1H), 2.40-2.25 (m, 1H), 2.38-2.30 (m, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.2, 173.1, 139.1, 134.6, 130.2, 129.0, 127.7, 125.2,72.3, 65.2, 58.8, 53.6, 52.5, 40.2, 33.1; IR (film): *v* (cm<sup>-1</sup>) 3387, 2965, 2714, 1763, 1733, 1572, 1450, 1367, 1177, 1028, 727, 540; The ee value was 82%, t<sub>R</sub> (major) = 16.63 min, t<sub>R</sub>(minor) =9.13 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).







White solid, yield: 54 mg (94%); Mp.103-105°C;  $[\alpha]_D^{30} = -11.27$  (*c* 1.83, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.49 (dd, J = 7.2, 1.6 Hz, 1H), 7.24-7.13 (m, 3H), 4.58 (s, 1H), 4.08-4.00 (m, 2H), 3.82 (s, 3H), 3.55-3.49 (m, 1H), 2.89 (brs, 1H), 2.68 (dd, J = 13.6, 5.6 Hz, 1H), 2.46-2.29 (m, 6H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.8, 173.3, 135.5, 135.2, 130.7, 128.1, 126.9, 126.2, 66.9, 65.1, 58.8, 54.0, 52.4, 40.2, 33.1, 20.0; IR (film): *v* (cm<sup>-1</sup>) 3372, 2982, 2959, 1757, 1736, 1489, 1489, 1379, 1263, 1174, 1019, 748, 683; The ee value was 82%, t<sub>R</sub> (major) = 12.89 min, t<sub>R</sub>(minor) =6.55 min (Chiralcel AS-H,  $\lambda$  = 220 nm, <sup>i</sup>PrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*R*,8*R*)-Methyl 6-(2-methoxyphenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl -ate (4i)



White solid, yield: 57 mg (93%); Mp.122-125°C;  $[\alpha]_D^{30} = -33.67$  (*c* 1.20, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.55 (d, J = 7.6 Hz, 2H), 7.28-7.24 (m, 1H),7.00 (t, J = 7.6 Hz, 1H), 6.84 (d, J = 8.4 Hz, 1H),4.82 (s, 1H), 4.19 (t, J = 8.8 Hz, 1H), 4.05 (t, J = 8.0 Hz, 1H), 3.96-3.80 (m, 6H), 2.58-2.53(m, 2H), 2.38-2.30(m, 1H), 2.23-2.17 (m, 1H)), 1.68 (brs, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 173.6, 156.6, 129.0, 127.4, 126.6, 121.1, 110.1, 65.3, 63.9, 59.0, 55.1, 53.9, 52.3, 40.1, 34.6; IR (film): *v* (cm<sup>-1</sup>) 3390, 2950, 2840, 1745, 1602, 1489, 1379, 1200, 1135, 1025, 788, 632; The ee value was 91%, t<sub>R</sub> (major) = 15.75 min, t<sub>R</sub>(minor) =12.98 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*S*,8*R*)-Methyl 6-(2-fluorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4j)



Colorless oil, yield: 53 mg (91%);  $[\alpha]_D^{30} = +2.73$  (*c* 1.20, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl3)  $\delta$  7.58 (t, J = 8.0 Hz, 1H), 7.30-7.25 (m, 1H), 7.18 (t, J = 7.6 Hz, 1H), 7.02 (t, J = 9.6 Hz, 1H), 4.69 (s, 1H), 4.15 (t, J = 9.2 Hz, 1H), 4.06 (t, J = 8.0 Hz, 1H), 3.86-3.79 (m, 4H), 2.65 (dd, J = 13.4, 6.8 Hz, 1H), 2.54-2.28 (m, 4H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 173.2, 161.6, 159.1, 129.9, 129.8, 128.1, 124.8, 115.1, 114.9, 65.4, 63.4, 63.3, 58.8, 53.8, 52.4, 40.3, 33.4; IR (film):  $\nu$  (cm<sup>-1</sup>) 3345, 2922, 2852, 1765, 1736, 1456, 1387, 1209, 1171, 1024, 858, 711; The ee value was 92%, t<sub>R</sub> (major) = 17.00 min, t<sub>R</sub>(minor) =8.35 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*S*,8*R*)-Methyl 6-(2-chlorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4k)



