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Spatio-Temporal Reorganization of Cropland Development in Central Asia during the Post-Soviet Era: A Sustainable Implication in Kazakhstan

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Received: 20 September 2018; Accepted: 30 October 2018; Published: 5 November 2018



Abstract: The abandonment and cultivation of croplands in the Eurasian Steppe has become the focus of global and regional food security and agricultural policy-making. A large area of cropland in some post-Soviet countries has proven to be abandoned with the disintegration of the Soviet Union; however, it is unclear as to whether Kazakhstan also experienced a similar change as one of the main food providers for the former Soviet Union. In this study, we used the annual land cover dataset (1992–2015) from the European Space Agency, Climate Change Initiative (ESA-CCI) to detect spatio-temporal characteristics of rainfed and irrigated cropland changes in Kazakhstan. The Mann–Kendall test and regime shift analysis showed that rainfed and irrigated cropland at national level had a significant increasing trend with a significant rising up to 1999 and stagnation during 2000–2015, which was further confirmed with analysis at 14 regions. The greatest contributor to rainfed and irrigated croplands was steppes, followed by shrinkage of water bodies since 2005 to a great extent, rapid urbanization process resulted in losses of a part of irrigated oases. The trend surface analysis indicated that reorganized stable pattern characterized by rainfed cropland in north and irrigated cropland in south was driven by the strategy of the gradual agricultural development of oases. The nonexistence of cycle between the abandonment and recultivation proved that newly-gained cropland from steppe may be less degraded and more productive for sustainable land use in Kazakhstan. In conclusion, this study can provide strong evidence for sustainable land use and a basis for food security policy-making in Kazakhstan, and even all of the Central Asian countries in the future.

Keywords: rainfed and irrigated cropland; steppe; spatio-temporal pattern; abandonment and recultivation cycle; sustainable cropland development; post-Soviet era

1. Introduction

The Eurasian Steppe has historically served as the home for pastoral nomads [1–3]. Since the last Ice Age, this large inland area had been disturbed by the encroachment of sedentary civilization, which was accompanied by intensified grazing and cropland cultivation [4,5]; however, this change was gradual and fluctuated. Drastic changes started at the beginning of the 20th century when increasingly larger areas of the Eurasian Steppe became parts of communist states, especially the former Soviet Union [6–8], and peaked between the 1950s and 1960s with the Virgin Land Program in the former Soviet Union [9] and the Great Leap Campaign in China [10]. These newly rising



governments centralized landownership, land management, and production plans, which implied or explicitly aimed at ending nomadism in the steppe [6]. A very large steppe area was exploited for agricultural production, and the steppe region as a whole had become the food base of Russia, Europe and China [11–13]. Another radical change occurred following the collapse of the former Soviet Union in 1991, when large tracts of government-controlled cultivated land were returned to herders or farmers. This soon led to fallow and the abandonment of a large area of plowed steppe because there was a lack of mechanical means and laborers; therefore, traditional culture and the secondary steppes regenerated [14,15]. Shortly thereafter, frantic production reemerged, and the re-plowing of the secondary steppes began, which was particularly intense in some post-Soviet countries [16,17].

As the world's largest inland countries, Kazakhstan had been an Autonomous Socialist Republic within the USSR for almost 70 years [18]. Kazakhstan was proud of her agricultural production and was well-known as the breadbasket of Eastern Europe and Central Asia [19,20], and especially Russia's agricultural crutch [21]. After achieving its independence in December 1991, Kazakhstan's agricultural policy underwent some changes [22,23]; however, whether Kazakhstan had also experienced changes similar to other post-Soviet countries in the Eurasian Steppe [24] is unknown [25,26]. As an arid landlocked country, Kazakhstan's agricultural system, which mainly included irrigated cropland and rainfed cropland, was very vulnerable to water resources influenced by climate change [27–29]. Therefore, understanding cropland change trajectories is helpful in the formulation of positive and effective agricultural policy to ensure the dual goals of sustainable management for food security and responsible water resource use in Kazakhstan [30–32].

In our study, on the basis of reclassified Kazakhstan land cover data from the ESA-CCI land cover dataset, we analyzed the spatio-temporal pattern change of rainfed and irrigated cropland from national and regional levels and from the whole study period and five subperiods (SPs). We attempted to address the following three research questions: (1) Have rainfed and irrigated cropland areas been significantly expanding or shrinking in the post-Soviet era? (2) From what type of land cover was cropland gained, and what is the spatial distribution pattern of these croplands? (3) Does a large area of abandonment and recultivation cycle exist? The results of this study will answer if land cover change in the post-Soviet era forms a stable and sustainable pattern, which is useful to develop scientific land use and food security policies in Kazakhstan and all of Central Asia.

