



Article Minimal Monitoring of Improvements in Energy Performance after Envelope Renovation in Subsidized Single Family Housing in Madrid

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Abstract: This study quantified the improvement in energy efficiency following passive renovation of the thermal envelope in highly inefficient residential complexes on the outskirts of the city of Madrid. A case study was conducted of a single-family terrace housing, representative of the smallest size subsidized dwellings built in Spain for workers in the nineteen fifties and sixties. Two units of similar characteristics, one in its original state and the other renovated, were analyzed in detail against their urban setting with an experimental method proposed hereunder for simplified, minimal monitoring. The dwellings were compared on the grounds of indoor environment quality parameters recorded over a period covering both winter and summer months. That information was supplemented with an analysis of the energy consumption metered. The result was a low-cost, reasonably accurate measure of the improvements gained in the renovated unit. The monitoring output data were entered in a theoretical energy efficiency model for the entire neighborhood to obtain an estimate of the potential for energy savings if the entire urban complex were renovated.



Citation: Martín-Consuegra, F.; de Frutos, F.; Oteiza, I.; Alonso, C.; Frutos, B. Minimal Monitoring of Improvements in Energy Performance after Envelope Renovation in Subsidized Single Family Housing in Madrid. *Sustainability* **2021**, *13*, 235. https://doi.org/10.3390/su13010235

Received: 6 November 2020 Accepted: 23 December 2020 Published: 29 December 2020

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Copyright: © 2020 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/ licenses/by/4.0/). **Keywords:** energy retrofit; envelope renovation; monitoring; performance; consumption; indoor environmental quality

1. Introduction

EU Directive 844 requires countries to 'establish a long-term renovation strategy to support the renovation of the national stock of residential and non-residential buildings [...] into a highly energy efficient and decarbonized building stock by 2050' with the primary goal of hastening cost-effective building renovation [1–3]

Each Member State shall establish a long-term renovation strategy to support the renovation of the national stock of residential and non-residential buildings, both public and private, [...] facilitating the cost-effective transformation of existing buildings into nearly zero-energy buildings. (European Parliament and EU Council, 2010)

The EU's Green Deal [4] also addresses building energy renovation as essential to reaching its decarbonization objectives, which include a clean and fair energy system for all citizens, to the exclusion of none. The 'renovation wave' initiative aims to optimize large-scale building renovation, furthering investment and cost-effectiveness as vectors for economic growth [5].

Spain's long-term strategy for building sector energy renovation (Spanish initials, ERESEE; [6–8], the transposition to national legislation of the aforementioned directives, aims to support the energy renovation of existing buildings and the establishment of a highly energy-efficient, decarbonized building stock by 2050. In southern Europe, despite mild climate conditions, social dwellings are in discomfort and unhealthy conditions during the winter [9]. Improvements on housing construction have a positive impact on comfort and health aspects [10,11].

Based on a study of the existing stock in Spain [12,13], the ERESEE prioritizes action in buildings constructed before the 1979 entry into effect of legislation on thermal conditions in buildings [14], where energy performance is much lower than presently required [15]. Residential energy inefficiency is therefore largely attributable to building envelopes lacking the thermal insulation called for by the legislation presently in effect, as observed in studies conducted on samples of buildings in a number of Spanish cities [16,17]. As a result of the high energy demand generated, minimum comfort standards can only be met with likewise high consumption. Studies conducted in the city of Madrid [18–20] have confirmed that the concomitant energy vulnerability creates a risk of fuel poverty among the occupants of such units.

The building stock is, then, in urgent need of energy renovation, in which passive improvements should be prioritized. Such improvements lower building energy demand directly and while calling for substantial initial investment, can also potentially reduce energy consumption [21] and occupant vulnerability [22–24].

Simulation model (predictive process) assessments of the potential savings in Spain's obsolete building stock based on energy demand calculations have identified pockets of inefficiency and opportunities for savings [25–27]. Those methods have proven to be useful to quantify the energy supply required to meet consumers' basic needs and its pre- and post-renovation affordability [28]. Demand models have been shown to be useful tools for establishing the minimum energy demand necessary to define the values guaranteeing the basic right to energy [29]. Nonetheless, as far as proposals for action in specific buildings or communities are concerned, such methods entail simplifications that introduce considerable uncertainty in the estimates of possible savings [28–30]. The literature identifies a need to develop methods combining predictive processes with empirical measurement and verification [29,30].

One approach to lowering the uncertainty inherent in predictive models involves implementing measurement and verification methods (M + V processes) [30]. Procedures that can supplement such information with 'bottom up' scientific evidence based on empirical data for specific cases are needed to formulate effective policies and design realistic financial instruments [31]. The experimental monitoring of energy-renovated buildings is consequently a priority both to calibrate renovation scenarios and to determine the impact of renovation policies through objective indicators. Such data could then be replicated to support more appropriate public policies [8].

The impact of passive improvements in envelopes has been assessed experimentally by the authors with test modules in studies such as the REFAVIV project [32]. In prior research, the present authors proposed exhaustive methods for monitoring energy consumption and comfort in existing buildings [33], which served to accurately predict the improvements delivered by energy renovation. Other earlier studies included in situ measurement to determine the so-called performance gap between estimated and actual consumption, comparing a renovated dwelling to a unit in its original state [34]. Whilst such studies, which carry high monitoring costs, can be used for detailed scientific analysis of a few specific cases, general, urban-scale renovation calls for low-cost methods to monitor large caseloads with the smallest possible number of variables. Drawing from existing knowledge and to respond to the needs that would be generated with large-scale energy renovation as proposed under the 'renovation wave' [5], minimal, low-cost monitoring methods must be pursued, for the ultimate aim is to assess considerable numbers of buildings and propose renovation operations for whole neighborhoods in keeping with measured and verified findings.

