



Application of Machine Learning in Forecasting the Impact of Mining Deformation: A Case Study of Underground Copper Mines in Poland

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Abstract: Open access to SAR data from the Sentinel 1 missions allows analyses of long-term ground surface changes. The current data-acquisition frequency of 12 days facilitates the continuous monitoring of phenomena such as volcanic and tectonic activity or mining-related deformations. SAR data are increasingly also used as input data in forecasting phenomena on the basis of machine learning. This article presents the possibility of using selected machine learning algorithms in forecasting the influence of underground mining activity on the ground surface. The study was performed for a mining protective area with a surface of over 500 km² and located in western Poland. The ground surface displacements were calculated for the period from November 2014 to July 2021, with the use of the Small Baseline Subset (SBAS) method. The forecasts were performed for a total of 22 identified subsidence troughs. Each of the troughs was provided with two profiles, with a total of more than 10,000 identified points. The selected algorithms served to prepare 180-day displacement forecasts. The best results (significantly better than the baseline) were obtained with the ARIMA and Holt models. Linear models also provided better results than the baseline and their performance was very good at up to 2 months forecasting. Tree-based models including their sophisticated ensemble versions: bagging (Random Forest, Extra Trees) and boosting (XGBoost, LightGBM, CatBoost, Gradient Boosting, Hist Gradient Boosting) cannot be used for this type of predictions since Decision Trees are not able to extrapolate and thus are not a valid stand-alone tool for forecasting in this type of problems. A combination of satellite remote sensing data and machine learning facilitated both the simultaneous quasi-permanent monitoring of ground surface displacements and their forecasting in a relatively long time period.

Keywords: forecasting mining deformations; Satellite Radar Interferometry; Sentinel 1; machine learning

1. Introduction

Global challenges faced by humans require an increasingly broader and more rapid access among other things to high-quality remote sensing satellite data. Earth observations (EO) and technologies based on research performed from space already play a significant role in generating relevant sets of information which support generally understood disaster management strategies [1–3]. A combination of the data currently obtained from space with long series data collected for more than thirty years means that ground surface changes can be traced across the entire planet. A natural application direction for the unprecedented amounts of EO data is to employ them in forecasting future phenomena on the basis of machine learning algorithms. Such forecasts can be performed on a regional [4,5], as well as on a local scale [6–8].

Mining-induced ground surface deformations represent a negative result of human



Article

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activity on the local scale. Ground surface displacements due to mining activity are observed regardless of the mining method (underground, surface or borehole). In many regions of the world, long-term mining exploitation caused a discontinuous [9] and/or continuous [10] degradation of the ground surface. The impact of mining operations is most often manifested on the surface in the form of continuous subsidence troughs which directly result from the formation of empty spaces in the rock mass. These manifestations occur across a lengthy period of time and may depend on a number of factors, such as the mining method (the mining system and depth), or the geological and hydrogeological conditions. Such surface displacements may be experienced even many years after the mining operation is discontinued [11].

Synthetic aperture radar interferometry (InSAR) facilitates the monitoring of the ground surface in order to detect and trace changes of altitude which result from phenomena such as volcanic patterns [12,13], tectonics [14], mining [15], landslides [8], oil and gas extraction [16], pumping water from underground reservoirs [17] or floods [18], as well as glacier displacement [19]. The potential of InSAR methods is demonstrated by the ability to simultaneously trace/monitor changes of ground surfaces with an area of approx. 48,000 km² (in the case of Sentinel 1A/1B satellites) with an interval of 10–15 days (due to the SAR data acquisition time). The research results to date demonstrate that InSAR can be successfully used in long-term analyses of surface displacement in mining [20] and post-mining [21,22] areas.

The ability to forecast and estimate the scale of such displacements is an important issue from the perspective of protecting mining areas and minimizing the impact of mining activity on the surface. A number of methods have been developed for forecasting mining-induced surface displacements. They include inter alia empirical methods (the Budryk–Knothe method) and numerical methods (finite element method, discrete element method). Recent years have witnessed the rapid development and increasingly intensive application of machine learning methods, which are based on a broad range of mathematical and statistical methods for building models, and which can be trained on the basis of prepared training datasets. Subsequently, using the relationships present in the training data, machine learning models can forecast the result for the new test data provided to the model.

Machine learning algorithms require large sets of labeled data to train the predictive model. Such data may be provided by synthetic aperture radar interferometry which, unlike GNSS for example, facilitates the detection of ground surface displacements with a significantly higher spatial density. The development of machine learning methods has been accompanied by publications linking them to InSAR measurements [23,24]. However, the number of publications describing solutions related to mining-induced deformations is still limited.

This article analyzes ground surface displacements in the mining protective area of the Legnica-Głogów Copper Belt (LGCB), where 22 subsidence troughs were identified. The ground surface displacements were identified on the basis of satellite radar data from the Sentinel 1 constellation. Long-term displacements were calculated with the use of the Small Baseline Subset (SBAS) method. Using the SBAS results, an attempt was made to forecast deformations based on the identified Line of Sight (LOS) displacements and on selected machine learning algorithms. The machine learning models were assumed to be capable of learning with historical InSAR data and of predicting unseen data—of forecasting future elevation changes. This can provide important insights about the environmental impact of mining operations or natural processes.