2. Materials and Methods

2.1. Study Area

Kazakhstan is located in the interior of the Eurasian landmass, about 2500 km from the closest ocean. The total area of the territory is 2.6 million km² covering latitudes from approximately 40° N to 55° N and longitudes from 50° E to 85° E, bordering Turkmenistan, Uzbekistan, Kyrgyzstan in the south, Russia in the north, China in the east, and the Caspian Sea in the west (Figure 1) [18,33].

The dominant land features are the Caspian Sea in western Kazakhstan, an arid to semiarid low-lying plain in most of west-central Kazakhstan, and deserts interrupted by occasional green oases in the south-central border area. High, rugged, and heavily glaciated mountains exist in Southeastern Kazakhstan, including the Kirgiz, Tien Shan, and Altai ranges. An area of hills, plateaus, and low mountains occupy the northeastern one-third of Kazakhstan [34]. Three major rivers, the Irtysh, Ishim, and Tobol, flow northward to join the larger Russian rivers that ultimately drain into the Arctic Ocean.

The climate in Kazakhstan is a warm temperate continental climate and has three climatic zones as arid, dry continental, and highland. Arid parched desert landscapes account for 40–50% of the country and have less than 250 mm of annual precipitation. Approximately 40% of the country occupies a broad belt of the dry continental climate with 250–500 mm of annual precipitation. In addition, in the highland, some areas have 800 mm of annual precipitation (with most occurring as snow) [18]. Kazakhstan's summers are hot, with temperatures over 32 °C throughout much of the country, with temperatures as high as 43 °C in the southwestern deserts, while the winter temperatures often fall

to -18 °C and can be as cold as -46 °C. The average annual number of days of sunshine for most of Kazakhstan is 200 days [35,36].



Figure 1. Map of Kazakhstan (study area is denoted with a pink line on the map and inset global map).

There are few scattered xerophytic (drought-resistant) plants that exist in the arid desert area. Steppe is the main ecosystem type in semiarid plain regions, and woodlands occur mainly in the cooler and wetter mountainous areas. More than 500 native plant species and dozens of wild animals grow only in Kazakhstan. An estimated 150 species of fish inhabit Kazakhstan's several thousand lakes. At present, Kazakhstan also has nine nature reserves [18].

Approximately 12% of Kazakhstan has soils that are suitable for agriculture. In much of the western, southern, and central portions of the country, soils are alkaline, sandy, and often saline—very poorly suited for agriculture. In the eastern and southeastern upland areas, the soil dominated by loams is of good quality, which presents an opportunity for high-yield farming. Spring wheat and barley are the principal crops grown using a dryland cultivation system of rotation with fallow every 3–4 years [22,37].

Kazakhstan is one of the least densely populated countries with a 16.7 million population, land is the largest natural resource but receives little investment from the Republic and local government; for example, among an overall budget for seven years (2004–2010) amounted to KZT 976 million (USD 7 million) for rural territory, only KZT 2 million (USD 14 thousand) was allocated to land issues [22].Obviously, agriculture is a huge and neglected industry in Kazakhstan.

2.2. Dataset Preprocessing

In this study, 24 consistent global annual land cover maps (1992–2015), with a 300 m spatial resolution, were chosen to analyze cropland dynamics. The coordinate reference system (CRS) used for the global land cover databases is a geographic coordinate system (GCS) based on the World Geodetic System 84 (WGS84) reference ellipsoid. This dataset was provided by the CCI-LC (Climate Change Initiative, Land Cover) project, ESA (European Space Agency) (http://maps.elie.ucl.ac.be/CCI/viewer/download.php), and has been applied in cropland, forest, and plant functional type

research at the regional and global scales [38–40]. We masked this global land cover dataset with the administrative boundary of Kazakhstan and its 14 regions, which was acquired from the Global Administrative Areas (GADM) dataset (V3.6, released on 6 May 2018, https://gadm.org/index.html) with longitude/latitude the coordinate reference system and the WGS84 as the datum. Then, we aggregated the 37 subdatasets of the ESA land cover classes for Kazakhstan into 8 classes according to the UNCCD (United Nations Convention to Combat Desertification and Drought) land cover category with the following two important differences:

- (1) Since the majority of the Kazakhstan territory is desertification-prone with high-frequent drought [41,42], water was the most significant limiting factor for dryland agriculture [43,44]; hence, croplands were subdivided into rainfed cropland and irrigated cropland in this study.
- (2) The word steppe was used instead of grasslands in this study. As one Russian word for "grassy plain", steppe is mostly dominated by short grasses without trees [2].

