

Article

# Evaluation of the ERA5 Sea Surface Skin Temperature with Remotely-Sensed Shipborne Marine-Atmospheric Emitted Radiance Interferometer Data

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**Abstract:** Sea surface temperature is very important in weather and ocean forecasting, and studying the ocean, atmosphere and climate system. Measuring the sea surface skin temperature ( $SST_{skin}$ ) with infrared radiometers onboard earth observation satellites and shipboard instruments is a mature subject spanning several decades. Reanalysis model output  $SST_{skin}$ , such as from the newly released ERA5, is very widely used and has been applied for monitoring climate change, weather prediction research, and other commercial applications. The ERA5 output  $SST_{skin}$  data must be rigorously evaluated to meet the stringent accuracy requirements for climate research. This study aims to estimate the accuracy of the ERA5  $SST_{skin}$  fields and provide an associated error estimate by using measurements from accurate shipboard infrared radiometers: the Marine-Atmosphere Emitted Radiance Interferometers (M-AERIs). Overall, the ERA5  $SST_{skin}$  has high correlation with ship-based radiometric measurements, with an average difference of  $\sim 0.2$  K with a Pearson correlation coefficient ( $R$ ) of 0.993. Parts of the discrepancies are related to dust aerosols and variability in air-sea temperature differences. The downward radiative flux due to dust aerosols leads to significant  $SST_{skin}$  differences for ERA5. The  $SST_{skin}$  differences are greater with the large, positive air-sea temperature differences. This study provides suggestions for the applicability of ERA5  $SST_{skin}$  fields in a selection of research applications.

**Keywords:** ERA5; evaluation; sea surface skin temperature; M-AERI

## 1. Introduction

Sea-surface temperature (SST) has been declared to be an Essential Climate Variable (ECV; [1]) by the Global Climate Observing System (GCOS). SST data are essential in many areas of research, such as climate change and weather forecasting [2–4].

SST observations are unevenly distributed in terms of space and time. The retrieval of the sea surface skin temperature ( $SST_{skin}$ ) both by radiometers on earth observation satellites [3,4] and shipboard instruments [5,6] has been developed over many years and is a mature subject. Climate change research usually needs consistent SST data, which may be acquired by long series of measurements. However, weather and ocean forecasting typically require the best estimate data, collected by as many observations as possible within a specific period of time, and available within a short interval after the measurements are taken. Reanalysis datasets usually strike a balance between these two requirements, trying to generate long-term, consistent, high-quality data [7]. Over the past few decades, a number of reanalyses, such as the European Centre for Medium-Range Weather Forecasts (ECMWF) re-analyses, ERA-Interim [8] and ERA5 [9,10]; the National Centers for Environmental Predictions (NCEP)—National

Center for Atmospheric Research Climate Forecast System Reanalysis [11]; the NASA Modern Era Retrospective-Analysis for Research and Applications (MERRA) [12] and MERRA-2 [13,14]; the Japanese global atmospheric reanalysis JRA-55 [15], have drawn a lot of attention. These reanalysis products have created long-term global SST fields, from 1979 to present. This study focuses on evaluating the latest generation of high-resolution  $SST_{skin}$  from ERA5.

Several previous researchers have evaluated the performance of ERA5 using observations from field campaigns and meteorological stations. Graham, et al. [16] used radiosondes which have not been assimilated into any reanalyses to validate the ERA5 wind speed, humidity and air temperature data in the Arctic Fram Strait relative to MERRA-2, JRA-55 and ERA-Interim; the newly released ERA5 has a higher correlation with the independent radiosonde data than the other reanalyses, and with less bias. Hirahara, et al. [7] validated the high-resolution SSTs used in ECMWF, specifically, the HadISST [17] and OSTIA [18]; their optimal usage for ERA5 and performance is well described: these two products are in good agreement in the global SST fields: the spread of the global mean SST is about 0.02K, but with locally larger biases in eddy-active regions. Nogueira [19] presented a comprehensive inter-comparison of the rainfall over the last 40 years between the Global Precipitation Climatology Project (GPCP) and ERA5 reanalysis; the convective rainfall and moisture convergence patterns are better represented in ERA5 than ERA-Interim. The significant rainfall underestimation over the mid-latitude oceans in ERA-Interim has been significantly improved in ERA5. Mahto and Mishra [20] evaluated ERA5 hydrologic application data such as precipitation, runoff, soil moisture and surface temperatures against the observations from India Meteorological Department, revealing that ERA5 products perform better than other reanalysis data.

The performance of ERA5  $SST_{skin}$  has not been evaluated. A key limitation is the paucity of surface-based  $SST_{skin}$ -related field campaigns or stations. In general, a popular SST validation source is the drifting buoy array, with thermometers mounted 10–20 cm below the sea surface, but the temperature differences between that depth and the surface [4,21,22] may introduce errors in the validation.

Independent  $SST_{skin}$  derived from the Marine-Atmosphere Emitted Radiance Interferometers (M-AERI; [6]) are used in this study to perform an assessment of ERA5  $SST_{skin}$  and evaluate the potential inaccuracies associated with dust aerosols and sensitivity to air–sea temperature differences. Data from a series of NOAA Aerosols and Ocean Science Expeditions (AEROSE; [23]) and Royal Caribbean International (RCI) cruises are used in this study. In addition, in many research cruises where radiometric  $SST_{skin}$  were made, atmospheric temperature and humidity profiles were also measured. The datasets have not been submitted to any assimilation schemes, so the M-AERI data used here are independent of the ERA5 fields.

We organize this paper as follows: The M-AERI-retrieved  $SST_{skin}$  data, ERA5-derived  $SST_{skin}$  data, and other MERRA-2 inputs are introduced in Section 2. Details of the cruises are also introduced in Section 2. In Section 3, we present the overall statistics of the comparisons. The results of the error analysis are discussed in Section 4 with day/night differences, air–sea temperature difference effects, and dust aerosol effects.

## 2. Materials and Methods

### 2.1. ERA5 $SST_{skin}$ Data

The ERA5 reanalysis model output was generated using the four-dimensional variational (4D-VAR) analysis systems [9,10]. The ERA5 is the improved version of ERA-Interim [8], and is available from the ECMWF archive (<https://cds.climate.copernicus.eu/#/search?text=ERA5&type=dataset>). ERA5 is available on a regular latitude-longitude grid at a spatial resolution of 31 km ( $0.25^\circ \times 0.25^\circ$ ) [9,10].

The ERA5  $SST_{skin}$  product is based on a model simulation with data from satellite-derived SSTs. The temperature of the depth where there is no diurnal signal is the foundation temperature; the foundation temperature for ERA5 is taken from the Operational Sea Surface Temperature and Sea Ice Analysis (OSTIA) analysis [18], which is a blended product from various satellite-retrieved SST and

in situ data. As to near-surface effects, ocean temperature variability is represented by three physical processes: the thermal skin cool layer during both day and night, the diurnal heating warm layer during the day, and the salinity saturation effect near the surface [10].

The cool skin effect originates from the heat loss to the atmosphere, the temperature difference between the skin layer ( $T_{skin}$ ) and at the foundation depth ( $T_{fnd}$ ) can be expressed as [24,25]:

$$T_{skin} - T_{fnd} = \frac{\delta}{\rho_w c_w k_w} (Q + R_s f_s) \quad (1)$$

where  $R_s$  is the net solar radiation at the surface,  $f_s$  is the fraction of the surface-absorbed solar radiation,  $\rho_w$  is the water density,  $c_w$  is the volumetric heat capacity,  $k_w$  is the molecular thermal conductivity of water and  $\delta$  is the skin layer thickness.  $Q$  is the net heat flux in this cool layer:

$$Q = H + E + LW \quad (2)$$

where  $H$ ,  $E$ , and  $LW$  denote the surface sensible heat flux, latent heat flux and net long wave radiation at the surface, respectively.