The main objective of the study was to determine the forecast displacement values within the limits of all active subsidence troughs in the LGCB area and to evaluate the accuracy of the obtained results. In this article, only the results of ground surface displacement based on the SBAS method were used to build machine learning models and to validate the results afterward. The results obtained are satisfactory. In further studies, once additional geomechanical data are available, the data will be included as training data for new models.

2. Area of Interest

The Legnica-Głogów Copper Belt (LGCB) is located in the area of the Fore Sudetic monocline, in South-West Poland (Figure 1). The area is rich in copper ore, which is currently mined from six deposits by the following three mining plants: Lubin, Rudna and Polkowice-Sieroszowice. The area of the KGHM Polska Miedź S.A. deposit extends 40 km in the strike direction and 20 km in the dip direction, and its depth is from 370 to 1380 m. The copper ore deposit in this area is a sediment-hosted stratabound deposit of the Zechstein copper shales. The deposit is located mainly in the floor part of the Zechstein formation and in the roof part of the Rotliegend sediments. The copper ore deposit is composed of copper sulfide present in white and white-gray sandstone from Rotliegend and Zechstein, as well as in copper shales and Zechstein carbonate rocks. The mined deposit is one of the largest polymetallic deposits in the world. Its area exceeds 750 km². Copper ore in the LGCB region is generally formed in the following three types of rocks: Rotliegend and Grauliegend sandstones, Zechstein copper shales and carbonate rocks. Rocks of the last type, which are typically found in the roofs of the excavations, show high levels of strength and the ability to accumulate elastic energy; therefore, they facilitate the occurrence of tremors [25].

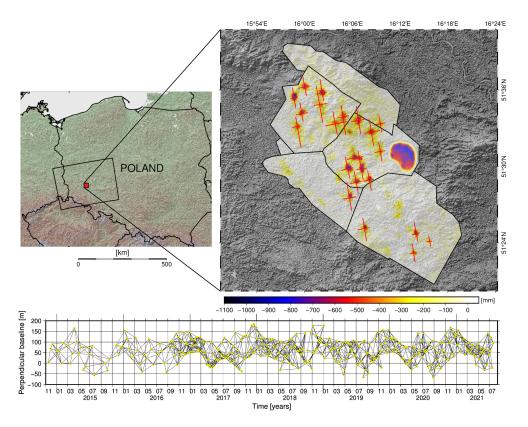


Figure 1. Location of the research area and the displacement results calculated with the SBAS method Red lines represent profiles located within the borders of the largest identified subsidence troughs. Each of the profiles is represented by a set of points used in forecasts. The lower part comprises a graph of baselines for the SBAS calculations of the radar data.

The mining operations are performed following the retreat mining method in the room and pillar system with hydraulic backfill (in the case of areas requiring surface protection). In the case of the LGCB, the extent of continuous ground surface deformations is consistent with the range of a particular deposit within an area of the copper belt. The scale of deformations is mostly influenced by the thickness of the mined rock mass and by the method applied to the liquidation of the mined-out areas. The first and dominating type of deformations are direct continuous deformations represented by land surface deformations manifested as ground subsidences (subsidence troughs) whose range extends beyond the contour of the mining operation. Deformations of the second type result from paraseismic activity. Prior works concerning ground surface deformation analysis for the LGCB area have shown that for most of the identified subsidence troughs, the observed displacements are characterized by long-term linear trends [20,26].

3. Materials and Methods

Long-term displacements were identified on the basis of data from descending orbit no. 73, Figure 1. The LOS displacement calculations were performed with the use of the SBAS method [27] and with simultaneous allowance for the method of reducing the influence of the atmosphere [28]. The temporal base for the presented research was almost 7 years, from November 2014 until July 2021. Based on previous studies for this area, the spatial and temporal base was assumed as standard, at 50 m and 50 days (Table 1). A total of 295 SAR images served to calculate more than 1300 interferograms.

Table 1. Basic information of SAR data and SBAS results used in forecasting.

Calculation period	15 November 2014–23 July 2021
Aensors	Sentinel 1A/B
orbit no./IW no.	73/IW2
Number of images	295
Average interval between acquisitions	12 days (until October 2016);
nveruge intervar between acquisitions	6 days (until July 2021)
Perpendicular baseline/time baseline	50 m/50 days
Number of interferograms	1343

The values of ground surface displacement were forecasted for points located on selected profiles. All of the 22 identified subsidence troughs have two such profiles (Figure 2). One of the profiles is in the azimuth direction, and the other profile is in the range direction (the satellite system). A total of 10,261 points were identified for all of the profiles.

