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Sensor Data Fusion as an Alternative for Monitoring Chlorate in Electrochlorination Applications

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Abstract: As chlorate concentrations have been found to be harmful to human and animal health, governments are increasingly demanding strict control of the chlorate concentration in drinking water. Since there are no chlorate sensors available, the current solution is sampling and laboratory analysis. This is costly and time consuming. The aim of this work was to investigate Sensor Data Fusion (SDF) as an alternative approach, with a focus on chlorate formation in the electrochlorination process, and design an observer for the real-time estimation of chlorate. The pH, temperature and UV-a absorption were measured in real time. A reduced-order nonlinear model was derived, and it was found to be detectable. An Extended Kalman Filter (EKF), based on this model, was then used to estimate the chlorate formation. The EKF algorithm was verified experimentally and was found to be capable of accurately estimating chlorate concentrations in real time. Electrochlorination is an emerging and efficient method of disinfecting drinking water. Soft sensing of chlorate concentrations, as proposed in this paper, may help to better control and manage the process of electrochlorination.

Keywords: sensor data fusion; electrochlorination; oxychlorides; chlorate; observer; Extended Kalman Filter; soft sensor; monitoring

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1. Introduction

Electrochlorination is a widely used means of disinfecting water, including water that is used for drinking. In this process, a brine is electrolyzed to form hypochlorite and hypochlorous acid, which is then dosed to the water stream that is to be disinfected [1–3]. A critical aspect of this process is that only sodium chloride, water and electricity are needed. This is a significant improvement in safety over traditional chlorine-based disinfection methods, which involves the transportation and storage of liquid chlorine canisters or drums containing a concentrated free chlorine solution [4–7].

In recent years, some of the by-products that stem from chlorine-based disinfection production processes, specifically heavier oxychloride ions such as chlorate and perchlorate, have come under increased scrutiny after research has indicated that these pose a risk to human and animal health [5,8]. In animal-based studies, chlorite and chlorate affect erythrocytes, and chlorate and perchlorate affect the thyroid gland, potentially leading to thyroidal cancer. For humans, the effects on the thyroid gland have mainly led to concerns regarding developmental neurotoxicity [9,10]. In the environment, chlorate and perchlorate are known to affect aquatic ecology, even at low concentrations [11–13]. Unfortunately, these oxychloride ions are difficult to remove from water once formed, and they must therefore be monitored carefully [14,15].

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The World Health Organization has been advocating stringent restrictions on permissible oxychloride ion levels for some time [8], and the European Union recently introduced regulations specifically restricting chlorite and chlorate levels [16]. In addition, the European Commission has adopted a recommendation to monitor perchlorate in drinking water and food [17].

As various governing bodies are implementing such regulations, it is important to know what is feasible in terms of monitoring frequency and accuracy [18]. This may impact the demanded standards for monitoring, and it demonstrates the various means of proving adherence to such demands to the industry.

There are three means of handling the more stringent regulations [18]. First, the electrochlorination product can be sampled regularly and sent to a laboratory for analysis. This has a high associated cost and relatively low sample frequency. In addition, the results are known only after some days, meaning that in situations with little or no product buffer capacity, it is impossible to swiftly respond to a high by-product concentration.

Second, a dedicated sensor could be implemented, which is able to provide readings in real time. For chlorate sensors, research has focused on developing selective electrodes for electrochemical measurement methods [19–23]. Early examples suffered from a narrow pH range and short working life time [20,24]. These aspects have been improved in recent years, and the complexity of production has been brought down, although the working lifetime is still limited in certain cases [19,20]. To the authors' knowledge, these results have not been translated into a commercial product so far.

As alternative approach to real-time chlorate measurement through electrochemical techniques and sensor systems based on Raman radiation have been developed [25]. It is mainly developed for detecting explosive residue in the environment, although use for tap water has been noted as one of the possible applications of this technology.

For chlorite and perchlorate, commercially available sensors already exist [26,27], each based on electrochemical sensing techniques. Currently, especially perchlorate sensor technology is being further developed [28–30]. As with the development of chlorate sensor technology, the focus remains on electrochemical sensing techniques and with effort spent on developing techniques based on Raman radiation as well [31].

As a third option, a soft sensing approach can be taken [32]. In this case, a number of sensors are used in conjunction with a model of the production process to estimate the by-product formation or to increase the accuracy or robustness of such an estimate [18]. If the model combines multiple sensors, the technique is also called Sensor Data Fusion (SDF) [33,34]. In contrast to dedicated oxychloride ion sensors, little research has been done concerning this approach. The closest is the aforementioned research in Raman spectroscopy, as an algorithm is required to estimate the oxychloride ion concentrations from the readings.