The new classification for the ESA-CCI land cover dataset is listed in Table 1.

Land Cover Class	ESA Land Cover Class Components	Code
Rainfed cropland	Cropland, rainfed (10); Mosaic cropland (>50%)/natural vegetation (tree, shrub, herbaceous cover) (<50%)(30)	10
Irrigated cropland	Croplands, irrigated or post-flooding (20)	20
Forest	Tree cover, broadleaved, evergreen, closed to open (>15%) (50); Tree cover, broadleaved, deciduous, closed to open (>15%) (60); Tree cover, needleleaved, evergreen, closed to open (>15%) (70); Tree cover, needleleaved, deciduous, closed to open (>15%) (80); Tree cover, mixed leaf type (broadleaved and needleleaved) (90); Mosaic tree and shrub (>50%)/herbaceous cover (<50%) (100)	30
Steppe	Mosaic cropland (<50%)/natural vegetation (tree, shrub, herbaceous cover) (>50%)(40); Mosaic herbaceous cover (>50%)/tree and shrub (<50%) (110); Shrubland (120); Grassland (130); Lichens and mosses (140); Sparse vegetation (tree, shrub, herbaceous cover) (<15%) (150);	40
Wetland	Tree cover, flooded, fresh or brackish water (160); Tree cover, flooded, saline water (170); Shrub or herbaceous cover, flooded, fresh/saline/brackish water (180)	50
Artificial area	Urban areas (190)	60
Bareland	Bare areas (200); Permanent snow and ice (220)	70
Water body	Water bodies (210)	80

Table 1. Land cover reclassification based on the ESA-CCI land cover dataset.

2.3. Analysis Methods

First, we divided the whole of Kazakhstan into 14 administrative regions at spatial scale and the whole study period (24 years) into five subperiods (SPs) with a five year interval except the first subperiod with four years (1992–1995) at temporal scale; i.e., SP-I(1992–1995), SP-II(1995–2000), SP-III(2000–2005), SP-IV(2005–2010) and SP-V (2010–2015), which is a suitable temporal scale for time series related analysis [45,46]. Then we conducted a time series analysis to detect significant changes in cropland (rainfed and irrigated) at the national and regional levels during the whole study period. In addition, FAO (Food and Agriculture Organization of the United Nations) arable land statistics for Kazakhstan (available from http://www.fao.org/faostat/en/#country/108) were also analyzed for comparison. Here, the Mann–Kendall test method was employed for time series analysis, which is an often-used nonparametric test method to analyze trends in meteorological data [47,48] because it is less sensitive to outliers [49–51], and has been broadly applied in hydrology and water resource research [52,53]. The test is based on the Kendall's S statistic as follows:

$$S = \sum_{i=1}^{n-1} \sum_{k=i+1}^{n} sgn(x_k - x_i)$$
(1)

And

$$\operatorname{var}(S) = \frac{n(n-1)(2n+5) - \sum_{i=1}^{n} e_i i(i-1)(2i+5)}{18}$$
(2)

where S is Kendall's statistic, which is expected to have N(0,var(S)) distribution with the null hypothesis H0 that there is no trend displayed by the time series. x_k and x_i are sequential data values representing the annual values in years k and i, respectively; n is the length of the dataset; $sgn(\theta) = -1$ if $\theta < 0$, $sgn(\theta) = 0$ if $\theta = 0$, $sgn(\theta) = 1$ if $\theta > 0$; e_i is the number of ties of extent i.

