

# Article Multi-Objective Optimization of Integrated Solar-Driven CO<sub>2</sub> Capture System for an Industrial Building

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Abstract: Industrial CO<sub>2</sub> emission, accounting for nearly a quarter of the total CO<sub>2</sub> emission, is a "hard-to-abate" emission sector, owing to the longstanding challenge in reducing CO2 emission while not sacrificing industry economics. Herein, this research proposes an integrated solar-driven CO<sub>2</sub> capture system for application in industrial buildings to decarbonize factories' CO<sub>2</sub>-rich exhaust gas generated from workers or manufacturing processes, and further conducts multi-objective optimization based on the NSGA-II algorithm. By setting the integrated system's performances, including captured CO<sub>2</sub> mass, net levelized CO<sub>2</sub> cost-profit, generated electricity, and exergy efficiency, as the constrained multi-objectives, the effects of system working parameters on them are disentangled and articulated concerning the energy-mass balance principles. Research demonstrates that the captured CO<sub>2</sub> mass mainly depends on solar radiation and sorbent mass, net levelized CO<sub>2</sub> cost on sorbent mass, and exergy efficiency on the total solar input. For capturing the  $CO_2$  from a light-CO<sub>2</sub>-intensity factory with CO<sub>2</sub> partial pressure of 1000 Pa by using 6.0 tons of Zeolite 13X, a CO<sub>2</sub> capacity of 0.79 mol/kg, levelized CO<sub>2</sub> cost of 128.4 USD/ton, and exergy efficiency of 5–10% can be achieved. Furthermore, sensitivity and scenario analysis are conducted to demonstrate the system's stability and feasibility. Overall, this work provides comprehensive and objective-oriented guidance for policymakers and industry owners and paves the way for greening the ever-increasing industry needs.

**Keywords:** building-integrated solar energy; industrial CO<sub>2</sub> capture; multi-objective optimization; NSGA-II algorithm; techno-economic analysis

### 1. Introduction

Accumulated CO<sub>2</sub> emissions adversely impact climate change, causing increasingly frequent and severe natural disasters [1]. In 2021, the natural disaster events amounted to about 343 billion U.S. dollars in loss and 45,000 deaths [2], including floods, hurricanes, earthquakes, and tsunamis. Among 36.3 billion tons of CO<sub>2</sub> emitted in 2021 globally [3], industrial emissions accounted for 22.91% [4], equivalent to 3.5 years of CO<sub>2</sub> absorbed by the global forests [5]. Thus, reducing industrial CO<sub>2</sub> emissions is imperative to reduce global emissions. While policies such as "carbon tax" cannot initially reduce CO<sub>2</sub> generation and might cause global economic volatility [6–8], people try to cleanse carbon-embed manufacturing/production processes or replace carbon-intensive energy sources. However, industrial emission is "hard-to-abate" without harming productivity, owing to irreplaceable high-temperature heat, unavoidable process emission [9] and inescapable "lock-in" emissions of long-lived industrial facilities [10]. Therefore, there is an urgent necessity to explore a new strategy that can effectively reduce industrial CO<sub>2</sub> emissions while not undermining industry economics.

Recent years have witnessed carbon capture, utilization, and storage (CCUS) becoming a critical part of the industrial technology portfolio [9], acting for industrial waste gas treatment. Current research used different energy-driven industrial CO<sub>2</sub> capture. For



**Citation:** Shen, Y.; Yang, H. Multi-Objective Optimization of Integrated Solar-Driven CO<sub>2</sub> Capture System for an Industrial Building. *Sustainability* **2023**, *15*, 526. https:// doi.org/10.3390/su15010526

Academic Editor: Antonio Caggiano

Received: 15 November 2022 Revised: 13 December 2022 Accepted: 21 December 2022 Published: 28 December 2022



**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). example, Rao et al. [11] designed pressure-swing adsorption (PSA) of a  $CO_2$  device to remove the CO<sub>2</sub> from the anode outflow of a solid oxide fuel cell-gas turbine (SOFC-GT), which is fueled by the coke oven gas from the power production process of a steel industry as fuel to generate electricity. Tian et al. [12] proposed an inherent  $CO_2$  emission reduction strategy by forming limestone feedstock by calcium-lopping-based CO<sub>2</sub> capture that takes the  $CO_2$  from  $CO_2$ -rich flue gas, which indicated high cost-effectiveness. Despite the vast potential, current industrial CO<sub>2</sub> capture research that relies on using fossil-intensive energy to support the capture process suffers from potential CO<sub>2</sub>-energy-economic penalty and deep uncertainties of the actual technical and economical performances. It might lead to overestimating issues regarding net CO<sub>2</sub> removal potential and cost-effectiveness. Therefore, fossil fuels should be indispensably replaced by renewable energy at a significant percentage [13,14]. Toward this, exploring a novel renewable energy-driven industrial  $CO_2$  capture system and evaluating its technic-economic feasibility are imperative, yet challenging. The challenge mainly lies in the extra complex energy management problem within the system induced by the temporally and spatially uneven-intermittent nature of solar energy, the degrading, dynamic, and cyclic nature of  $CO_2$  capture process, as well as the variation  $CO_2$  concentration of industrial waste gas. Tackling these research gaps requires all-round understanding of how the system's performances, such as captured  $CO_2$  mass, net levelized  $CO_2$  cost, energy and exergy efficiencies are affected by a wide spectrum of decision parameters. A rigorous and robust evaluation is therefore indispensably needed to unveil the dynamical and intertwined effects of system configurations on the system's techno-economic performances, so as to effectively optimize the energy management strategy for varied scenarios, including different geological sites and different industry types.

Non-dominated sorting genetic algorithm-II (NSGA-II) is a mature, evolutionary, and effective multi-objective optimization (MOO) algorithm [15,16], which utilizes a selection operator that selects the best of a mating pool consisting of the parent and offspring population [17], thus achieving ideal convergence to the true Pareto optimum solutions [18,19]. It has been effectively and popularly used in solving large-scale engineering problems [17,20], such as achieving high CO<sub>2</sub> purity, enhanced recovery rate for pressure-vacuum swing (PVS) CO<sub>2</sub> adsorption process [21–24], thermo-economic optimization for a CO<sub>2</sub> capture integrated system [25] and the balance between emission reduction and profit for a carbon capture and utilization (CCU) system [13]. Overall, the above studies have fully demonstrated the capability of the NSGA-II-MOO algorithm to deal with the aforementioned multi-objective optimization involving multiple parameters.

Sorption-based CO<sub>2</sub> capture is an emerging and commercially available technology of carbon capture, utilization, and storage (CCUS) [26–29]. It is mainly achieved by hightemperature (HT) absorption or low-temperature adsorption [30], both of which include two processes, adsorption/absorption and desorption. During the adsorption/absorption,  $CO_2$  is separated from diluted or concentrated sources and captured by sorbents at a descending speed. Once the sorbents are saturated, the desorption process occurs, during which the sorbent is heated to release the bound  $CO_2$  and thus regenerate the sorbent for the next cycle of sorption. The captured  $CO_2$  can be further converted into highvalue products [31] or sequestered within mineral carbonates [32,33]. Because the  $CO_2$ concentration in light industries' exhaust gas varies from 400–2000 Pa [34], sorption-based  $CO_2$  capture is suitable for dealing with industrial waste gas.