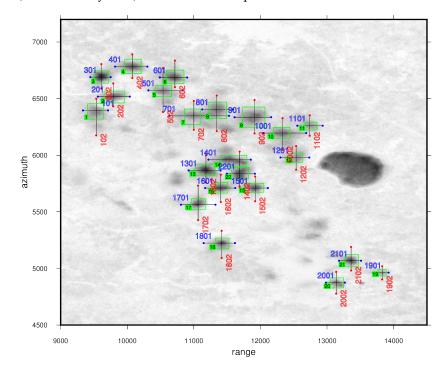


Figure 2. Locations of profiles for the identified subsidence troughs (indicated in green). The results are presented in the satellite system (range/azimuth). The range profiles are indicated in blue, and the azimuth profiles are indicated in red.

The application of machine learning algorithms in forecasting ground surface displacement in mining areas based on an InSAR time series is a relatively new object of research. Table 2 contains examples of using selected algorithms in analyzing satellite radar data.

Table 2. Basic information of SAR data and SBAS results used in forecasting. The third column includes literature references (if applicable).

Method	Definition					
Naive	The use of the prior/last period in forecasting the next period is the easiest forecasting method.					
ARIMA	A common forecasting method for single-variant time series; it can be used if the time series is stationary.					
SARIMA	Used in analyzing time series with a trend and seasonal variability—it allows for seasonal patterns.	[7]				
Linear Regression	A linear regression model; it is based on an assumed linear relationship. between the forecasted variable and a single prediction variable.	[8,31]				
Ridge Regression	A linear regression model; expansion of linear regression by modifying the loss function. (reduction in the model's complexity)					
Bayesian Ridge	A linear regression model with the L2 regularization.					
Lasso Regression	A type of linear regression employing shrinking which consists of the reduction in the data values towards the central point (e.g., the mean); it employs the L1.					
ElasticNet Regression	A linear regression model; combines the properties of the Ridge and Lasso models.					
Theta	A forecasting method which consists of matching two lines and forecasting the lines with the use of simple exponential smoothing followed by combining the forecasts from the two lines in order to obtain the final forecast.					
Holt-Winters	A group of exponential smoothing models; it is capable of "handling" time series characterized by both a trend and seasonal variability.					
Holt	A group of exponential smoothing models					
Decision Tree	Used in predictive modeling of classification and regression	[5,32]				
Gradient Boosting	Boosted decision trees used in predictive modeling of classification and regression.	[5]				
Random Forest	Bagged decision trees, used in predictive modeling of classification and regression; forecasts time series (supervised training); requires step validation.	[33,34]				
Prophet	Forecasts data in time series based on an additive model, in which non-linear trends are matched to a defined period; Prophet was released by the Core Data Science team from Facebook.					

The range of data used in this analysis covers data collected between 15 November 2014 and 23 July 2021. With a regular sampling rate of 6 days, this resulted in 408 samples per location. Any gaps in the data were filled with linear interpolation. Then the data were split into five cross validation (CV) groups (Figure 3, Table 3) using the time-series split approach (ref: scikit-learn.org, accessed on 22 June 2022) with a fixed test size of 30 samples which corresponds to 180 days of the forecasting horizon.

Table 3. Temporal summary of cross validation test windows.

CV No.	CV-1	CV-2	CV-3	CV-4	CV-5
Time range of the following	10 February 2019	9 August 2019	5 February 2020	3 August 2020	30 January 2021
test groups (start-end)	3 August 2019	30 January 2020	28 July 2020	24 January 2021	23 July 2021

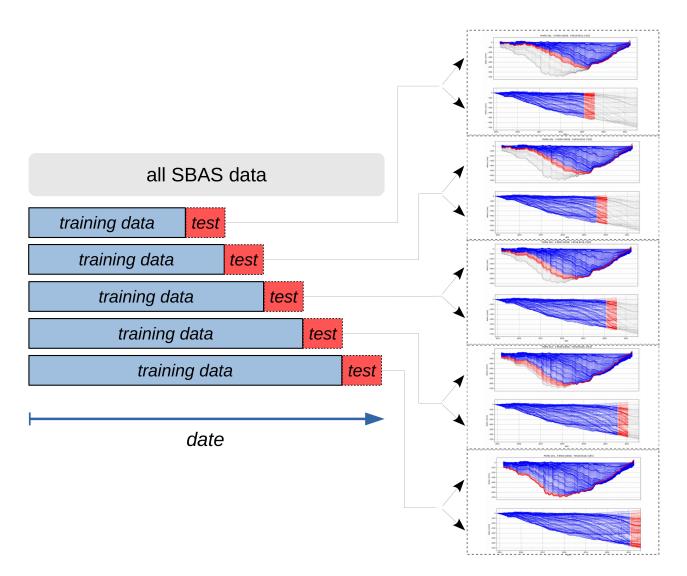


Figure 3. Graphical representation of cross validation used for the analyzed SAR data calculations with the use of the SBAS method.

It must be noted that inside all CV test subsets, there were only three dates with missing data (2% of the entire CV dataset). The size of the training dataset is initially 258 samples for the first CV group and increases by 30 samples for each subsequent group to finally reach 378 samples for the fifth and last CV group.

