

## Supplementary Materials

### Summary Overview of Plasma Carotenoids and Health Outcomes

Description: Spreadsheet summary of data from 111 studies of plasma carotenoids and health outcomes. Information includes references, study name and country, study type, % female, age range, cohort size, follow-up time, number of cases and controls (if applicable), statistical adjustments, range of carotenoids, effect size, and additional comments.

| Study, N=111                     | Study, Country  | Study Type    | % female | Age range                       | Cohort Size                  | Follow-up, years | Cases (and controls, if applicable) | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest   | Comments  |
|----------------------------------|---|---------------|----------|---------------------------------|------------------------------|------------------|-------------------------------------|---|--|--|---|
| <b>All Cause Mortality, N=12</b> |   |               |          |                                 |                              |                  |                                     |   |  |  |   |
| Akbaraly 2009                    | Epidemiology of Vascular Aging, France  | Prosp. Cohort |          | 58.6 59-70                      | 1389                         | 9                | 61 men, 32 women                    | Age, education level, marital status, smoking, alcohol, diabetes, hypertension, cardiovascular antecedents, dyslipidaemia, BMI  | Quintile cut-offs, total carotenoids, men <1.36, 1.86, 2.3, ≥2.9 μM; women <2.0, 2.60, 3.25, ≥4.04 μM  | Men: HR 2.94 (1.21, 7.17)<br>Women: No signif effect   | Sex difference. Women had higher carotenoid levels. In men no signif dif between Q2, Q3, Q4, and Q5, suggesting threshold effect.   |
| Bates 2011                       | British National Diet and Nutrition Survey, UK                                    | Prosp. Cohort |          | 65+, X <sub>SD</sub> (76.6±7.4) | 1054                         | 14               | 398 men, 320 women                  | Age, sex  | Mean±SD, total (estimated SD) Men: 1.150±0.514; Women 1.299±0.612 μM. Individual carotenoid values for mean, median, range given in text but not for total carotenoids.  | In men, HR=0.90 (0.81, 0.99) p=0.04 per SD for alpha-carotene, lutein/zeaxanthin HR=0.91 (0.83-1.00) p=0.04; for cancer mortality a-carotene HR=0.67 (0.47-0.96) p=0.03                              | HRs are only age and sex adjusted. Not significant in multivariate models. Plasma vitamin C also a factor, but not in multivariate analysis. Plasma Se, Zn, Cu, Fe were stronger factors.   |
| Buijse 2005                      | SENECA, Survey in Europe on Nutrition and the Elderly, a Concerted Action, Europe | Prosp. Cohort |          | 50 70-75                        | 1168                         | 10               | 388                                 | age, sex, BMI, cholesterol, HDL cholesterol, smoking, alcohol, physical activity, SENECA center, alpha-tocopherol   | Tertiles of carotenes, X±SD, μM: 0.22±0.12; 0.54±0.09; 1.12±0.39   | for increment of 0.39 μM carotenes, RR 0.79 (0.70, 0.89) total mortality   | cancer: RR 0.59 (0.44, 0.79) CVD: RR 0.83 (0.70, 1.00), lower risk of CVD only for those w/ BMI<25; for those w/ BMI <25 RR=0.67 (0.49, 0.94). More men with low carotenes: 70%, 47%, and 34% men in low, mid, and high tertile of carotenes. |
| DeWaart                          | Elderly Dutch, Netherlands  | Prosp. Cohort |          | 47.5 65-85                      | 638                          | 7.2              | 171 (108 men, 63 women)             | age, sex, smoking, cholesterol, BMI, alcohol, antiox suppl. Use, physical activity score, CVD, lung disease, hypertension, cancer   | 90% range. Men: 0.42 - 1.86; Women: 0.54 - 2.46  | For oxygenated carotenoids HR=1.73 (1.12, 2.67) tertiles. Beta-cryptoxanthin HR=1.52 (1.00, 2.32)  | No significant trend for total carotenoids, but signif. trend seen for sum of beta-cryptoxanthin, lutein, and zeaxanthin and for beta-cryptoxanthin by itself.  |
| Hu 2004                          | MacArthur Studies of Successful Aging, USA  | Prosp. Cohort |          | 54 74±2.7                       | 672                          | 7                | 98 men (31.9%), 54 women (14.8%)    | age, race, CRP, IL-6, tota Chol, HDL-chol, BMI, waist-hip ratio, coronary artery disease, hypertension, diabetes, stroke, cancer, smoking, alcohol, vit-A or beta-carotene suppl. | Men: mean beta-carotene 0.20±0.20 μM; Women: 0.30±0.37 μM  | Men: beta-carotene RR=2.30 (1.23, 4.31)<br>Women: RR=0.85 (0.42, 1.75)   | Both low beta-carotene and high inflammation (CRP, IL-6) RR=3.78 (1.69, 8.47) for men only. Mortality about same in bottom 2 quartiles, and for the top 2 quartiles, so these were combined.  |
| Ito 2002                         | rural Hokkaido, Japan   | Prosp. Cohort |          | 61.1 elderly                    | 2,444 (994 men, 1,495 women) |                  | 146 (94 men, 52 women)              | Age, sex for reported HRs. When also adjusted for smoking, alcohol, total chol, glutmic pyruvic transaminase activity results similar.  | Geometric means only, for controls. A-carotene: M 0.088, W 0.138 μM<br>B-carotene: men 0.457, W 1.039 μM<br>b-crypto: M 0.214, W 0.403<br>lut/zea: M 1.023, W 1.266<br>lycopene: M 0.213, W 0.311<br>Total carotenoids: M 2.210, W 3.435 μM                                | all-cause mortality HRs: lycopene: 0.44 (0.28, 0.69); beta-carotene: 0.59 (0.39, 0.90) zeaxanthin/lutein: 0.61 (0.40, 0.93); total carotenoids: 0.50 (0.33, 0.76)                                    | Cancer mortality: Lycopene: 0.36 (0.19, 0.69) beta-carotene: 0.53 (0.29, 0.95) zeaxanthin/lutein: 0.73 (0.43, 1.25) total carotenoids: 0.52 (0.30, 0.92)  |
| Lauretani 2008                   | InCHIANTI, Italy (Aging in the Chianti area)                                      | Prosp. Cohort |          | 56.7 65+                        | 1,043                        | 8                | 310 (29.7%)                         | Age, education, smoking, BMI, energy intake, chronic diseases   | tertile cut-off: 1.466, 1.971 μM mean level 1.80±0.69 μM (±2 SD = 0.42 - 3.18 μM)  | HR, 0.81 (0.65, 0.99)  | When IL-6 included as a covariate, HR=0.73 (0.52, 0.98). Age, sex, lower education, higher BMI, lower total energy, smoking, congestive heart failure, stroke all significant risk factors for mortality. Not a threshold effect here.        |
| Li 2010                          | NHANES III follow-up study, USA   | Prosp. Cohort |          | 52.12 20+                       | 15,318                       | 13.9             | 3,810                               | Age, sex, race/ethnicity, alcohol, physical activity, BMI, HDL-chol, non-HDL-chol, education level, smoking   | alpha-carotene: 0 - >9 μg/dL (0 - >0.168 μM) mean was 0.089±0.0017 μM for total cohort. For men = 0.0786±.0019; for women = 0.0989±0.0020 μM<br>beta-carotene levels were from ~0.15 - 0.85 μM<br>From Ford 2003 total carotenoids quartile cutoffs: 0.8979 1.309 1.649 μM | Compared to lowest quintile, All cause RR=0.61 (0.51-0.73); CVD RR=0.71 (0.55-0.91); Cancer RR=0.57 (0.34-0.96); Diabetes RR=0.33 (0.13-0.82); Chronic lower respiratory disease RR=0.14 (0.06-0.36) | The 9 μg/dl level is 0.168 μM. This is a terribly low number.   |

## Supplementary Materials

| Study, N=111                                 | Study, Country   | Study Type    | % female | Age range    | Cohort Size   | Follow-up, years | Cases (and controls, if applicable) | Adjustments  | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments   |
|--|--|---------------|----------|--------------|---------------|------------------|-------------------------------------|--|---|--|--|
| Mayne 2004                                   | Carotene Prevention Trial, A Chemoprevention trial, USA, Yale & U of Miami | part of RCT   | 18       | ~62 avg      | 259           | 7.5              | 61                                  | Age, sex, total chol, smoking, treatment arm, study site   | Mean $\pm$ SD<br>beta-carotene = 0.335 $\pm$ 0.39 $\mu$ M<br>alpha-carotene = 0.06 $\pm$ 0.056 $\mu$ M<br>lutein/zeaxanthin = 0.26~0.29 $\pm$ 0.14 $\mu$ M<br>total carotenoids, surv 1.33 $\pm$ 0.72, died 1.15 $\pm$ 0.69 $\mu$ M total carotenoids wt avg 1.29 $\pm$ 0.71 $\mu$ M<br>lycopene, surv. = 0.63 $\pm$ 0.39 $\mu$ M<br>lycopene, died = 0.50 $\pm$ 0.34 $\mu$ M<br>mean lycopene = 0.52 $\mu$ M | Whole group lycopene above vs. below median conc. HR=0.53 (0.30-0.930; for CV death alpha-carotene HR=0.24 (0.08-0.75) Non-smokers only alpha-carotene HR=0.25 (0.09-0.73); lycopene HR=0.08 (0.02-0.36); total carotenoids HR=.22 (0.07-0.70) | Among non-smokers there are some very significant trends. Half of smokers dead and half of non-smokers alive   |
| Ray 2006                                     | Women's Health and Aging Study I and II, Baltimore, MD, USA                | Prosp. Cohort | 100      | 70-79        | 632           | 5                | 14.1% (89 cases)                    | Age, education, smoking, BMI, poor appetite, diabetes, CKD, renal disease  | total carotenoids (median, min, max) = 1.67 (0.13, 9.10) $\mu$ M<br>cut-offs for quartiles = <1.038, 1.452, >1.995 $\mu$ M  | HR=0.77 (0.64-0.84 / 1 SD increase in loge total carotenoids)  | Selenium also found to be protective in this cohort. One of first studies to find protective effects specifically in women.  |
| Sahyoun 1996                                 | Nutrition Status Survey, Mass., USA  | Prosp. Cohort | 65       | 60+          | 725           | 9-12 y           | 70 men, 127 women                   | Age, sex, cholesterol, Trends not signif. After adjusting for existing disease, disabilities affecting shopping, and plasma vit C and E.   | Men (mean $\pm$ SD) 2.39 $\pm$ 0.91 $\mu$ M<br>Women 2.53 $\pm$ 0.97 $\mu$ M<br>20th percentile 1.70; 80th 3.08 $\mu$ M   | Compare to lowest quintile, RR=0.67 (0.46-0.98) middle quintile, RR=0.56 (0.32-0.97) for highest quintile, when adjusted for age, sex, cholesterol   | Vitamin C levels were the main reported effector. Carotenoids not independent from vitamin C, which makes sense.   |
| Shardell 2011                                | NHANES III follow-up study, USA  | Prosp. Cohort | 52       | $\geq$ 20    | 13293         | 14.3 y           | 2,933 (1,264 CVD, 645 cancer)       | Age, sex, race/ethnicity, marital status, education, alcohol, smoking, multivitamin use, physical activity, BMI, BP, total cholesterol, HDL-cholesterol, CRP, use of BP lowering medication, use of cholesterol-lowering medication, congestive heart failure, cancer, diabetes, emphysema, stroke | Total carotenoid quartile cutoffs 1.01, 1.33, 1.75 $\mu$ M, individual carotenoid quartiles given in text.  | RR=1.38 (1.15-1.65) p=0.005 for total carotenoid, low vs high  | a-carotene also signif. related to all cause mortality and CVD death. No levels of carotenoids protective from cancer death. Seems to be a threshold effect in this study, too, with only the lowest quartile having significant higher mortality rates compared to the other 3 quartiles. |
| <b>Disability / Frailty / Cognition, N=7</b> |  |               |          |              |               |                  |                                     |  |   |  |  |
| Akbaraly 2007                                | Epidemiology of Vascular Aging, France                                     | Cross-Section | 61.3     | 68-79        | 589           | 0                | NA                                  | Age, sex, education, smoking, alcohol, medicine use, BMI, diabetes, hypertension   | 25, 50, 75th percentiles, $\mu$ M<br>lutein: 0.176, 0.235, 0.335<br>zeaxanthin: 0.02, 0.029, 0.042<br>lycopene: 0.160, 0.257, 0.403<br>a-carotene: 0.098, 0.154, 0.245<br>trans-b-carotene: 0.374, 0.607, 0.953<br>total : 1.16, 1.65, $\geq$ 2.35 $\mu$ M  | Lower functioning had higher probability of lower levels of lycopene and zeaxanthin. Zeaxanthin OR are DSS=1.97 (1.21-3.20), FTT=1.70 (1.05-2.74), WFT=1.82 (1.08-3.07) lycopene OR DSS=1.93 (1.20-3.12), TMTB=1.64 (1.04-2.59)                | plasma carotenoids highly and significantly correlated Diabetes assoc. with low levels of carotenoids, hypertension was assoc. with low level of lutein, a-carotene, trans- and cis-B-carotene   |
| Alipanah 2009                                |  | Prospective   | 100      | 65+          | 687           | 3                |                                     | Age, BMI, chronic disease  | Mean 2.08 $\pm$ 0.95 $\mu$ M  |  | mean total serum carotenoids assoc with mean walking speed (p=0.0003) and rate of change of walking speed (p=0.007).   |
| Hu 2006                                      | MacArthur Studies of Successful Aging, USA                                 | Prosp. Cohort | 59.3     | 74 $\pm$ 2.7 | 455 survivors | 7                |                                     | Age, sex, race, education, BMI, baseline SPMSQ score, education, income, smoking status, alcohol, CRP, IL-6, total chol, HDL-chol  | mean b-carotene = 0.27 $\pm$ 0.31 $\mu$ M   | OR for mental decline (SPMSQ scores)<br>APOE 4 positive: 0.11 (0.02-0.57)<br>APOE4 negative: 0.89 (0.54-1.47)  |  |
| Michelson 2006                               | Women's Health and Aging Study I and II, Baltimore, MD, USA                | Cross-Section | 100      | 70-79        | 754           | 5                |                                     | Age, sociodemographic status, smoking, BMI   | Mean total carotenoids for nonfrail, pre-frail, and frail women were 1.842, 1.593, and 1.376 $\mu$ M  | OR (from 1.82 to 2.45) for frailty were higher for women with micronutrient conc. In lowest quartile compared to top 3 quartiles   | Frail women tend to have at least 2 micronutrient deficiencies. Associations strongest for b-carotene, luteinzeaxanthin, and total carotenoids.  |

