

Article

Revisited Estimation of Moderate Resolution *Sargassum* Fractional Coverage Using Decametric Satellite Data (S2-MSI)

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Abstract: Since 2011, massive stranding of the brown algae *Sargassum* has regularly affected the coastal waters of the West Caribbean, Brazil and West Africa, leading to significant environmental and socio-economic impacts. The AFAI algal index (Alternative Floating Algae Index) is often used with remote sensing data in order to estimate the *Sargassum* coverage, and more precisely the AFAI deviation, which consists of the difference between AFAI and AFAI of the *Sargassum*-free background. In this study, the AFAI deviation is computed using NASA's 1 km Terra/MODIS (Moderate-Resolution Imaging Spectroradiometer) and ESA/Copernicus's 20 m Sentinel-2/MSI (Multi Spectral Instrument) for the same sites and at the same time. Both MODIS and MSI AFAI deviations are compared to confirm the relevance of AFAI deviation technique for two very different spatial resolutions. A high coefficient of determination was found, thus confirming a satisfactory downsampling from 20 m (MSI) to 1 km (MODIS). Then, AFAI deviations are used to estimate the fractional coverage of *Sargassum* (noted FC). A new linear relationship between the MODIS AFAI deviation and FC is established using the dense *Sargassum* aggregations observed by MSI data. The AFAI deviation is proportional to FC with a factor of proportionality close to 0.08. Finally, it is shown that the factor is dependent on the *Sargassum* spectral reflectance, submersion or physiological state.

Keywords: ocean color; *Sargassum*; AFAI; fractional coverage; MODIS; Sentinel-2



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1. Introduction

Since 2011, unprecedented massive stranding of the brown floating macro-algae *Sargassum* has been observed along the coastline of French Guyana, the Antilles Islands and the Caribbean Sea. *Sargassum* algal patterns look like large aggregations transported by currents over long distances across the Atlantic Ocean. Satellite data are thus highly suitable to monitor *Sargassum* spatial distribution. A first spectral index was defined in 2006 using ESA/Copernicus's Envisat/MERIS (Medium Resolution Imaging Spectrometer) satellite sensor (300 m resolution), the so-called Maximum Chlorophyll Index (MCI), which is based on the water leaving radiance peak induced by *Sargassum* optical signature at 709 nm [1,2]. It has been shown that this peak reveals the presence of a high concentration of chlorophyll *a* at the surface, hence allowing the detection of extensive areas of pelagic vegetation (*Sargassum* spp.) [2]. The MCI index was used to determine the spatial distribution of *Sargassum* aggregations in the Gulf of Mexico and in the western Atlantic waters [3,4]. Following the MERIS era, ESA/Copernicus's Sentinel-3/OLCI (Ocean and Land Colour

Instrument) was launched on board Sentinel-3 in 2016. OLCI was designed to provide similar spatial resolution and spectral bands to MERIS, thus ensuring a continuity in the satellite data sets for *Sargassum* detection purposes [5].

Hu [6] proposed a Floating Algae Index (FAI) using data acquired by NASA's Terra/MODIS (Moderate-Resolution Imaging Spectroradiometer) and Aqua/MODIS sensors to detect and trace blooms of *Ulva prolifera* macroalgae species in the Yellow Sea near Qingdao, China ([7,8]). Because FAI was defined using the vegetation red-edge reflectance, observable between 675 and 750 nm, it could be used to detect any floating vegetation including *Sargassum* [9]. However, because there was no effective cloud-masking method for FAI, both *Sargassum* and clouds showed high FAI values. To overcome this difficulty, Wang and Hu [10] defined the Alternative Floating Algae Index (AFAI) using data measured at relevant spectral bands such as 667 nm, 748 nm and 869 nm, which are less sensitive to the cloud contamination than the FAI.

Highly spatially resolved sensors such as ESA/Copernicus's Sentinel-2/MSI (Multi Spectral Instrument), offering a typical spatial resolution between 10 m and 60 m, can also be relevant to detect *Sargassum* species. Ody et al. [11] recently defined the Modified Floating Algae Index (MFAI) by adjusting the AFAI index to the MSI spectral features using the bands at 665 nm, 833 nm and 940 nm.

In addition to *Sargassum* species detection, algae indices are required to quantify their fractional coverage, noted FC, within a pixel and ultimately the resulting biomass per unit area. The fractional coverage was defined in Wang and Hu [10] as the proportion of a pixel area occupied by "pure" *Sargassum* mats representative of their study area. Wang and Hu [10] proposed a linear relationship between the fraction of pixels covered by *Sargassum* species and the AFAI. To estimate the AFAI value for a pixel covered by 100% of *Sargassum* species, they used the average spectrum of in situ measurements of *Sargassum* mats combined with radiative transfer simulations. Wang et al. [12] extended that approach to estimate biomass amount using field measurements.

This study focuses on the methodological aspects for improving the detection of *Sargassum* presence and coverage over oceanic waters. The first objective of the current study is to verify that *Sargassum* could be accurately detected with MODIS and confirm the relevance of the AFAI for various spatial scales, namely between 1 km resolution (MODIS) and 20 m resolution (MSI), using data from both satellite sensors acquired at the same location and at the same time. Note that high resolution data are required to further investigate the influence of *Sargassum* on coastal ecosystems (e.g., stranding, invasion). A new relationship between the AFAI deviation and the *Sargassum* fractional coverage FC is then proposed based on satellite observations of large *Sargassum* aggregations using MSI sensors and using radiative transfer simulations. Finally, this study not only investigates floating algae coverage but provides insights on the influence of the *Sargassum* reflectance spectrum and the *Sargassum* submersion using an original adaptation of a radiative transfer model.

The paper is organized as follows. The study areas, the data and the methodology are outlined in Section 2. The feasibility of downsampling the detection of *Sargassum* from higher to lower satellite sensor spatial resolution is examined in Section 3 based on the comparison between AFAI derived from MODIS and MSI sensors. The consistency between AFAI and the fractional coverage is also studied in Section 3. The influence of various parameters (such as *Sargassum* immersion depth, *Sargassum* physiological state and water turbidity) on the relationship between AFAI and FC is discussed in Section 4.

2. Materials and Methods

2.1. Study Area

Various study areas in the Atlantic Ocean containing a significant coverage of *Sargassum* were selected from the Caribbean to the Ivory Coast (Africa) (Figure 1). The three sites of study show a bathymetry higher than 2000 m such that the seabed has no influence on the sea surface reflectance.