2. Objectives

The present study was conducted to respond to the need to assess the results of incipient passive energy renovation experiences underway in Madrid, presumably to be followed by others in the near future. More specifically, it aimed to empirically and accurately quantify the actual impact of improvements in existing building envelopes. It consequently analyzed the potential for improvement in a residential complex comprising inefficient single-family homes of acknowledged architectural and heritage value.

The potential for savings in the complex as a whole was calibrated by monitoring two real-life terrace (attached) units, both presently occupied. These minimum size dwellings, known in Spain as 'hotelitos' and commonly found on the outskirts of Madrid, are characteristic of the so-called 'poblados dirigidos' or planned communities, a type of subsidized post-war housing built in Spain in the nineteen fifties. This study measured energy efficiency in two very similar terrace houses with practically the same architecture and orientation and located in separate but analogous rows in the same residential development. One stood as originally built whereas the other had been recently upgraded with external insulation and new windows.

The aims were to supplement the information on residential energy needs as calculated with theoretical demand models via experimental assessment and determine the potential for improvement by calibrating existing models. In the understanding that such calibration would involve large-scale monitoring, a minimal monitoring protocol was applied to advance toward simplified methodologies that would shed light on the actual level of thermal comfort prevailing in the residential sector and its cost in terms of energy consumption.

The protocol was designed to assess habitability and environmental comfort defined in terms of temperature, humidity, and air quality by monitoring with minimal resources. The information gathered in the two units studied was compared to the electricity and natural gas consumption metered in the same period and itemized on the respective energy bills.

3. Case Study

The housing analyzed is located in a planned community in Madrid's Fuencarral district, one of the best conserved examples of developments constructed in the city in the nineteen fifties (Figures 1 and 2). These developments were among the first in Spain to design inexpensive housing to Modernist ideals. The complex at issue, authored by José Luis Romany in 1958 and built in 1959–1960, stood as proof that the city could accommodate new, rationalist, low-cost architecture:

Romany was entrusted with the design and construction of this planned community, located adjacent to two prior developments, Fuencarral A and B, designed respectively by Francisco Javier Sáenz de Oíza and Alejandro de la Sota. The idea was to build a neighborhood with a blend of low rises and single-family terrace units. [35]



Figure 1. Original complex (source: [35]).



Figure 2. Complex in its present state (delimited by the authors).

Further to that mixed development approach, the community consists of two-storey single-family homes and low-rise detached apartment buildings. The two building types specifically envisaged were two-storey terrace units with a yard and four-storey low rises. DOCOMOMO (Documentation and Conservation of Buildings, Sites and Neighborhoods of the Modernist Movement) has listed the complex as 'the modern dwelling' in acknowl-edgement of its architectural and heritage value [36].

This study analyzed the single-family units in the complex (Figure 3). The original design stretched the space available to the limit to fit a third bedroom on the upper storey. The ground storey houses the kitchen, living-dining room, and a small vestibule, whilst three bedrooms and the bathroom are located on the upper storey. The original materials, brick for the bearing walls and (uninsulated) enclosures, concrete joists for the structural ceiling/floor, and fiber-cement for the double-pitched roof, have been replaced in some of the homes in the complex.

Two single-family terrace units (dwellings A and B) were monitored. One (dwelling A—DWG A) had undergone no variation since it was originally built. The other (dwelling B—DWG B), in contrast, had been upgraded with passive improvements in the envelope consisting in thermally insulating the opaque areas and replacing the glazing and frames in the openings (Figure 4). Both units are located at the northern edge of the respective row with façades facing east and west. In other words, both have three façades in contact with the outdoor air and a party wall in contact with the adjacent dwelling, assumed to be adiabatic in the energy model. Two dwellings in the same position and with the same orientation and urban characteristics were chosen to ensure comparability and reduce to a minimum the number of variables affecting their energy performance. Both are supplied with electricity as well as natural gas, which powers a boiler providing domestic hot water and heating. This study did not address the changes in indoor layout, focusing rather on the composition of the thermal envelope.



Figure 3. Plan and elevation views of original proposal (source: [37]). Location of the sensors.



Figure 4. From left to right: (**a**) Housing as originally built (DWG A), (**b**) renovated housing (DWG B), and (**c**) complex analyzed (authors' formulation).

Dwelling A has undergone no substantial change that might affect passive thermal performance. It has no thermal insulation in any of the envelope elements. It is fitted with electrically-powered air conditioning both in the ground storey living room and the master bedroom on the upper storey.

Dwelling B has recently been upgraded via passive energy renovation consisting in the installation, in July and August 2018, of insulation on all four terrace houses on the row where it is located. Post-renovation monitoring was conducted here to determine the energy factors affecting the quality of the indoor environment in the two units. Dwelling B retrofitting consisted in the following:

- application of an external thermal insulation composite system (ETICS) to the façades, including 100 mm thick rock wool thermal insulation with thermal resistance $\lambda = 0.036$ W/mK;
- replacement of (fiber cement) roofing with (unspecified) 100 mm thermal insulation sandwiched between steel panels;
- replacement of window frames with new aluminum joinery featuring a thermal break;
- installation of low emissivity, 4/16/4 glazing with thermal transmittance (U-value), 1.1 W/m²K, and solar factor (g) 42.8 %.

The thermal specifications affecting the units' passive energy performance, including thermal envelope geometry and construction, are summarized in Table 1.