Herein, this research proposed an industrial building-integrated solar-driven  $CO_2$  capture system consisting of a two-chamber adsorption-based carbon capture device (CCA) and rooftop vacuum solar photovoltaic/thermal collectors (PV/T). Here, CCA removes  $CO_2$  from the exhaust  $CO_2$ -rich gas from the factory, generated by either workers' expiratory or the manufacturing process. PV/Ts supply thermal energy to support CCA's desorption process and electricity for factory production, while the collected high-purity  $CO_2$  can be sold to factories as raw material. First, this study presents the time-dependent analysis on both  $CO_2$  uptakes and energy flows to demonstrate the energy-mass transfer pattern and

to ensure that the integration is unobstructed and effective. Then, the integrated system's performances, characterized by captured  $CO_2$  mass, exergy efficiency, generated electricity, and net costs, are closely related to the involved subsystems' working parameters, such as capture air flow rate, adsorbent mass, PV/T area, solar irradiance, and  $CO_2$  concentration in the feed gas. To disentangle and articulate the effects of multiple working parameters on the integrated system's dynamic techno-economic performances, the NSGA-II-based multi-objective optimization method (NSGA-II-MOO) is adopted to find the optimal parameter configurations that can satisfy the constraints on these performances. The remaining sections are structured as follows. Section 2 describes the modeling details of the techno-economic performances of the building-integrated solar photovoltaic/thermal collector system. Section 3 illustrates the dynamic simulation process and optimization framework for the entire system. Section 4 presents the multi-objective optimization of maximizing the  $CO_2$  reduction, energy utilization efficiency minimizing cost concerning the dual effects of crucial system parameters. Section 5 presents the conclusion and outlook.

#### 2. Materials and Methods

This section contains a brief description of the integrated industrial capture scheme and a detailed explanation of the mathematical formulations of each sub-system. The weather data in Hong Kong, including solar irradiance, ambient temperature, and relative humidity, are taken from realistic weather conditions from Energy Plus [35].

#### 2.1. The Overall System

Figure 1 presents a sketch of the solar-driven CO<sub>2</sub> capture system integrated into a factory building for combined  $CO_2$  capture and power production. The proposed system comprises a light/medium industrial building, a mini rooftop solar farm, and an adsorptionbased TSA capture unit. The light/medium industrial building exhausts gases with CO<sub>2</sub> partial pressure between 400 Pa to 2000 Pa [36], generated by workers' expiratory or manufacturing process, whereas the atmospheric CO<sub>2</sub> concentration is only around 40 Pa. Multiple vacuum PV/T devices (shown in Figure 1b), referenced from SunPower X21– 470 series [37] and a company from [38], form the solar field on the roof. They generate electricity and hot water at temperatures between 50 and 100 °C. Hot water with such a temperature is suitable for heating the  $CO_2$  desorption process. The generated electricity can be output to the power grid. Buffer devices, such as hot water tanks, are included for stable energy output. A classic dual-chamber temperature-swing adsorption (TSA) cycle is adopted for  $CO_2$  capture from the factory flue gas [39]. The cycle usually includes three steps: adsorption, desorption, and internal heat exchange between the two chambers. For adsorption, CO<sub>2</sub> from flue gas is selected and bound onto the adsorbent (Zeolite 13X, characteristics obtained from [40]) while other gases flow through the chamber and are discharged into the atmosphere. When the adsorbent approaches a given saturation limit, hot working fluid from thermal collectors flows through the chamber to heat the adsorbent. Once the adsorbent temperature approaches a given desorption temperature slot, the desorption process kicks in. This is when the CO<sub>2</sub> desorbs and gets collected for further factory use. Once the  $CO_2$  concentration at the outlet approaches a given lowest limit, it indicates that the desorption process is completed, so as the other chamber's adsorption process. These two chambers exchange residual heat/cool for precooling/heating at this stage, indicating the end of one cycle.



**Figure 1.** Schematic diagram of the industrial building-integrated solar-driven CO<sub>2</sub> capture system: (a) the energy-mass flow pattern; (b) a detailed portrait of the vacuum PV/T subsystem.

### 2.2. Technical Objectives

Equation (1) is the thermal balance equation of the vacuum PV/T subsystem, based on the Frist thermodynamic law for energy conservation.

$$Q_s = Q_w + P_{PV} + Q_r + Q_c \tag{1}$$

 $Q_s$  is the total solar irradiance incident to the PV panel (W);  $Q_w$  denotes the collected thermal energy by flowing working fluid along the base of the solar panel;  $P_{PV}$  is the generated electricity by PV panel;  $Q_r$  is the radiative heat loss into the sky and outer space;  $Q_C$  is the convective heat loss into the ambient environment;

$$\begin{cases}
Q_s = G \times A_{PV} \times \alpha \\
Q_w = \dot{m}_{fluid} C_{fluid} (T_{out} - T_{in}) \\
P_{PV} = Q_s \times \eta_{ref} \times \left[ 1 - \beta_r \left( T_{PV} - T_{ref} \right) \right] \\
Q_r = \varepsilon_r \sigma A_{PV} \left( T_{PV}^4 - T_{sky}^4 \right) \\
Q_C = h_{air} \times A_{PV} \times (T_{PV} - T_{amb})
\end{cases}$$
(2)

Here, *G* is the total radiation summed by direct normal irradiance (DNI) and diffuse horizontal irradiance (W/m<sup>2</sup>);  $A_{PV}$  defines the PV panel's surface area (m<sup>2</sup>), which is assumed to be equal to the absorber plate's area.  $\alpha$  is the absorptivity of the PV cell ( $\alpha = 0.9$ ).  $\dot{m}_{fluid}$  is the mass flow rate of working fluid, kg/s;  $C_{fluid}$  is the constant pressure specific heat capacity, (J/(kg K);  $T_{out}$  and  $T_{in}$  are the temperatures at the outlet and inlet of the heat exchanger inside the tank, respectively.  $\eta_{ref}$  is the reference efficiency of solar cells at Standard Testing Conditions (21.7%, [37]);  $\beta_r$  is the power temperature coefficient of PV efficiency;  $T_{PV}$  and  $T_{ref}$  are the panel surface area and reference temperature that achieves  $\eta_{ref}$  ( $T_{ref} = 298.15 \text{ K}$ ), separately.  $\varepsilon_r$  is the emissivity factor subject to the entire spectrum;  $\sigma$ is the Stephan–Boltzman constant;  $T_{sky}$  is the sky temperature, obtained from [41];  $h_{air}$  is the convective heat transfer coefficient of air ( $h_{air} = 0$  for vacuum solar thermal collectors;  $T_{amb}$  is the ambient air temperature. The total electricity output  $Z_{ele}$  is the integral of  $P_{PV}$ :

$$Z_{ele} = \int_{t_{min}}^{t_{max}} P_{PV}(t) dt$$
(3)

From which, the electrical efficiency can be obtained:

$$\eta_{ele} = Z_{ele} / \int_{t_{min}}^{t_{max}} Q_s(t) dt \tag{4}$$

Similarly, the thermal efficiency of PV/T can also be evaluated:

$$\eta_{thermal} = \frac{\int_{t_{min}}^{t_{max}} Q_w(t)dt}{\int_{t_{min}}^{t_{max}} Q_s(t)dt}$$
(5)

To calculate the exact values of the above energy sectors, the temperature of PV/T's inlet and outlet should be calculated first as below:

$$\begin{cases} T_{in}(t) = T_{des}(t-1) \\ T_{out} = \frac{1}{2} \times (T_{PV} + T_{in}) \end{cases}$$

$$(6)$$

 $T_{in}$  equals to the desorption temperature from the last time step.  $T_{out}$  is equal to the average value between  $T_{in}$  and the surface temperature of the PV panel  $T_{PV}$  (*K*), which is solved by Quasi–Newtonian solver. Before introducing the thermal equilibrium relationship of the CO<sub>2</sub> capture process, the mass transfer kinetics based on the linear driven force (LDF) model for micropores is first introduced. Each adsorption chamber for the CCA subsystem is filled with Zeolite 13X (whose properties can be referred to the authors' previous work [39,42] and Ben–Mansour et al. [43]), a common adsorbent material with high stability, low adsorption heat, and low cost.

$$Z_{\rm CO_2} = \int_{t_{min}}^{t_{max}} \dot{m}_{\rm CO_2}(t) dt \tag{7}$$

 $Z_{CO_2}$  is the total mass of collected CO<sub>2</sub> in kg;  $\dot{m}_{CO_2}(t)$  is the real-time amount of CO<sub>2</sub> that binds onto the sorbent.

