

Article



Metropolitan Residents' Preferences and Willingness to Pay for a Life Zone Forest for Mitigating Heat Island Effects during Summer Season in Korea

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Abstract: Coupled with green house effects, the urban heat island is occurring more frequently, and thus is becoming a serious social problem. In order to elicit policy implications, the current study assesses the economic values on the heat island-mitigating functions of urban forest through choice experiments. The analytical results suggest that metropolitan city residents' utility can be increased by raising the size of life zone forests which is comprised of street trees, parks in residential regions, and small forests in school zones. The derived marginal willingness to pay for the life zone forests suggest that the respondents are willing to pay \$56.68–76.59 for every increase of the urban forest by 1 m².

Keywords: heat island; choice experiment; urban forests; non-market goods; willingness to pay

1. Introduction

An urban heat island is a metropolitan region of which the temperature is significantly higher than its surrounding areas. The heat island effect is caused by reflections from urban structures such as buildings, concrete or asphalt roads, and bricks which absorb the heat from the sun during daytime [1,2]. Heat island effects are more severe in summer since the duration of sunshine is longer and the amount of solar radiation is greater than other seasons. The heat island significantly influences the energy demand, air quality, and the health of urban dwellers [3,4]. In addition, the heat island is known to be a main factor which causes tropical nights and sleep disorders, and thus, creates stress for urban residents.

For one method of mitigating heat island effects, increasing the size of urban forest, is known to be very effective [5] (Other than forming life zone forest, alternative efforts are also proven to be effective for mitigating heat island effect: installing green or cool roof [6–8], utilizing bioinspired retro-reflective building envelope [9], and changing to white roof [10]). In Korea, however, the extensive increasing or forming forest in urban areas, similar to Central Park in New York or Hyde Park in London, cannot be easily applied since the land price is very high and most of the city lands are occupied by buildings and other constructions. Therefore, in Korea, the average size of per capita urban forest is small (0.4 m² for the metropolitan areas and 7.76 m² for the cities and small towns) relative to the WHO-recommended level of 9 m². Seoul, the capital of Korea, has only 0.305 m² of per capita urban forest. The low level of urban forest in the metropolitan regions of Korea is due to the high population density compared with scarce city land. To overcome this limitation, the Korean government is investigating other methods

for augmenting green areas in the metropolitan cities. Increases of street trees, parks in residential regions, and small forests in school zones are all alternatives. In Korea, these green areas are known as life zone forests [11] (A life zone forest is defined as a forest that is relatively easy for urban dwellers to access without additional time or cost to reach it [11]).

Life zone forests are a public good since they have positive externalities such as mitigating heat island effects, purifying air, absorbing noise, and so on, but these positive functions are not evaluated and compensated through market mechanisms. Its positive effects are not exclusive and no single person has the incentives to pay for implementing urban forests, and thus, free rider problems arise. This basic nature of life zone forests requires the government to internalize the positive externality, which implies that the forming and increasing of urban forest in life zones has to be performed through the aid of government budgets.

Coupled with green house effects, heat islands in the cities have become more and more serious. These circumstances force the government to take actions including the expansion of urban forests. The role of government and the public sector in providing green infrastructure for adapting to climate change are found in Agrawal [12], Coffee et al. [13], Ford et al. [14], Preston [15], Carmin et al. [16] and Beirbaum et al. [17]. From this context, for eliciting policy implications, the current study assesses the economic values of life zone urban forests. Since there is no market that provides the price information associated with the values of the urban forest mitigating the heat island effects, we use the choice experiment which has been widely used to evaluate the willingness to pay and the consumers' appreciation for the attributes of the object in question.

The choice experiment was initiated from marketing research which analyzed the consumers' choices and has been widely applied in many fields such as transportation, health, food consumption, and environmental and ecological economics [18]. In the forest sector, the choice experiment is mainly applied for assessing the economic values of the public functions of forests such as forest recreation [19,20] and biological diversity [21–29]. The choice experiment is also applied to evaluate the potential of non-timber forest products [30]. These studies imply that the choice experiment is the most appropriate methodology for evaluating the various aspects of the functions or services which are generated by forests [28]. In succession to this line of studies, the present study adds to the choice experiment literature on public services of forest by investigating the heat island mitigation-effects of urban forests.

This paper is structured as follows. In the next section, the survey design for choice experiment is being presented. How the questionnaire was constructed and how the data were collected are explained later in this section. The sample characteristics and descriptive statics of the variables are also presented in this section. Section 3 presents the estimation results. We then compare the estimation results and marginal willingness to pay for the life zone forests across the two different models. Section 4 elicits the policy implications and makes final conclusions.