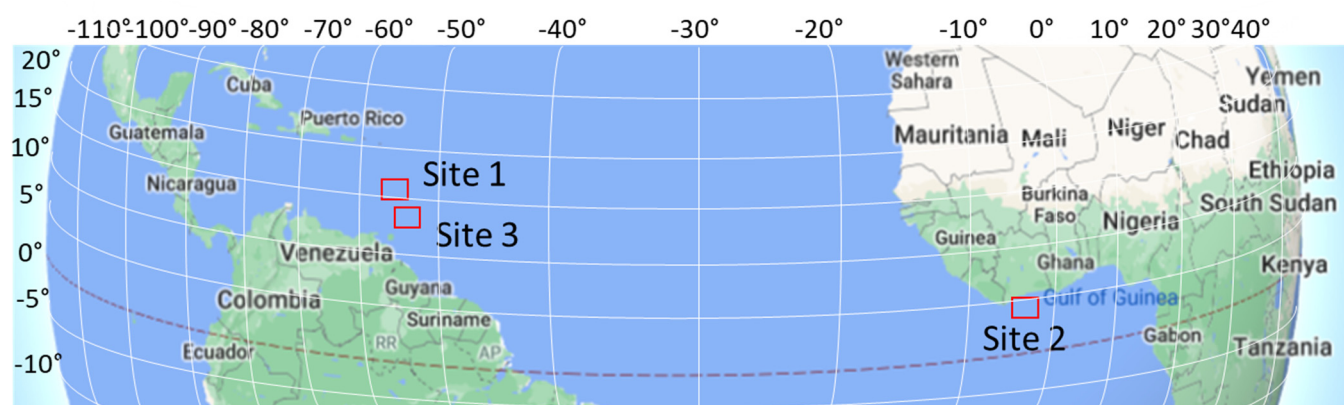


Figure 1. Location of the study areas.

2.2. Satellite Data

Sentinel-2 is an Earth observation mission from the Copernicus European Program [13] that acquires optical imagery at high spatial resolutions (10 m, 20 m and 60 m) over land and coastal waters. The mission is characterized by a constellation of two twin satellites, Sentinel-2A and Sentinel-2B, which were launched by the European Space Agency (ESA) in 2015 and 2017, both carrying the MSI. The spatial resolution used in this study is 20 m. MSI acquires multi-spectral data for 13 bands in the visible, near infrared, and short-wave infrared parts of the spectrum. Table 1 provides the spectral bands and their spatial resolution in the [400–1000 nm] spectral range. The revisit period is 5 days under the same viewing angles. Both MSI instruments (on S2A and S2B) fly 180-degrees apart on the same orbit to optimize the revisit rate and the overall coverage.

MODIS is an imaging sensor that was launched by NASA in 1999 on board the Terra satellite, and in 2002 on board the Aqua satellite [14]. Each instrument acquires data at various spatial resolutions (2 bands at 250 m, 5 bands at 500 m and 29 bands at 1 km) for a total number of 36 spectral bands ranging from 0.4 to 14.4 μm . Table 1 provides the spectral bands and their spatial resolution. The MODIS spatial resolution used in this study is 1 km. Each instrument allows the entire Earth coverage every 1 to 2 days, a few hours apart from each other. Here, only the spectral bands at 667 nm, 748 nm and 869 nm of MODIS are required to calculate the AFAI.

Table 1. Band number, central wavelength, bandwidth and spatial resolution of MODIS [15] and MSI [16]. In bold the triplet that will be used for the AFAI calculation.

Sensor	Band Number	Central Wavelength (nm)	Bandwidth (nm)	Spatial Resolution (m)
MODIS	8	412	15	1000
	9	443	10	1000
	2	469	20	500
	10	488	10	1000
	11	531	10	1000
	12	551	10	1000
	4	555	20	500
	1	645	50	250
	13	667	10	1000
	14	678	10	1000
	15	748	10	1000
	2	859	35	250
	16	869	15	1000
	17	905	30	1000
	18	936	10	1000
	19	940	50	1000

Table 1. Cont.

Sensor	Band Number	Central Wavelength (nm)	Bandwidth (nm)	Spatial Resolution (m)
MSI	1	443	20	60
	2	490	65	10
	2	560	35	10
	4	665	30	10
	5	705	15	20
	6	740	15	20
	7	783	20	20
	8	842	115	10
	8a	865	20	20
	9	945	20	60
	10	1375	30	60
	11	1610	90	20
	12	2190	180	20

The sole Terra platform is used for the comparison with Sentinel-2 observations because both platforms have the same overpass time, namely 10:30 a.m. local time at the equator, which is not the case for Aqua platform. The MODIS and Sentinel-2 acquisitions are concomitant within 50 min depending on the geographic location and the date (for our case the maximum time offset is 35 min). This time lag between the overpass of MODIS and MSI is not a critical issue for the purpose of investigating the feasibility of downsampling the detection of *Sargassum* from remotely sensed data. Indeed the potential drift of the *Sargassum* over 35 min is weak, typically lower than 1–2 km [17], owing to the low speed the *Sargassum* transport (i.e., lower than 1 m.s^{−1}). This transport distance is not significant in relation to the size of the study areas (50 to 150 km). Table 2 reports the dates of satellite data acquisition over the study areas.

Table 2. Date of acquisition of MODIS and MSI satellite data used over each study area. Terra is the MODIS platform. Sentinel-2 platforms “A” and “B” are designated as S2A and S2B, respectively.

#	Study Area	Date of Acquisition	MODIS/Time (UTC)	MSI/Time (UTC)
1	Guadeloupe (Caribbean)	21 June 2018	Terra/14:35	S2B/14:47
2	Gulf of Guinea (Africa)	20 October 2018	Terra/11:15	S2B/10:40
3	Grenadines (Caribbean)	29 January 2019	Terra/14:50	S2A/14:37

2.3. Data Preprocessing

2.3.1. Processing of MODIS Data for Deriving 1 km AFAI

This section is organized as follows: first the computation of AFAI is described; then the methodology used to determine the AFAI background and the AFAI deviation is detailed; finally, the *Sargassum* detection process is explained.

Level-1B MODIS data (i.e., top-of-the-atmosphere radiance) were obtained from NASA [14] and used to compute the AFAI following Wang and Hu’s method [10] (Equations (1) and (2)):

$$\text{AFAI} = R(\lambda_2) - R(\lambda_1) - [R(\lambda_3) - R(\lambda_2)] \times \frac{\lambda_2 - \lambda_1}{\lambda_3 - \lambda_1} \quad (1)$$

Equation (1) can be re-written as follows (Equation (2)):

$$\text{AFAI} = R(\lambda_2) - (1 - C) \times R(\lambda_1) - C \times R(\lambda_3) \quad (2)$$

where $C = \frac{\lambda_2 - \lambda_1}{\lambda_3 - \lambda_1}$ and where $\lambda_1 = 667$ nm, $\lambda_2 = 748$ nm and $\lambda_3 = 869$ nm. $R(\lambda)$ is the normalized reflectance above the sea surface derived from MODIS observations. The top-

of-the-atmosphere radiance was corrected for molecular (Rayleigh) scattering and gaseous absorption using NASA's Ocean Color Science Software (OCSSW-SeaDAS) package to derive $R(\lambda)$. The correction of the top-of-the-atmosphere radiance for the aerosol effects was not performed because the aerosol correction procedure relies on black pixel assumptions that do not hold in presence of *Sargassum*, which thus could lead to overestimate the atmospheric contribution. Clouds were masked using the OCSSW mask (standard product from SeaDAS), which relies on the spectral band at 2130 nm and a threshold value of 0.030. Additional screening for clouds, sunglint and cloud shadows was performed following Wang and Hu [10].