A related but more developed application of SDF is the estimation of by-products stemming from the application of chloride-based disinfectants. Though in most works, samples still need to be analyzed to provide the necessary data [35–37], effort is done to progress toward a soft sensor based only on sensor probes that can provide data in real time [38]. Due to the complex nature of the problem, the SDF algorithms are based on advanced regression methods, such as neural networks or support vector machines [36].

The soft sensing approach is promising. It is expected that by incorporating knowledge of the process in which the by-product is formed, a more robust and cost-efficient sensor set may suffice to obtain a sufficiently accurate estimation of the by-product concentration [18]. A downside is that the initial implementation takes more effort and needs to be tailored to the production process.

The present work aims to provide a start to closing the research gap that exists regarding a lack of knowledge related to by-product monitoring through Sensor Data Fusion, specifically for the monitoring of chlorate concentrations formed in the electrochlorination process. If successful, it can provide a solution to the chlorate monitoring need that is more robust and cost-effective than the solutions that are provided thus far. The rel-

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evant processes will be identified, and a state-space model will be presented based on these processes. The model will be analyzed for its observability, and to fuse the sensor data, an observer algorithm will be applied. The resulting SDF algorithm will then be verified experimentally.

2. Materials and Methods

As a first step in developing a chlorate monitoring algorithm, we will introduce a basic, reduced-order model of the electrochlorination process and sensor system and use this for an SDF algorithm based on observer theory. The model will be developed for a laboratory setup, which in turn represents a commercial system but at a much smaller scale. The laboratory setup is shown in Figure 1.

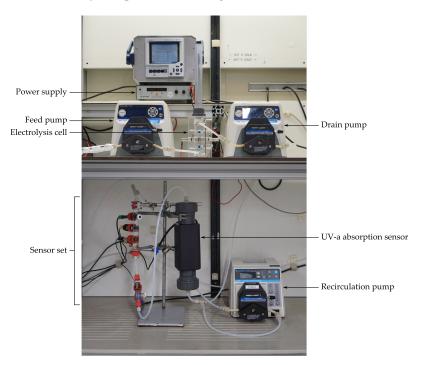


Figure 1. The laboratory electrochlorination setup.

The setup constitutes a small electrolysis cell and a sensor volume, with UV-a absorbance, pH and temperature sensors. The outflow of the sensor volume is rapidly pumped back into the same volume in order to achieve proper mixing while maintaining a rather low flow speed through the cell. A graphical description of the setup is shown in Figure 2.

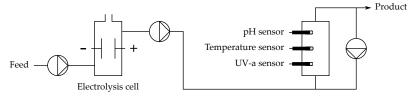


Figure 2. Scheme depicting the laboratory electrochlorination setup.

When a current is applied over the electrodes, the brine feed is electrolyzed mainly into dissolved chlorine gas and hydrogen gas, as follows [3,39,40]:

$$2 \operatorname{Cl}^{-} \longrightarrow \operatorname{Cl}_{2} + 2 \operatorname{e}^{-} \tag{1}$$

$$2H_2O + 2e^- \longrightarrow 2OH^- + H_2 \tag{2}$$

The chlorine gas rapidly reacts with hydroxide to form hypochlorite.

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$$Cl_2 + 2OH^- \longrightarrow Cl^- + ClO^- + H_2O$$
 (3)

The hypochlorite may be protonated, depending on the pH. The equilibrium constant is 2.9×10^{-8} mol/L at 25 °C [41].

$$ClO^- + H^+ \longleftrightarrow HOCl$$
 (4)

The main parasitic reactions are the so-called Foerster reactions, both of which are similar in nature and compete with the chlorine formation in Reaction (1) [42]. Through these reactions, the chlorate is formed as follows:

$$6 \text{CIO}^- + 3 \text{H}_2 \text{O} \longrightarrow 2 \text{CIO}_3^- + 4 \text{CI}^- + 6 \text{H}^+ + \frac{3}{2} \text{O}_2 + 6 \text{e}^-$$
 (5)

$$6 \text{ HClO} + 3 \text{ H}_2 \text{O} \longrightarrow 2 \text{ ClO}_3^- + 4 \text{ Cl}^- + 12 \text{ H}^+ + \frac{3}{2} \text{ O}_2 + 6 \text{ e}^-$$
 (6)

Reactions (1), (2), (5) and (6) each demand energy to occur. A final anodic parasitic reaction, also demanding energy, is that of oxygen evolution [42]:

$$2 H_2 O \longrightarrow O_2 + 4 H^+ + 4 e^-$$
 (7)

The chlorine production, Foerster reactions and oxygen reactions together have been found to account for the full current over the anode [42].