The  $f_s$  can be given as:

$$f_s = 0.065 + 11\delta - \frac{6.6 \times 10^{-5}}{\delta} (1 - e^{-\delta/0.0008}) \quad (3)$$

The diurnal warming layer [25,26] is due to the solar absorption during the daytime; the diurnal warming effect may be affected by surface wind, by cloud amount and type, by free convection, or by internal waves [27]. The ERA5 diurnal warming calculations are based on Takaya, et al. [28] and can be expressed as:

$$\frac{\partial(T_{-\delta} - T_{fnd})}{\partial t} = \frac{Q + R_s - R(-d)}{d\rho_w c_w \nu / (\nu + 1)} - \frac{(\nu + 1)k u_{*w}}{d\phi_t(d/L)} (T_{-\delta} - T_{fnd}) \quad (4)$$

where  $T_{-\delta}$  is the temperature below the cool skin layer,  $d$  is the depth of the diurnal warm layer, which is set as 3 m,  $\nu$  is the profile shape and it is set as 0.3,  $u_{*w}$  is the water friction velocity,  $\phi_t(d/L)$  is the stability function and  $L$  is the Obukhov length;  $R(-d)$  is the solar radiation absorbed at depth  $-d$ , which is

$$R(-d) = R_s \times 0.28e^{-71.5d} + R_s \times 0.27e^{-2.8d} + R_s \times 0.45e^{-0.06d} \phi_t(d/L) \quad (5)$$

The nondimensional shear stability function,  $\phi_t(d/L)$ , is

$$\phi_h(\zeta) = \begin{cases} 1 + 5\frac{-z}{L}, \frac{-z}{L} > 0 \\ (1 - 16\frac{-z}{L})^{-\frac{1}{2}}, \frac{-z}{L} < 0 \end{cases} \quad (6)$$

The Obukhov length  $L$  is

$$L = \rho_w c_w u_{*w}^3 / (kF_d) \quad (7)$$

Equation (4) has been integrated in time to derive the warm layer effect; during daytime, the warm layer effect ( $T_{-\delta} - T_{fnd}$ ) from Equation (4) and the cool layer effect ( $T_{skin} - T_{fnd}$ ) from Equation (1) have been added together to derive  $T_{skin}$ .

Different reanalysis schemes use different choices of these parameter settings: for example, according to Akella, et al. [29] and Gentemann and Akella [21], the NASA MERRA-2 temperature profile uses 2 m and 0.2 for the diurnal warm layer depth,  $d$ , and the diurnal profile shape,  $\nu$ , respectively, but ERA5 uses 3 m and 0.3 [25]. It is essential to evaluate the newly updated ERA5 data.

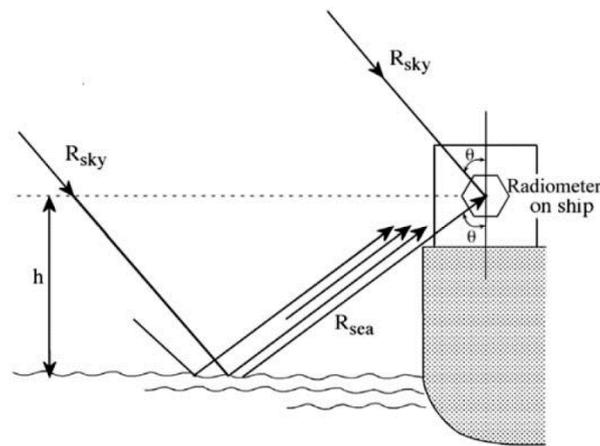
## 2.2. M-AERI Data

Self-calibrating, ship-based radiometers provide  $SST_{skin}$  that is more directly comparable to the ERA5  $SST_{skin}$  than the temperatures at the depth of the drifting buoy measurements. This study utilizes the M-AERI [6,30], a ship-based spectro-radiometer mounted a few meters above the sea surface on the ships, as shown in Figure 1, to validate the ERA5  $SST_{skin}$ .



**Figure 1.** Installations of Marine-Atmosphere Emitted Radiance Interferometers (M-AERIs) on cruise ships (a,b). The instruments are inside hermetically sealed aluminum enclosures, with the fore optics on the aft, sheltered sides of the enclosures. The smaller boxes contain air-conditioning units to limit temperature and humidity variations in the instrument enclosures. (c): An M-AERI installed on the bridge wing of the R/V Alliance. (d): The M-AERI is calibrated in the laboratory before and after each deployment using an external validation procedure.

The internal calibration of the M-AERIs is checked in the laboratory using an SI-traceable water-bath blackbody calibration target [31–33] (Figure 1). The M-AERI viewing geometry is shown in Figure 2; each M-AERI contains two internal blackbodies, one at ambient temperature and the other heated, that provide a two-point calibration before and after each measurement of the sea-surface and sky infrared emissions. The sky emission measurement is used for correcting the sky radiance. After the interferometer sequentially measures the sea and sky emissions over a specified time interval, the scan mirror rotates to the apertures of the two blackbody cavities to provide a real-time two-point calibration of the measured emission spectra.



**Figure 2.** M-AERI view geometry (from [30]).  $R_{sky}$ , and  $R_{sea}$  are the spectral infrared radiances measured in the direction of sky and sea surface. The  $R_{sky}$  provides a correction for the sky radiation that is reflected at the sea surface.

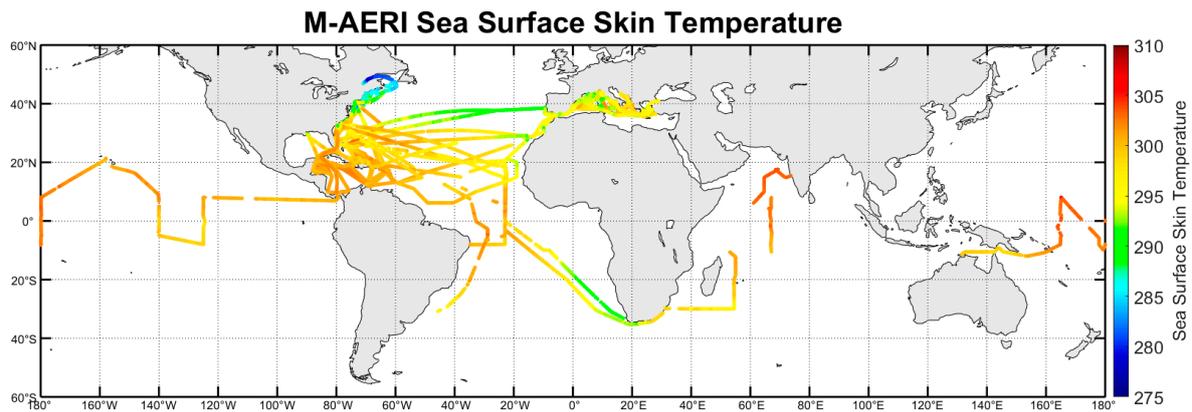
The  $SST_{skin}$  derived from M-AERI instruments can be expressed as:

$$SST_{skin} = B^{-1} \left( \frac{R_{water}(\lambda, \theta) - (1 - \varepsilon(\lambda, \theta))R_{sky}(\lambda, \theta) - R_h(\lambda, \theta)}{\varepsilon(\lambda, \theta)} \right) \quad (8)$$

where  $B$  is the Planck function;  $R_{water}$ ,  $R_{sky}$ , and  $R_h$  are the spectral radiance measured in the direction of the sea surface, emitted by the atmosphere above the instrument, and below the instrument (both directly into the measured beam and reflected at the sea surface).  $\lambda$  is the wavelength of the radiance,  $\theta$  is the angle from vertical of the measurement, and  $\varepsilon$  is the surface emissivity at  $\lambda$  and  $\theta$ . The detailed technical description, including the atmospheric correction, is given by Minnett, et al. [6]. The  $SST_{skin}$  derived from the M-AERI spectra has an uncertainty  $\sim 0.04$  K. M-AERI deployments are monitored from the laboratory via a satellite Internet link.

M-AERI spectral measurements are also used to derive a near-surface air temperature [34]. Thus, the M-AERI spectral measurements can provide better air temperature than by conventional contact thermometers. Accurate M-AERI-derived air temperature have also been used in this study to characterize the conditions in the lower atmosphere in the comparisons with ERA5 data.