This study focuses mainly on machine learning (ML) models used for forecasting and does not test any deep learning (DL) models. In similar studies, DL models did not provide good results and performed even worse than naive approaches so they were excluded from the analysis. As discussed in the results section, most ML forecasting methods performed significantly better than the naive approaches.

The models were evaluated with the use of Root Mean Square Error (RMSE) so the models with poor predictions (even rare) were penalized more. It is important to calculate this metric as a single value based on all predictions (Figure 4), and not as a mean or, more specifically, not as a median from the RMSE calculated separately for each profile or point. The importance of this approach is demonstrated on the basis of synthetic data in the Discussion section.

The following two RMSE calculation approaches were used for the analysis of the results as a general performance and as a function of the forecasting horizon: (1) Calculation as a single value based on all prediction data–this provided a general overview of how a given model performs on all control points (in space) and on all forecasting horizons (in

Table A

-0.142009

-0.057279

-0.256567

2 251537

а

b

x

Predicted Actual Table B 2021-01-01 2021-01-07 2021-01-13 ... 2021-09-16 2021-09-22 2021-09-28 2021-01-01 2021-01-07 2021-01-13 ... 2021-09-16 2021-09-22 2021-09-28 0.505506 0.893450 1.082317 ... -0.053725 0.199853 -0.330528 -0.532828 -0.796124 -2.075742 . 0.402833 0.323305 0.573029 а -0.997174 1.770072 -1.197634 ... 1.222520 0.245696 0.151610 1.074274 0.203111 ... -0.110951 0.340661 0.044524 0.597033 -0.801396 0.477707 ... -0.624075 0.857922 -1.286534 0.362528 -0.588624 -0.501955 ... 1.431288 0.143026 1.077441 с -2.674058 -0.496126 ... 0.451903 -0.006946 0.817530 x 0.506120 0.452799 -1.003164 ... -1.013340 -0.400197 -0.743350

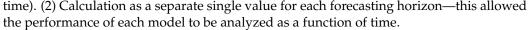
-0.174865

-1.352992

-0.268870 ... -0.739595

0.511842

0.074818



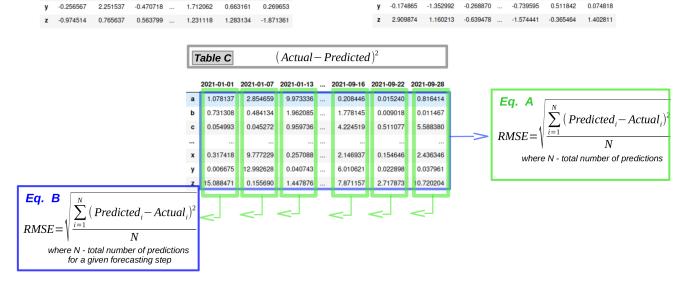


Figure 4. Schematic RMSE calculation method on the basis of synthetic data, following two approaches: as a result from all observations (pattern A-on the right), as a result from the observations which belong to only one time window (pattern B-on the left). Table A contains information about the current state of data in the points (top left corner); Table B contains information with the result of machine learning predictions (top right corner); Table C provides the quadratic difference of the current state and the prediction for each point in time (below).

4. Results

0.663161

0.269653

The underground mining activity undertaken in the LGCB area since the 1960s has significantly disturbed the equilibrium in the rock mass. As a consequence, a series of disadvantageous phenomena occur in the vicinity of the mining area [35]. These phenomena include continuous and discontinuous surface deformations. Apart from surface deformations caused by mineral extraction, mining tremors are also recorded [20,25,36].

The satellite radar data from the Sentinel 1 constellation led to the identification of 22 subsidence troughs, for which forecasts were subsequently performed on more than 10,000 points spatially located in 43 profiles. The forecasts of time series were performed on the basis of data on ground surface displacements at 6-day intervals, in the form of a single-step forecast (180 days ahead).

The results obtained as a result of calculating SAR data in a time series demonstrate quasi time-constant ground subsidence in the regions where copper ore is mined. The scale of this subsidence is a direct effect of the geographical range and intensity of a particular mining activity. The cumulative maximum displacement values recorded in trough 3, located in the north-western part of the Sieroszowice mining protective area reach -1060 mm (Figure 5). The mean 12-day subsidence increments are from -4 to -11 mm. The following observed deviating values are due to induced seismic events (please see profiles: 1201; 1301; 1401; 1501 and 2201, Figure 5). This fact has been confirmed in prior research [20].