Reactions (5) and (6) indicate that to estimate the chlorate formation, the hypochlorite and hypochlorous acid concentration in the cell must be known. The hypochlorite in the cell volume can be deduced from the free chlorine and pH in the sensor volume, and a model containing the chemical balances and mixing dynamics in the two volumes. Once the chlorate formation in the cell is estimated from the hypochlorite concentration, the chlorate concentration in the outflow can be determined, which is assumed to be equal to the concentration in the sensor volume.

We therefore aim to determine the free chlorine concentration in the sensor volume. The UV-a absorption sensor was chosen to determine the hypochlorite concentration directly, as hypochlorite strongly absorbs light in the UV range [43]. A certain fraction of the free chlorine can be present in the form of hypochlorous acid, depending on the pH of the solution. Therefore, a pH probe was included as well. Finally, especially the pH readings are affected by temperature [44], which necessitates a temperature sensor.

To make a suitable model, careful consideration has to be given to the timescales involved, as they vary widely between the different processes. Extremely fast reactions take place at the electrodes and in restoring the hydroxide–hydronium and hypochlorite–hypochlorous acid balances [45]. Including the dynamics of these processes would yield a so-called "stiff" system that would require long computing times with little to no benefit in accuracy [46,47]. Instead, we will implement quasi-steady state simplifications; that is, we will assume that steady state is immediately reached for the fastest processes.

To handle the hydroxide–hydronium balance, it is convenient to ignore self-ionization and simply base the pH on the hydroxide produced at the electrode. The latter significantly outweighs the hydrogen ions available in the feed to react with hydroxide, with the pH quickly rising above 9 in our experiments. The chlorine gas is assumed to instantly react with hydroxide, as shown in Reaction (3). The hypochlorite–hypochlorous acid balance has been made instantaneous in the model by including the equilibrium reaction explicitly and using that to reduce the model order.

In the medium time scale, which would be in the minute-range, mixing and temperature effects dominate. Mixing is included explicitly, whereas temperature readings are used to directly correct the sensor data of the other two sensors prior to being used by the observer.

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In the long term, being weeks or months, hypochlorate will decompose into chlorite, chlorate and finally perchlorate [45,48]. On the time scales of the experiments and monitoring process, this effect is insignificant and is therefore ignored.

The model thus regards the following effects: hypochlorite and chlorate formation at the electrodes, the hypochlorite–hypochlorous acid balance, and mixing in the cell and sensor volumes. Only the pH and UV-a sensors are explicitly included in the observer, but their readings are temperature-corrected using the temperature sensor. It is assumed that the chlorate formation rate is linearly dependent on the hypochlorite concentration, and it consumes hypochlorite and hypochlorous acid at three times the rate at which chlorate is formed. This corresponds to the experimental results found by Czarnetzki and Janssen [42].

As the goal of this study is to investigate, design and apply a classical observer, the above is implemented as a nonlinear state-space model, as shown in Equations (8) and (9).

$$\frac{dx}{dt} = f(t, x, u, \theta) \tag{8}$$

$$y = g(t, x, \theta) \tag{9}$$

Here, the vector x contains the states, vector u contains the inputs, vector y contains the outputs, and vector θ contains the parameters. Although the function g may also be a function of u, this is not the case here.

We first define the states: x_1 and x_4 are the hypochlorite concentration plus the hypochlorous acid concentration (that is, in this model, the free chlorine) in the cell and sensor set, respectively, x_2 and x_5 are the hydroxide concentration minus the hypochlorous acid concentration in the cell and sensor set, respectively and x_3 and x_6 are the chlorate concentration in the cell and sensor set, respectively. Some of these are combinations of concentrations; this is due to the quasi-steady-state approximation of the hypochlorite-hypochlorous acid reactions. We then implement the following differential state equations:

$$\frac{dx_1}{dt} = -\frac{Q}{V_c}x_1 + \frac{I\eta_{\text{CIO}^-}}{FV_c e_{\text{CIO}^-}} - \nu \frac{I\eta_{\text{CIO}_3^-}}{FV_c e_{\text{CIO}_3^-}} [\text{CIO}^-]_c$$
 (10)