Until the recent suspension of cruises in response to the Covid-19 pandemic, there were four M-AERIs operational—three on ships of Royal Caribbean International (RCI): Celebrity Equinox (May 2014–March 2020), Allure of the Seas (June 2014–March 2020), and Adventure of the Seas (January, 2018–March 2020). The fourth is usually deployed on research vessels, such as on the NOAA ship Ronald H Brown (RHB) for a circumnavigation from March to October 2018. Figure 3 shows the tracks of deployments on several research vessels that have provided matchups in a wide range of environmental conditions. The RCI ships have provided a rich source of measurements in the western North Atlantic Ocean, Caribbean Sea, and the Mediterranean Sea.



**Figure 3.** Tracks of ships with M-AERIs installed that provided data for this study. Most of the cruise ship tracks are repeated many times. The colors indicate the M-AERI SST<sub>skin</sub>.

Data from nine campaigns from 2004 to 2019 were taken during the AEROSE project [23] on the NOAA Ship Ronald H. Brown and the R/V Alliance. AEROSE comprises Atlantic field campaigns to conduct in situ measurements of the effects of Saharan dust aerosol on the tropical and subtropical Atlantic Ocean. The dust effects on satellite-derived SST<sub>skin</sub> have been quantified using AEROSE data [35,36]. The SST<sub>skin</sub> provided by AEROSE is valuable to validate ERA5 SST<sub>skin</sub> data under the dust-polluted air layers.

Table 1. Summarizes the times and regions of M-AERIs deployed on RCI ships; Table 2 summarizes the same information, but for AEROSE cruises.

**Table 1.** Details of the Royal Caribbean International (RCI) cruises used in this study.

CRUISES	AREA	START	END	DAYS OF DATA
2014 ALLURE	Caribbean Sea	2014-08-24	2014-12-31	130
2014 EQUINOX	Caribbean Sea	2014-11-16	2014-12-31	46
2015 ALLURE	Caribbean Sea, North Atlantic Ocean, and Mediterranean Sea	2015-01-01	2015-12-26	360
2016 EQUINOX	Caribbean Sea, North Atlantic Ocean, and Mediterranean Sea	2016-01-02	2016-12-31	365
2017 EQUINOX	Caribbean Sea	2017-01-01	2017-12-31	365
2017 ALLURE	Caribbean Sea	2017-10-02	2017-11-26	56
2018 EQUINOX	Caribbean Sea	2018-01-11	2018-09-23	255
2018 ADVENTURE	Caribbean Sea and US East Coast	2018-02-12	2018-12-31	322
2018 ALLURE	Caribbean Sea	2018-02-18	2018-10-14	238
2019 ADVENTURE	Caribbean Sea and US East Coast	2019-01-01	2019-10-30	302
<b>TOTAL</b>	–	<b>2014-08-24</b>	<b>2019-10-30</b>	<b>2439</b>

**Table 2.** Details of the AEROSE and other cruises used in this study.

CRUISES	AREA	START	END	DAYS OF DATA
2004 RHB		2004-02-13	2004-04-13	61
2006 RHB		2006-05-27	2006-07-14	49
2007 RHB	North Atlantic	2007-05-07	2007-05-28	22
2008 RHB	Ocean, South	2008-04-29	2008-05-19	21
2011 RHB	Atlantic, Indian	2011-07-21	2011-08-20	31
2013 RHB	and Pacific Oceans	2013-11-11	2013-12-08	28
2015 ALLIANCE		2015-11-17	2015-12-14	28
2018 RHB		2018-03-07	2018-10-23	231
2019 RHB		2019-02-24	2019-03-29	34
<b>TOTAL</b>	–	<b>2004-02-13</b>	<b>2019-03-29</b>	<b>505</b>

### 2.3. MERRA-2

Dust effects on satellite derived  $SST_{skin}$  have been discussed by Luo, et al. [35]; high concentrations of dust aerosol are also a problem for reanalyses [37], and dust appears to degrade the quality of MERRA-2  $SST_{skin}$  [37]. MERRA-2 aerosol dust fields are used to quantify the effect of Sahara aerosol dusts on the ERA5-derived  $SST_{skin}$ .

NASA's Goddard Earth Sciences MERRA-2 dataset provides atmospheric and surface fields [13,14], some of which are useful for this study. The data were downloaded from <http://disc.sci.gsfc.nasa.gov/mdisc/>. The MERRA-2 aerosol analysis system [14,38] provides the assimilated aerosol-related radiation output and dust scattering aerosol optical thickness (AOT) for this study.

The MERRA-2 AOT profile is taken from the variable labelled  $avg1\_2d\_aer\_Nx$ , which is a 1-hourly time-averaged aerosol diagnostic product. The surface net downward longwave flux due to aerosols is taken from the variable  $avg1\_2d\_rad\_Nx$ , which is a 1-hourly time-averaged radiation product and contains the surface-absorbed shortwave and longwave radiation, top of atmosphere incoming shortwave flux, cloud fraction, surface albedo, etc. The surface net downward longwave flux due to aerosol used is calculated as:

$$LW\_aer\_rad = LW_{\downarrow with\_aerosol} - LW_{\downarrow clear} \quad (9)$$

where  $LW_{\downarrow with\_aerosol}$  is the MERRA-2 LWGNTCLR product, meaning surface net downward longwave flux assuming clear sky (cloud-free), and  $LW_{\downarrow clear}$  is the MERRA-2 LWGNTCLRCLN product, meaning surface net downward longwave flux assuming clear sky and no aerosol.

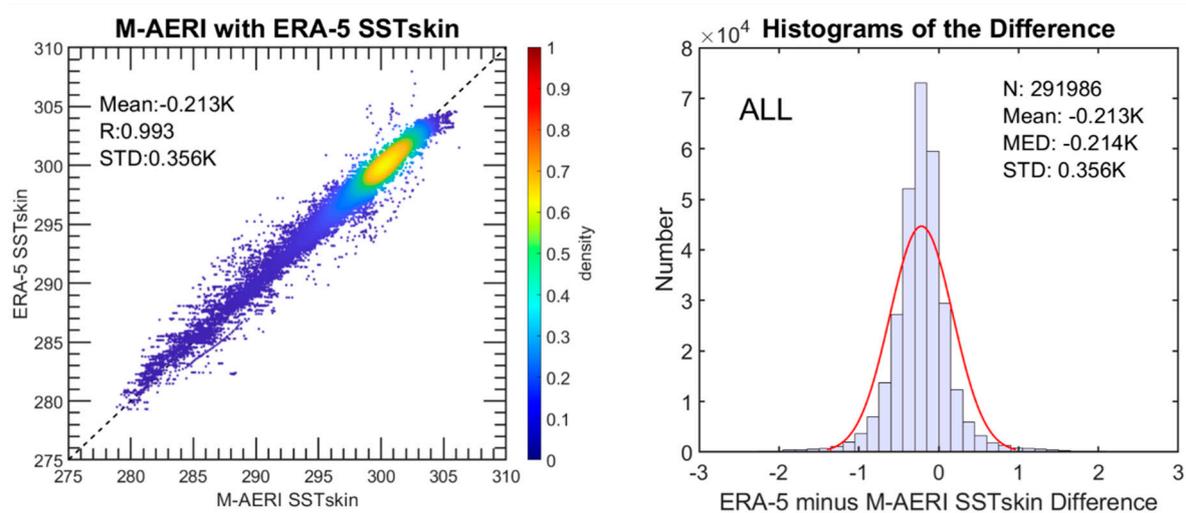
The MERRA-2 dataset has a spatial resolution of  $0.625^\circ$  (longitude) and  $0.5^\circ$  (latitude), being different from ERA5 which has  $0.25^\circ \times 0.25^\circ$  resolution. Therefore, MERRA-2 aerosol and radiation data are bi-linearly interpolated to the ERA5 positions in this study.