2. Materials

2.1. Experimental Design and Variables

Implementation of choice experiments needs to define the attributes of the object of choice and determine the levels of the attributes that will be presented to the respondents. If the choice object is a commodity (such as an apple), characteristics (such as color, degree of sweetness or sourness, variety, country of origin, size and whether it has an organic label etc.) could be presented as attributes to the respondents. However, our current study does not include such commodites for the object of choice. Instead, it deals with the mitigation of heat island effects, and thus, the factors that influence this mitigation are regarded as the attributes. In the same context, among studies that investigated the functions of forest, Riera et al. [31] posited climate change as the object of choice and introduced rates of plant cover, risks of fire, and rates of soil erosion as the attributes that influence the posited

object. Similar cases which postulate a function or phenomenon (instead of a commodity) as the object of choice are found in other studies [25,32,33].

The increases in the area of urban forest are proven to be effective for lowering the urban temperature. Previous studies indicated that the increase per capita of urban forest area by 1 m^2 results in the decrease of the temperature in urban areas by $1 \degree \text{C}$ to $3 \degree \text{C}$ [34–40]. By reflecting these studies, we included the size of urban forest (in the current study, the size of life zone urban forest) as one of the attributes that influence urban heat islands. Previous studies that evaluated the functions of a forest focus on the detail aspects of the forest. However, those studies placed relatively little attention on factors other than the forest itself. Different from these studies, the current paper not only considers the forest but also other factors that influence the mitigation of heat island effects. Heat island effects could be altered according to several aspects of urban forests such as the shape, location, plant variety, tree density, and so on. However, the differences in these detailed aspects of urban forests are not as influential as the other factors such as number of cars, road size, travel costs etc. Thus, we additionally introduced other more influential factors are per capita" for evaluating the respondents' valuation of urban forest for mitigating heat island effects.

Many prior studies show that road size influences albedo, the reflection coefficient is one of the main contributors for increasing the temperature in urban areas [37,41–43]. Thus, we included per car road size as the other attribute to be presented to the respondents for the choice experiment. Aggregated surface area of buildings is another important factor that influences the albedo. However, there are no such data, thus it is hard to determine the levels to be presented in the choice experiment. Therefore, we did not consider this attribute. Instead, we included the number of cars as the attribute related with heat island effects. As presented in the Appendix A, we conducted regression for investigating the relationship between the temperature in urban areas and possible explanatory variables such as per capita urban forest size, per capita number of cars, per capita electricity usage, per capita road size, average wind speed, amount of rainfall, and a dummy variable which represents whether or not the city of interest is located near the coast. The estimation result indicated that per capita forest size, per capita number of cars, per car road size, average wind speed, amount of rainfall, and a location dummy among the employed explanatory variables are statistically significant. The significant impacts of forest size and number of cars on the temperature in urban area are in the same line of the results in the previous studies discussed above. The rainfall, wind speed, and location are not variables that can be controlled by humans, thus these variables were excluded in the questionnaires (The other uncontrollable factors that influence the heat island effect is insolation or amount of sunshine. This variable has a strong negative correlation with rainfall. Thus, in the regression in the Appendix A, we excluded these variables to avoid the multicollinearity problems). Instead, the variable of number of cars which was identified to be significant in the regression analysis was included as one of the attributes for the choice experiment [4,44]. In the questionnaire, based on the regression analysis, we explained the relationships between urban temperature and selected attributes for the choice experiment as follows, in order to help the respondents to understand the questionnaire.

Provided information regarding the relationship between urban temperature and selected attributes: Based on the analysis of using historical data for 50 years from seven metropolitan cities in Korea during the summer, the increase in urban forest area results in significant decreases in the temperature of urban areas, the increase in the number of cars results in significant increases of the urban temperature and the increase in the road size results in significant increases of the urban temperature.

We set three levels for the attribute of per capita life zone forest: 0.4 m^2 , 7.0 m^2 , and 9.0 m^2 as shown in Table 1. Currently, the mean of per capita forest area in the metropolitan regions is 0.4 m^2 , thus we selected this level as a baseline. The nationwide average of per capita urban forest is 7.0 m^2 and the level recommended by WHO is 9.0 m^2 , therefore, we included these two levels in the questionnaire and explained the meanings of 0.4 m^2 , 7.0 m^2 , and 9.0 m^2 to the respondents.

For the attributes of per capita road size and per capita number of cars, we selected three levels for the choice experiment. The averages of these two attributes in the metropolitan regions were set as baselines. For these attributes, since there are no reference levels other than averages that respondents can easily capture the meanings, we simply presented the alternative levels of "larger (more) than average" and "less than average". The formulation of urban forest in a large scale inevitably requires the area currently occupied by buildings or roads. However, by definition, it is possible to increase the life zone forest without lessening the road sizes or demolishing the buildings. Thus, the combination of attributes that increase the life zone forests and road sizes at the same time is a possible choice option (We might have more insightful results if various aspects of life zone forest such as species, age, and types of trees had been included as the provided attributes in the choice experiment. Non-inclusion of these factors is the limitation of research).