White solid, yield: 53 mg (85%); Mp.118-122°C;  $[\alpha]_D{}^{30} = -31.05$  (*c* 1.95, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.66 (dd, J = 7.8, 1.6 Hz, 1H), 7.34-7.27 (m, 2H), 7.21 (td, J = 7.6, 2.0 Hz, 1H), 4.92 (s, 1H), 4.14 (td, J = 9.0, 2.4 Hz, 1H), 4.05 (dd, J = 7.2, 4.4 Hz, 1H), 3.81 (s, 3H), 2.70 (brs, 1H), 2.67-2.59 (m, 2H), 2.41-2.25 (m, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.0, 173.3, 135.6, 133.1, 129.4, 129.3, 128.7, 127.5, 66.3, 65.4, 58.7, 54.1, 52.3, 39.8, 33.7; IR (film):  $\nu$  (cm<sup>-1</sup>) 3378, 2980, 2953, 1775, 1727, 1438, 1376, 1203, 1178, 1031, 763, 680; The ee value was 90%, t<sub>R</sub> (major) = 19.28 min, t<sub>R</sub>(minor) =9.43 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*S*,8*R*) -Methyl 6-(2-bromophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylat -e (4l)



White solid, yield: 65 mg (92%); Mp.115-118°C;  $[\alpha]_D^{30} = -41.68$  (*c* 1.25, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.65 (dd, J = 7.8, 2.0 Hz, 1H), 7.52 (dd, J = 8.0, 1.2 Hz, 1H), 7.34 (td, J = 7.4, 1.6 Hz, 1H), 7.14 (td, J = 7.6, 1.6 Hz, 1H), 4.89 (s, 1H), 4.13 (td, J = 9.2, 2.0 Hz, 1H), 4.05 (dd, J = 8.8, 7.2 Hz, 1H), 3.94 – 3.83 (m, 1H), 3.81 (s, 3H), 2.71 – 2.63 (m, 3H), 2.40 – 2.26 (m, 2H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.0, 173.3, 137.2, 132.7, 129.7, 129.1, 128.2, 123.9, 69.0, 65.4, 58.7, 54.2, 52.3, 39.8, 33.7; IR (film):  $\nu$  (cm<sup>-1</sup>) 3372, 2974, 2950, 1766, 1727, 1436, 1376, 1203, 1174, 1025, 763, 668; The ee value was 91%, t<sub>R</sub> (major) = 19.62 min, t<sub>R</sub>(minor) =10.31 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5*S*,6*S*,8*R*)-Methyl 6-(2-fluorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4m)



Colorless oil, yield: 62 mg (90%);  $[\alpha]_D^{30} = +9.27$  (*c* 2.00, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.89 (d, J = 8.0 Hz, 1H), 7.66 (d, J = 8.0 Hz, 1H), 7.60 (t, J = 7.6 Hz, 1H), 7.42 (t, J = 7.6 Hz, 1H), 4.64 (s, 1H), 4.09-4.05 (m, 2H), 3.83 (s, 3H), 3.63-3.56 (m, 1H), 2.70 (dd, J = 13.2, 6.4 Hz, 1H), 2.61 (brs, 1H), 2.42-2.30 (m, 3H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.5, 173.3, 137.1, 132.7, 129.1, 128.4, 126.1, 125.9, 66.3, 65.2, 58.7, 53.9, 52.5, 40.0, 33.7; IR (film):  $\nu$  (cm<sup>-1</sup>) 3378, 2959, 2914, 1772, 1611, 1456, 1313, 1162, 1120, 1040, 772, 656; The ee value was 89%, t<sub>R</sub> (major) = 12.47 min, t<sub>R</sub>(minor) =6.80 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-(naphthalen-2-yl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylat -e (4n)



White solid, yield: 58 mg (90%); Mp.141-143°C;  $[\alpha]_D{}^{30} = -95.629$  (*c* 1.1, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.87-7.82 (m, 4H), 7.53-7.47 (m, 3H), 4.34 (s, 1H), 4.12 (dd, J = 10.4, 5.4 Hz, 1H), 4.05-3.98 (m, 1H), 3.87 (s, 3H), 3.54-3.47 (m, 1H), 2.75 (dd, J = 13.6, 5.4 Hz, 1H), 2.51-2.35 (m, 3H), 2.17 (brs, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.7, 173.3, 133.6, 133.2, 128.8, 128.1, 127.7, 126.6, 126.4, 124.6,73.4, 65.3, 65.2, 58.9, 53.7, 52.5, 40.5, 33.0; IR (film):  $\nu$  (cm<sup>-1</sup>) 3345, 2956, 2917, 1763, 1441, 1373, 1209, 1177, 1028, 822, 742, 477; The evalue was 87%, t<sub>R</sub> (major) = 25.50 min, t<sub>R</sub>(minor) =8.48 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6S,8R)-Methyl 6-(furan-2-yl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (40)