Because AFAI is sensitive to various factors other than the presence of *Sargassum*, such as the water (i.e., *Sargassum*-free) spectral reflectance, the potential residual sunglint contamination and the presence of aerosols, the detection of *Sargassum* occurrence cannot just be determined using a constant threshold value on AFAI. Instead, the deviation of AFAI with respect to the surrounding *Sargassum*-free water (this surrounding is called the background) is examined. The AFAI background (AFAI_{bg}) can be represented as a sum of three components:

$$\text{AFAI}_{\text{bg}} = \text{AFAI}_0 + \text{dAFAI}_w + \text{dAFAI}_{\text{geom}} \quad (3)$$

where AFAI₀ retains the large-scale variation of AFAI (including potential residual atmospheric effects), dAFAI_w is the local deviation of AFAI_{bg} from AFAI₀ due to small-scale variation of *Sargassum*-free ocean reflectance, and dAFAI_{geom} is the deviation induced by the local variation of viewing geometry within a scan. Indeed, since each MODIS scan footprint is 10 km wide along-track (i.e., ten detectors having each a 1 km footprint), a detector-to-detector variability can be observed across the along-track angular aperture (ca. 0.8 degree) of the scan. As a result, scan-to-scan discontinuities appear over non-lambertian surfaces (e.g., water contaminated by sunglint signal) and leads to generate a 10 km cross-track striping effect in the MODIS observations.

While Wang and Hu [10] used a four-degree polynomial surface-fitting technique to determine AFAI₀, we found that a median filter characterized by a 401 × 401 window (i.e., ~400 × 400 km at the center of the MODIS swath) was more robust when the ocean pixels remaining after the screening process are sparsely or unevenly distributed across the MODIS field-of-view; this median filter is able to provide artifact-free results. In addition, the median filter was applied to the AFAI image in the MODIS viewing geometry using a 10-row step along-track, which allows capturing dAFAI_{geom}. Practically, the median filtering yields AFAI₀ + dAFAI_{geom}. Following Wang and Hu [10], the pixels showing AFAI − (AFAI₀ + dAFAI_{geom}) greater than a threshold value $T_S = 2.55 \times 10^{-4}$ were considered as likely to contain *Sargassum*; those pixels were thus discarded from the background estimation. Because small-scale natural variability is expected in the remaining observations, including the variability induced by residual *Sargassum* contamination, a subsequent small-scale median filtering is applied to the expression AFAI − (AFAI₀ + dAFAI_{geom}), using a 51 × 51 window (i.e., ~50 × 50 km at the center of the swath) to determine the dAFAI_w component, yielding to an estimate of AFAI_{bg} for all valid observations. Expectedly, the presence of *Sargassum* is the main cause for a positive deviation of AFAI from AFAI_{bg}. All pixels showing an AFAI deviation $\delta\text{AFAI} = \text{AFAI} - \text{AFAI}_{\text{bg}}$ greater than a threshold value T_0 of 1.79×10^{-4} are identified as pixels containing *Sargassum*. That threshold was determined by Wang and Hu [10] using several MODIS δAFAI histograms and manual validation. Such a threshold allows 95% of the *Sargassum* containing pixels to be captured.

2.3.2. Processing of MSI Data for Deriving 20 m AFAI

Several triplets of spectral bands were tested to generate FAI or AFAI using MSI sensor, namely the triplets (665 nm, 740 nm, 842 nm), (665 nm, 842 nm, 1610 nm), (665 nm, 865 nm, 1610 nm) and (665 nm, 740 nm, 865 nm). Since the MSI instrument is affected by a camera striping effect due to a difference of observation geometries across the instrument detectors, each band triplet leads to an index (FAI or AFAI) that is altered by that striping effect in a

different way. The last band triplet, namely [665 nm, 740 nm, 865 nm], was finally adopted for this study because the derived AFAI was found to be the least sensitive to the striping effect (Table 3).

Table 3. Tested triplets of MSI spectral bands and the impact of the striping (“+” means low striping and “++” means high striping).

Central Wavelength (nm)	665	740	842	865	1610	Impact of Striping
1st triplet	X	X	X			++
2nd triplet	X		X		X	++
3rd triplet	X			X	X	++
4th triplet	X	X		X		+

The MSI data processing was performed for the spatial resolution value of 20 m since it is the highest spatial resolution that is common to all the spectral bands composing the adopted triplet. The 665 nm spectral band was downsampled to 20 m resolution averaging over 2×2 pixel blocks. The MSI top-of-the-atmosphere reflectance provided by Copernicus Open Access Hub [13] was first corrected for both the Rayleigh scattering reflectance and the sunglint reflectance using the POLYMER algorithm [18]; the sunglint reflectance was estimated based on the wind speed provided by the ERA5 reanalysis dataset from ECMWF. The AFAI was then derived. Following the procedure applied for MODIS, the AFAI background was estimated using a local median filter applied for a window of 500×500 pixels (10 km). The AFAI background was subtracted from raw AFAI values to provide the AFAI deviation δ AFAI. This step was carried out for both MODIS and MSI data (Figure 2).

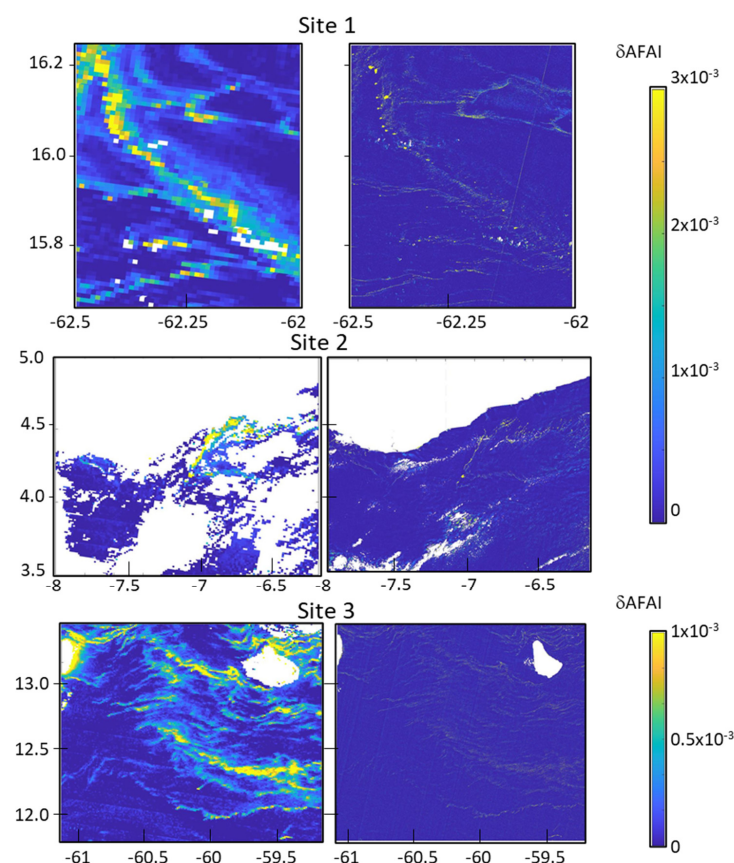


Figure 2. MODIS/ δ AFAI (left) and MSI/ δ AFAI (right) derived for the three study areas (Figure 1) for data acquired at the same time for both sensors (Table 2).