Attributes	Level	
	0.4 m ² : average in metropolitan regions;	
Per capita urban forest area	7.0 m ² : average in urban regions; 9.0 m ² : level recommended by WHO	
Per capita road size	6.4 m ² : average in metropolitan regions;	
	larger than 6.4 m ² ; less than 6.4 m ²	
Per capita number of car	$\frac{0.3: \text{ average in metropolitan regions;}}{\text{more than } 0.3; \text{ less than } 0.3}$	
Willingness to pay per household (won/year)	Do not want to pay, 200,000 (\$167); 400,000 (\$334); 600,000 (\$501), 800,000 (\$668); 1,000,000 (\$836); 1,200,000 (\$1003)	

Table 1. Attributes surveyed in the choice experiment.

Willingness to pay must be in a form of tax since the object of the choice experiment is not a commodity. Thus, we questioned how much annual tax is willing to be paid per household basis. During 2005 to 2010, Korea Forestry Service spent 185,166 won/household annually for afforesting and managing the forest. Reflecting this budget spending, we posited 200,000 won/household as the minimum for the choice experiment. We then proposed four different taxes per household by allowing equal intervals of 200,000 won (400,000 won/household; 600,000 won/household; 800,000 won/household; and 1,000,000 won/household) and suggested 12,000,000 won/household as a maximum. In 2010, when the survey was conducted, tax per household in Korea was 1,812,000 won/household (based on a four-member household), thus the maximum tax presented in the choice experiment was within the range of actual tax paid by each household. Furthermore, as indicated by Figure 1, significant numbers of respondents chose the maximum willingness to pay (i.e., 1,200,000 won/year), thus the range of willingness to pay is designed to be well within the acceptable boundary. We conducted focus group interviews for testing the appropriateness of selected attributes, relevance of the attribute levels, and respondents' understanding of the questionnaire. The final questionnaire was confirmed after the pretest, which was performed based on the surveys from 50 consumers (of which about 10 percent of the total sample for the main survey) in metropolitan cities.

We followed the orthogonal fractional factorial designs for constructing questionnaire. Total possible profiles that can be produced by the selected attributes in Table 2 are $162 (3 \times 3 \times 3 \times 6)$, the multiplication of the levels of each attributes). Among these, the design software in SAS showed that 36 paired profiles have the highest D-efficiency (1.2437; D-Error of 0.8041 (Kanninen [45] suggested that the selected pair of the choice design is efficient when D-error is less than 1)). If too many choice sets are presented to the respondent, some respondents could refuse to answer the questions or respond to the questions without caution, so we divided the 36 profiles into three choice categories (three alternative options). The questionnaire that has three choice options has 12 profiles each. In order to avoid the

situation that respondent should respond to 12 different choice sets, we randomly divided 12 choice sets into two blocks and assigned each respondent to one of the blocks. Therefore, six different choice sets were presented to each respondent. Table 2 shows an example of the choice set that a respondent was faced with.



Figure 1. Distribution of willingness to pay.

Alternative	Option 1	Option 2	Option 3	Option 4
Per capita life zone urban forest (m ²)	A THE PARTY OF THE		APP AVE T	
	Average of all cities and small towns in Korea: 7.0 m ²	The level recommended by WHO: 9.0 m ²	Current level: 0.4 m ²	
Per capita road size (m ²)	2 2	8	8	Do not want to choose any options
	Current level: 6.4 m ²	Less than current level	Larger than current level	
Per capita number of cars	<u> </u>		0000	
	Less than current level	Current level: 0.3	Larger than current level	
Willingness to pay per household (won/year)	200,000	400,000	1,200,000	

Table 2. Exa	mple of	choice	set.
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We allowed the respondents not to choose the presented options in the choice experiment (i.e., the "no choice option" was included) thus alternative specific constant (ASC), which is specified to be 0 if any of the choice options is selected and 1 if none of the options is selected, was incorporated in the regressions. The coefficient on ASC reveals the attitude towards status quo. In other words, it implies that the taste of respondents is beyond what can be reflected by the attributes presented in the questionnaire.

2.2. Data Collection and Sample Characteristics

Surveys were conducted through individual interviews from 1 September 2010 to 28 September 2010. In Korea, heat island effects are usually observed in big cities, thus a total of 448 persons from metropolitan regions of Seoul, Busan, Incheon, Kwangju, Daejeon, Ulsan, and Daegu were interviewed. These are the seven largest cities in Korea and the population in each of these cities is all over 2 million.

The number of respondents for the survey followed Orme [40] (Orme [46] proposed the criteria for selecting the number of respondents as $\geq \frac{c}{ta} \times 500$, where n is the number of respondents, a is the number of alternatives per task, t is the number of choice tasks and c is the analysis cells). Among the collected data of the surveyed 448 respondents, we excluded the data collected from 14 respondents who did not answer consistently. Thus, a total of 434 samples were used in the analyses.

The questionnaire included the questions related to socio-demographics such as monthly household income, age, housing type, location of residence, types of occupation, employment status, etc. The influences of these socio-demographic characteristics on the choice were estimated in random parameter model (RPL) by allowing the interaction with the dummy formulated by "(1-ASC)". Table 3 presents the socio-demographic characteristics of the respondents. Most respondents reside in the city areas, ages 30–59 totaling 65.9 percent; monthly income of 3342–5013 \$/month shows the most frequency; and 2–4 member households are of the highest proportion in the sample. These characteristics of age, marital status, household size, and family income are overall comparable to the Korea Census data for the nation.