Table 1. Variables affecting energy performance of original and retrofitted housing (authors' formulation).

Variable	ORIGINAL (DWG A)	RETROFITTED (DWG B)
Year built/renovated	1965 *	2017
Gross floor area (m ²)	79.44 *	79.44 *
Net floor area (m^2)	78 *	78 *
Roof area (m^2)	39.72 *	39.72 *
Area of floor in contact with the soil (m ²)	39.72 *	39.72 *
Number of storeys	2 *	2 *
East façade area (m ²)	25.65 **	25.65 **
West façade area (m ²)	25.65 **	25.65 **
North façade area (m ²)	54.6 **	54.6 **
Gross façade area (m ²)	105.9	105.9
Area of openings (m^2)	11.6 ***	11.86 ***
Net façade area (m ²)	94.3 *	94.04 *
Percentage of openings	12.3%	12.6%
Total envelope area (m ²)	185.34	185.34
COMPACTNESS RATIO (m ³ /m ²)	1.3	1.3
Roof u-value $(W/(m^2 K))$	2.4 **	0.31 ****
Floor u-value $(W/(m^2 K))$	1 **	1 **
Façade u-value $(W/(m^2 K))$	1.55**	0.3 ****
Opening u-value $(W/(m^2 K))$	3.52 **	1.5 ****
Overall energy loss rate $(W/(m^2 K))$	1.8	0.5

* Cadastre data; ** Demand-based model data; *** Data measured in situ; **** Renovation design data.

4. Methodology

The two aforementioned similar dwellings (dwellings A, original condition; and B, retrofitted) were chosen for study and comparison. The working method deployed consisted in the following three stages.

- The occupants were surveyed to determine their energy habits and define the monitoring strategy.
- Data were collected in situ, including:
 - a monitoring campaign to establish the quality of the indoor environment in the two dwellings, conducted under the same outdoor weather conditions in the same months, which covered both the heating and the cooling seasons.
 - energy consumption data as metered.
- The final stage consisted in comparing consumption as estimated by a simulation model for the urban complex to the data gathered in situ from the dwellings studied.

The urban model used was based on estimated heating demand and a certain amount of energy for 'other purposes' (DHW, cooling, kitchen, lightning, and appliances). It was calibrated as proposed here, i.e., on the grounds of the consumption data recorded and a critical analysis of the habitability monitoring records.

Determining the quality of the indoor environment, the energy consumption recorded by the meters and the estimates delivered by a theoretical model involved analysis of the items listed in Table 2.

Step in the methodology	Addressed variable	Scope
1- HABITABILITY MONITORING Sensors	Comfort: - Temperature (°C) - Relative humidity (%) Air quality: - CO ₂ (ppm)	Case studies Dwellings A and B
2- CONSUMPTION Metered	Natural gas (kWh) - Heating - DHW Electricity (kWh) - Other purposes (cooling, kitchen, lighting, appliances)	Case studies Dwellings A and B
3- SIMULATION MODEL	Theoretical consumption - Heating energy demand - Energy for 'other purposes'	Urban complex
4- ANALYSIS/CALIBRATION	Assessment: - Theoretical consumption - Consumption metered - Habitability conditions	Urban complex

 Table 2. Outline of methodology deployed.

The data were processed with software written in Python downloaded under an open access licence from the Python Software Foundation website. The data processing flow consisted, in synthesis, in:

- downloading information;
- information cleansing and standardization;
- data visualization.

4.1. User Survey

In their critical review of the methods deployed to assess passive energy renovation of occupied residential buildings, [38] advised of the difficulties inherent in separating the effects of renovation from other factors, such as indoor environment quality, building characteristics, weather conditions, and user-related issues [39]. The users of the two dwellings chosen for the case study were surveyed to determine the factors relating energy consumption to dwelling characteristics and use [40], including:

- data on the dwelling: floor area and age, ownership, and general questions about construction and window type;
- data on the household: number of inhabitants, mean occupancy, ventilation habits, and heating element use; winter- and summer-time comfort in the home; improvements and dwelling-scale energy saving measures adopted;
- data on DHW, heating and cooling, energy sources, and respective facilities;
- data from electricity and gas bills, request for one electricity and one gas bill for each dwelling to determine the uniform network supply point code (Spanish initials, CUPS);
- compilation of technical information on dwelling B energy renovation.

This qualitative information was instrumental to designing quantitative data collection (indoor environmental data and energy consumption) and analyzing the findings [41].

4.2. Indoor Environment Quality Monitoring

The quality of the indoor environment was determined by monitoring and analyzing the temperature (°C), relative humidity (%), and CO₂ concentration (ppm) over approximately 9 months (Table 3). Indoor CO₂ concentration over and above the outdoor value is widely used to assess the air quality perceived [42–44]. Standards ISO 17772, EN 16798, EN 15251, ASHRAE 62.1, and ISHRAE 10 0 01 recommend different CO₂ ceilings relative to the environmental level [38]. Here, the criterion used to assess air quality in the dwellings monitored was as set out in Spanish legislation on residential buildings [45]: *"Habitable rooms in dwellings must be provided a sufficient flow of outside air to ensure that the mean yearly* CO_2 concentration is under 900 ppm and the cumulative yearly concentration in excess of 1600 ppm is less than 500,000 ppm·h".

