





Maize Processing Waste Water Upcycling in Mexico: Recovery of Arabinoxylans for Probiotic Encapsulation

Rita Paz-Samaniego¹, Elizabeth Carvajal-Millan^{1,*}, Norberto Sotelo-Cruz², Francisco Brown³, Agustín Rascón-Chu¹, Yolanda L. López-Franco¹ and Jaime Lizardi-Mendoza¹

- ¹ Research Center for Food and Development, CIAD, A.C. 83304 Hermosillo, Sonora, Mexico; rpaz@estudiantes.ciad.mx (R.P.-S.); arascon@ciad.mx (A.R.-C.); lopezf@ciad.mx (Y.L.L.-F.); jalim@ciad.mx (J.L.-M.)
- ² Department of Medicine, University of Sonora, 83000 Hermosillo, Sonora, Mexico; norberto.sotelo@unison.mx
- ³ Department of Polymers and Materials, University of Sonora, 83000 Hermosillo, Sonora, Mexico; fbrown@guaymas.uson.mx
- * Correspondence: ecarvajal@ciad.mx; Tel.: +52-662-289-2400; Fax: +52-662-280-0421

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Abstract: Maize is a major source of food in Mexico. In order to improve its nutritional value, maize kernel is exposed to an alkali treatment that generates large volumes of waste water containing gelling arabinoxylan. The purpose of the present study was to evaluate the capability of maize waste water arabinoxylans (MWAX) to encapsulate probiotics. The rheological, structural, and microstructural characteristics of this bio-based material were also investigated. MWAX gels at 10% (w/v) were able to encapsulate *Bifidobacterium* as probiotic model. The MWAX gel containing 1×10^7 CFU/mL of probiotics presented a storage (G') and loss (G") moduli of 50 and 11 Pa, respectively. The average mesh size of the MWAX gel was around 11 times smaller than the *Bifidobacterium* cell magnitude. MWAX gels with or without probiotics were studied using scanning electron microscopy. The interior of the *Bifidobacterium* loaded gels was composed of a pore-like network of MWAX through which probiotics were distributed. The probiotic encapsulate *Bifidobacterium* may be important in designing probiotic encapsulating biodegradable gels and could represent an opportunity in sustainable food waste management and utilization through upcycling to value-added products.

Keywords: waste water; food industry; polysaccharide; gels; value-added products

1. Introduction

In the past, Mesoamerican Indians learned that wood ashes facilitated maize cooking, the removal of the hard outer covering, and improved the quality of the resulting material. We now know that this process also releases the bound niacin in the maize into a readily available form [1], preventing the population from suffering the ravages of pellagra. In Mexico, alkali cooking called "nixtamalization" (from the Nahuatl nixtli = ashes and tamalli = dough) is extensively used to improve maize texture and nutritional value [2]. Maize nixtamalization is important in Mexico, as half of the total volume of consumed food is maize, which provides approximately 50% of the energy intake, this proportion being even greater for lower income groups. The waste water generated from this maize processing is called "Nejayote" which is highly alkaline, with high chemical and biological oxygen demands, and is considered an environmental pollutant. A typical maize nixtamalization facility processing 50 kg of maize every day uses over 75 L of water per day and generates nearly the equivalent amount of

alkaline waste water in 24 h [3]. Thus, alternatives of maize alkaline waste water utilization in Mexico are needed. During the nixtamalization process, maize bran is removed from the kernel, and some of the cell wall components such as arabinoxylans are partially solubilized in Nejayote. In fact, Nejayote has been previously reported as a source of gelling arabinoxylans [2–4].

Arabinoxylans (AX) are the main non-starch polysaccharides of cereal grains. AX consist of a linear chain of β -(1 \rightarrow 4)-linked xylose units, to which α -L-arabinose substituents are attached through O-2, O-3, or both. Arabinose residues can be linked on O-5 to ferulic acid (FA) (3-methoxy-4-hydroxycinnamic acid) via esterification [5,6] (Figure 1). Several investigations have reported the positive health effects of AX due to their antioxidant and prebiotic properties as well as their immune-enhancing ability and anti-tumoral activity [7,8]. In addition, AX can form covalent gels by the oxidative coupling of FA, resulting in the formation of dimers (di-FA) and a trimer (tri-FA) as covalent cross-linking structures [9]. The stability of the AX gels to changes in temperature, pH, and ionic strength offers an advantage for the industrial applications of this polysaccharide. A previous study has demonstrated that AX gels can be used for the entrapment of probiotics [10,11]. However, that investigation used water extractable AX from wheat flour as a model molecule due the less complex structure of this polysaccharide in comparison to water un-extractable AX from cereal brans. In this regard, maize bran is a richer and cheaper source of this polysaccharide, especially when AX are recovered from by-products of the food industry, which has received increasing attention and would offer new advantages for future industrial applications of this polysaccharide. However, to our knowledge, the probiotic loading capability of gelling maize wastewater arabinoxylans (MWAX) has not been reported. The objective of the present study was to investigate the capability of MWAX to encapsulate probiotics and to investigate the rheological and microstructural characteristics of the bio-based material formed.