$$\dot{m}_{\rm CO_2}(t) = k_{\rm CO_2} \times (m^*_{\rm CO_2} - m_{\rm CO_2}(t)) \tag{8}$$

 $k_{CO_2}$  is the adsorption time constant of CO<sub>2</sub> ( $s^{-1}$ ), decided by the particle size of the sorbent;  $m^*_{CO_2}$  caps the equilibrium adsorption amount of CO<sub>2</sub> (mol/kg) obtained by the Langmuir adsorption isotherm model:

$$m_{CO_2}^* = m_0 \times \frac{K \times P_{CO_2}}{1 + K \times P_{CO_2}} \tag{9}$$

 $m_0$  is the CO<sub>2</sub> uptake amount that is dependent on the adsorbent's temperature; *K* is the Toth fitting constant obtained from empirical formulas [43,44].  $P_{CO_2}$  is the partial pressure of CO<sub>2</sub> in the feed air (Pa);

$$m_0 = -0.0145 \times T_{CC} + 7.351 \tag{10}$$

$$K = K_0 \times e^{\frac{\Delta H}{RT_{CC}}} \tag{11}$$

 $K_0$  is a constant decided sorbent temperature (Pa<sup>-1</sup>) [45], while  $\triangle H$  is the adsorption heat (J/mol) of CO<sub>2</sub> of 43,000 J/mol from [43];  $T_{CC}$  is the real-time sorbent temperature during adsorption or desorption process. Here, the simulation of mass transfer kinetics

of adsorption/desorption is finished. Other than mass equilibriums, the thermal energy transfer is also simulated and introduced.

$$\left(m_{sor(1/2)}C_{p(sor)} + \sum_{i=1}^{3} \dot{m}_{CO_2}(t)C_{p(CO_2)}\right) \frac{dT_{CC}}{dt} = Q_A + Q_h + Q_{des}$$
(12)

Here,  $m_{sor(1/2)}$  is the adsorbent material mass of each chamber (kg), equal to half of the total sorbent material  $m_{sor}$ ;  $C_{p(sor)}$  and  $C_{p(CO_2)}$  are the specific heat capacity of the sorbent material and CO<sub>2</sub> (J/(kg K)). Water tubes are distributed evenly inside the material for heating when desorption occurs. The two-chamber design and heat exchange concept are adopted to avoid heat waste. During adsorption and desorption, the material undergoes heat transfer due to the isosteric heat  $Q_h$  from the adsorption/desorption reaction and convective heat transfer  $Q_A$  from the flue gas (during absorption) or flowing hot water  $Q_{des}$  (during desorption). Thus, the thermodynamic balance can be expressed as Equation (11) shows. The calculation of the aforementioned thermal sectors is introduced below:

$$\begin{cases}
Q_A = \begin{cases}
h_{air} A_a (T_{ad} - T_{CC}), \text{ adsorption} \\
0 & \text{otherwise}
\end{cases}
\\
Q_h = \sum_{i=1}^{3} \dot{m}_{CO_2}(t) \times \Delta H \\
Q_{des}(t) = \begin{cases}
k_{H_2O} A_p \left( T_{CC(2)}(t) - T_{CC(1)}(t) \right) \text{ Internal Heat exchange} \\
k_{H_2O} A_p (T_{des}(t) - T_{CC}(t)) & \text{Desorption} \\
0 & \text{adsorption}
\end{cases}$$
(13)

Here,  $h_{air}$  is the coefficient of convective heat transfer of the flowing air, which is decided by the airflow speed (in other words, the mass flow rate of the feed air  $\dot{m}_{fe}$ .  $A_a$ is the contact area between the air and adsorbent material (m<sup>2</sup>);  $T_{ad}$  is the adsorption temperature, which in this case, since the feed air is directly the exhaust gas from industries, the adsorption temperature equals the ambient temperature.  $k_{H_2O}$  is the heat transfer between heating fluid and sorbent material (J/(kg K));  $A_p$  is the contact area between the hot fluid pipe and sorbent material (m<sup>2</sup>). The subscripts of 1 and 2 of  $T_{CC}$  are the real-time temperature of chamber 1 and chamber 2, respectively. At this stage, the heat variation of each chamber during the internal heat exchange step can be calculated. During desorption,  $Q_{des}$  is the heat exchange between hot fluid pipes and sorbent materials based on the difference between the targeted desorption temperature  $T_{reg}$  and sorbent temperature  $T_{CC}$ .  $T_{reg}$  is also the temperature of the buffer tank and is calculated as below:

$$T_{des}(t) = T_{des}(t-1) + \frac{(Q_w(t) - Q_{des}(t-1))}{m_{tank} \times C_{H_2O}} \times dt$$
(14)

where  $m_{tank}$  is the water mass inside the heat storage buffer tank (kg);  $C_{H_2O}$  is the specific heat capacity of water (J/(kg K)); As a result, the total thermal energy consumption for supporting the desorption process is integrated by:

$$E_{CO_2} = \int_{t_{min}}^{t_{max}} Q_{des}(t) dt \tag{15}$$

The thermal efficiency  $\eta_{CO_2}$  during the desorption heating process is evaluated as:

$$\eta_{CO_2} = \frac{E_{CO_2}}{E_w} \tag{16}$$

The separation performance of the CO<sub>2</sub> capture process is estimated in the definition of the CO<sub>2</sub> recovery rate  $\gamma_{recover}$ , which is the ratio between the collected CO<sub>2</sub> mole number at the outlet  $n_{out}$ , and the total CO<sub>2</sub> mole number at the inlet that is being treated  $n_{in}$ .

$$\gamma_{recover} = \frac{n_{out}}{n_{in}} \tag{17}$$

To evaluate the practical energy utilization efficiency of the entire system, an exergy efficiency is defined on the entire exergy flow process, covering from the solar input to the actual exergy of the captured  $CO_2$ . The exergy efficiency  $Z_{exe}$  is defined as the ratio of minimum separation work to actual exergy inputs:

$$Z_{exe} = \frac{W_{Min}}{X} \tag{18}$$

 $W_{Min}$  is the minimum power requirement for CO<sub>2</sub> capture as depicted by the Gibbs free minimum energy separation law [46]. Specifically, for any generalized separation process,  $W_{Min}$  is calculated as follows:

$$W_{Min} = T_{a}[n_{out} \sum_{i=1}^{3} y_{out(j)}(t) \log_{e} y_{out(j)}(t) + n_{waste} \sum_{i=1}^{3} y_{waste(j)}(t) \log_{e} y_{waste(j)}(t) - n_{in} \sum_{i=1}^{3} y_{In(j)}(t) \log_{e} y_{In(j)}(t)]$$
(19)

where  $T_a$  is the reference temperature condition (K); *n* is the molar flow rate; *y* is the molar mass fraction. In this formula, in, out, and waste subscripts refer to the inflow flue gas, captured gas sample, and uncaptured gas streams. X is the time-average solar exergy of the total solar exergy input  $X_{Q_s}$ , while  $X_{Q_w}$  is the total collected exergy by flowing working fluid.

$$X_{Q_s} = Q_s \times \left(1 + \frac{1}{3} \times \left(\frac{T_{desorb}}{T_{sun}}\right)^4 - \frac{4}{3} \times \frac{T_{desorb}}{T_{sun}}$$
(20)

$$X = \int_{t_{min}}^{t_{max}} X_{Q_s}(t) dt / (t_{max} - t_{min})$$
(21)

$$X_{Q_w} = \int_{t_{min}}^{t_{max}} Q_w(t) \times \left(1 - \frac{T_{in}(t)}{T_{out}(t)}\right) dt$$
(22)

For a better demonstration, the exergy flow chart of the integrated system is shown in Figure 2. Figure 2 shows the exergy flow pattern throughout the entire system. Before the total exergy successfully reaches the PV/T panel, exergy loss happens due to convective loss, radiative loss, or conductive loss. Then, the useful exergy collected by the PV/T sectors *X* is converted into electricity and thermal energy, respectively. The thermal heat collected is converted into exergy  $X_{Q_w}$ , while during the heating process, another exergy loss happens. The exergy heat loss is the most significant sector of the entire process due to the large sorbent mass to be heated and achieving the desired regeneration temperature. To fairly report an exergy efficiency that elucidates the actual exergy utilization efficiency, this research thus defines the exergy efficiency as the ratio of the minimum separation work of the collected CO<sub>2</sub> exergy  $W_{Min}$  over the total solar input *X*.