The Mann–Kendall test statistic Z_c is computed by:

$$Z_{c} = \frac{S-1}{\sqrt{\text{var}(S)}} \text{ , if } S > 0 \tag{3}$$

$$Z_{c} = 0$$
, if $S = 0$ (4)

$$Z_{c} = \frac{S+1}{\sqrt{\operatorname{var}(S)}}, \text{ if } S < 0 \tag{5}$$

where, Z_c is a standard normal variable, The null hypotheses that Z_c is not statistically significant or has no significant trend will be accepted if $-Z_{1-\alpha/2} \leq Z_c \leq Z_{1-\alpha/2} - Z_{1-\alpha/2} \leq Z_c \leq Z_{1-\alpha/2}$, where $\pm Z_{1-\alpha/2} \pm Z_{1-\alpha/2}$ are the standard normal deviates and $\alpha \alpha$ is the significance level for the test [54]. A positive Z_c indicates an upward trend while a negative Z_c indicates a downward trend [55]. The calculations were performed using the 'rkt' package [56] in the R software [57].

Sequential t tests for an analysis of regime shifts (STARS) [58] were employed simultaneously to test mean and variance values for statistically significant shifts between alternate states at national and regional levels during the whole study period. Attribute to its easy-to-use and statistically powerful analysis for shifts near the ends of the time series [59], this method has been applied mainly in oceanography [60–62] and expanded to terrestrial ecosystem applications recently [63–65]. In this method, when a new observation is added into a time series data, it is tested if there is a statistically significant deviation from the mean value of the current regime, if it is true, that time for this observation is marked as a potential change point c, and subsequent observations are used to accept or reject this hypothesis, which is tested by the regime shift index (RSI) as follows:

$$RSI = \sum_{i=c}^{c+m} \frac{x_i^*}{l\sigma_l}$$
(6)

where m = 0, 1, ..., l - 1 (i.e., number of years since the start of a new regime), l is the cut-off length of the regimes tested, and σ_l is the average standard deviation for allone-year intervals in the time-series. RSI is a cumulative sum of normalized deviations x_i^* from thehypothetical mean level for the new regime (\bar{x}_{new}), for which the D, difference from the mean level for the current regime (\bar{x}_{cur}), is statistically significant according to a Student's *t*-test:

$$D = \overline{x}_{new} - \overline{x}_{cur} = t \sqrt{2\sigma_l^2/l}$$
(7)

where t is the value of the t-distribution with 2l - 2 degrees of freedom at the given probability level p. If, at any time from the start of the new regime, RSI becomes negative, the test fails and a zero value is assigned. If RSI remains positive throughout l - 1, then c is declared to be the time of a regime shift at the level $\leq p$. The search for the next regime shift starts with c + 1 to ensure that its timing is detected correctly even if the actual duration of the new regime is less than 1 year. In this analysis, STARS software was used to detect a regime shift of rainfed and irrigated cropland development periods from

1992 to 2015, and the software was parameterized as a probability equal to 0.05 to coincide with the Mann–Kendall test, cutoff length equal to five to coincide with the time steps of subperiods, and a Huber parameter equal to one for the default value [58,59].

Second, the Land Change Modeler (LCM) provided by the IDRISI TerrSet software, which had been applied to study LULCC in many studies [66,67], was used to evaluate gains and losses in land cover classes, land cover persistence, and specific transitions between selected categories based on cross-tabulation principles [68]. Using the aggregated land cover maps from 1992 to 2015 as input parameters, we identified the locations and magnitude of the rainfed and irrigated cropland changes and persistence. Then, the other land cover contributions to the net areal change in rainfed and irrigated cropland were analyzed and mapped with a cross-tabulation method for the whole study period and five subperiods.

Finally, we defined the transition from cropland to steppe as abandonment, the transition from steppe to cropland as cultivation, and the transition from new-gained steppe from abandoned cropland back to cropland as recultivation. We performed the grid-based calculation for each of the five subperiods to detect if a cycle of abandonment and recultivation exists using IDRIS TerrSet software analysis tools. In order to illuminate the possible transition between steppe and cropland directly, we used trend surface analysis (TSA) in LCM of the IDRISI TerrSet software to estimate the spatial trends of the transitions from steppe to rainfed or irrigated cropland. TSA, as an interpolation technique disaggregating the broad regional patterns from the nonsystematic, fine-scale variation within the data [68,69], assumes the inherent spatial trend of the observed data can be reasonably represented by a polynomial surface of closest fit to the observed ones by minimizing the difference between the interpolated value at a data location and its original value [70]. This is calculated mathematically as:

$$Z(U, V) = \alpha_{00} + \alpha_{10}U + \alpha_{01}V + \alpha_{20}U^2 + \alpha_{11}UV + \dots + \alpha_{pq}U^pV^q$$
(8)

where Z stands for the transition from steppe to cropland (rainfed or irrigated). α represents the polynomial coefficients, and U and V represent the location coordinates. The TSA surfaces are calculated by assigning the pixels of a specific transition a value of 1 and pixels of no change a value of 0; they are then treated as if they were continuous values [68,69]. We performed the third-order polynomial TSA for transitions from steppe to cropland (rainfed or irrigated) to visualize the general locations of transitions in the whole study period and five subperiods. LCM in the IDRISI TerrSet software was used again to complete this calculation.

