



# Article Improved Surface Soil Organic Carbon Mapping of SoilGrids250m Using Sentinel-2 Spectral Images in the Qinghai–Tibetan Plateau

Jiayi Yang <sup>1,2</sup>, Junjian Fan <sup>2</sup>, Zefan Lan <sup>1,2</sup>, Xingmin Mu <sup>1,2</sup>, Yiping Wu <sup>3</sup>, Zhongbao Xin <sup>4</sup>, Puqiong Miping <sup>5</sup> and Guangju Zhao <sup>1,2,6,\*</sup>

- State Key Laboratory of Soil Erosion and Dryland Framing on the Loess Plateau, Institute of Soil and Water Conservation, Northwest A&F University, Xianyang 712100, China
- <sup>2</sup> Institute of Soil and Water Conservation, Chinese Academy of Science and Ministry of Water Resources, Xianyang 712100, China
- <sup>3</sup> Department of Earth and Environmental Science, School of Human Settlements and Civil Engineering, Xi'an Jiaotong University, Xi'an 710049, China
- <sup>4</sup> Institute of Soil and Water Conservation, Beijing Forestry University, Beijing 100083, China
- <sup>5</sup> Hydrology and Water Resources Branch Bureau of Shigatsa, Hydrology and Water Resources Geological Bureau of Tibet Autonomous Region, Shigatsa 857000, China
- <sup>6</sup> Sate Key Laboratory of Hydrology-Water Resources and Hydraulic Engineering, Nanjing Hydraulic Research Institute, Nanjing 210029, China
- \* Correspondence: gjzhao@ms.iswc.ac.cn

Abstract: Soil organic carbon (SOC) is a critical indicator for the global carbon cycle and the overall carbon pool balance. Obtaining soil maps of surface SOC is fundamental to evaluating soil quality, regulating climate change, and global carbon cycle modeling. However, efficient approaches for obtaining accurate SOC information remain challenging, especially in remote or inaccessible regions of the Qinghai–Tibet Plateau (QTP), which is influenced by complex terrains, climate change, and human activities. This study employed field measurements, SoilGrids250m (SOC\_250m, a spatial resolution of 250 m  $\times$  250 m), and Sentinel-2 images with different machine learning methods to map SOC content in the QTP. Four machine learning methods including partial least squares regression (PLSR), support vector machines (SVM), random forest (RF), and artificial neural network (ANN) were used to construct spatial prediction models based on 396 field-collected sampling points and various covariates from remote sensing images. Our results revealed that the RF model outperformed the PLSR, SVM, and ANN models, with a higher determination coefficient ( $R^2$  of 0.82 is from the training datasets) and the ratio of performance to deviation (RPD = 2.54). The selected covariates according to the variable importance in projection (VIP) were: SOC\_250m, B2, B11, Soil-Adjusted Vegetation Index (SAVI), Normalized Difference Vegetation Index (NDVI), B5, and Soil-Adjusted Total Vegetation Index (SATVI). The predicted SOC map showed an overall decrease in SOC content ranging from 69.30 g  $kg^{-1}$  in the southeast to 1.47 g  $kg^{-1}$  in the northwest. Our prediction showed spatial heterogeneity of SOC content, indicating that Sentinel-2 images were acceptable for characterizing the variability of SOC. The findings provide a scientific basis for carbon neutrality in the QTP and a reference for the digital mapping of SOC in the alpine region.

**Keywords:** soil organic carbon; Sentinel-2; digital soil mapping; machine learning; Qinghai–Tibetan Plateau

# 1. Introduction

Soil organic carbon (SOC) is a critical factor for assessing soil quality and provides a key role in the overall carbon pool balance and the global carbon cycle [1]. The carbon stored in soils is three times greater than atmospheric carbon storage, even a slight loss of soil carbon to the atmosphere has a substantial influence on greenhouse gas emissions. SOC has



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). become a focal point for global warming [2–4] and carbon neutrality was then proposed for targeting the mitigation of climate change. The soil in high-altitude ecosystems, such as the Qinghai–Tibet Plateau (QTP), is generally characterized by high organic carbon density and great spatial variability [5]. The permafrost on the QTP is undergoing extensive degradation since it is more sensitive to climate warming, which could result in the decomposition of SOC in permafrost by microorganisms, influencing the global greenhouse effect and carbon neutrality [6,7]. Field-measured SOC in the permafrost region of the QTP is limited due to the harsh natural environment and challenging access to the depopulated zone. The content and spatial variability of SOC in the permafrost region (about 40% of the area of the QTP) [8] are unclear due to a lack of reliable in situ data. The movement, turnover and transport of SOC in soil, water and atmosphere have not been deeply investigated due to the unclear spatial distribution of SOC [9]. Thus, a high-resolution SOC map is important for improving the understanding of the SOC movement and sustainably managing QTP ecosystems.

