



# Article Fast and Efficient Correction of Ground Moving Targets in a Synthetic Aperture Radar, Single-Look Complex Image

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**Abstract:** Ground moving targets distort normally-focused synthetic aperture radar (SAR) images. Since most high-resolution SAR data providers only offer single-look complex (SLC) data rather than raw signals to general users, they need to apply a simple and efficient residual SAR focusing to SLC data containing moving targets. This paper presents an efficient and effective SAR residual focusing method that is practically applicable to SLC data. The residual Doppler spectrum of the moving target is derived from a general SAR configuration and normal SAR focusing. The processing steps are simple and straightforward, with a limited size of the processing window, e.g.,  $64 \times 64$ . Application results using simulation data and actual TerraSAR-X SLC data with a speed-controlled vehicle demonstrate the effectiveness of the method, which particularly improves the -3 dB width, integrated sidelobe ratio, and symmetry of the reconstructed signals. In particular, the azimuthal symmetry becomes seriously distorted when the target speed is higher than 8 m/s (or 28.8 km/h), and the symmetry is well recovered by the proposed method.

Keywords: SAR; ground moving target; single-look complex data; Doppler spectrum; residual focusing

# 1. Introduction

The imaging characteristics of ground moving targets using synthetic aperture radar (SAR) have been well known since the early stages of SAR development [1,2]. Ground moving objects in SAR single-look complex (SLC) images are typically characterized by three features: target displacement in the azimuth dimension and range walking according to the range component of the ground target velocity, azimuth image blurring (mainly due to the azimuth component of velocity and the range component of acceleration), and residual Doppler centroid. While these features distort SAR images, they have been exploited as ground moving target indicators (GMTIs) to retrieve the target's velocity [3]. Thus, the main concerns related to ground moving objects are twofold: the detection of a moving target within an SAR image, and the estimation of physical parameters such as velocity or original location. Numerous algorithms have been proposed for GMTI, and most of them are based on sensing the difference in Doppler parameters between the moving object [4–8] and the fixed clutter or on detection by focusing [9–16]. For more efficient detection of ground moving targets, the theory and systems for the along-track interferometry (ATI) also have been extensively researched [13,17–25]. Bistatic ATI SAR systems have recently gained growing popularity [26–28].

While the SAR imaging characteristics are exploited for the GMTI, precise focusing remains an important issue, especially as the resolution of SAR images becomes ever higher. Various focusing methods for SAR have previously been developed, but most of them are based on raw signal processing [9,12], and recently, many have involved the keystone transformation [29–31]. Although several SAR focusing algorithms have been developed for ground moving targets, raw signals rather

than single-look complex (SLC) data are required in most cases. However, it is not practical for general users to process raw signals because the raw signal data acquired by most current high-resolution SAR systems are not provided to users, mainly because of their complexity. Thus, it is necessary for general users to apply a residual focusing to SLC data rather than the raw signals. This study proposes a simple and straightforward method of residual focusing for ground moving targets that is practically applicable to SLC data by general users. Generally, there are two types of ground moving targets: targets moving in groups such as ocean currents and waves, and isolated small but fast-moving targets such as moving ships or cars. The proposed method is particularly applicable for the latter type, based on the point-target spectrum in the 2D frequency domain. The residual Doppler phase in the normally-focused SLC data is to be elaborately formulated and discussed. This paper presents formulae related to the residual Doppler spectrum caused by ground moving targets after azimuth and range compression, and proposes a simple and straightforward method for residual focusing of SLC images based on the derived formulae. The derived residual Doppler spectrum accounts for target distortion by the asymmetry of the compressed signals as well as image blurring. For evaluation and demonstration of the performance, the algorithm is applied to simulated data and TerraSAR-X SLC data in which a speed-controlled vehicle is imaged.

The advantages of the proposed method are twofold. First, the residual focusing is based on the derived formulae of the Doppler spectrum after image formation, which implies that targets can be precisely reconstructed in terms of main-to-sidelobe ratio and symmetry. Second, the method is simple and practical because only SLC data of high-resolution SAR systems (rather than raw signal data) are normally provided to general users. A processing window for each ground moving target is relatively small (few tens of pixels) because the image is already focused. This maximizes the computational efficiency and minimizes the distortion of neighboring stationary objects. In this paper, Sections 2 and 3 describe the derivation of the residual Doppler spectrum after azimuth and range compression and the processing tactics. Section 4 presents the application results that demonstrate the efficiency and effectiveness of the residual focusing in terms of the -3 dB width, integrated sidelobe ratio, and symmetry of the focused signals. Finally, the discussion and conclusions follow in Sections 5 and 6, respectively.