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|---|---|---------------|----------|-----------|-------------|------------------|--|--|--|--|---|
| Semba 2003  | Women's Health and Aging Studies, Baltimore, MD, USA                              | Cross-Section | 100      | 70-79     | 696         |                  |  | Age, race, smoking, CVD, arthritis, IL-6   | close to rest of this Woman's Health and Aging study.  | highest compared to lowest, total carotenoids grip strength OR=0.34 (0.20-0.59), hip strength OR=0.28 (0.16-0.48), knee strength OR=0.45 (0.27-0.75) |   |
| Semba 2006  | Women's Health and Aging Study I, Baltimore, MD, USA                              | Prosp. Cohort | 100      | 65+       | 766         |                  | 31.9% (205 of 463 w/ at least one follow-up visit) | Age, smoking, chronic pulmonary disease, others?   | close to rest of this Woman's Health and Aging study.  | lowest compared to highest quartile total carotenoid HR=1.54 (1.11-2.13)   |   |
| Yang 2008   | USA   | Cross-Section | 100      | 62.7±8.8  | 59          |                  |  | Serum retinol, supplement usage, milk, yogurt, fruit, and vegetable intake, BMI  | 5-95th percentile Lutein (0.18 - 1.25)<br>b-cryptoxanthin (0.08 - 0.87)<br>lycopene (0.47 - 3.98)<br>a-carotene (0.07 - 0.79)<br>b-carotene (0.20 - 4.20)<br>Total mean = 4.22±1.73 µM (1.0-11.09)   | Lycopene and b-cryptoxanthin lower in osteoporosis group, T-test used.   | Mean serum lycopene, osteo group 1.22±1.21 µM, median 0.93 µM and control group 1.97±1.01 µM, median 1.83 µM. Mean serum b-cryptoxanthin osteo group = 0.27±0.18 µM, median 0.24 µM, and control group [0.43±0.36 µM, median 0.34 µM<br>Overall carotenoid concentrations higher than in Framingham study or in NHANES III cohorts. |
| <b>Cardiovascular / Carotid media intima / hypertension, N=11</b> |   |               |          |           |             |                  |  |  |  |  |   |
| Buijse 2005   | SENECA, Survey in Europe on Nutrition and the Elderly, a Concerted Action, Europe | Prosp. Cohort | 50       | 70-75     | 1168        | 10               | 388  | age, sex, BMI, cholesterol, HDL cholesterol, smoking, alcohol, physical activity, SENECA center, alpha-tocopherol  | Tertiles of carotenenes, X±SD, µM: 0.22±0.12; 0.54±0.09; 1.12±0.39   | for increment of 0.39 µM carotenenes, RR 0.79 (0.70, 0.89) total mortality   | cancer: RR 0.59 (0.44, 0.79) CVD: RR 0.83 (0.70, 1.00), lower risk of CVD only for those w/ BMI<25; for those w/ BMI <25 RR=0.67 (0.49, 0.94). More men with low carotenenes: 70%, 47%, and 34% men in low, mid, and high tertile of carotenenes.   |
| D'Odoric 200  | Bruneck study, Italy  | Prosp. Cohort | 47.7     | 45-65     | 392         | 5                | all  | Age, sex, LDL, ferritin, systolic BP, smoking, alcohol, social status, CRP   | Varies by Age Category, approximates<br>Lutein: M ~0.34, W ~0.37 µM<br>Zeaxanthin: M ~0.10, W ~0.10 µM<br>Cryptoxanthin: M ~0.23, W ~0.36 µM<br>Lycopene: M ~0.55, W ~0.65 µM<br>a-carotene: M ~0.11, W ~0.15 µM<br>b-carotene: M ~0.56, W ~1.05 µM<br>Total: M 1.98±0.81, W 2.78±1.32 µM (adjusted) | OR of atherosclerosis for Q5 (>1.30 µM a+b-carotene) vs Q1 (<0.40) = 0.2 (~0.05 - 0.55)  | a-carotene and b-carotene inversely assoc. with prevalence of atherosclerotic lesions and 5-year incidence of atherosclerotic lesions in carotid arteries. See graph next to this. Only top quintile signif. different from bottom quartile.  |
| Dwyer 2001  | Los Angeles Atherosclerosis Study   | Prosp. Cohort | 47       | 40-60     | 480         | 1.5              | all  | Age, sex, ethnicity, time between exams, smoking, systolic BP, total chol, HDL chol, plasma b-carotene, BMI, alcohol, hours since last meal at blood draw, history of diabetes, current meds for diabetes or hypertension, interaction between lutein and meds | Mean±SD Lutein: 0.28±0.12 µM<br>b-carotene 0.74±0.80 µM<br>Range of lutein quintiles: Women, 0.070-0.182, 0.240, 0.296, 0.367-0.805; Men: 0.019-0.180, 0.230, 0.280, 0.350-0.790 µM  | IMT declined for highest vs lowest quintile lutein 0.004±0.005mm high, 0.021±0.005mm low (±SEM)  |   |

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| Study, N=111 | Study, Country   | Study Type          | % female | Age range         | Cohort Size | Follow-up, years | Cases (and controls, if applicable)                    | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|--------------|--|---------------------|----------|-------------------|-------------|------------------|--|---|---|--|---|
| Dwyer 2004   | Los Angeles Atherosclerosis Study                              | Prosp. Cohort       | 46       | 40-60             | 573         | 1.5              | all  | Age, sex, BMI, smoking, total chol, HDL-C, systolic BP, current meds for hypertension and elevated cholesterol, history of diabetes, ethnicity, alcohol, height, CRP, time between exams            | Antioxidant quintile ranges (μmol/L): lutein: 0.02 to 0.18, 0.18 to 0.23, 0.24 to 0.29, 0.29 to 0.36, 0.36 to 0.81; zeaxanthin: 0.01 to 0.04, 0.04 to 0.05, 0.05 to 0.06, 0.06 to 0.08, 0.09 to 0.28; b-cryptoxanthin: 0.01 to 0.04, 0.05 to 0.06, 0.06 to 0.08, 0.08 to 0.12, 0.12 to 0.60; a-carotene: 0.01 to 0.07, 0.07 to 0.11, 0.11 to 0.15, 0.16 to 0.27, 0.27 to 1.06; b-carotene: 0.02 to 0.24, 0.24 to 0.42, 0.42 to 0.64, 0.64 to 1.04, 1.05 to 8.00; and lycopene: 0.03 to 0.31, 0.31 to 0.47, 0.47 to 0.67, 0.67 to 1.02, 1.03 to 6.47 | higher plasma levels of lutein (P=0.017), zeaxanthin (P=0.0004), b-cryptoxanthin (P=0.015), and a-carotene (P=0.003) were associated with reduced progression of carotid IMT. On average, for every 1 μmol/L increase of plasma lutein, zeaxanthin, b-cryptoxanthin, or a-carotene, IMT progression was reduced by 3.2, 4.7, 3.4, and 4.2 μm/18 months, respectively.  |   |
| Hak 2003     | Physicians' Health Study, USA                                  | nested case-control | 0        | 40-84<br>58±8.5   | 1,062       | 13               | 531 (531)  | Age, smoking, BMI, total chol, HDL-C, history of hypertension, diabetes, parental MI before age 60, freq of vigorous exercise, alcohol, multivitamin, assignment to aspirin, b-carotene, or placebo | Median of quintiles (lowest and highest)<br>a-carotene: 0.057-0.198 μM<br>b-carotene: 0.207-0.888 μM<br>b-cryptoxanthin: 0.046-0.233 μM<br>lutein: 0.192-0.517 μM<br>lycopene: 0.405-1.078 μM   | No protective effect seen, even in model only adjusted for age and smoking.  | 14.7% smokers, 40.9% former smokers, 44.4% never smoked in cases and controls   |
| Hozawa 2009  | CARDIA (Coronary Artery Risk Development in Young Adults), USA | Prosp. Cohort       | 54.9     | 18-30<br>24.8±3.6 | 4,412       | 20               | all  | Age, sex, race, center, education, yr0 alcohol, total chol, HDL-C, triglycerides, energy intake, BMI, smoking, physical activity, vitamin suppl., yr0 systolic BP                                   | Sum of 4 carotenoids across quartiles:<br>Q1: 0.010 - 0.053 μM<br>Q2: 0.053 - 0.074 μM<br>Q3: 0.074 - 0.1015 μM<br>Q4: 0.1015 - 0.579 μM<br>Lycopene: ~ 0.055 (yr0) to 0.079 (yr15)   | Fully adjusted Q4 vs Q1 RH=0.86 (0.70-1.06). W/o yr0 BP, RH=0.78 (0.63-0.96) RH for hypertension, time dependent model, for 1 SD increase in sum of 4 carotenoids RH=0.83 (0.76-0.90), model 2 w/o yr1 BP  | Amounts of carotenoids are all low in this cohort. Top of Q4 is 0.58 μM, which is still in the dangerous range. All of these numbers are 10-fold lower than normal and 10-fold lower than numbers in the Hozawa 2006 report. Adjusted to be equivalent in the published article.  |
| Hozawa 2007  | CARDIA (Coronary Artery Risk Development in Young Adults), USA | Prosp. Cohort       | 55       | 18-30<br>24.8±3.6 | 4580        | 15               | all  | Age, sex, race, center, education, energy intake, alcohol, BMI, physical activity, LDL-C, systolic BP, use of vitamin suppl. (A, C, or E), smoking, HDL-C, triglycerides                            | Range of sum of 4 carotenoids: 0.010 - 0.0513, 0.0513-0.0716, 0.0716-0.0979, 0.0979-0.5749 μM<br>Lycopene range from 0.0012 to 0.1779 μM  | No RR given, just correlations. The year 0 sum of 4 carotenoids was inversely associated (all P <0.05) with year 0 leukocyte count (slope per sum carotenoid SD, -0.17); year 7 fibrinogen (slope, -0.10); year 7 and year 15 C-reactive protein (slope, -0.12 and -0.09); and year 15 F2-isoprostanes (slope, -13.0), soluble P-selectin (slope, -0.48), and soluble intercellular adhesion molecule-1 (sICAM1; slope, -5.1). | Leukocyte counts and sICAM1 and F2-isoprostane concentrations had stronger associations in smokers than in nonsmokers, and sICAM1 concentrations were higher in the highest carotenoid quartile in smokers than in the lowest carotenoid quartile in nonsmokers. Superoxide dismutase was positively associated with the sum of 4 carotenoids (slope, 0.12; P <0.01). Lycopene was inversely associated only with sICAM1. The year 7 carotenoid associations with these markers were mostly similar to those at year 0. |
| Ito 2006a    | Hokkaido, Japan  | Prosp. Cohort       | 61.1     | 39-80             | 3061        | 11.9             | 80 (49 M, 31 W), 40 from heart disease, 37 from stroke | Age, sex, BMI, total chol, smoking, alcohol, systolic BP, triglyceride, ALT activity  | For those alive at end of study, other values slightly lower<br>a-carotene: M: 0.106, W: 0.166 μM<br>b-carotene: M: 0.425, W: 0.969 μM<br>lycopene: M: 0.258, W: 0.371 μM<br>total carotene: M: 0.848, W: 1.587 μM  | Cardiovascular disease, all: a-carotene HR=0.55 (0.38-0.79) b-carotene HR=0.64 (0.47-0.89)<br>Heart disease: a-carotene HR=0.51 (0.30-0.86), b-carotene HR=0.84 (0.53-1.34)<br>Stroke: a-carotene HR=0.52 90.31-0.88), b-carotene HR=0.48 (0.31-0.76)  | Only a-carotene and b-carotene are related strongly with reduced risk. Lycopene attenuates the total carotene score towards a null finding.   |

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| Study, N=111            | Study, Country  | Study Type                      | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)  | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|-------------------------|---|---------------------------------|----------|-----------|-------------|------------------|--|---|---|--|---|
| Ito 2006b               | Hokkaido, Japan   | Prosp. Cohort                   | 61.3     | 39-85     | 3254        | 11.7             | 140 (86 M, 54 W) cancer deaths, (41 lung, 17 stomach, 16 colorectal, 12 liver) 89 cardiovascular disease (45 heart, 37 stroke)                     | Age, sex, smoking, alcohol, total chol, triglycerides, ALT activity (cancer) For CVD death BMI, systolic BP added   | Geometric mean values for those alive a-carotene: 0.121 μM b-carotene 0.727 μM; lycopene 0.289 μM; b-cryptoxanthin 0.327 μM; zeaxanthin/lutein 1.064 μM, Total Carotenoids 2.821 μM   | <b>All Cause Mortality:</b><br>a-carotene HR=0.79 (0.65-0.95), b-carotene HR=0.81 (0.69-0.95), lycopene HR=0.80 (0.69-0.93), b-cryptxanthin HR=.84 (0.71-0.99); zeaxanthin/lutein HR=0.78 (0.63-0.95); Total carotenoids HR=0.69 (0.55-0.87)<br><b>Cancer Mortality:</b><br>All sites a-carotene HR=0.71 (0.54-0.94), b-carotene HR=0.76 (0.60-0.96), lycopene HR=0.72 (0.57-0.90), zeaxanthin/lutein HR=0.67 (0.49-0.91), total carotenoids HR=0.61 (0.43-0.86). Individual signif corr for b-carotene and colorectal and liver, lycopene for colorectal, total carotenoids for colorectal cancer.<br><b>Cardiovascular Mortality:</b><br>a-carotene HR=0.71 (0.49-1.04), b-carotene HR=0.71 (0.51-0.99), total carotenoid HR=0.62 (0.38-1.01)P=0.054. Stroke, total carotenoid HR=0.40 (0.24-1.05)P=.067 | Total carotenoids signif lower, alive compared to dead, for all cause mortality, all cancer sites, lung, stomach, and liver, but not colorectal. And signif. Lower in stroke death. |
| Rissanen 2003           | Kuopio Ischaemic Heart Disease Risk Factor Study, eastern Finland | Cross-section, population based | 0        | 46-64     | 1028        | all              | age, ultrasound observer, examination years, systolic BP, HDL-C, LDL-C, smoking, triacylglycerols, BMI, serum folate, b-carotene, alpha-tocopherol | Lycopene quartiles: <0.04, 0.04-0.13, 0.14-0.22, >0.22 μM; range from below detectable limit to 1.02 μM. Mean wal 0.15±0.14 μM.   | Significant inverse association between serum lycopene and mean and maximal IMT, P=0.005 and P=0.001, respect.  |  |   |
| Yanagisw a 2009         | Japan   | Cross-section                   | 68       | 39-70     | 625         | 0                | all  |   | TT genotype of IL-1beta C-31T OR=1.82 (1.09-3.06) for hypertension. Low b-carotene and TT genotype OR, males=5.03 (1.34-21.58), women OR=2.47 (1.04-6.00). Male with CC genotype (negative for risk) with high b-carotene OR=0.25 (0.06-0.95) for hypertension. | gene polymorphism and beta-carotene interaction  |   |
| Metabolic Syndrome, N=6 |   |                                 |          |           |             |                  |  |   |   |  |   |
| Beydoun                 | NHANES 2001-2006, USA   | Cross-section, population based | 51       | 20-85     | 3008 - 9009 | 0                | all  | Age, sex, race/ethnicity, marital status, education level, PIR (poverty income ratio), smoking, physical activity, dietary intakes (total energy, alcohol, caffeine, b-carotene, vit C, vit E, diet suppl. Use), serum levels of folate, tHcy, vit B-12 25(OH)D, total chol., triglycerides | Total carotenoids quartiles, n=1574: 0.057-0.863, 0.863-1.183, 1.183-1.622, 1.62-10.114 μM  | n=3008, total carotenoids, <b>per 1-SD increase (0.73μM), OR of MetS+ = 0.67 (0.58, 0.77)</b> . About same for men and women. n=4218, <b>Total Carotenoids</b> OR, abdominal obesity = 0.58 (0.51, 0.65); Hypertriglyceridemia OR= 0.39 (0.34-0.45); Low HDL-C OR=0.65 (0.55-0.77); high BP OR=0.78 (0.68-0.90); hyperglycemia OR=0.80 (0.70-0.92); Elevatie HOMA-IR OR=0.62 (0.55-0.70); elevated CRP OR=0.35 (0.22-0.55); hyperuricemia OR=0.58 (0.46-0.74)  | 32% of men and 29.5% of women had metabolic syndrome in this sample.<br><br>Shows that an increase of just 0.73 μM has a significant impact on health.                              |