SOC acquisition is typically more accurate with soil sampling and laboratory measurement but these procedures are time- and labor-consuming and are not applicable at a regional scale [10]. Remote sensing data offers an alternative approach for monitoring and mapping SOC content [11]. Related studies indicated that remote sensing data was adequate for retrieving soil and geological properties [12], and provided high potential for regional digital soil mapping. Meng, et al. [13] found hyperspectral sensors can better utilize the spectral properties corresponding to SOC content for organic carbon prediction due to a large number of SOC-sensitive bands and narrower bandwidth. Castaldi, et al. [14] applied the Sentinel-2 data to predict SOC content in cropland regions and depicted SOC variability in farmland using Sentinel-2 images. Lu, et al. [15] predicted SOC distribution in a plantation area using Landsat and related data and provided invaluable methods to accurately predict SOC. As a high-quality multispectral sensor, Sentinel-2 combines extensive coverage (swath width of 290 km) to provide extraordinary terrestrial perspectives, spatial resolution (10-60 m), and minimum five-day global revisit time (twin satellites are in orbit). The data obtained from the Sentinel-2 images demonstrated its capability of monitoring and mapping various soil properties on a global scale [16].

Advancements in digital soil mapping (DSM) have allowed pedologists to interpret soil properties and ecological processes using the machine learning method [17]. These widely used methods include partial least squares regression (PLSR), support vector machines (SVM), random forests (RF), etc., which were used successfully to assess the spatial variability of SOC [18,19]. There are considerable studies using machine learning techniques and remote sensing images for SOC mapping with related soil characteristics and environmental variables [20–22]. Ließ, et al. [23] combined a digital elevation model and satellite images to predict SOC stocks with five machine learning methods and found the boosted regression tree approach produced the best overall model. Wang, et al. [24] showed that the RF model increased the predictive ability of high-resolution mapping of SOC stocks.

With an average altitude of nearly 4000 m, the QTP is the world's largest mountain system and low-latitude permafrost area on earth [25]. The permafrost of the QTP holds a large amount of SOC [26]. However, climate warming has led to permafrost degradation, which changes the content and distribution of SOC [27]. Several studies have attempted to assess the spatial variability of SOC content in the QTP [28–30]. High-resolution national soil information grids of China contain a variety of soil factors in different layers and have a spatial resolution of 250 m  $\times$  250 m [31] but uncertainties existed in the 250 m data due to its complex topography, poor field accessibility, and sensitive ecological environment [32,33]. As mentioned above, there is no consistency in the applicable model for a specific region, the precision of the model is quite different and the results are not comparable. In the QTP, a field soil survey with the help of remote sensing images is a possible solution for predicting soil spatial variability with high spatial resolution. The aims of this study are to (1) improve the SOC mapping from a coarse spatial resolution of SOC data using an

optimal machine learning method with field survey data and Sentinel-2 data; and (2) to obtain spatial variability of the SOC map in the surface of the QTP via digital soil mapping.

## 2. Materials and Methods

# 2.1. Study Area

The Qinghai–Tibetan Plateau (Figure 1) covers an area of nearly 2.6 million km<sup>2</sup> (26°00'12″–39°46'50″N, 78°18'52″–104°46'59″E). The elevation of the QTP is generally higher than 4000 m above sea level [25] and the QTP is renowned as the "Asian Water Tower", its freshwater resources play a crucial role in human existence environment and sustainable development of Eastern Asia, Southern Asia, and Central Asia [34,35]. The combination of terrain structure and atmospheric circulation results in a warm and humid climate in the southeast and a cold and dry climate in the northwest of the QTP [36]. Precipitation is mainly concentrated from June to September, reaching more than 70% of the yearly total. Precipitation decreases from 2000 mm in the southeast to <50 mm in the northwest, and temperature has similar pattern with a mean annual temperature of -5.75 to 2.57 °C. The plateau is covered with large areas of alpine steppes and alpine meadows, and shrubs are mainly distributed in the southeast, whereas coniferous and broadleaf forests occur mainly in the south and southeast of the QTP and large bare land exists in the northwest [37].

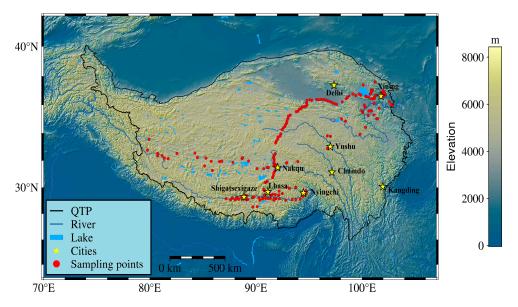


Figure 1. Distribution of sampling points in the QTP.

#### 2.2. Methodological Framework

Figure 2 shows the methodological framework involved in our study to model and map SOC concentration in the QTP, including a combination of field measured and machine learning modeling with Sentinel-2 images. In total, we collected 396 surface sampling points to measure the SOC content across the QTP. The field survey attempted to cover as many soil sampling points as possible due to the large stretch of the QTP. Furthermore, we considered different vegetation types, land use, topography and soil types in the whole QTP, and make sure the sampling points represent various soil types. Afterward, a number of potential predicting variables, such as the publicly available SOC data, Sentinel-2 data, vegetation indices, etc. will be selected as input for SOC prediction by machine learning methods. Four different methods including PLSR, SVM, RF, and ANN will be tested to select the optimal approach for SOC spatial prediction. All variables are brought into the optimal RF model and corrected with m<sub>try</sub> and n<sub>tree</sub> to select more important variables. The covariates selected by the VIP were: SOC\_250m, B2, B11, SAVI, NDVI, B5, and SATVI for SOC spatial prediction.