### 2. Correction Formula for SAR SLC data

## 2.1. Phase Effects of a Moving Target in 2D Frequency Domain

The received signal from a ground point target in a monostatic SAR configuration after demodulation is as follows [32]:

$$s_r(t,\tau) = rect\left(\frac{\tau}{T_a}\right) \cdot s_t\left(t - \frac{2R(\tau)}{c}\right) \cdot \exp\left\{-i2\pi f_0 \frac{2R(\tau)}{c}\right\}$$
(1)

where  $s_t()$  is the transmitted signal, R() is the slant range distance,  $f_0$  is the carrier frequency, c is the speed of light,  $T_a$  is the length of full aperture time, and t and  $\tau$  are the range time (or fast-time) and azimuth time (or slow-time), respectively. In general the amplitude modulation is described by two-way antenna pattern; however, since our interest here is the phase component of the returned signal, the amplitude component in Equation (1) is neglected without losing generality. The point-target spectrum of a stationary ground object in the 2D frequency domain is as follows [32,33]:

$$S_{r,ST}(f,f_{\tau}) \approx S_t(f) \cdot rect\left(\frac{f_{\tau}}{B_a}\right) \cdot \exp\left\{-i2\pi \frac{2R_0}{c}\sqrt{\left(f+f_0\right)^2 - \frac{c^2}{4V^2}f_{\tau}^2}\right\}$$
(2)

where  $S_t()$  is the Fourier transform of  $s_t()$ ,  $R_0$  is the range distance at the closest approach, V is the effective antenna velocity along the azimuth direction,  $B_a$  is the full aperture bandwidth, and f and  $f_{\tau}$  are the range frequency and azimuth (or Doppler) frequency, respectively.

Let us consider a ground moving object now. Figure 1 shows an imaging geometry of SAR to a ground object with a Cartesian coordinate (x, y, z). x, y, and z axes are with the azimuth direction, the zero-Doppler ground range direction, and the normal to the earth at the antenna position, respectively. For a space-borne imaging scenario, the use of earth ellipsoid model is more appropriate. However for describing the time-varying distance of a moving object during short observation time (less than 1 second for the stripmap mode), the real imaging geometry can be approximated by plane-earth geometry. The time-varying distance,  $R(\tau)$ , from a ground target located in  $(0, y_0, 0)$  at  $\tau = 0$  to the antenna, changing with a velocity of  $v_0 = (v_x, v_y, 0)$  and an acceleration of  $a_0 = (a_x, a_y, 0)$ , is given as follows:

$$R(\tau; R_0) = \sqrt{H^2 + (V\tau - v_x \tau - \frac{a_x}{2}\tau^2)^2 + (y_0 + v_y \tau + \frac{a_y}{2}\tau^2)^2} \\ \approx \sqrt{R_0^2 + (1 - 2\frac{v_x}{V} + \frac{a_y}{2}\frac{y_0}{V^2})V^2\tau^2} + v_y\frac{y_0}{R_0}\tau \\ \equiv R_m(t, \tau) - \frac{\lambda}{2}\alpha\tau$$
(3)

where  $R_m(\tau; R_0) = \sqrt{R_0^2 + V_m^2 \tau^2}$ ,  $V_m^2 = V^2 \left(1 - 2\frac{v_x}{V} + \frac{a_y y_0}{2V^2}\right)$ ,  $\alpha = -2\frac{v_y}{\lambda}\frac{y_0}{R_0}$ ,  $\lambda$  is the wavelength, *H* is the altitude of antenna, and  $R_0 = \sqrt{H^2 + y_0^2}$  in the given imaging geometry. Thus, the returned signal from a ground moving target in signal space is

$$s_{r,MT}(t,\tau) \approx rect\left(\frac{\tau}{T_a}\right) \cdot s_t\left(t - \left(\frac{2R_m(\tau)}{c} - \frac{\alpha}{f_0}\tau\right)\right) \cdot \exp\left\{-2\pi f_0\frac{2R_m(\tau)}{c}\right\} \cdot \exp\{+i2\pi\alpha\tau\}$$
(4)

The point target spectrum in the range frequency-azimuth time domain is given by

$$S_{r,MT}(f,\tau) \approx S_t(f) \cdot rect\left(\frac{\tau}{T_a}\right) \cdot \exp\left\{-i2\pi \frac{2R_m(\tau)}{c}(f_0+f)\right\} \cdot \exp\left\{+i2\pi \frac{\alpha}{f_0}(f+f_0)\tau\right\}$$
(5)

The range walk due to the moving target is expressed by the last phase component in Equation (5) and a modification of the relative antenna velocity,  $V \rightarrow V_m$ , in  $R_m(\tau)$  of the first phase component, which in turn affects the Doppler slope. Then, the moving target in the 2D frequency domain can be obtained from Equation (2) by replacing the Doppler frequency,  $f_{\tau}$ , with  $[f_{\tau} - \alpha(1 + f/f_0)]$  and the effective velocity, V, with  $V_m$ :

$$S_{r,MT}(f,f_{\tau}) \approx S_t(f) \cdot rect\left(\frac{f_{\tau} - \alpha(1 + f/f_0)}{B_a}\right) \cdot \exp\{-i2\pi\Phi(f,f_{\tau})\}$$
(6)

where

$$\Phi(f, f_{\tau}) = \frac{2R_0}{c} \sqrt{(f+f_0)^2 - \frac{c^2}{4V_m^2} \left[ f_{\tau} - \alpha \left( 1 + \frac{f}{f_0} \right) \right]^2} \\ = \frac{2R_0}{\lambda} \sqrt{\left( 1 + \frac{f}{f_0} \right)^2 - \frac{\lambda^2}{4V_m^2} \left[ f_{\tau} - \alpha \left( 1 + \frac{f}{f_0} \right) \right]^2}$$
(7)