Variable	Value
CO ₂ measuring range	0–2000 ppm (2001 ppm to 9999 ppm outside specified range)
Precision of CO ₂ measurements	$50 \text{ ppm} \pm 5 \%$
Resolution	1 ppm (0 ppm to 100 ppm)
Measuring technology	NDIR (non-dispersive infrared) sensors
Temperature range	−10 °C to +60 °C
Precision	±0.6 °C
Resolution	0.1 °C
Relative humidity	5 % to 95 %
Precision	10 % to 90 % (at 25 $^{\circ}$ C \pm 3 % or \pm 5 %)
Resolution	0.1 %
Memory	5300 series of values
Data items accommodated	Up to 16 000
	3/10/30 s
Measuring interval	1/3/10/30 min
Ū.	1/3/4 h
Power supply	5 V/0.5 A AC mains connector
Dimensions	$120 imes 100 imes 110 \ { m mm}$

Table 3. Technical specifications of the datalogger used (source: [46]).

The dwellings were monitored from 26/11/2018 to 08/08/2019, deeming as full months 01/12/2018 to 31/07/2019. Readings were taken every 30 min and averaged hourly to ensure reading uniformity throughout. The four Wöhler CDL 210 compact infrared dataloggers used recorded temperature, relative humidity, and CO₂ concentration.

The same two rooms were monitored in dwellings A and B: the ground storey livingdining room and the largest of the upper storey bedrooms. The location of the sensors has been indicated in Figure 3.

4.3. Energy Consumption Quantification

According to the literature, lowering residential consumption may reduce nationwide carbon emissions significantly [47]. Analyses of final residential sector energy demand suggest that the determinants are primarily associated with household characteristics (socio-economic circumstances) and dwelling energy efficiency (building specifications). The former impact electric power consumption directly and the latter largely determine heating energy consumption [48]. Inasmuch as the starting hypothesis for this study was that passive envelope renovation improves energy efficiency, inducing substantially lowering energy consumption for heating, the two factors were analyzed separately.

Energy consumption was determined from the information recorded in the smart meters installed in the two dwellings and compared to energy use reported by occupants in their replies to the surveys. To reduce the number of variables affecting energy behavior, the criterion for choosing the units studied consisted in ensuring that they used the same type of energy (natural gas/electricity) and heating facility. In this study, the information on energy consumption was drawn from natural gas bills, associated with heating, and electricity bills, for all other purposes. Monthly records on electricity consumption were available for 07/2017 to 01/2020 and on natural gas for 12/2017 to 02/2020.

The units' consumption histories could be accessed with their respective uniform network supply point codes (CUPS), although as that information is confidential, its release is subject to the consent of invoice recipients. Details of the methodology used are described in an earlier paper [49].

Consumption was established in kWh with variable (approximately monthly) start and end dates as follows.

- Consumption was distributed uniformly across the number of days specified on the invoice.
- Those values were then summed and regrouped monthly.
- The first and last periods were disregarded, for they did not normally consist in full months.
- Mean monthly and yearly values were computed for each dwelling.

Pre- and post-renovation energy consumption was found for dwelling B and compared to outdoor temperatures during each period, bearing in mind that indoor environment quality was monitored in the months following renovation.

4.4. Weather Data

The mean monthly outdoor temperatures (°C) for the years 2015 to 2019 were downloaded from the Retiro-Madrid meteo station website (http://www.aemet.es).

Other weather data for areas closer to the dwellings were also used, such as the information gathered from the Habita_RES project meteo station (FroggitWH3000) which kept records on the microclimate in the vicinity [50]. Whilst that meteo station recorded temperature every 10 min since 10/01/2019, the data from that date through the end of the monitoring period were averaged hourly.

4.5. Data for the Urban Analysis

This section describes a theoretical model (calibrated with the empirical data recorded for the dwellings monitored) for estimating the potential energy savings associated with passive renovation of the entire complex.

The theoretical estimates applied were found with a model that predicted demand in the urban complex by processing cadastral data on envelope geometry, orientation, and construction characteristics [51]. The model was based on the simplified calculation of heating energy demand in each building in the complex as set out in international standard [52]. The information on the mean energy consumed per dwelling for purposes 'other than' heating was drawn from official estimates for the average dwelling located in regions of Spain with a continental Mediterranean climate [53,54].

The theoretical consumption model was (coarsely) calibrated with data metered in the two case studies (original state dwg A and retrofitted dwg B), assuming similar energy patterns for the rest of the dwellings in the complex. The findings on consumption in the complex as a whole were generalized to define two scenarios: present and retrofitted.

Consumption was matched to the habitability data monitored (verification). The results of that procedure served as grounds for a series of considerations around comfort standards and indoor environment quality and their effect on energy consumption.

The data for the residential complex where the monitored dwellings are located were drawn from the following sources:

- digital aerial ortho-photographs forming part of the National Geographic Institute's Plan for Land Use Observation [55];
- digital cartography published by the Cadastre's virtual office [56];
- 'Metodología de Evaluación de Pérdidas Energéticas' [methodology for assessing energy loss (Spanish initials, MEPEC)], a tool for estimating heating energy demand developed under the Habita_RES project [51];
- monitoring data records and consumption metered in the two units.

5. Results and Discussion

The findings for the two dwellings studied are described below, including the information collected with the surveys, the indoor environment monitoring records, and the energy consumption data metered. That description is followed by a discussion of the results delivered by the predictive model for the complex as a whole and of the subsequent extrapolation of the case study findings, likewise to the complex.

5.1. User Surveys

The information obtained from the surveys answered by dwelling occupants prior to monitoring are summarized in Table 4. Some of the data required to implement monitoring were drawn from these surveys. In both units, heating and domestic hot water were sourced from a dwelling-specific, natural gas-powered boiler, whilst electricity was used for all other purposes.