Table 3. Socio-economic characteristics of the respondents.

Socio-Econom	Socio-Economic Characteristics		Socio-Econom	Socio-Economic Characteristics	
- 1	Males	237 (54.6)	Mauriana	Single	216 (49.8)
Gender	Females	197 (45.4)	Marriage	Married	217 (50.0)
Posidontial	Inner city	223 (51.4)	Number of	below 2	34 (7.8)
rogion	Suburb	193 (44.5)	family mombars	2–4	327 (75.3)
region	Rural area	18 (4.1)	family members	5–7	73 (16.8)
	Detached house	101 (23.3)		Office job	96 (22.1)
Housing type	Multi-units house	73 (16.8)		Professional	60 (13.8)
	Apartment	260 (59.9)	Occupation	Laborer	18 (4.1)
	20-29	137 (31.6)		Self-employed	48 (11.1)
	30–39	124 (28.6)	Occupation	Public officer	63 (14.5)
A co (wooro)	40-49	98 (22.6)		Housewife	89 (20.5)
Age (years)	50-59	64 (14.7)		Student	47 (10.8)
	60–69	9 (2.1)		Unemployed 13 (3.0)	
	Over 70	2 (0.5)		Busan	60 (13.8)
	Under 1671	26 (6.0)		Ulsan	60 (13.8)
Monthly	1671-3342	139 (32.2)		Daegu	60 (13.8)
household	3342-5013	148 (34.3)	Residential city	Daejeon	60 (13.8)
in some (UE ft)	5013-6683	75 (17.4)		Kwangju	60 (13.8)
mcome (05 \$)	6683-8354	28 (6.5)		Seoul	60 (13.8)
	Over 8354	16 (3.7)		Incheon	74 (17.1)

3. Estimation Results

3.1. Utility Specification

The empirical models employed in this study are estimated on 10,416 choices, by using the data based on responses from 434 respondents, each performing six different choice tasks (as discussed, there are four different choice alternatives or options including the no-choice option for each task). For specifying the utility function, the attributes related with heat island effects, socioeconomic variables of individuals, and alternative specific constants (ASC) are included as follows. Not only the product attributes, but also the socioeconomic background of the respondent, influence the decisions of whether or not to choose the offered options in the choice experiment. Since the random parameter logit model—which only includes the product attributes as explanatory variables as discussed above—cannot capture the impacts of socioeconomic variables, we included the interaction between the dummy that indicate any of presented options is selected (i.e., it is 1 when ASC is 0) and the socioeconomic variables for each individual in the empirical implementations of an RPL model. The estimates of the interactions imply the influences of the socioeconomic characteristics for the probability of choosing the presented alternatives in the choice experiment.

$$U_{nti} = \beta_0 ASC + \beta_1 FOREST7_{nti} + \beta_2 FOREST9_{nti} + \beta_3 ROADI_{nti} + \beta_4 ROADD_{nti} + \beta_5 CARI_{nti} + \beta_6 CARI_{nti} + \beta_7 PAY_{nti} + \sum_r \beta_r^{SE} (1 - ASC) \times SE_n + \varepsilon_{nti}$$
(1)

where *n* is the respondent, *t* is the choice task (t = 1, 2, 3, 4, 5, 6), and *i* is alternative options 1, 2, 3, and 4. *FOREST7* and *FOREST9* denote per capita life zone forest of 7 m² and 9 m², respectively. *ROADI* and *ROADD* are the dummy variables that indicate the increase and decrease of road sizes. *CARI* and *CARD* are the dummy variables that indicate the increase and decrease in the number of cars. *PAY* is the willingness to pay and *SE* represents socioeconomic characteristics that include gender, family type, age, family income, residential region, housing type, marital status, and occupation. The estimation of the random parameter logit model is based on the assumption that the coefficients of tastes are independently normally distributed. However, the randomness of taste parameter for price variables could result in a positive estimate which implies an upward-sloping demand curve. Thus, we exclude the heterogeneity for the taste on price as in previous study [47].

3.2. Estimation Results

Figure 1 indicates the distribution on the willingness to pay of the respondents. The selection of "do not pay" in the presented option shows the highest frequency. The frequencies of the willingness to pay exhibit the negative relationship with the amount of the respondents' willing to pay, which is a reasonable result. There were significant cases (158 out of 2064 total number of acceptances for the presented willingness to pays) that indicated the maximum willingness to pay as 1003 US dollars (1,200,000 won).