Applying Taylor expansion of  $\Phi(f, f_{\tau})$  by  $\frac{f}{f_0}$  up to second order results in a simplified form as follows:

$$\Phi(f, f_{\tau}) \approx \frac{2R_0}{\lambda} \left\{ a_m(f_{\tau}; \alpha) + \frac{1}{a_m(f_{\tau}; \alpha)} \frac{f}{f_0} - \frac{1}{2} \frac{1}{a_m^3(f_{\tau}; \alpha)} \left(\frac{\lambda^2}{4V_m^2}\right) f_{\tau}^2 \left(\frac{f}{f_0}\right)^2 \right\} + \frac{2R_0}{\lambda} \left\{ \frac{\alpha \cdot (f_{\tau} - \alpha)}{a_m(f_{\tau}; \alpha)} \left(\frac{\lambda^2}{4V_m^2}\right) \frac{f}{f_0} \right\}$$
(8)

where  $a_m(f_{\tau}; \alpha) = \sqrt{1 - \frac{\lambda^2}{4V_m^2}(f_{\tau} - \alpha)^2}$ . Compared with the stationary target case in Equation (2), the terms with  $\alpha$  and  $V_m$  that are involved distort the point target spectrum of a ground moving target. It is necessary to compensate these terms when fine-tuning the SAR image of each ground moving target.

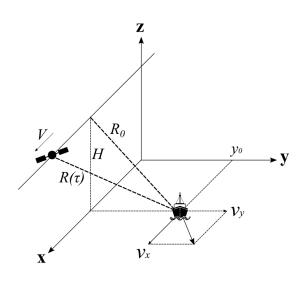


Figure 1. A stripmap synthetic aperture radar (SAR) observation geometry for a ground moving object.

# 2.2. The Residual Phase Removal in the SAR SLC Image

Since the SAR SLC image, rather than raw signals, is usually provided to general users, it is practical to consider phase compensation in SLC data. The SAR SLC data is formed by a series of processing steps, including range-curvature-migration correction (RCMC), azimuth compression, and the secondary range compression. These three processing steps for a point target can be modeled as multiplication by the complex conjugate of the following function [33]:

$$H(f, f_{\tau}) = \exp\left\{-i2\pi \frac{2R_0}{\lambda} \left(a(f_{\tau}) + \frac{1}{a(f_{\tau})} \left(\frac{f}{f_0}\right) - \frac{1}{2} \frac{1}{a^3(f_{\tau})} \left(\frac{\lambda^2}{4V^2}\right) f_{\tau}^2 \left(\frac{f}{f_0}\right)^2\right)\right\}$$
(9)

where  $a(f_{\tau}) = \sqrt{1 - \frac{\lambda^2}{4V^2} f_{\tau}^2}$ . The first term represents the transfer function of the azimuth chirp, the second that of the RCMC, and the last that of the secondary range compression [33,34]. Then, the compressed point target spectrum after compensating Equation (9) from Equation (6) in the 2D point target spectrum is given by

$$C_{1}(f, f_{\tau}) = S_{r,MT}(f, f_{\tau}) \cdot H^{*}(f, f_{\tau})$$
  
=  $rect\left(\frac{f}{B_{r}}\right) \cdot rect\left(\frac{f_{\tau} - \alpha(1 + f/f_{0})}{B_{a}}\right) \cdot \exp\{-i2\pi\Phi_{res}(f, f_{\tau})\}$  (10)

where

$$\Phi_{res}(f,f_{\tau}) = \frac{2R_0}{\lambda} \left(\frac{\lambda^2}{4V_m^2}\right) \alpha \cdot \left(f_{\tau} - \alpha \left(1 + \frac{f}{f_0}\right)\right) + \Phi_1(f_{\tau}) + \Phi_2(f,f_{\tau}) + \Phi_3(f,f_{\tau})$$
(11)

when the high-order terms are neglected. The first residual phase,  $\Phi_1(f_{\tau})$ , stands for the residual azimuth chirp,

$$\Phi_{1}(f_{\tau}) = -\frac{2R_{0}}{\lambda} \left( \frac{v_{x}}{V_{m}} - \frac{a_{y}}{4} \frac{y_{0}}{V_{m}^{2}} \right) f_{\tau}^{2}$$

$$\approx -\frac{2R_{0}}{\lambda} \left( \frac{v_{x}}{V} - \frac{a_{y}}{4} \frac{y_{0}}{V^{2}} \right) f_{\tau}^{2}$$
(12)

The second residual phase,  $\Phi_2(f, f_\tau)$ , is for the range shift and a coupling between the two frequencies,  $f_\tau$  and f,

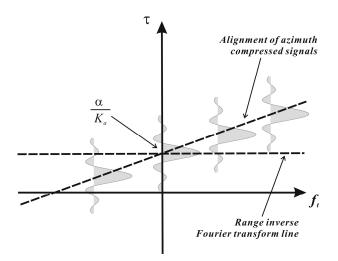
$$\Phi_2(f, f_\tau) = \frac{2R_0}{\lambda} \left\{ \left( \frac{1}{a_m(f_\tau; \alpha)} - \frac{1}{a(f_\tau)} \right) + \frac{1}{a_m(f_\tau; \alpha)} \left( \frac{\lambda^2}{4V_m^2} \right) \alpha f_\tau \right\} \cdot \left( \frac{f}{f_0} \right)$$
(13)

Finally, the third residual phase,  $\Phi_3(f, f_\tau)$ , is for the residual range compression that is usually very small unless the target's velocity is very high:

$$\Phi_3(f, f_\tau) = -\frac{2R_0}{\lambda} \frac{1}{2} \left\{ \frac{1}{a_m^3(f_\tau; \alpha)} \left( \frac{\lambda^2}{4V_m^2} \right) \alpha^2 \right\} \cdot \left( \frac{f}{f_0} \right)^2 \tag{14}$$

Among the three residual phases in Equations (12)–(14), the second term,  $\Phi_2(f, f_\tau)$ , is the most complicated and has not been well reviewed while the first term,  $\Phi_1(f_\tau)$ , has the biggest effect.  $\Phi_2(f, f_\tau)$  in Equation (13) is composed of two terms: a slight range-time shift due to  $V_m$  as in Equation (3) and a coupling term between Doppler frequency,  $f_\tau$ , and range frequency, f.