Figure 2. Sankey diagram of the Exergy flow in this integrated system.

#### 2.3. Economic Objective

Capital cost, maintenance cost, operation cost, CO<sub>2</sub> product profit, and power production profit form the net present value (NPV, negative for cost, positive for profits).

$$NPV = N_{cost} + N_{profit} \tag{23}$$

The levelized NPV,  $Z_{LCC}$  of the entire system (\$/ton) is calculated as below:

$$Z_{LCC} = NPV / (Z_{CO_2} \times 360 \times 25)$$
<sup>(24)</sup>

Here, the dominator is the total collected  $CO_2$  mass in a 25-year lifespan. Notably, a total 6-day maintenance period is assumed for the system's operation. Therefore, the actual working time of the system per year is 360 days.

$$\begin{cases}
N_{cost} = c_{cap} + \sum_{i=0}^{24} (c_{main} + c_{oper}) \times (1 + R_{inflation})^{i} \\
N_{profit} = \sum_{i=0}^{24} (p_{CO_{2}} + p_{ele}) \times (1 + R_{inflation})^{i}
\end{cases}$$
(25)

When calculating the total cost of the proposed system, three main costs are considered, including the capital cost spent at the very start to purchase all the equipment and material, such as water tanks, fans, pipes, valves, pumps, vacuums, etc., the maintenance cost and the operation cost that mainly includes the cost of electricity consumed. An inflation rate based on the data in the Chinese market is considered, considering most prices of the products are referenced by Chinese markets. For profits, the captured CO<sub>2</sub> can be sold for making value-added chemicals, food, hydrocarbon fuels, etc., while the electricity can be exported and sold to the local grids.  $p_{CO_2}$  and  $p_{ele}$  are the profits made by selling CO<sub>2</sub> and electricity, respectively.

Common CCUS costs 8–205 USD/ton  $CO_2$  [47], while pilot DAC costs 94–232 USD/ton  $CO_2$  [48]. The subsidy for installing solar power is ignored in this cost analysis due to a lack of publicly confirmed and accessible data resources. Table 1 lists the components involved and their detailed costs/profits. During the capture process, the auxiliary systems, such as vacuum pumps, fans, etc., whose electricity consumption from either power grid

is treated as a cost sector, though which can be compensated by the power generated by PV/T in practical scenarios. A power consumption factor of 78 kWh/ton  $CO_2$  is assumed here, combinedly considering the power consumption by blowers and vacuum pumps [49]. It is assumed that there are twice maintenances in each year. During each maintenance, the whole system shuts down for three days and costs 2500 USD. The rooftop PV/T costs 500 USD/m<sup>2</sup>, referenced from [50], plus by installation fee. Another installation fee of 35,000 USD is included for any costs spent during the installation process of the  $CO_2$  capture system. The sorbent, specifically Zeolite 13X, costs 6200 USD/ton [51] and is replaced on a 200-day basis, evaluated based on [52]. For the case with 5.5 tons of sorbent material for each use, it consumes 9.9 tons of sorbents in total per year. All the major auxiliary system costs are also listed in the table, among which most prices are taken from Alibaba.com. It is assumed that they can all survive to the final year without further replacement. If any, it would be digested by the maintenance fee.

**Table 1.** Cost-profit breakdowns of a case study that uses 5.5 tons of sorbent material and 50 m<sup>2</sup> of installed vacuum PV/T area (All the "\$" symbols used in this study are USD).

Item	Cost \$ per Unit	Amount per Year	Total \$
Running cost	0.6	5833 kWh	-105,220
Maintenance	2500	Two times	-150,315
PV/T	500	50 m <sup>2</sup>	-25,000
Zeolite 13X	6200	9.9 ton	-1,845,268
Installation	-35,000	1	-35,000
Tank	600	1	-600
Fan	1,00	10	-1000
Pipe	1,00	10	-1000
Valve	40	10	-400
Others	20,000	1	-20,000
Pump	3500	2	-7000
Heat Exchanger	20,000	1	-20,000
Sell CO <sub>2</sub>	1000	74.7 ton	2,245,848
Sell electricity	0.38	13,413.6 kWh	153,225.2
NPV			188,270.0 \$
Total CO <sub>2</sub>			1867.617 ton
Specific NPV			100.8 \$/ton

The system's profits come from two sectors, PV/Ts' generated electricity and collected high-purity CO<sub>2</sub> (>90%). They can be all either internally used by the factory itself (depending on the exact industry type) or sold to the market directly. The levelized electricity cost is assumed to be 0.16 USD/kWh for Hong Kong or the USA, according to [53]. However, here we also add another 0.44 USD/kWh of the cost that is related to power consumption, imposed by auxiliary uses. On the other hand, the levelized profit for selling electricity is 0.38 USD/kWh, referenced from the second tier of the feed-in tariff scheme in Hong Kong [54]. The levelized profit for selling  $CO_2$  is 1000 USD/ton [55], for which we note that this levelized profit for pure  $CO_2$  varies vastly in different markets, in which 1000 USD/ton is somewhere between the highest of 10,000 USD/ton and the lowest of 600 USD/ton. Taking the case with 5.5 tons of sorbents and 50 m<sup>2</sup> PV/T area as an example, Table 1 highlights that the most significant sector of the cost is sorbent material, which especially requires regular replacement due to its incompatible adsorption capacity after repeated cycles. This case finally captured 1867.6 tons of  $CO_2$  in 25 years, achieving a levelized  $CO_2$ profit of 100.8 USD/ton. We note the other prices for such as pipes, batteries, valves, etc. are from local Chinese markets.

Figure 3 presents a holistic cost analysis of the system. Specifically, Figure 3a is the net cost-profit value based on 25 years of operation, subject to different sorbent mass being used. It is evident that higher sorbent mass decreases the benefits though it can increase the yield of  $CO_2$  mass.  $m_{sor} = 6$  tons is a turning point, indicating that a sorbent mass higher

than 6 tons makes it far more challenging for the system to maintain a profit. Figure 3b compares the share percentage of each cost sector among the total cost. Here, the cost of replacing sorbents from time-to-time accounts for 83.5%, followed by a maintenance fee of 6.8%, operation costs of 4.8%, solar PV/T costs of 2.7%, and other auxiliary costs of 2.3%. The pie chart again highlights the importance of developing durable and robust sorbent material that can avoid frequent replacement to decrease the net CO<sub>2</sub> costs effectively. Figure 3c compares the yearly net cost-profits of two cases with different sorbent mass,  $m_{sor}$ , 5.5 tons and 6.0 tons. Both cases start from negative values, indicating costs. As time goes by, both cases costs more and make profits. However, case  $m_{sor} = 5.5$  t makes more profit than cost after year 2029, while case  $m_{sor} = 6.0$  t fails to achieve a net profit within a 25-year lifespan. They obtain a levelized CO<sub>2</sub> profit of 100.8 USD/ton and a levelized CO<sub>2</sub> costs of -128.4 USD/ton, respectively.



**Figure 3.** Detailed cost-analysis results of the entire system: (a) 25-year cost–profit for different sorbent mass cases with different sectors; (b) breakdowns of the cost sectors in 25-year lifespan; (c) Compare the yearly net cost-profit values for two cases with different sorbent mass.