Figure 1. General chemical structure of ferulated arabinoxylans (AX).

2. Materials and Methods

2.1. Materials

Nejayote was kindly provided by a tortilla-making factory in Northern Mexico. Chemical products and laccase (benzenediol:oxygen oxidoreductase, E.C.1.10.3.2) from *Trametes versicolor* were acquired from Sigma Co. (St. Louis, MO, USA).

2.2. Strains and Culture Conditions

Bifidobacterium longum ATCC 15708 and Bifidobacterium adolescentis ATCC 15703 were obtained from the American Type Culture Collection (ATCC; Manasas, VA, USA). Bacteria were kept at -80 °C in glycerol stock solutions. The bacterial suspensions were thawed, and the bacteria were reactivated in De Man–Rogosa–Sharpe (MRS) broth with 0.05% L-cysteine hydrochloride for 24 h at 37 °C and subcultured in Bifidus selective medium (BSM) broth for 24 h at 37 °C. All cultures were incubated under anaerobic conditions using an anaerobic GasPak[®] jar containing a generator envelope (Oxoid Ltd., Basingtoke, UK) [12]. In addition, 100 µL of Oxyrase (Oxyrase Inc., Mansfield, OH, USA) were added to the broth to remove oxygen from the microenvironment [13]. Bacterial biomass was harvested via centrifugation at 2000 g for 10 min at 4 °C, washed twice in a sterile saline solution (NaCl 0.85% w/v) under the same centrifugation conditions, and resuspended in 1 mL of a sterile saline solution [12,13]. These suspensions were incorporated in MWAX solutions and homogenized, and the gel was then prepared as previously reported [11].

2.3. Methods

2.3.1. MWAX Extraction and Chemical Composition

MWAX were extracted as described in a previously reported patent [14]. The neutral sugar content in MWAX was determined by high-performance liquid chromatography (HPLC) according to the methodology previously reported [15]. The hydrolysis was performed with 2 N trifluoroacetic acid at 120 °C for 2 h; then, the reaction was stopped on ice. The extracts were evaporated at 40 °C with an air flow and washed twice with 200 µL of water. The evaporated extract was dissolved in 500 µL of water and passed through a 0.2 µm filter (Whatman). Mannitol was used as an internal standard. HPLC analyses were performed using a Varian 9012 HPLC with a Supelcogel Pb column $(300 \times 7.8 \text{ mm}; \text{Supelco, Inc., Bellefont, PA, USA})$ and were eluted with 5 mM H₂SO₄ at 0.6 mL/min and at 50 °C. A Varian 9040 refractive index detector (Varian, St. Helens, Australia) and a Star Chromatography Workstation system control version 5.50 were used. The protein content in MWAX was determined according to the Bradford method [16]. The ash content was determined as described by the AOAC methods [17]. The ferulic acid (FA) content in MWAX was determined by reverse phase high-performance liquid chromatography (RP-HPLC) after a de-esterification step, as previously described [2]. A Waters 996 (Millipore Co., Milford, MA, USA) photodiode array detector and an Alltima C18 column (250×4.6 mm; Alltech Associates, Inc., Deerfield, IL, USA) were used. Detection was followed by measuring the UV absorbance at 320 nm. The measurements were performed in triplicate.

2.3.2. Gel Preparation and Probiotics Inclusion

A 10% MWAX solution (w/v) was prepared in a 0.1 M sodium acetate buffer, pH 5.5. *B. longum* and *B. adolescentis* (1×10^7 CFU/mL) were each suspended in the 10% MWAX solution, and laccase (1.675 nkat/mg MWAX) served as a cross-linking agent [11]. Gels were allowed to set for 4 h at 25 °C. All MWAX gels, with and without probiotics, were prepared under aseptic conditions.