## 3. Results

In a skin-to-skin temperature comparison,  $SST_{skin}$  values from ERA5 are directly compared with M-AERI  $SST_{skin}$ . The comparison of ERA5  $SST_{skin}$  with M-AERI  $SST_{skin}$  values can be made by populating a matchup data base (MUDB). Each MUDB record includes the ERA5  $SST_{skin}$  corresponding to a set of times and locations of a M-AERI measurement. The data vector also contains the M-AERI near-surface air temperature, MERRA-2 AOT, MERRA-2 radiation profile and other instrumental variables. The ERA5  $SST_{skin}$  were temporally and spatially bi-linearly interpolated to the ship positions and times. Moreover, because RCI cruises are often near coasts and ERA5 has a horizontal resolution of 31 km, we calculate the distance to the land of each ship-board measurement and apply a filter to exclude the matchup points which are less than 32 km to land. In addition, some oceanic features, such as upwelling and freshwater input, are stronger near coasts; the corresponding  $SST_{skin}$  variations within 31 km cannot be determined from ERA5 data. For these reasons, the filter has been used to avoid significant errors due to the ERA5 spatial resolution.

### 3.1. Statistics of $SST_{skin}$ Comparisons

The scatter plot in Figure 4 shows that there are a few matchups with significant bias, but that there is good quantitative agreement between ERA5 and M-AERI data. The histogram of the differences of ERA5  $SST_{skin}$  minus M-AERI  $SST_{skin}$  are shown in Figure 4 (right) with a well-defined histogram peak; most of the differences fall into the range of  $-1$  K to 1 K.



**Figure 4.** Left: Scatter plot of M-AERI SST<sub>skin</sub> with ERA5 SST<sub>skin</sub>. Right: Histogram of the SST<sub>skin</sub> difference. All of the units are K.

Table 3 shows the statistics of the ERA5 SST<sub>skin</sub> minus M-AERI SST<sub>skin</sub> differences during AEROSE cruises and Table 4 shows the same statistics for the RCI cruises. The mean differences are  $-0.190$  K for AEROSE cruises and  $-0.220$  K for RCI cruises. The overall standard deviations (STD) are  $0.348$  K and  $0.358$  K. Robust standard deviations (RSD) are less sensitive to outliers and are a better representation of the ERA5 SST<sub>skin</sub> algorithm performance [39]. The robust statistics of the difference are the best assessment of the ERA5 SST<sub>skin</sub> performances, which are between  $0.239$  K and  $0.247$  K, similar for both cruises and smaller than the STD. Table 5 summarizes the statistics of the SST<sub>skin</sub> differences for all of the cruises, comprising a total of 291,986 match-up pairs. ERA5 SST<sub>skin</sub> values are generally in good agreement with the corresponding M-AERI data, with a median difference of  $-0.214$  K and an RSD of  $0.356$  K.

**Table 3.** Statistics of ERA5 SST<sub>skin</sub> minus M-AERI SST<sub>skin</sub> for each AEROSE cruise. The unit is K.

CRUISES	N*	MEAN	MED	STD	RMS	RSD	R	E
2004 RHB	5805	-0.212	-0.165	0.460	0.507	0.342	0.979	0.949
2006 RHB	3908	-0.152	-0.124	0.383	0.413	0.357	0.976	0.944
2007 RHB	1257	0.024	-0.029	0.441	0.442	0.415	0.971	0.942
2008 RHB	1592	0.020	-0.012	0.482	0.483	0.366	0.968	0.935
2011 RHB	2264	-0.038	-0.005	0.327	0.329	0.308	0.996	0.993
2013 RHB	7099	-0.201	-0.193	0.230	0.305	0.180	0.981	0.927
2015 ALLIANCE	5547	-0.299	-0.318	0.242	0.385	0.228	0.991	0.952
2018 RHB	38,108	-0.167	-0.148	0.282	0.328	0.206	0.994	0.984
2019 RHB	8378	-0.329	-0.299	0.502	0.601	0.380	0.963	0.895
<b>TOTAL</b>	<b>73,958</b>	<b>-0.190</b>	<b>-0.170</b>	<b>0.348</b>	<b>0.396</b>	<b>0.247</b>	<b>0.991</b>	<b>0.978</b>

Note: N\* means number of valid match-up points. Med: median; STD: standard deviation; RMS: root mean square; RSD: robust standard deviation. R: Pearson correlation coefficient. E: Nash–Sutcliffe efficiency coefficient.

**Table 4.** Statistics of ERA5 SST<sub>skin</sub> minus M-AERI SST<sub>skin</sub> for each RCI cruise. The unit is K.

CRUISES	N*	MEAN	MED	STD	RMS	RSD	R	E
2014 ALLURE	9811	−0.196	−0.199	0.262	0.327	0.233	0.972	0.914
2014 EQUINOX	5421	−0.293	−0.288	0.247	0.383	0.219	0.953	0.780
2015 ALLURE	34,658	−0.208	−0.231	0.367	0.422	0.265	0.991	0.975
2016 EQUINOX	28,673	−0.188	−0.205	0.371	0.416	0.272	0.995	0.987
2017 EQUINOX	41,945	−0.244	−0.238	0.270	0.364	0.211	0.983	0.938
2017 ALLURE	5031	−0.145	−0.133	0.218	0.262	0.206	0.959	0.884
2018 EQUINOX	29,779	−0.266	−0.240	0.291	0.395	0.213	0.981	0.928
2018 ADVENTURE	7266	−0.170	−0.182	0.480	0.509	0.213	0.992	0.977
2018 ALLURE	27,215	−0.257	−0.252	0.274	0.376	0.238	0.982	0.933
2019 ADVENTURE	28,229	−0.169	−0.218	0.548	0.574	0.272	0.994	0.986
<b>TOTAL</b>	<b>218,028</b>	<b>−0.220</b>	<b>−0.228</b>	<b>0.358</b>	<b>0.420</b>	<b>0.239</b>	<b>0.993</b>	<b>0.981</b>

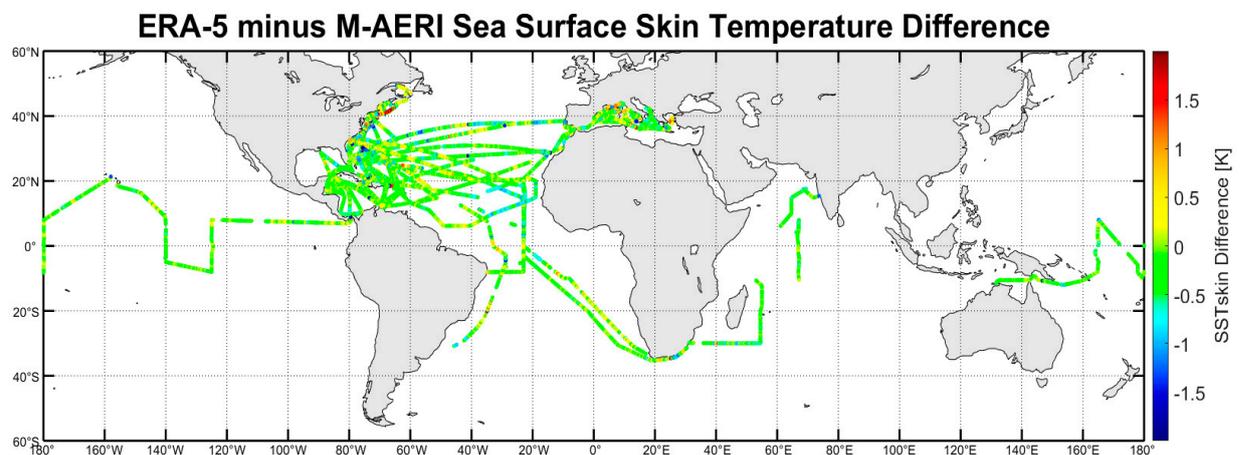
Note: N\* means number of valid match-up points. Med: median; STD: standard deviation; RMS: root mean square; RSD: robust standard deviation. R: Pearson correlation coefficient. E: Nash–Sutcliffe efficiency coefficient.

