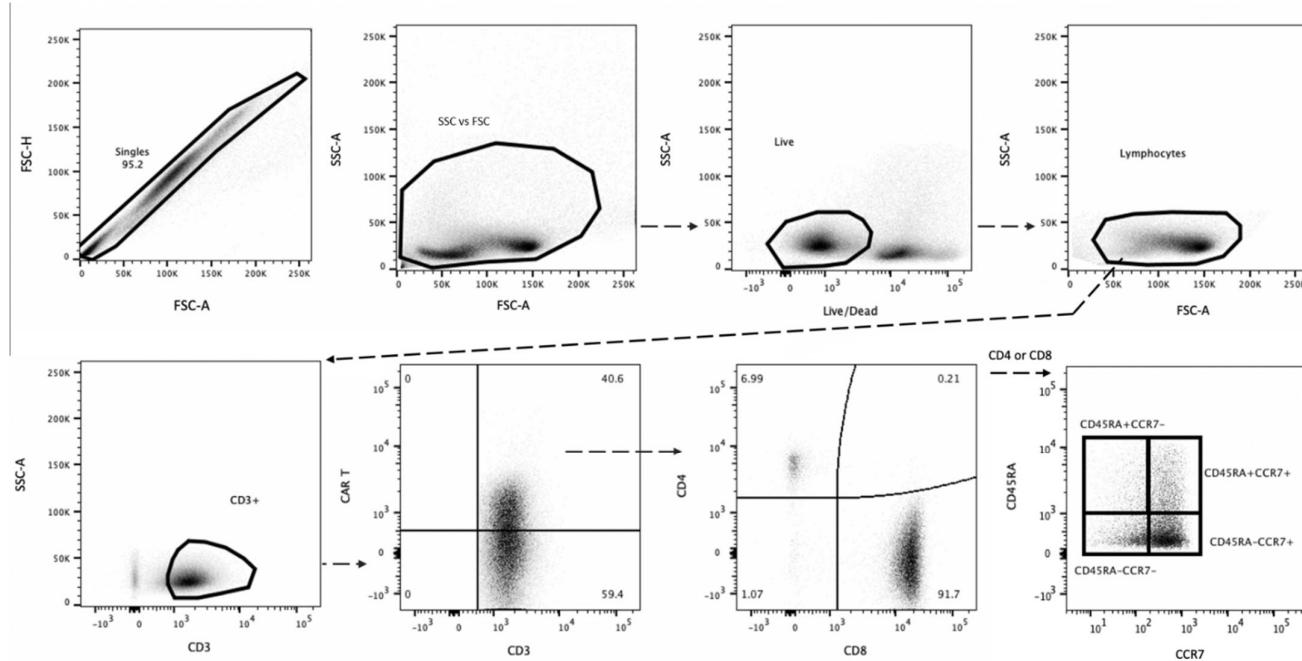


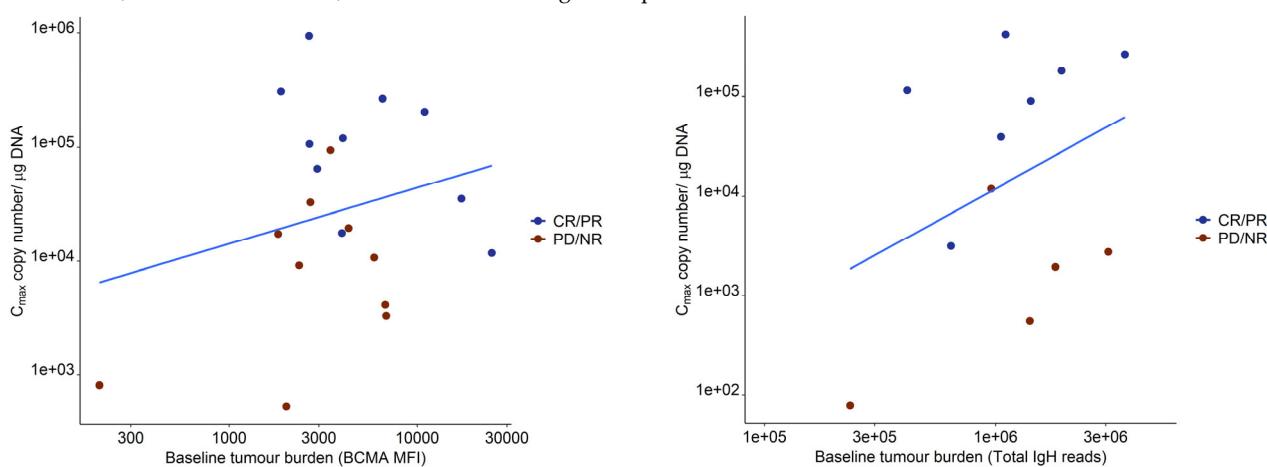


## Supplementary Materials: Early response prediction framework in CD19-specific CAR-T cell immunotherapy using a quantitative systems pharmacology model