Colorless oil, yield: 46 mg (87%);  $[\alpha]_D^{30} = -1.65$  (*c* 2.1, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.37 (s, 1H), 6.39 (s, 1H), 6.35 (s, 1H), 4.25 (s,1H), 4.04-4.00 (m, 1H), 3.90(dd, J = 13.4, 5.9 Hz, 1H), 4.81 (s, 3H), 2.65 (dd, J = 13.4, 6.0 Hz, 1H), 2.48-2.38 (m, 2H), 2.29 (dd, J = 12.8, 9.6 Hz, 1H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.4, 172.9, 150.7, 142.4, 110.7, 107.8, 65.9, 65.4, 58.9, 52.5, 40.3, 32.9; IR (film):  $\nu$  (cm<sup>-1</sup>) 3313, 3119, 2953, 2917, 1763, 1435, 1379, 1215, 1182, 1028, 742, 605; The ee value was 89%, t<sub>R</sub> (major) = 14.41 min, t<sub>R</sub>(minor) =8.51 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 50:50, flow rate = 1 mL/min).



(5S,6R,8R)-Methyl 6-isopentyl-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (4p)



Colorless oil, yield: 38 mg (75%);  $[\alpha]_D^{30} = +28.77$  (*c* 2.20, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  4.31-4.19 (m, 2H), 3.89 (dd, J = 9.0, 6.4 Hz, 1H), 3.74 (s, 3H), 3.04 (dd, J = 11.0, 3.2 Hz, 1H), 2.47 (dd, J = 13.4, 6.4 Hz, 1H), 2.40-2.32 (m, 1H), 2.27 (brs, 1H), 2.25-2.18 (m, 1H), 1.53-1.46 (m, 1H), 1.21-1.15 (m, 2H), 0.92 (dd, J = 10.4, 6.4 Hz, 6H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  179.5, 172.9, 66.8, 65.5, 59.4, 52.3, 51.5, 41.9, 39.6, 33.0, 25.9, 23.9, 21.4; IR (film):  $\nu$  (cm<sup>-1</sup>) 3278, 2959, 2872, 1760, 1435, 1382, 1313, 1206, 1177, 1028, 876, 739; The ee value was 75%, t<sub>R</sub> (major) = 9.08 min, t<sub>R</sub>(minor) =8.52 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 0.8 mL/min).



D. General Procedure for 1,3-Dipolar Cycloaddition of Imino Esters with

#### <u>α-methylene-γ-butyrolactone via Three-component "One-pot"</u>



To a solution of tert-butyl glycine (39.3 mg, 0.30 mmol) in toluene (1.0 mL) was added the aldehyde 5 (0.30 mmol) and *N*,*N*'-diisopropylcarbodiimide (37.8 mg, 0.30 mmol), which was stirred for 2 h at room temperature. Then, a solution of precat. **1d** (5.57 mg, 0.008 mmol) and Ag<sub>2</sub>O (0.92 mg, 0.004 mmol) in toluene (0.5 mL) stirred for 1 h, was mixed with the above solution, followed by  $\alpha$ -methylene- $\gamma$ -butyrolactone **3** dropwise (19.6 mg, 0.20 mmol) at -20 °C. The reaction was monitored by TLC plate, and the residue was purified by silica gel column chromatography to afford the spiro *endo*-**6** adducts.