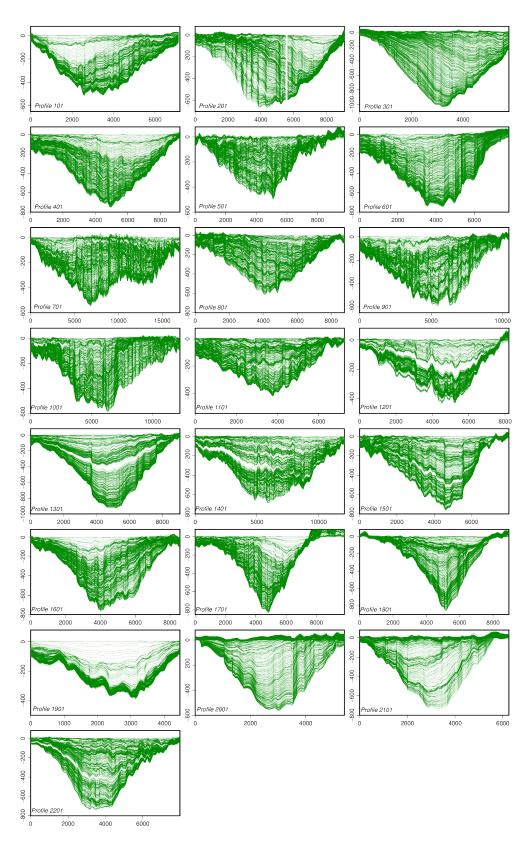


Figure 5. Results of ground surface displacement calculations performed with the SBAS method from November 2014 to July 2021. The presented results apply to horizontal profiles indicated in Figure 2.

In theory, the aim of mining forecasts is to determine the values of ground surface deformation indicators (subsidence, horizontal displacement, inclination, deformation) caused by the planned or already performed mining operations. In this particular case, the aim was to determine the forecast displacement values within the limits of all active subsidence troughs in the LGCB area and to evaluate the accuracy of the obtained results. The thus formulated task was the basis to provide a full description and evaluation of the forecast displacements. The analysis included both the points located in the centers of the troughs (i.e., showing maximum subsidence).

The obtained values of a single-step 180-day forecast demonstrate that the growth of the displacement field continues in all analyzed troughs (Figure 6). This fact is particularly visible in areas with the greatest mining impact (troughs No. 3, see Figure 5). It was observed that the forecast for subsequent 6-day displacement increments were coherent and did not intersect geometrically. Interestingly, the forecast results clearly "propagate information" about local disturbances in the subsidence processes (Figure 6, areas indicated with the green line). On the other hand, the limited forecast values for the trough borders have a significant error (Figure 6, areas indicated with the red line). This error is due to the fact that the SBAS displacement calculation method has the greatest error in these areas. The displacement forecast values are locally positive, which is in contrast to the actual situation.

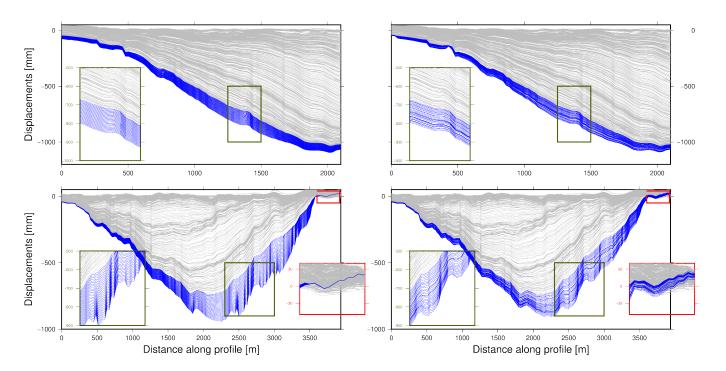


Figure 6. Representative forecast results for the 3rd (**bottom**) and 21st (**top**) subsidence troughs: for the ARIMA model (on the **left**) and for the Holt model (on the **right**). Featured and enlarged areas (green polygons) show increments dynamics of the forecast results. The areas bounded by the red polygon show displacement forecasts are locally positive, which is in contrast to the actual situation.

Figure 7 shows the forecasted displacement values calculated with the ARIMA model for randomly selected profiles. The slope of the forecasted values is similar to the actual values. However, the ARIMA model, which provided the most accurate results, did not detect local peaks and therefore its forecast deviates from the actual values.

The metric of performance was Root Mean Square Error (RMSE) calculated on the basis of all samples from a given cross validation test window. Table 4 and Figure 8 show the results for each model and each CV test group (five groups). The performance metric obtained from the Naive forecasting strategy served as a baseline/reference. It allowed the relative improvement of the forecasts to be calculated as an absolute difference of RMSE and relative percentage improvement in comparison to the Naive approach.

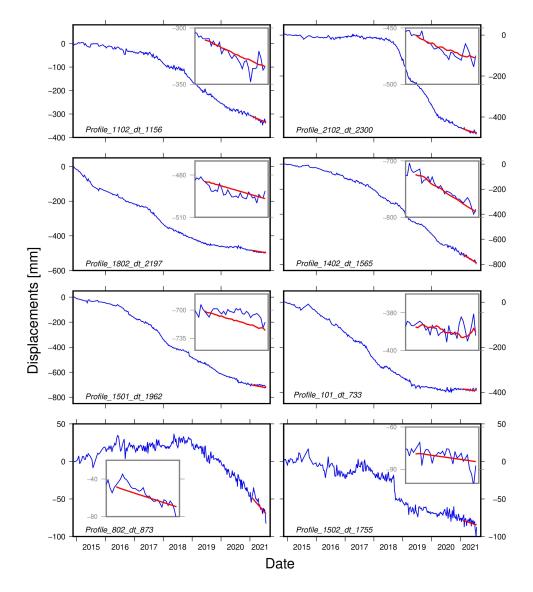


Figure 7. ARIMA forecast results for randomly selected profiles.