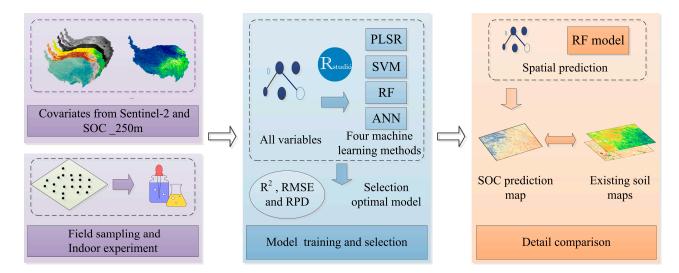


Figure 2. Methodological flowchart for SOC prediction.

# 2.3. Soil Organic Carbon Data

The SOC data used in this work involve field measured data from the QTP and the Soil Information Grids database from the National Tibetan Plateau Data Center.

Field measured data: a total of 396 surface sampling points were obtained in the QTP from 2019 to 2020 (Figure 1). Three 1 m  $\times$  1 m sampling squares were chosen randomly as replicates at each sampling point. Soil samples were obtained at three different locations on the surface sampling square after vegetation aboveground surface crusts and plant litter were removed. Three soil samples from the sampling squares were then mixed into a composite soil sample [38]. Air-dried soils were sieved through a 2 mm screen for analysis in the laboratory. The Walkley–Black dichromate wet-chemistry method was used to estimate the SOC [39].

Soil Information Grid database: The Soil Information Grid database provided a set of specifications for high-resolution national gridded maps across China (https://data. tpdc.ac.cn/zh-hans/ (accessed on 6 December 2021)). Note that many other covariates, such as soil properties, climatic, topographic, etc., were applied to DSM and proved to be useful by Liu, et al. [40]. Our experiments focused on surface SOC prediction by combining the SoilGrids250m (SOC\_250m) and the Sentinel-2 images with the optimal machine learning method.

# 2.4. Environmental Covariates Based on Sentinel-2 Images

Google Earth Engine (GEE) is a cloud-based platform that allows users easy access to powerful computing resources for processing extremely massive geospatial information [41]. It offers an alternating platform for extensive geospatial algorithm advancement, providing higher-impact, data-driven research. We used Sentinel-2 MSI: Multi-Spectral Instrument, Level-2A dataset from GEE to obtain spectral reflection data of the QTP. The Sentinel-2 data is a wide-swath, high-resolution, multispectral imaging mission with a global 5-day revisit frequency [42]. The Sentinel-2 data contain 13 spectral bands including visible and near-infrared at 10 m, red edge and SWIR at 20 m, and atmospheric bands at 60 m (Table 1), which can be used to monitor changes in vegetation, soil and water, and inland rivers and coastal locations. Furthermore, the product was pre-processed by European Space Agency (ESA) for radiation calibration, atmospheric correction, etc., ensuring that the data reflects the reflectivity information of the surface, and can be used without subsequent processing after downloading. The Earth Engine Data Catalog (https://developers.google.com/earth-engine/datasets/ (accessed on 24 January 2022)) was used to reference all characteristics of the Sentinel-2 satellite's multispectral instrument. Based on the timing of our field survey and sampling, we downloaded the atmospherically corrected Sentinel-2 remote sensing images of the QTP with a uniform image spatial resolution of 20 m in the summer season of 2019 and 2020 (from June to September) with the GEE (https://code.earthengine.google.com/ (accessed on 18 May 2022)). As listed in Table 1, a total of 13 bands are available for digital soil mapping, here, we only selected 9 bands (B2, B3, B4, B5, B6, B7, B8A, B11, B12) to predict SOC since the bands B1 and B8 are not suitable for analysis [43]. The B8A was chosen instead of B8 to characterize soil spectral absorption characteristics, which meet the requirements of higher spatial resolution of the study area. Meanwhile, B1 and B9 were not selected since they were used to monitor aerosols in coastal waters and the atmosphere and characterize water vapor [44].

Sentinel-2 Bands	Wavelength (nm)	Resolution (m)	<b>Description</b> Aerosol	
B1	443.9	60		
B2	496.6	10	Blue	
B3	560.0	10	Green	
B4	664.5	10	Red	
B5	703.9	20	Red Edge 1	
B6	740.2	20	Red Edge 2	
B7	782.5	20	Red Edge 3	
B8	835.1	10	NIR	
B8A	864.8	20	Red Edge 4	
B9	945.0	20	Water vapor	
B11	1613.7	20	SWIR1	
B12	B12 2202.4		SWIR2	

Table 1. Characteristics of the Sentinel-2 satellite.

In this study, we calculated environmental factors from Sentinel-2 images using ArcGIS 10.2. Previous studies found that vegetation was an essential determinant influencing SOC content [45,46]. Thus, many vegetation indices were applied for soil digital mapping by combining different bands that can reflect vegetation growth under various conditions. We calculated a variety of relevant vegetation indicators via Sentinel-2 images in this study, including the Normalized Difference Vegetation Index (NDVI), Transformed Vegetation Index (TVI), Enhanced Vegetation Index (EVI), and Soil-Adjusted Vegetation Index (SAVI). The detailed calculation procedures are available in Table 2.