**Table 5.** Statistics of ERA5 SST<sub>skin</sub> minus M-AERI SST<sub>skin</sub>. The unit is K.

CRUISES	N*	MEAN	MED	STD	RMS	RSD	R	E
AEROSE	73,958	−0.190	−0.170	0.348	0.396	0.247	0.991	0.978
RCI	218,028	−0.220	−0.228	0.358	0.420	0.239	0.993	0.981
<b>TOTAL</b>	<b>291,986</b>	<b>−0.213</b>	<b>−0.214</b>	<b>0.356</b>	<b>0.415</b>	<b>0.243</b>	<b>0.993</b>	<b>0.980</b>

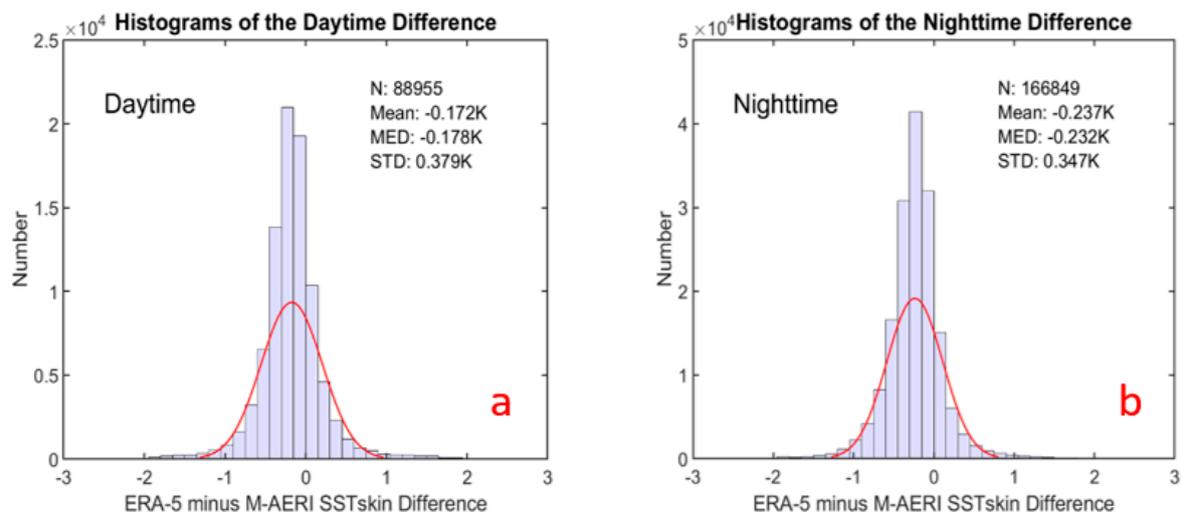
### 3.2. SST<sub>skin</sub> Bias Distribution

Figure 5 shows the SST<sub>skin</sub> differences (ERA5 minus M-AERI) distribution. The map shows the locations from the matchup database. Although the figure does not include the matchup points within 32 km of the coast, the differences are still sometimes greater towards coasts, such as in the Mediterranean Sea and Northwest Atlantic Ocean.



**Figure 5.** ERA5 SST<sub>skin</sub> minus M-AERI SST<sub>skin</sub> along the ship tracks. The operations of M-AERIs are suspended during rain or when sea spray reaches the instrument, thus causing some gaps. Other gaps are the result of instrument failure. Comparisons in and close to ports and coasts are not used in the analyses presented here.

The map is representative of the whole data set. A cool skin effect is present all of the time, and the diurnal heating is present during the daytime when wind speeds are low. To compare the performance of ERA5 SST<sub>skin</sub> derivation algorithms during the daytime and nighttime, the SST<sub>skin</sub> difference has been separated as 7 AM–5 PM as daytime, and 7 PM–5 AM as nighttime. The histograms of the results are presented in Figure 6. There are 88,955 matchups during the daytime, and 166,849 matchups during the nighttime.



**Figure 6.** (a): Histograms of the daytime  $SST_{skin}$  differences between ERA5 and M-AERI for all cruises. (b): Corresponding nighttime  $SST_{skin}$  differences.

The comparison, based on 88,955 daytime matchup pairs, showed that ERA5 had an average  $SST_{skin}$  difference of  $-0.172$  K; the nighttime had an average  $SST_{skin}$  difference of  $-0.237$  K, with an average STD of  $0.347$  K. A statistical two-sample t-test rejects the null hypothesis and the means between day and night should therefore be considered as dissimilar. The effects of diurnal heating in the upper ocean is expected to be small during the nighttime and the  $SST_{skin}$  variation should be less than during the daytime. However, the nighttime  $SST_{skin}$  had larger discrepancies with the M-AERI than the daytime by an average of  $0.065$  K. One possible reason for the larger nighttime difference may be due to the variations in the air–sea temperature difference, which will be discussed in the Section 4.2.

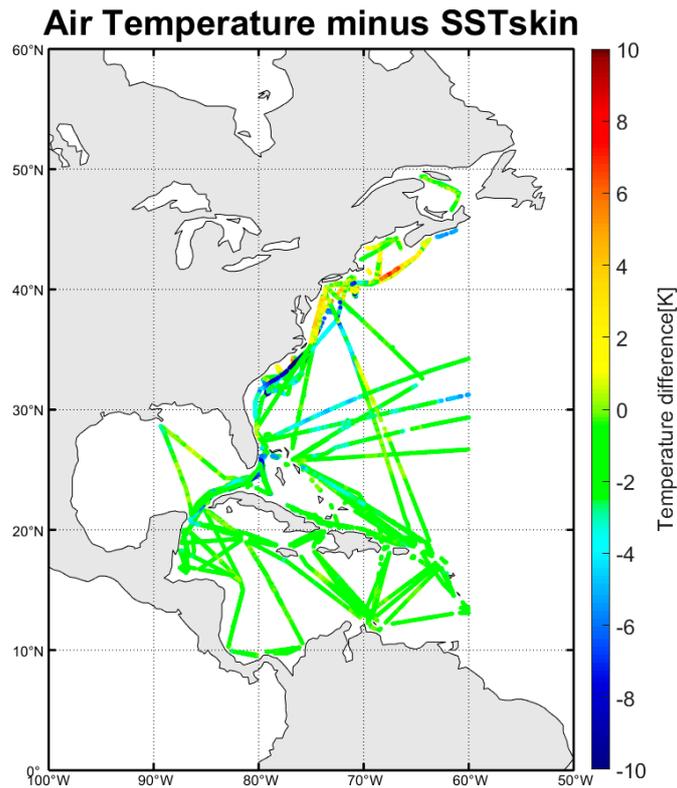
#### 4. Discussion

This study is intended to provide better knowledge of the characteristics of the errors. Discussion in this section about the accuracy of the ERA5 fields is split into two parts: air–sea temperature differences, and aerosol dust effects.