3. Results

3.1. Spatio-Temporal Change of Cropland Area at National and Regional Scales

3.1.1. National Level

Between 1992 and 2015, areas of rainfed cropland increased 18.59% from 333,169 km² to 395,093 km², or an increasing rate of 18.59%. The Mann–Kendall test showed that the increasing trend was significant (p < 0.05) (Table 2), and significant change occurred in 1999 (RSI₁₉₉₉ = 2.456) (Figure 2a), whereas areas of irrigated cropland increased from 69,750 km² to 76,223 km², or an increasing rate of 9.28%, with significant increasing trend (p < 0.05) (Table 2) and two significant change points in 1999 and 2003 respectively (RSI₁₉₉₉ = 2.319, RSI₂₀₀₃ = 0.408) (Figure 2b). However, data from FAO statistics showed that the area of arable land (defined as land under temporary crops, temporary meadows for mowing or pasture, land under market and kitchen gardens and land temporarily fallow for less than five years) in Kazakhstan decreased from 350,550 km² to 293,950 km², a decreasing rate of 16.15% at the same period, with a significant decreasing trend (p < 0.05) (Table 2) and two significant change points in 1996 and 2000 respectively (RSI₁₉₉₆ = -1.283, RSI₂₀₀₀ = -2.001) (Figure 2c). The reason for this contrast trend may be the effects from the coarse resolution and inaccurate classification for the former (300 m spatial resolution) and from data sources of FAO

estimates with official publication for the latter. Here, we considered that the former trend seems to be more reliable and could yield a more objective and reasonable trend of cropland change in Kazakhstan.

Ragion	Rainfed C	Cropland	Irrigated Cropland		
Region	Sen's Slope	<i>p</i> -Value	Sen's Slope	<i>p</i> -Value	
National level					
ESA-CCI dataset	2815.136	0.00	308.667	0.00	
FAO statistics *	-2497.80	0.02			
Regional level					
Almaty	302.4222	0.01	86.3667	0.00	
Aqmola	338.125	0.00	-	-	
Aqtobe	21.29167	0.40	-	-	
Atyrau	15.3333	0.00	-	-	
East Kazakhstan	314.9911	0.00	25.9	0.00	
Mangystau	-	-	-	-	
North Kazakhstan	37.2905	0.00	-	-	
Pavlodar	822.8686	0.00	0	0.78	
Qaraghandy	507.9412	0.00	-	-	
Qostanay	69.5333	0.00	-	-	
Qyzylorda	-4.1548	0.00	41.0333	0.00	
South Kazakhstan	6.2829	0.12	47.3	0.00	
West Kazakhstan	240.6618	0.00	-	-	
Zhambyl	84.2857	0.04	94.9452	0.01	

Table 2. Time series analysis using the Mann–Kendall test for annual cropland areas at national andregional levels during the period 1992 to 2015.

Bold values indicate trends significant at the 0.05 level. * For FAO statistics, data in rainfed cropland column are actually for arable land.



Figure 2. Regime shifts of rainfed cropland, irrigated cropland, and arable land areas in Kazakhstan in 1992–2015, (**a**) rainfed cropland; (**b**) irrigated cropland; and (**c**) arable land from FAO.