Table 4 reports estimation results of the RPL model (Estimation results of the RPL allowing interdependence among taste parameters are presented in Appendix B Table B1). For the mean estimates of attributes, the estimated coefficients on per capita life zone forest of 7.0 m² and 9.0 m² are estimated to be positive and statistically significant at the 1% level. The coefficients that indicate the increase and decrease in per capita road size relative to the current sizes (6.4 m²) are negative and statistically significant at the 1% level. The coefficient on willingness to pay is estimated to be significant at the 1% level, however, the attributes indicating the changes in the per capita number of cars are estimated to have no significance influences on the respondents' choices. The positive coefficients on the life zone forest suggest that metropolitan city residents' utility can be increased by increasing the area of life zone urban forest. It is implied that the larger life zone forest would yield more increases in the city residents' utility, as indicated by the larger coefficient on the life zone forest of 9.0 m² than 7.0 m².

Interestingly, the coefficients on the decreasing and increasing the road size relative to the current status are estimated to be negative, which implies that the changes in road size would lower the utility of city residents. Decreasing the road size would result in mitigating the heat island effects which would benefit the residents, however at the same time, decreased road sizes would result in more traffic congestion, and thus could be a cost to the residents. The significant and negative coefficient on the attribute of "decreasing road sizes" implies that the residents are more concerned with traffic congestion than the benefit of mitigating heat island effects, especially when they are faced with narrowed roads. Similarly, increases of the road sizes would produce benefits and costs for the residents. In this case, the respondents are also more concerned with the costs, which is the disutility that comes from the worsened heat island, as implied by the significantly coefficient on the attribute of "increasing road sizes" and "increasing road sizes" suggest that the respondents are likely to be more concerned with the disutility caused by the narrowed roads than the widened roads.

As discussed, we included the interactions between the dummy of "(1-ASC)" and socio-economic variables in the regressions in order to investigate the effects of respondents' characteristics when choosing the presented options. Among the socio-economic characteristics, gender (males),

two-generation family type, household income, residence in apartment, and Seoul and nearby cities are estimated to have positive influences on the choice of presented option, which can be interpreted that the respondents who have these characteristics are willing to control the heat islands. The respondents of working age show unwillingness to implement the control of heat islands, as indicated by the significantly negative coefficients.

Va	Coefficient	Standard Error				
Mean Estimates						
1	-0.3606	0.8557				
Tife family	7.0 m ²	1.0738	0.1836 ***			
Life zone urban forest / capita	9.0 m ²	1.8908	0.2342 ***			
Deed size (servite	less than current size (6.4 m ²)	-1.1739	0.1762 ***			
Koad size/capita	larger than current size (6.4 m ²)	-0.9005	0.1867 ***			
Number of our (our its	less than current level (0.3)	0.1638	0.1584			
Number of cars/ capita	more than current level (0.3)	-0.1940	0.2155			
Willingness	s to pay (kwon)	-3.17×10^{-6}	0.0000 ***			
(1-ASC) * Ge	ender (Male = 1)	1.0876	0.2532 ***			
(1-ASC) * Marr	riage (Married = 1)	0.0927	0.3408			
(1-ASC) * 5	Salary worker	-0.4345	0.2962			
(1-ASC) * 5	Self employed	-0.1017	0.3555			
(1-ASC) * In wo	rking age (20 to 60)	-1.1512	0.3388 ***			
(1-ASC) * In re	0.2188	1.0309				
(1-ASC) * Two-	0.5185	0.3234 *				
(1-ASC) * Household Income (kwon/month)		0.2573	0.1335 *			
(1-ASC) * Resid	lence in inner city	0.4104	0.6840			
(1-ASC) * Residence in suburban region -0.4770 0.68		0.6806				
(1-ASC) * D	Petached house	-0.1015	0.4137			
(1-ASC) '	(1-ASC) * Apartment		0.3708 *			
(1-ASC) * Residence in Seoul and nearby cities		1.0911	0.4980 **			
	Standard Deviation Estimates	3				
Tife ferret /ite	7.0 m ²	0.4966	0.2522 **			
Life zone urban forest/capita	9.0 m ²	1.3588	0.1727 ***			
Deed size (servite	less than current size (6.4 m ²)	1.1350	0.1876 ***			
koad size/capita	larger than current size (6.4 m ²)	0.9915	0.2883 ***			
Number of the lite	less than current level (0.3)	0.6936	0.2072 ***			
number of cars/ capita	more than current level (0.3)	1.0145	0.2633 ***			
Log Li	ikelihood	-85	6.3621			
LR chi ² (21) 81.32			.32			
Prob	> Chi ²	0.0	0000			

Table 4. Estimation	n results of Randon	n Parameter I	Logit Model 1.
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*, ** and *** indicate significant at 90%, 95% and 99%, respectively.

The standard deviations of all attributes other than the willingness to pay are estimated to be significant at the 5% level or lower. These significant estimates imply that there is heterogeneity of tastes associated with these attributes. It is interesting that the standard deviations for the variables of changes in number of cars are significant, although the mean estimates for these variables are not significant. These estimation results suggest that while the changes of road size at the mean level have no influence on the respondents' utility, the individual respondents' utility could be significantly influenced by the changes in the road sizes and the magnitudes of the influence differ by the residents.

