Spaceborne EO and a Combination of Inverse and Forward Modelling for Monitoring Lava Flow Advance

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Abstract: We aim here to improve the understanding of the relationship between emissivity of the lava and temperature by carrying out a multi-stage experiment for the 2017 Mt Etna (Italy) eruption. We combine laboratory, spaceborne, and numerical modelling data, to quantify the emissivity–temperature relationship. Our laboratory-based Fourier-transform infrared (FTIR) results indicate that emissivity and temperature are inversely correlated, which supports the argument that emissivity of molten material is significantly lower than that of the same material in its solid state. Our forward-modelling tests using MAGFLOW Cellular Automata suggest that a 35% emissivity variation (0.95 to 0.60) can produce up to 46% overestimation (for constant emissivity 0.60) in simulated/forecasted lava flow lengths (compared to actual observed). In comparison, our simulation using a ‘two-component’ emissivity approach (i.e., different emissivity values for melt and cooled lava) and constant emissivity 0.95 compares well (≤10% overestimation) with the actual 2017 lava flow lengths. We evaluated the influence of variable emissivity on lava surface temperatures using spaceborne data by performing several parametrically controlled assessments, using both constant (‘uniform’) and a ‘two-component’ emissivity approach. Computed total radiant fluxes, using the same spaceborne scene (Landsat 8 Operational Land Imager (OLI)), differ ≤15% depending on emissivity endmembers (i.e., 0.95 and 0.60). These results further suggest that computed radiant flux using high-spatial resolution data is bordering at lower boundary (range) values of the moderate-to-high temporal resolution spaceborne data (i.e., Moderate Resolution Imaging Spectroradiometer (MODIS) and Spinning Enhanced Visible and Infrared Imager (SEVIRI)), acquired for the same target area (and the same time interval). These findings may have considerable impact on civil protection decisions made during volcanic crisis involving lava flows as they approach protected or populated areas. Nonetheless, the laboratory work, reported here, should be extended to include higher volcanic eruptive temperatures (up to 1350 K).

Keywords: remote sensing; volcanic hazard modelling; emissivity uncertainty; FTIR spectroscopy; Mount Etna
1. Introduction

A small fraction of the active subaerial volcanoes around the world are monitored regularly [1]. There is variety in local practices used for volcano surveillance [2,3], and fewer than 10% of the potentially active volcanoes worldwide (erupted at least once in the last 10,000 years) are monitored with the appropriate quality, frequency, and timeliness [1,4]. This poses an objective and significant threat to communities [5], especially in countries lacking in infrastructure and with limited financial resources [6], creating a critical gap in hazard assessment and risk management [7].

Satellite remote sensing is an established technological solution for bridging critical gaps in volcanic hazard and risk mitigation, as it offers cost effective and global volcano surveillance at a wide range of spatial and temporal resolutions [8–10]. It can significantly contribute to operational eruption forecasting and hazard assessment [11–15].

Remote sensing offers the potential of gathering valuable data without the risks and the cost associated with ground-based volcanic fieldwork. None of the spaceborne sensors to date, such as the low-to-moderate spatial resolution Moderate Resolution Imaging Spectroradiometer (MODIS) onboard Terra and Aqua satellites, Spinning Enhanced Visible and Infrared Imager (SEVIRI) onboard the Meteosat Second Generation (MSG) geostationary platform, or the higher spatial resolution Landsat 8 OLI (Operational Land Imager), were designed specifically to observe volcanic thermal emission during effusive eruptions. Nonetheless, some of these sensors have the ability to record high-temperature surface anomalies, such as those that occur during volcanic effusive activity. The thermal emission of an active volcanic surface relates directly to the volcanic activity at a specific time and can be detected and measured from space to identify physical processes occurring on the ground.

All objects above absolute zero (0 K) emit electromagnetic radiation at a wavelength and intensity that are functions of the characteristics of the surface temperature and emissivity (radiating efficiency). Therefore, there is a direct relationship between thermal emissions and thermal volcanic activity. According to the Stefan–Boltzmann law, the radiance emitted by the surface will increase, as the temperature of the surface rises. As a hotter volcanic surface will radiate more energy and higher radiant flux density, any variation observed radiance will reflect a variation in volcanic activity on the ground. Sensors having channels in the mid-infrared (MIR) and thermal infrared (TIR) bands (e.g., MODIS) have been used widely for decades to detect volcanic thermal anomalies [16,17] and have proven to be a valuable tool for identifying trends and monitoring volcanic activity [18–25]. On the other hand, sensors such as the Multi-Spectral Imager (MSI) aboard Sentinel-2 satellites and OLI, having channels in the short-wave infrared (SWIR), and providing data at medium-high spatial resolution, enable a better identification of high-temperature thermal anomalies (e.g., lava flows) [23,26]. This approach is explored further in this study, using high-spatial resolution data (Landsat 8 OLI).