$$\frac{dx_2}{dt} = \frac{Q}{V_c} [OH^-]_{in} - \frac{Q}{V_c} x_2$$
(11)

$$\frac{dx_3}{dt} = -\frac{Q}{V_c}x_3 + \frac{I\eta_{\text{ClO}_3^-}}{FV_c e_{\text{ClO}_3^-}}[\text{ClO}^-]_c$$
(12)

$$\frac{dx_4}{dt} = \frac{Q}{V_s} x_1 - \frac{Q}{V_s} x_4 \tag{13}$$

$$\frac{dx_5}{dt} = \frac{Q}{V_s} x_2 - \frac{Q}{V_s} x_5 \tag{14}$$

$$\frac{dx_6}{dt} = \frac{Q}{V_s} x_3 - \frac{Q}{V_s} x_6 \tag{15}$$

with:

$$x_1 := [\text{ClO}^-]_c + [\text{HClO}]_c \tag{16}$$

$$x_2 := [OH^-]_c - [HClO]_c \tag{17}$$

$$x_3 := [\operatorname{ClO}_3^-]_c \tag{18}$$

$$x_4 := [\mathsf{ClO}^-]_s + [\mathsf{HClO}]_s \tag{19}$$

$$x_5 := [OH^-]_s - [HClO]_s \tag{20}$$

$$x_6 := [\operatorname{ClO}_3^-]_s \tag{21}$$

The hypochlorite concentration in Equations (10) and (12) can be determined as follows:

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$$[ClO^{-}]_{c} = \frac{k_{eq} + 2x_{1} + x_{2} - \sqrt{k_{eq}^{2} + 4k_{eq}x_{1} + 2k_{eq}x_{2} + x_{2}^{2}}}{2}$$
(22)

The derivation has been included in Appendix A. The c and s subscripts denote that the variable in question pertains to the cell or sensor volume, respectively. The static inputs u and parameters θ used are listed in Tables 1 and 2, respectively, along with the value used in both the experiment and the model. The volumes have been measured, and the current efficiencies are based on colorimetry and ion chromatography analysis for the hypochlorite and chlorate, respectively.

Table 1. Model inputs and the (static) quantities used for the simulation and experiment.

Symbol	Description	Quantity	Unit
Q	Flow	9.0×10^{-5}	L/s
I	Current	2.3	C/s
$[OH^-]_{in}$	Hydroxide inflow	1.3×10^{-9}	mol/L

Table 2. Model parameters.

Symbol	Description	Quantity	Unit
F	Faraday constant	96,485	C/mol
V_c	Cell volume	0.105	L
$V_{\scriptscriptstyle S}$	Sensor volume	0.288	L
η_{ClO^-}	ClO ⁻ current efficiency	0.74	-
η_{ClO_3}	ClO ₃ ⁻ current efficiency per ClO ⁻ concentration	0.38	L/mol
e _{ClO} -	Electrons transferred per ClO ⁻ ion produced	2 [39,40]	-
e_{ClO_3}	Electrons transferred per ClO ₃ ion produced	3 [42]	-
k_{eq}	Equilibrium constant ClO ⁻ -HClO balance	2.9×10^{-8} [41]	mol/L
ν	CIO or HCIO to CIO ratio in Reactions (5) and (6)	3 [42]	-

Using derivations similar to Appendix A as well as Equations (19) and (20), we find the following expressions for the outputs *y*:

$$y_1 = [\text{ClO}^-]_s = \frac{k_{eq} + 2x_4 + x_5 - \sqrt{k_{eq}^2 + 4k_{eq}x_4 + 2k_{eq}x_5 + x_5^2}}{2}$$
 (23)

$$y_2 = [OH^-]_s = \frac{-k_{eq} + x_5 + \sqrt{k_{eq}^2 + 4k_{eq}x_4 + 2k_{eq}x_5 + x_5^2}}{2}$$
 (24)

These outputs are compared with the sensor data from the UV-a, pH and temperature sensors. The UV-a sensor provides luminosity data. To find the hypochlorite concentration, the absorbance was calculated from the luminosity data and a linear calibration curve was applied. The pH data have been temperature corrected [44]. The hydroxide concentration was then calculated from the corrected pH.

Observability analysis yields that when linearized, this system is not observable [49,50]. However, it is detectable due to the stability of the system. In practical terms, this means that the convergence rate of the observer will typically be limited for the non-observable states [51]. The time it takes for the algorithm to approach an accurate estimate will be dictated by the dynamics of the model [50].