## Supplementary Materials

| Study, N=111                               | Study, Country  | Study Type                      | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable) | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments   |
|--|---|---------------------------------|----------|-----------|-------------|------------------|-------------------------------------|---|---|--|--|
| Coyne 2009                                 | Australian Diabetes, Obesity and Lifestyle Study, Australia | Cross-section                   | 57.9     | 25+       | 1523        | 0                | all                                 | Age, sex, education, BMI, alcohol, smoking, physical activity, vitamin/mineral use  | Adj. geometric means w/ and w/o MetS, $\mu$ M<br>a-carotene: 0.10 (0.07-0.15); 0.13 (0.10-0.18)<br>b-carotene: 0.45 (0.33-0.62); 0.59 (0.47-0.73)<br>b-cryptoxanthin: 0.20 (0.16-0.24); 0.21 (0.18-0.25)<br>lutein/zeaxanthin: 0.41 (0.36-0.46); 0.41 (0.34-0.50)<br>lycopene: 0.40 (0.34-0.47); 0.43 (0.39-0.49)<br>total carotenoids: 1.82 (1.62-2.04); 2.02 (1.76-2.33)  | OR for metabolic syndrome:<br>a-carotene Q1 vs Q4 OR=0.36 (0.21-0.63) P, trend=0.005<br>b-carotene Q1 vs Q4 OR=0.36 (0.24-0.55) P, trend=0.003<br>Total carotenoids Q1 vs Q4 OR=0.43 (0.23-0.79) P, trend=0.04<br>Also signif OR for abdominal obesity and a-carotene, b-carotene and total carotenoids, high triglycerides and a- b-carotene, high BP and a- and b-carotene and total carotenoids | Prevalence of metabolic syndrome was 24%. Relationship particularly strong for a-carotene and b-carotene |
| Czernichow 2009                            | SU.VI.MAX, France   | RCT, baseline data              | 62       | 49±6.2    | 5220        | 7.5              | 263 MetS+                           | Age, sex, education, smoking, physical activity, alcohol, triglycerides, total chol.  | b-carotene only: medians of tertiles, 0.29, 0.52, 0.91 $\mu$ M  | Compare lowest to highest tertile of b-carotene: OR=0.34 (0.21-0.53), for 2nd to lowest tertile of b-carotene: OR=0.55 (0.38-0.80), fully adjusted   | supplemental b-carotene did not prevent MetS, but baseline b-carotene did predict it quite well.         |
| Ford 2003                                  | NHANES III (1988-1994)                                      | Cross-section, population based | 50.4     | 20+       | 8808        | 0                | 2268 MetS+ (23% age adjusted rate)  | Age, sex, race/ethnicity, education, smoking, cotinine conc., physical activity, fruit and vegetable intake, vitamin or mineral use, serum lipids | MetS+ avg total carotenoids = 1.29±0.02, MetS- avg total carotenoids = 1.51±0.02 $\mu$ M<br>Avg conc. From 0 to 5 MetS criteria (0, then 5)<br>a-carotene: 0.11±0.00; 0.05±0.00<br>b-carotene: 0.47±0.02; 0.22±0.01<br>b-cryptoxanthin: 0.18±0.00; 0.15±0.00<br>lutein/zeaxanthin: 0.41±0.01; 0.34±0.02<br>lycopene: 0.44±0.01; 0.40±0.02 (not signif diff.)<br><b>Total carotenoids: 1.61±0.02; 1.17±0.04</b><br>Range of carotenoids in Cohort in other publication | No effect sizes given. Significantly lower carotenoids among subjects with MetS for all but lycopene.  |  |
| Sugiura 2008                               | Mikkabi, Shizuoka Prefecture, Japan,                        | Cross-section, population based | 66.7     | 30-70     | 1073        | 0                | 59 MetS+                            | Age, sex, alcohol, exercise habits, energy intake   | Highest range is non-smokers, MetS-, $\mu$ M all<br>lycopene: 0.29 (0.28-0.31), 0.19 (0.12-0.30)<br>a-carotene: 0.14 (0.13-0.14), 0.07 (0.05-0.09)<br>b-carotene: 0.66 (0.64-0.69), 0.20 (0.14-0.29)<br>lutein: 0.56 (0.55-0.58), 0.45 (0.35-0.57)<br>b-cryptoxanthin: 1.39 (1.31-1.48), 0.44 (0.27-0.72)<br>zeaxanthin: 0.23 (0.22-0.23), 0.22 (0.18-0.26)<br>Total carotenoids: 3.27, 1.57  | serum b-carotene, high vs low tertile OR=0.41 (0.18-0.92)<br>in current smokers middle tertile OR=0.10 (0.01-0.72) and highest tertile OR=0.06 (0.01-0.73), in curr smokers a-carotene and b-cryptoxanthin signif low OR.<br>In non-smokers highest tertile b-carotene OR=0.30 (0.10-0.89)   | Rates of MetS were 5.6 and 10.7% in non-smokers and smokers. Much lower than western populations.        |
| Suzuki 2011                                | Japan   | Cross-section, population based | 65.8     | 39-70     | 931         | 0                | Men 71 (247); Women 46 (567)        | age, sex, smoking, alcohol, serum total cholesterol   | Geometric mean (25-75 percentile) Also tertiles for men and women. Individual carotenoids summed and adjusted.<br>Men, controls: 2.728 (2.054 3.482); Met syn 2.464 (1.894 3.337) Women, controls: 4.081 (3.087 5.160) met syn 3.465 (2.669 4.355) $\mu$ M  | Highest vs lowest tertile. B-cryptoxanthin OR=0.45 (0.22-0.93) in men and OR=0.41 (0.17-0.93) in women. B-carotene OR, men=0.45 (0.21-0.95); OR, women=0.37 (0.15-0.83). No signif diff found in current male smokers. In women zeaxanthin/lutein OR=0.37 (0.16-0.84), high vs low tertile. Carotenoids decreased as number of MetS components increased.  |  |
| <b>Inflammation / Antiox Measures, N=6</b> |   |                                 |          |           |             |                  |                                     |   |   |  |  |
| Collins 1998                               | Spain   | Cross-section                   | 50       | 25-45     | 32          | 0                |                                     | Not many  | Not given   | significant negative correlation between basal conc. Of total serum carotenoids and oxidized pyrimidines, and also for lutein and b-carotene.  | suppl. b-carotene did not reduce oxidative damage measured by Comet assay                                |

## Supplementary Materials

| Study, N=111          | Study, Country  | Study Type                      | % female | Age range       | Cohort Size    | Follow-up, years | Cases (and controls, if applicable) | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest  | Comments   |
|-----------------------|---|---------------------------------|----------|-----------------|----------------|------------------|-------------------------------------|---|---|---|--|
| Ford 2003 (CRP)       | NHANES III, USA   | Cross-section, population based | ~50%     | 20+             | 14,519         | 0                |                                     | Age, sex, race/ethnicity, education, cotinine conc., BMI, leisure physical activity, aspirin use  | a-Carotene: quartile 1, ≤0.02; q2, 0.03–0.06; q3, 0.07–0.09; q4, >0.09. b-Carotene: q1, ≤0.15; q2, 0.16–0.26; q3, 0.27–0.43; q4, >0.43. b-Cryptoxanthin: q1, ≤0.07; q2, 0.08–0.11; q3, 0.12–0.18; q4, >0.18. Lutein/zeaxanthin: q1, ≤0.23; q2, 0.24–0.32; q3, 0.33–0.46; q4, >0.46. Lycopene: q1, ≤0.26; q2, 0.27–0.39; q3, 0.40–0.54; q4, >0.54. | OR for high CRP, highest vs lowest quartile. a-carotene: 0.41 (0.33, 0.50), b-carotene: 0.39 (0.31, 0.48), b-cryptoxanthin: 0.50 (0.40, 0.63), lutein/zeaxanthin: 0.70 (0.54-0.89), lycopene: 0.58 (0.49-0.70)  |  |
| Ford 2005             | NHANES III, USA   | Cross-section, population based | ~50%     | 20+             | 9575           | 0                |                                     | age, sex, race or ethnicity, education, smoking status, cotinine concentration, physical activity, alcohol use, fruit and vegetable intake, vitamin or mineral use during the past 24 hours, body mass index, systolic blood pressure, and total cholesterol, triglyceride, glucose, insulin, and C-reactive protein concentrations | same as rest of NHANES III  | OR for microalbuminuria, highest vs lowest quartile. B-cryptoxanthin: OR=0.56 (0.38-0.82), lutein/zeaxanthin OR=0.59 (0.37-0.94), lycopene OR=0.64 (0.46-0.89), Total carotenoids OR=0.54 (0.38-0.75)   | Vit C, E, and selenium in this population not related to microalbuminuria.   |
| Hughes 2009           | USA, from patients of "curative" early stage head and neck cancer ) | Cross-section                   | 27       |                 | 52             |                  |                                     | smoking status, alcohol consumption, age, dietary vitamin C, plasma alpha-tocopherol, sex, BMI and education  | Median values (25th:75th quartile)<br>Plasma alpha-carotene 0.048 (0.028:0.097)<br>Plasma beta-carotene 0.23 (0.10:0.45)<br>Plasma lutein 0.16 (0.11:0.22)<br>Plasma zeaxanthin 0.049 (0.033:0.066)<br>Plasma lycopene 0.30 (0.18:0.47)<br>Plasma beta-cryptoxanthin 0.071 (0.044:0.13)<br>Total plasma carotenoids 0.92 (0.63:1.51)              | unadjusted signif diff in plasma lutein and both F2-IsoP measures. Not signif after adjustments.  | Almost a null study.   |
| Rao 2006              | Canada  | Cross-section                   | 100      | 50-60           | 33             | 0                |                                     |   |   | higher serum lycopene signif assoc with low NTx (P<0.005) and lower protein oxidation (p<0.05)  |  |
| Suzuki 2010           | Japan   | Cross-section                   | 73.5     | adults ? 39-70? | 437            | 0                |                                     | confounding factors (not listed in abstract)  |   | serum b-carotene signif assoc w/ HMW adiponectin in men and women; serum a- & b-carotene signif assoc with CRP in men; women had signif neg assoc w/ carotenoids and IL-6.  |  |
| <b>Diabetes, N=11</b> |   |                                 |          |                 |                |                  |                                     |   |   |   |  |
| Akbaraly 2008         | Epidemiology of Vascular Aging, France                              | Prosp. Cohort                   | 58.6     | 59-70           | 1035 (Table 3) | 9                | 127 dysglycemia                     | Age, sex, education, smoking, alcohol, BMI, total and HDL-C, BP, use of lipid lowering medications, history of CVD  | Q1 <1.82, Q2 1.82-2.55, Q3 2.55-3.43, Q4 ≥3.43 μM   | Q4 vs Q1 HR=0.42 (0.22-0.82) P=0.01   | Graded effect, with no low threshold as seen with all-cause mortality studies. And highest quartile is ≥3.43 μM. Important to note this. |
| Arnlov                | Uppsala Longi   | Prosp. Cohort                   | 0        | 50              | 846            | 27               | 245 diabetics                       | BMI, physical activity, smoking, HOMA insulin sensitivity index, acute insulin response, impaired fasting blood glucose   | highest tertile b-carotene >0.335 μM  | 59% lower risk of diabetes in highest tertile of serum b-carotene (>0.335 μM) HR=0.41 (0.23-0.74); in the middle tertile there was a significant diff also HR=0.54 (0.32-0.92). In continous model for 1-SD increase in serum b-carotene there was a 32% lower risk of diabetes HR = 0.68 (0.53-0.89) |  |