Satellite data analysis and interpretation rely on certain assumptions and input parameters, some of which, such as emissivity, are not well quantified for molten and hot volcanic rocks. Several recent thermal emission studies of silicate glasses and basaltic lava [27] suggest that the emissivity of molten material is significantly lower than that of the same material in its solid state. Thus, emissivity is not only wavelength dependent but also temperature dependent [25,28].

The computation of surface temperature from spaceborne data and models that rely on computed temperatures to track cooling with time, introduce errors due to uncertainties in emissivity as an input parameter. It has been recognized previously [24] that an uncertainty in emissivity gives rise to errors in pixel-integrated temperatures (i.e., lava surface temperatures) that may consequently affect estimates of total and maximum radiant fluxes. The need to quantify the emissivity–temperature relationship, often neglected in both spaceborne and modelling applications was illustrated using ‘distance-to-run’ forecasts for the 2001 Mt Etna eruption [28]. A recent study investigating the application of thermo-rheological models to forecast lava flow emplacement, used a ‘two-component’ emissivity model [25], assuming the fraction of molten lava and cooled crust. The study concluded that the emissivity of molten lava may be as low as 0.60, while an emissivity of 0.95 corresponded to the crusted fraction of the lava.
At the beginning of April 2017, the lava started flowing from two ephemeral vents that opened planning in the region. The implications of volcanic activity monitoring are significant for civil protection and urban.

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The motivation for the present study is to assess the role of emissivity in the determination of radiant fluxes obtained from spaceborne data. To achieve this, we compared the results from spaceborne data with models using three approaches; firstly by applying a constant ‘standard’ emissivity, where an assumed value (e.g., 0.95) is applied to the entire thermal anomaly; secondly by applying a constant but lower value for emissivity (0.60), known to be more appropriate for molten lava temperatures; and thirdly we applied a modification of the ‘two-component’ emissivity approach [25], by applying a specific emissivity parameterization (0.60 for melt and 0.95 for crust).

Mt Etna (Sicily, Italy) was chosen as a perfect candidate for this study due to its persistent activity, displaying a range of eruptive products and styles [29,30] and the availability of spaceborne data with a range of temporal and spatial resolutions. Considering that it is surrounded by almost one million people, the implications of volcanic activity monitoring are significant for civil protection and urban planning in the region.

Out of several significant, long- [31] and short-lived [29] effusive events on Mt Etna, the most recent, longest eruptive episode (Figure 1) started from the old “saddle” (V1) between the South-East Crater (SEC) and the New Southeast Crater (NSEC) on the morning of 15 March 2017 and continued from a pit crater, opening on the south flank of the saddle (V2). The lava flow expanded to both southeast and southwest, diverted by the eruptive cones formed during the 2002–2003 flank eruption. At the beginning of April 2017, the lava started flowing from two ephemeral vents that opened downstream (V3 and V4). This eruption, which ended on 9 April 2017, emitted 7.96 × 106 m3 of lava [8] over an area of 1.782 km2 (Figure 1).

The location and chemical composition of collected samples (filled blue circles) for the 2017 Mt Etna eruption are shown in Figure 1 and Table 1, respectively.

Figure 1. Main Figure: Google Earth three-dimensional (3D) view (from south) of Etna’s summit area. The active craters are indicated, North-East Crater (NEC), Voragine (VOR), Bocca Nuova (BN), South-East Crater (SEC), and New South-East Crater (NSEC), together with the 2002–2003 cones that diverted the lava flow emitted by the March–April 2017 eruption. “Valle del Leone” is the NE part of Valle del Bove, a large horseshoe-shaped depression located on the eastern side of the volcano. The location and opening times (see text) of the 2017 eruptive vents are indicated by filled red triangles, and the location of collected samples (NRE.1S) by filled blue circles. The actual lava flow field (in yellow) was retrieved from the Sentinel-2A image acquired on 8 April 2017. Inset: Location of Mt Etna volcano on the island of Sicily, Italy.
Table 1. X-ray fluorescence (XRF) major elements content, as a component oxide weight percent (wt%) for the NRE.1 Series (NRE.1S).