Table 2. Definition and calculation of the selected spectral indices.

Index	Definition	Calculation Based on Sentinel-2 Image Bands		
NDVI	<u>NIR–Red</u> NIR+Red	$\frac{B8-B4}{B8+B4}$		
TVI	$\sqrt{0.5 + rac{ ho NIR -  ho Red}{ ho NIR +  ho Red}}  imes 100$	$\sqrt{0.5+rac{B8-B4}{B8+B4}} imes 100$		
EVI	$\frac{\rho NIR - \rho Red}{\rho NIR + 6 \times \rho Red - 7.5 \times \rho Blue + 1} \times 2.5$	$\frac{B8-B4}{B8+6\times B4-7.5\times B2+1}  imes 2.5$		
SATVI	$rac{ ho SWIR1- ho Red}{ ho SWIR1+ ho Red+1} imes 2-rac{ ho SWIR2}{2}$	$rac{B11-B4}{B11+B4+1} imes 2-rac{B12}{2}$		
SAVI	$\frac{(\rho NIR - \rho Red) \times 1.5}{\rho NIR + \rho Red + 0.5}$	$\frac{(B8-B4) \times 1.5}{B8+B4+0.5}$		

2.5. Models for Soil Organic Carbon Prediction

#### 2.5.1. PLSR

The PLSR is a regression analysis method that allows statistical analysis of multivariate data by allowing simultaneous regression modeling, data structure reduction, and correlation analysis between two sets of variables [20,47]. The main difference between PLSR and ordinary least squares regression is the regression modeling process. PLSR uses data dimensionality reduction, information synthesis, and screening procedures to extract the new integrated components that best explain the system. In linear regression, we assumed that the resulting model y = f(x) is a hyperplane in a space with high dimensions. Assuming that  $x_i \in \mathbb{R}^2$  is a two-dimensional vector; then f(x) is a plane. In least squares, we require the error term  $\varepsilon_i = y_i - f(x_i)$  to have the smallest sum of squares. In fact,  $|\varepsilon_i|$  is the distance between the true value  $y_i$  and the corresponding point  $f(x_i)$  in the plane.

#### 2.5.2. SVM

The SVM is a classical machine learning model in DSM that has improved the accuracy of predicting soil properties in recent years. It is mainly applied to perform classification and regression analysis on linear, in addition to nonlinear sample data and outlier detection. The data nonlinearity problem can be solved based on the kernel functions to map all sample data into a high-dimensional space with a feature dimension [48]. The SVM can tolerate a maximum divergence  $\varepsilon$  between f(x) and y, the loss is determined only when the exact value of the gap between f(x) and y is more than  $\varepsilon$ . This is equivalent to having f(x) at the center, a band of interrogation with a width of  $2\varepsilon$  is formed and the training samples are considered to be correctly predicted if they fall into this band.

#### 2.5.3. RF

The RF is composed to perform classification and regression issues using decision trees. The method invoices the idea of ensemble learning (bagging), with the model consisting of a series of regression trees where each tree is trained on a bootstrap sample, and predictions derived by averaging prediction outputs [49]. Specifically, the traditional decision trees select a feature set from each node to obtain the optimal split features, while RF performs the selection of the feature set from the nodes from a randomly generated subset of *k* features. The parameter *k* controls the randomness, and here the splitting is the same as the traditional decision tree at k = d. When k = 1, the splitting features are selected randomly. Usually, the recommended value of *k* is  $k = log_2d$  [49]. In training, the model must define three parameters: the number of trees in the forest (n<sub>tree</sub>), the minimum number of data points in each terminal node (node size) and the number of features to try at each node (m<sub>try</sub>).

## 2.5.4. ANN

The ANN is a mathematical model that approximates the organization of synaptic connections in the brain and performs distributed parallel information processing. The model is built on the interconnection of many nodes to construct a neural network that can process massive volumes of data [50]. The ANN regression consists of input layer, hidden layer, and output layer, and linear or non-linear relationships will be established between input or output data. For numerous training sessions, different random beginning points are picked at random, and the outcomes are averaged to obtain the variable importance for each variable.

#### 2.5.5. Model Performance

We took 75% of the 396 surface sampling points as the calibration dataset and 25% as the validation dataset, and preprocessed the calibration and validation dataset separately, including the mean, Kurtosis, CV, etc. The model performances can be quantified by the root mean squared error (RMSE), coefficient of determination ( $\mathbb{R}^2$ ), mean absolute error (MAE), and the ratio of performance to deviation (RPD). In the model classification scheme of Chang, et al. [51], when the RPD < 1.0, the model has poor predictive ability and is not recommended; when 1.0 < RPD < 1.4, the model is only sufficient to detect high and low values; when 1.4 < RPD < 2.0, the model is fair, which may be used for evaluation and correlation; when 2.0 < RPD < 2.5, the model displays a very good quantitative; and when RPD > 2.5, the model has excellent prediction ability. All of the modeling and testing for these models were carried out in the RStudio (https://rstudio.com/ (accessed on 27 June 2022)).