# E. Analytical data and HPLC chromatogram of the [3 + 2] cyclization products of <u> $\alpha$ -iminoesters and the $\alpha$ -methylene- $\gamma$ -butyrolactone via Three-component "One-pot"</u> (5S,6R,8R)-tert-Butyl 1-oxo-6-phenyl-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (6a)



Colorless oil, yield: 60 mg (95%);  $[\alpha]_D{}^{30} = -26.77$  (*c* 1.52, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.41-7.33 (m, 5H), 4.16 (s, 1H), 4.08-4.03 (m, 1H), 3.96 (dd, J = 9.6, 5.6 Hz, 1H), 3.57-3.50 (m, 1H), 2.64 (dd, J = 13.6, 5.6 Hz, 1H), 2.50-2.42 (m, 1H), 2.35-2.27 (m, 2H), 2.04 (brs, 1H), 1.54 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.8, 172.0, 136.9, 129.0, 128.9, 127.2, 82.0, 73.2, 65.3, 59.8, 53.8, 40.9, 33.1, 28.2; IR (film): *v* (cm<sup>-1</sup>) 3307, 2977, 2914, 1750, 1730, 1456, 1373, 1275, 1162, 1028, 852, 757; The ee value was 85%, t<sub>R</sub> (major) = 10.63 min, t<sub>R</sub>(minor) =7.34 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 1 mL/min).



(5S,6R,8R)-tert-Butyl 6-(4-chlorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl -ate (6b)



Colorless oil, yield: 62 mg (90%);  $[\alpha]_D^{30} = -6.34$  (*c* 1.22, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.31 (d, J = 8.8 Hz, 2H), 6.87 (d, J = 8.8 Hz, 2H), 4.13 (s, 1H), 4.08-4.03 (m, 1H), 3.95 (dd, J = 9.6, 5.2 Hz, 1H), 3.79 (s, 3H), 3.58-3.51 (m, 1H), 2.63 (dd, J = 13.2, 5.2 Hz, 1H), 2.49-2.41 (m, 1H), 2.32-2.26 (m, 2H), 1.97 (brs, 1H), 1.54 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.9, 171.9, 160.0, 132.2, 128.3, 114.3, 82.0, 73.0, 65.2, 59.7, 55.3, 53.7, 40.7, 33.1, 28.1; IR (film):  $\nu$  (cm<sup>-1</sup>) 3346, 2976, 2922, 1759, 1718, 1516, 1365, 1240, 1167, 1028, 825, 539; The ee value was 66%, t<sub>R</sub> (major) = 11.53 min, t<sub>R</sub>(minor) =7.03 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 60:40, flow rate = 0.8 mL/min).



(5S,6R,8R)-tert-Butyl 6-(2-methoxyphenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carbo -xylate (6c)



White solid, yield: 64 mg (92%); Mp.135-141°C;  $[\alpha]_D^{30} = -8.99$  (*c* 2.03, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl3)  $\delta$  7.56 (d, J = 7.6 Hz, 1H), 7.27-7.23 (m, 1H), 6.99 (t, J = 7.6 Hz, 1H), 6.83 (d, J = 8.0 Hz, 1H), 4.81 (s, 1H), 4.23-4.18 (m, 1H), 4.01-3.91 (m, 2H), 3.86-3.83 (m, 1H), 3.79 (s, 3H), 3.58-2.53 (m, 1H), 2.50-2.45 (m, 1H), 2.14 (dd, J = 13.2, 8.0 Hz, 1H), 1.53 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 172.4, 156.5, 128.9, 127.2, 121.0, 110.0, 81.8, 65.2, 63.9, 59.8, 55.1, 54.0, 42.2, 40.3, 34.9, 28.1, 23.5; IR (film):  $\nu$  (cm<sup>-1</sup>) 3340, 2974, 2923, 1760, 1715, 1620, 1465, 1370, 1242, 1165, 1025, 837, 760; The ee value was 81%, t<sub>R</sub> (minor)

= 8.63 min,  $t_R(major)$  =6.80 min (Chiralcel AD-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 0.6 mL/min).



(5S,6S,8R)-tert-Butyl 6-(2-fluorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl Ate (6d)



Colorless oil, yield: 68 mg (96%);  $[\alpha]_D^{30} = +15.30$  (*c* 0.85, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.58 (t, J = 7.6 Hz, 1H), 7.28-7.26 (m, 1H), 7.18 (t, J = 7.6 Hz, 1H), 7.05-7.00 (m, 1H), 4.69 (s, 1H), 4.16 (t, J = 9.2 Hz, 1H), 3.97-3.93 (m, 1H), 3.89-3.79 (m, 1H), 2.61-2.56 (m, 1H), 2.53-2.48 (m, 1H), 2.44-2.39 (m, 1H), 2.30-2.24 (m, 1H), 1.53 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 171.9, 161.6, 159.1, 129.8, 129.7, 128.0, 128.0, 124.8, 124.8, 115.1, 114.9, 81.9, 65.3, 63.5, 63.4, 59.7, 53.9, 40.7, 33.6, 28.0; IR (film):  $\nu$  (cm<sup>-1</sup>) 3381, 2977, 2935, 1763, 1718, 1459, 1367, 1227, 1156, 1028, 835, 757; The evalue was 90%, t<sub>R</sub> (major) = 11.03 min, t<sub>R</sub>(minor) =6.96 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 60:40, flow rate = 0.8 mL/min).