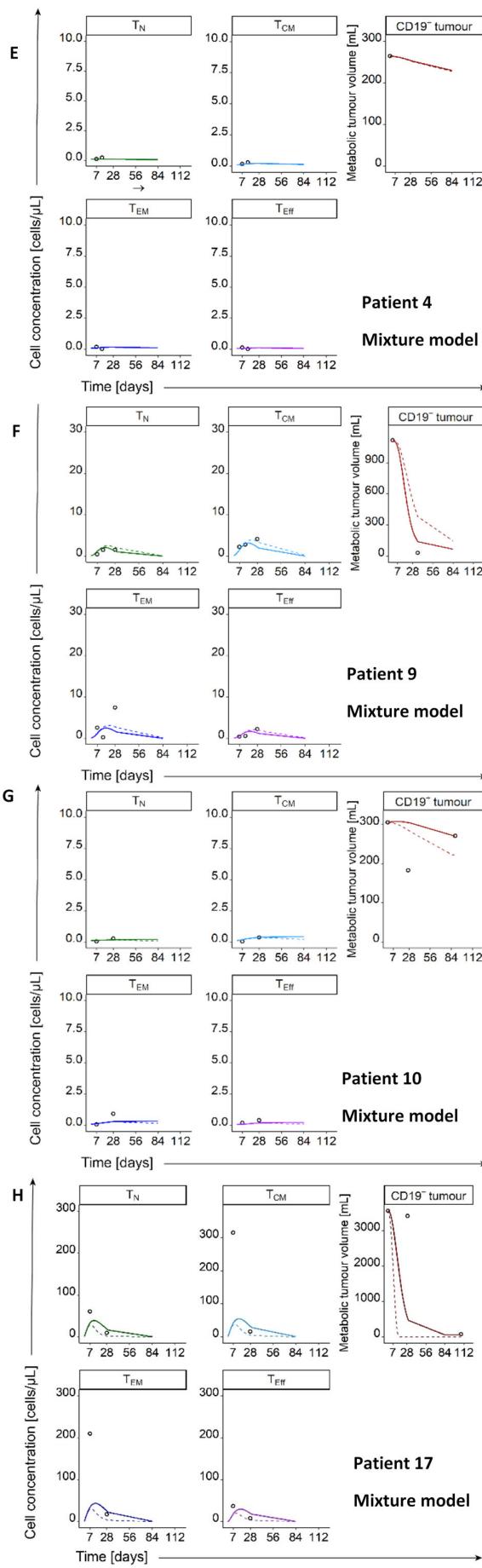
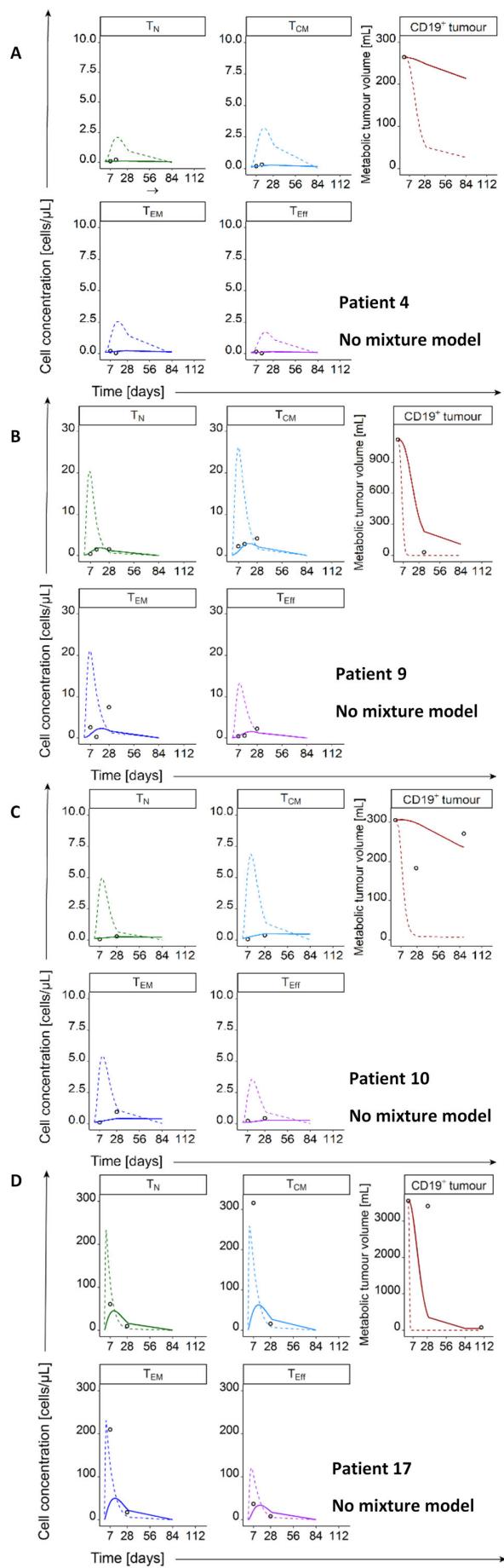
Anna Mueller-Schoell, Nahum Puebla-Osorio, Robin Michelet, Michael Green, Annette Künkele, Wilhelm Huiszinga, Paolo Strati, Beth Chasen, Sattva S. Neelapu, Cassian Yee and Charlotte Kloft