Model	CV-1	CV-2	CV-3	CV-4	CV-5	Mean	Median	Naive Improvemen [mm]	Naive t Improvement [%]
ARIMA	12.08	12.27	13.71	15.10	12.48	13.13	12.48	-9.40	-41.73
Holt	12.38	12.84	12.89	15.74	11.86	13.14	12.84	-9.39	-41.69
SARIMA	12.07	12.45	13.89	15.37	12.59	13.28	12.59	-9.26	-41.09
Holt-Winters	12.41	13.34	13.22	16.35	12.38	13.54	13.22	-9.00	-39.92
Linear Regression	17.98	13.59	21.10	18.14	17.50	17.66	17.98	-4.87	-21.62
Ridge	17.98	13.59	21.10	18.14	17.50	17.66	17.98	-4.87	-21.62
Bayesian Ridge	18.05	13.59	21.10	18.13	17.54	17.68	18.05	-4.86	-21.55
Theta	20.57	19.47	18.04	17.72	14.62	18.08	18.04	-4.45	-19.75
Prophet	19.02	16.37	20.51	17.64	18.79	18.47	18.79	-4.07	-18.06
Lasso	18.14	15.18	21.20	20.15	18.71	18.68	18.71	-3.86	-17.13
ElasticNet	18.29	15.28	21.21	20.39	18.71	18.77	18.71	-3.76	-16.69
Naive (Last Seen)	26.28	24.90	22.71	20.52	18.26	22.54	22.71	0.00	0.00
Gradient Boosting	26.38	25.01	23.13	20.88	18.59	22.80	23.13	0.26	1.16
Random Forest	27.02	25.54	23.77	21.39	18.89	23.32	23.77	0.79	3.49
Decision Tree	27.73	26.38	25.53	23.09	20.64	24.67	25.53	2.14	9.50

Table 4. Comparison of the calculated errors for all analyzed models.

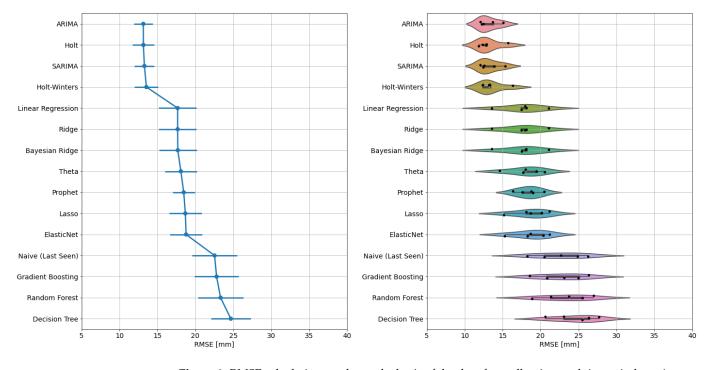


Figure 8. RMSE calculation results on the basis of the data from all points and time windows (pattern *a* from Figure 4). The visualization on the left shows the results as a pointplot with the mean and standard deviation values from all five cross validation groups per model. The visualization on the right shows the results as a violinplot from all five cross validation groups per model. Based on the above visualizations, the models can be classified into three groups by their performance in comparison with the Naive approach. Group with the best results includes the following models: ARIMA, Holt, SARIMA and Holt-Winters. Another group which provides results better than the Naive approach, but a worse ARIMA and Holt than group includes the following models: Linear Regression, Ridge, Theta, Prophet, Lasso and ElasticNet. The last group does not provide better results than the Naive approach and includes models based on decision trees (Gradient Boosting, Random Forest and Decision Tree).

This is apparent in the visualization of the results (Figure 8). The group of methods including ARIMA, SARIMA, Holt and Holt-Winters showed the best performance. The results for this group are approx. 40% better than for the Naive approach. In order to better understand the model performance as a function of the forecasting horizon, the RMSE was calculated for each forecasting time step and CV group within this time step. Figure 9 shows the results as a function of the prediction time. On the *X* axis, there is a forecasting step as a number of days to the "future" (180 days). On the *Y* axis, there is the RMSE as a mean of all CV groups and its standard deviation as a shaded area around the mean. As can be observed, the prediction results for the ARIMA and Holt models are very similar over time and are not only significantly better than for the Naive approach but also much more stable (standard deviation does not change much over time). For the Naive approach, the RMSE increases much faster over time and its standard deviation increases as well.

Another group of models which provide significantly better results than the Naive approach are Linear Regression models (including regularized visions: Ridge, Bayesian Ridge, Lasso and ElasticNet). The results of Linear Regression as a function of forecasting time windows and a comparison to the Naive approach (baseline) and to the ARIMA (best mode) are presented in Figure 9a. It can be noted that short term forecasting results (up to 10 steps/60 days) are similar to the ARIMA results. Subsequently, the slope of the RMSE error changes and errors increase much faster for the longer forecasting horizons. The results for longer time periods are also less stable because standard deviation increases over time.