(5S,6S,8R)-tert-Butyl 6-(2-chlorophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl -ate (6e)



White solid, yield: 68 mg (97%); Mp.121-126°C; $[\alpha]_D^{30} = -20.78$  (*c* 1.60, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.68 (d, J = 8.0 Hz, 1H), 7.36-7.30 (m, 2H), 7.22 (d, J = 7.6 Hz, 1H), 4.94 (s, 1H), 4.18 (t, J = 9.2 Hz, 1H), 4.00-3.88 (m, 2H), 2.66-2.58 (m, 2H), 2.43-2.35 (m, 1H), 2.30-2.24 (m, 1H), 1.69 (brs, 1H), 1.54 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.1, 172.1, 135.9, 133.3, 129.5, 129.4, 128.7, 127.6, 82.0, 66.6, 65.4, 59.7, 54.3, 40.4, 34.1, 28.1; IR (film):  $\nu$  (cm<sup>-1</sup>) 3381, 2974, 2923, 1766, 1724, 1456, 1370, 1206, 1153, 1043, 849, 751; The ee value was 90% (100% ee after recrystallization), t<sub>R</sub> (major) = 13.64 min, t<sub>R</sub>(minor) = 8.12 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 0.8 mL/min).



After recrystallization

(5S,6S,8R)-tert-Butyl 6-(2-bromophenyl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxyl -ate (6f)



Colorless oil, yield: 74 mg (94%);  $[\alpha]_D^{30} = -23.17$  (c 2.03, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz,

CDCl<sub>3</sub>)  $\delta$  7.66 (dd, J = 8.0, 1.6 Hz, 1H), 7.54 (dd, J = 8.0, 1.6 Hz, 1H), 7.38-7.33 (m, 1H), 7.18-7.13 (m, 1H), 4.90 (s, 1H), 4.16 (td, J = 10.0, 2.0 Hz, 1H), 3.99-3.88 (m, 2H), 2.71-2.66 (m, 1H), 2.61 (dd, J = 13.2, 11.2 Hz, 1H), 2.42-2.34 (m, 1H), 2.27 (dd, J = 13.2, 8.8 Hz, 1H), 1.53 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  177.9, 171.9, 137.4, 132.7, 129.7, 128.9, 128.1, 123.9, 81.8, 69.1, 65.3, 59.6, 54.2, 40.2, 33.9, 28.0; IR (film):  $\nu$  (cm<sup>-1</sup>) 3378, 2977, 2932, 1766, 1733, 1456, 1367, 1260, 1129, 1031, 843,760; The ee value was 79%, t<sub>R</sub> (major) = 18.66 min, t<sub>R</sub>(minor) =12.22 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 0.6 mL/min).



(5S,6S,8R)-tert-Butyl 6-(furan-2-yl)-1-oxo-2-oxa-7-azaspiro[4.4]nonane-8-carboxylate (6g)



Colorless oil, yield: 55 mg (89%);  $[\alpha]_D^{30} = +55.92$  (*c* 1.55, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.37 (dd, J = 1.6, 0.8 Hz, 1H), 6.39 (d, J = 3.2 Hz, 1H), 6.35 (dd, J = 3.2, 1.6 Hz, 1H), 4.25 (s, 1H), 4.24-4.20 (m, 1H), 3.97-3.89 (m, 2H), 2.59 (dd, J = 13.2, 5.6 Hz, 1H), 2.44-2.40 (m, 2H), 2.25 (dd, J = 13.2, 9.2 Hz, 1H), 1.51 (s, 9H); <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  178.3, 171.6, 151.1, 142.3, 110.7, 107.6, 82.0, 65.9, 65.3, 59.7, 52.6, 40.5, 33.2, 28.0; IR (film): *v* (cm<sup>-1</sup>) 3342, 2968, 2932, 1763, 1724, 1459, 1373, 1248, 1168, 1028, 881, 754; The ee value was 87%, t<sub>R</sub> (major) = 17.78 min, t<sub>R</sub>(minor) = 12.27 min (Chiralcel AS-H,  $\lambda$  = 220 nm, iPrOH/hexanes 70:30, flow rate = 0.6 mL/min).