## Supplementary Materials

| Study, N=111    | Study, Country  | Study Type                      | % female | Age range             | Cohort Size                       | Follow-up, years | Cases (and controls, if applicable)  | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest   | Comments   |
|-----------------|---|---------------------------------|----------|-----------------------|-----------------------------------|------------------|--|---|--|--|--|
| Coyne 2005      | Australian Diabetes, Oxesity and lifestyle Study, Australia | Cross-section                   | 57.5     | ≥25                   | 1597                              | 0                | 132 diabetic, 320 impaired glucose metabolism, 1,145 normal  | Age; sex; BMI; physical activity; educational status; smoking; alcohol intake; vitamin use; total, HDL, and LDL cholesterol; triacylglycerol; and systolic and diastolic blood pressures    | Median values of quintiles. a-carotene: Q1 (0.04) Q2 (0.08) Q3 (0.13) Q4 (0.21) Q5 (0.39). B-carotene: Q1 (0.17) Q2 (0.36) Q3 (0.55) Q4 (0.83) Q5 (1.50). B-cryptoxanthin: Q1 (0.07) Q2 (0.13) Q3 (0.21) Q4 (0.33) Q5 (0.66). Lutein/zeaxanthin: Q1 (0.20) Q2 (0.32) Q3 (0.42) Q4 (0.56) Q5 (0.82). Lycopene: Q1 (0.17) Q2 (0.33) Q3 (0.46) Q4 (0.65) Q5 (0.98). | Mean 2-h postload plasma glucose and fasting insulin concentrations decreased significantly with increasing quintiles of the 5 serum carotenoids—α-carotene, β-carotene, β-cryptoxanthin, lutein/zeaxanthin, and lycopene. Fasting glucose decreased significantly with increasing quintiles of a-carotene and b-carotene. Geometric mean concentrations for all serum carotenoids decreased (all decreases were significant except that of lycopene) with declining glucose tolerance status. Sum of total carotenoids was 1.80, 1.60, and 1.41 μM for normal, impaired, and diabetic (OGT test). | Range is not as great as in Arnlov study, but results good.  |
| Czernichow 2006 | SU.VI.MAX, France   | Prosp. Cohort                   | 59.2     | W 35-59.2 60, M 45-60 | 3146                              | 7.5              |  | age, sex, BMI, smoking status, physical activity, educational level, supplementation group, and energy intake   | Geometric mean conc. Of b-carotene: W 0.59 (0.57-0.61); M 0.41 (0.39-0.43), placebo; M 0.39 (0.37-0.41)intervention group  | Baseline β-carotene dietary intakes and plasma concentrations were inversely associated with FPG in multivariate mixed models (P = 0.0045 and P < 0.0001, respectively).   | Low concentrations of b-carotene   |
| Ford 1999       | NHANES III, USA   | Cross-section, population based | 47.7     | 40-74                 | 1563 w/plasma carotenoid measures | 0                | Normal = 1,010 Impaired glucose tolerance = 277, newly diagnosed diabetic = 148, previous diagnosed diabetes = 230 | age, sex, race, education, serum cotinine, serum cholesterol, body mass index, physical activity, alcohol consumption, vitamin use, and carotene and energy intake                          | Mean levels for normal group: a-carotene 0.098, b-carotene 0.425, cryptoxanthin 0.156, lutein/zeaxanthin 0.413, lycopene 0.415 geometric means of b-carotene for normal, impaired glucose tolerance, and diabetes: 0.363, 0.36, 0.290 μM for lycopene 0.277, 0.259, 0.231 μM   | b-carotene and lycopene have signif inverse trends in relation to fasting blood glucose. All carotenoids inversely related to fasting blood insulin (as in Czernichow 2006)  |  |
| Hozawa 2006     | CARDIA, USA   | Prosp. Cohort                   | 55.1     | 18-30                 | 4493                              | 15               | 148 diabetes   | study center, race, sex, education, age, total energy intake, alcohol, BMI, physical activity, total chol, HDL-C, systolic BP, triglyceride, use of vitamin suppl. (A, C, E, or b-carotene) | lowest quartile 0.16 - 0.98 μM, Q2 0.98-1.29, Q3 1.29-1.66, Q4 1.66-5.98 μM, individual quartiles of carotenoids on separate tab   | Diabetes incidence was inversely associated with the sum of carotenoid concentrations in nonsmokers (per standard deviation (SD) increase, relative hazard = 0.74, 95% confidence interval: 0.55, 0.99) but not in current smokers. Similarly, year 15 insulin and insulin resistance values, adjusted for baseline levels, were inversely related to sum of carotenoids only in nonsmokers (per SD increase in insulin level, slope = -0.46 (p = 0.03); per SD increase in insulin resistance, slope = -0.14 (p = 0.01)).   | relationships found in non-smokers, but not in smokers relationships would have been stronger if lycopene was excluded from their calculations, using the other 4 carotenoids instead by themselves. |
| Reunanen 1998   | Finland   | Nested case-control             | 48.2     | 59.9±9.5              |                                   |                  | 106 (201)  | sex, age, study region matched between cases and controls, full adjustment included total chol, obesity, smoking, hypertension  |  | RR=0.45 (0.22-0.92) high vs low tertile of b-carotene, not fully adjusted. Fully adjusted RR=0.94 (0.38-2.32)  |  |
| Suzuki 2000     | Japan   | Cross-section                   |          |                       |                                   |                  |  |   |  | serum a- and b-carotene and cryptoxanthin lower and TBARS higher among those with high hba1c newly detected, compared to those with normal levels  | very few numerical details in abstract, full article in Japanese   |



## Supplementary Materials

| Study, N=111  | Study, Country                | Study Type                     | % female                               | Age range | Cohort Size                      | Follow-up, years | Cases (and controls, if applicable)                | Adjustments  | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest  | Comments   |
|---|-------------------------------|--------------------------------|--|-----------|----------------------------------|------------------|--|--|--|---|--|
| Suzuki 2002   | Hokkaido, Japan               | Case-control, population based | 46.4 w/ high HbA1c; 45.1 with diabetes | 63±10     | 1,691 examined from 1998 to 2000 |                  | 151 (302) with high HbA1c; 133 (266) with diabetes | cases and controls matched for sex and age, logistic regression adjusted for smoking, alcohol, systolic BP, BMI, total chol, triglyceride, gamma-GTP activity  | Lowest is the subjects with high HbA1c. Geometric mean (10-90% range) B-carotene: 0.87 (0.28-2.39) a-carotene: 0.10 (0.04-0.22), lycopene 0.38 (0.14-1.02), b-cryptoxanthin 0.20 (0.08-0.42), lutein/zeaxanthin 0.97 (0.56-1.63) Highest is normal subjects. B-carotene 1.24 (0.45-3.29), a-carotene 0.13 (0.05-0.31), lycopene 0.46 (0.17-1.23), b-cryptxanthin 0.26 (0.10-0.63), lutein/zeaxanthin 1.17 (0.62-1.58) Highest end of upper tertiles are b-carotene 8.66, a-carotene 2.63, lycopene 3.19, b-cryptoxanthin 1.91, lutein/zeaxanthin 6.67 µM | The ORs for high Hb1Ac on high serum levels of alpha- and beta-carotenes, lycopene, beta-cryptoxanthin and zeaxanthin and lutein were 0.51 (0.27-0.96), 0.36 (0.22-0.80), 0.589 0.35-0.99), 0.41 (0.22-0.79), 0.37 (0.26-0.60) for high HbA1c, respectively. Not signif related to incidence of diabetes.           | Differences in carotenoid levels for diabetics and healthy controls were very small in this case-control study.  |
| Wang 2006   | Women's Health Study, USA     | Nested case-control            | 100                                    | ≥45       |                                  |                  | 10 470 (470)                                       | age, total chol, randomized treatment assignment, smoking, alcohol, vigorous exercise, menopausal status, postmenopausal hormone use, multivitamin use, family history of diabetes, BMI, history of hypertension, hypercholesterolemia, total energy intake, intake of energy-adjusted saturated fat, fiber, and glycemic load | Median values of quartiles, µM a-carotene Q1 0.039, Q2 0.093, Q3 0.158, Q4 0.39; b-carotene Q1 0.170, Q2 0.326, Q3 0.494, Q4 0.907; lutein/zeaxanthin Q1 .0176, Q2 0.264, Q3 0.350, Q4 0.501; b-cryptoxanthin Q1 0.069, Q2 0.137, Q3 0.221, Q4 0.374; lycopene Q1 0.209, Q2 0.335, Q3 0.443, Q4 0.590  | highest versus the lowest quartile of plasma carotenoids were 1.13 (95% confidence interval (CI): 0.60, 2.13) for lycopene, 1.27 (95% CI: 0.63, 2.57) for α-carotene, 1.10 (95% CI: 0.57, 2.13) for β-carotene, 0.91 (95% CI: 0.46, 1.81) for β-cryptoxanthin, and 1.35 (95% CI: 0.68, 2.69) for lutein/zeaxanthin. |  |
| Ylonen 2003   | Botnia study, western Finland | Cross-section                  | 55.5                                   | 53±9      |                                  | 182              |  | Age, BMI, waist-to-hip ratio, physical activity, smoking, education, intake of polyunsaturated fat, dietary fiber, alcohol   | Mean ±SD. A-carotene M 0.12±0.11, W 0.20±0.16; b-carotene M 0.51±0.30, W 0.73±0.42; lycopene M 0.31±0.24, W 0.30±0.18 µM   | In men plasma β-carotene concentrations were inversely associated with insulin resistance (P = 0.003), plasma β-carotene concentrations were inversely and directly associated, respectively, with fasting plasma glucose concentrations (P < 0.05).  |  |
| <b>Lung Cancer, N=13 (1 more is a repeat entry)</b> |                               |                                |  |           |                                  |                  |  |  |  |   |  |
| Alfonso 2006 (data from Alfonso 2005)               | western Australia             | Prospective cohort             |  |           |                                  | 1953             | yes  | 65 (mesothelioma) 47 (lung cancer)   |  | Plasma carotene concentrations at the first measurement, but not during the follow-up period, were associated with lower incidence of lung cancer in men and in workers.  |  |
| Comstock 1991 (same cohort as 1997)                 | Maryland, USA                 | Nested case-control            |  |           | 25,802                           |                  | 436 cancer (765)                                   | Age, race, sex, month blood was drawn and time between last meal and blood draw all matched in cases and controls  | Cancer cases, median serum b-carotene ~0.33 - 0.73 µM. Serum lycopene ~0.37-0.78 µM  | Lycopene, OR,pancreas = 5.4 (low vs high, p=0.01). OR,bladder lycopene OR=2.0 (p=0.06). OR,lung b-carotene = 2.2 (p=0.04)   | Not sure that the results were adjusted for smoking, alcohol, cholesterol levels, BMI, and other confounding factors. Squamous cell lung cancer was only subtype of lung cancer that had high OR (4.3) |
| Comstock 1997                                       | Maryland, USA                 | Nested case-control            | 39.2                                   |           |                                  |                  | M 157 lung (313); W 101 (202)                      | Age, race, sex, months in which blood was drawn, and program of participation (1989 or 1974). Smoking not controlled for because authors argue that this is really a partial dietary adjustment.   | Mean values, control then case. A-carotene 0.057, 0.049 b-carotene 0.294, 0.244 cryptoxanthin 0.132, .098 lutein / zeaxanthin 0.364, 0.327 lycopene 0.643, .635 Total: 1.490, 1.353 µM   | OR for lung cancer, highest quintile vs lowest. A-carotene OR=0.48 (p=0.01), b-carotene OR=0.44 (p=0.002), cryptoxanthin OR=0.29 (p<0.001), lut/zea OR=0.41 (p=<0.0010)   |  |

## Supplementary Materials

| Study, N=111      | Study, Country   | Study Type          | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)                     | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest  | Comments   |
|-------------------|--|---------------------|----------|-----------|-------------|------------------|---|---|---|---|--|
| Connet 1989       | Multiple Risk factor Intervention Trial (MRFIT)                  | Nested case-control |          | 0 35-57   | 12,866      | 10               | 156 (311) 66 lung                                       | Age, smoking, randomization group, date of randomization, clinical center, further adjusted for # cigarettes, alcohol, serum thiocyanate levels, cholesterol levels (also checked for effect of BMI, cholesterol, BP, leukocyte count, education) | Total carotenoids, cases = 1.59 µM, controls = 1.81 µM. B-carotene, cases = 0.168, controls = 0.216 µM  | For total carotenoids, low vs high quintile OR=1.84 (p=0.033). For a 0.75 µM increase in total carotene (~1 SD), the estimated RR equaled 0.65 (0.44-0.97).   | Results much stronger for lung cancer than for other sites.  |
| Epplein 2009 lung | Multiethnic Cohort Study   | Nested case-control |          |           |             |                  | 207 (414)   | age, sex, ethnicity, study loc (Hawaii or Calif.), smoking, date/time of collection, hrs of fasting, education, family history of lung cancer   | Info on mean, 25, 75th percentile for men, women, both cases and controls for each carotenoid. Total here: Men case 2.206, (1.642-2.996), controls 2.756 (2.011-3.400). Women case 2.417 (1.583-3.628), controls 2.398 (1.756-3.160). Median across tertiles, µM<br>a-carotene: 0.0447, 0.0838, 0.1863<br>b-carotene: 0.162, 0.376, 0.926<br>b-cryptoxanthin: 0.148, 0.298, 0.6385<br>lutein/zeaxanthin: 0.4395, 0.6908, 1.0952<br>lycopene: 0.3055, 0.5513, 0.8624<br>Total carotenoids: 1.666, 2.527, 3.725 | A-carotene OR=0.24 (0.11-0.53)<br>B-carotene OR=0.30 (0.15-0.64)<br>b-cryptoxanthin OR=0.33 (0.15-0.73)<br>lutein/zeaxanthin OR=0.45 (0.21-0.94)<br>lycopene OR=0.36 (0.18-0.75)<br>Total carotenoid OR=0.32 (0.15-0.68)  | You can see immediately why there is no effect in women. The cases have higher carotenoid levels. Some of the higher levels seen in these studies, yet still seeing effects at the higher level, not just a very low threshold.                          |
| Eichholze r 1996  | Basel, Switzerland   | Prospective cohort  | 0        |           | 2974        |                  | 290 cancer (87 lung, 30 prostate, 28 stomach, 22 colon) | cholesterol, smoking, age,  | Mean values, adj for cholesterol<br>Survivors 0.43±0.01 µM, lung 0.31 ±0.02, stomach 0.30±0.03, all cancer 0.35±0.01, other causes 0.35±0.01 µM.  | Low retinol and low carotene, excluding first 2 years follow-up (all analyses), RR=3.56 (1.43-8.86). Risk of stomach cancer elevated for low carotene when carotenoids measured as continuous variable (RR=3.30 (1.42-7.70). All cancers for low retinol and low carotene RR=2.15 (1.47-3.16).  | For vit E < 30.02 µM and vit C < 22.7 µM, excluding first 2 years of follow-up, RR=3.76 (1.63-8.71) for lung cancer. Low vit E and being a smoker had dramatic elevated risk for prostate cancer, though case numbers were small RR=19.87 (3.60-109.80). |
| Goodman 2003      | see entry under prostate cancer                                  |                     |          |           |             |                  |   |   |   |   |  |
| Holick 2002       | Alpha-Tocopherol, Beta-Carotene Cancer Prevention Study, Finland | Prospective cohort  | 0        | 50-69     | 27,084      | 14               | 1644 lung   | Age, years smoked, cigarettes/day, intervention, supplement use (b-carotene and vit A), serum cholesterol   | Quintiles of b-carotene: Q1 <0.184, Q2 0.184-0.274, Q3 0.276-0.374, Q4 0.376-0.540, Q5 >0.540 µM. Median quintile values: 0.134, 0.227. 0.319. 0.443, 0.717 µM.   | Lower risks of lung cancer were observed for the highest versus the lowest quintiles of lycopene (28%), lutein/zeaxanthin (17%), β-cryptoxanthin (15%), total carotenoids (16%), serum β-carotene (19%), and serum retinol (27%). Serum b-carotene RR=0.81 (0.69-0.95, p-trend 0.02) for multivariate risk. Among those w/5-19 cig/d, RR=0.65 (0.49-0.88, p-trend 0.02)   | Dietary intake of fruits and vegetables also associated with lower lung cancer risk.   |
| Ito 2003          | Japan Collaborative Cohort Study                                 | Nested case-control |          |           | 39140       |                  | 147 lung 8 (311), 113 M, 34 W                           | Age, sex, participating institution, smoking, alcohol, BMI, serum total cholesterol   | Individual carotenoids given in paper. Total carotenoids, cases 1.74 (0.55-4.71), controls 1.87 (0.48-5.20) µM. Geometric mean (5-95th%).   | The risk of lung cancer death for the highest quartile of serum α-carotene, β-carotene, lycopene, β-cryptoxanthin, and canthaxanthin was significantly or marginally significantly lower than for the lowest quartile: the ORs, adjusted for smoking and other covariates, were 0.35 (95% confidence interval (CI), 0.14–0.88), 0.21 (0.08–0.58), 0.46 (0.21–1.04), 0.44 (0.17–1.16) and 0.37 (0.15–0.91), respectively. Total carotenoids OR=0.27 (0.10-0.70). | The carotenoids were stronger protection than the xanthophylls in this case.   |