The group of Decision Tree-based models provided the worst results and its performance over time was not better than in the Naive approach. Figure 9i,j shows the results as a function of forecasting time for the Gradient Boosted and the Decision Trees models. The reason for this fact is that Decision Tree models are not able to extrapolate the predictions and instead they provide results only within the range of the target values observed on the training data. This means that DT-based models cannot be used as stand-alone models in these types of projects.

The present study focused on testing two more models (Prophet and Theta) which performed better than the Naive approach and provided a similar average RMSE calculated on the basis of all datapoints (see Figure 8). However, those models demonstrate a completely different behavior over time. Figure 9l shows the results for the Theta forecaster. The error trend line is consistent and follows a nearly central path between the Naive and ARIMA results. The results for Prophet are different (Figure 9n)—the short term results (up to 60 days) are actually worse than the results in the Naive approach, but the slope of the error curve is quite flat and the RMSE does not increase as quickly over time as for most of the tested models.

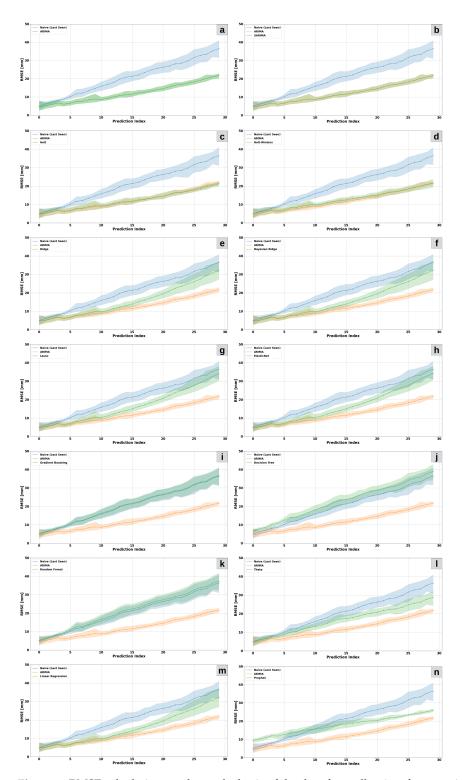


Figure 9. RMSE calculation results on the basis of the data from all points for a particular prediction window (Equation (B) from Figure 4). The accuracy of the model is visually represented as a function of time (forecasting horizon). For reference, each of the plots includes the results of the Naive approach (baseline) and of the ARIMA model which provided the best results. The plots present the mean from all cross-validation groups (solid line) for a particular prediction window, along with its 95% confidence interval in the form of a ribbon around the mean. The following plots present the results for (**a**) ARIMA model, (**b**) SARIMA model, (**c**) Holt model, (**d**) Holt-Winters model, (**e**) Ridge model, (**f**) Bayesian Ridge model, (**g**) Lasso model, (**h**) ElasticNet model, (**i**) Gradient Boosting, (**j**) Decision Tree, (**k**) Random Forest, (**l**) Theta model, (**m**) Linear Regression model and (**n**) Prophet model, respectively.

5. Discussion

This article presents a novel approach which consists of using results obtained with the SBAS method as data which can help in the identification of the influence of mining activity on the ground surface and subsequently when implementing this data as an input set for machine learning models. The current methods for forecasting the impact of mining activity on the ground surface on the one hand rely on a geometric representation of the problem [37–39], which allows the entire forecasting process to be based on a relatively small number of both dependent and independent variables. On the other hand, there is a group of numerical methods [40,41] which require precise models of the rock mass, physical and mechanical parameters of the geological and tectonic layers, and the parameters describing the mining process itself. The authors believe that machine learning algorithms can be classified between the above groups of forecasting methods.

The potential of synthetic aperture radar interferometry lies in this case mostly in the temporal resolution offered for obtaining new data. In the case of the Sentinel-1 mission, the current 12-day image-acquisition interval represents a regular source of data on the investigated phenomenon. Doubtlessly, this frequency is hardly achievable with traditional methods, except for on-ground systems which monitor changes in real time. InSAR methods also provide improved coverage of the investigated area with measurement points, which translates into larger ground surface displacement datasets to be used in machine learning algorithms.

Publications which have addressed this issue to date do not present results which are significantly more accurate than in the Naïve approach [7] (please see Figure 6). Nevertheless, our results are significantly more accurate for numerous models than the Naïve approach. This fact could be attributed to the character of the data obtained from the region and/or to the precise preparation of the data and to the implementation of a broad range of models. Many of these models proved more accurate than the Naïve approach; however, some of them provided less accurate results.

A comparison of the results from different machine learning algorithms suggests that the long-term linear trends are the greatest and most important factor, and this observation is consistent with prior works on the LGCB [20,26]. Interestingly, in the case of pairs of similar algorithms in which the first is only capable of forecasting trends (ARIMA, Holt), and the second is capable of predicting both trends and seasonal changes (SARIMA, Holt-Winters), the first algorithm provided better results (ARIMA better than SARIMA, and Holt better than Holt-Winters). This observation may suggest that seasonal changes are more random and that seasonal models are more susceptible to overfitting. The decision-tree (DT) models provided less accurate results and are not recommended as independent tools for analyzing this type of issues, in which extrapolation plays a significant role. However, the DT models may be used as components in hybrid models (comprising a number of algorithms), which will be the object of further research.