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (y_o - y_p)^2}{n}}$$
(1)

$$MAE = \frac{\sum_{i=1}^{n} \left| y_o - y_p \right|}{n} \tag{2}$$

$$RPD = \frac{std}{RMSE} \tag{3}$$

where  $y_o$  is the observed SOC content (g·kg<sup>-1</sup>);  $y_p$  is predicted SOC (g·kg<sup>-1</sup>); *std* is the standard deviation of the observations; and n is the number of samples.

The model used to predict SOC was chosen by removing redundant covariates and selecting the most relevant covariates, which minimizes model complexity and processing costs [52]. We estimated the significant fraction of the increase in the mean square error (IncMSE) in the RF model. The IncMSE for a particular predictor variable i is determined as a subsequent increase in the mean square error (MSE) of the out-of-bag (OOB) sample due to the fact that predictor i is randomly ranked, while the other predictors remain unchanged [53]. Therefore, the smaller the IncMSE value, the less important the predictor i is.

$$IncMSE = \frac{MSE_i^{OOB} - MSE^{OOB}}{MSE^{OOB}} \times 100$$
(4)

where  $MSE^{OOB}$  is the MSE of OOB samples and  $MSE_i^{OOB}$  is the MSE of OOB samples when given predictor *i* is permuted.

#### 3. Results

#### 3.1. Model Performance

As illustrated in Table 3, the measured value of SOC in the total datasets ranged from 0.781 to 84.778 g·kg<sup>-1</sup>, and the mean value was 17.452 g·kg<sup>-1</sup>, with a CV of 92.928%. The mean, minimum, maximum, and CV of calibration and validation datasets were similar among the four models (PLSR, SVM, RF, and ANN). The calibration and validation datasets of the four models were randomly selected based on the total datasets and the standard deviation showed some differences between the models. Kurtosis was lower in the validation dataset of the PLSR and RF models, while the calibration dataset of the SVM and ANN models provided a higher kurtosis value.

Table 3. Description of SOC predictions from four models.

Model	Samples	Mean (g∙kg <sup>-1</sup> )	Min (g∙kg <sup>-1</sup> )	Max (g∙kg <sup>-1</sup> )	SD (g·kg <sup>-1</sup> )	Kurtosis	CV (%)
	Total	17.452	0.781	84.778	16.218	3.681	92.928
DLCD	Calibration	17.488	0.781	84.778	16.289	3.972	93.140
PLSR	Validation	17.337	1.107	72.720	16.078	2.931	92.733
<b>CID</b> (	Calibration	17.389	0.781	81.940	15.952	3.562	91.736
SVM	Validation	17.622	1.100	84.778	16.991	4.084	96.418
RF	Calibration	17.464	0.781	84.778	16.346	3.953	93.597
	Validation	17.414	1.145	71.805	15.895	2.929	91.279
ANN	Calibration	17.389	0.781	81.940	15.952	3.562	91.736
	Validation	17.622	1.100	84.778	16.991	4.084	96.418

Figure 3 exhibited stronger linear relationships between the measured and predicted SOC from RF ( $R^2 = 0.82$ ) for model calibration. In general, the RF is optimal among the four models and has strong generality and consistency. In addition to RF, SVM also showed good performance compared to PLSR and ANN, with an  $R^2$  higher than 0.6 and an RPD higher than 1.51. PLSR indicated poor stability and generalization among all the models, with the lowest  $R^2$  (0.43) and RPD (1.18). The model performance indicated that the RF

was the optimal model according to the RMSE,  $R^2$ , and RPD (Table 4). An RPD > 2.0 implies that the model has a strong prediction ability [51]. Apparently, only RF has the highest prediction ability (RPD = 2.54), suggesting a high potential for SOC prediction when compared to the RPD of the remaining three models. Then, we used the field measurements to analyze with soilGrids250m values, the value of RMSE in the Calibration Dataset was 1.09 higher than RF and the value of R<sup>2</sup> was 0.45 lower than the RF model.

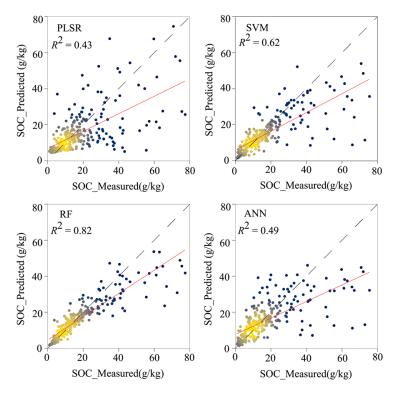


Figure 3. Predicted and observed SOC for the calibration dataset.