	Table 4	. Survey	inform	nation.
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Variable	DWG A (ORIGINAL)	DWG B (RETROFITTED)
Tenure	Ownership	Ownership
Occupancy during the period analyzed	2	4/3
Report summertime comfort	Yes	Yes
Report winter time comfort	No	No
Heating powered by	Natural gas	Natural gas
Heating target temperature (°C)	20	18.5
DHW powered by	Natural gas	Natural gas
Cooling powered by	Electricity	-
Ventilation	None reported	30 min daily, in the morning
Maximum demand contracted (kW)	5	3.45
Lighting	LED	LED and low power

5.2. Indoor Environment Quality

The findings for the variables monitored (T, H, and CO_2) are given on three scales: for the entire period monitored, for two representative weeks (one in winter and the other in summer), and mean daily profiles.

5.2.1. Environmental Comfort: Temperature (T °C)

All the temperatures recorded during the monitoring period are graphed in Figure 5: by the half-hour (thin grey lines) showing variation in outdoor T throughout the day and daily indoor mean values (thicker red and blue lines). The pie charts illustrate the percentage of time temperatures lay within the comfort ranges defined in Spanish legislation [15]: in winter 20 to 17 °C and in summer 27 to 25 °C. Dwelling B (retrofitted) remained within the comfort zone for a higher percentage of the time in winter, dipping below 17 °C in just 5% of the hours, compared to the nearly 30% monitored in dwelling A (original). The conditions recorded in the summer months were similar for the two units, with temperatures over 27 °C 5% of the time in the retrofitted and 6% in the non-renovated dwelling.

The temperatures graphed in Figure 6 for a winter week (Monday through Sunday, 21/01/2019 to 28/01/2019) show narrower variation in the retrofitted dwelling, as expected, given its thermally insulated envelope. In addition, the declines in temperature occasioned in all likelihood by ventilation were quickly reversed in the retrofitted dwelling, probably due to the release into the indoor environment of the heat stored in the building's thermal mass.



Figure 5. Mean daily indoor and half-hourly outdoor temperatures (°C) for the entire monitoring period and percentage of readings in dwellings A and B within the reference temperature ranges set out in Spanish legislation.



Figure 6. Mean hourly indoor and outdoor temperatures (°C) in a winter week (Monday through Sunday, 21/01/2019–28/01/2019).

Monitoring summer performance is also important to study the overheating risk [40]. According to the temperatures recorded in a summertime week (Monday through Sunday, 15/07/2019 to 22/07/2019; Figure 7), the decline in indoor temperature in dwelling A induced by cooling was quickly reversed when the units were switched off. The data also attest to overnight ventilation-induced lower temperatures in retrofitted dwelling B, where despite the lack of air conditioning, the temperatures were generally lower than in dwelling A [57].



Figure 7. Mean hourly outdoor and indoor temperatures (°C) in a summer week (Monday through Sunday, 15/07/2019–22/07/2019).

Figure 8, in turn, graphs the mean hour-by-hour temperatures in winter and summer. The mean values lay within the comfort limit all day in the renovated dwelling, whereas in the original state unit the night time temperature dropped to <17 °C and remained below that lower limit until early afternoon.



Figure 8. Winter time and summer time temperature profiles (hourly means).

The summertime curves were nearly identical with the exception of the hours from 1:00 and 6:00 a.m., when the retrofitted dwelling benefited from ventilation. In winter, the temperature was over 1 °C lower in the original than the renovated dwelling, even though the target temperature was 1.5 °C higher in the former (20 °C) than in the latter (18.5 °C).

5.2.2. Environmental Comfort: Relative Humidity (%)

All the relative humidity records are graphed in Figure 9: outdoor RH by the half-hour (thin grey lines) and indoor monitored values by the hour (thicker red and blue lines). The legal upper and lower limits, 70% in winter and 30% in summer, are likewise indicated (horizontal lines). Relative humidity was higher in retrofitted dwelling B than in original state dwelling A throughout most of the period monitored in both summer and winter.



Figure 9. Mean daily indoor and half-hourly outdoor relative humidity (%) readings for the entire monitoring period and percentage of readings within the relative humidity ranges set out in Spanish legislation.

The relative humidity values measured in the two dwellings lay within the recommended limits practically throughout, although the retrofitted unit had more wintertime episodes of HR higher than the 70% ceiling, very likely as a result of greater post-renovation window air-tightness.

5.2.3. Air Quality

The CO_2 readings are plotted in Figure 10, with the outdoor half-hour values in grey and daily indoor means in red and blue. The legal reference values are also shown (horizontal dotted and solid lines). The data in Table 5 refer to the entire 8-month monitoring period (12/2018–07/2019).



Figure 10. Mean daily CO₂ (ppm) data for the entire monitoring period.

Table 5. CO₂ findings for the period monitored.

	DWG A-ORIGINAL	DWG B-RETROFITTED
Mean	616.04	1081.46
Cumulative	39,898.5	2,608,737.5

Mean hourly air quality data (CO₂ ppm) for a winter week (Monday through Sunday, 21/01/2019-28/01/2019) are graphed in Figure 11 and for a summer week (15/07/2019-22/07/2019) in Figure 12. The daily air quality (CO₂ ppm) profiles in summer and winter are plotted in Figure 13.

4000





Figure 11. Mean hourly CO₂ (ppm) readings in a winter week (Monday through Sunday, 21/01/2019–28/01/2019).



Figure 12. Mean hourly CO₂ (ppm) readings for a summer week (Monday through Sunday, 15/07/2019–22/07/2019).



Figure 13. Daily winter and summer air quality (CO₂ ppm) profiles (hourly means).