## Supplementary Materials

| Study, N=111               | Study, Country                          | Study Type                        | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)  | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|----------------------------|---|-----------------------------------|----------|-----------|-------------|------------------|--|---|---|--|---|
| Ito 2005b                  | Japan                                   | Nested Collaborative Cohort Study |          |           | 39242       | 10               | 211 (163 men, 48 women), (487 controls, 375 men, 112 women)                  | Matched for age, sex, participating institution, smoking, alcohol, BMI, total cholesterol   | Men, cases geometric mean (5-95% range) total carotenoids 1.50 (0.54-3.62) controls 1.65 (0.49-4.22) $\mu\text{M}$ Women, cases 2.33 (0.74-5.45), controls 2.56 (0.82-6.45) $\mu\text{M}$ Cut-offs for quartiles: a-carotene: 0.032, 0.053, 0.089; b-carotene <0.14, 0.29, >0.58; lycopene: <0.04, 0.06, >0.15. For women a-carotene: <0.058, 0.09, >0.150; b-carotene <0.40, 0.74, >1.20; lycopene: <0.07, 0.11, >0.20 | Men, a-carotene (4 vs 1 quartile) OR=0.40 (0.18-0.86) p-trend=0.02; b-carotene OR=0.23 (0.09-0.55) p-trend<0.01; lycopene OR=0.44 (0.19-1.05) p-trend=0.03; b-cryptoxanthin OR=0.32 (0.13-0.78) p-trend=0.03; total carotenoids OR=0.42 (0.19-0.95) p-trend=0.09, not signif.  | Same cohort as the 2003 report, but stronger numbers. Same conclusions. Number of cases of lung cancer only dropped in the highest quartile of carotenoids for men, indicating that these levels and not lower were protective. No signif trends in women, numbers of lung cancer cases is small compared to the men. |
| Menkes 1986                | Maryland, USA                           |                                   |          |           |             |                  | 99 (196)   | Age, sex, race, month of blood donation, smoking matched for cases and controls   | see Comstock 1997   | Risk of squamous cell lung cancer OR=4.30 (1.38-13.41) for serum b-carotene.   | See Comstock 1997 for more on this same cohort.   |
| Nomura 1985                | Honolulu Heart Program, Hawaii          | Nested case-control               | 0        |           | 6800        | 10               | 81 colon, 74 lung, 70 stomach, 32 rectum, 27 urinary bladder, (302 controls) | age, smoking  | Quintiles of b-carotene: Q1 0-0.279, Q2 0.281-0.466, Q3 0.468-0.643, Q4 0.644-1.062, Q5 1.063-5.802 $\mu\text{M}$   | Unadjusted OR, lowest vs highest Quintile, b-carotene = 3.4 (1.4-8.4). Adjusted for age and smoking OR=2.2 (0.8-6.0) (not signif.) B-carotene seems to have a protective effect even for smokers, see 2nd graph,   |   |
| Ratnasin ghe 2000          | Chinese tin miners, high radon, alcohol | case control                      | 0        | 63±6.5    |             |                  | 0 108 (216)  |   | tertiles of b-carotene: T1 <0.168, T2 0.186-0.335, T3 >0.354 $\mu\text{M}$ . Tertiles of lutein/zeaxanthin: T1 <0.773, T2 0.791-1.055, T3 >1.072 $\mu\text{M}$ . Tertiles of b-cryptoxanthin: T1 <0.072, T2 0.090-0.127, T3 >0.145 $\mu\text{M}$  | showed increased risk of lung cancer with increased b-carotene levels and increased b-cryptoxanthin levels. Alcohol consumption greatly increased the above trend for increased lung cancer with higher carotenoids.   | cases and controls have almost the exact same average levels of individual carotenoids, except that b-carotene levels are slightly higher.  |
| Yuan 2001                  | Shanghai, China                         | Prospective cohort                | 0        | 45-64     | 18,244      | 12               | 209 lung (627)   | Matched for age, month and year of blood sample collection, neighborhood of residence. Adjusted for smoking   | Have all in separate tab sheet here for individual carotenoids. For total carotenoids, Q1=<0.743, Q2 0.743-0.941, Q3 0.9415-1.221, Q4 >1.221 $\mu\text{M}$  | Compared to lowest quartile of b-cryptoxanthin 2nd, 3rd, and 4th quartile categories were 0.72 (0.41-1.26), 0.42 (0.21-0.84), and 0.45 (0.22-0.92), respectively (P for trend = 0.02). A statistically significant 37% reduction in risk of lung cancer was noted in smokers with above versus below median level of total carotenoids.  |   |
| <b>Breast Cancer, N=12</b> |   |                                   |          |           |             |                  |  |   |   |  |   |
| Ching                      | Australia                               | case control                      | 100      | 30-84     |             |                  | 153 (151)  | Age at menarche, parity, alcohol, total fat intake  | Quartiles of b-carotene: Q1 ≤0.4, Q2 0.4-0.7, Q3 0.7-1.1, Q4 ≥1.1 $\mu\text{M}$   | b-carotene, high vs lowest quartile OR=0.47 (0.24-0.91), p,trend=0.016   |   |
| Dorjogoch oo 2009          | Shanghai Womens Health Study            | nested case control               | 100      | 40-70     | 74,942      | 7.5              | 365 (726)  | Matched for age, date and time of blood collection, interval fasting before blood sample, menopausal status, antibiotic use in past week. Linear regressions adjusted for BMI, education, occupation, age at menarche, age at 1st live birth, waist to hip ratio, exercise, smoke, history of breast fibroadenoma, 1st degree family history cancer, total intakes of energy, vegetables, fruit, red meat and fish, tea consumption, batch for assays | Total carotenoid range, median (25th, 75th percentile) Cases: 2.43 (1.89-2.99), Controls: 2.21 (1.86-2.86) $\mu\text{M}$  | We observed no associations between breast cancer risk and any of the tocopherols, retinol, and most carotenoids. However, high levels of plasma lycopene other than trans, 5-cis and 7-cis or trans $\alpha$ -cryptoxanthin were inversely associated with the risk of developing breast cancer. Total carotenoids have increased risk in post-menopausal women, OR=1.77 (0.96-3.25) p,trend=0.05, for 4th vs 1st quartile. |   |

## Supplementary Materials

| Study, N=111  | Study, Country                                     | Study Type                                    | % female | Age range       | Cohort Size | Follow-up, years | Cases (and controls, if applicable)                     | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest  | Comments  |
|---------------|--|---|----------|-----------------|-------------|------------------|---|---|---|---|---|
| Epplein 2009  | Multiethnic Cohort Study (Hawaii, Calif.)          | nested case control                           | 100      |                 |             |                  | 286 (535)   | match for age, sex, ethnicity, study location, smoking status, date/time of collection and hours fasting.   | Total carotenoid quartiles, $\mu\text{M}$ . Q1 $\leq 2.072$ , Q2 2.072-2.771, Q3 2.771-3.583, Q4 $\geq 3.583$ $\mu\text{M}$   | Women with breast cancer tended to have lower levels of plasma carotenoids and tocopherols than matched controls, but the differences were not large or statistically significant and the trends were not monotonic   |   |
| Ito 1999      | Madras, India                                      | case control                                  | 100      | 22-80           |             |                  | 206 (150 hospital controls w/other cancer) (61 healthy) | healthy controls did not smoke or drink. Few of the others did either.  | Total carotenoids, mean $\pm$ SD. Breast cancer 0.847 $\pm$ 0.334, Hosp. controls 0.725 $\pm$ 0.387, healthy controls 1.181 $\pm$ 0.526 $\mu\text{M}$ . Individual carotenoids also in paper and sorted by pre- and post-menopausal status. | just gives signif. Diff. of means, T-test type of stats. Compared to healthy controls, breast cancer patients had statically lower conc. Of a- and b-carotene, lycopene, cryptoxanthin, zeaxanthin/lutein, and total carotenoids. Differences were greater in the post-menopausal group.  |   |
| Kabat 2009    | Women's Health Initiative, USA                     | nested case control                           | 100      | 62 $\pm$ 7      | 5450        | 8                | 190   | age, education, ethnicity, BMI, oral contraceptive use, hormone therapy, age at menarche, age at first birth, age at menopause, alcohol, family history of breast cancer, breast biopsy, physical activity, energy intake, randomization status. Smoking and serum cholesterol did not have an effect on analysis.      | Cut-offs for Tertiles. A-carotene: 0.0745, 0.1676; b-carotene: 0.317, 0.615; b-cryptoxanthin: 0.109, 0.181; lutein/zeaxanthin: 0.281, 0.422; lycopene: 0.559, 0.875   | After multivariable adjustment, risk of invasive breast cancer was inversely associated with baseline serum <b><math>\alpha</math>-carotene</b> concentrations (hazard ratio for highest compared with the lowest tertile: 0.55; 95% CI: 0.34, 0.90; P = 0.02) and positively associated with baseline <b>lycopene</b> (hazard ratio: 1.47; 95% CI: 0.98, 2.22; P = 0.06). Analysis of repeated measurements indicated that $\alpha$ -carotene and $\beta$ -carotene were inversely associated with breast cancer | Lycopene is probably opposite because it comes from different foods that are accessible on western diet |
| Kato 2006     | Nutrition and Breast Health Study, USA             | cross section                                 | 100      | post-meno pause | 71          | 1                |   |   |   | The probability of detecting epithelial cells in NAF decreased with increasing plasma levels of lutein and alpha-carotene (p-values for linear trend; 0.001 and 0.049, respectively). The ORs for the highest versus lowest quartile levels are 0.17 (95% CI 0.04-0.65) and 0.19 (95% CI 0.04-0.91), respectively   |   |
| Maillard 2010 | EPIC, France                                       | prospective cohort                            | 100      | 56.8 $\pm$ 6.3  | 19934       | 7                | 366, 84 pre- and 282 post-menopausal (720 controls)     | Controls matched to age, menopausal status, fasting status at blood collection, date and collection center, multivariate adjustment for alcohol, menopausal hormone use, education, age at first birth and parity, family history of breast cancer in first degree relative, personal history of benign breast disease. | Individual and total carotenoid mean $\pm$ SD in text for controls and cases. Total carotenoid, controls = 2.19 $\pm$ 0.80, cases = 2.16 $\pm$ 0.78 $\mu\text{M}$ (identical)   | no significant effects over quintiles of carotenoids  | null study  |
| Rock 2005     | WHEL, Women w/history of early stage breast cancer | control arm of WHEL study, so prosp. Cohort   | 100      |                 | 1551        | 7                | 205   | tumor stage, grade, hormone receptor status, chemotherapy and tamoxifen therapy, clinical site, age at diagnosis, BMI, plasma cholesterol   | Quartiles of total carotenoids: Q1 0.268-1.392, Q2 1.393-1.989, Q3 1.989-2.863, Q4 2.867-22.920 $\mu\text{M}$ . Mean values for quartiles are 1.038, 1.683, 2.375, and 4.189 $\mu\text{M}$  | highest vs lowest quartile of total serum carotenoids, HR=0.57 (0.37-0.89).   |   |
| Rock 2009     | Women's Healthy Living and Eating Study (WHEL)     | RCT, but looked at plasma values of all women | 100      | 53              | 3043        | 7.12             | 508   |   | Tertiles of total carotenoids. T1 $\leq 1.656$ , T2 1.675-2.452, T3 $> 2.452$ $\mu\text{M}$   | Compared with the lowest tertile, the hazard ratio for the medium/high plasma carotenoid tertiles was 0.67 (95% confidence interval, 0.54-0.83) after adjustment  |   |