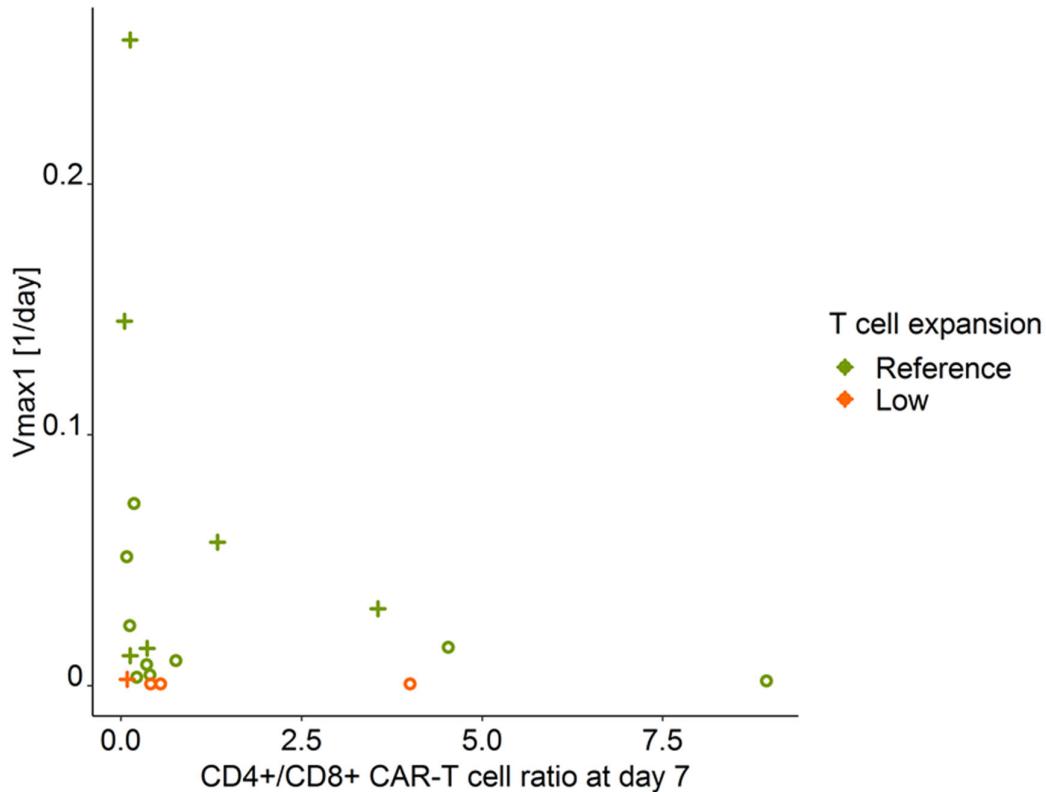
**Figure S1.** Flow cytometry gating strategy for the isolation of CD4<sup>+</sup> and CD8<sup>+</sup> CAR-T cells from peripheral blood samples of patients at days 7, 14 and 28 after infusion and identification of the different phenotypes. Abbreviations: SSC: sideward scatter; FSC: forward scatter; CAR T: chimeric antigen receptor T cells.



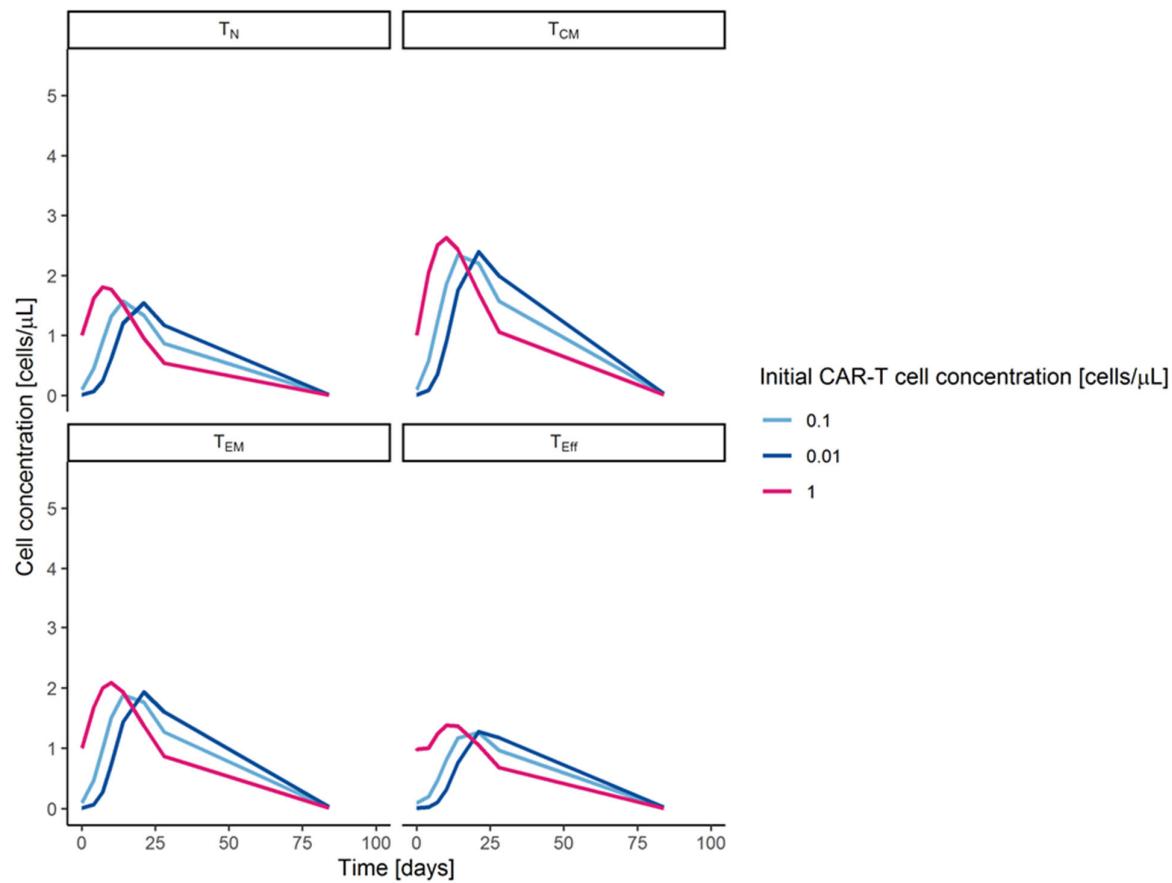
**Figure S2.** Digitised data on  $C_{\text{max}}$  and Baseline tumour burden in patients with MM and CLL. **Right:** MM data; **Left:** CLL data; both digitised from the publication by Liu et al. Abbreviations: CLL: chronic lymphocytic leukaemia; CR: complete response; MM: multiple myeloma; NR: no response; PR: partial response.