2.4. Methodology

One transect (AB) of δAFAI was defined for the site #1 in MODIS (Figure 3a) and MSI (Figure 3b) images. Figure 3c shows the MODIS/ δAFAI and MSI/ δAFAI values along this transect. It is observed that each MODIS pixel containing *Sargassum* is highly heterogeneous, composed of a mix of *Sargassum* aggregations and *Sargassum*-free water. Even the largest aggregations hardly cover an entire MODIS pixel. On the contrary, MSI captures large aggregations with high δAFAI values that cover several contiguous pixels and sharply contrast from the background. That indicates that MSI is able to capture homogeneous pixels entirely covered with “pure” *Sargassum*.

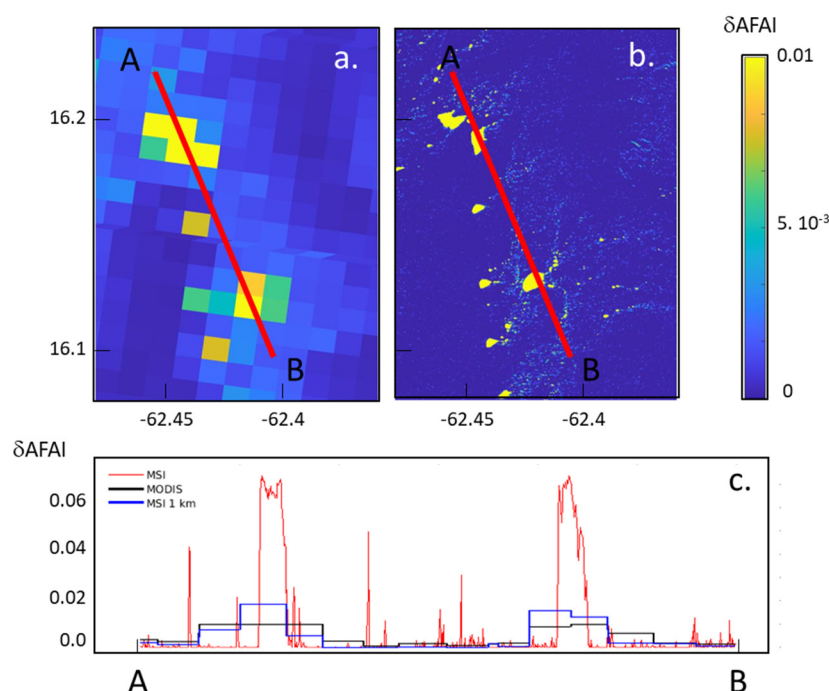


Figure 3. (a) MODIS/ δAFAI /(a) and (b) MSI/ δAFAI on 21 June 2018 for the same site (site #1), and (c) δAFAI values along the AB transect where the red line corresponds to MSI-20 m, the black line to MODIS 1 km, and the blue line to MSI-1 km.

In this study, the values of MSI/ δAFAI pixels are re-projected and averaged for the closest MODIS/ δAFAI pixels to perform a pixel-by-pixel comparison of the values of δAFAI provided by each sensor. δAFAI derived for MODIS and MSI are then compared. Given the high scatter of the observations, the confidence interval for slopes of the regression line was computed for each site using a bootstrap technique ($N = 1000$).

2.4.1. Relationship between δAFAI and Fractional Coverage

The above-surface reflectance $R(\lambda)$ of a given MODIS pixel containing *Sargassum* can be modeled as the sum of one *Sargassum* component and one *Sargassum*-free component (Equation (4)):

$$R(\lambda) = FC \times R_{\text{sarg}}(\lambda) + (1 - FC) \times R_w(\lambda) \quad (4)$$

where R_{sarg} is the *Sargassum* reflectance, R_w is the water reflectance of the *Sargassum*-free fraction and FC is the *Sargassum* fractional coverage within the pixel. Note that R_{sarg} is directly related to the *Sargassum* intrinsic reflectance, to its degree of dispersion and to its submersion in the ocean surface layer.

Based on Equations (2) and (4), AFAI_{bg} can be written as a function of R_w as follows (Equation (5)):

$$\text{AFAI}_{\text{bg}} = R_w(\lambda_2) - (1 - C) \times R_w(\lambda_1) - C \times R_w(\lambda_3) \quad (5)$$

where C was defined in Equation (2).

The deviation of AFAI from the background can be established as proportional to the *Sargassum* fractional coverage FC (Equation (6)):

$$\delta AFAI(FC) = K \times FC \quad (6)$$

K is the coefficient of proportionality between $\delta AFAI$ and FC and can be analytically calculated using Equation (7).

$$K = R_{sarg}(\lambda_2) - R_w(\lambda_2) - (1 - C) \times [R_{sarg}(\lambda_1) - R_w(\lambda_1)] - C \times [R_{sarg}(\lambda_3) - R_w(\lambda_3)] \quad (7)$$

The slope K only depends on the local deviation of *Sargassum* reflectance from ocean *Sargassum*-free water reflectance.

The theoretical value of the slope K can be calculated from simulations (Section 2.4.3) using different intrinsic *Sargassum* reflectance spectra, for various FC values and by calculating the AFAI deviation from the above surface reflectance. For this calculation, “pure” *Sargassum* reflectance as measured by Ody et al. [11] at 0.01 m depth based on a mesocosm acquisition system was used (Figure 4). These two spectra exhibit an increase in reflectance in the green region (550 nm) and a strong increase in the reflectance around 700 nm corresponding to the red edge characteristic of the vegetation reflectance spectrum.

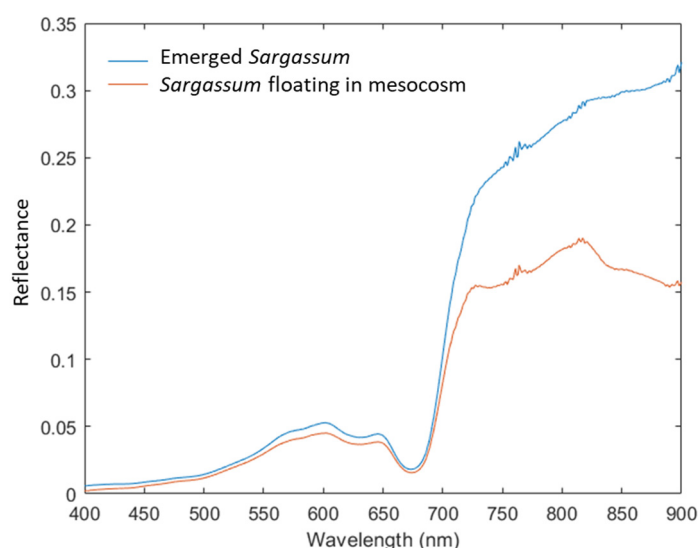


Figure 4. Intrinsic *Sargassum* reflectance spectra measured by Ody et al. [11] using a mesocosm technique that was filled with *Sargassum* either floating in the water column (red) or totally emerged outside the water column (blue).