## Supplementary Materials

| Study, N=111                 | Study, Country                           | Study Type          | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)        | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest  | Comments  |
|------------------------------|--|---------------------|----------|-----------|-------------|------------------|--|---|--|---|---|
| Sato 2002                    | Maryland, USA, samples in 1974 and 1989  | nested case control | 100      |           |             | ≥10              | 295 (295)                                  | matched on age, race, menopausal status, date of blood donation   | Individual ranges for carotenoids given in paper. Total carotenoids quintiles are Q1 <.943, Q2 0.945-1.316, Q3 1.317-1.683, Q4 1.683-2.229, Q5 ≥2.231 μM | The risk of developing breast cancer in the highest fifth was approximately half of that of women in the lowest fifth for β-carotene [odds ratio (OR) = 0.41; 95% confidence interval (CI) 0.22–0.79; P trend = 0.007], lycopene (OR = 0.55; 95% CI 0.29–1.06; P trend = 0.04), and total carotene (OR = 0.55; 95% CI 0.29–1.03; P trend = 0.02) in the 1974 cohort.  | signif effects seen in the 1974 cohort, but not in the 1989 cohort. Total carotenoids not that much different.  |
| Tamimi 2005                  | Nurses' Health Study                     | nested case control | 100      | 30-55     | 32,86       |                  | 969  | controls matched to age, menopausal status, post-menopausal hormone use, time of day, month, and fasting status at blood draw. Regression adjusted for BMI at age 18, weight gain since 18, age at menarche, parity/age at first birth, family history of breast cancer, history of benign breast disease, alcohol, post-menopausal hormone use | Quintiles of total carotenoids, median values. 1.01, 1.48, 1.85, 2.27, 3.05 μM. Individual carotenoid values in text.                                    | The multivariate risk of breast cancer was 25-35% less for women with the highest quintile compared with that for women with the lowest quintile of <b>a-carotene</b> (odds ratio (OR) = 0.64, 95% confidence interval (CI): 0.47, 0.88; ptrend = 0.01), <b>b-carotene</b> (OR = 0.73, 95% CI: 0.53, 1.02; ptrend = 0.01), <b>lutein/zeaxanthin</b> (OR = 0.74, 95% CI: 0.55, 1.01; ptrend = 0.04), and <b>total carotenoids</b> (OR = 0.76, 95% CI: 0.55, 1.05; ptrend = 0.05). The inverse association observed with {alpha}-carotene and breast cancer was greater for invasive cancers with nodal metastasis. | Drop in risk mostly seen in the upper quintile, not in the lower ones in this study. Important to note.   |
| Toniolo 2001                 | New York University Women's Health Study | nested case control | 100      | 35-65     | 14275       |                  | 270 (270) 125 pre- and 145 post-menopausal | Age at menarche, age at 1st full term pregnancy, parity, history of breast cancer in 1st degree relatives, history of benign breast conditions, BMI, serum cholesterol. Only age at first full term pregnancy, family history, and benign breast conditions, and cholesterol in final model.  | Only mean±SD given. Individual carotenoids in text. Total carotenoid mean±SD, cases = 2.306±0.868, controls = 2.593±1.066 μM                             | The risk of breast cancer approximately doubled among subjects with blood levels of β-carotene at the lowest quartile, as compared with those at the highest quartile (odds ratio = 2.21; 95% confidence interval (CI): 1.29, 3.79). The risk associated with the other carotenoids was similar, varying between 2.08 (95% CI: 1.11, 3.90) for lutein and 1.68 (95% CI: 0.99, 2.86) for β-cryptoxanthin. The odds ratio for the lower quartile of total carotenoids was 2.31 (95% CI: 1.35, 3.96).  | Seems like the drop in risk here, too, is in the top quintile. It appears different from the other quintiles, which seem statistically to be more similar. The OR for the quintiles are about the same except for the upper quintile. |
| <b>Prostate Cancer, N=13</b> |  |                     |          |           |             |                  |  |   |  |   |   |
| Chang 2005                   | Texas, USA                               | case control        | 0        | ~62±7     |             |                  | 0 118 (52)                                 | Age, smoking, height, family history  | Total carotenoids, controls 1.14 (0.88-1.63), cases 1.18 (0.82-1.45) μM. (25-75%). Differences are small between cases and controls.                     | Risk for men with high plasma levels of alpha-carotene, trans-beta-carotene, beta-cryptoxanthin, and lutein and zeaxanthin was less than half that for those with lower levels. A-carotene 0.33 (0.16-0.72), b-carotene 0.46 (0.21-0.98), lut/zea 0.32 (0.15-0.69), b-crypt 0.48 (0.23-1.02).   | low levels of carotenoids overall. Small study, very few controls.  |

## Supplementary Materials

| Study, N=111      | Study, Country                                   | Study Type          | % female | Age range | Cohort Size                       | Follow-up, years | Cases (and controls, if applicable)             | Adjustments  | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest  | Comments  |
|-------------------|--|---------------------|----------|-----------|-----------------------------------|------------------|---|--|---|---|---|
| Gann 1999         | Physicians' Health Study, USA                    | nested case control |          | 0 40-84   | 22,071                            | 13               | 578 (1294)                                      | Age and smoking matched controls, BMI, exercise frequency, alcohol, total cholesterol, multivitamin use                                    | Quintiles for carotenoids:<br>a-carotene: 0.064, 0.094, 0.126, 0.192 µM<br>b-cryptoxanthin: 0.067, 0.097, 0.136, 0.202 µM<br>lutein: 0.107, 0.139, 0.179, 0.258 µM<br>lycopene: 0.487, 0.659, 0.825, 1.081 µM   | The ORs for all prostate cancers declined slightly with increasing quintile of plasma lycopene (5th quintile OR 0.75 (0.54–1.06; P, trend 0.12); there was a stronger inverse association for aggressive prostate cancers (5th quintile OR 0.56 (0.34–0.91; P, trend 0.05). In the placebo group, plasma lycopene was very strongly related to lower prostate cancer risk (5th quintile OR=0.40; P, trend 0.006 for aggressive cancer), whereas there was no evidence for a trend among those assigned to b-carotene supplements. However, in the b-carotene group, prostate cancer risk was reduced in each lycopene quintile relative to men with low lycopene and placebo.   | levels of carotenoids overall pretty low.   |
| Gill 2009 (null)  | Multiethnic Cohort, Hawaii, Calif.               | nested case control |          | 0 45-75   | 96382 29,009 w/plasma             |                  | 467 (934)                                       | Matched for ethnicity, age, date and time of blood collection, fasting status. BMI, family history, education                              | Ranges, µM. A-carotene: 0.183, 0.352, 0.555, 1.112<br>lycopene: 0.41, 0.631, 0.861, 1.222; b-cryptoxanthin: 0.250, 0.400, 0.557, 1.017; lutein/zeaxanthin: 0.473, 0.647, 0.814, 1.099; Total carotenoid: 1.842, 2.5522 3.310, 4.712 µM  | b-carotene OR=0.81 (0.55-1.18) 1st vs 4th Quartile. Lycopene OR=0.78 (0.53-1.14, p,trend=0.16). B-crypt OR=0.97 (0.66-1.43); Lut/zea OR=1.08 (0.73-1.61); Total carotenoids 1.00 (0.67-1.49)  | seems like the range of carotenoids included some higher levels here.   |
| Goodman 2003      | b-Carotene and Retino/Efficacy Trial, USA, CARET | nested case control |          | 0 45-69   | 4060 asbestos, 7982 heavy smokers |                  | 278 (lung cancer) 205 (prostate) (483 controls) | Matched for high-risk population, study center, location, age, sex (for lung cancer), smoking, year of randomization                       | Quartile cut-off points, µM. A-carotene: 0.034, 0.056, 0.080; b-carotene: 0.175, 0.259, 0.408; b-cryptoxanthin: 0.065, 0.099, 0.163; lutein: 0.181, 0.237, 0.304; zeaxanthin: 0.037, 0.047, 0.063; lycopene: 0.427, 0.598, 0.777 µM. Polar carotenoids: 0.328, 0.419, 0.540; Non-polar carotenoids: 0.667, 0.916, 1.223 µM; Total carotenoids | Statistically significant trends for lung cancer across quartiles were observed in <b>lutein</b> (P = 0.02), <b>zeaxanthin</b> (P = 0.02), and <b>α-tocopherol</b> (P = 0.03). Statistically significant odds ratios (ORs) comparing 4th to 1st quartiles in the female population were seen in <b>lutein</b> [OR, 0.31; confidence interval (CI), 0.13–0.75], <b>zeaxanthin</b> (OR, 0.31; CI, 0.12–0.77), and <b>β-cryptoxanthin</b> (OR, 0.34; CI, 0.14–0.81). For prostate cancer, mean serum concentrations were lower in cases for all of the nutrients except α-carotene. Only for α-tocopherol (Ptrend = 0.04) were the findings statistically significant. There was no statistically significant association between serum carotenoids and prostate cancer. | The carotenoid findings in the overall population were because of the strong inverse association between serum micronutrients and lung cancer in females. |
| Huang 2003        | Maryland, USA, CLUE I and CLUE II (1974, 1989)   | nested case control |          | 0         | 20260                             |                  | 182 (1974) 142 (1989) (2 controls /case)        |  | Medians and interquartile ranges for controls 1974<br>a-carotene 0.043 (0.026-0.065) µM<br>b-carotene 0.153 (0.093-0.259)µM<br>b-cryptoxanthin 0.104 (0.054-0.209) µM<br>lutein 0.250 (0.183-0.332) µM<br>lycopene 0.665 (0.456-0.959) µM. Gives quintile cutoffs for individual carotenoids.   | No effect for carotenoids. Cases and controls have about same levels. Of course there is no effect, because levels of all of them are way low. Maybe not a large enough range to see a difference.  | lycopene levels are higher than b-carotene levels, not indicative of high vegetable intake.   |
| Hsing 1990 (null) | Maryland, USA CLUE I (1974)                      | nested case control |          | 0         |                                   | 13               | 103 (103)                                       | age, race  |   | no significant associations with any carotenoids  |   |
| Key 2007          | EPIC, Europe                                     | nested case control |          | 0         | 137001                            |                  | 6 966 (1064)                                    | matched for study center, age, date of recruitment, adjusted for smoking, alcohol, BMI, marital status, physical activity, education level | lycopene quintile cutoffs: 0.28, 0.45, 0.65, 0.92 µM; total carotenoid quintiles cutoffs: 1.09, 1.45, 1.82, 2.32 µM   | for advanced disease, lycopene quintile 5 vs 1 OR=0.40 (0.19-0.88) and for total carotenoids OR=0.35 (0.17-0.78)  | no association with localized disease; only the upper quintile was significantly different from the lowest quintile.                                      |

## Supplementary Materials

| Study, N=111       | Study, Country   | Study Type          | % female | Age range                             | Cohort Size | Follow-up, years | Cases (and controls, if applicable) | Adjustments   | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|--------------------|--|---------------------|----------|---------------------------------------|-------------|------------------|-------------------------------------|---|---|--|---|
| Lu 2001            | USA  | case control        | 0        |                                       |             |                  | 65 (132)                            | age, race, years of education, daily caloric intake, pack-years of smoking, alcohol consumption, and family history of prostate cancer  | Quartile cutoff points, $\mu\text{M}$ a-carotene 0.03455, 0.05865, 0.10686; b-carotene 0.1242, 0.174, 0.3411; b-cryptoxanthin: 0.04496, 0.0703, 0.1326; lutein: 0.0840, 0.1325, 0.1947; zeaxanthin: 0.0310, 0.05285, 0.08309; lycopene: 0.1787, 0.27515, 0.40098 $\mu\text{M}$  | <b>Lycopene</b> [odds ratio (OR), 0.17 (0.04–0.78; P for trend, 0.0052] and <b>zeaxanthin</b> (OR, 0.22 (0.06–0.83; P for trend, 0.0028) when comparing highest with lowest quartiles. Borderline associations were found for <b>lutein</b> (OR, 0.30 (0.09–1.03; P for trend, 0.0064) and <b><math>\beta</math>-cryptoxanthin</b> (OR, 0.31; (0.08–1.24; P for trend, 0.0666). No obvious associations were found for $\alpha$ - and $\beta$ -carotenes.  |   |
| Nomura 1997 (null) | Honolulu heart program, Japanese American ethnic               | nested case control |          | 52-74 (avg 62) at 0 time of diagnosis | 6860        | >20              | 142 (142)                           | matched for hour of examination, age at exam, month and year of exam  | Median levels for controls (not signif diff from patients) Total carotenoids 1.63 $\mu\text{M}$ , lutein, 0.207, zeaxanthin 0.042, b-cryptoxanthin 0.2207, lycopene 0.2496, a-carotene 0.0763, b-carotene 0.264 $\mu\text{M}$   | no significant associations with any carotenoids   |   |
| Peters 2007        | Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial | nested case control |          |                                       |             |                  | 692 (270 aggressive) 844 controls   | matched for age, time since initial screening, year of blood draw. Checked for other factors that were, in the end, not significant-- family history of prostate cancer, education, physical activity, BMI, aspirin and ibuprofen use, history of diabetes, smoking, alcohol, energy, fat, red meat, heterocyclic amines from meat, fruits, vegetables, cruciferous vegetables, vit E, calcium, and serum selenium. | Median level for quintiles, $\mu\text{M}$ a-carotene: 0.048, 0.088, 0.129, 0.192, 0.309 b-carotene: 0.114, 0.192, 0.283, 0.410, 0.721 b-cryptox: 0.02, 0.090, 0.123, 0.172, 0.304 lutein: 0.163, 0.234, 0.302, 0.394, 0.554 zeaxanthin: 0.056, 0.084, 0.104, 0.134, 0.181 lycopene: 0.568, 0.872, 1.159, 1.462, 2.019                             | No association was observed between serum <b>lycopene</b> and total prostate cancer [odds ratios (OR), 1.14; 95% confidence intervals (95% CI), 0.82-1.58 for highest versus lowest quintile; P for trend, 0.28] or aggressive prostate cancer (OR, 0.99; 95% CI, 0.62-1.57 for highest versus lowest quintile; P for trend, 0.433). <b>B-Carotene</b> was associated with an increased risk of aggressive prostate cancer (OR, 1.67; 95% CI, 1.03-2.72 for highest versus lowest quintile; P for trend, 0.13); in particular, regional or distant stage disease (OR, 3.16; 95% CI, 1.37-7.31 for highest versus lowest quintile; P for trend, 0.02); other carotenoids were not associated with risk. | high levels of lycopene, but overall low total carotenoids. |
| Vogt 2002          | Population based, multi-center, USA                            | case control        |          | 0 40-79                               |             |                  | 209 (228)                           | study center, race, age, month of blood draw only significant other factor, though many tested see text for details).   | Ranges for quartiles, $\mu\text{M}$ a-carotene: 0-0.026, 0.028-0.060, 0.061-0.088, 0.089-0.548 b-carotene: 0.006-0.153, 0.155-0.276, 0.278-0.430, 0.600-2.189 b-cryp: 0.005-0.089, 0.090-0.128, 0.130-0.201, 0.203-0.801 lut/zea: 0.063-0.248, 0.250-0.345, 0.346-0.468, 0.469-1.420 lycopene: 0.009-0.199, 0.201-0.319, 0.320-0.460, 0.464-1.069 | <b>Lycopene</b> was inversely associated with prostate cancer risk (comparing highest with lowest quartiles, odds ratio (OR) = 0.65( 0.36, 1.15; test for trend, p = 0.09), particularly for aggressive disease (comparing extreme quartiles, OR = 0.37, (0.15, 0.94; test for trend, p = 0.04). Other carotenoids were positively associated with risk. For all carotenoids, patterns were similar for Blacks and Whites. Trend for lycopene not significant for localized cancer, only for aggressive cancers.   |   |