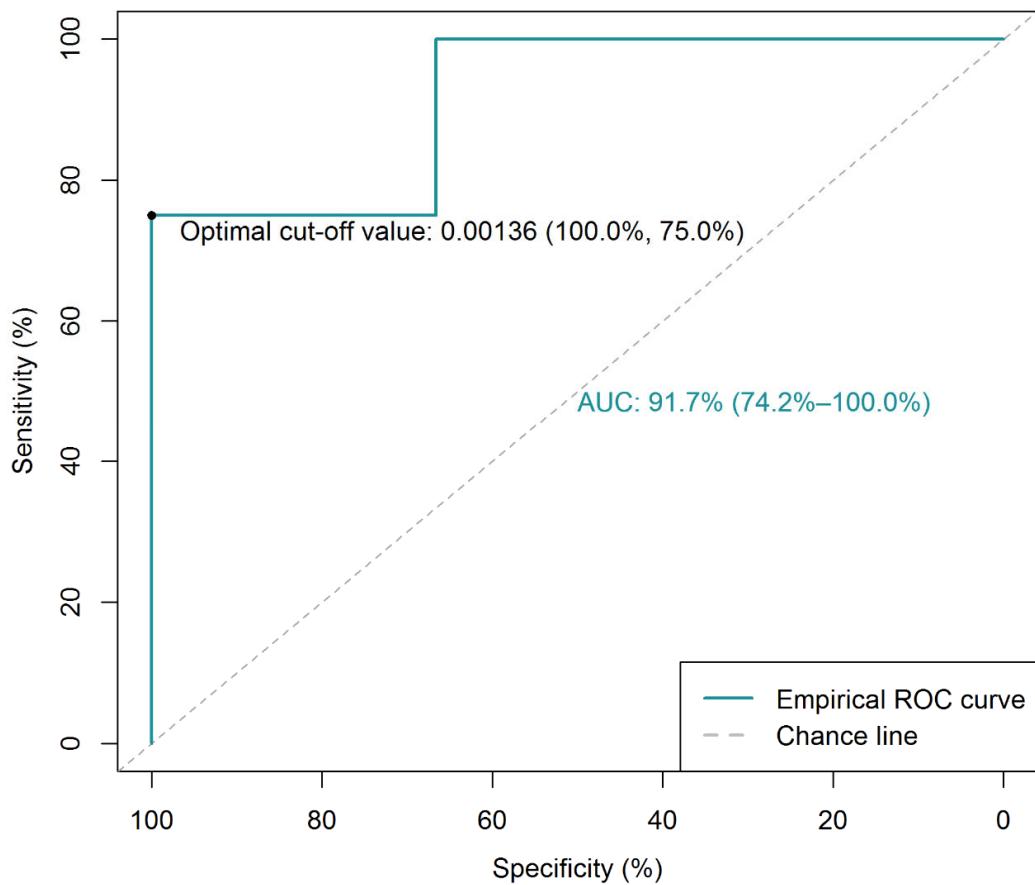
**Figure S3.** Measured concentrations and simulated typical and individual model predictions of different species after CART-cell infusion for patients in the low expansion subpopulation before and after implementation of the mixture model. *Data points:* measured concentrations. *Dashed lines:* simulated typical model predictions. *Solid lines:* individual model predictions. Panels (A)-(D) show individual plots using the original model and panels (E)-(H) show individual plots using the mixture model. Abbreviations: T<sub>N</sub>: Naïve T cells, T<sub>CM</sub>: central memory T cells, T<sub>EM</sub>: effector memory T cells, T<sub>Eff</sub>: Effector T cells, CD19<sup>+</sup> tumour: CD19<sup>+</sup> metabolic tumour volume.