The authors believe that the choice of the method of selecting results and the selection of the metrics are of significance when estimating the accuracy of a forecast. In most cases, evaluations of the forecasts based on synthetic aperture radar interferometry involve estimations of the RMSE values. The range of the dataset required in RMSE calculations is selected differently [7,42]. In some cases, the metrics are not calculated from all observations, but rather as the mean or median of metrics calculated separately for each observation.

The RMSE calculation method proposed in this article is believed to provide an improved evaluation of the accuracies of displacement forecasts. As points with high errors have a greater influence on the RMSE value, it was calculated on the basis of all points as a whole. In a case where the majority of measurement points do not show significant displacements (e.g., they are located on the borders of the troughs), the value of the RMSE metrics calculated as a mean or a median [7] from all points will be unreliable and may be biased towards models which predict small or no displacement.

In this study, the RMSE is calculated on the basis of all data points. The difference is clearly visible in the following simple synthetic example (Table 5). A total of 11 random

samples were generated with true and predicted values and a single RMSE value was calculated on the basis of all those samples. Subsequently, RMSE was calculated for each pair of points and the mean and the median were extracted from all of those values. Even in the case of normally distributed random samples, RMSE calculated on the basis of all points can be seen to be higher. In order to emphasize the difference, two outliers were introduced by simply adding 100 to the first two predicted values and the metrics were recalculated—the estimated error based on all samples is much higher in comparison to the error estimated with other methods.

No Outliers			With Outliers			
y_true	y_pred	RMSE	y_true	y_pred	RMSE	
0.1554	-0.0911	0.2464	0.1554	99.9089	99.7536	
0.1992	0.6858	0.4866	0.1992	100.685	100.4866	
-1.4259	-2.5814	1.1555	-1.4259	-2.5814	1.1555	
-0.2831	0.1651	0.4482	-0.2831	0.1651	0.4482	
-0.5662	-0.2664	0.2998	-0.5662	-0.2664	0.2998	
1.2243	-0.6156	1.8399	1.2243	-0.6156	1.8399	
2.1519	-2.6725	4.8244	2.1519	-2.6725	4.8244	
-0.8778	-1.7528	0.875	-0.8778	-1.7528	0.875	
-1.2863	0.083	1.3694	-1.2863	0.083	1.3694	
-0.4985	0.0264	0.525	-0.4985	0.0264	0.525	
0.4585	0.3088	0.1497	0.4585	0.3088	0.1497	
RMSE based on all samples = 1.09 mean from RMSE of each point = 0.91 median from RMSE of each point = 1.03			RMSE based on all samples = 42.91 mean from RMSE of each point = 18.99 median from RMSE of each point = 1.09			

Table 5. Comparison of RMSE results for the actual and the synthetic data.

6. Conclusions

Forecasting the impact of underground mining activity is one of the key issues in mineral mining. It is particularly important in cases where mining activity is performed in urban areas, where the negative influence of disturbing the rock mass may become a significant threat to both the overground and the underground infrastructure. This attempt at implementing machine learning algorithms in the forecasting of mining-related ground surface deformations offers a new perspective to the problem. The forecasting methods used to date rely on a geometric representation of the problem, which means the entire forecasting process is based on a relatively small number of both dependent and independent variables. On the other hand, numerical methods require precise models of the rock mass, physical and mechanical parameters of the geological and tectonic layers, and the parameters describing the mining process itself. The authors believe that the models based on machine learning may be classified between the two forecasting methods.

This article focuses on investigating the possibility of using satellite radar data in the long-term forecasting of displacements in mining protective areas. The best results were obtained with the use of the ARIMA and Holt models. The accuracy was evaluated with the use of RMSE, which was calculated on the basis of all samples from a particular cross-validation test window. This approach led to the forecasts' improvement in terms of the absolute RMSE difference and as a percentage in comparison with the Naive approach.

The analysis of deformations in the LGCB mining protective areas involved a relatively large area of almost 500 km². Some of the identified subsidence troughs are located in urban areas or in the vicinity of key infrastructure objects. The presented results of ground surface displacement forecasts based on SAR data and on machine learning have been demonstrated to represent an alternative to the currently employed methods of forecasting mining-induced ground surface deformations.

Many of the tested models provided results that are more accurate than those of the Naïve approach, which is advantageous when compared with the publications to date. The authors consider this fact to be promising and see potential for further improvement. As the models in this research were used to analyze only the data from the SBAS method, the authors believe that the next natural step in further research will be to use new types of data, with geological, geotectonic, mining and man-made activities as additional features in constructing the models. Such an approach will facilitate not only a comparison of the accuracy of models using InSAR results and models using InSAR results together with mining, geological and hydrogeological information, but also a verification of which features have the greatest influence on the accuracy of the predictions.

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