3.1.2. Regional Level

In the western, northern, eastern and central parts of the country, all of the rainfed cropland areas showed a significant increase (p < 0.05) (Table 2) (Figure 3b–f,h–j) with one or several significant change points (most in 1999–2000), except in Aqtobe with insignificant increasing trend (p < 0.05) (Table 2) as well as a significant increasing time point in 1999 and a significant decreasing point in 2009 (Figure 3a). Irrigated cropland in western and northern parts had smaller areas with less than 100 km^2 , even several km², their significant changes were not tested in this study, while those in eastern and central parts showed a relatively complex condition, there was a relatively large area of irrigated cropland in East Kazakhstan with a significant increasing trend (p < 0.05) (Table 2) and two significant increasing points in 1997 and 2010 respectively ($RSI_{1997} = 3.430, RSI_{2010} = 0.726$) (Figure 3i). Irrigated cropland in Qaraghandy in the Central part had a similar trend to East Kazakhstan, with a significant increasing trend (p < 0.05) and two significant increasing points in 2004 and 2010 respectively ($RSI_{2004} = 3.430$, $RSI_{2010} = 0.726$), while an area of irrigated cropland in Pavlodar had no trend (p < 0.05) with a decreasing point in 1997 and a slightly increasing point in 2004 respectively $(RSI_{1997} = -1.649, RSI_{2004} = 0.456)$ (Figure 3h). An exception to Mangghystau near to the Caspian Sea in the western part is that almost no rainfed or irrigated cropland existed (Figure 3g), which could be attribute to arid climate, complex geomorphology, higher desertification risk, and the lowest population density [71–73].

In the southern part, due to rich water provision from glaciers and snowmelt on the north side of the Tien Shan Range [74], large irrigated oases developed. Here, the areas of irrigated cropland was more than that of rainfed cropland and showed significant increasing trends (p < 0.05) in Qyzylorda, South Kazakhstan, Zhambyl and Almaty (Table 2, Figure 3k-n), only one significant shift point in 2000 can be detected for South Kazakhstan ($RSI_{2000} = 3.036$, Figure 3I) and Zhambyl $(RSI_{2000} = 3.434, Figure 3m)$ respectively, while three significant shift points occurred in Qyzylorda $(RSI_{1996} = 1.214, RSI_{2000} = 1.305, RSI_{2007} = 0.467, Figure 3k)$ and Almaty $(RSI_{1999} = 2.400, RSI_{2003} = 0.467, RSI_{2003} = 0.467$ 0.632, RSI₂₀₁₀ = 0.595, Figure 3n) respectively, showing a continuous increasing trend, which benefited with water from the Syr Darya River and Ili River [75–79]. For rainfed cropland in the southern part, there were significant increasing trends (p < 0.05) in Zhambyl and Alamty with one significant shift point in 1999 ($RSI_{1999} = 2.080$, Figure 3m) and in 2000 ($RSI_{2000} = 3.327$, Figure 3n) respectively, an insignificant increasing trend (p < 0.05) in South Kazakhstan with one significant increasing point in 2000 (RSI₂₀₀₀ = 1.753, Figure 3l) and a significant decreasing point in 2008 (RSI₂₀₀₈ = -1.118), and a significant decreasing trend (p < 0.05, Table 2) in Qyzylorda with two significant decreasing points in 1996 ($RSI_{1996} = 0.458$) and 2001 ($RSI_{2001} = 0.665$) as well as one significant increasing point in 2014 $(RSI_{2014} = 0.954)$, which can be explained with a similar reason to Aqtobe (Figure 3k) [80,81].



Figure 3. Areal change in rainfed and irrigated cropland in 14 regions of Kazakhstan from 1992 to 2015. The black filled dots represent rainfed cropland, and the black empty dots represent irrigated cropland, red lines for regime shift trends in rainfed cropland and blue lines for regime shift trends for irrigated cropland. (a) Aqtobe, (b) Qostanay, (c) Aqmola, (d) North Kazakhstan, (e) West Kazakhstan, (f) Atyrau, (g) Mangghystau, (h) Pavlodar, (i) East Kazakhstan, (j) Qaraghandy, (k) Qyzyloeda, (l) South Kazakhstan, (m) Zhambyl and (n) Almaty.

3.2. Net Areal Changes of Cropland and Their Contributors

Rainfed cropland was mapped, and its distribution was mainly in the northern part of Kazakhstan (with the majority in Qostanay, North Kazakhstan, Aqmola and Pavlodar), along the boundary regions