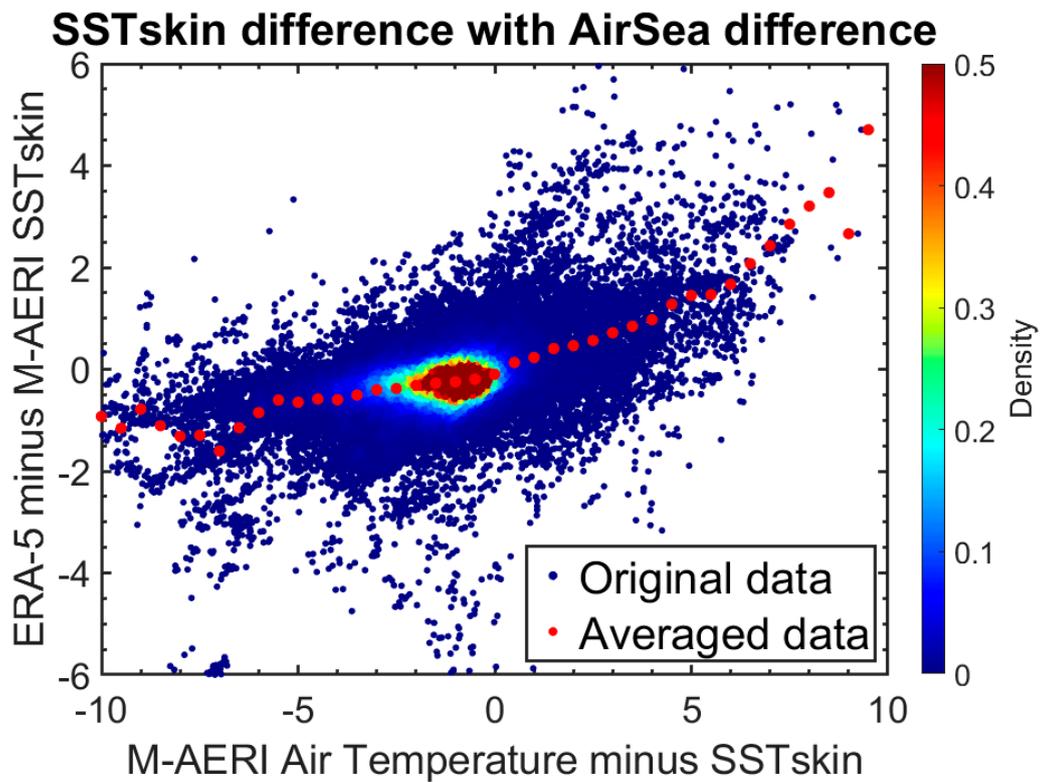
##### 4.1. Air–Sea Difference Effect

Accurate air temperatures derived from M-AERI spectra [34] are part of the matchup records. Figure 7 shows the M-AERI air temperature minus M-AERI  $SST_{skin}$  along the cruise tracks between  $60^{\circ}$ W and  $90^{\circ}$ W. Advection of the air over strong SST gradients, such as in the Gulf Stream area, could lead to anomalous air–sea temperature differences, where anomalous means different from the usual open-ocean distribution. To investigate the possible consequence of air–sea temperature differences, we focus an analysis from  $0^{\circ}$ N to  $50^{\circ}$ N, and  $50^{\circ}$ W to  $100^{\circ}$ W in the Atlantic region. The corresponding ERA5 minus M-AERI  $SST_{skin}$  differences are displayed in Figure 8.

The ERA5 minus M-AERI  $SST_{skin}$  difference is related to the air temperature minus  $SST_{skin}$ . Renfrew, et al. [40] compared the R/V Knorr surface meteorological measurements with ECMWF and NCEP reanalysis over the Labrador Sea during February to March of 1997. Since the sensible heat flux is directly related to the air–sea temperature difference when the air–sea temperature difference is large, the sensible heat flux is high. Smith, et al. [41] also highlighted the shortcomings of the surface heat flux parameterization, finding that the latent heat fluxes contain significant systematic errors dependent on dry stability ( $SST$  minus air temperature).



**Figure 7.** M-AERI-derived air temperature minus M-AERI SST<sub>skin</sub> between 60°W and 90°W in the Atlantic area. The color indicates the difference according to the scale at the right.



**Figure 8.** ERA5–M-AERI SST<sub>skin</sub> differences with air–sea temperature difference for the cruise data in Figure 7. The SST<sub>skin</sub> differences are large with large air–sea temperature differences. Temperature differences are in K. Averaging is over 0.5 K bins.

Figure 8, using the data shown in Figure 6, compares the ERA5–M-AERI  $SST_{skin}$  differences during the daytime and the nighttime. The air temperature is usually warmer during the daytime, and, for the daytime  $SST_{skin}$  difference statistics shown in the histograms of Figure 6a, it is less negative than nighttime. According to Equations (1) and (2), the cool skin effect is strongly dependent on the heat flux parameterizations employed in the ERA5  $SST_{skin}$  scheme.

#### 4.2. Dust Aerosol Effects

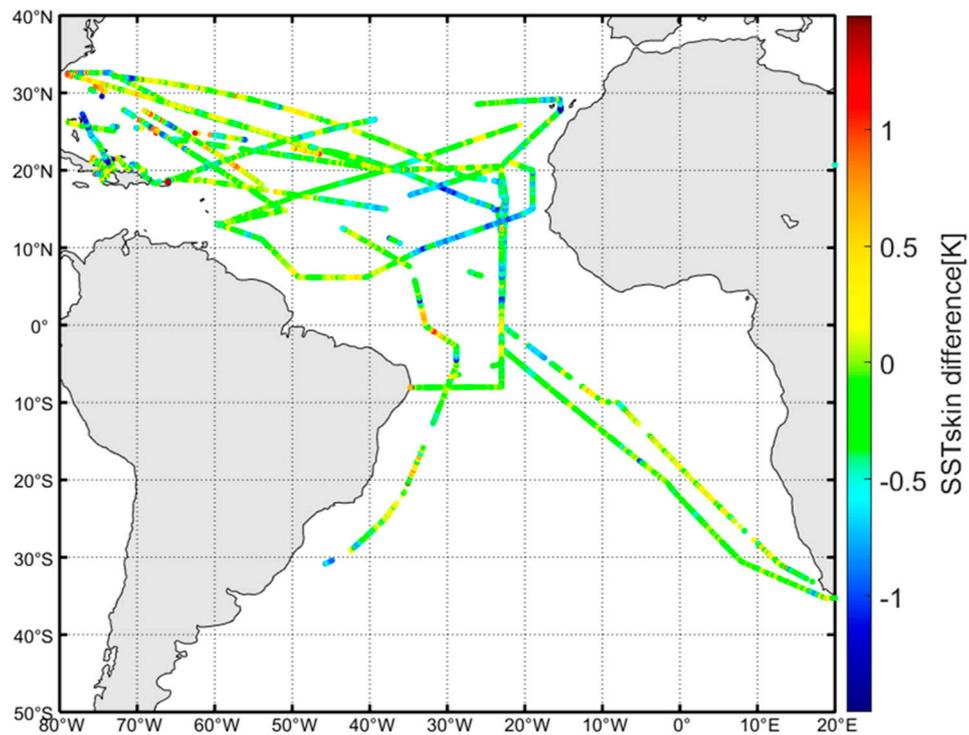
The Saharan Air Layer and the associated dust outflow can flow over the Atlantic Ocean [42]. The radiative impact of mineral dust is one of the major contributors to the satellite-retrieved  $SST_{skin}$  inaccuracies in this region [35]. The Saharan dust layer has also been a problem for the reanalysis of  $SST_{skin}$  fields [37] and the numerical weather prediction [43]. The dust aerosols, transported across the Atlantic Ocean within the Saharan Air Layer, contribute to formation of shallow stratocumulus clouds under the base of the Saharan Air Layer [44,45]; satellite measurements frequently showed dust within the SAL layer between 1 km and 5 km altitude, and the presence of narrow stratocumulus clouds below the dust layer [46].

The  $SST_{skin}$  data collected during the cruises provide an opportunity to investigate the accuracies of the ERA5  $SST_{skin}$  values near the regions susceptible to strong Saharan dust outbreaks in the tropical and subtropical Atlantic Ocean. Figure 9 shows the ERA5–M-AERI  $SST_{skin}$  differences along cruise tracks from 2004 to 2019, indicating that there are strong negative  $SST_{skin}$  biases near the Saharan dust region. Plots of the corresponding MERRA-2 AOT data are given in Figure 10. ERA5–M-AERI  $SST_{skin}$  differences increase with strong aerosol dust outflow.

The cloud influence on errors in ERA5 downwelling longwave radiation at the surface has been discussed by Silber, et al. [47]; however, the dust aerosol influence on the surface downwelling longwave radiation has not been studied. Numerical weather prediction models are usually under the effects of the longwave radiation and other model errors related to aerosol indirect effects [47]. The Saharan dust layer induces a vertical dipole effect [43,48], which warms within the dust layer and introduces a cooling of the surface below. The thermal dipole effect can lead to increased atmospheric stability during the daytime and decreased stability during the nighttime; the diurnal cycle of precipitation and wind speed is affected [49]. The dust layer radiative effect has been included in the NASA MERRA-2 reanalysis product. To derive the surface net downward longwave flux due to aerosols along the cruise tracks, we have matched the MERRA-2 radiation to the times and locations of the M-AERI measurements, then computed the surface net downward longwave flux due to aerosol according to Equation (9). Figure 11 shows the aerosol downwelling longwave radiation at the sea surface. Figure 12 shows the M-AERI and ERA5  $SST_{skin}$  scatterplot with surface net downward longwave flux due to dust aerosols, and Figure 13 gives the relation with ERA5  $SST_{skin}$  bias. It can be seen that the intense downward longwave flux leads to substantially significant  $SST_{skin}$  differences for ERA5; the averaged  $SST_{skin}$  difference can be as large as 1 K when the aerosol radiative flux is above  $10 \text{ Wm}^{-2}$ .