<table>
<thead>
<tr>
<th>Element</th>
<th>SiO₂</th>
<th>TiO₂</th>
<th>Al₂O₃</th>
<th>Fe₂O₃</th>
<th>MnO</th>
<th>MgO</th>
<th>CaO</th>
<th>Na₂O</th>
<th>K₂O</th>
<th>P₂O₅</th>
<th>LOI</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>47.49</td>
<td>1.63</td>
<td>17.71</td>
<td>11.26</td>
<td>0.17</td>
<td>4.81</td>
<td>10.36</td>
<td>3.65</td>
<td>1.72</td>
<td>0.55</td>
<td>−0.35</td>
<td>99.02</td>
<td></td>
</tr>
</tbody>
</table>

2. Materials and Methods

2.1. Rock Samples

To measure the emissivity of the exposed 2017 lava flow, we collected six rock samples (Figure 1; Table 1), termed NRE.1 Series (NRE.1S). These were initially investigated using Fourier transform infrared (FTIR) spectroscopy, to derive absolute emissivity from radiance at a range of temperatures (400–900 K) and wavelengths (5.0–15.0 μm).

Bulk chemical analyses of major elements for the NRE.1S samples were processed using X-ray fluorescence (XRF) technique (Table 1). XRF results indicate silica (SiO₂) and alkali (Na₂O + K₂O) content of 47.49 and 5.37, respectively. This is consistent with previous research on Etnean lavas [32], categorizing them as basalts to transitional trachy-basalts.

2.2. Laboratory-Based Data Acquisition

2.2.1. Emissivity from Surface Radiance Spectra

Thermal emission spectra of the samples were measured at the Planetary Spectroscopy Laboratory (PSL) of the German Aerospace Center (DLR) in Berlin, in a vacuum (0.7 mbar), over a temperature range of 400–900 K, in the 5.0 to 15.0 μm wavelength range. The experimental set up [33,34] uses an external ‘simulation chamber’, attached to the FTIR spectrometer (Figure 2), measuring the emissivity of solid crushed samples (grain size 1000–3000 μm). The emissivity chamber is equipped with an internal webcam and several temperature sensors to measure the sample/cup temperature, monitor the equipment, and record chamber temperatures. Both the cup and the sample are heated uniformly by induction, and the temperature of the emitting surface is measured using a thermophile sensor in contact with the surface. The resulting data are calibrated using the emissivity spectrum of the blackbody material [34] to provide the set of absolute emissivity data.

Figure 2. Experimental set up ([34] and this study) to measure emissivity of the NRE.1S, using Bruker VERTEX 80 V instrument.
2.3. Thermal Surveillance Using Spaceborne Data

2.3.1. High-Spatial Resolution Data

In this study, we selected a platform hosting decametric resolution sensors operating at the time of the 2017 Mt Etna eruption: the Landsat 8 (Landsat Continuity Mission), launched by National Aeronautics and Space Administration (NASA) in April 2013. Landsat 8 hosts two payloads, the Operational Land Imager (OLI) and the Thermal Infrared Sensor (TIRS), with the overall capability of collecting data in 11 spectral bands (0.44–12.00 µm), 30 m to 100 m spatial resolution global coverage, and equatorial repeat cycle of 16 days [35].

General aspects of physics and techniques of thermal remote sensing of active volcanoes can be found in [22] among others. Here, we used the specific approach described in [23]. The mean spectral radiance measured by a satellite sensor as a digital number (DN) can be converted into ‘at-satellite’ spectral radiance measured by a satellite sensor as a digital number (DN) can be converted into ‘at-satellite’ spectral radiance ($R_\text{λ}$), which is composed of the surface reflected radiance ($R_{\text{λ, D}}$), the upwelling path radiance ($R_{\text{λ, u}}$) and the surface thermal radiance ($L(\lambda, T)$:

$$R_\lambda = \tau_\lambda L(\lambda, T) + \tau_\lambda \rho_\lambda R_{\lambda, D} + R_{\lambda, u} = R_{\lambda, \text{thermal}} + R_{\lambda, \text{nonthermal}}$$

where, $\tau_\lambda$ is the atmospheric spectral transmission coefficient; $\rho_\lambda$ is the spectral reflectivity of the target; $R_{\lambda, \text{thermal}}$ is composed of $\tau_\lambda L(\lambda, T)$ and $R_{\lambda, \text{nonthermal}}$ is composed of $\tau_\lambda \rho_\lambda R_{\lambda, D} + R_{\lambda, u}$.

The upwelling path radiance ($R_{\lambda, u}$) contribution in the short wavelength infrared (SWIR) region, such as in OLI’s Band 6 (1.60 µm) and Band 7 (2.20 µm) was very low, so it was ignored and the radiation reflected by the surface ($R_{\lambda, D}$) in daytime images were estimated by subtracting the mean spectral radiance ($R_{i, D}$) value of surrounding nonthermally anomalous pixels, from the thermally anomalous pixels. If available, the nighttime images would be preferable, as they contain only the thermal component. However, in this study, we analysed all available daytime images acquired during the 2017 Mt Etna Eruption, distributed by the Global Visualization (GloVis) Viewer [36].