More episodes of high CO₂ concentrations were recorded in winter than in summer. Retrofitted dwelling B exhibited a mean of over 1600 ppm, an indication of the effect of that unit's enhanced air-tightness. Wintertime values of over 1600 ppm were rarely recorded in the original state dwelling. The explanation may lie in its lower occupancy (two people, both absent from home during working hours) and scant air-tightness, even with the windows closed. Original state dwelling A had more episodes of ppm >1600 than dwelling B in the summer months due to the use of air conditioning in the absence of a flow of outside air. Those episodes were recorded at night, the same timeframe when ventilation lowered CO₂ concentration substantially in retrofitted dwelling B. These results support the evidence that ventilation is also an important issue to take into account in retrofitting strategies, as increasing air tightness reduces air infiltration, and hence reduces also indoor pollutants release [58–61].

5.3. Power Consumption

Table 6 summarizes the monthly power consumption metered in the two dwellings, further to the respective energy bills. Original and retrofitted unit yearly consumption was estimated as the sum of the monthly means, as described in Section 4.3 (Table 7). The electricity and natural gas consumption values metered are analyzed below.

		DWELLING A			DWELLING B	
Date	Electricity (kWh)	Natural Gas (kWh)	State	Electricity (kWh)	Natural Gas (kWh)	State
2017-07			Original	90.09		Original
2017-08			Original	108.04		Original
2017-09	106.42		Original	154.86		Original
2017-10	105.26		Original	166.49		Original
2017-11	111.57		Original	172.29		Original
2017-12	148.11	223	Original	217.61		Original
2018-01	126.95	768.11	Original	181.01	1345.4	Original
2018-02	139.43	609.55	Original	157.16	1147.1	Original
2018-03	177.46	245.9	Original	182.37	1081.5	Original
2018-04	171.38	238	Original	160.78	848.89	Original
2018-05	162.76	246.06	Original	141.83	205.86	Original
2018-06	156.32	237.9	Original	129.09	180.45	Original
2018 07	157 14	244 22	Original	101 26	60.27	Retrofitting
2010-07	137.14	244.33	Oligiliai	101.30	00.37	underway
2018 08	162.62	244 33	Original	126 21	60.37	Retrofitting
2010-00	102.02	244.00	Oligiliai	120.21	00.57	underway
2018-09	118.46	238.5	Original	129.72	124.65	Retrofitted
2018-10	143.18	247.51	Original	113.77	163.02	Retrofitted
2018-11	229.17	698.81	Original	114.17	421.6	Retrofitted
2018-12	252.2	1038.5	Original	127.85	518.63	Retrofitted
2019-01	207.23	720.08	Original	113.92	592.44	Retrofitted
2019-02	120.38	492.21	Original	99.68	562.37	Retrofitted
2019-03	126.34	469.93	Original	103.63	414.33	Retrofitted
2019-04	118.63	425.08	Original	107.22	318.5	Retrofitted
2019-05	115.78	337.04	Original	114.85	241.48	Retrofitted
2019-06	120.77	254.74	Original	99.7	204.19	Retrofitted
2019-07	179.97	109.08	Original	98.37	112.13	Retrofitted
2019-08	148	46.02	Original	92.8	71.69	Retrofitted
2019-09	129.03	167.99	Original	90.11	36.1	Retrofitted
2019-10	142.78	247.46	Original	94.56	17.4	Retrofitted
2019-11	130.66	651.85	Original	96.72	370.88	Retrofitted
2019-12	155.02	803.26	Original	98.12	494.59	Retrofitted
2020-01	137.66	1094.37	Original	104.84	625.18	Retrofitted
2020-02		1248.04	Original		662	Retrofitted

 Table 6. Monthly energy consumption metered in the case study dwellings.

Table 7. Yearly consumption (kWh) estimated from monthly means metered in the two dwellings

	DWG A DWG B						
State Original Original		iginal	Retrofitted				
N	Ionth	Electricity (kWh)	Natural Gas (kWh)	Electricity (kWh)	Natural Gas (kWh)	Electricity (kWh)	Natural Gas (kWh)
01	January	157	861	181	1345	109	609
02	February	130	783	157	1147	100	612
03	March	152	358	182	1082	104	414
04	April	145	332	161	849	107	319
05	May	139	292	142	206	115	241
06	June	139	246	129	180	100	204
07	July	169	177	96	60	98	112
08	August	155	145	117	60	93	72
09	Septembe	r 118	203	155		110	80
10	October	130	247	166		104	90
11	Novembe	r 157	675	172		105	396
12	December	: 185	688	218		113	507
	Total	1776	5008	1876	4930	1258	3657

5.3.1. Natural Gas Consumption

Natural gas consumption covered heating and domestic hot water only, for kitchen appliances were electrically powered in both dwellings. Summertime consumption was for DHW only, while the winter values referred to both uses.

As retrofitting was conducted in the summer of 2018 (grey shaded period in Figure 14), gas consumption for the winter prior to renovation could be compared to performance after envelope improvement. Data were available for two winters subsequent to renovation. In the first year (prior to retrofitting), dwelling B consumed more energy than dwelling A, perhaps due to a need for greater comfort in the former, some of whose occupants were children, than in the latter, occupied by two professionals who spent much of the day away from home with the heating off.



Figure 14. Monthly natural gas consumption, 12/2017–02/2020.

5.3.2. Electric Power Consumption

Lower electricity consumption after than before envelope renovation in dwelling B was related to occupancy, which declined from four people to three. That confirmed the substantial impact of household size on electric power consumption (Figure 15).

5.4. Urban Analysis

The urban energy performance model used was based on the calculation of heating energy demand for the entire complex analyzed, broken down building-by-building. The data were then compared to the consumption metered in the two case studies and nationwide mean values.