The value of the slope K of the linear relationship between $\delta AFAI$ and FC (Equation (6)) can also be empirically estimated from MSI images by focusing the analysis of the data on the dense *Sargassum* aggregation pixels. This is because K is the AFAI deviation value $\delta AFAI$ of a “pure” *Sargassum* pixel ($FC = 1$), i.e., with no contribution from *Sargassum*-free water to the upward radiation. Such a pixel is unlikely to be found in natural conditions since processes such as dispersion (or at least expansion) and submersion of *Sargassum* could occur. However, one can assume that large dense aggregations contain a few pixels where the *Sargassum* mat is sufficiently dense, thick, and close to the surface to make the *Sargassum*-free water contribution negligible relative to that of the sole *Sargassum* component; thus, FC can be considered very close to 1 for such conditions. The distribution of $\delta AFAI$ values observed across such a *Sargassum* aggregation varies from 0 ($FC = 0$) to K ($FC = 1$). This assumption is particularly reliable when dealing with MSI high spatial resolution. Therefore, K can be empirically estimated by determining the maximum value of the $MSI/\delta AFAI$ distribution over the *Sargassum* aggregations. A kernel density estima-

tion technique was used to smooth the observed distributions using a bandwidth equal to the standard deviation of δAFAI in the aggregation. The δAFAI value corresponding to the 99th percentile of the distribution was defined as the maximum value, yielding an approximation for K .

2.4.2. Flowchart of the Overall Methodology

The flow chart of the overall methodology used in this study is shown in Figure 5.

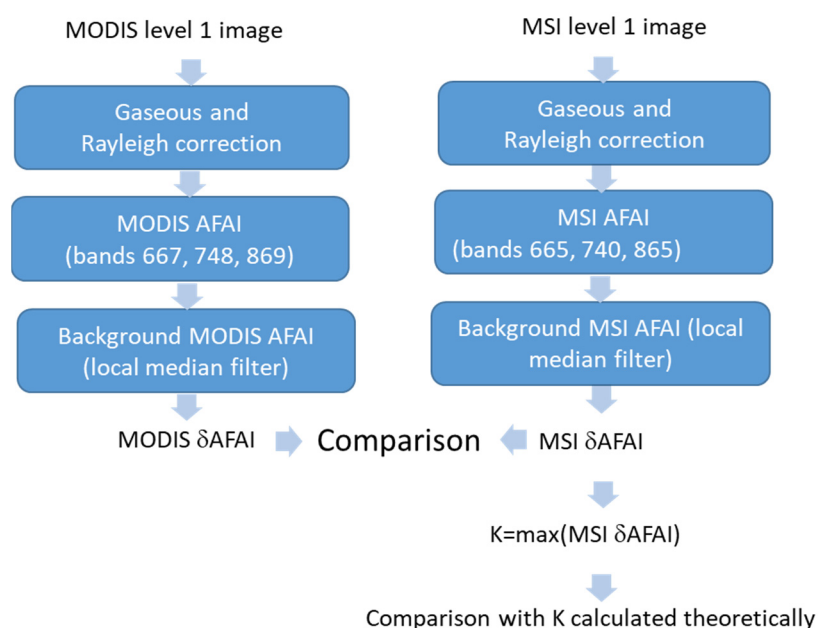


Figure 5. Flowchart of the overall methodology used to compare MODIS/ δAFAI and MSI/ δAFAI and to empirically estimate the slope K of the linear relationship between δAFAI and the fractional coverage (FC).

2.4.3. Radiative Transfer Model Taking into Account the Immersion Depth and the Fractional Coverage of *Sargassum* Aggregations

Radiative transfer simulations were carried out to investigate the influence of *Sargassum* aggregations that are located at a given depth in the water column, so-called immersion depth, on the above water reflectance. The immersion depth can be interpreted as an average depth over the ensemble of *Sargassum* fragments present in an aggregation. It should be highlighted that the influence of submersed *Sargassum* on the above water reflectance has not been studied to our knowledge since only floating algae were investigated by previous studies (Section 1). The fractional coverage FC of *Sargassum* aggregations located in the water column and the immersion depth are used as input parameters of the simulations. The semi-analytical formulation of the radiative transfer equation proposed by Lee et al. [19] was used for that purpose. Lee's model simulates the sea surface reflectance using the water column bio-optical parameters, the depth and the seabed composition as inputs. The water bio-optical parameters consist of the chlorophyll concentration (chl), the Non-Algal Particles (NAP) concentration, and the Colored Dissolved Organic Matter (CDOM) absorption coefficient. Such parameters enable to determine the Inherent Optical Properties that include the absorption $a(\lambda)$ and backscattering $b_b(\lambda)$ coefficients, which are required to compute the deep-water reflectance $r_{rs}^{dp}(\lambda)$. The model also takes into account the seabed reflectance ($R_b(\lambda)$) and the depth of the seabed (z), the z -axis convention is defined as positive in downward direction.

As mentioned in Equation (4), the surface reflectance is a linear combination of water reflectance and *Sargassum* reflectance. This decomposition is based on the assumption that *Sargassum* are located at the sea surface level. However, *Sargassum* aggregations can also

be covered by a layer of water, at a given immersion depth. To examine the influence of *Sargassum* aggregation depth on the reflectance above the surface $R(\lambda)$, an infinite seabed depth is considered as input of the Lee's model while *Sargassum* aggregations are modeled as an opaque layer located at a given immersion depth (z) (Figure 6). For such a configuration, the opaque *Sargassum* layer could be optically considered as a seafloor exclusively composed by *Sargassum*. The *Sargassum* in-depth aggregation is thus characterized by its fractional coverage $FC = S_1/S_2$ where S_1 is the projected area covered by the *Sargassum* aggregation and S_2 is the total surface of a given pixel. The seabed reflectance $R_b(\lambda)$ of Lee's model is then replaced by the composite reflectance of the submersed *Sargassum* aggregation noted $R_c(\lambda)$ (Equation (8)):

$$R_c(\lambda) = FC \times R_{sarg}(\lambda) + (1 - FC) \times R_w(\lambda) \quad (8)$$