The overall spectral radiance $L_i$, emitted by a blackbody at a particular temperature ($T$) and wavelength ($\lambda$), is given by Planck’s radiation law. This quantifies Wien’s displacement law which states that the spectral radiance emitted from a surface will increase with temperature while at the same time, the highest emissions will become of shorter wavelength.

Based on Planck’s radiation law, the spectral radiance of a pixel (Equation (2)) is a function of its integrated temperature ($T_i$):

$$L(\lambda, T) = \frac{\varepsilon_\lambda c_1 \lambda^{-5}}{\pi \left[ \exp \left( \frac{c_2}{\lambda T_i} \right) - 1 \right]}$$

where, $c_1$ and $c_2$ are related constants; $c_1 = 1.19 \times 10^{-16} W m^{-2} sr^{-1}$ and $c_2 = 1.44 \times 10^{-2} m K$, respectively. $c_1 = 2\pi h c_2$ where $h$ is Planck’s constant and $c$ is the speed of light; $c_2 = \frac{h}{k}$ where $k$ is the Stefan–Boltzmann constant; $\lambda$ is the wavelength in micrometers; $\varepsilon_\lambda$ is the emissivity of the radiative surface, and $T_{i, \lambda}$ is the integrated pixel temperature at a specific wavelength.

Assuming that the pixel was thermally pure (i.e., isothermal surface), the pixel integrated temperature ($T_i$) should be the temperature of the target surface after being corrected for emissivity, atmospheric, and sensor response effects. However, a scene recorded over an active lava flow surface may contain a wide range of temperatures (and emissivities), so a single pixel will be a mixture of several thermal components. Therefore, after radiometric and atmospheric data correction [37–39], and following procedures outlined in [23], the fundamentals of ‘dual-band’ approaches [20,24,40–43] were employed using unsaturated radiance data in SWIR (OLI’s Bands 6 and 7) to derive an effective temperature ($T_e$) for each radiant pixel of the high-temperature thermal anomaly analysed:

$$T_e = [f_h T_h^4 + (1 - f_h) T_e^4]^{\frac{1}{4}}$$
In the case of high-spatial resolution spaceborne data, pixel radiance values can be computed as the weighted average of subpixel radiance emitted by two distinctly different temperature components: the hot component at temperature $T_h$ representing melt, occupying a surface fraction $f_h$ of the pixel and the cooler, crusted component, at temperature $T_c$ occupying the remainder of the pixel (i.e., $1 - f_h$).

Having obtained the effective temperature ($T_e$) for each radiant pixel by solving sub-resolutions [23], the remotely sensed radiant heat flux ($Q_{R,\text{calc}}$) was acquired [23,44,45]:

$$Q_{R,\text{calc}} = A \varepsilon \sigma (T_e^4 - T_a^4)$$  \hspace{1cm} (4)

where, $A$ is the pixel surface area; $\varepsilon$ is emissivity; $\sigma$ is the Stefan–Boltzmann constant; $\tau$ is atmospheric transmissivity, and $T_e^4$ is the effective temperature to the fourth power, and the $T_a^4$ is the ambient temperature to the fourth power.

The retrieval of radiant heat flux (power) for the thermally anomalous pixels using high-spatial resolution spaceborne radiance data was calculated using two OLI’s SWIR bands (Band 6 located at 1.65 $\mu$m and B7 at 2.20 $\mu$m central wavelengths) and these radiance values, extracted from thermally anomalous pixels, were used to compute total radiant flux values for each scene analysed.

Total radiant fluxes were computed by applying constant emissivity values (i) 0.95 and (ii) 0.60, uniformly to the entire thermal anomaly, and (iii) using a ‘two-component’ emissivity approach by creating a ‘threshold’ within the thermal anomaly, based on pixel radiance values for assumed cooler crust radiant pixels ($\varepsilon = 0.95$) and for assumed melt ($\varepsilon = 0.60$). Landsat 8 OLI’s Band 7 recorded radiance values were used to create ‘thresholds’, based on sensor’s minimum and maximum operating limits [46], minimum radiance value selected was 5.0 (to exclude background radiance) and maximum radiance selected was 32.00 (~ maximum radiance in Band 7). Assumed crust (5.0–24.99) and assumed melt (25.00–32.00) radiance limits were applied in our ‘two-component’ emissivity computation.

### 2.3.2. Moderate-to-High Temporal Resolution Data

Moderate-to-high temporal resolution satellite data were processed using the HOTSAT thermal monitoring system [47,48]. In particular, the system ingests MODIS data, which provides images at 1 km spatial resolution up to four times per day and SEVIRI data, which enables almost continuous monitoring (i.e., four times per hour and up to a 5 min sampling interval in rapid scanning service mode) with a spatial resolution of 3 km at nadir. The system is designed to automatically provide the location of the ‘hotspot’ pixels, if present, and to quantify their thermal anomaly by computing the associated radiant heat flux.