5.4.1. Heating Demand for the Complex

Heating energy demand was estimated for all 68 units in the complex on the grounds of geometry, orientation, and construction characteristics (Figure 16) (ISO 13790, 2008). Total demand for the complex as a whole amounted to 535 881 kWh/year, with a yearly mean per square meter of 100.2 kWh.



Figure 15. Monthly electric power consumption, 07/2017–01/2020.



Figure 16. Heating demand for 68 original state single-family units (authors' formulation).

A comparison of demand per square meter to compactness ratio served as grounds for establishing a number of details on urban morphology. Three patterns of energy performance were observed in the complex (Figure 17). The 44 units with two party walls exhibited a higher compactness ratio $(1.6 \text{ m}^3/\text{m}^2 \text{ to } 1.8 \text{ m}^3/\text{m}^2)$, which translated to yearly energy needs of 84 to 98 kWh/m² (mean, 92.3 kWh/m²). The 24 buildings located at the ends of rows, characterized by a lower compactness ratio $(1.2 \text{ m}^3/\text{m}^2 \text{ to } 1.3 \text{ m}^3/\text{m}^2)$, behaved in keeping with one of two energy demand patterns depending on whether they were located at the northern (133 to 142 kWh/m²) or southern (97.73 to 106.10 kWh/m²) end of the row. The units facing south could offset the energy loss associated with a larger envelope area by more effectively capturing passive solar radiation. The dwellings monitored in this study, located at the northern end of the respective row, exhibited the highest heating energy needs in the entire complex, with a yearly total of 10,437 kWh (133 kWh/m²).



Figure 17. Variation in heating demand (kWh/m2) with compactness ratio (m^3/m^2) .

The simplified estimate of the energy balance for calculating heating demand in an original and retrofitted state dwelling graphed in Figure 18 illustrates the substantial impact of energy loss due to transmission across the envelope on total demand.



Figure 18. Wintertime energy balance for original and retrofitted state, dwelling B (kWh year).

5.4.2. Total Consumption in the Urban Complex

This section compares the consumption estimated by the theoretical model to the values actually metered in the dwellings monitored in this study.

Earlier studies showed that consumption attributable to heating in an average dwelling located in Spain's continental climate zone accounts for 55 % of the total, estimated as 15,119 kWh/year [53]. According to a study conducted under project SPAHOUSEC II [54],

mean yearly natural gas consumption for single-family units in the continental climate zone amounts to 13,862, 6463 kWh of which are used for heating, 3947 kWh for DHW and 1134 for kitchen appliances. The dwellings sampled for the SPAHOUSEC II report had a mean floor area of 132.1 m², whereas the area of the homes analyzed in this case study was approximately 79 m² [56]. Values were consequently calculated per square meter for reasons of comparability (Table 8). A rough estimate of actual consumption in the residential complex as a whole was found by extrapolating the invoice data for the dwellings metered to all the other units.

Table 8. Consumption in two dwellings studied and nationwide reference value for continental climate zone.

	DWG A	DWG B		Average DWG *
	Original	Not Retrofitted	Retrofitted	SPAHOUSEC I and II
Gas consumption (kWh)	$4\ 983\ ^{1}$	6 130 ¹	3 478 ¹	13 862 ²
Electricity consumption (kWh)	$1\ 462\ ^{1}$	$1\ 838\ ^{1}$	1 238 ¹	-
TOTAL FEC (kWh)	6445^{1}	7 968 ¹	4716^{1}	15 119 ²
Total heating (55 % of overall total) (kWh)	3 545 ³	4 382 ³	2 594 ³	8 315 ²
Gas per unit of area (kWh/m^2)	63.1 ¹	77.6 ¹	44.0^{1}	105.0 ²
Electricity per unit of area (kWh/m^2)	18.5^{1}	23.3 ¹	15.7 ¹	-
TOTAL per unit of area (kWh/m^2)	81.6 ¹	100.9^{1}	59.7 ¹	114.5 ²
Heating per unit of area (55 %) (kWh/m ²)	44.9 ³	55.5 ³	32.8 ³	63.0 ²

* The SPAHOUSEC values refer to units with a mean area of 132 m² and include kitchen appliance consumption in the total for natural gas. ¹ Smart meter data for dwellings A and B; ² Nationwide reference value; ³ Estimated from demand calculations.

The mean monthly distribution of energy consumption metered in the dwellings studied here is graphed in Figure 19. The findings, which are not statistically significant (the mean is based on the 28-month period for which metered data were available), were used to calculate possible actual consumption in the complex as a whole.



Figure 19. Yearly natural gas consumption profile for two dwellings (monthly means for monitored data).

Extrapolating the findings for the reduction in heating-induced consumption in the dwellings monitored to the rest of the complex yielded a rough estimate of the reduction in consumption that would be observed if the envelopes of all the units in the complex were

renovated. That calculation assumed the same energy sources for the same purposes in all the dwellings and a mean occupancy of 2.5 people. On those grounds and given a total yearly consumption of 371,447 kWh, envelope renovation was estimated to save 151,663 kWh/year or 38% of the total energy supplied (Table 9).

Table 9. Extrapolation of savings in retrofitted dwelling to urban complex as a whole.