The *Sargassum*-free water column reflectance induced by the optical layer between the sea surface and the *Sargassum* aggregation depth, noted $r_{rs}W$ and the reflectance induced by the *Sargassum* aggregation located at depth z , noted $r_{rs}C$, could be expressed through Equations (9) and (10), respectively:

$$r_{rs}W(0^-, \lambda) = r_{rs}^{dp}(\lambda) \left(1 - e^{-\left(\frac{1}{\cos(\theta_w)} + \frac{D_u^W(\lambda)}{\cos(\theta_v)}\right) K_d(\lambda)} \right) \quad (9)$$

$$r_{rs}C(0^-, \lambda) = \frac{1}{\pi} R_c(\lambda) \cdot e^{-\left(\frac{1}{\cos(\theta_w)} + \frac{D_u^C(\lambda)}{\cos(\theta_v)}\right) K_d(\lambda) z} \quad (10)$$

where θ_w and θ_v are the in-water solar zenith angle and viewing zenith angle, respectively, K_d is the diffuse attenuation coefficient, r_{rs}^{dp} the deep water reflectance under the sea surface, D_u^W and D_u^C are the optical path-elongation factors for photons scattered, respectively by the water column and by the composite *Sargassum* aggregation [19]. The remote sensing reflectance just beneath the sea surface, $r_{rs}(0^-, \lambda)$ is the sum of the water column and the composite components (Equation (11)).

$$r_{rs}(0^-, \lambda) = r_{rs}W(0^-, \lambda) + r_{rs}C(0^-, \lambda) \quad (11)$$

Finally, the reflectance just above the sea surface $R(\lambda)$ is derived from $r_{rs}(0^-, \lambda)$ in (Equation (12)).

$$R(\lambda) = \frac{0.52 r_{rs}(0^-, \lambda)}{1 - 1.56 r_{rs}(0^-, \lambda)} \times \pi \quad (12)$$

The implementation of an opaque layer of *Sargassum* aggregation at a given depth in the Lee's model, which is one original feature of the methodology proposed in the current study, enables to simulate the above-water reflectance using the following parameters as inputs: the bio-optical properties of the hydrosols (chl, NAP and CDOM), the *Sargassum* fractional coverage (FC) and the immersion depth of the *Sargassum* aggregations. R_w can be simulated for a given set of water column parameter values (chl, NAP and CDOM) for *Sargassum* free waters.

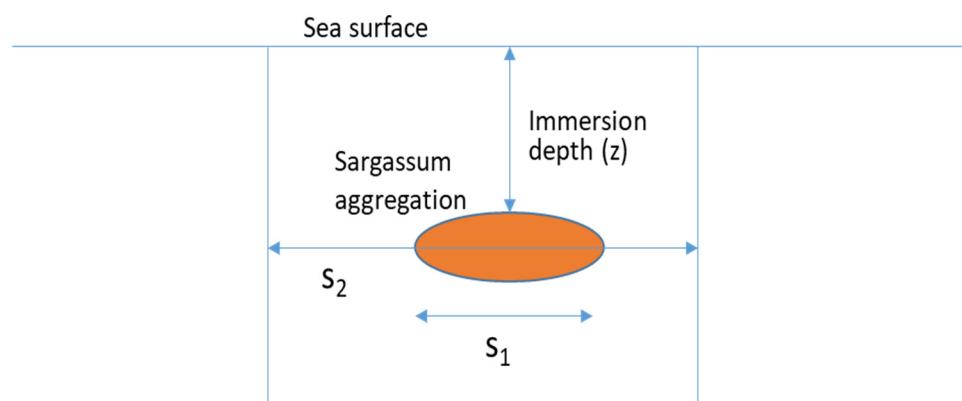


Figure 6. Schematic configuration of the way the influence of *Sargassum* aggregation located at a given depth is represented in Lee's radiative transfer model [19]. The *Sargassum* aggregation is located at an immersion depth z . S_1 is the area covered by the *Sargassum* aggregation and S_2 is the total area of a given pixel.

3. Results

3.1. Correlation between MODIS/ δ AFAI and MSI/ δ AFAI

High values of the coefficient of determination were found between MODIS/ δ AFAI and MSI/ δ AFAI for each study area (Figure 7). The linear regression slopes obtained by bootstrap had confidence intervals of 0.69 to 1.19. As unity is contained in the intervals, the values are not significantly different from 1. Thus, there is a satisfactory agreement between MODIS/ δ AFAI and MSI/ δ AFAI although both sensors have different spatial and spectral resolutions. Therefore, the relationship between δ AFAI and FC that will be further investigated using MSI/ δ AFAI could be fairly applied to retrieve FC from MODIS/ δ AFAI.

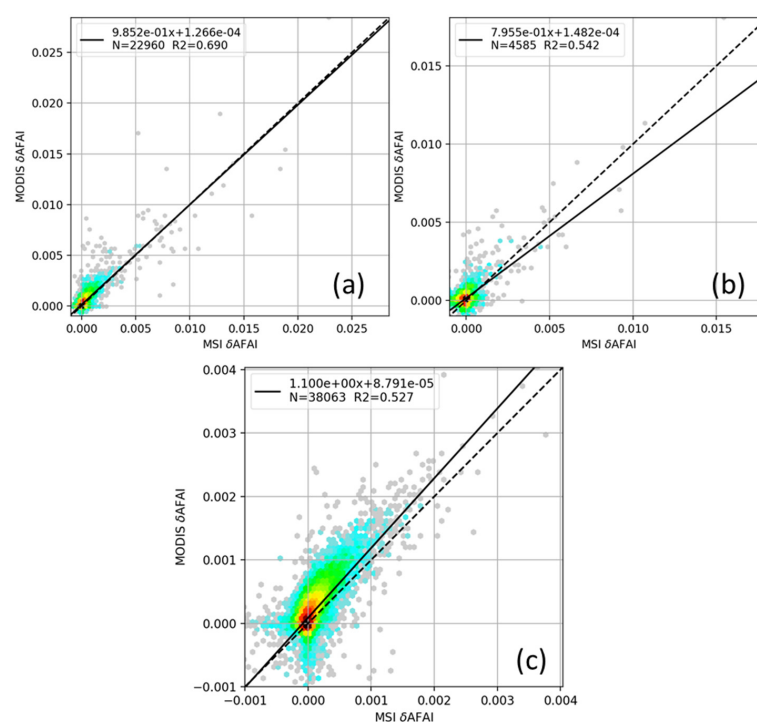


Figure 7. Comparison between MODIS/ δ AFAI and MSI/ δ AFAI for the three study areas: (a) site #1, (b) site #2 and (c) site #3. The statistical parameters, namely the coefficient of determination R^2 , the slope and y-intercept are reported within each figure. The 1:1 and regression lines are shown using dashed and continuous lines, respectively.

3.2. Relationship between δ AFAI and the Fractional Coverage FC

Based on the floating *Sargassum* reflectance spectrum (Figure 4) and Equation (7), the theoretical value of the slope K is 0.0874.

Following the methodology outlined in Section 2 (e.g., Figure 5), K was also estimated empirically from MSI data. For each of the three study areas shown in Figures 1 and 2, a large dense *Sargassum* aggregation was selected (Figure 8a–c). δ AFAI varies between a minimum and a maximum value that can be quantified using a histogram. Since the MSI pixel size is smaller than the large *Sargassum* aggregations, FC is supposed to vary from 0 to 1. The maximum value of the δ AFAI distribution was determined (Figure 8), yielding an estimate of K (i.e., when FC = 1). Table 4 provides the estimates of K values for each site.