The algorithm implemented in HOTSAT firstly detects clouds in the analysed images, by applying the texton technique [49], then looks for thermal anomalies by using a contextual approach. An adaptive threshold is retrieved in a ‘non-active volcanic’ portion of the image to be analysed and a potential ‘hotspot’ pixel is found if the threshold is overcome in the ‘volcanic’ portion of the image. Those potential ‘hotspot’ pixels are then classified as a true ‘hotspot’ after successfully passing several statistical tests.

The radiant heat flux is computed for all the pixels classified as a true ‘hotspot’. This is accomplished by using the MIR radiance method [50], where the radiant heat flux is computed by approximating the Planck law in MIR to the 4th order power law, thus obtaining:

$$Q_{MIR} = \frac{A_{\text{sampl}} \varepsilon}{\sigma \varepsilon_{MIR}} L_{MIR,h}$$

where, $Q_{MIR}$ is the radiant heat flux (W), $A_{\text{sampl}}$ is the ground sampling area ($m^2$), $\varepsilon$ is the emissivity, $\sigma$ is the Stefan–Boltzmann constant ($5.67 \times 10^{-8}$ J s$^{-1}$ m$^{-2}$ K$^{-4}$), $L_{MIR,h}$ and $\varepsilon_{MIR}$ are the hot pixel spectral radiance and surface spectral emissivity in the appropriate MIR spectral band. The constant $\sigma$ ($W m^{-4} sr^{-1} \mu m^{-1}K^{-4}$) is determined from empirical best-fit relationships. This approach may introduce errors larger than 30% outside a range of temperatures ~600–1500 K, where that approximation holds [50].
According to this formulation, the value of emissivity is considered equal to the emissivity in the MIR and it is usually simplified. So, emissivity has no impact on the $Q_{\text{MIR}}$ computation if it is the assumed constant (0.95 or 0.60) and wavelength independent. However, if we consider that emissivity can vary with wavelength, Equation (5) cannot be reduced, and the emissivity values in MIR ($\epsilon_{\text{MIR}}$) and the total emissivity ($\epsilon$) should be taken into account. Based on recent work [51–53], we assumed that the total variation of $\epsilon_{\text{MIR}}$ with respect to $\epsilon$, is $\pm 45\%$.

The total radiant heat flux, obtained summing up the $Q_{\text{MIR}}$ for the hotspot pixels of each image, was then converted into time averaged discharge rate (TADR) according to [54].

2.4. Numerical Modelling Approach

2.4.1. MAGFLOW

To forward model the lava flow path of the 2017 Mt Etna eruption, we used the latest version of MAGFLOW, which has been implemented on graphic processing units (GPUs) using CUDA (Compute Unified Device Architecture), a parallel computing architecture provided by NVIDIA for the deployment of the latest generation of GPUs as high-performance parallel computing hardware [13,55]. Thanks to its fast execution, MAGFLOW on GPU [13] has become the core of the satellite-driven modelling strategy ‘Lav@Hazard’ for the production of real-time eruptive scenarios and the forecasting of lava flow hazards [54,56,57].

For the 2017 Mt Etna eruption, we ran MAGFLOW using the HOTSAT-derived TADRs on a 5 m digital elevation model (DEM) of Mt Etna derived from a tri-stereo Pléiades imagery acquired on 18 July 2016 [58,59]. The three-dimensional (3D) processing of the tri-stereo Pléiades imagery was performed using the free and open source MicMac photogrammetric library [60], developed by the French Institut Géographique National.

The location and opening times of the eruptive vents ($V_1$, $V_2$, $V_3$, and $V_4$) are reported in Figure 1. Given the chemical composition of the lava (Table 1), we used the typical properties of Mt Etna’s basaltic rocks (density = 2600 kg/m$^3$; specific heat capacity = 1150 J kg$^{-1}$ K$^{-1}$; solidification temperature = 1173 K; extrusion temperature = 1360 K), while varying the emissivity to assess the impact on the final emplacement of the lava flow. We ran three MAGFLOW simulations: two with a static, constant emissivity value (0.60 or 0.95) and another with a temperature-dependent emissivity (i.e., ‘two-components’: 0.60 for molten lava and 0.95 for cooled crust).