2.3.3. Rheology

The formation of MWAX gels with or without bacteria was rheologically investigated by a small amplitude oscillatory shear. A MWAX solution (10% w/v) and an MWAX solution (10% w/v) with bacteria (1 × 10⁷ CFU/mL) in sodium acetate of 0.1 M were mixed with laccase and immediately placed in the parallel plate geometry (with a diameter of 4.0 cm) of a strain controller rheometer (Discovery HR-2 rheometer; TA Instruments, New Castle, DE, USA). Exposed edges were covered with silicone to prevent water loss. The rheological parameters used to evaluate gel hardness were the storage modulus (G'), loss modulus (G''), tan δ (G''/G'), and crossover point (G' > G'') or gelation time. MWAX gelation was monitored at 25 °C for 4 h. All measurements were realized at 25 °C, a frequency

of 0.25 Hz and 5% strain [2]. After the end of network formation, a frequency sweep (0.01–10 Hz) was carried out. The rheological tests were performed in duplicate, and the results were reported as the means.

2.3.4. Swelling

After laccase addition, two milliliters of MWAX solutions (10% w/v) were quickly transferred to a tip-cut-off syringe (diameter 1.5 cm) and left to stand until gelation for 18 h at 26 °C. When gelation was complete, the gels were removed from the syringes, placed in glass vials and weighted. The gels were swollen at 26 °C in 20 mL of a sodium azide 0.02% (w/v) solution to prevent microbial contamination. Gels were removed from the sodium azide solution, and the excess of liquid was discarded. Once weighed, a new aliquot of the antimicrobial solution was added. The gels were weighed every hour for 24 h until the weight of the samples did not vary by more than 3% (0.06 g) and the equilibrium swelling was reached. The swelling ratio, q, was calculated by the following equation:

$$q = (Ws - W_{MWAX})/W_{MWAX},$$
(1)

where Ws is the weight of swollen gels, and W_{MWAX} is the weight of MWAX in the gel. The MWAX weight in the gel was calculated by taking into account the AX in the MWAX solution (10% w/v) and the fresh weight of the gel after removal from the syringe [9].

2.3.5. Structural Parameters

The structural parameters of the MWAX gel were calculated from swelling measurements as reported previously [9]. The molecular weight between two cross-links (Mc) was calculated using the model of Flory and Rehner [18] adapted by Peppas and Merrill (Equation (2)) [19].

$$\frac{1}{Mc} = \frac{2}{Mn} - \frac{\left(\frac{v}{V_1}\right) - \left[\ln\left(1 - v_{2,s}\right) + v_{2,s} + \chi^1(v_{2,s})^2\right]}{v_{2,r} \left[\left(\frac{v_{2,s}}{v_{2,r}}\right)^{\frac{1}{3}} - \frac{1}{2}\left(\frac{v_{2,s}}{v_{2,r}}\right)\right]},$$
(2)

where Mn is the number average molecular weight of MWAX (135,000 g/mol, considering only the xylose backbone). In Equation (2), V_1 is the molar volume of water (18 cm³/g), $v_{2,r}$ and $v_{2,s}$ are the polymer volume fractions of the gel in a relaxed state (directly after gel formation) and at equilibrium swelling, respectively. χ^1 is the Flory polymer–solvent interaction parameter (0.5). The partial specific volume (v) of MWAX was 0.0703 cm³/g.

From the Mc values, the average mesh size (ξ) and the cross-linking density (ρ_c) in the MWAX gels were calculated as previously reported [9] (Equations (3) and (4)).

$$\xi = v_{2,s}^{-1/3} \left(\frac{2\text{CnMc}}{\text{Mr}}\right)^{1/2} l, \text{ and}$$
(3)

$$\varrho_{\rm c} = \frac{1}{v {\rm Mc}'} \tag{4}$$

with Mr representing the molecular weight of the repeating unit (xylose, 132 g/mol), Cn the characteristic ratio for AX (11.5), and [the bond length between two xyloses (0.286 nm) [9].

2.3.6. Scanning Electron Microscopy

The MWAX gels at 10% (w/v) and MWAX gels containing probiotics were frozen at -20 °C and lyophilized at -40 °C/0.133 mbar (Freezone 6 freeze dryer, Labconco, Kansas City, MO, USA). The microstructures of the freeze-dried MWAX gels were studied by scanning electron microscopy (SEM) without coating at low voltage (1.8 kV) using a JEOL JSM-7401F (Peabody, MA, USA). SEM images were obtained by secondary and backscattered electrons [11].