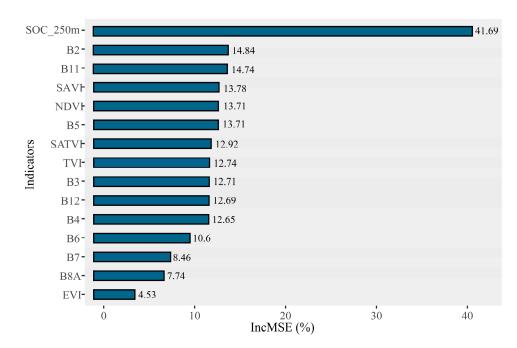
Model	<b>Calibration Dataset</b>		Validation Dataset			
	RMSE <sub>C</sub> (g∙kg <sup>-1</sup> )	R <sub>C</sub> <sup>2</sup>	RMSE <sub>V</sub> (g∙kg <sup>−1</sup> )	$R_V^2$	RPD	Regression
PLSR	0.871	0.432	0.942	0.242	1.185	y = 0.480x + 6.753
SVM	0.903	0.619	1.148	0.506	1.511	y = 0.507x + 6.118
RF	0.456	0.823	0.870	0.576	2.537	y = 0.622x + 4.911
ANN	1.067	0.491	1.056	0.597	1.270	y = 0.437x + 7.919
SOC_250m	1.088	0.451	1.093	0.400	0567	y = 1.176x - 0.702

Table 4. Model prediction performance statistics.

## 3.2. Variable Importance of the Optimal Model

Figure 4 exhibited the ranking of the VIP based on the RF, and a higher IncMSE value indicated more important variables. The SOC\_250m was found to be the most relevant predictor based on IncMSE. In addition, the variables with the highest IncMSE value in the nine single bands and the five spectral indices were B2 and SAVI. The variable importance provides some insights into the selection of variables for RF.

The accuracy of the RF model was evaluated by tuning the input variables from 1 to 15. Figure 5 displayed the results of the RF model for tuning parameters. The abrupt changing of  $m_{try}$  was 7, whereas the RMSE was less than 0.84, MAE was less than 0.619, and  $R^2$  was higher than 0.62. Accordingly, SOC\_250m, B2, B11, SAVI, NDVI, B5, and SATVI were selected for the following estimation. The discrepancies between RMSE, MAE, and  $R^2$  fluctuated slightly when the number of trees tuned increased from 300 to



1000 (Figure 5). Furthermore, the lowest RMSE, MAE, and highest  $R^2$  value can be detected when  $n_{tree} = 600$ .

Figure 4. The variable importance projection values distribution in RF.

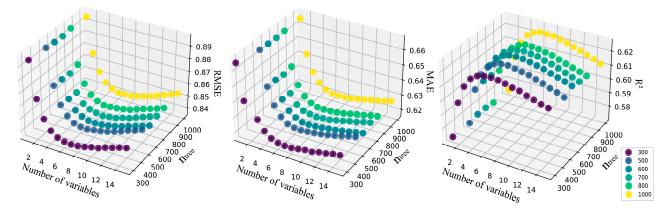


Figure 5. RF accuracy during the variable selection process using the different numerous trees (n<sub>tree</sub>).

#### 3.3. Spatial Prediction and Mapping of SOC

Figure 6 demonstrates the predicted maps of SOC content at 20 m resolution across the whole QTP. The predicted values of SOC vary from 1.465 to 69.30 g·kg<sup>-1</sup>, while the field measured SOC varies from 0.781 to 84.78 g·kg<sup>-1</sup>. Compared with the sampling points in the QTP, the measured values had a wider range than that of the predicted values (Table 3). In general, the SOC content gradually decreases from southeast to northwest in the QTP, the higher values were mostly located in eastern Tibet and the Three Rivers Watershed basin, and the lower values were concentrated in the Qiangtang Plateau and western part of the QTP. The results also indicated that more than 31.34% of the region has a SOC content with a range of 50–60 and 60–70 g·kg<sup>-1</sup>. Overall, the SOC content of the QTP mainly ranged from 1 to 40 g·kg<sup>-1</sup>.

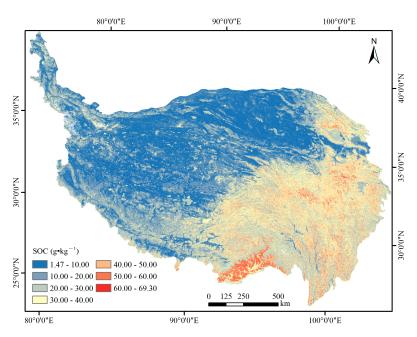


Figure 6. Spatial variability of SOC in the QTP.

#### 4. Discussion

#### 4.1. Model Performance and Covariates Selection

This study compared the predictability of PLSR, SVM RF, and ANN models in mapping SOC based on different environmental covariates across the QTP. As shown in Table 4 and Figure 3, the RF model had the highest  $R^2$ , and the lowest RPD and RMSE. Thus, the performance of the four models developed in our study indicated that the RF model was optimal for the QTP [54]. A similar result was obtained by Heung, et al. [55], who concluded that RF outperformed all other models with a 52% total accuracy average regardless of the sample design. However, it should be noted that the model performance had subtle differences between training and validation, reflecting the problem of over-fitting with limited samples when processing a strong model [24]. Nevertheless, RF performed well in predicting SOC content through multiple decision regression trees. The PLSR has extremely strong applicability in establishing the model of soil fertility index from spectral data [56], while it was not satisfactory in this study. For the linear model, we did not consider the linear relationship between SOC and covariates, especially for the QTP with high variability. Additionally, Were, et al. [57] concluded that the spatial variability of SOC inventory in the Eastern Mau Forest Reserve could be predicted more accurately using SVM rather than RF. Theoretically, land use and topographic attributes are the most significant indicators of SOC content [58,59], the disparity in the two studies is largely dependent on the contrast in vegetation types between forests and alpine meadows. Forkuor, et al. [60] concluded that no single machine-learning method could be optimally suited to different regions. Given that the RF model had relatively high accuracy among all evaluations, the RF model can be applied to predict the variability of SOC in the QTP. Compared to the SOC\_250m dataset, our prediction had higher accuracy according to the RMSE and  $R^2$ .