3. Results

3.1. Emissivity from Radiance

Spectral signatures for the NRE.1S samples (Figure 3) obtained using thermal emission FTIR are consistent and comparable with previous laboratory-based research of basaltic rocks [61] and Etnean lavas [28]. This clearly demonstrates that emissivity is not only wavelength, but also temperature-dependent, as measured emissivity decreases with every temperature increase step (400–900 K). However, this trend could not be observed clearly between 5.0–6.0 $\mu$m. This is because of the instrument sensitivity limitations. Emissivity spectral curves at low-to-moderate temperatures (400–700 K) show a maximum variation of $\leq 0.0074$. However, a considerably larger decrease in emissivity ($\leq 0.0346$) occurs at moderate-to-high temperature (700–900 K). The emissivity decrease with temperature increase does not appear to be linear. The maximum measured emissivity variation between 400 and 900 K is $\leq 0.0377$ (at 11.8 $\mu$m), which may suggest that emissivity would tend to decrease more substantially with any further temperature increase.
For a direct comparison with spaceborne data and to obtain a clearer emissivity trend with temperature increase (400–900 K) shown at Landsat 8 TIR wavelengths (Figure 4). Emissivity values at 10.80 µm are lower (≥0.02) than those at 12.00 µm, displaying steady negative trend(s) at both wavelengths with temperature increase at 400–700 K and more significant decrease at 700–900 K.

An additional ‘cooling test’ was performed by measuring emissivity of the same series in the opposite direction (cooling), by decreasing temperature steps (i.e., 900–400 K), while maintaining consistent sample conditions. The deviance in emissivity values during the temperature increase (heating), shown in Figure 3 and the temperature decrease (cooling) was ≤0.0050 with no hysteresis deviation trend in either direction.

For a direct comparison with spaceborne data and to obtain a clearer emissivity trend with temperature, laboratory-measured FTIR emissivity results are shown at Landsat 8 TIR wavelengths (Figure 4). Emissivity values at 10.80 µm are lower (≥0.02) than those at 12.00 µm, displaying steady negative trend(s) at both wavelengths with temperature increase at 400–700 K and more significant decrease at 700–900 K.

**Figure 3.** Emissivity spectral signatures for NRE.1S at 400–900 K and 5.0–15.0 µm, using thermal emission Fourier-transform infrared spectroscopy (FTIR).

**Figure 4.** Emissivity variation with temperature increase of 400–900 K shown at Landsat 8 (TIRS) thermal infrared (TIR) bands.
3.2. From Spaceborne Data

Varying the emissivity and wavelength will have an impact on the computation of integrated temperatures and the consequent total radiant heat flux of any high-temperature thermal anomaly investigated.

To perform a quantitative evaluation on the impact of emissivity on computation of lava surface temperatures, we selected two high-spatial (low temporal) resolution images, acquired by Landsat 8 (OLI), during the 2017 eruption on 18 and 27 March.

3.2.1. High-Spatial (Low-Temporal) Resolution Data

According to Planck’s law, high-temperature thermal anomalies are best observed in SWIR. This region is most sensitive to the temperatures found in an active lava flow (i.e., ~900 to 1300 K) [22]. According to the Wien’s displacement law, the wavelength of maximum radiance shifts to shorter wavelengths with increasing temperature. The temperature of volcanic features varies widely, ranging between 600 and 1500 K, and thus can be observed in TIR, MIR, and SWIR portions of the spectrum. SWIR radiation is mainly emitted in detectable quantities from very hot surfaces (e.g., molten lava), while MIR and TIR detect cooler volcanic surfaces. Hotspot pixels on the ground, within the thermal anomaly are likely to be occupied by more than one temperature component, so an alternative approach is employed, as spaceborne data can provide only integrated pixel temperatures. By applying a fixed threshold test to Landsat 8 OLI’s Band 7 radiance, hotspot pixels were detected. To estimate the fraction of cooled (crusted) and/or molten material in each pixel, an analytical approach (e.g., dual band) was employed [23] and shown in Figure 5, for the dates analysed (18 and 27 March 2017). Table 2 compares the total radiant heat flux values calculated using constant emissivity (0.95 and 0.60) values and the ‘two-component’ approach described in Section 2.3.1.

Table 2. Estimation of total radiant flux (Q_R) from Landsat 8 (OLI) data during the 2017 Mt Etna effusive event.

<table>
<thead>
<tr>
<th>Date/Time</th>
<th>Emissivity</th>
<th>Total Radiant Flux Q_R (GW)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Constant</td>
<td></td>
</tr>
<tr>
<td>18/03/2017</td>
<td>0.95</td>
<td>3.12</td>
</tr>
<tr>
<td></td>
<td>0.60</td>
<td>3.68</td>
</tr>
<tr>
<td>09:41:54</td>
<td>Two Components</td>
<td>3.41</td>
</tr>
<tr>
<td></td>
<td>0.95 *</td>
<td>379</td>
</tr>
<tr>
<td></td>
<td>0.60 **</td>
<td>293</td>
</tr>
<tr>
<td>27/03/2017</td>
<td>Constant</td>
<td></td>
</tr>
<tr>
<td></td>
<td>0.95</td>
<td>1.68</td>
</tr>
<tr>
<td></td>
<td>0.60</td>
<td>1.97</td>
</tr>
<tr>
<td>09:35:38</td>
<td>Two Components</td>
<td>1.82</td>
</tr>
<tr>
<td></td>
<td>0.95 *</td>
<td>263</td>
</tr>
<tr>
<td></td>
<td>0.60 **</td>
<td>158</td>
</tr>
</tbody>
</table>

* Component 1 (assumed crust); ** Component 2 (assumed melt).