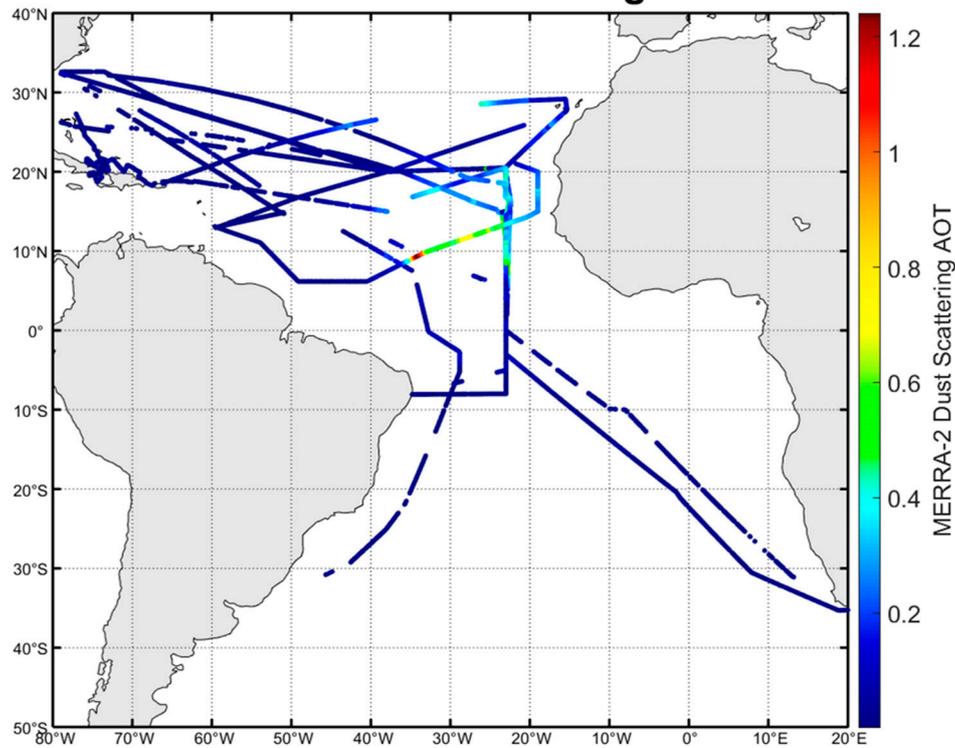
The atmospheric thermal structure change due to aerosol radiative effect will introduce changes in reanalysis models. Interactive-aerosol, which is a feature implemented in NASA GEOS-5 Global Forecasting System, was studied by Reale, et al. [48]; the consideration of the interactive aerosols radiative effects can increase the accuracy of the African easterly jet representation. Similarly, the ERA5  $SST_{skin}$  scheme's improvements in accuracy would be expected if these aerosol effects were taken into account.

### ERA-5 minus M-AERI SST<sub>skin</sub> Difference



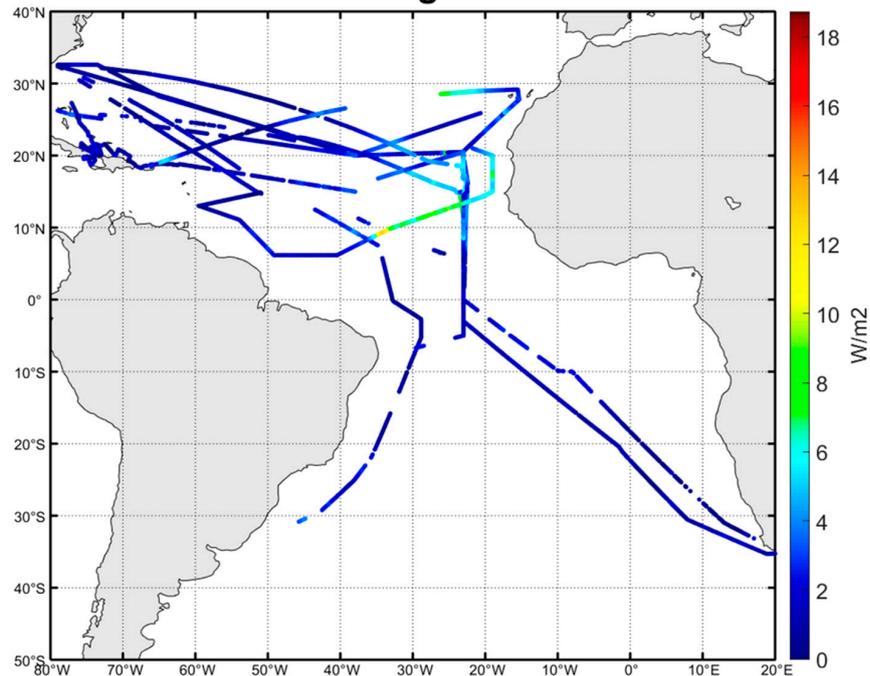
**Figure 9.** ERA5–M-AERI SST<sub>skin</sub> differences along the AEROSSE cruise tracks and Adventure of the Seas 2018 and 2019 cruises. The color indicates the difference in K according to the color scale at the right.

### MERRA-2 Dust Scattering AOT



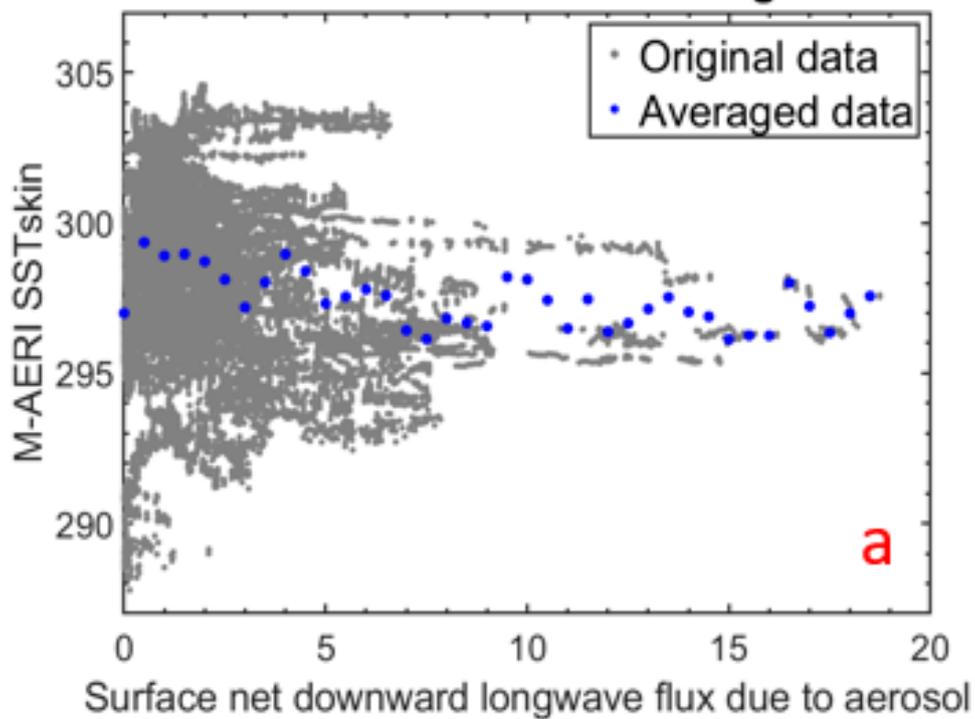
**Figure 10.** As Figure 9, but for MERRA-2 dust scattering aerosol optical thickness (AOT).