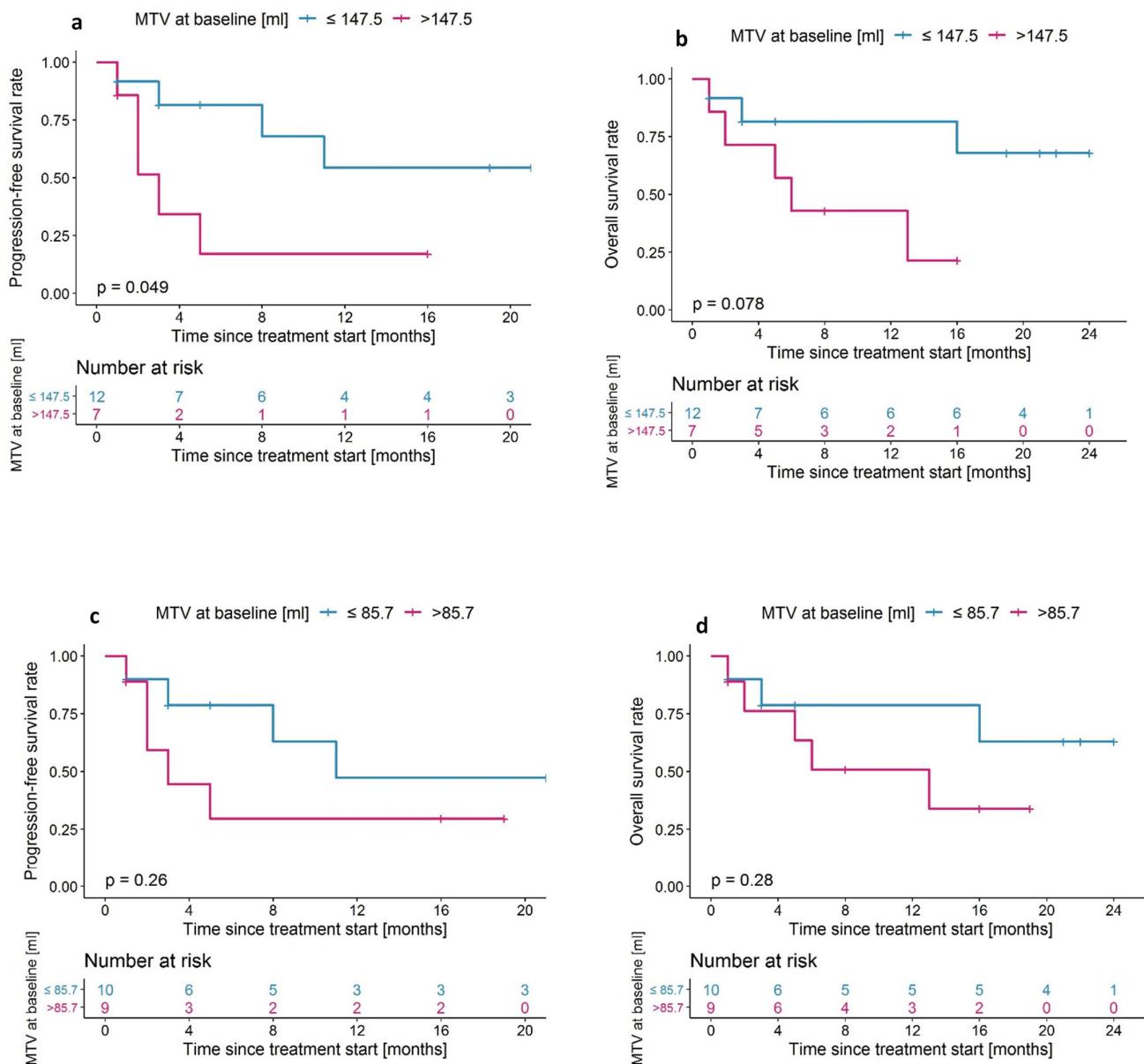
**Figure S4.** Estimated maximum expansion capacity upon tumor contact parameter Vmax1 versus the CD4/CD8 CAR-T cell ratio at day seven. Circles: no previous autologous stem cell transplantation, plus signs: previous autologous stem cell transplantation.



**Figure S5.** Simulated typical CAR-T cell concentration-time profiles using different initial CAR-T cell concentrations (0.1 cells  $\mu$ L $^{-1}$  as used in our model (light blue) and ten-fold lower (dark blue) or ten-fold higher (purple) and a baseline metabolic tumour volume of 85.7 mL (median baseline metabolic tumour volume in our dataset) (assuming reference covariate values of no previous autologous stem cell transplantation and a CD4/CD8 CAR-T cell ratio of 1).