Table 5 reports the estimation results by treating the per capita life zone forest as a single variable instead of using the dummy coding for each level of forest area. The coefficient on the

life zone forest is significant at the 1% level. Different from the results in Table 4, the coefficient on the attribute of "increasing number of cars" is estimated to be significant at the 1% level. The significantly negative coefficient on this variable implies more cars than the current level will decrease the utility of the respondents. A larger number of cars will result in more traffic congestions and higher urban temperatures, and thus, it is interpreted that residents in metropolitan cities have the unwillingness to accept this option.

	Variable	Coefficient	Standard Error		
Mean Estimates					
ASC -0.6486 1.0785					
Life zone urb	oan forest /capita -5pt	0.2316	0.0298 ***		
Pood size / conita	less than current size (6.4 m ²)	-1.1619	0.1582 ***		
Road Size/ Capita	larger than current size (6.4 m ²)	-1.0511	0.1993 ***		
Number of core (conite	less than current level (0.3)	0.1352	0.1505		
Number of cars/ capita	more than current level (0.3)	-0.5180	0.2016 ***		
Willingn	ess to pay (kwon)	$-3.24 imes10^{-6}$	0.0000 ***		
(1-ASC) *	Gender (Male = 1)	0.9005	0.2824 ***		
(1-ASC) * M	arriage (Married = 1)	0.0440	0.3777		
(1-ASC) * Salary worker	-0.3616	0.3354		
(1-ASC)) * Self employed	-0.1042	0.4066		
(1-ASC) * In	working age (20 to 60)	-1.1619	0.3825 ***		
(1-ASC) * In	retired age (over 60)	0.7227	0.9759		
(1-ASC) * Tu	vo-generation family	0.6915	0.3865 *		
(1-ASC) * Household Income (kwon/month)		0.3008	0.1534 **		
(1-ASC) * Re	esidence in inner city	0.6967	0.9074		
(1-ASC) * Resid	ence in suburban region	-0.1349	0.9164		
(1-ASC)	* Detached house	-0.2388	0.4651		
(1-AS	C) * Apartment	0.5110	0.4067		
(1-ASC) * Residence	e in Seoul and nearby cities	0.8956	0.5352 *		
	Standard Deviation Estima	ites			
Life zone	urban forest/capita	0.2253	0.0256 ***		
Road size/capita	less than current size (6.4 m ²)	0.9544	0.1936 ***		
	larger than current size (6.4 m^2)	1.0222	0.2216 ***		
Number of cars/capita	less than current level (0.3)	0.2246	0.2851		
	more than current level (0.3)	0.9880	0.2486 ***		
Log	g Likelihood	-83	38.98		
LR chi ² (21) 128.16					
Prob > Chi ² 0.0000					

Table 5. Estimation results of Random Parameter Logit Model 2.

*, ** and *** indicate significant at 90%, 95% and 99%, respectively.

For the estimates of standard deviation, the attributes of life zone forest, changes in road sizes, and increases in the number of cars are estimated to be significant. These results suggest that there are heterogeneities for these attributes, thus the impact of these attributes on the individual respondent's utility differ according to respondents.

4. Discussion

Marginal Willingness to Pay (WTP) for the Attributes Influencing Heat Island

Using the utility specified in Equation (1), the marginal WTP for each attribute can be calculated as $WTP = -\frac{\beta_i}{\beta_6}$, where β_i indicates the estimated coefficients on the attributes of life zone forest size,

changes in road size and the number of cars while β_6 is the estimated coefficient on the willingness to pay.

Table 6 is the calculations of marginal WTP for each attribute that influences the heat island. Since the changes in number of cars are not estimated to be significant, we excluded the marginal WTP calculations for these attributes. For increasing the life zone forests from the current level ($0.4 \text{ m}^2/\text{capita}$) to 7.0 m², the respondents have a willingness to pay 447,413 won (\$374.09) under the estimation of RPL model 1. For increasing the life zone forests to the level of 9.0 m², the respondents are willing to pay 787,824 (\$658.72). Marginal costs for forming the additional life zone forest will become greater as we form more life zone forest since we will encounter increases in land cost. Thus, it is reasonable that the derived marginal willingness to pay for the larger life zone forests (9.0 m²) is bigger than the lower sized life zone forests (7.0 m²).

Attribute		Marginal WTP			
		Under RPL Model 1	Under RPL Model 2		
Life zone urban	7.0 m ²	447,413 won (\$374.09)	- 71,474 won ¹ (\$59.76)		
forest/capita	9.0 m ²	787,824 won (\$658.72)			
Road size / capita	less than current size (6.4 m ²)	-489,126 won (\$-408.97)	-358,598 won (\$-299.83)		
Road Size/ Capita	larger than current size (6.4 m ²)	-375,199 won (\$-313.71)	-324,427 won (\$-271.26)		
Number of cars/capita	Number of cars/capita More than current level (0.3)		-159,819 won (\$-133.69)		
1		1 114 4 1 1 1 1 1	•		

Table 6. Marginal willingness to pay for the attribute.

¹ The marginal willingness to pay for the life zone forest of 1 m²/capita.