The effect of the latter is particularly significant. The coupling between the two frequencies in Equation (13) projects the azimuth-compressed signals on a slanted line rather than a horizontal line in the range frequency-azimuth time domain, as shown in Figure 2.



**Figure 2.** Schematic of the effect of residual phase  $\Phi_2(f, f_\tau)$  in the range frequency-azimuth time domain. The coupling between the range and azimuth frequencies projects the azimuth-compressed signals on a slanted line rather than a horizontal line in the range frequency-azimuth time domain. The slope largely depends on  $\alpha$  (or  $v_y$ ); consequently, the ground object with large range speed suffers a significant distortion.

The inverse Fourier transform along the horizontal range frequency results in asymmetric compressed signals in both the azimuth and range dimensions, as well as dispersion of the signal power to some extent. The steeper the slope is, the more serious the distortion of the compressed signal is. The slope largely depends on  $\alpha$  (or  $v_y$ ); consequently, the ground object with large range speed suffers a significant distortion. After removal of the three residual phases, the refocused 2D point target spectrum in Equation (10) becomes as follows:

$$C_{2}(f, f_{\tau}) = C_{1}(f, f_{\tau}) \cdot \exp\{+i2\pi\Phi_{res}(f, f_{\tau})\}$$
  
=  $rect\left[\frac{f}{B_{r}}\right] \cdot rect\left[\frac{f_{\tau}-\alpha(1+f/f_{0})}{B_{a}}\right] \cdot \exp\{-i2\pi\frac{\alpha}{K_{a}}\left[f-\alpha\left(1+\frac{f}{f_{0}}\right)\right]\}$  (15)

where  $K_a = \frac{2R_0}{\lambda} \left(\frac{\lambda^2}{4V_m^2}\right) \approx \frac{\lambda R_0}{2V^2}$ , which is called the Doppler slope. The point target spectrum in the range frequency-azimuth time domain after inverse Fourier transformation of the Doppler frequency is given by

$$C_2(f,\tau) = rect \left[\frac{f}{Br}\right] \cdot \sin c \left[B_a \cdot \left(\tau - \frac{\alpha}{K_a}\right)\right] \cdot \exp\left(+i2\pi\alpha\left(1 + \frac{f}{f_0}\right) \cdot \tau\right)$$
(16)

It is necessary to remove the last term in the range frequency-azimuth time domain by multiplying with the complex conjugate of following term:

$$K(f,\tau) = \left\{ \exp\left[ +i2\pi\alpha \cdot \left(\frac{f}{f_0}\right) \cdot \tau \right] \right\}$$
(17)

This process can also be achieved by applying the Keystone transform [35–37]. This transform is very effective if applied to raw or range-compressed signals [29–31,38], but this process is not a computationally efficient method. Instead of raw signals, here we consider the SLC data with which both azimuth and range compression are already performed, and unlike the rectangular function in Equation (1), the extension of the sinc function in the azimuth dimension in Equation (16) is very limited. Thus, the simple multiplication of Equation (17) would be sufficient to remove the coupling of the azimuth and range frequencies in the SLC data. After inverse-Fourier transformation ( $F^{-1}$ ) of the range frequency, the fine-tuned SLC image finally becomes as follows:

$$c_{3}(t,\tau) = F^{-1}(C_{2}(f,\tau) \cdot K^{*}(f,\tau))$$
  
= sin c[B<sub>r</sub>t] · sin c  $\left[B_{a} \cdot \left(\tau - \frac{\alpha}{K_{a}}\right)\right]$  · exp{+i2\pi \alpha \tau}] (18)

Now, the resulting effect of a ground moving target is an azimuthal shift by  $\alpha/K_a$  and a linear phase of  $\alpha$  in the fine-tuned SLC image. Recall that  $\alpha = -2 \frac{v_y y_0}{\lambda R_0}$  is a function of the range velocity of the target, the incidence angle, and the wavelength.

## 3. Residual Focusing of SAR SLC Data

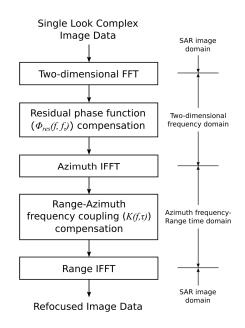
A fine-tuning of the not fully focused ground moving targets in an SLC image can be achieved by removing the three phases in Equations (12)–(14) in the 2D frequency domain, followed by applying Equation (17) in the range frequency-azimuth time domain. Since the detection of moving targets is beyond the scope of this paper, detection tactics are not discussed herein. To apply the residual focusing, it is necessary to estimate the velocity and acceleration components of an individual target. To retrieve velocity and acceleration components for isolated fast moving targets in SLC data, it is necessary to estimate Doppler parameters from a single range bin, or at most, a few range bins. There are already a wide variety of detection and Doppler parameter estimation techniques, and therefore a short review of prevalent techniques is presented.