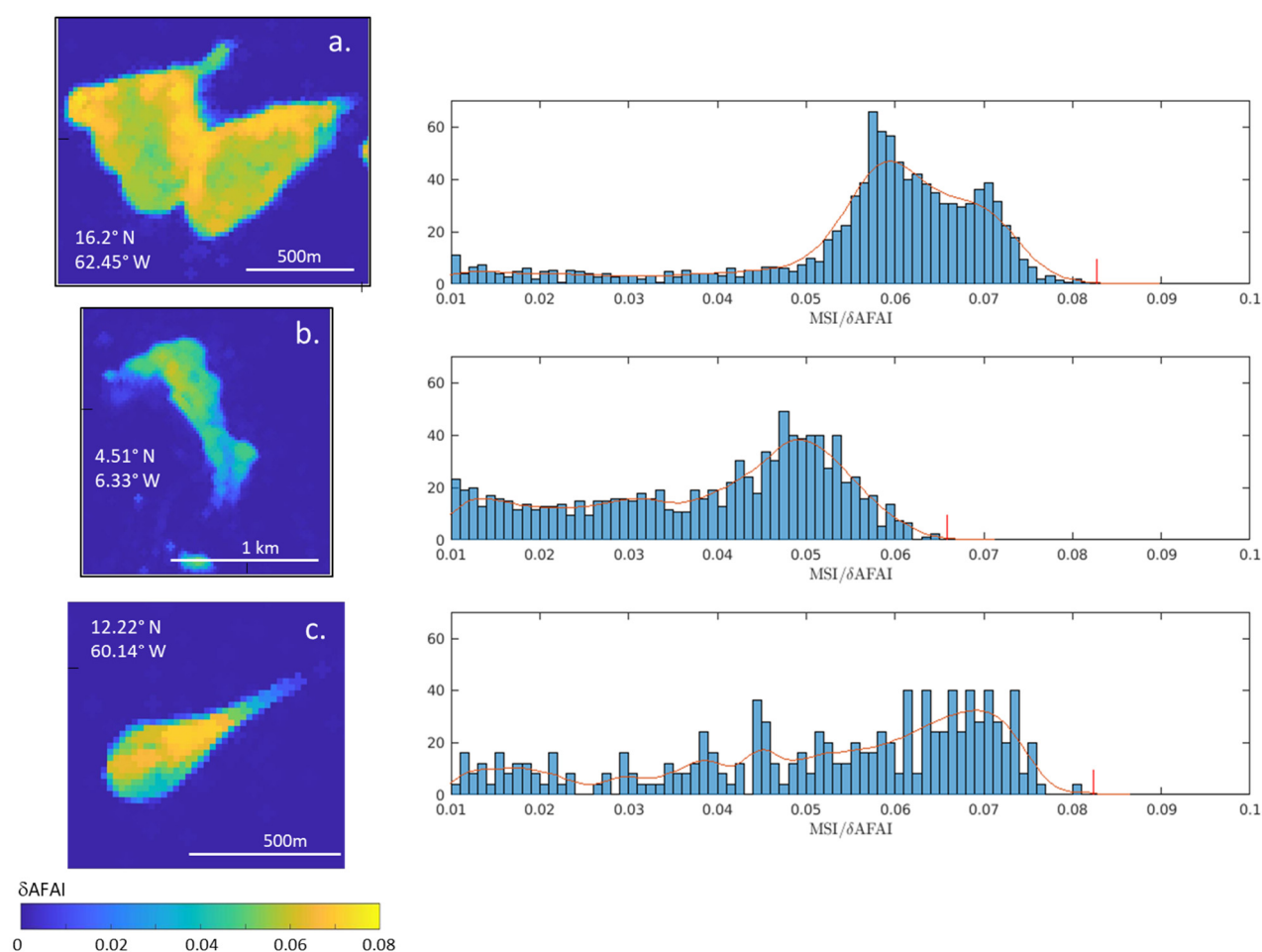


Figure 8. MSI/ δ AFAI images of *Sargassum* aggregation samples (left) and their associated histograms (right) for the three study areas: (a) site #1, (b) site #2 and (c) site #3. The red lines are the kernel density estimates.

Table 4. Values of K corresponding to the maximum value of δ AFAI for each site. Note that the theoretical value of K derived from Equation (7) is 0.0874.

Site Number	K (Dimensionless)
Site #1	0.0828
Site #2	0.0658
Site #3	0.0824

For Sites #1 and #3, K is consistent with the theoretical value (0.0874), which is satisfactory. For Site #2, K is 25% lower than the theoretical value, although the conditions that guarantee the presence of “pure” *Sargassum* pixels seem to be equally verified. That

sample of *Sargassum* from West Africa may have morphological and physiological characteristics that are different from the other two Caribbean samples, which could explain the difference in δ AFAI distribution. Other invasive species than *Sargassum* could have been considered. However, in situ sampling carried out across the Atlantic Ocean in previous studies did not reveal evidence of the occurrence of any other invasive species competitive with *Sargassum* [11,20–22]. Thus, although it might theoretically be possible, it should not be the case here. Note that the wind speed was lower for Site #2 (3 m.s^{-1}) than for Sites #1 and #3 (8 m/s), thus the aggregation on Site #2 could be less compact than the others.

3.3. Variability in the Regression Slope between δ AFAI and FC (K Value)

The theoretical value of the slope K between δ AFAI and FC (i.e., 0.0874), which was calculated using the floating *Sargassum* reflectance spectrum, was compared with the empirical value of K estimated using MSI data (Table 4). Empirical K values are systematically lower than the theoretical value because “pure” *Sargassum* cannot be rigorously observed in the field. Therefore, the maximum value of K is not reached since the slope K decreases with both the dispersion and submersion of *Sargassum*. In the field, the K value can be influenced by several parameters, namely, the physiological state of *Sargassum*, the water turbidity and depth. Russel and Heidi [23] measured two reflectance spectra of *Sargassum*. One spectrum corresponds to “healthy” *Sargassum* while the other spectrum corresponds to “senescent” *Sargassum*. The above water reflectance and the K values were simulated here based on these measured spectra for *Sargassum* located at 0.01 m beneath the sea surface. Table 5 reports the K values calculated from simulations.

Table 5. Coefficients of proportionality between δ AFAI and FC, noted K (Equation (7)). The values were calculated by simulation (see text in Section 2.4.3) using different *Sargassum* reflectance spectra measured by several authors in the literature.