Until now, multispectral satellite sensors have experienced rapid development in spatial and spectral resolution, especially the new generation of multispectral imaging satellite Sentinel-2 is capable to capture several wavelengths in the SWIR region, including absorption characteristics associated with SOC and soil texture. From the previous studies, the two SWIR (B11 and B12) broad bands are adequate to accurately predict SOM content [14] and SWIR and B5 bands with the highest VIP value [61] have a strong match for SOC prediction in our study (Figure 4). They are related to two organic compounds, lignin and cellulose, affecting the reflectance between 1600 and 1800 nm [62]. Hence, the SWIR performed well in SOC prediction with higher variable importance. Meanwhile, it was also shown that the important distributions of other variables and spectral indices

clearly differed from one another. As shown in Figure 4, the B2, SAVIA, and NDVI had higher values in the variable importance, largely influencing the SOC content distribution. This was consistent with Tian, et al. [63], who concluded that the ability of NDVI and EVI to reflect the provision of SOC had an impact on the K factor, which had the same NDVI as our study. Similarly, Ben-Dor, Inbar and Chen [62] indicated that the visible area at 450 nm, 590 nm, and around 664 nm showed a potential relationship between reflectance and organic matter content, which is close to the range of values for the B2 and B5 bands. Only the 30 m resolution shortwave infrared band 5, band 7 and normalized differential water index from 2000–2017 were used in SOC\_250m to represent the land surface moisture conditions. In this study, the combination of Sentinel-2 data and the field measurement values of SOC has a strong predictive ability. Thus, the Sentinel-2 data has good potential to predict the spatial pattern of SOC and is applicable in soil digital mapping.

## 4.2. Spatial Pattern of SOC in the QTP

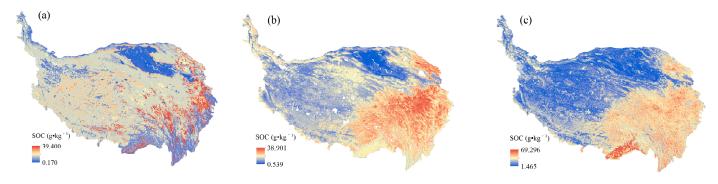
The variable importance showed that the spatial distribution of SOC was closely linked to a variety of factors (Figure 4), indicating that the correlation between different cofactors and SOC content is fully considered to improve the prediction accuracy by using highly relevant variables for SOC content prediction [64]. Taking into account the spatial and temporal resolution of QTP, it is hard to use the climate and topography as variables input in the model. Meanwhile, the high-resolution National Soil Information Grid of China with a resolution of 250 m explored the link between environment and soil properties, the predictive variables include Landsat (both 5 and 8), MODIS, climate and topography features [40]. Thus, the SOC\_250m from Liu et al. [40] was resampled to a raster cell size of 20 m as an input variable combined with our field measured and Sentinel-2 data on the QTP to more accurately predict the distribution of SOC in 2020. Particularly, both the quantile regression forest they used and the RF we used are ensemble tree-based machine learning models that can handle complex nonlinear relationships and multivariate interactions with high predictive power [65]. As shown in Figure 6, the SOC content was higher in the north and lower in the south, gradually increasing from northwest to southeast across the QTP based on these highly relevant variables. Liu, et al. [66] indicated a considerable increase in spatial heterogeneity from northwest to southeast across the QTP, soil organic matter, and remote sensing datasets. Furthermore, the SOC\_250m [40] indicated a consistent spatial pattern in the QTP. The difference in the spatial distribution of SOC is largely dependent on land cover type and annual precipitation. Since alpine meadows are primarily found in the eastern portion of the QTP, where biomass and net primary output are substantially higher in the east and lower in the west [67]. Ding, et al. [68] indicated that alpine meadows had a greater mean SOC density than alpine steppes. High precipitation on the eastern QTP also favors the growth of vegetation and the development of permafrost, leading to higher SOC content.

Additionally, the remote sensing images provided by a single sensor can no longer meet the demand for soil characteristics in different geographical and temporal regions and in different dimensions. Multi-source data are required to provide valuable input variables for soil digital mapping [69]. Fortunately, climate change attracted more attention and is certainly associated with SOC [70], advanced multi-source spectral data is now frequently employed for SOC digital mapping. For instance, Gomez, et al. [71] reported that hyperspectral proximal and remote sensing had the potential to predict SOC using visible and near-infrared reflectance. Likewise, a study based on Landsat 8 Monthly NDVI Data found that time series NDVI were helpful to SOC mapping [72]. However, soil roughness, soil moisture, and land cover were important factors influencing the spectral characteristics of images [16]. Similarly, the light source, signal-to-noise ratio, spatial resolution, atmospheric conditions, and pixel cleanliness can also have an impact on remote sensing products [44]. Nevertheless, some studies have overcome many problems and achieved good results to monitor soil properties from Sentinel-2 data [73,74]. The spatial variability of SOC in QTP is consistent with the measured results, which indicated

that our results based on Sentinel-2 image data and environmental variables are reliable. Meanwhile, it further indicated that Sentinel-2 has excellent inversion ability of SOC, thus, future research may be expected to predict other soil properties.