Classical approaches assume that the Doppler shift of a moving target is directly observable in the returned SAR signals [1]. Space-borne SAR configuration needs to consider additional factors including Earth curvature and rotation [39]. For SAR data from the single-channel system, Doppler filtering must be used first to reduce contributions from clutter [40]. A Doppler-filtering method that requires a pulse-repetition frequency (PRF) four times larger than the clutter bandwidth was proposed [10]. The amplitude and phase modulations of the returned signal in the Fourier domain can be utilized to detect and resolve multiple moving targets, and the skew of the received signal in the 2D frequency domain was also discussed to resolve an aliased range velocity component [5]. Exploiting the image-blurring effect caused by the along-track velocity component, a Doppler rate detector was proposed and its performance was compared with that of the two-channel ATI and the DCPA method in [7]. Among the various approaches, the joint time-frequency analysis (JTFA) has been popularly applied to measure object motion directly from a chirp signal and was successful in retrieving the velocity by estimating the Doppler frequency rate of a moving object in the time-frequency domain [40–42]. The JTFA demonstrated the potential to extract a time sequence of motion parameters [43,44]. It is possible to measure the velocity of a ground moving vehicle with an error of less than 5% for a velocity higher than 3 m/s [45]. When the target contains prominent high backscatters, an iterative approach for searching maximum image contrast can be used [46,47].

In addition to the problem of a low signal-to-clutter ratio, the fundamental limitation of single-channel SAR is that the Doppler shift must be greater than the clutter Doppler spectrum

width, which can be achieved using a high pulse-repetition frequency (PRF) [11]. To overcome the shortcomings of single-channel SAR systems, multi-channel SAR systems using two or more antenna displaced in the along-track direction have become popular. In multi-channel approaches, moving target detection and motion parameter estimation can be achieved by adopting so-called clutter cancellation techniques. The main classes include the displaced phase center antenna (DPCA) [48–50], the ATI method [48,49,51], and raw data-based methods such as space-time adaptive processing (STAP) [50,52,53]. The DPCA algorithm directly subtracts the complex signals received at two different phase centers, while the ATI computes the phase difference of the two channels by exploiting interferometric techniques. In both approaches, clutter signals are cancelled out to remain signals contributed by moving objects. In STAP, instead of subtracting two signals on each range-azimuth pixel dimension, statistical approaches are used in order to suppress the clutter and noise. In general, STAP is the superior scheme when raw data are available, since it has additional processing gain (maximizing SNR) over optimized SAR processing. The acceleration component can also cause significant bias on the estimation of along-track velocity [54], including acceleration as an additional unknown parameter, leading to insufficient degrees of freedom to solve for the other parameters in a two-channel SAR system. However, its influence in the case of a space-borne SAR geometry is usually very small when compared with the case of an airborne SAR geometry, such that the target's motion is assumed to be constant in most cases of the SAR-GMTI problem.

In summary, there is a wide variety of approaches for Doppler parameter estimation. Since the efficiency of each method largely depends on the system parameters and configuration, one should carefully examine the properties of a given system and data. Once the Doppler parameters and velocity are retrieved from a given SAR SLC data, the next step is to apply the residual focusing. As described in the previous section, the proposed algorithm consists of two phase-multiplications in two different domains. Figure 3 shows the entire operations in the proposed correction scheme. Note that the residual phase,  $\Phi_{res}(f, f_{\tau})$ , and the range-azimuth frequency coupling,  $K(f, \tau)$ , in Figure 3 correspond to the Equations (11) and (17), respectively. Since the data has already been azimuth and range compressed, and the signal bandwidth of the moving target remains unchanged after taking a subset in the time domain, it would be sufficient to use a limited window size for the processing. From various tests, a sub-window of 64 × 64 is large enough for high-resolution, X-band SLC data from space-borne SAR such as TerraSAR-X and COSMO-SkyMed.



**Figure 3.** The operations in the proposed correction algorithm, which consists of two phase-multiplications in two different domains.

#### 4. Simulation and Application Results

#### 4.1. Simulation Results

Simulation tests have been carried out as follows using the system parameters of the TerraSAR-X stripmap mode (Table 1). First, SAR raw signals were simulated with a point target which moved at  $45^{\circ}$  oblique to the azimuth and range directions with various velocities ranging from -30 m/sto +30 m/s with 1 m/s interval. The motion of the point target was assumed to be constant, i.e., without acceleration for simplicity, as the effect of acceleration is often insignificant in the case of the space-born SAR stripmap mode, which has a relatively short azimuth integration time (for instance, less than 0.6 s for TerraSAR-X). The simulated raw signals were then focused into standard SLC images using a chirp-scaling algorithm [32]. After detection and Doppler parameter estimation of each moving target, a sub window of  $64 \times 64$  centered on the moving target was extracted and then corrected by applying the proposed method. To evaluate the performance of the method, we substituted the exact motion parameters into the fine-tuning filter, which means that there was no error in the detection and Doppler parameter estimation scheme. Finally, various quality parameters were measured from both the original and fine-tuned SLC images in order to evaluate the improvement achieved by the proposed refocusing method. Three parameters are typically used for SAR point target quality assessment: -3 dB width, integrated sidelobe ratio (ISLR), and symmetry. The -3 dB width is commonly used for spatial resolution estimation. Since the reconstructed signal from a moving point target is asymmetrical and the side lobe is difficult to determine, ISLR is used instead of the peak sidelobe ratio (PSLR). Figure 4a, b show -3 dB widths of both directions with varying moving speeds for the point target. Before applying the fine-tuning, the spatial resolution in the azimuth direction degrades rapidly as the target speed increases, while that in the range direction shows no significant changes. After applying the fine-tuning process, the spatial resolution in the azimuth direction is significantly improved. There is a small amount of residual broadening in the azimuth direction, which is proportional to the target speed. The ISLR in Figure 4c,d display the ISLR in both the azimuth and range directions. The azimuth ISLR increases steeply as the target speed increases up to -3 dB, while the range ISLR is almost unaffected by the target's motion. The improvement in the azimuth direction is significant particularly up to about 8 m/s (or 28.8 km/h).