#### Reference:

 Wang, H.; Deng, Q.; Zhou, Z.; Hu, S.; Liu, Z.; Zhou, L.-Y. Ag<sub>2</sub>CO<sub>3</sub>/CA-AA-AmidPhos Multifunctional Catalysis in the Enantioselective 1,3-Dipolar Cycloaddition of Azomethine Ylides. Org. Lett. 2016, 18, 404– 407.

#### F. Crystallographic data for 6e

The crystal **6e** was measured on a Bruker Apex-II CCD diffractometer with monochromatic Mo K $\alpha$  radiation ( $\lambda = 0.71073$  Å) at 296(2) K. Absorption and scaling correction were undertaken with the SADABS program, and the direct method was used to resolve the crystal structure; except for hydrogen atoms, other atoms were refined by the full-matrix least-squares procedure employing the free Olex2 program embedded with SHELXL-2015. The refinement details for *endo*-**6e** have been explained in the CIF document, and the crystallographic data and refinement parameters for **6e** have been summarized in Table 1 (CCDC 1970670).



6e (CCDC 1970670)

Table 1. Crystal data and structure refinement for 6e			
Empirical formula	C18H22CINO4		
Formula weight	351.81 g/mol		
Temperature	296.00(2) K		
Wavelength	0.71073 Å		
Crystal system	monoclinic		
Space group	P 1 2(1)/n 1		
Unit cell dimensions	a = 13.497(8) Å	$\alpha = 90^{\circ}$ .	
	b = 6.376(4)  Å	β=102.085(8)°.	
	c = 21.471(13) Å	$\gamma = 90^{\circ}.$	
Volume	1806.78 (190) Å <sup>3</sup>		
Ζ	4		
Density (calculated)	1.2933 g/cm <sup>3</sup>		
Absorption coefficient	0.232mm <sup>-1</sup>		
F(000)	744		
Crystal size	0.32 x 0.28 x 0.22 mm <sup>3</sup>		
$\theta$ range for data collection	2.996 to 25.009°.		
Index ranges	$-15 \le h \le 15, -7 \le k \le 7, -25 \le l \le 25$		
Reflections collected	13306		
Independent reflections	$3180 [R_{\text{int}} = 0.0719]$		
Completeness to theta = $25.009^{\circ}$	100 %		
Refinement method	Full-matrix least-squares on F2		
Max. and min. transmission	0.7454 and 0.4246		
Data/restraints/parameters	3180/ 0 / 220		
Goodness-of-fit on $F^2$	1.032		
Final <i>R</i> indices $[I \ge 2\sigma(I)]$	R1 = 0.0621,  wR2 = 0.1555		
R indices (all data)	R1 = 0.0843,  wR2 = 0.1779		
Largest diff. peak and hole	0.310 and -0.409 e.Å <sup>-3</sup>		

#### **G. NMR Spectra of the Products**





7.283 7.265 7.260 7.157 7.138



C1.328 7.307 7.260 6.883 6.883







----0.009





210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)



---0.013









S26

L7.479 L7.458 L7.290 L7.269 L7.269











#### 

























## 





![](_page_35_Figure_1.jpeg)

![](_page_35_Figure_2.jpeg)

![](_page_35_Figure_3.jpeg)

![](_page_36_Figure_1.jpeg)

# 7,2335 7,7335 7,7335 7,7335 7,7335 7,7335 7,7335 7,8357 8,885 8,885 8,9356 8,9356 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 8,9357 9

![](_page_37_Figure_1.jpeg)

![](_page_38_Figure_2.jpeg)

![](_page_39_Figure_2.jpeg)

![](_page_40_Figure_2.jpeg)

![](_page_41_Figure_1.jpeg)

#### 77,7388 (5,395) (5,395) (5,395) (5,395) (5,395) (5,395) (5,395) (5,345

![](_page_42_Figure_1.jpeg)