## 3. Optimization Framework

The methodology adopted in this study to simultaneously obtain high captured  $CO_2$  mass, energy utilization efficiency, and low costs is described in the following flow chart (see Figure 4), whose details are described in the following steps.



**Figure 4.** A flowchart on the overall procedures, from PV/T-CCA real-time simulation to multiobjective optimization outputs.

Step 1: The process starts with the time-dependent simulation of the PV/T-CCA model via a quasi–Newtonian solver, which can solve complex mathematical problems. Weather conditions, including ambient temperature  $T_{amb}$ , solar radiation G, and relative humidity (RH) are considered because they affect solar PV/T's energy conversion performance. Other parameters, such as PV/T area and sorbent mass determining the integrated system's scale, are also considered. Working environmental parameters such as CO<sub>2</sub> concentration and feed speed are also considered. More specifically, the PV/T area and solar radiation directly affect the solar system's electricity/thermal yield, thus deciding the CO<sub>2</sub> capture device's desorption temperature. The above key system parameters are treated as userdefined inputs ( $x_1 \sim x_5$ ): solar irradiance *Irr.*, PV/T area  $A_{PV/T}$ , sorbent mass  $m_{sor}$ , feed  $CO_2$  concentration  $P_{co_2}$ , and mass flow rate of feed air  $\dot{m}_{fe}$ . Notably,  $x_1 \sim x_5$  are not exhaustive system configurations, and other factors, such as hot water flow rates, wind speed, chamber size ratio, etc., which have trivial effects on the systems, are treated as constants. After a 12-day simulation, the corresponding objects are obtained, including total CO<sub>2</sub> mass, levelized CO<sub>2</sub> cost-profit, generated electricity, and exergy efficiency. Then the daily averaged performance of the above objectives is processed through a 25-year lifespan (a normal lifespan for the solar system [56]). The final results are then treated as the inputs of step 2.

Step 2: The mathematical relationships between each objective and the system parameters in step 1 are obtained through MATLAB's Quadratic-based fitting tool. Thus, the objective functions  $Z_i$  (i = 1~4) based on different paired parameters that have direct and closely mutual impacts can be obtained. The summary specifications of each decision parameter from  $x_1$ – $x_5$  and objectives are shown in Table 2. Here, it is noted that "cheapest" and "optimal" are not synonymous because the NSGA-II constraints are defined for all four objectives. It would not automatically prefer the configuration that achieves the lowest costs or highest profits. These objective functions are then utilized in Step 3.

Variables	Description	Lowest Bound	Highest Bound	Units
<i>x</i> <sub>1</sub>	Average hourly solar irradiation, Irr	100	800	W/m <sup>2</sup>
<i>x</i> <sub>2</sub>	$PV/T$ area, $A_{PV/T}$	50	100	m <sup>2</sup>
<i>x</i> <sub>3</sub>	Total sorbent mass, $m_{sor}$	4	8	tons
$x_4$	$CO_2$ partial pressure of the feed air, $P_{CO_2}$	400	1800	Pa
<i>x</i> <sub>5</sub>	The mass flow rate of feed air, $\dot{m}_{fe}$	0.1	0.55	kg/s
Objectives	Description			
Z <sub>1</sub>	Captured $CO_2$ mass in one day			
$Z_2$	Levelized cost of $CO_2$ based on a 25-year lifespan			
$Z_3$	Generated electricity amount in one day			
$Z_4$	Average exergy efficiency in one day			

Table 2. The details of decision parameters and studied objectives (adsorption temperature = 298 K).

Step 3: NSGA-II MOO emulates the natural selection principles in nature and only survives the fittest species for being the next generation. First, the population size, P, is given. NSGA-II takes the fitted functions from the surface fitting process as the objective function Z. The "parents" are being evaluated and ranked, selecting parents to enter the next generation by applying crossover and mutation based on arbitrarily defined constraints. Here, the constraints mainly include:  $|Z_{LCC}| < 300 \text{ USD/ton}, \gamma_{re} > 50\%, Z_{exe} > 4\%$ . Then the "offspring" generated by these parents will be put into a mixing pool with the "parents", and the top populations will then be ranked and selected for the next iteration. Once the population satisfies the given population number, P, the algorithm enters the next iteration until it approaches the given iteration number limit. The algorithm repeats iteration until there are enough populations.

By applying the above steps, it is feasible to explore and unfold the interaction relationship between each parameter and their combined effects on the multi-objective functions of the proposed system. Their co-effects are detailly depicted in the following three subsections. Before entering the results section, a dynamic demonstration of the system's solar energy gains, chamber temperature, and  $CO_2$  uptakes are shown in Figure 5 to uncover the time-dependent working principles behind the entire objective-oriented optimization process. Specifically, the weather data for each month is hourly averaged to form an "average day", meaning that 12 days now represent a whole year variation. As the proposed system's primary energy source, time-varying solar energy input in Figure 5a decides the variation of the proposed system's real-time chamber temperature in Figure 5b and CO<sub>2</sub> uptake amounts in Figure 5c, all of which share similar trends. For example, solar radiation is weaker from February to April in Hong Kong, leading to less heat collected. As a result, the chamber temperature struggles to exceed 40 °C in Figure 5b, the bottom limit of desired regeneration temperature. Yet, according to the linear driven force model, the desorption temperature highly decides the final collected CO<sub>2</sub> amount. Unideal regeneration temperature thus fails to desorb a decent  $CO_2$  amount in Figure 5c, depicted by the narrower shaded area. On the contrary, the solar radiation peaks from June to August, when the collected heat in Figure 5a is abundant to achieve regeneration well above  $60^{\circ}$ C, indicating effective desorption, as depicted in the larger shaded area in Figure 5c. Taking the average performance of the above 12 days, an average day of the entire year is obtained, which is further used in the following long-term simulations.



**Figure 5.** Real-time system performances based on the monthly average weather data in Hong Kong: (a) exergy sectors, including solar exergy input, thermal exergy and electrical exergy; (b) real-time  $CO_2$  uptake amount inside the sorbents for 1/2 chamber; (c) working temperature for 1/2 chamber.

## 4. Results and Discussion

The rooftop PV/T subsystem [42] and  $CO_2$  capture subsystem's breakthrough curve [57] have been validated in the authors' previous work. Furthermore, another validation of the  $CO_2$ 's adsorption isotherm is compared to the experimental data obtained from J. Lee et al. [58] at an adsorption temperature of 293 K. The simulation and experimental data of CO<sub>2</sub> uptake amount against different CO<sub>2</sub> partial pressure  $P/P_0$  are shown in Figure 6a. It can be seen that the  $CO_2$  uptakes results are in good agreement with the experimental data. Notably, a plateau, meaning the equilibrium adsorption capacity, puts a cap for both simulation case and experiment case. Apart from validating the CO2 capture model, this section also provides the average non-domination convergence plot of the NSGA-II iteration process. Specifically, the average fitness value projected to the iteration number is shown in Figure 6b. During non-domination converge process, there is a population of 100 solutions that have met all the constraints being generated. The genetic algorithm converges where the Pareto front is located (average fitness value = 1), which means that all the solutions are in Rank 1. Here, all the obtained solutions cannot further improve any objective's value without making another objective worse than any other solutions [59]. According to Figure 6b, the average fitness value converges towards 1 after 12 iterations, which means that all the solutions obtained after the star-marked final iteration are the desired Pareto front solutions.



**Figure 6.** Validations of the  $CO_2$  capture model and the NSGA-II multi-objective optimization model: (a) Validation of  $CO_2$ 's adsorption isotherm curve (at 293 K); (b) the average non-domination convergence plot of the NSGA-II optimization process at different iteration numbers.