Parameter	Value
Chirp length	47.17 μm
Range sampling rate	109.88 MHz
Chirp bandwidth	100 MHz
Carrier frequency	9.65 GHz
Antenna length	4.8 m
Effective velocity	7371.1 m/s
Pulse repetition frequency	3815.49 Hz
Slant range to scene center	650.79 km
Indicence angle at scene center	39.24°
Doppler centroid	0 Hz
Sensor height	513.08 km

Table 1. Summary of sensor model parameters used for simulation

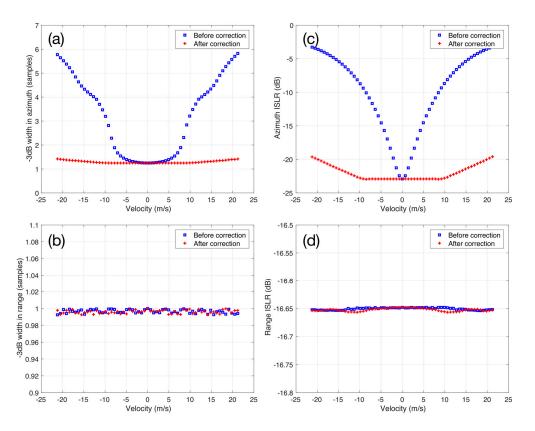
Symmetry is a measure of the energy balance of the compressed signal. In order to measure this quantity, we decomposed the power of the compressed signal  $P(x) = c_3(x) \cdot c_3^*(x)$  into symmetric and antisymmetric parts as follows:

$$P(x) = \frac{1}{2}[P(x) + P(-x)] + \frac{1}{2}[P(x) - P(-x)]$$
  
= P\_+(x) + P\_-(x) (19)

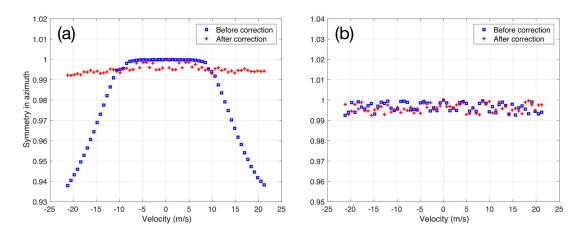
where *x* is a cell number along range or azimuth, axis centered at 0, which ranges between -N/2 and N/2 where *N* is the size of fine-tuning filter. Note that  $P_+(x)$  and  $P_-(x)$  are essentially symmetric and anti-symmetric, respectively. Then, the symmetry can be measured from vector norms (|| ||) as follows:

$$\psi = \frac{\|P_+(x)\|}{\|P_+(x)\| + \|P_-(x)\|}$$
(20)

which ranges from 0 (fully anti-symmetric) to 1 (fully symmetric). Figure 5 displays the changes of symmetry in both azimuth and range. As expected, the symmetry also becomes worse as the target's speed increases. Unlike the former two measures, the symmetry relies on range velocity. The simulation with varying speed only in the azimuth component showed no changes in symmetry. This is based on the fact that the range migration of a scatterer moving in the range direction is asymmetrical to the closest range distance, while that of a scatterer moving in the azimuth direction is fully symmetric in a zero-Doppler geometry. It may be of interest to note that all three parameters change abruptly when the target moves at around 8 m/s. This is nearly coincident with the moment when the peak power of a focused range cell migrates into the next range cell. This range walk effect is abrupt and discrete. Since we set the range center of the fine-tuning window to where we observe the maximum energy of the blurred target in units of integer number, the change in range cell number leads to inaccurate selection of the range distance, which is required for generating a proper filter. Consequently, the apparent performance of the algorithm, based on the quality parameters, seems to be gradually reduced; however, the overall achievement by the fine-tuning filter is sufficiently high to retrieve the target's true nature. The maximum error of post-correction in our simulation in the 30 m/s case was comparable to that of pre-correction at 3 m/s or less.

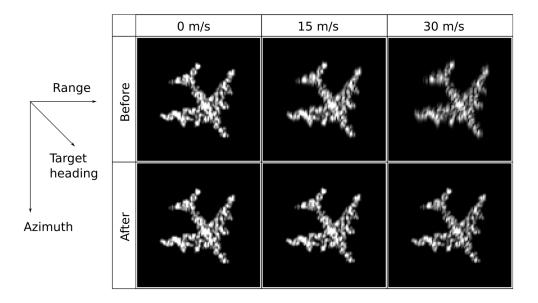


**Figure 4.** Simulation results of the -3 dB width and the integrated sidelobe ratio (ISLR) in the (**a**,**c**) azimuth and (**b**,**d**) range directions, respectively. The simulation was carried out using system parameters of the TerraSAR-X stripmap mode (see Table 1). The improvement in the azimuth direction is significant particularly up to about 8 m/s (or 28.8 km/h).