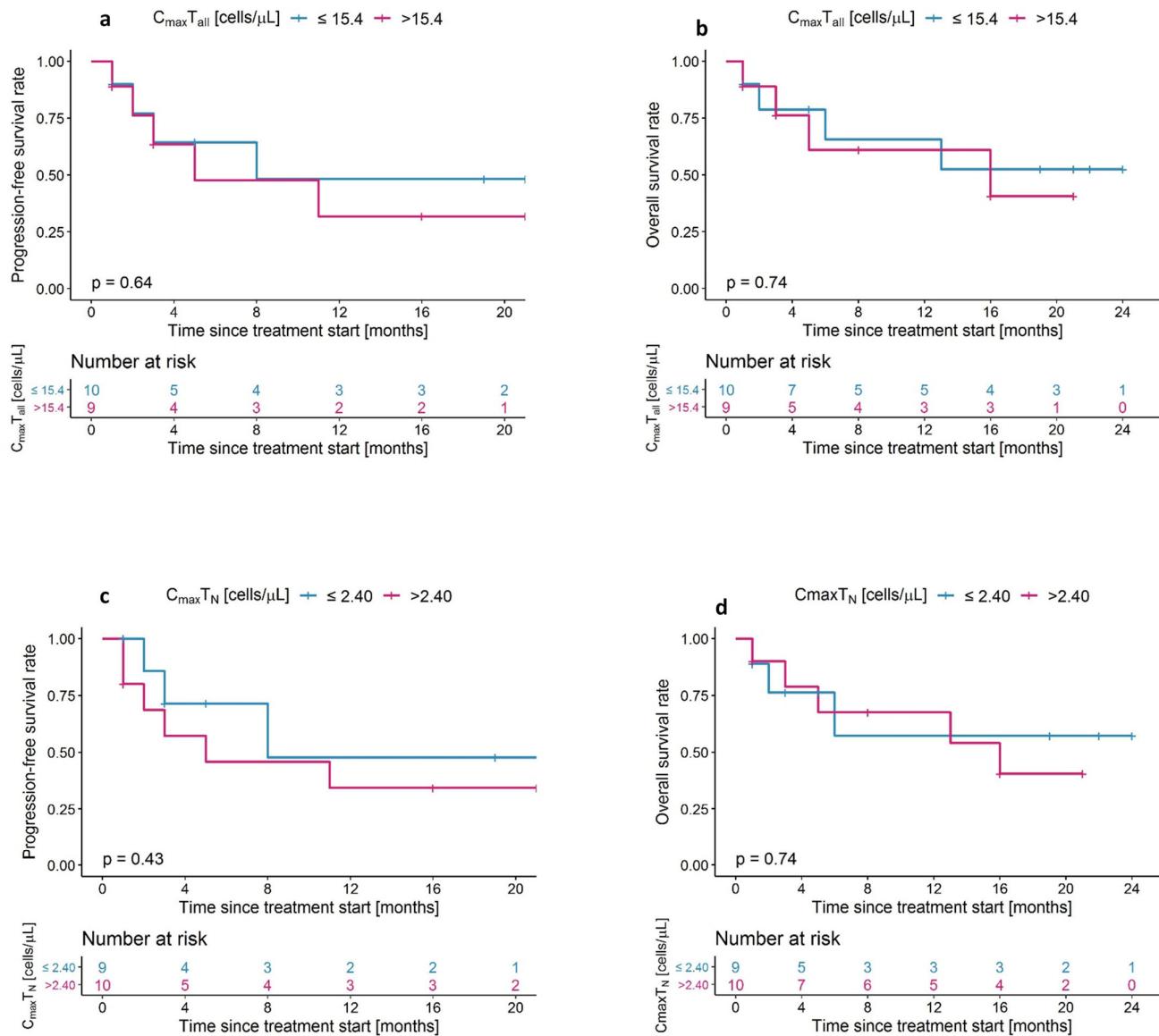


**Figure S6.** Receiver operating characteristic (ROC) curve for deriving an optimal cut-off value of the clinical composite score (CCS) Maximum CAR-T<sub>N</sub> cell concentrations ( $C_{\max}$ )/Baseline metabolic tumour volume [(cells ·  $\mu\text{L}^{-1}$ ) ·  $\text{mL}^{-1}$ ] to determine if patients belong to low expansion subpopulation. The optimal cut-off value marks the value with optimal predictive capability (0.0143 [(cells ·  $\mu\text{L}^{-1}$ ) ·  $\text{mL}^{-1}$ ]; with 75% sensitivity, 100% specificity and an area under the curve of 91.7% (95% confidence interval: 74.2%-100%).

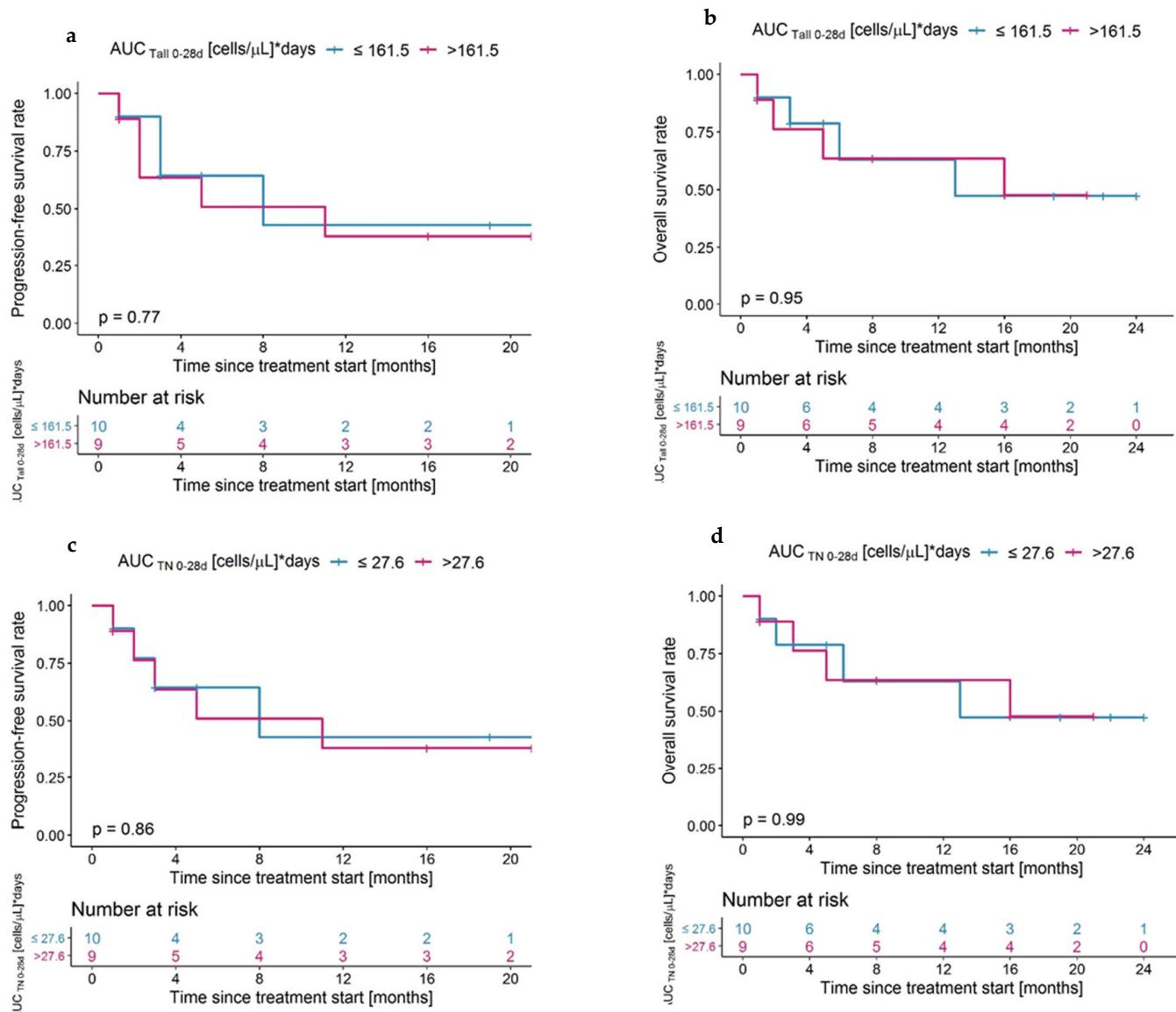


**Figure S7.** Kaplan-Meier plots for and progression-free survival and overall survival in patients with high and low metabolic tumor volume in mL at baseline. In plots (a) and (b), the cut-off value of 147.5 mL has been used while in plots (c) and (d), the median baseline metabolic tumour volume in our dataset has been used as cut-off value; log-rank tests.