## Supplementary Materials

| Study, N=111                                  | Study, Country                       | Study Type                    | % female       | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)              | Adjustments  | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|---|--------------------------------------|-------------------------------|----------------|-----------|-------------|------------------|--|--|---|--|---|
| Wu 2004                                       | Health Professionals Follow-up Study | nested case control           | 0              |           |             |                  | 450 (450)  | matched for age, time, month, and year of blood donation. Multivariate model adjusted for family history of prostate cancer, history of vasectomy, BMI, height, vigorous exercise, smoking, vit E suppl, selenium suppl, plasma cholesterol. | Medians of quintiles not given.<br><br>Median levels for controls (not signif diff from cases). Total carotene (carotenoid?) 1.625 μM, lutein/zeaxanthin 0.218, b-cryptoxanthin 0.116, a-carotene 0.107, b-carotene 0.351, lycopene 0.726 μM  | <b>α-carotene:</b> OR, 0.67 [95% confidence interval (CI), -0.40–1.09]; <b>β-carotene:</b> OR, 0.78 (95% CI, 0.48–1.25); <b>lycopene:</b> OR, 0.66 (95% CI, 0.38–1.13)}. The inverse association between plasma lycopene concentrations and prostate cancer risk was limited to participants who were 65 years or older (OR, 0.47; 95% CI, 0.23–0.98) and without a family history of prostate cancer (OR, 0.48; 95% CI, 0.26–0.89). Combining, older age and a negative family history provided similar results (OR, 0.43; 95% CI, 0.18–1.02). Inverse associations between β-carotene and prostate cancer risk were also found among younger participants (<65 years of age; OR, 0.36; 95% CI, 0.14–0.91; = 0.03). |   |
| Zhang 2007                                    | Arkansas, USA                        | population based case control | 0              |           |             |                  | 193 (197)  | matched by age, race, county of residence. Model adjusted for BMI, education, smoking. 1 model adjusted for other four carotenoids (not the one I quote).  | Median levels for quartiles, μM<br>a-carotene: 0.013, 0.027, 0.045, 0.113<br>b-carotene: 0.065, 0.135, 0.362, 0.528<br>b-crypt: 0.058, 0.106, 0.156, 0.248<br>lut/zea: 0.149, 0.236, 0.304, 0.417<br>lycopene: 0.262, 0.429, 0.621, 0.957   | Highest vs lowest quartile of lycopene: OR=0.45 (0.24-0.85) p,trend = 0.042  |   |
| <b>Colon Cancer, N=3 (1 more is a repeat)</b> |                                      |                               |                |           |             |                  |  |  |   |  |   |
| Eichholzer 1996                               | see entry under lung cancer          |                               |                |           |             |                  |  |  |   | No signif. Results for colon cancer. Only 22 cases.  |   |
| Jiang 2005                                    | Nagoya, Japan                        | case control                  | 29.7           | 50-74     |             |                  | Men 159 (160)<br>Women 65 (70)                   | Age, sex, history of colorectal adenomas and cancers, BMI, smoking, alcohol, multivitamin use, plasma cholesterol, vigorous exercise   | Total Carotenoids, quartiles<br>Men: <1.36, 1.36–1.91, 1.92–2.50, >2.50;<br>Women: <1.91, 1.91–2.63, 2.64–3.06 >3.06<br>Geom mean (5–95%) Men, cases: 1.61 (0.66–3.95), controls: 1.87 (0.79–4.45).<br>Women, cases: 2.29 (1.04–4.89), controls: 2.41 (1.13–5.18).                              | In men, a-carotene highest quartile vs lowest OR=0.38 (0.18–0.84, p-trend=0.01); b-carotene OR=0.48 (0.22–1.03, p-trend=0.04); total carotenoids OR=0.48 (0.22–1.03, p-trend=0.04). In women a-carotene OR=0.53 90.19–1.52, p-trend=0.35)  |   |
| Steck-Scott 2004                              | Polyp Prevention Trial, USA          | prospective cohort            | ≥35, 33 Avg=61 |           | 781         |                  | 323 reoccurrence, 147 multiple, 217 RHS, 164 LHS | age, NSAIDS use, sex, intervention group, gender*group interaction   | Median value in quartile, μM<br>a-carotene: 0.056, 0.075, 0.180, 0.224<br>b-carotene: 0.168, 0.279, 0.447, 0.820<br>b-cryptoxanthin: 0.090, 0.145, 0.217, 0.307<br>lut/zea: 0.246, 0.352, 0.457, 0.668<br>lycopene: 0.224, 0.354, 0.484, 0.671<br>Total carotenoids: 0.972, 1.376, 1.780, 2.495 | Compared to the lowest quartile of baseline alpha-carotene concentrations, the OR of multiple polyp recurrence for the highest quartile was 0.55 (95% CI = 0.30–0.99) and the OR of right-sided recurrence was 0.60 (95% CI = 0.37–0.95).<br>Compared to the lowest quartile of averaged beta-carotene concentrations, the OR of multiple adenomas for the highest quartile was 0.40 (95% CI = 0.22–0.75) with an inverse trend (p = 0.02). For total carotenoids, for recurrence of multiple polyps OR=.52 (0.29–0.95, P-trend=0.06)  | Only the upper quartile is significantly different from the lowest quartile in the multiple regression model. |



## Supplementary Materials

| Study, N=111               | Study, Country                   | Study Type          | % female | Age range                         | Cohort Size | Follow-up, years | Cases (and controls, if applicable)                               | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest  | Comments   |
|----------------------------|----------------------------------|---------------------|----------|-----------------------------------|-------------|------------------|---|---|--|---|--|
| Wakai 2005                 | Japan Collaborative Cohort Study | nested case control | 52.9     | 40-79                             | 116         | 8                | 116 (298) 54 men (141 men controls; 62 women (157 women controls) | sex, age, education, family history colorectal cancer, BMI, smoking, alcohol, walking (time/day), sedentary work, consumption of beef, total cholesterol      | Geometric mean, (5-95% range) Men total carotenoids cases 1.59 (0.52-4.87) controls 1.79 (0.64-4.68) $\mu$ M; Women cases 2.55 (0.80-5.88) controls 2.33 (0.75-5.00) $\mu$ M. Individual carotenoids also in text. Have tertile cut-offs for indiv and total in text as well. Men total carotenoids: 1.48, 2.21; women 2.06, 2.96 $\mu$ M  | In <b>men</b> , the higher level of serum total carotenoids was associated with a decreased risk: The multivariate-adjusted odds ratio (OR) for the highest vs. the lowest tertile was 0.34 (95% confidence interval [CI] = 0.11-1.00; trend P over tertiles = 0.040)<br>In <b>women</b> , the higher levels of alpha- and total carotenoids were instead related to an increased risk: The corresponding ORs were 4.72 (95% CI = 1.29-17.3), 2.00 (0.70-5.73), and 2.47 (0.73-8.34), respectively (trend P = 0.007, 0.040, and 0.064, respectively)  | Opposite results in men and women. Overall the women have higher carotenoid levels, so other factors also become more important. |
| <b>Stomach Cancer, N=4</b> |                                  |                     |          |                                   |             |                  |   |   |  |   |  |
| Ito & Suzuki 2001          | Hokkaido, Japan                  | Cross-section       | 63.1     |                                   | 206         | 0                | 91  | age, sex, more adjustments did not dampen the ORs.  | No levels given in full paper.   | the odds ratios for serum carotenoid levels were lower for subjects with high serum levels of $\alpha$ -carotene (odds ratio, 0.41; 95% C.I., 0.19-0.88) and $\beta$ -carotene (odds ratio, 0.41; 95% C.I., 0.18-0.91) than for those with low serum carotenoid levels. In addition, the odds ratios of subjects with high serum levels of $\beta$ -cryptoxanthin (odds ratio, 0.60; 95% C.I., 0.28-1.31), provitamin A (odds ratio, 0.38; 95% C.I., 0.17-0.85), and retinol (odds ratio, 0.67; 95% C.I., 0.31-1.48) were found to be lower than the odds ratios for those with low serum levels. Odds ratios for subjects with high serum zeaxanthin/lutein levels were higher than odds ratios for those with low serum levels, but not stat. signif. | result for lutein/zeaxanthin is odd.   |
| Jenab 2006                 | EPIC, Europe, 10 countries       | nested case control | 45.3     | mean age of cases/controls = 59.1 |             | 3.2              | 244 (645)   | matched by age, sex, study centre, date of blood donation. Multilinear adjustment for BMI, total energy intake, smoking, Helicobacter pylori infection status | Range of carotenoids (5-95th%), cases only<br>a-carotene: 0.028-0.415 $\mu$ M<br>b-carotene: 0.104-0.998 $\mu$ M<br>b-cryptoxanthin: 0.036-0.693 $\mu$ M<br>lutein: 0.156-0.809 $\mu$ M<br>zeaxanthin: 0.023-0.160 $\mu$ M<br>lycopene: 0.145-1.317 $\mu$ M<br>Total carotenoids: 0.741-3.824 $\mu$ M<br><br>Quartile cut-offs, $\mu$ M<br>a-carotene: 0.060, 0.101, 0.168<br>b-carotene: 0.224, 0.332, 0.494<br>b-cryptoxanthin: 0.105, 0.194, 0.338<br>lutein: 0.257, 0.352, 0.508<br>zeaxanthin: 0.056, 0.083, 0.118<br>lycopene: 0.332, 0.544, 0.834<br>Total carotenoids: 1.294, 1.811, 2.494 | highest vs lowest quartiles b-cryptoxanthin OR=0.30 (0.30-0.94, p-trend=0.006)<br>zeaxanthin (OR=0.39, (0.22-0.69. p-trend=0.005)<br>For cardiac gastric cancer, total carotenoids (70 cases, 176 controls) OR(4 vs 1)=0.31 (0.08-1.16) p-trend=0.076. Not quite significant.   |  |

## Supplementary Materials

| Study, N=111                           | Study, Country                                     | Study Type          | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)   | Adjustments  | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments   |
|--|--|---------------------|----------|-----------|-------------|------------------|---|--|---|--|--|
| Persson 2008                           | Japan Public Health Center-based Prospective Study | nested case control | 33       | 40-69     | #####       |                  | 511 (511)   | Matched for age, sex. Adjusted for family history of gastric cancer, smoking, H. pylori infection, salt intake, consumption of highly salted foods (salted fish guts and roe), BMI | Quartile medians (max and min also in paper)<br>a-carotene: 0.017, 0.035, 0.052. 0.091, max 1.354<br>b-carotene: 0.084, 0.214, 0.380, 0.715, max 2.999<br>b-crypto: 0.056, 0.137, 0.284, 0.637, max 6.989<br>lut/zea: 0.387, 0.581, 0.779, 1.191 max 2.869<br>lycopene: 0.0, 0.091, 0.184, 0.358 max 1.395  | b-carotene OR (2, 3, 4 vs 1) 0.63 (0.81-0.75), 0.48 (0.31-0.75) and OR=0.46 (0.28-0.75) p-trend <0.01. a-carotene p-trend = 0.04, b-carotene p-trend <0.01 for men only both.  | inverse trends in men for b- and a-carotene, but not in women who had relatively higher levels of carotenoids.   |
| Yuan 2004                              | Shanghai Cohort Study, Shanghai, China             | nested case control | 0        |           | 18,244      | 12               | 191 (570)   | matched for age, year of blood collection, neighborhood of residence, adjusted for H. pylori, detectable urinary epigallocatechin (protective), smoking, alcohol                   | Geometric mean (95% CI of the mean) cases<br>a-carotene: 0.010 (0.009-0.011) µM<br>b-carotene: 0.147 (0.134-0.162)<br>b-crypt: 0.049 (0.043-0.052)<br>lut/zea: 0.526 (0.499-0.554)<br>lycopene: 0.041 (0.035-0.045)<br>Quartile cut-offs, µM<br>a-carotene ND, 0.015, 0.026<br>b-carotene 0.112, 0.175, 0.276<br>b-crypto: 0.033, 0.052, 0.078<br>lut/zea: 0.404, 0.529, 0.673<br>lycopene: 0.022, 0.047, 0.086 | the odds ratios (95% confidence intervals) for the highest versus the lowest quartile of α-carotene, β-carotene, and lycopene were 0.38 (0.13-1.11), 0.54 (0.32-0.89), and 0.55 (0.30-1.00), respectively. (These are unadjusted Ors, only matched.) Also for non-smokers and <3 drinks/day b-carotene OR=0.31 (0.13-0.75) p-trend 0.008 for 4 vs 1st quartile.                  | Vitamin C levels in non-smoking, low alcohol men also associated with lower gastric cancer OR 0.39 (0.15-0.98) p-trend=0.02<br><br>Lutein/zeaxanthin levels are way higher than b-carotene levels. I think this means that yellow / orange vegetable intake was minimal in the whole population. |
| <b>Cervical cancer, dysplasia, N=4</b> |  |                     |          |           |             |                  |   |  |   |  |  |
| Goodman 1998                           | Hawaii   | case-control        | 100      | 18-65     |             |                  | 0 147 (191)   | Age, ethnicity, tobacco use, alcohol, HPV detection  | Quartile cut-offs, µM<br>a-carotene: 0.061, 0.098, 0.161<br>b-carotene: 0.192, 0.320, 0.615<br>b-crypto: 0.114, 0.169, 0.270<br>a-crypto: 0.043, 0.059, 0.075<br>crypto total: 0.208, 0.286, 0.421<br>lut/zea: 0.571, 0.700, 0.882<br>lycopene: 0.430, 0.594, 0.756<br>Total carotenoids: 1.710, 2.183, 2.826 µM  | OR, 4 vs 1st quartile: Total cryptoxanthin OR= 0.4 (0.2-1.0) p-trend=0.10; a-cryptoxanthin OR=0.3 (0.1-0.7) p-trend 0.01; Total carotenoids OR=0.6 (0.3-1.5) p-trend=0.10 (trend significant w/o adjust for smoke, alcohol, HPV)   |  |
| Nagata 1999                            | Japan  | case-control        | 100      |           |             |                  | 152 (152)   | HPV, smoking, age-matched  | Tertile medians, µM<br>a-carotene: 0.095, 0.158, 0.256<br>b-carotene: 0.639, 1.063, 2.061<br>cryptoxanthin: 0.228, 0.467, 1.256<br>lut/zea: 0.647, 0.937, 1.338<br>lycopene: 0.307, 0.573, 0.973  | OR, 3 vs 1st tertile: <b>a-carotene</b> adjusted OR=0.16 (0.04-0.62), <b>b-carotene</b> OR=0.45 (0.25-0.82) but not adjusted for HPV or smoking; with adjust OR=0.65 (0.22-1.92) p-trend=0.38; <b>lycopene</b> OR=0.28 (0.08-1.01) p-trend=0.049; others not significant   |  |
| Palan 1996                             | Bronx Borough, New York City, USA                  | cross section       | 100      | 19-65     | 235         |                  | 56 CIN I, 40 CIN II, 29 CIN III, 15 invasive cancer (95 control, 58 routine care at gynecologic al clinic, 37 who had biopsies with no CIN) | smoking checked  | b-carotene: cancer 0.136±0.084, normal 0.371±0.311 µM; lycopene: cancer 0.628±0.224, normal 0.987±0.456 µM other grades of dysplasia inbetween.   | There were significant linear trends for all three carotenoids and quadratic trends for alpha- and tau-tocopherol with the degree of cervical histopathology. Plasma beta-carotene concentrations in cigarette smokers were significantly lower regardless of cervical pathology, whereas plasma lycopene and canthaxanthin levels were significantly lower in smokers with CIN. | 41% Blacks, 46% Hispanics  |