As an integrated system consisting of multiple complex and dynamic models, it is vital to ensure the energy–mass balance relationship between different subsystems to ensure essential performance and avoid energy-mass loss as much as possible. In the results section, the multi-objective optimization results subject to four main objectives are presented in a way that prioritizes the energy-balance principle, which is reflected by the relative relationship between sorbent mass  $m_{sor}$  and the amount of input solar energy, characterized by  $A_{PV/T}$  and Irr. (solar irradiance). Another way that prioritizes the mass-balance principle, which can be reflected by the relative relationship between the mass flow rate of feed air ( $\dot{m}_{fe}$ ) and CO<sub>2</sub> partial pressure ( $P_{CO_2}$ ) in the feed gas.

## 4.1. Optimization Based on the Energy-Balance Principle

PV/T area  $(A_{PV/T})$  and sorbent mass  $(m_{sor})$  act as the energy supplier and consumer, like two ends of the energy seesaw that combinedly decide the supply-demand balance. Therefore, they are good players in deciding the integrated system's energy-balance situation, which is vital for this study's other objectives. If supply/demand vastly exceeds the other one, the balance is broken, resulting in more energy loss (supply >> demand) or high net levelized cost (supply << demand). Through the ranking and selection process of NSGA-II, the optimal four objectives ( $Z_{CO_2}$ ,  $Z_{LCC}$ ,  $Z_{ele}$ ,  $Z_{exe}$ ), subject to dual-variables of  $A_{PV/T}$  and  $m_{sor}$  are obtained and shown in Figure 7 in sequence. Despite that all have satisfied the given constraints, the obtained objectives still vary from each other due to the complex intertwined relationship between  $A_{PV/T}$  and  $m_{sor}$ . Specifically,  $Z_{CO_2}$  is mainly dependent on  $m_{sor}$  and the larger  $m_{sor}$ , the more  $Z_{CO_2}$  can be achieved.  $A_{PV/T}$ , however, has ignorable relations to  $Z_{CO_2}$  if  $m_{sor}$  remains constant. One reason is that that the chosen  $A_{PV/T}$  range here is already screened to satisfy an essential thermal energy input by a beforehand trial-and-error process and the constraints set in the NSGA-II process. Another reason is that a constant  $m_{sor}$  already puts a cap on the CO<sub>2</sub> capacity, while  $A_{PV/T}$  decides how close the actual CO<sub>2</sub> capacity is to the given cap limit. In Figure 7a, when  $m_{sor} = 7.0 t$ , the captured  $Z_{CO_2}$  increases from 210 kg/day to 230 kg/day (equivalent to a capacity of 0.79~0.87 mol/kg, close to that reviewed in [60]—0.75 mol/kg) when the  $A_{PV/T}$  increases from 50  $m^2$  to 100  $m^2$ .



**Figure 7.** Effects of PV/T area  $(A_{PV/T})$  and sorbent mass on the four objective functions, based on 100 selected elements (populations) that fit the constraints: (**a**) effects on captured CO<sub>2</sub> mass,  $Z_{CO_2}$ ; (**b**) effects on levelized CO<sub>2</sub> cost-profit,  $Z_{LCC}$ ; (**c**) effects on generated electricity,  $Z_{ele}$  and (**d**) effects on exergy efficiency,  $Z_{exe}$ .

As shown in Figure 7b,  $Z_{LCC}$  is also majorly decided by  $m_{sor}$  rather than  $A_{PV/T}$ , a finding that can be mirrored in [61,62]. The increase in  $m_{sor}$  would lead to decrease in  $Z_{LCC}$ . For example, when  $m_a$  increases from 4.5 tons to 8.0 tons,  $Z_{LCC}$  becomes profitable (170 USD/ton) from costly (-280 USD/ton), resulting in a variation of 160%. This can be explained by the vast cost of regular replacement of the sorbent owing to the inevitable degradation nature, as detailed in [42], especially for long-term use. Usually, it gets harder and harder to make profits out of this integrated system if  $m_{sor}$  increases. Specifically, when  $m_{sor}$  is higher than 6.0 ton (indicated by the "break-even curve" in Figure 7b), all the  $Z_{LCC}$  are negative values, indicating the costs exceeds profits. In contrast to the relatively negligible contribution to  $Z_{CO_2}$  and  $Z_{LCC}$ ,  $A_{PV/T}$  does play a vital role in  $Z_{ele}$  and  $Z_{exe}$ (Figure 7c,d). It is straightforward that a higher  $A_{PV/T}$  yields more solar thermal/electricity supply  $(Z_{ele})$ . This means that the corresponding supplied exergy gets higher and thus lowering the  $Z_{exe}$  given a constant energy consumer  $m_{sor}$ . For example, for  $m_{sor} = 7.0$  t, as  $A_{PV/T}$  increases from 50 m<sup>2</sup> to 100 m<sup>2</sup>,  $Z_{ele}$  increases from 38 kWh to 70 kWh while  $Z_{exe}$  decreases from 6.9% to 4.0%, showing significant impacts of  $A_{PV/T}$  on  $Z_{ele}$  and  $Z_{exe}$ (Figure 7c,d). Another finding is that larger  $A_{PV/T}$  cases can allow bigger range of  $m_{sor}$ to still achieve the break-even line (Figure 7b). This is because of the plain fact that larger  $A_{PV/T}$  provides more thermal energy for the consumer  $m_{sor}$  to capture sufficient CO<sub>2</sub>, which may increase the profits to compensate for the cost. Based on the above dual effects, the Pareto optimization results achieved the final front to balance the above trade-off.

Figure 8 shows the interaction between solar irradiance (Irr.) and sorbent mass  $m_{sor}$ , considering they, respectively, directly determine the amount of energy supply and consumption, mirroring an energy-balance issue. Unlike  $A_{PV/T}$  deciding the thermal energy amount, Irr. defines the quality of thermal energy, i.e., the regeneration temperature it can achieve. Theoretically, a perfect energy balance between solar irradiance and sorbent mass should minimize energy loss. Any imbalance would cause either low desorption, thus less CO2 collected, or more heat loss and, thus, lower exergy efficiency. Usually, that would present a corresponding higher solar irradiance, and a higher sorbent mass is required (throughout Figure 8a–d). Usually, intense solar irradiance represents a more substantial desorption process, which stimulates the sorbent mass to increase to maintain pleasant CO<sub>2</sub> mass Z<sub>CO2</sub> (Figure 8a) and reasonable exergy efficiency (Figure 8d). However, doing so would inevitably increase the cost (Figure 8b). Notably, according to Figure 8c, for cases with the same *Irr.*, varying  $m_{sor}$  does not contribute to the generated electricity  $Z_{ele}$ , which appears to be exclusively decided by Irr. This also means that the allowed  $m_{sor}$  decreases as solar irradiance increases, constrained by  $Z_{LCC}$  which does not allow a net levelized cost lower than -300 \$/ton.



**Figure 8.** Effects of interaction between hourly solar irradiance and sorbent mass on the 4 objective functions: (a) Effects on captured CO<sub>2</sub> mass,  $Z_{CO_2}$ ; (b) Effects on levelized CO<sub>2</sub> cost-profit,  $Z_{LCC}$ ; (c) Effects on generated electricity,  $Z_{ele}$  and (d) Effects on exergy efficiency,  $Z_{exe}$ .