The Extended Kalman Filter (EKF) is used to finally fuse the available, temperature-corrected sensor readings of UV-a absorbance and pH with the above model, as is shown in Figure 3 [52–54]. The EKF can only be applied to a set of states which is observable, which is the combination of x_1 , x_2 , x_4 and x_5 for this model. For the non-observable states, which regard the chlorate concentrations in the cell and sensor volumes, the Kalman gain is set to zero. The filter's measurement noise covariance matrix R is a diagonal matrix

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with its diagonal elements set to 0.1 mmol² and 100 μ mol², representing the covariance for measurement of for y_1 and y_2 , respectively. The process noise covariance matrix Q has only been given non-zero values on its diagonal, specifically the simulated steady-state values of the corresponding states multiplied with a scaling parameter α , which was given a value of 10^{-4} .

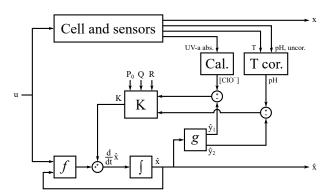


Figure 3. Scheme depicting the algorithm and its interaction with the electrochlorination setup. The 'Cal.' block maps the UV-a absorbance data to hypochlorite concentration, the 'T cor.' block corrects the pH readings for temperature and the 'K' block calculates the Kalman gain.

To estimate the chlorate concentration's standard deviation σ_{ClO_3} , a Monte Carlo analysis has been performed. The observable states are assumed to have a Gaussian distribution, and the EKF's covariance matrix *P* is assumed to be representative for the variances and covariances of the estimates of these states. The assumed probability distributions for the initial conditions of the non-observable states ($x_{3,t=0}$ and $x_{6,t=0}$) and the parameters are given in Table 3, with μ indicating the mean, σ indicating the standard deviation and kand θ being the gamma distribution shape and scale parameters, respectively. The gamma distribution was used because the chlorate concentration states cannot be negative, and they are assumed to have a distribution that is asymmetric at the start of the experiment with lower concentrations being more likely. For $x_{3,t=0}$ and $x_{6,t=0}$, a large initial variance was assumed, as the chlorate concentrations are initially unknown. The volumes V_c and V_s are assumed to be known with a low degree of uncertainty. With the given distribution parameters, there is a 95% chance that the actual value is within $\pm 1\%$ of the nominal value. The efficiencies and equilibrium constant are assumed to be less accurate, with a 95% confidence interval spanning $\pm 10\%$ of the nominal value. The sample size was 100, and a latin hypercube was used for sampling.

Table 3. Probabilit	y distributions used f	for the Monte Carlo an	alysis of the chlorate estimation.
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Model Parameter	Distribution	Distribution Parameters
$x_{3,t=0}$	Gamma	$k = 1, \theta = 2.67 \times 10^{-2} \text{ L/mol}$
$x_{6,t=0}$	Gamma	$k = 1, \theta = 2.67 \times 10^{-2} \text{ L/mol}$
V_c	Normal	$\mu = 0.105 \text{ L}, \sigma = 5.25 \times 10^{-5} \text{ L}$
V_s	Normal	$\mu=0.288$ L, $\sigma=1.44 imes10^{-4}$ L
η _{ClO} -	Normal	$\mu = 0.74, \sigma = 8.82 \times 10^{-2}$
$\eta_{ ext{ClO}_3}$ -	Normal	$\mu = 0.38 \text{L/mol}, \sigma = 6.06 \times 10^{-2} \text{L/mol}$
k_{eq}	Normal	$\mu = 2.9 \times 10^{-8} \text{ mol/L}, \sigma = 1.45 \times 10^{-9} \text{ mol/L}$

We verified the SDF algorithm by running an electrochlorination process for four hours. Prior to the start, the system was filled with feed water, and both pumps were already running. The feed water was a 28 g/L solution of VWR Sodium Chloride \geq 99.0% ACS in demineralized water. At t=0 min, a current-controlled potential was applied to the cell, which caused free chlorine and by-products to be produced.

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The pH sensor was an Atlas Scientific ENV-40-pH probe, and for temperature measurement, an Endress + Hauser Ceragel CPS71D was used. The UV-a absorption sensor was an EasyMeasure prototype, operating at a fixed peak wavelength of 401 nm. The calibration curve for this sensor is shown in Figure 4. All the sensor data were logged every 4.3 s and fed to the SDF algorithm.

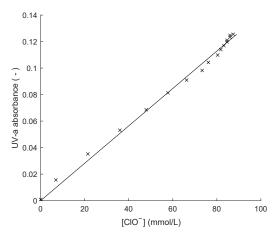


Figure 4. Calibration curve of the UV-a absorption sensor.