2.3.7. Statistical Analysis

Chemical determinations were made in triplicate, and the coefficients of variation were lower than 5%. Small deformation measurements were made in duplicate, and the experiments of swelling was realized by triplicate, and both coefficients of variation were lower than 5%. Results are expressed as the mean values.

3. Results and Discussion

3.1. Yield and Chemical Composition

The extraction of MWAX is represented in Figure 2. MWAX consisted of a fine white powder with some granulated parts similar in appearance to other AX reported in the literature [5,20]. The yield of MWAX was 0.90% (dry weight of polysaccharide/volume of waste water), which is higher than the value reported for water extractable AX used to entrap probiotics in a previous report (0.45–0.50 dry weight of polysaccharide/dry weight of wheat flour) [21]. Considering the total dispersed solids present in the maize processing waste water the yield of MWAX was 46% (dry weight of polysaccharide/dry weight of polysaccharide/dry weight of polysaccharide/dry weight of MWAX was 46% (dry weight of polysaccharide/dry weight of solids).



Figure 2. Recovery of maize wastewater arabinoxylans (MWAX) from alkaline maize waste water.

In addition, extraction of water extractable AX from wheat flour involves the use of hydrolytic enzymes to eliminate proteins and starch from the extract, which increase the process cost. In this regard, extraction of AX from food industry by-products such as maize alkaline wastewater would offer advantages for future industrial applications of this polysaccharide. It is important to note that the polysaccharide yield found in the present study was lower than the value reported for other MWAX (8% w/v volume of waste water) [2]. This difference could be due to differences in the nixtamalization process used in this study, as it remains an artisan process in small tortilla-making factories. In previous studies [2,14], maize kernels were lime-cooked for 1 h and stored at 25 °C for 12 h; in the present study, maize was lime-cooked for 1.5 h and maintained at 25 °C for 24 h. A longer alkaline exposure of maize could result in an extensive hydrolysis of the cell wall components generating larger amounts of low molecular weight AX, which may not precipitate in ethanol during the extraction procedure.

The chemical composition of MWAX is presented in Table 1. AX represented 66% dry basis (db) of the sample. This result was calculated from the sum of xylose and arabinose. The arabinose to xylose ratio (A/X) was high (0.85), indicating a highly branched structure. Depending on the source of AX, the A/X value can fluctuate from 0.3 to 1.1 being lower in endosperm than in bran grain [5]. Arabinose residues increase the hydrophilic character of the polysaccharide, which could explain the high water solubility of MWAX found in the present study, allowing the formation of MWAX solutions at 10% (w/v). Izydorczyk and Biliaderis [5] indicated that the chain of xylose unsubstituided or low-substituted with arabinose aggregates into insoluble complexes stabilized by intermolecular H-bonding, which limits water solubility. The presence of arabinose restricts the aggregation of AX molecules by steric hindrance [5,20]. Niño-Medina et al. [2] reported a moderately branched structure (A/X = 0.65) for MWAX and a maximal concentration of just 8% (w/v) of MWAX in water.

Small amounts of glucose, galactose, protein, and minerals (ashes) were also detected in MWAX, similarly to previous reports on other maize AX [2–4]. The FA content in MWAX was 0.012 ± 0.001 (µg/mg polysaccharide), which is smaller than the value reported for other MWAX ($0.23 \mu g/mg$ polysaccharide). This result could also be attributed to the extended alkaline exposure of maize kernels used in the present study, since alkaline conditions can de-esterify FA from the arabinose residues along the polysaccharide chains [2,22].

Table 1. Composition of MWAX.

Component	Value
Arabinose	30.2 ± 0.195
Xylose	35.4 ± 0.053
Galactose	12.8 ± 0.356
Glucose	1.66 ± 0.014
Protein	1.59 ± 0.13
Ash	1.48 ± 0.029
Ferulic acid	0.012 ± 0.001

Data are expressed in g/100 g MWAX dry matter. FA is expressed in μ g/mg of MWAX. Values are the mean \pm standard deviation. All results are obtained from triplicate measurements.