3.2.2. Moderate-to-High Temporal Resolution

SEVIRI and MODIS data were used here (Figure 6) to analyse the 2017 eruptive activity on Mt Etna, between 14 March and 09 April. The high temporal resolution, with up to five minutes sampling interval in rapid scanning service (RSS) mode, offered by SEVIRI, enables a near-continuous monitoring of volcanic thermal activity. The higher spatial resolution of 1 km (as opposed to 3 km for SEVIRI), a good spectral resolution, and high signal-to-noise (STN) ratio offered by MODIS, permits detection of less intense thermal anomalies. For these reasons, both the first and the last thermal anomalies during this eruptive event were detected by MODIS, on 14 March (20:35 GMT) and on 9 April (21:14 GMT), respectively. The peak of activity occurred within the first five days of eruption, with a maximum radiant heat flux of 9.47 gigawatts GW recorded on 17 March (00:40 GMT) by MODIS and 9.67 GW on 18 March (14:15 GMT) by SEVIRI. Thermal activity was quite stable after 20 March 2017, with an
average radiant heat flux of ~2 GW. Converting the radiant heat flux curve into TADR and integrating this information, we obtain the final erupted lava volume of 7.96 ± 3.5 million cubic meters.

Figure 5. (a) Effusive activity recorded by Landsat 8 (Operational Land Imager (OLI)) on 18 March 2017 and; (b) 27 March 2017. Following the ‘two-component’ approach, cut outs in (a,b) contain ‘threshold’ values used in this study (Section 2.3.1), based on radiance values, where radiant pixels in red are used for computation of crust temperatures (i.e., emissivity 0.95) and radiant pixels in yellow, relating to the melt temperatures (i.e., emissivity 0.60). Background (BKGR) value (averaged radiance value of the pixels surrounding thermally anomalous pixels) was subtracted from radiance data (all thermally anomalous pixels), so that thermal component alone (excluding reflected radiances of daytime images in short-wave infrared (SWIR)) can be analysed.
MODIS, suggests that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS images (Figure 7). Moreover, the fact that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS images (Figure 7). Moreover, the fact that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS images (Figure 7). Moreover, the fact that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS images (Figure 7). Moreover, the fact that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS images (Figure 7).

3.2.3. Spaceborne Data Comparison and Validation

By comparing the radiant heat flux values obtained from moderate-to-high temporal resolution, namely SEVIRI and MODIS, and high-spatial resolution, namely Landsat-8 OLI data, we found that the latter falls inside the region of admissible values retrieved from SEVIRI and MODIS images (Figure 7). Moreover, the fact that the Landsat-8 results are quite close to the lower boundaries of the SEVIRI and MODIS, suggests $ε < ε_{MIR}$.

![Figure 6](image1.png)

**Figure 6.** Radiant heat flux computed from Spinning Enhanced Visible and Infrared Imager (SEVIRI) (red diamonds) and Moderate Resolution Imaging Spectroradiometer (MODIS) (blue diamonds) data at Mt Etna from 15 March to 9 April 2017. Dashed lines, in the respective colours, include the range of variation of each time series.

![Figure 7](image2.png)

**Figure 7.** Range of radiant flux variation recorded between 14–29 March 2017 and retrieved by MODIS (blue dashed lines), SEVIRI (purple dashed lines), and Landsat-8 OLI (red circled bars).
3.2.4. From Straightforward Modelling

Figure 8 shows a comparison between the actual lava flow field (white contour outline) and three MAGFLOW simulations run with a constant emissivity of 0.60 (Figure 8a), a constant emissivity of 0.95 (Figure 8b), and with the ‘two-component’ emissivity approach (Figure 8c).

![Figure 8](image)

**Figure 8.** Eruptive scenarios obtained by using a constant emissivity of (a) 0.60 and (b) 0.95, as well as (c) the ‘two-component’ emissivity approach. The colours indicate deposit thickness in meters. The white contour highlights the actual lava flow field retrieved from the Sentinel-2A image acquired on 8 April 2017.
The best simulated scenarios are those run with the constant emissivity of 0.95 and with the ‘two-component’ emissivity approach. The lava flows modelled, using a constant emissivity of 0.60, overestimated significantly (46 %) in terms of maximum length, especially for the south-west branch.