### Surface net downward longwave flux due to aerosol

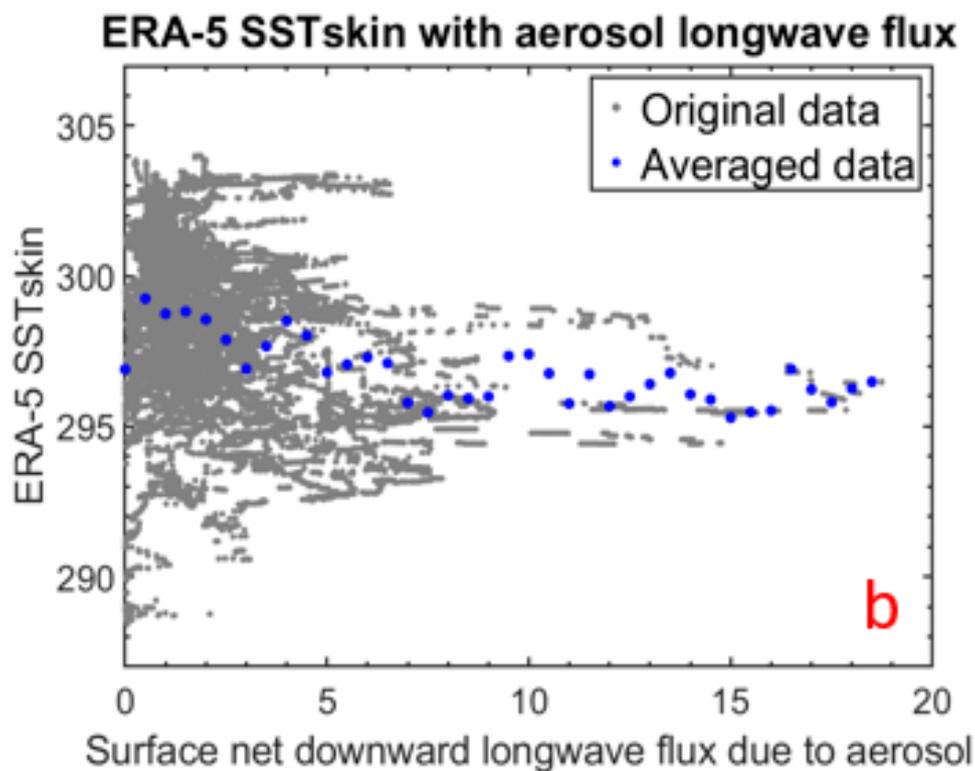


**Figure 11.** As Figure 9, but for surface net downward longwave flux due to aerosols. The color indicates the longwave flux in  $W/m^2$  according to the color scale at the right.

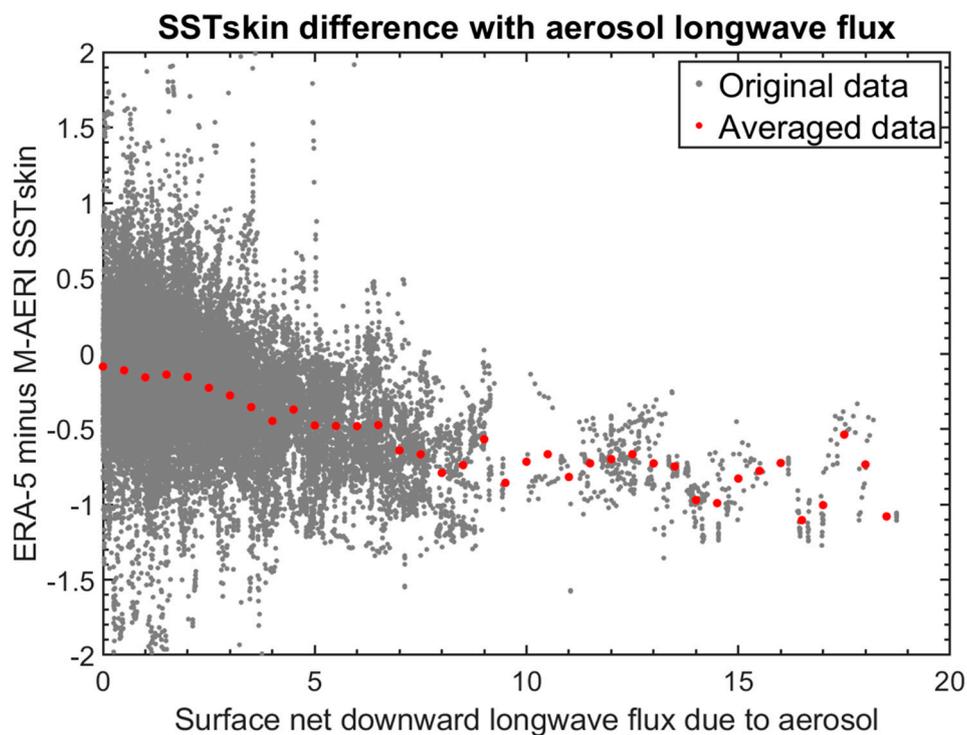
### M-AERI SSTskin with aerosol longwave flux



**Figure 12.** Cont.



**Figure 12.** M-AERI SST<sub>skin</sub> (a) and ERA5 SST<sub>skin</sub> (b) with surface net downward longwave flux due to aerosols along cruise tracks, the averaged SST<sub>skin</sub> differences are shown in blue dots.



**Figure 13.** ERA5–M-AERI SST<sub>skin</sub> differences with surface net downward longwave flux due to aerosols along cruise tracks, the averaged SST<sub>skin</sub> differences (red dots) are large above 5 W/m<sup>2</sup>.

## 5. Conclusions

SST is an important parameter in the global climate system. In recent years, it has become increasingly apparent that those involved in the fields of climate change studies and weather prediction require highly accurate estimates of the errors and uncertainties of the reanalysis data. By assessing the accuracy of the ERA5-derived SST<sub>skin</sub>, this study was aimed at improving the understanding of the strengths and weaknesses of ERA5 data. The use of high-accuracy shipboard radiometers with calibration traceability to SI-standards permitted the determination of the accuracies of ERA5 SST<sub>skin</sub>.

The independent SST<sub>skin</sub> observations from research vessels and RCI cruise ships provide a valuable way to validate ERA5 SST<sub>skin</sub> values, including in areas influenced by Saharan dust aerosol. This study developed a matchup technique by using a subset of ERA5 data that coincide with the shipboard M-AERI measurements deployed for the validation of satellite-derived SST<sub>skin</sub> [50,51]. The statistics in this study are considered as skin-to-skin temperature comparisons, which avoid the subsurface temperature variability inherent in comparisons with in situ sea temperature measurements. The results indicate good performance of the ERA5 SST<sub>skin</sub> algorithm, with an average bias of  $-0.213$  K, RSD of  $0.243$  K and STD of  $0.356$  K. The accuracy of the ERA5 SST<sub>skin</sub> during the daytime is generally better than during the nighttime. The overall Pearson correlation coefficient ( $R$ ) is  $0.993$  and the Nash–Sutcliffe efficiency coefficient ( $E$ ) is  $0.980$ ; ERA5 and M-AERI have a very strong correlation with each other. The contributions of the atmospheric temperature effects should be paid attention to, as the ERA5 SST<sub>skin</sub> bias appears to be straightforwardly related to the air–sea temperature differences. The ERA5 SST<sub>skin</sub> difference with respect to the M-AERI measurements in the Saharan dust outflow regions, with aerosol distributions taken from the MERRA-2 AOT, indicates that the SST<sub>skin</sub> derived by ERA5 is affected by the downward aerosol longwave flux. The averaged difference can be as large as  $1$  K when the aerosol downward longwave flux is above  $10$  W/m<sup>2</sup>.

However, more work is needed to evaluate the ERA5 SST<sub>skin</sub> dependence on other factors, such as wind speed, water vapor, smoke, sea salt aerosol, and clouds. It is difficult to draw any firm conclusions concerning the accuracy of ERA5 SST<sub>skin</sub> at the global level, due to the quite limited geographical area in this research. We anticipate that further comparison studies will be extended to wider geographic areas in the future. Moreover, further research will include the important dust effect on SST.

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