To verify the algorithm's estimates, every 15 min, a sample was taken from the outlet. This was analyzed for chlorate and perchlorate via ion chromatography (IC) using a ThermoFischer Dionex IonPac AS22 column. The time samples were also analyzed for free chlorine using colorimetry based on the N,N-diethyl-p-phenylenediamine reagent, as described in Hach document number DOC316.53.01449 [55]. Free chlorine analysis was done directly after the sample was taken. The samples for the IC analysis were stored overnight at 5 °C and were analyzed the following day.

The results of these analyses were then compared with the estimates from the SDF algorithm. For the sake of demonstration, the initial state values of the algorithm were given a large error.

3. Results

Figure 5 shows the results for the hypochlorite plus hypochlorous acid concentration and chlorate concentration. Both the results from the SDF algorithm and the sample analysis are shown. The results regard the concentrations in the sensor volume.

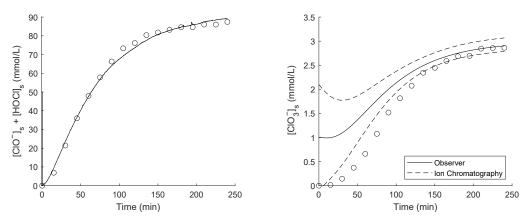


Figure 5. (**Left**) Hypochlorite plus hypochlorous acid concentration in the sensor volume. The circular markers (\circ) are colorimetric measurements. The solid line is the estimate of the SDF algorithm, which is given an initial concentration of 50 mmol/L. (**Right**) Chlorate concentration in the sensor volume. The circular markers (\circ) are ion chromatography measurements. The solid line is the estimate of the SDF algorithm, which is given an initial concentration of 1 mmol/L. The dashed lines denote the 1 σ confidence interval.

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In both cases, the initial error vanishes, and the estimate becomes accurate. The free chlorine estimate converges very rapidly, requiring only 11 s to eliminate the initial error of 50 mmol/L. The chlorate estimate requires a relatively long time to converge, approximately two hours.

It was found that the uncertainty for the free chlorine was very low. Due to the high pH of the process, ClO⁻ constitutes the majority of the free chlorine, and the concentration thereof was measured directly at a high rate and low variance using the UV-a absorption sensor. The calculated uncertainty does depend on the scaling parameter α used for defining the system noise covariance matrix Q. The relation between α and the average standard deviation of free chlorine in the sensor volume $\overline{\sigma}$ is shown in Figure 6. Over the given range, $\overline{\sigma}$ is low regardless of α , as it does not exceed 0.5% of the average free chlorine concentration.

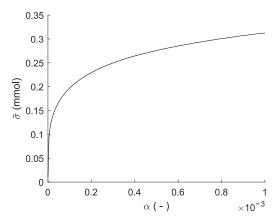


Figure 6. The relation between the process variance scaling parameter α and the average standard deviation of the free chlorine estimate $\overline{\sigma}$.

4. Discussion

The SDF algorithm provides an estimation of the chlorate concentration that becomes accurate over time. Compared to a hypothetical chlorate sensor based on selective electrodes, the present solution is expected to provide a more affordable solution, employing a robust sensor set that should have a longer time between maintenance [18,20]. A downside is that it takes some time for the estimates to converge and the uncertainty to become low, as shown in Figure 5. Based on the Monte Carlo analysis, with large initial error of 1 mmol/L with corresponding uncertainty, the relative uncertainly toward the end of the experiment is higher than that of prototype selective electrodes, with a standard deviation of 5.7% of the estimated value, compared to 3 to 4% for the most recent selective electrodes [19,20].

Compared to laboratory analysis, a major difference is that the SDF algorithm provides data in real time. This enables a quick response to high chlorate levels. In addition, the SDF implementation may reduce the number of samples taken, depending on whether this soft sensor is accepted as proof of adherence to the new regulations.

Even if the presented method is accepted as a means of proving compliance to regulations, this does not fully eliminate the necessity of sampling. Sampling is still required to determine the current efficiencies η_{CIO^-} and $\eta_{\text{CIO}_3^-}$, which are parameters in the model. This relates to a fundamental difficulty in the estimation of by-products through SDF. Namely, that in order to reconstruct a by-product concentration, that concentration must cause a certain measurable change to the system. However, a by-product concentration is typically too low to have such a measurable impact, or it may be difficult to measure in the presence of the much more highly concentrated main product. For example, in the case of electrochlorination, the sodium, chloride and hypochlorite ions overshadow any change in conductivity, so a change in chlorate concentration is difficult to detect using an electrical conductivity probe. Similarly, the hypochlorite and hypochlorous acid redox potential dwarf that of chlorate. The lack of impact of a chlorate concentration on any of

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the modeled processes is why the system as presented is not fully observable. Essentially, the algorithm includes a final, uncorrected prediction step, from the system's observable states to the chlorate concentration. The challenge is to make that step as small and robust as possible.