**Figure 5.** Simulation results of (**a**) azimuth and (**b**) range symmetry of the reconstructed signals. While the range symmetry was not seriously affected by the target's moving speed, the azimuthal symmetry deteriorated when the moving speed was higher than 8 m/s. The azimuthal symmetry is well recovered by the proposed method as in (**a**).

Although the quantitative performance evaluation was carried out using a point target simulation, a visual interpretation of the correction result for an extended target helps to convey how the image is restored. Figure 6 shows a simulated aircraft taxiing on the ground. The upper panels show the normally focused images, and the lower panels show the corresponding fine-tuned images. The correction results are not only well focused but also shifted a bit in the range direction because of the correction for the range walk.



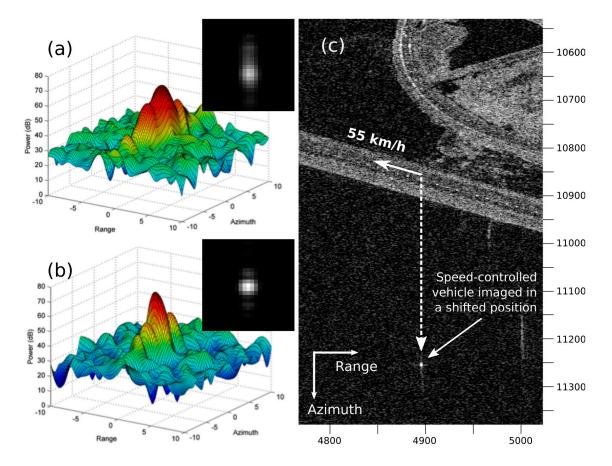
**Figure 6.** Simulation results of an extended target with varying velocities. The upper panels are for the single-look complex (SLC) sub-images processed by a standard synthetic aperture radar (SAR) focusing, and the lower panels for the fine-tuned images processed by the proposed algorithm. The fine-tuned images are not only well focused but also shifted a bit in the range direction because of the correction for the range walk.

# 4.2. Example of Application to TerraSAR-X Data

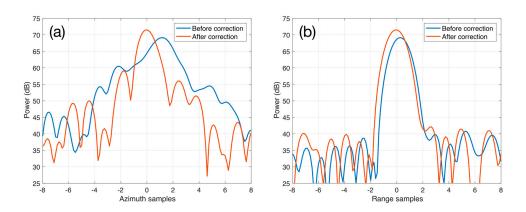
Test data were obtained by TerraSAR-X from a speed-controlled vehicle moving on the road with velocities of -6.6 and -13.8 m/s (or -23.8 and -49.6 km/h, respectively) in the azimuth and

range directions, respectively. The speed of the vehicle was precisely measured by GPS as well as speedometer, and was retrieved from the TerrSAR-X data itself by Doppler frequency analysis. The details of the data used in the test and velocity retrieval process are given in [45]. The application result is shown in Figures 7 and 8, which demonstrate the effectiveness of the proposed fine-tuning tactics for high-resolution SAR SLC data.

As seen in Figures 7 and 8, the target movement caused significant energy dispersion (or image blurring) in the azimuth and range directions, losing the symmetry of the typical sinc function, and causing a slight shift of peak locations along both the azimuth and range. Image blurring in the azimuth (see Figures 7a and 9a) has been previously well known, and the improvement of the peak sidelobe ratio is about 4 dB in this example. In addition to image blurring, asymmetry of the compressed signal in the azimuth direction is significant, as in Figure 8a. The symmetry value of 0.92 in the azimuth of the original SLC data is improved to 0.94 after fine-tuning. Also, this resulted in distortion removal of the target shape. Slight shifts in the peak locations by about 1.1 samples (or 2.13 m) and 0.3 samples (or 0.65 m) in the azimuth and ground range directions are also noted. The residual range compression by Equation (14) is, however, not significant in this example because the value of  $\Phi_3(f, f_{\tau})$  is relatively small compared with those of  $\Phi_1(f_{\tau})$  and  $\Phi_2(f, f_{\tau})$ .



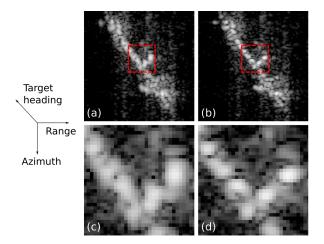
**Figure 7.** Sub-window image and power distribution of (**a**) the original SLC data and (**b**) the data after removing the residual phase. (**c**) The test was carried out using a speed-controlled vehicle moved with velocities of -6.6 and -13.8 m/s (or -23.8 and -49.6 km/h, respectively) in the azimuth and range directions, respectively [45]. Note the improvement of symmetry around the target as well as improvement of the compression ratio and peak sidelobe ratio by about 4 dB.



**Figure 8.** Power profiles crossing the center of the ground moving vehicle along the (**a**) azimuth and (**b**) range directions in Figure 7. Note the improvement in the peak sidelobe ratio by about 4 dB and that in the symmetry from 0.92 to 0.94 in the azimuth dimension, as in (**a**). In addition, the refocused object shifted by about 1.1 and 0.3 samples in azimuth and range direction, respectively.