3.2. Gelation

The gelation kinetics of MWAX solutions (10% w/v) were studied using small deformation rheology. The rapid increase in elastic modulus (G') and viscous modulus (G'') at the start of the reaction followed by a region of stability indicates the rapid formation of covalent bonds between the FA of neighboring chains of MWAX producing a cross-linked polymer network (Figure 3). When sufficient cross-links have been formed, the movement of chains is impeded by the rigidity of the polymeric network [11]. Similar profiles have been previously reported for AX gels cross-linked by laccase or peroxidase/ H_2O_2 systems [22–24]. Niño-Medina et al. [25] reported MWAX gels at 4% and 8% (w/v) with a smaller G' (2 and 4 Pa, respectively) and a higher crossover point of 150 min. On the other hand, Ayala-Soto et al. [4] reported MWAX gels at 4% (w/v), which showed a fluid behavior with a G' value smaller that G". These differences could be due to the structural and/or conformational characteristics of each macromolecule [25] and to the specific conditions of the rheological experiments. It is possible that the features of MWAX found in the present study (higher molecular weight and A/X values) and the concentration used (10% w/v) promoted the cross-linking of chains allowing the formation of a more elastic gel (76 Pa). Additional studies of the distributions of arabinose and feruloyl groups along the polymer chain backbone are required to establish relationships between the MWAX molecular structure and the gelling ability as well as gel properties.

The addition of probiotics to the MWAX solution decreased the elasticity of the gel by 34% after 4 h of laccase action (Figure 3). The G" value of the gel was not affected by the presence of *Bifidobacterium* (11 Pa). The tan δ value (calculated at the end of the test at 0.25 Hz) was smaller than for the MWAX solution without bacteria (0.22), indicating the presence of a less elastic covalent system. The slight increase in the tan δ value in the MWAX gel that contains probiotics indicates a higher viscous contribution to the network, which could be related to an increase in the polymer chain flexibility in the gel. The presence of probiotics could affect physical interactions between MWAX chains, which would affect network connectivity [10,11].

The mechanical spectra of MWAX gels and MWAX gels containing *Bifidobacterium* exhibited a solid-like behavior with G' > G'' (Figure 4). This behavior of AX gels with a linear G' independent of frequency as well as a G'' much smaller than G' and dependent on the frequency has been previously reported [9]. The frequency sweep of both gels showed that G' slightly increased after 0.5 Hz, which may be due to the presence of non-covalent interactions in the polymer network in addition to the covalent bonds induced by laccase, as previously suggested [9,21]. The presence of probiotic bacteria affected the polymer chain interactions, as indicated by G', G'', and tan δ ; nevertheless, the frequency scan indicated an acceptable stability of the system.



Figure 3. Monitoring elastic modulus (G'), viscous modulus (G"), and tangent delta (tan δ , G"/G') during rheological kinetics of 10% (w/v) MWAX solution gelation without probiotics (G' \bullet , G" \bigcirc , and tan δ x) and containing *Bifidobacterium* (1 × 10⁷ CFU/mL) (G' \blacksquare , G" \square , and tan δ -). Laccase was used as cross-linking agent. Rheological measurements at 25 °C, 0.25 Hz, and 5% strain.



Figure 4. Mechanical spectrum of 10% (w/v) MWAX gel (G' \bullet , G" \bigcirc , and tan δ x) and 10% (w/v) MWAX gel containing *Bifidobacterium* (1 × 10⁷ CFU/mL) (G' \blacksquare , G" \Box , and tan δ -). G' is the elastic modulus, G" is the viscous modulus, and tan δ is the tangent delta (G"/G'). Rheological measurements at 25 °C and 5% strain.

3.3. Structural Parameters

The structural parameters molecular weight between two point cross-links (Mc), cross-linking density (ρ_c) and average mesh size (ξ) in MWAX gels, were calculated from the swelling experiments as reported previously [9]. The equilibrium swelling of MWAX gels at 10% (w/v) was reached between 15 and 20 h presenting a swelling ratio (q, g water/g polymer) value of 49 g water/g MWAX. The swelling capacity of a gel is directly related to the chemical structure and conformation of the polymer as well as the degree of cross-linking of the polymer network [22,23]. In addition, the q value is a measurement inversely proportional to the strength of the polymer gel, where higher q values represent a weak polymeric gel structure with high water absorption. The Mc, ρ_c , and ξ value of the MWAX gel found in the present study are reported in Table 2. The q, Mc, ρ_c , and ξ values found were similar to those reported for other maize AX gels using peroxidase/H₂O₂ or laccase as cross-linking

agent [24]. It has been reported that the characteristic rod or clubbed shape of *Bifidobacterium* varies from 0.5–1.3 μ m × 1.5–8 μ m [26], which is around 11 times higher than the ξ value (413 nm) found for MWAX gels in the present study (Figure 5). This mesh size/bacteria dimensions ratio could explain the MWAX capability to encapsulate *Bifidobacterium* and may protect the contained cell during food processing or gastric conditions. In Figure 6 a section of the MWAX polymer network containing *Bifidobacterium* is shown.