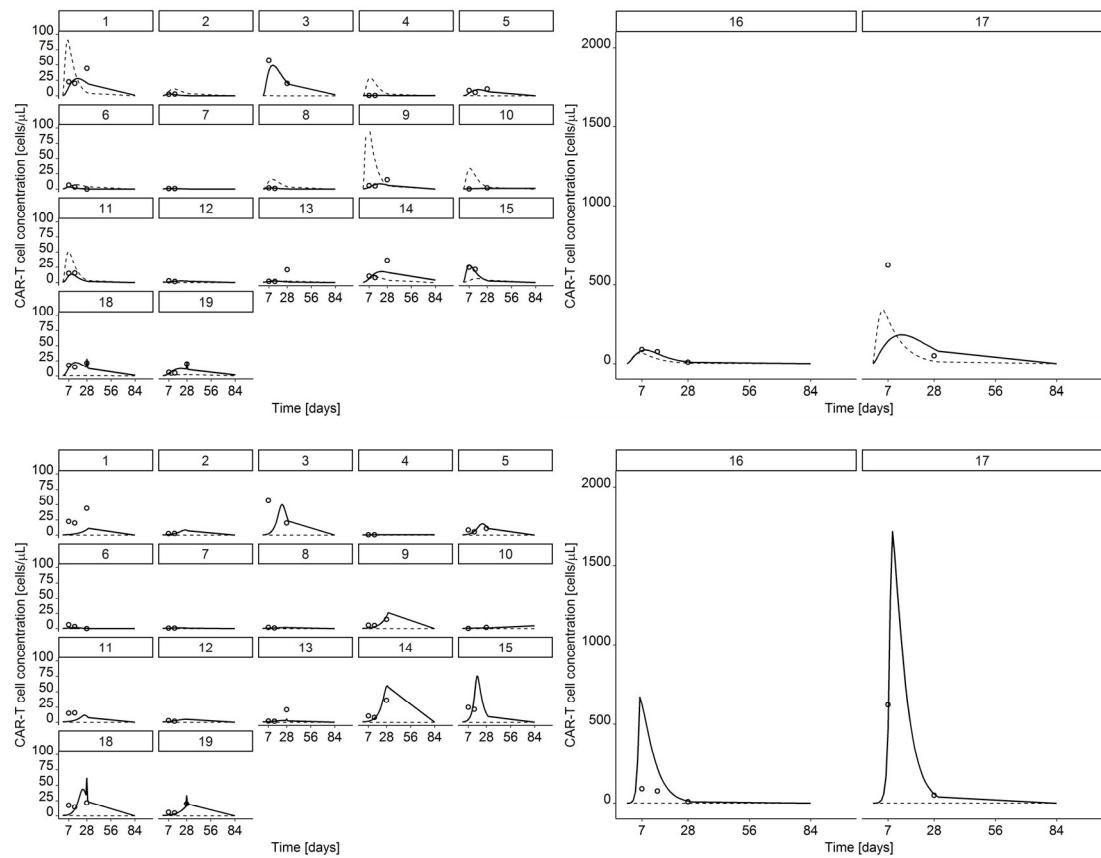
Abbreviations: MTV: metabolic tumour volume.



**Figure S8.** Kaplan-Meier plots for progression-free survival and overall survival in patients with high (above median) and low (lower or equal to median) maximum CAR-T cell concentrations. In plots (a) and (b), all CAR-T cells are assessed, while in plots (c) and (d), only naïve T cells are assessed, as the maximum concentration of naïve T cells was highest correlated with our model parameter  $V_{\max 1}$ ; log-rank tests. Abbreviations:  $C_{\max}$ : maximum concentration;  $T_{\text{all}}$ : the sum of all measured CAR-T cell phenotypes;  $T_{\text{N}}$ : naïve CAR-T cells.



**Figure S9.** Kaplan-Meier plots for progression-free survival and overall survival in patients with high (above median) and low (lower or equal to median) AUC<sub>0-28d</sub> CAR-T cell concentrations. In plots (a) and (b), all CAR-T cells are assessed, while in plots (c) and (d), only naïve T cells are assessed, as the maximum concentration of naïve T cells was highest correlated with our model parameter V<sub>max1</sub>; log-rank tests. Abbreviations: AUC<sub>0-28d</sub>: area under the concentration-time curve from day 0 to day 28; T<sub>all</sub>: the sum of all measured CAR-T cell phenotypes; T<sub>N</sub>: naïve CAR-T cells.



**Figure S10.** Measured CAR-T cell concentrations (circles) and individual (solid lines) and typical (dashed lines) model predictions using the base model (without covariates or mixture model) and using either the respective CAR-T cell population (**upper plots**) or the CD19<sup>+</sup> metabolic tumour volume (**lower plots**) in the denominator of the expansion term describing CAR-T cell expansion in response to tumour contact. The base model using the respective CAR-T cell population in the denominator of the expansion term (**upper plots**) was used for the rest of the work.