The current efficiencies are known to correlate nonlinearly to variables such as flow speed, sodium chloride concentration and temperature [1,40,56,57]. Such relations can be integrated into the algorithm. This would mean that the model can remain accurate over a broader set of conditions, which would reduce the need for sample-based calibration. However, in that case, additional sensors are necessary. For example, a conductivity sensor may be used to estimate the NaCl concentration, and a temperature probe may be used to estimate the electrode temperature.

The current robustness of the presented SDF algorithm cannot be determined completely from a single electrochlorination test. Additional verification should be done in order to understand under what conditions the model is sufficiently accurate. These additional tests ought to span the complete set of expected conditions seen in practice. Similarly, a single test cannot determine how often samples should be taken for calibration.

The slow dynamics of the chlorate estimation error implies that sudden changes in chlorate concentration cannot be tracked instantaneously. For monitoring purposes, this is acceptable; after all, the error dynamics are similar to and in fact determined by the system's dynamics [50,51]. The system itself, due to its flow and volume properties, does not allow a quicker transient than can be estimated. This means that once the initial error in the chlorate state is corrected, the estimates should remain accurate in spite of the slow dynamics and are suitable for monitoring for regulatory compliance. In fact, if the initial guess is correct, the estimation of the chlorate concentration at the sensor volume should be accurate throughout. However, since quick changes in the chlorate concentration at the electrodes cannot be estimated using this algorithm, it is not suitable for control at a short time scale. On a longer time scale, the proposed solution could help to achieve process optimization targets, for instance minimizing the production of chlorate or to minimize energy consumption whilst adhering to the regulations regarding chlorate concentrations.

Although the free chlorine dynamics correspond well with the dynamics of the experimental setup, the chlorate dynamics as determined by the ion chromatography are somewhat slower than the model suggests. A possible explanation is that the chlorate production rate depends on the electrode temperature [1,56]. The conducted experiment was done starting with electrodes at room temperature. During the experiment, the temperature of the fluid in the cell increased by 9.7 °C. For the purposes of this algorithm, this means that the electrochlorination system should be at steady state when taking samples to determine the current efficiencies.

In practice, the UV-a absorption, pH and temperature sensors would preferably be integrated in the electrochlorination machine, as the current over the electrodes and the flow through the flow cell need to be known. In addition, placing the sensors close to the outflow of the flow cells would minimize the sensor system's dynamics.

Another practical consideration is that the UV-a absorption sensor will be affected by any turbidity of the inflow. In the algorithm, this will lead to a higher assumed hypochlorite concentration. This may be corrected for by using a differential measurement, that is, measuring the UV-a absorbance of the feed water and using the difference to the UV-a absorbance of the outflow to estimate the hypochlorite concentration. This method does assume that the electrochlorination process does not affect the turbidity. An alternative might be to use light absorbance sensors of different wavelengths to estimate the level of turbidity in the outflow and using that data to correct the UV-a absorbance reading.

A difference from practice is that in industrial implementations, the electrodes are placed in a bath rather than in a forced-flow cell. This implies two differences: in practice, the flow is caused by bubble formation, rather than forced flow, and a portion of the electrolyzed fluid may recirculate back to the electrodes. Since the model already regards the cell as a single, well-mixed volume and the residence time is determined by the flow

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rate, the model is generally appropriate for this situation. However, the verification step differs from practice, since in the experiments conducted for this work, the fluid passed the electrodes only once, by force. It is possible that additional parasitic reactions occur in practice that were not seen in our experiments due to these differences. For instance, chlorite may be formed from the electrolysis of hypochlorite in significant quantities [45,58]. If that proves to be the case, the model may need to be expanded.

Although no perchlorate was found in our experiments, the chlorate estimation method may still be useful for perchlorate monitoring. In practice, the product is stored in a buffer tank for some time, where chlorate slowly reacts with hypochlorite to produce perchlorate [45,48]. To get an accurate estimate of the perchlorate concentration, it is useful to know how much chlorate is entering the buffer tank. This can be calculated on the basis of the SDF algorithm described here.