## Supplementary Materials

| Study, N=111                                 | Study, Country  | Study Type         | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable)   | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest   | Comments  |
|--|---|--------------------|----------|-----------|-------------|------------------|---|---|--|--|---|
| Schiff 2001                                  | Southwestern American Indian women, USA                               | case-control       | 100      | 18-45     |             |                  | 81 (1600  | human papilloma virus test, income, urban vs rural residence, age | Tertiles, min, 1-2 cut, 2-3 cut, max $\mu\text{M}$<br>a-carotene: 0.121, 0.237, 0.335, 1.626<br>b-carotene: 0.205, 0.447, 0.708, 3.241<br>b-cryptoxanthin: 0.040, 0.116, 0.186, 0.548<br>lut/zea: 0.211, 0.369, 0.492, 1.336<br>lycopene: 0.276, 0.572, 0.758, 1.300   | Increasing levels of $\alpha$ -carotene, $\beta$ -cryptoxanthin, and lutein/zeaxanthin were associated with decreasing risk of CIN II/III. In addition, the highest tertiles of $\beta$ -cryptoxanthin (odds ratio = 0.39, 95% confidence interval = 0.17–0.91) and lutein/zeaxanthin (odds ratio = 0.40, 95% confidence interval = 0.17–0.95) were associated with the lowest risk of CIN   | HPV was strong risk factor, OR=9.0 (4.7-17.5).  |
| <b>Cancer, general, N=3 (3 more repeats)</b> |   |                    |          |           |             |                  |   |   |  |  |   |
| Eichholzer 1996                              | Basel Study, Switzerland, see entry under lung cancer                 | prospective cohort | 0        |           |             |                  | 290 cancer (87 lung, 30 prostate, 28 stomach, 22 colon)                                     |   |  |  |   |
| Ito 1997                                     | Comprehensive Health Examination Program (CHEP) rural Japan, Hokkaido | prospective cohort | 63.7     | 39-83     | 2353        | 2-8 years        | 98 deaths (67 men, 31 women) 44 cancer (34 men, 10 women)                                   | Age, smoking, alcohol   | B-carotene, tertiles, men: <0.266, 0.266-0.592, >0.592; women: <0.682, 0.682-1.266, >1.266<br>A-carotene, tertiles, men: <0.065, 0.065-0.151, >0.151, women: <0.108, 0.108-0.229, >0.229<br>Lycopene, tertiles, men: <0.201, 0.201-0.449, >0.449, women: <0.300, 0.300-0.605, >0.605<br>Geometric means (5-95% range)<br>b-carotene, men: 0.397 (0.100-1.360), women: 0.933 (0.313-2.384) $\mu\text{M}$ ; a-carotene, men: 0.098 (0.030-0.315); women: 0.157 (0.037-0.466); lycopene, men: 0.300 (0.074-0.987), women: 0.430 (0.123-1.229) $\mu\text{M}$ . | b-carotene high vs low tertile, all cause mortality HR=0.44 (0.22-0.85), cancer mortality HR=0.36 (0.13-0.95)<br>a-carotene no signif diff.; lycopene high vs low tertile women all cause mortality HR, mid=0.38 (0.16-0.87) HR, high=0.34 (0.13-0.94)   | The women had higher levels of all 3 carotenoids than men. The results weren't significant in women only because the numbers were so small. All cause mortality rates for women in tertiles of b-carotene were 34.9, 14.1, 19.8 (low to high). Cancer mortality rates were 15, 3.5 and 4.4 (6, 2 and 2 deaths). |
| Ito 2005a                                    | Hokkaido, Japan   | Prospective cohort | 61.1     | 39-79     | 3182        | 10.5             | 287 deaths (175M, 112W), 134 cancer (81M, 53W) 31 lung, 21 colorectal, 20 stomach, 62 other | Age, sex, smoking, serum cholesterol, ALT activity                | Comparison of Alive and Lung Cancer, geometric means.<br>Total carotenoids: 1.244 vs. 0.906, p=0.004<br>a-carotene: 0.141 vs. 0.104, p=0.01<br>b-carotene: 0.698 vs. 0.517, p=0.016<br>lycopene: 0.328 vs. 0.229, p=0.007<br>b-cryptoxanthin: 0.311 vs. 0.249, p=0.165<br>lutein/zeaxanthin: 0.985 vs. 0.950, p=0.775  | High serum levels of alpha- and beta-carotenoids and lycopene were found to marginally significantly or significantly reduce the risk for mortality rates of cancer of all sites and of colorectal cancers. All sites, total carotenoids HR=0.67 (0.43-1.06), a-carotene HR=0.68 (0.48-1.05), b-carotene HR=0.64 (0.39-1.03), lycopene HR=0.61 (0.39-0.97) for high tertile vs low tertile. For colorectal cancer total carotene HR=0.21 (0.06-0.68), a-carotene HR=0.25 (0.07-0.87), b-carotene HR=0.28 (0.09-0.85), lycopene HR=0.23 (0.06-0.84) |   |
| Ito 2006b                                    | see entry for this study under cardiovascular                         | prospective cohort | 61.3     | 39-79     | 3254        |                  |   |   |  |  |   |
| Ito 2002                                     | see entry for all cause mortality                                     |                    |          |           |             |                  |   |   |  |  |   |

## Supplementary Materials

| Study, N=111                                       | Study, Country   | Study Type                             | % female                 | Age range   | Cohort Size | Follow-up, years | Cases (and controls, if applicable)                                 | Adjustments   | Range of Carotenoids   | Effect Size, (95% CI) from lowest to highest   | Comments  |
|--|--|--|--------------------------|-------------|-------------|------------------|---|---|--|--|---|
| Karppi 2009  | Kuopio Ischaemic Heart Disease Risk factor cohort, Finland | prospective cohort                     | 0                        | middle aged | 997         | 12.6             | 141 (55 prostate)   | adjusted but no details in abstract                     | Mean conc. Is 0.15±0.14 µM, range 0 to 1.02 µM. Quartile cut-offs: <0.04, 0.13, >0.22 from Rissanen et al 2003   | high vs low tertile lycopene RR=0.55 (0.34-0.89) p-trend=0.015. No signif trend w/prostate cancer. Other cancer RR=0.43 (0.23-0.79) p-trend=0.007  |   |
| <b>Other Cancer, N=3</b>                           |  |  |                          |             |             |                  |   |   |  |  |   |
| Sakhi 2009 (head & neck)                           | Norway   | case control with follow-up with cases | 10% control s, 13% cases | 35-85       |             |                  | 4 78 (100)  | stage, treatment, smoking status                        | Cases signif less than controls, cases even lower after radiation. Control and pre- and post-therapy here, µM<br>a-carotene 0.107, 0.0613, 0.0567; b-carotene 0.375, 0.241, 0.2295; b-cryptoxanthin: 0.131, 0.0603, 0.0275; lutein 0.1425, 0.0956 0.051; zeaxanthin: 0.0356, 0.0224, 0.0122; lycopene: 0.533, 0.244, 0.125; Total carotenoids: 1.325, 0.703, 0.4745 µM   | All carotenoids signif lower in cases than controls. Survival odds greater for progression-free survival for above median vs below median lutein HR=0.47 (0.22-0.98) p=0.04, b-cryptoxanthin HR=0.46 (0.23-0.94) p=0.03, b-carotene HR=0.45 (0.22-0.92) p=0.03, and total carotenoid HR=0.42 (0.20-0.91) p=0.03 and for overall survival for lutein HR=0.39 (0.16-0.96) p=0.04, b-cryptoxanthin HR=0.27 (0.11-0.69) p=0.006, a-carotene HR=0.36 (0.15-0.86) p=0.02, b-carotene HR=0.23 (0.08-0.62) p=0.004, and total carotenoids HR=0.38 (0.15-0.98) p=0.05 |   |
| Nomura 1997  | Honolulu Heart Program, Hawaii, USA                        | nested case control                    | 0                        |             | 6832        | 20               | 69 (28 esophageal, 23 laryngeal, 16 oral-pharyngeal) (138 controls) | Adjusted for smoking, alcohol                           |  | The odds ratios for the highest tertile were 0.19 (95% CI 0.05-0.75) for alpha-carotene, 0.10 (0.02-0.46) for beta-carotene, 0.25 (0.06-1.04) for beta-cryptoxanthin, and 0.22 (0.05-0.88) for total carotenoids. When the cases were separated into esophageal, laryngeal, and oral-pharyngeal cancer, both alpha-carotene and beta-carotene were consistently and strongly associated with reduced risk at each site.  |   |
| Ozasa 2005 (urothelial)                            | Japan Collaborative Cohort Study, Japan                    | nested case control                    | 64.5                     | 40-79       | 39759       | ~10              | 42 (124)  | Matched for age, sex, study area. Adjusted for smoking. | From another report on same cohort (Ito 2005) Men, cases geometric mean (5-95% range) total carotenoids 1.50 (0.54-3.62) µM controls 1.65 (0.49-4.22) µM Women, cases 2.33 (0.74-5.45), controls 2.56 (0.82-6.45) µM Cut-offs for quartiles: a-carotene: 0.032, 0.053, 0.089; b-carotene <0.14, 0.29, >0.58; lycopene: <0.04, 0.06, >0.15. For women a-carotene: <0.058, 0.09, >0.150; b-carotene <0.40, 0.74, >1.20; lycopene: <0.07, 0.11, >0.20 | The OR for the highest to lowest tertile of serum concentration was 0.28 (95% CI 0.07 to 1.15, trend p = 0.08) for beta-carotene, 0.36 (95% CI 0.10 to 1.27, trend p = 0.10) for total carotenoids and 0.31 (95% CI 0.09 to 1.09, trend p = 0.09) for total carotenoids  | Reported ORs are not statistically significant. |
| <b>Age-related Macular Degeneration (AMD), N=3</b> |  |  |                          |             |             |                  |   |   |  |  |   |

## Supplementary Materials

| Study, N=111 | Study, Country     | Study Type          | % female | Age range | Cohort Size | Follow-up, years | Cases (and controls, if applicable) | Adjustments  | Range of Carotenoids  | Effect Size, (95% CI) from lowest to highest   | Comments  |
|--------------|--------------------|---------------------|----------|-----------|-------------|------------------|-------------------------------------|--|---|--|---|
| Delcourt     | POLA study, France | nested case control |          | ≥60       | 899         |                  |                                     | age, sex, smoking, lipid standardized alpha-tocopherol, HDL-cholesterol, BMI   | Tertile cut-offs, μM<br>a-carotene: <0.04, ≥0.20<br>b-carotene: <0.27, ≥0.97<br>b-cryptoxanthin: <0.13, ≥0.47<br>lutein: <0.18, ≥0.41<br>zeaxanthin: <0.04, ≥0.09<br>total lut/zea: <0.03, ≥0.56<br>lycopene: <0.22, ≥0.71 μM | highest quintile of plasma zeaxanthin was significantly associated with reduced risk of ARM (OR = 0.07; 95% CI: 0.01-0.58; P for trend = 0.005), nuclear cataract (OR = 0.23; 95% CI: 0.08-0.68; P for trend = 0.003) and any cataract (OR = 0.53; 95% CI: 0.31-0.89; P for trend = 0.01). ARM was significantly associated with combined plasma lutein and zeaxanthin (OR = 0.21; 95% CI: 0.05-0.79; P for trend = 0.01), and tended to be associated with plasma lutein (OR = 0.31; 95% CI: 0.09-1.07; P for trend = 0.04), whereas cataract showed no such associations. Among other carotenoids, only (beta)-carotene showed a significant negative association with nuclear cataract, but not ARM.      | carotenoids inter-correlated, so even if there is a true effect of only one or two, the levels of all might seem to be correlated due to them coming together in foods. |
| Gale 2001    | Sheffield, England | cross-section       |          | 66-75     | 372         |                  |                                     | age, sex, other confounding factors  |   | risk of nuclear cataract was lowest in people with the highest plasma concentrations of alpha-carotene (odds ratio [OR], 0.5; 95% confidence interval [CI], 0.3-0.9, P for trend 0.006) or beta-carotene (OR, 0.7; 95% CI, 0.4-1.4, P for trend 0.033). Risk of cortical cataract was lowest in people with the highest plasma concentrations of lycopene (OR, 0.4; 95% CI, 0.2-0.8, P for trend 0.003), and risk of posterior subcapsular cataract was lowest in those with higher concentrations of lutein (OR, 0.5; 95% CI, 0.2-1.0, P for trend 0.012). High plasma concentrations of vitamin C, vitamin E, or the carotenoids zeaxanthin and beta-cryptoxanthin were not associated with decreased risk |   |
| Gale 2003    | Sheffield, England | cross-section       | 45.5     | 66-75     | 380         |                  | 78 (20.5%) had AMD                  | age, smoking, serum cholesterol, beer consumption, history of angioplasty or coronary bypass grafting, hypermetropic refractive error. | Tertile cut-offs, μM<br>zeaxanthin: ≤0.0265, >0.0463<br>lutein: ≤0.1409, >0.2068<br>lut/zea: ≤0.1721, >0.2479   | Compared with those whose plasma concentrations of <b>zeaxanthin</b> were in the highest third of the distribution, people whose plasma concentration was in the lowest third had an odds ratio for risk of age-related macular degeneration of 2.0 (95% confidence interval [CI] 1.0-4.1), after adjustment for age and other risk factors. Risk of age-related macular degeneration was increased in people with the lowest plasma concentrations of <b>lutein plus zeaxanthin</b> (odds ratio [OR] 1.9, 95% CI 0.9-3.5) and in those with the lowest concentrations of <b>lutein</b> (OR 1.7, 95% CI 0.9-3.3), but neither of these relations was statistically significant.                              | did not measure all of the carotenoids  |

# Supplementary Materials

## Additional file 2

### Bibliography for Summary Overview of Plasma Carotenoids and Health Outcomes

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