This is verified by the accuracy, calculated as the square root of the intersection over union areas of the simulated and actual lava flows \[10,62–64\]. Although the actual lava flow field is quite well reproduced by all three MAGFLOW simulations with an accuracy always higher than 0.6, the simulations with the constant emissivity of 0.95 and the ‘two-component’ emissivity approach reach an accuracy of about 0.7, against 0.6 reached by the other MAGFLOW simulation.

4. Discussion

Land surface temperature (LST) and land surface emissivity (LSE) are two key parameters used in many studies and as satellite input parameters, because they are closely linked to the Earth’s surface energy balance \[65\]. Normally, corrections are needed to estimate LST using spaceborne sensors, such as surface emissivity. If these corrections are neglected, the output data will result in systematic errors.

Remote sensing and laboratory-based FTIR spectroscopy are valuable tools when analysing the same target (or sets of targets) area on the ground. Spaceborne sensor measuring spectral emissivity of a surface can be validated (‘ground-truthed’) using a laboratory-based thermal emission spectroscopy technique \[61,66\].

Our laboratory-based FTIR results indicate that emissivity decreases with temperature increase, which is a trend that supports the argument that emissivity of molten material is significantly lower than that of the same material in its solid state \[27\]. Emissivity results presented here involve a temperature range of 400–900 K, which can be related to cooling and crusted flows. For the molten portion of the flow, we used emissivity values retrieved from literature \[25\].

The best simulated scenarios using MAGFLOW are those run with the constant emissivity of 0.95 and using the ‘two-component’ emissivity approach. The lava flows modelled, using a constant emissivity of 0.60 overestimates significantly, in terms of maximum length, especially for the south-west branch. The terrain can attenuate the observed spectral radiance, and hence the LST, because it can act as an obstacle to function of the mutual angle between the sensor plane of the satellite instrument and the normal direction to each cell of the DEM. LSE is an indicator of material composition and can vary with viewing angle and surface roughness. In our case study (i.e., the 2017 Etna eruption), the median slope is about 6° and there are no shadows due to the topography, so the terrain contribution can be neglected.

Our spaceborne results for both constant emissivity (i.e., 0.95 and 0.60) and a ‘two-component’ approach \[25\] produced comparable radiant flux results (Table 2), bordering at the lower boundaries of SEVIRI and MODIS acquired values. These indicate a maximum ≤16% variation in calculated total radiant flux between the two emissivity end members (i.e., 0.95 and 0.60). For example, the scene acquired on 18 March 2017 (Table 2) produced a total radiant flux of 3.12 GW using a constant uniform emissivity of 0.95, and 3.68 GW using an emissivity of 0.60. Conversely, a ‘two-component’ emissivity approach produced a total radiant flux value of 3.41 GW, perhaps a more realistic value, where two different emissivity values were applied to different components (i.e., crust and melt), expected to be found in an active lava flow.

Furthermore, the variation and magnitude of radiant flux (power) for thermally anomalous pixels gathered by the high-spatial resolution spaceborne data (this study) and MODIS and SEVIRI (this study and \[8\]), show a marked difference (i.e., overestimation), which may be driven by the difference in spatial resolution (pixel size) and instrument sensitivity capabilities.

Given such data, previous workers have often gone on to calculate lava effusion rates \[67,68\] or ‘distance-to-run’ \[28\]. This is not done here as the high-spatial resolution data are based on a limited number of infrequent observations (scenes), which may or may not correspond to significant peak discharge rates. Equally, research shows \[69\] that variations in lava effusion rates may occur over short
timescales, and flow regimes are known to change over timescales of days or less [70–72]. This may suggest that such instantaneous readings might not be truly representative.

Nonetheless, our results highlight the uncertainty in accuracy, as data comparison in this study shows that high-spatial resolution radiant flux results (e.g., from OLI) fall at the lower boundary of values retrieved from MODIS and SEVIRI.

5. Conclusions

Laboratory-based FTIR results presented in this study provide a clear indication that the measured emissivity of volcanic samples, investigated here, decreases non-linearly with temperature increase between 400 and 900 K. The current work should now be extended to include higher eruptive temperatures (~1350 K for Etna’s lavas), using an alternative laboratory-based approach, which would allow heating of samples to this temperature. This is essential to increase our understanding of the behaviour of emissivity at molten rock temperatures. The synergy of high-spatial and high-temporal resolution two-component, radiant flux data has the potential to support more accurate effusion rate estimates, that are critical in supporting operational ‘distance-to-run’ forecasts. We propose then that the emissivity–temperature relationship be quantified and linked to the range of temperatures found in an active lava flow, by creating a ‘Dynamic Emissivity–Temperature Rule’. This would significantly improve the accuracy of lava surface temperatures derivation (from spaceborne data) and operational lava flow forecasting (through modelling applications), which will contribute to hazard assessment and mitigation.

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