In a laboratory setting, with a known chemical matrix and parameters, the SDF algorithm accomplished its aim of accurately estimating the chlorate concentration, using a pH, temperature and UV-a absorption sensor. This indicates that should the outlined challenges be overcome, the soft sensing approach is viable and a promising alternative.

5. Conclusions

Due to recent regulatory demands regarding chlorate in drinking water, there is a need to monitor chlorate formed in electrochlorinators. The results of this study demonstrate that Sensor Data Fusion is a viable approach to solving this problem.

For this method, a UV-a absorption sensor, pH probe and temperature probe were used. These are robust sensors based on well-known technologies. To fuse the sensor data, a reduced-order nonlinear state-space model was developed that, under linear analysis, was detectable. An observer based on this model can reduce the error of the unobserved states to zero. In this work, an Extended Kalman Filter was used.

The resulting SDF algorithm was verified through a laboratory experiment. Even with a large initial estimation error, the estimates of the chlorate concentration became accurate over time. A Monte Carlo analysis showed that the standard deviation is comparable to that of selective electrodes.

Future work should focus on estimating the current efficiencies in real time. This is expected to reduce the required calibration frequency.

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Abbreviations

The following abbreviations are used in this manuscript:

EKF Extended Kalman Filter IC Ion Chromatography

MDPI Multidisciplinary Digital Publishing Institute

SDF Sensor Data Fusion

Appendix A. Derivation of the Hypochlorite Concentration

The model described in this article requires the hypochlorite concentration [ClO $^-$] to be known, both in the cell volume and in the sensor volume. This concentration will be derived here for the cell volume based on the states x_1 and x_2 . In a similar fashion, the hypochlorite concentration in the sensor volume can be found as well based on the states x_4 and x_5 .

The hypochlorite and hypochlorous acid concentrations are, under the quasi-steady-state approximation, related as follows:

$$[HOCl]_c = k_{eq} \frac{[ClO^-]_c}{[OH^-]_c}$$
(A1)

The states x_1 and x_2 have been defined as follows:

$$x_1 := [\text{ClO}^-]_c + [\text{HClO}]_c \tag{A2}$$

$$x_2 := [OH^-]_c - [HClO]_c \tag{A3}$$

When inserting Equations (A1) and (A3) in Equation (A2), the following equation can be obtained:

$$[ClO^{-}]_{c} = x_{1} - k_{eq} \frac{[ClO^{-}]_{c}}{x_{1} + x_{2} - [ClO^{-}]_{c}}$$
 (A4)

This equation can be rewritten in quadratic form, as follows.

$$[CIO^{-}]_{c}^{2} - (k_{eq} + 2x_{1} + x_{2})[CIO^{-}]_{c} + x_{1}^{2} + x_{1}x_{2} = 0$$
 (A5)

This can then be solved for $[ClO^-]_c$.

$$[ClO^{-}]_{c} = \frac{k_{eq} + 2x_{1} + x_{2} \pm \sqrt{k_{eq}^{2} + 4k_{eq}x_{1} + 2k_{eq}x_{2} + x_{2}^{2}}}{2}$$
(A6)

Now, we only need to determine whether the plus–minus sign should be a plus or a minus. Since the hypochlorous acid concentration $[HOCI]_c$ cannot be negative, x_1 must be greater than or equal to $[CIO^-]_c$. Therefore, the following inequality must hold:

$$x_1 \ge \frac{k_{eq} + 2x_1 + x_2 \pm \sqrt{k_{eq}^2 + 4k_{eq}x_1 + 2k_{eq}x_2 + x_2^2}}{2}$$
 (A7)

This can be simplified as follows:

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$$k_{eq} + x_2 \pm \sqrt{k_{eq}^2 + 4k_{eq}x_1 + 2k_{eq}x_2 + x_2^2} \le 0$$
 (A8)

In case the plus–minus sign is a plus, and knowing x_1 and k_{eq} must be non-negative, only trivial solutions hold. In case it is a minus, Inequality (A8) holds for all non-negative k_{eq} , all non-negative x_1 , and all x_2 . Therefore, in order for Inequality Equation (A8) to hold, the plus–minus sign must be a minus. This yields the following equation for $[ClO^-]_c$:

$$[CIO^{-}]_{c} = \frac{k_{eq} + 2x_{1} + x_{2} - \sqrt{k_{eq}^{2} + 4k_{eq}x_{1} + 2k_{eq}x_{2} + x_{2}^{2}}}{2}$$
(A9)

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