The derived marginal willingness to pay for the life zone forests imply that respondents are willing to pay 67,790won (\$56.68) per 1 m² of life zone forest until it reaches 7.0 m²/capita (Considering the current size of urban forest, the willingness to pay for every additional forest by 1 m² is calculated as 447,4133/(7 - 0.4) = 67,790). Until the life zone forest reaches 9.0 m²/capita, the respondents have the willingness to pay 91,607 won (\$76.59) per 1 m² of urban forest. If we based on the estimation results from the RPL model 2 (i.e., the results in Table 6), the marginal willingness to pay for increasing the life zone forest by 1 m² is calculated to be 71,474 won (\$59.76), which is within the ranges of the marginal willingness to pay derived from the results in Table 4.

Based on the previous studies and regression analysis in the Appendix A which investigated the relationships between the urban forest and temperatures in the cities (increasing urban forest by 1 m²/capita results in the decrease in the urban temperature by 1.15 °C), we can infer that respondents' willingness to pay is around 48,663 won (\$40.69) to 79,658 won (\$66.60) for reducing the temperature in the metropolitan cities by 1 °C during the summer. However, these imputed marginal willingness to pay are valid when the urban temperature is controlled by only increasing the urban forest, ceteris paribus.

The marginal willingness to pay for decreasing road sizes are calculated as -358,598 won (\$-299.83) to -489,126 won (\$-408.97). Negative marginal willingness to pay implies that the respondent has to be paid in reward for accepting these attributes. As discussed, respondents are more concerned about decreasing road sizes than increasing road sizes. Thus, the marginal willingness to pay for increasing road sizes is calculated to be lower (-324,427 won to -375,199 won) than those of decreasing road sizes, in absolute terms. Estimation results from RPL model 2 (the results in Table 5) suggest that the marginal willingness to pay for increasing the number of cars is -159,819 won (\$-133.69). This result indicates that the increasing or decreasing of the road sizes far more decreases utility than when we increase the number of cars.

5. Conclusions

The heat island effects have become more serious in many cities and in many countries. One of the effective alternatives for mitigating these effects—the increases of the urban forest—has received much attention. However, the forming of urban forests cannot be achieved through private market mechanisms. Instead, it requires support from the government budget. Installation of green or cool roof and utilizing different materials for building envelopes could be other effective ways of mitigating heat island effects. However, these methods are also hard to pursue in the private sector, therefore market mechanisms are not working, since there is a wide gap between public and private benefit relative to the cost in applying these techniques.

Mitigation of heat island effects provides positive externality since its benefits are not exclusive but no single person has an incentive to pay for mitigating these effects. This situation requires the government to internalize the positive externality through the aid of government budgets. Supporting the installation cost of green or cool roofs would be one of the policies which are implementable to mitigate heat island effect. Governmental increase of urban forest is also an effective policy. The government's support for green/cool roof installation, however, cannot be applied to all residents or buildings in the cities, because some of buildings are not fit for green roofs and there could a building owner who does not want to change the existing roof. Considering these circumstances, forming life zone forest is a more plausible policy candidate.

For eliciting the policy implications, the current study evaluated the metropolitan residents' preferences toward forests and derives the willingness to pay for planting additional urban forests within Korea's metropolitan cities through the form of life zone forests—which include street trees, small parks in residential regions, and green areas in school zones—using the choice experiment.

Different from prior studies which assess the consumers' willingness to pay for the functions of forest, this paper included the factors that influence heat island effects other than the forest itself. In order to allow the heterogeneities among the respondents, this paper analyzed the random parameter logit model. For designing the choice experiment for the current study, we set "heat island" as the object of choice, and we identified the factors that influence heat island effects in order to comprise them as attributes. Through the reviews of previous studies together with the regression analysis for the relationship between urban temperature and the possible explanatory variables, the road sizes and number of cars were identified as attributes for influencing urban heat islands.

The analytical results indicate that the metropolitan residents' utility can be significantly increased by forming more life zone forests within the cities. For the changes in the number cars or road size in urban areas, respondents revealed a preference for the status quo rather than increases or decreases of these attributes. Based on the estimated coefficients from the regressions, the marginal willingness to pay for the life zone forests are derived to be \$56.68 to \$76.59 when increasing the urban forest by 1 m² per capita.

We expect that the derived marginal willingness to pay for the urban forests can be effectively used for degning the policies associated with lowering the urban temperatures or forming the urban forests. To the best of our knowledge, this is the first attempt that evaluates the values for the heat island-mitigating functions of forest. In this context, we also expect that there will be many succeeding empirical studies that will use the design or framework of the current study.