## 4.3. Comparisons with the Existing Soil Map Datasets

Sentinel-2 data provide alternative opportunities to overcome the limitations of traditional soil analysis and sampling with relatively satisfactory results for estimating SOC across the QTP. Furthermore, previous soil maps were used to compare with our results to analyze the accuracy and variability. Figure 7 displayed the spatial distribution of SOC content from the Harmonized World Soil Database (HWSD) [75], Li's prediction [28], and our prediction. Three SOC content maps in the QTP showed that the contents ranged from 0.170 to 39.400 g  $kg^{-1}$ , 0.539 to 38.901 g  $kg^{-1}$ , and 1.465 to 69.296 g  $kg^{-1}$ , respectively. Although three maps had a consistent trend of spatial patterns, a higher range of SOC content was obviously found in our result. A similar result was examined by Li, et al. [76] who obtained the same result, which showed higher soil organic matter content than that of HWSD in China. Additionally, the 0–30 cm SOC map produced by Shangguan, et al. [77] showed higher SOC content than that of HWSD from land surface modeling. The sampling time should also be responsible for differences between Li's prediction and our prediction. Their study provided the results of SOC dynamics in various soil layers of China in the early 1980s, 2000s, and early 2010s by using a machine learning method and extensive measuring and our study predicts the content and spatial variability of SOC on the QTP using field sampling and experimental analysis during the period of 2019–2020. In terms of their predicted variation trends, the estimated SOC stocks showed an increasing trend [28].



**Figure 7.** Comparison of SOC mapping results with the existing maps ((**a**) HWSD, (**b**) Li's prediction, (**c**) Our prediction).

In order to compare our predictions with others, here, we showed the true color images and different SOC maps in a fixed region with an area of 26 km  $\times$  24 km (99.51°–100.85°E and 34.95°–35.93°N) located in the Qinghai Province. As shown in Figure 8, our study produced a 20 m spatial resolution of the SOC map, and HWSD and Li's prediction had 1 km, which was too coarse to capture the detail of SOC content taxonomic variability. Compared to existing soil map datasets, our prediction has a consistent texture and shape with the image of Sentinel-2, providing more details than other soil maps [78,79]. The SOC map has higher accuracy and could represent SOC variations across the QTP well. Thus, it can be inferred that high-resolution Sentinel-2 images can improve the prediction accuracy in predicting SOC content [80].

It should be noted that our work is limited by the number of validated sampling points. Future research with more sampling data is needed to model training and testing, which will increase the predictability of the model. Moreover, the climatic and topographic properties should be considered in future studies to carry out large-scale SOC monitoring since these variables varied greatly and had a strong influence on soil properties. Meanwhile, future research should also combine multi-source data, including both field measurements, remote sensing images and other variables for soil digital mapping.

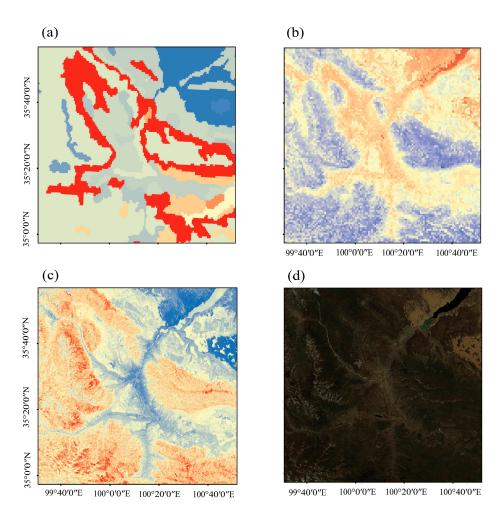


Figure 8. Comparisons of (a) HWSD, (b) Li's prediction, (c) Our prediction, and (d) true color image.

# 5. Conclusions

This study predicted the SOC spatial distribution of the QTP by using four different machine-learning models. The field-measured, Soil Information data (250 m), and Sentinel-2 images were used as model input for training and testing.

The RF model performed best among the four models, with the highest determination coefficient and the ratio of performance to deviation, which can be reasonably applied to the prediction of SOC for the QTP. Our prediction was highly dependent on SOC\_250m data, which has the highest importance. B2, B11, SAVI, and NDVI have high VIP among the 14 variables. The SOC map at 20 m resolution suggested an overall decrease ranging from 69.30 g·kg<sup>-1</sup> in the southeast to 1.47 g·kg<sup>-1</sup> in the northwest in the QTP. Higher values are mostly located in eastern Tibet and the Three Rivers Watershed basin, and lower values are concentrated in the Qiangtang Plateau and the western part of the QTP.

The findings of this study showed high spatial variability of SOC on the QTP at 20 m resolution and are expected to provide a scientific foundation for the distribution and stock of SOC in the QTP region.

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