Besides the speed-controlled target, there were several other moving objects in the same test image. As an example of the extended target, Figure 9 shows a large ship moving along an oblique to the azimuth and range direction. Although an in-situ measurement for the movement was not available, the joint time-frequency analysis [45] was used for the velocity estimation. The estimated velocity was -5.6 and -5.1 m/s (or -20.1 and 18.4 km/h) in the azimuth and range directions, respectively. Although the simulation results showed in the previous section indicates that the distortion would not be serious compared to the case with velocities higher than 8 m/s, the original image and the corresponding fine-tuned image in Figure 9a,b have notable differences.

Unlike the small object in Figure 7, this target is extended to few tens of pixels, and the radar reflectivities along the ship orientation look not much changing in the scaled image in Figure 9c. However, the fine-tuned image in Figure 9d indicates that the ship body actually has a structure with countable prominent points.



**Figure 9.** A moving ship observed in (**a**) the original SLC data and (**b**) the data after removing the residual phase. The original image size here is 64 by 64 pixels, however, the image was interpolated by a factor of two in order to show the details. The estimated velocity by using the joint time-frequency analysis [45] was -5.6 and -5.1 m/s (or -20.1 and -18.4 km/h, respectively) in the azimuth and range directions, respectively. Although the target speed is low considering the image distortion rapidly increases with speed higher than 8 m/s as noted in the simulation test, the scaled images, (**c**,**d**) show clear improvement in image focusing.

#### 5. Discussion

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Both simulation and TerraSAR-X results demonstrated the capability of the proposed method to improve the signal compression of ground moving objects. The improvement is a function of the target speed and monotonically is increased with the target speed up to 20 m/s. When the target speed was 7 m/s, the simulated signal in the azimuth direction was improved by 134% and 196% in -3 dBwidth and ISLR, respectively. The application to the TerraSAR-X also showed similar results with an improvement of 193% in -3 dB width for the vehicle whose speed was controlled at an azimuth velocity of 6.6 m/s. It should also be noted that the improvement of the compression ratio and peak sidelobe ratio was by about 4 dB. The refocused object was also shifted to the true position by about 1.1 and 0.3 samples in the azimuth and range direction, respectively. Symmetry of the compressed signal is also important to characterize the target, and the proposed method improved the symmetry by up to 0.94. Various SAR focusing methods have derived from raw radar signals [9,12], but they are neither computationally efficient nor practically applicable because of the data distribution policy of most high resolution SAR data. Among recent publications, the methods in [46,47] deal with a similar topic of ground moving target imaging. The method in [46] adopted the Stolt interpolation in the 2D frequency domain. Although the compression ratio of the method was very competitive, the asymmetric sidelobes were not fully suppressed [47]. A symmetry of 0.94 achieved from the TerraSAR-X results of this study in Figure 8 showed the superior performance of the proposed method. An improved method was also proposed by [47], which exploits the parameter sparse representation method and iteration. Image entropy and symmetry of compressed signals were significantly improved by the method in [47]. As far as the performance of refocusing is concerned, the method in [47] is superior to [46] at the cost of computational efficiency. The method in [47] reached the state of convergence after about one hundred iterations which significantly increased computation time.

As discussed before, the main advantages of the proposed method are twofold. First, the proposed residual focusing is based on the derived formulae of the Doppler spectrum after conventional image formation. Second, the method is simple and practically applicable to SLC data of high-resolution SAR systems. Although the proposed method demonstrated the competitive ability of residual focusing, it does have some limitations. First, the correction formulae in Equations (12)–(14) are approximated to the second-order terms, and consequently the effects of high-order terms remain to be further accounted. Second, the final quality of the residual focused SAR image depends on the accuracy of the Doppler parameters used for processing. The Doppler parameters can be obtained by parameter estimation from SLC data, which is beyond the scope of this paper. A number of approaches for Doppler parameter estimation have been developed and typical examples are referred to in [43–45]. One should carefully examine the properties of a given system and data before Doppler parameter estimation because the efficiency of each method largely depends on the system parameters and configuration. Third, the coupling of the azimuth and range frequencies in the SLC data described in Equation (16) and Figure 2 is sensitive to the slope  $\alpha$  which is a function of the range velocity of the target, the incidence angle, and the wavelength. A more sophisticated approach than the simple phase compensation by Equation (17) might be necessary if a further refinement in range dimension is required. For this purpose, raw SAR signals rather than SLC data should be involved in the processing.

## 6. Conclusions

Theoretical formulae were derived for the fine-tuning of ground moving targets in SAR SLC data and processing tactics were proposed. The proposed fine-tuning significantly improved the image quality in three aspects: residual compression, the symmetry of the compressed signal in both the azimuth and range directions, and the slight shift of the peak positions. While various focusing methods for SAR raw signals have been developed previously, a general approach for SAR SLC data has not been well investigated. The proposed method is practical for post-processing of SAR SLC data by general users. The importance of the method lies in the fact that most SAR data provided to general users are SLC data rather than raw signals. The residual focusing is simple and straightforward,

based on an elaborately derived residual Doppler spectrum with a relatively small processing window. Simulation results support that the target's motion deteriorates image quality particularly in azimuth in terms of the -3 dB width, ISLR, and asymmetry, and the proposed fine-tuning efficiently restores the image quality. It may be of interest to note that all three parameters (-3 dB width, ISLR and asymmetry) change abruptly when the target moves faster than 8 m/s. The application results using TerraSAR-X and a speed-controlled ground moving vehicle demonstrate the effectiveness of the method without a heavy computational burden. The residual focusing ideally reconstructs ground moving targets with particular improvements of the compression ratio and symmetry.

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