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Appendix A. Regression Analysis of the Urban Temperature

For identifying the factors that influence the urban temperatures, we set the regression equation as following.

$$Y_{it} = \alpha_0 + \alpha_1 X_{it}^{wind} + \alpha_2 X_{it}^{rain} + \alpha_3 X_{it}^{for} + \alpha_4 X_{it}^{car} + \alpha_5 X_{it}^{road} + \alpha_6 X_{it}^{elec} + \alpha_7 D_i^{coast} + \varepsilon_{it}$$

where Y_{it} is the temperature at time *t* in region *i*, X_{it}^{wind} is the wind speed, X_{it}^{for} is the per capita urban forest size, X_{it}^{rain} is amount of rainfall, X_{it}^{car} is per capita number of cars, X_{it}^{road} is the road size per capita, X_{it}^{elec} is the per capita electricity usage, and D_i^{coast} is the dummy which is set to 1 if the city is near coast. Previous research suggests that urban temperatures are influenced by road sizes and the number of cars [4,32,36–39].

The data of urban temperatures, wind speed, and rainfall were acquired from the AWS (Auto Weather System) in Korea Weather Forest Service. We used the data of urban forest areas, number of cars, and electricity usage in the Korea Statistics Service. The data period is from 1960 to 2010 for the seven metropolitan cities (Seoul, Busan, Kwangju, Daejeon, Incheon, and Ulsan). The temperature data is the average of the temperatures for the summer months (July and August) from 11:00 to 15:00.

Estimation results are reported in Table A1. All explanatory variables other than electricity usage are estimated to be significant at 5% or lower. As we expected, the wind speed, size of urban forest, and rainfall have negative influences on the urban temperatures. Meanwhile, the number of cars and road sizes have positive correlations with urban temperatures.

Variable	Coefficient (t-Value)	Unit
Constant	28.77 (60.97)	
X^{wind}	-0.70 (-5.23) ***	m/s
X^{for}	-0.31 (-0.70) ***	mm
X^{rain}	-1.15 (-3.98) ***	m ² /capita
X^{car}	0.02 (2.45) **	number of car/capita
X ^{road}	0.02 (2.63) **	km ² /capita
X^{elec}	0.00 (-0.92)	MWh/capita
D^{coast}	-0.61 (-2.50) **	Dummy (near coast = 1)

Table A1. Estimation results of urban temperature.

 $R^2 = 0.85$ (Adj. $R^2 = 0.81$), *** and ** denote significance at the 1% and 5% level, respectively.

Appendix B. Estimation Results of Random Parameter Logit Model Allowing Interdependence among Taste Parameters

Table B1. Estimation results random parameter logit model with interdependence among taste parameters.

Variable	Variable		Standard Error			
	Mean Estimates					
ASC		0.2173	1.1967			
Life zone when forest / serite	7.0 m ²	1.0894	0.2125 ***			
Life zone urban forest / capita —	9.0 m ²	2.1009	0.3071 ***			
Poor daire (corrita	Decrease	-1.1075	0.1809 ***			
Koau size/ capita —	Increase	-0.8118	0.2221 ***			
Number of cars (capita	Decrease	0.1856	0.1958			
Number of cars/ capita	Increase	-0.1462	0.2590			
Willingness to pay (kw	von)	0.0000	0.0000 ***			
(1-ASC) * Gender (Male	(1-ASC) * Gender (Male = 1)		0.3524 ***			
(1-ASC) * Marriage (Marr	ried = 1)	0.1714	0.4729			
(1-ASC) * Salary wor	ker	-0.4701	0.4254			

Variable					icient	Standa	rd Error
Mean Estimates							
(1-A	ASC) * Self emp	loyed		-0.	3782	0.4	730
(1-ASC) *	[•] In working ag	e (20 to 60)		-1.	1467	0.48	03 **
(1-ASC)	* In retired age	e (over 60)		0.0	193	1.2	973
(1-ASC)	* Two-generati	on family		0.5	735	0.4	060
(1-ASC) * Hou	sehold income	(kwon/month)		0.2	742	0.1	940
(1-ASC)	* Residence in	inner city		0.3	970	0.9	239
(1-ASC) * R	esidence in sub	ourban region		-0.	5464	0.9	311
(1-A	SC) * Detached	house		-0.	1034	0.5	026
(1-	-ASC) * Apartn	nent		0.5171		0.4349	
(1-ASC) * Res	idence in Seoul	and near cities		0.8912		0.5	878
		Correla	ation Estimates				
		Life zone urba	n forest/capita	Road size/capita Number of cars/cap		cars/capita	
		7.0 m ²	9.0 m ²	Decrease	Increase	Decrease	Increase
Life and when family	7.0 m ²	0.9642 ***					
Life zone urban forest/ capita	9.0 m ²	1.7629 ***	0.4814				
Dec decine / servite	Decrease	-0.0783	-0.5817 *	0.9578 ***			
Road Size/ capita	Increase	0.1955	-0.0965	0.0752	1.1986 ***		
Ni-miter of one / one ite	Decrease	0.6205 **	-0.2404	-0.3504	-0.1125	0.5431 *	
Number of cars/ capita	Increase	0.9491 ***	-0.4101	0.0960	0.7826 **	0.5991 *	0.2890
Log Likelihood				-819	.7247		
	LR chi ² (21)				154	.59	
	Prob > Chi ²				0.0	000	

Table B1. Cont.

*, ** and *** Indicate significant at 90%, 95% and 99%, respectively.

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