<i>Sargassum</i> Reflectance Spectrum	K
Pure <i>Sargassum</i> measured in the mesocosm [11]	0.1162
Floating (0.01 m) <i>Sargassum</i> measured in the mesocosm [11] (this study)	0.0874
Healthy <i>Sargassum</i> (0.01 m) [23]	0.0849
Senescent <i>Sargassum</i> (0.01 m) [23]	0.0568
Minimum <i>Sargassum</i> reflectance measured by Wang et Hu [10]	0.0257
Average <i>Sargassum</i> reflectance measured by Wang et Hu [10]	0.0441
Maximum <i>Sargassum</i> reflectance measured by Wang et Hu [10]	0.0724

The K values derived for a *Sargassum* depth of 0.01 m when using *Sargassum* reflectance spectra measured in the mesocosm [11] and “healthy” *Sargassum* [23] are fairly similar (0.086). The degradation of *Sargassum* physiological state (senescent *Sargassum*) leads to a significant decrease in K (about 35%). Note that senescent *Sargassum* may also show a lower buoyancy.

4. Discussion

This study shows two important results and findings: (i) the *Sargassum* detection based on AFAI can be downsampled from 20 m to 1 km, allowing *Sargassum* monitoring with moderate resolution sensors such as MODIS; (ii) the variability of the coefficient of proportionality K to derive *Sargassum* coverage from AFAI could be significant, thus showing that a unique/invariant value should not be used.

Finding (i) could be consolidated using more data. However, since the theoretical analysis established that both MODIS/ δ AFAI and MSI/ δ AFAI are proportional to the *Sargassum* fractional coverage, finding (i) corroborates theory. Furthermore, the lack of MODIS-MSI concomitant observations makes it highly difficult to conduct the full statistical analysis that would be required to rigorously examine the time variability of *Sargassum* over seasonal cycles. Since their orbits are very different, the concomitance of MODIS and

MSI observations of the same areas at the same time are rare. Thus, very few concomitant *Sargassum* detections are available for a direct comparison, bearing in mind that MSI time series is much shorter than MODIS, and MSI geographic coverage much smaller.

Regarding finding (ii), the intent of this study is to highlight a methodological issue when deriving *Sargassum* coverage from δ AFAI using a “universal” (i.e., unique) value of coefficient K , as is commonly the case. This study proposes an empirical method to determine K .

Deeper statistical analysis dealing with the K values derived empirically will need to be carried out based on massive data processing. However, the methodology proposed in this study is not suited for performing such an extensive statistical analysis because it requires selecting specific *Sargassum* aggregations that are large enough to yield a distribution of δ AFAI sufficiently sampled and relies on the hypothesis that those aggregations contain at least a few MSI pixels with fractional coverage close to 100% to derive K with the kernel density estimation technique. In addition to the poor geographic coverage of MSI, especially over open ocean waters, those requirements considerably limit the amount of exploitable data, hence the feasibility of deriving statistical trends for K .

The consistency between AFAI derived from MODIS and MSI sensors using satellite data acquired above scenes that are influenced by *Sargassum* was demonstrated in Section 3 although the spatial resolution of these sensors differs by almost two orders of magnitude. The MSI spatial resolution (20 m) provides pixels that can be entirely covered with “pure” *Sargassum* (large aggregations of type 5 in Ody et al. [11]) while the MODIS spatial resolution (1 km) can only provide pixels with a lesser coverage of *Sargassum*. Consequently, MODIS can hardly provide satisfactory conditions to make sure the cover of *Sargassum* is maximum.

The variability in K values with physiological state is significant as shown in Section 3. Other variables such as the water turbidity or the *Sargassum* depth could potentially have an influence on δ AFAI and thus, on the K values (Table 6). Typically, K increases with turbidity (because the water reflectance increases in Equation (7)). K decreases with the immersion depth because of the strong absorption of the radiation by pure seawater molecules in the near infrared. Such variations of K with depth corroborate the observations made by Ody et al. [11] who highlighted that the sea state could have a direct influence on *Sargassum* submersion and subsequently on the fractional coverage.

Table 6. Influence of water turbidity (for *Sargassum* at 0.01 m depth) and *Sargassum* depth on the K value.

Water Turbidity	K	<i>Sargassum</i> Depth (m)	K
Clear water	0.0797	0 m	0.0871
Mid turbid waters	0.0871	0.10 m	0.0406
Turbid waters	0.1009	0.20 m	0.0275

In this study, it was also verified through simulations that the sunglint has no influence on K . This is because the sunglint reflectance is spectrally flat, thus it does not alter the calculation of AFAI; hence, K remains pretty insensitive to the sunglint.

The current study calculated and empirically derived a slope K twice higher than the theoretical value proposed by Wang and Hu [10]. The value estimated by Wang and Hu (0.0441) is derived from the *Sargassum* reflectance spectrum based on the average of more than 50 spectra measured in the Gulf of Mexico and off Bermuda using a hand-held spectrometer. Consequently, they derived a value of K that relates the measured δ AFAI to the fractional coverage of *Sargassum* mats that are typical of their study area, i.e., with average *Sargassum* density, not maximum density; hence the value of K they derived cannot be used to derive a fractional coverage of “pure” *Sargassum*.

On the contrary, the value of K retrieved in the current study, using the *Sargassum* spectrum measured in mesocosm (Figure 4) and MSI satellite data, relates the measured

δ AFAI to the fractional coverage of “pure” *Sargassum*. A decrease by a factor of 2 of the K value can lead to an overestimation of the fractional cover of *Sargassum* by a factor of 2 as well because of the linear relationship between δ AFAI and K. Therefore, the selection and the use of a “pure” *Sargassum* reflectance spectrum are crucial to accurately estimate the fractional coverage and further the biomass. However, the retrieved value of K is only representative of the study area.

5. Conclusions

The feasibility of downsampling the detection of *Sargassum* from higher to lower satellite sensor spatial resolutions, namely, 20 m (Sentinel-2/MSI) and 1 km (MODIS) was demonstrated. The slope value of the linear relationship between δ AFAI and the *Sargassum* fractional coverage, noted as K in this paper, was calculated from theory and empirically checked using remotely sensed data from MSI. One original feature of the study was to adapt a radiative transfer model, namely the Lee’s model, to take into account *Sargassum* aggregations located at a given depth while previous studies only consider aggregations floating at the sea surface. The adaption of Lee’s model is also relevant for analyzing the influence of various parameters such as the water turbidity, the submersion and the *Sargassum* physiological state (e.g., healthy or senescent) on the K value. It was shown that the use of a unique K value to calculate the fractional coverage, as previously proposed by Wang and Hu [10], is not relevant since it could lead to a wrong estimate of the *Sargassum* fractional coverage (typically by a factor of 2 as shown here) and the associated *Sargassum* biomass. This study does have a large-scale impact on the estimate of *Sargassum* coverage and thus biomass in the Atlantic Ocean. Further work could consist in investigating the influence of the sea state and of the *Sargassum* physiological state on the *Sargassum* depth to improve estimates of *Sargassum* fractional coverage and biomass. The relation between δ AFAI and FC could also be applied in other regions (Gulf of Mexico, Yellow Sea, and East China Sea) and could be extended to other types of similar floating algae such as *Enteromorpha prolifera* or *Porphyra yezoensis*.

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