Parameter	Value
Molecular weight between two cross-links, $Mc \times 10^3 \text{ (g/mol)}$	67 ± 0.1
Cross-linking density, $\rho_{\rm c} \times 10^{-4} \ ({\rm mol/cm^3})$	2.11 ± 0.01
Mesh size, ξ (nm)	413 ± 6

Table 2. Structural parameters of 10% (w/v) MWAX gel.



All values are means \pm standard deviation of three repetitions.

Figure 5. Representation of MWAX polymer network section containing Bifidobacterium.

3.4. Microscopy

Figure 6 shows MWAX gels and MWAX gels containing *Bifidobacterium* images before (Figure 6a,c) and after freeze drying (Figure 6b,d). It has been reported that frozen caused the crust formation of the gel [10]. The microstructural characteristics of lyophilized MWAX gel and MWAX gel containing *Bifidobacterium* were analyzed via scanning electron microscopy (SEM) (Figure 7). A marked difference in the microstructure of probiotic encapsulating and non-encapsulating MWAX gels was observed. MWAX gel shows a heterogeneous microstructure resembling an irregular honeycomb, similar to that reported for other maize AX gels (Figure 7a,b). The SEM image of lyophilized MWAX gel containing probiotics shows a mesh-like network through which bacteria are distributed. The micron-sized structures corresponding to Bifidobacteria are indicated in Figure 7b. In the present study, the incorporation of probiotics into the gels was performed under aseptic conditions. Therefore, the only bacteria observed in scanning electron microscopy are *B. longum* and *B. adolescentis*, which come from the reference ATCC cultures used; besides, typical morphology, sizes, and shapes are in agreement with those reported in the literature [26,27].

Bacteria-encapsulating gels appeared to be less porous and present a more homogeneous microstructure than the empty gels (Figure 7c,d). A similar WEAX gel microstructure preservation was reported for *Bifidobacterium longum* entrapped in water extractable AX gels [11]. It has been reported that some bacteria produce extracellular polymeric substances that can inhibit ice recrystallization and protect from low temperature. However, to our knowledge, this kind of behavior has not been reported for *Bifidobacterium*, and complementary research is needed to elucidate the mechanism

of AX gel microstructure preservation by this bacteria. In this regard, it has been suggested that *Bifidobacterium* interacts with polysaccharides such as AX and starch, forming biofilms via bacteria cell surface protein complexes, which include binding proteins and hydrolyzing enzymes [28,29]. The interaction *Bifidobacteria*–AX could be present in the MWAX gel, contributing to the preservation of the gel microstructure. Nevertheless, the evidence reported herein is not sufficient to confirm it.

On the other hand, the lower porosity of *Bifidobacterium*-loaded MWAX gel may be an advantage, as a less porous matrix is more protective to the bacteria. It is important to note that the microstructures observed in a lyophilized gel may be different from those existing in the hydrated gel as three-dimensional structures might be distorted; however, lyophilization allows for the obtainment of fine images of meshwork microstructures.



Figure 6. MWAX gels (**a**,**b**) and MWAX gels containing *Bifidobacterium* (1×10^7 CFU/mL) (**c**,**d**) before (**a**,**c**) and after (**b**,**d**) lyophylization.



Figure 7. Scanning electron microscopy of lyophilized MWAX gels (**a**,**b**) and MWAX gels containing Bifidobacteria (**c**,**d**). Images (**a**) and (**c**) at $1500 \times$; (**b**) and (**d**) at $3500 \times$.

4. Conclusions

Gelling MWAX are able to encapsulate *Bifidobacterium*. Under the conditions used in the present study, the presence of 1×10^7 CFU/mL decreased the gel elasticity by 34%. The average mesh size of

the MWAX gel is around 11 times smaller than the *Bifidobacterium* magnitude reported in the literature, and this may protect the encapsulated cells. The *Bifidobacterium*-loaded MWAX gel microstructure shows a pore-like network through which bacteria are distributed. The probiotic-encapsulating MWAX gels appeared to be less porous than the non-loaded gels. The capability of MWAX to encapsulate *Bifidobacterium* may be useful for the development and production of food or food ingredients containing probiotics. In this regard, MWAX may represent an opportunity in sustainable food waste management and utilization through the upcycling to value-added products.

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