**Table S1.** Patient characteristics.

Pat. ID	Disease Type	Sex [M/F]	Age group [years]	$C_{\max}$ naïve CAR-T cells [ $\text{cells} \cdot \mu\text{L}^{-1}$ ]	Baseline metabolic tumour volume [mL]	Clinical composite score TN* [ $(\text{cells} \cdot \mu\text{L}^{-1}) \cdot \text{mL}^{-1}$ ]	Low expansion subpopulation [yes/no]	Previous ASCT [yes/no]	CD4/CD8 ratio of CAR-T cells at day seven	Progressive disease [yes/no]	PFS [months]	OS [months]	Dead [yes/no] (and reason) or lost to follow-up†
1	DLBCL	F	51-60	44.1	894	0.00542	No	No	8.94	Yes	2	8	Lost to follow-up
2	DLBCL	M	21-30	3.04	102	0.00863	No	No	0.357	No	1	1	Lost to follow-up
3	PMBCL	M	51-60	56.8	13.0	0.723	No	Yes	0.126	No	21	21	No
4	TFL	F	21-30	0.64	264	0.000870	Yes	No	4.01	No	1	1	Yes (septic shock)
5	DLBCL	F	51-60	11.0	14.2	0.169	No	Yes	1.34	No	21	21	No
6	TFL	M	31-40	6.43	70.5	0.0174	No	Yes	0.364	Yes	8	24	No
7	DLBCL	F	61-70	1.02	13.1	0.0236	No	Yes	3.56	No	22	22	No
8	PMBCL	M	71-80	2.04	142	0.00230	No	No	0.405	No	19	19	No
9	PMBCL	M	51-60	15.3	1118	0.00136	Yes	No	0.413	Yes	2	2	Yes (PD)
10	DLBCL	M	51-60	1.98	305	0.000884	Yes	No	0.549	Yes	3	6	Yes (PD)
11	DLBCL	M	61-70	15.4	479	0.00751	No	No	0.223	Yes	1	13	Yes (PD)
12	DLBCL	F	61-70	2.82	2.54	0.299	No	Yes	0.0512	No	5	5	Lost to follow-up
13	DLBCL	M	51-60	16.8	34.2	0.014	No	No	4.53	No	3	3	Lost to follow-up
14	PMBCL	M	61-70	36.2	85.7	0.0705	No	Yes	0.130	No	1	1	Lost to follow-up
15	DLBCL	M	61-70	24.7	64.1	0.164	No	No	0.0845	Yes	3	3	Yes (PD)
16	PMBCL	F	61-70	90.8	663	0.0388	No	No	0.764	Yes	5	5	Yes (PD)
17	DLBCL	M	51-60	623	3555	0.017	Yes	Yes	0.0866	No	16	16	No
18	PMBCL	M	51-60	17.3	20.0	0.224	No	No	0.182	Yes	1	1	Yes (PD)
19	DLBCL	M	51-60	15.8	32.5	0.124	No	No	0.126	Yes	11	16	Yes (PD)

**Abbreviations:** ASCT: autologous stem cell transplantation;  $C_{\max}$ : maximum concentration; DLBCL: diffuse large B cell lymphoma; F: female; M: male; OS: overall survival; PD: progressive disease; PFS: progression-free survival; Pat: patient; PMBCL: primary mediastinal B-cell lymphoma; TFL: transformed follicular lymphoma.

\*Clinical composite score<sub>TN</sub>: Maximum naïve CAR-T cell concentrations/Baseline metabolic tumour volume

†Patients who were lost to follow-up were from overseas or other US states and did not return to MD Anderson Cancer Center for further monitoring.

**Table S2.** Observed and predicted CAR-T cell kinetic parameters in the reference population and the low expansion subpopulation.

Pharmacokinetic parameter	Reference population ( <i>n</i> = 15)	Low expansion subpopulation ( <i>n</i> = 4)
<b>Observed CAR-T cell kinetic parameters in the reference population and the low-expansion subpopulation</b>		
Maximum CAR-T cell concentration ( $C_{\max}$ ) [ $\text{cells} \cdot \mu\text{L}^{-1}$ ]	Median: 15.8 Range: 1.02–90.8	Median: 8.64 Range: 0.64–623
Area under the concentration-time curve from day 0 to day 28 (AUC <sub>0-28d</sub> ) [ $(\text{cells} \cdot \mu\text{L}^{-1}) \cdot \text{day}^{-1}$ ]	Median: 162 Range: 6.48–1195	Median: 102 Range: 4.34–7068
Time at maximum CAR-T cell concentration ( $T_{\max}$ ) [day]	Median: 14 Range: 7–28	Median: 17.5 Range: 7–28
$C_{\max}/\text{Baseline metabolic tumour volume}$ [ $(\text{cells} \cdot \mu\text{L}^{-1}) \cdot \text{mL}^{-1}$ ]	Median: 0.386 Range: 0.0143–4.38	Median: 0.0101 Range: 0.00242–0.175
<b>Model-predicted CAR-T cell kinetic parameters in the reference population and the low-expansion subpopulation</b>		
Maximum CAR-T cell concentration ( $C_{\max}$ ) [ $\text{cells} \cdot \mu\text{L}^{-1}$ ]	Median: 13.8 Range: 1.05–79.9	Median: 5.231 Range: 0.619–166
Area under the concentration-time curve from day 0 to day 28 (AUC <sub>0-28d</sub> ) [ $(\text{cells} \cdot \mu\text{L}^{-1}) \cdot \text{day}^{-1}$ ]	Median: 179 Range: 11.3–1271	Median: 94.0 Range: 6.41–2765
Time at maximum CAR-T cell concentration ( $T_{\max}$ ) [day]	Median: 12 Range: 7–28	Median: 23.5 Range: 14–30
$C_{\max}/\text{Baseline metabolic tumour volume}$ [ $(\text{cells} \cdot \mu\text{L}^{-1}) \cdot \text{mL}^{-1}$ ]	Median: 0.121 Range: 0.0136–3.59	Median: 0.00594 Range: 0.00234–0.0467