#### 4.2. Optimization Based on the Mass-Balance Principle

The mass balance of the integrated system emphasizes the gas components at the sorption chamber's inlet and outlet to track the flow of CO<sub>2</sub>. Figure 9 presents the dual effects of mass flow rate ( $\dot{m}_{fe}$ ) and CO<sub>2</sub> partial pressure ( $P_{CO_2}$ ) of the feed gas on the four objectives. A common trend between  $\dot{m}_{fe}$  and  $P_{CO_2}$  for all subfigures, which is an inverse relationship. This means that if one increases, the other has to decrease. The reason is that the multiplication of these two variables is the mass flow rate of  $CO_2$ , indicating the instant CO<sub>2</sub> amount at the inlet to be treated. This value is, however, controlled by the NSGA-II process, so to ensure the other objectives, such as recovery rate (>50%) and exergy efficiency (>4%). However, still, in Figure 9a, for the same mass flow rate of the feed gas  $m_{fe}$ , more CO<sub>2</sub> can be yielded and thus enables the cases jumping over the "break-even curve" in Figure 9b. Intuitively,  $\dot{m}_{fe}$  is not directly related to the amount of generated electricity by PV/T, but in Figure 9c, it has a mild impact on  $Z_{ele}$ . One possible reason is that  $m_{fe}$  affects the adsorption-desorption cycle numbers in a day and thus the PV/T cell temperatures, which has a significant impact on PV/Ts' electrical efficiency. The exergy efficiency of the entire system would depend on the useful yield work  $(W_{min})$ , i.e., the collected CO<sub>2</sub> amount. This explains why the  $Z_{exe}$  in Figure 9d, shares the same distribution pattern as  $Z_{CO_2}$  in Figure 9a, given the same solar thermal energy inputs.



**Figure 9.** Effects of interaction between feed mass flow rate and CO<sub>2</sub> partial pressure on the four objective functions: (a) effects on captured CO<sub>2</sub> mass,  $Z_{CO_2}$ ; (b) effects on levelized CO<sub>2</sub> cost–profit,  $Z_{LCC}$ ; (c) effects on generated electricity,  $Z_{ele}$  and (d) effects on exergy efficiency,  $Z_{exe}$ .

## 4.3. Sensitivity Analysis

A sensitivity evaluation is conducted on 100 optimal cases to elucidate their distribution behavior concerning each goal and thus articulate their impacts on different objectives. To reflect the distribution range, a set of base values of working parameters (shown in Table 3) is chosen here as the benchmark (marked as "1") based on the trial-and-error principle, which forms a five-parameter configuration that yields desirably good performance. Figure 10 describes the respective effects of each operating parameter on the four objectives based on the obtained 100 optimal cases. It can be found that some parameters have sporadic (dispersed distribution) contributions to the final objectives, while others have striking contributions (Vertically compact distribution) to the objectives.

**Table 3.** The base values of each parameter are decided by trial and error, which can be used to define the deviation range of the optimized solutions.



**Figure 10.** Effects of deviation of each working parameter on different objective functions, with *Irr*. deviation results on the first column,  $A_{PV/T}$  on the second column,  $m_a$  on the third column,  $P_{CO_2}$  on the fourth column and  $\dot{m}_{fe}$  on the fifth column. *Deviation* =  $x(1 \sim 4)/x(base)$ .

As shown in Figure 10a, the objective of  $Z_{CO_2}$ , collected CO<sub>2</sub> mass per day, is mainly dependent on the sorbent mass  $m_{sor}$  and hourly average solar irradiance *Irr*. with other parameters having relatively minor influences on it. Either increasing  $m_{sor}$  or *Irr*. leads

to a higher  $Z_{CO_2}$ . This is because the former directly determines the CO<sub>2</sub> uptake capacity per cycle, while the latter plays a key role in the desorption temperature (see Equations (2) and (14)) and thus the amount of desorbed CO<sub>2</sub> (see Equation (11)) per cycle. It also suggests that pursuing a higher  $Z_{CO_2}$  should prioritize regulating  $m_{sor}$  and Irr. Moreover, compared to other parameters,  $m_{sor}$  greatly influences the levelized CO<sub>2</sub> cost-profit of  $Z_{LCC}$  (as shown in Figure 10b): higher  $m_{sor}$  results in smaller  $Z_{LCC}$  and even negative  $Z_{LCC}$ . It originates from the combination of the almost linear increase in the cost and the gradually plateaued profits under a given range of solar irradiance (limited thermal resource for supporting the desorption process).

 $Z_{ele}$  in Figure 10c is greatly decided by *Irr*. and  $A_{PV/T}$ , following a one-way ascending relationship, meaning that higher solar irradiance or larger PV/T area can yield more electricity. Interestingly, unlike  $m_{sor}$  and  $\dot{m}_{fe}$  which barely contributes to  $Z_{ele}$ ,  $m_{sor}$  has a sporadic impact on  $Z_{ele}$ , because it affects the heat removed from the PV/T panel by working fluid, thus affecting the PV/T panels' surface temperature, which thus affects the electrical performance. For the exergy efficiency of the integrated system, from a bottom-up perspective, *Irr*. predominantly affects the exergy efficiency because it is the total exergy input, which is the dominator in Equation (18). Therefore, higher *Irr*. denotes lower  $Z_{exe}$ , as shown in Figure 10d. On the contrary,  $P_{CO_2}$  and  $\dot{m}_{fe}$  defines the nominator of Equation (18), the minimum separation work (Gibbs free energy) for removing CO<sub>2</sub> from the feed air [46], which, however, has been balanced by the NSGA-II algorithm to ensure a reasonable amount of CO<sub>2</sub> input.

#### 4.4. Scenarios Analysis

The sensitivity analysis shows that the variations of  $P_{CO_2}$  and Irr. influence three objectives, namely  $Z_{CO_2}$ ,  $Z_{ele}$  and  $Z_{exe}$ , excluding  $Z_{LCC}$ , which is almost independent of the two parameters. As the two parameters are closely related to the working environments, scenario analysis is further conducted to elucidate the performance of the three objectives under varying CO<sub>2</sub> concentrations and solar irradiance. To better clarify the variations of these objectives, a dimensionless parameter is introduced to describe relative changes in objectives, defined as the ratio of *Z* to the average value of *Z*. On the one hand, focusing on industrial CO<sub>2</sub> emission, the magnitude of CO<sub>2</sub> concentration of the emission gas reflects certainly sized or typed factories.

For example, CO<sub>2</sub> partial pressure from 400 Pa to 500 Pa usually represents poultry farms or busy factory workshops [34,63], while 500~1200 Pa usually refers to craft factories or light industries, such as the electronic industry, and above that represents the mid- CO<sub>2</sub>-intensity industrial process [36], such as automotive industries, pulp and paper mills [64]. As  $P_{CO_2}$  increases from 400 Pa to 1800 Pa, both  $Z_{CO_2}$  and  $Z_{exe}$  increases correspondingly whereas  $Z_{ele}$  keeps almost constant around the average value (Figure 11a). Moreover, craft and small factories can achieve  $Z_{CO_2}$  higher than the average  $Z_{CO_2}$ , as indicated by the ratio for  $Z_{CO_2}$  getting higher than 1 for CO<sub>2</sub> partial pressure larger than 800 Pa. For  $Z_{exe}$ , the exergy efficiency exceeds its average value from CO<sub>2</sub> partial pressure of around 500 Pa.

On the other hand, the hourly average solar irradiance *Irr*. throughout the sunshine hours, usually decreases concerning the region's latitudes. For example, hourly average solar irradiance is from 0.1 kW/m<sup>2</sup> to 0.2 kW/m<sup>2</sup> for the high-latitude region, from 0.2 kW/m<sup>2</sup> to 0.3 kW/m<sup>2</sup> for the middle-latitude region, from 0.3 kW/m<sup>2</sup> to 0.5 kW/m<sup>2</sup> for the low-latitude region, and 0.5 kW/m<sup>2</sup> to 0.8 kW/m<sup>2</sup> for the equator region. As the region becomes closer to the equator, the hourly average solar irradiance increases, leading to higher  $Z_{CO_2}$  and  $Z_{ele}$  but smaller  $Z_{exe}$  (Figure 11b). For example, at hourly average solar irradiance = 0.8 kW/m<sup>2</sup>, the  $Z/Z_{average}$  of  $Z_{CO_2}$ ,  $Z_{ele}$  and  $Z_{exe}$  are 1.05, 2.0 and 0.45, which are 40%, 400% higher, and 75% lower, respectively, than those at *Irr*. = 0.1 kW/m<sup>2</sup>. This is because a higher *Irr*. enables PV/T to produce more thermal energy input that facilitates the desorption process for enhanced CO<sub>2</sub> collection and generates more electricity. Notably, the negative relationship between *Irr*. and  $Z_{exe}$  stems from the faster growth rate of thermal exergy input (X) than the useful separation work ( $W_{min}$ ) reflected by the



amount of collected CO<sub>2</sub>, owing to higher exergy loss during heating adsorbent to a higher regeneration temperature.