Complex	North (12 Units)	Intermediate (12 Units)	South (12 Units)	TOTAL (68 Units)
Compactness ratio (m^3/m^2)	1.3	1.7	1.3	1.6
Htng demand/unit area (kWh/m ²)	133	92	101	100
Relative to dwellings monitored	100%	69%	76%	75%
-	ORIGIN	AL STATE-baseline scenario		
Gas consumption	5556			
Electricity consumption	1650			
TOTAL consumption (kWh)	7206	4985	5472	371,477
Heating consumption (55 %) (kWh)	3963	2742	3010	204,313
	RETROFIT	TED STATE-improved scenario		
Gas consumption	3153			
Electricity consumption	1111			
TOTAL consumption	4264	2950	3238	219,814
Heating consumption	2345	1622	781	120,898
Savings (kWh/year)				151,663

Energy demand estimates, at least for the two dwellings analyzed, were much higher than the consumption metered. That development, known as the performance gap and possibly due to a number of factors [34], is being studied on the urban scale as part of the Habita_RES project [50] described in [62]. The present study showed that the target temperatures used in the dwellings studied were lower than defined by the existing legislation to estimate energy demand [52,63]. The inference is that occupants are presumably cutting energy consumption back substantially by lowering their comfort levels to below the values envisaged in the legislation.

6. Conclusions

Substantial improvement in energy consumption was observed in the retrofitted dwelling, in particular as respects natural gas, which declined by 43%. Where the electric power consumed for 'other purposes' was included, the urban model developed envisaged 38% energy savings for the entire complex in the event all the units' envelopes were similarly renovated.

Energy consumption estimated with theoretical models cannot be compared to the energy actually metered without factoring in habitability conditions, including environmental comfort and air quality. Information on indoor environment quality can only be gathered by monitoring dwellings for a sufficiently long period of time, which must cover both summer and winter. Information on case study consumption, in contrast, can be drawn from smart meter data histories. Smart meter information is highly useful to researchers to establish and encourage the widespread use of a minimal, lower cost monitoring protocol. Such information is not readily accessible to Spanish researchers, however, despite the growing deployment of smart meters across the EU. On the one hand, smart meters are not yet in place in all dwellings, (although installation is proceeding at a good pace and will be completed in the near future). On the other, researchers require occupants' authorization to use their private data, which not all users are willing to grant. If consumption by all the dwellings in the complex studied here could be accessed, estimates of possible savings would be much more accurate, for a profile could be charted of all the units analyzed, delivering mean consumption numbers much closer to the actual values.

A comparison of the consumption data metered to the theoretical baseline consumption (calculated by estimating heating demand) suggested that the dwellings are consuming less energy than calculated by the models developed further to the standards presently in place. Indoor environment quality monitoring showed that the conditions prevailing in these dwellings do not meet the comfort levels defined in the existing legislation.

Analyzing indoor environment quality is vital to assessing the impact of energy renovation. IEQ parameter monitoring proved that the summer and winter temperatures improved in the retrofitted dwelling, where energy consumption declined. Relative humidity was found to be higher in the renovated than in the original state dwelling, particularly in winter, while it nonetheless remained within acceptable limits most of the time. Air quality declined, however, very likely as a result of enhanced post-renovation window air-tightness. Air quality in the renovated dwelling was not only lower than in the nonrenovated unit, but exceeded the limits of CO₂ concentration allowed by the existing legislation. Winter time ventilation would need to be increased in the renovated dwelling. This finding is highlighted as a fact to be borne in mind in future energy renovation projects. The findings suggest that ventilation must be broached in conjunction with other energy parameters. One of the possible solutions would be to practise more generous natural ventilation in the winter. More detailed study would be needed to determine the number of air exchanges required and the impact on indoor temperature. More generous natural ventilation practice should be defined or forced ventilation included in the Heating Ventilation and Air Conditioning (HVAC) systems to guarantee the air flows required to meet existing air quality standards. Such systems could include heat exchangers to minimize air replenishment-induced energy exchange with the outdoor air. Dwelling B was found to benefit from effective night time ventilation in the summer, both lowering the temperature around the clock and enhancing air quality. That suggests the need to further such good bioclimatic practice among the population at large.

The minimal monitoring method developed to conduct this study proved to suffice to assess the impact of energy renovation on both energy consumption and indoor environment quality in two case studies. For dwellings with different types of energy or heating and DHW facilities, the method would have to be adapted to ensure comparability by factoring in consumption attributable to each use.

This case study of single-family units confirmed that investment in the envelope can lower residential sector primary energy consumption substantially. Heating was found to account for the highest percentage of consumption. Passive renovation exhibits considerable potential for lowering energy consumption and should be prioritized in the pursuit of the EU's decarbonation objectives for the building stock.

Author Contributions: Conceptualization: F.M.-C. and C.A.; Methodology: F.M.-C. and F.d.F.; Software: F.d.F.; Validation: I.O. and B.F.; Investigation: F.M.-C. and F.d.F.; Data curation: F.M.-C., I.O. and F.d.F.; Writing: F.M.-C. and F.d.F.; Review and Editing: I.O. and B.F.; Project administration: I.O. All authors have read and agreed to the published version of the manuscript.

Funding: This study was funded by the Spanish Ministry of the Economy's National Programme for R&D + I Geared to Societal Challenges under projects BIA2017-83231-C2-1-R 'Nueva herramienta integrada de evaluación para áreas urbanas vulnerables. Hacia la autosuficiencia energética y a favor de un modelo de habitabilidad biosaludable' and 'Habita_RES-(2018–2021)'.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Acknowledgments: Dwelling occupants' selfless cooperation, authorization to access their homes for monitoring and consent to share their energy consumption data are gratefully acknowledged. Vigilancia Energética, a Spanish energy management company, are thanked for their collaboration in obtaining the consumption data. Thanks are also due to the Spanish Meteorological Agency for providing open access to their data.

Conflicts of Interest: The authors declare no conflict of interest.

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