**Figure 11.** Variation of objective functions (defined by the ratio of objective value and the average objective value) subject to scenarios with different  $CO_2$  partial pressure and solar irradiance: (a) different  $CO_2$  concentration cases; (b) different solar irradiance cases.

From the above analysis, the dominant factors of sorbent mass  $m_{sor}$ , PV/T area  $A_{PV/T}$  have major effects on the system's CO<sub>2</sub> abatement performance  $Z_{CO_2}$  and generated electricity  $Z_{ele}$ . Hence, this section provides a straightforward performance comparison between two  $P_{CO_2}$  cases and that between two Irr. cases, against different  $m_{sor}$  and  $A_{PV/T}$  values. For instance, Figure 12a shows the optimized  $Z_{CO_2}$  for case  $P_{CO_2} = 500$  Pa and  $P_{CO_2} = 1000$  Pa. These two cases share similar trend that higher  $A_{PV/T}$  provides more power/thermal supply and thus obtains more CO<sub>2</sub>. Specifically, cases  $P_{CO_2} = 1000$  Pa has averagely twice of the  $Z_{CO_2}$  than case  $P_{CO_2} = 500$  Pa. Similarly, when  $P_{CO_2}$  is the same, stronger solar intensity yields more solar energy on the same value of  $A_{PV/T}$ , thus obtains more electricity  $Z_{ele}$ , as shown in Figure 12b.



**Figure 12.** Case studies subject to two CO<sub>2</sub> partial pressure ( $P_{CO_2}$ ) values and 2 different hourly averaged solar irradiation (*Irr*.) values, against  $m_{sor}$  and  $A_{PV/T}$  simultaneously: (**a**) obtained CO<sub>2</sub> mass  $Z_{CO_2}$  for case  $P_{CO_2}$  = 500 Pa and 1000 Pa; (**b**) obtained  $Z_{ele}$  for case *Irr*. = 324 W/m<sup>2</sup> and 500 W/m<sup>2</sup>.

## 5. Conclusions

To lay the groundwork for decarbonizing the industry sector, this research develops and optimizes an industrial building-integrated solar-driven  $CO_2$  capture system based on an NSGA-II multi-objective optimization algorithm. As current methods still adopt carbon-intense energy to support  $CO_2$  capture and merely focus on the optimization of the  $CO_2$  capture subsystem rather than the whole integrated system, this study fills the gap by considering a wide spectrum of decision parameters and unveil their effects on the new whole integrated system's techno-economic performances.

Results show that using 6.0 tons of Zeolite 13X to capture the CO<sub>2</sub> from a light-CO<sub>2</sub>intensity factory with CO<sub>2</sub> partial pressure of 1000 Pa, a CO<sub>2</sub> capacity of 0.79 mol/kg, a levelized CO<sub>2</sub> cost of 128.4 USD/ton (the cost of sorbent material accounts for 83.5% in 25-year lifespan) and exergy efficiency concerning the total solar exergy input of 5–10% can be achieved. This study also disentangles and articulates the impacts of critical parameters on the multi-objectives, including captured CO<sub>2</sub> mass, levelized CO<sub>2</sub> cost-profit, generated electricity, and exergy efficiency. Detailed guidelines of suitable configurations associated with optimal objective-oriented performances are provided for policymakers and industry owners. Specifically, maximizing the captured CO<sub>2</sub> mass requires coordinating solar radiation and sorbent mass to balance the energy supply and demand. Minimizing net levelized CO<sub>2</sub> cost should prioritize reducing sorbent mass yet still meeting the required CO<sub>2</sub> capacity. Achieving maximal exergy efficiency necessitates regulating the total solar input in response to the CO<sub>2</sub> concentration in the feed gas.

Future efforts include conducting a comparative multi-objective analysis that determines the most suitable type of sorbent material that displays high stability, high capacity, and low energy cost.

**Author Contributions:** Conceptualization, methodology, software, writing—original draft, formal analysis, investigation, editing and revising, visualization, writing, and data curation, Y.S.; conceptualization, writing—reviewing, funding acquisition, and supervision, H.Y. All authors have read and agreed to the published version of the manuscript.

**Funding:** Studentship is provided by The Hong Kong Polytechnic University and the research project with Ref. No. H-ZJMR.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

**Acknowledgments:** The authors wish to acknowledge the financial support of the studentship provided by The Hong Kong Polytechnic University and the research project with Ref. No. H-ZJMR.

Conflicts of Interest: The authors declare no conflict of interest.

## Nomenclature

Abbreviations	
DAC	Direct air capture of CO <sub>2</sub>
CCA	Carbon capture by adsorption
LDF	Linear driving force
RH	Relative humidity
NPV	Net present value
Variables	
$A_{PV/T}$	The area of the rooftop evacuated thermal collector (m <sup>2</sup> )
$A_p$	The contact area of water pipes and the adsorbent (m <sup>2</sup> )
A <sub>a</sub>	The contact area between feed air and the adsorbent (m <sup>2</sup> )
$C_{\mathrm{H}_{2}O}$	Specific heat capacity of water at constant pressure $(J/(kg K))$
<i>c<sub>main</sub></i>	The maintenance cost (\$/year)
Coper	The operation cost (\$/year)
$C_{n(A)}$	Specific heat capacity of solid adsorbent $(J/(kg K))$
$C_{n(CO_2)}$	The specific heat capacity of different gas species $(J/(kg K))$
$E_{CO_2}$	The total heat demand for $CO_2$ regeneration (I)
G	The magnitude of the incident radiation $(Wh/m^2)$
h <sub>air</sub>	The convective heat transfer coefficient of air
$k_{\rm H_2O}$	The convective heat transfer coefficient of water
m <sub>sor</sub>	The sorbent mass (kg or ton)
m <sub>fe</sub>	The mass flow rate of the feed air $(kg/s)$
n <sub>in/out</sub>	The molar number of inlet/outlet gas species (mol)
PCO2	The profits from selling collected $CO_2$ (\$/year)
p <sub>ele</sub>	The profits from selling generated electricity (\$/year)
$P_{CO_2}$	The partial pressure of $CO_2$ in the feed air (Pa)
$Q_{des}$	Consumed regeneration heat (W)
Qs	Solar input (W)
$Q_w$	Heat collected by flowing water (W)
$Q_r$	Radiative heat loss (W)
$Q_A$	Convective heat transfer from the flue gas during adsorption (W)
$Q_h$	Isosteric heat from adsorption/desorption reaction (W)
$T_{CC}$	Instantaneous chamber temperature (°C)
$T_{des}$	Desorption temperature ( $^{\circ}$ C)
T <sub>ad</sub>	The given adsorption temperature (°C)
$T_{amb}$	Ambient temperature (°C)
T <sub>in/out</sub>	The inlet/outlet water temperature of the solar thermal collector (°C)
$T_{PV/T}$	The surface temperature of $PV/T$ (°C)
$T_{\rm H_2O}$	The water temperature inside the buffer tank (°C)
W <sub>Min</sub>	Minimum work requirement for CO <sub>2</sub> capture(W)
X	Average solar input exergy
$Z_{CO_2}$	Objective 1: Collected $CO_2$ mass (kg/day)
$Z_{LCC}$	Objective 2: Levelized $CO_2$ cost-profit (\$/ton)
Z <sub>ele</sub>	Objective 3: Generated electricity (kWh/day)
Z <sub>exe</sub>	Objective 4: Exergy efficiency of the entire system

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