with Russia (except Aqmola) and a small area in southeastern Kazakhstan, which was mosaiced with irrigated cropland (Almaty, Zhambyl and South Kazakhstan) (Figure 4). Over the whole study period (1992–2015), the persistent areas of rainfed and irrigated cropland were maintained as 327,180 and 68,402 km², respectively, which accounted for 12.58% and 2.63% of the total territory (2.6 million km²), respectively, in which the gain areas were 67,913 and 7820 km², which accounted for 20.76% and 11.43% of the persistence areas of rainfed and irrigated cropland, respectively. Loss areas amount to 5989 and 1347 km², which accounted for 1.83% and 1.97% of the persistence areas of rainfed and irrigated cropland, respectively (Figure 4a). This result reflects the continuous increasing trend and reduced abandonment of rainfed and irrigated cropland during this period. For the five subperiods, there was little change in the first subperiod (1992–1995, SP-I) and last subperiod (2010–2015, SP-V), with persistence areas of 332,891 and 393,059 km² for rainfed cropland and 69,724 and 75,493 km² for irrigated cropland, respectively (Figure 4b,f and Figure 5b,f). For rainfed cropland, gain areas were 1469 (SP-I) and 2034 (SP-V) km² and loss areas were 278 (SP-I) and 961 (SP-V) km² (Figure 4b,f). For irrigated cropland, gains areas were 320 (SP-I) and 730 (SP-V) km², and loss areas were 26 (SP-I) and 335 (SP-V) km² (Figure 5b,f). This result highlights two relatively stable conditions with the former as a political transitional period and the latter as a period of policy stability [23]. The greatest gains occurred in SP-II (1995–2000) of 46,168 and 3347 km², and decreases of 12,398 and 2775 km² in SP-III (2000-2005) and 7284 and 1010 km² in SP-IV (2005-2010) for rainfed and irrigated cropland, respectively (Figure 4c-e and Figure 5c-e). This result indicates that the economic development following political stability initiated in the traditional agriculture industry [82-84].



Figure 4. Areal changes and contributions of other land use types to the net change in rainfed cropland in different periods. (a) 1992–2015; (b) 1992–1995; (c) 1995–2000; (d) 2000–2005; (e) 2005–2010; and (f) 2010–2015.

In general, the area of rainfed cropland between 1992–2015 was increasing in the whole study period and increasing in the five subperiods, with most of the contribution from steppe (Figure 4). In addition, water bodies had a great contribution to the increase of rainfed cropland in 2005–2010 and 2010–2015 (Figure 4e,F). The situation is obviously different for irrigated cropland. During the

whole study period and five subperiods, steppe was still the greatest contributor to irrigated cropland, and bareland was the second largest. Similarly to rainfed cropland, water bodies had a nonnegligible contribution to irrigated cropland in 2005–2010 and 2010–2015 (Figure 5e,f). In addition, irrigated cropland became an important provider for artificial areas since 2000 (Figure 5c–f).



Figure 5. Areal changes and contributions of other land use types to the net change in irrigated cropland in different periods. (a) 1992–2015; (b) 1992–1995; (c) 1995–2000; (d) 2000–2005; (e) 2005–2010; and (f) 2010–2015.

3.3. Abandonment and Recultivation Cycle Analysis

For rainfed cropland, it was found that the abandoned areas were 120, 435 and 838 km² during the first three subperiods (SP-I, SP-II and SP-III) respectively, among which 4, 15 and 8 km² in SP-IV were recultivated into rainfed cropland, which accounted for 3.11, 3.45 and 0.93% of the abandoned area in the first three subperiods. 1, 12 and 4 km² in SP-V were recultivated into rainfed cropland, which accounted for 0.96, 2.86 and 0.43% of abandoned area in the first three subperiods. The total recultivated area in the whole study period was 27 and 17 km², which accounted for 0.385% and 1.063% of the cultivated areas in next two subperiods (SP-IV and SP-V), respectively (Table 3). Obviously, the transition ratios of recultivated area to abandoned area in the first three subperiods and the cultivated areas in the next two subperiods were insignificant. We also inspected the abandonment and recultivation cycle between irrigated cropland and steppe. We determined that the abandoned areas were 11, 36, 235, 665, and 148 km², and the cultivated areas were 1392, 2773, 2183, 682 and 538 km² in the five subsequent subperiods; however, no recultivated area was detected.

No.	Area (A) *	Area (C)	SP-IV			SP-V		
			Area (RC)	% of RC/A	% of RC/C	Area (RC)	% of RC/A	% of RC/C
SP-I	120		4	3.11	0.054	1	0.96	0.071
SP-II	435		15	3.45	0.218	12	2.86	0.767
SP-III	838		8	0.93	0.113	4	0.43	0.224
SP-IV		6898	27 **		0.385			
SP-V		1623				17		1.063

Table 3. Analysis results of cycle between abandonment and recultivation during 5 subperiods (km²).

* Upper cases inparentheses indicate the transition between specific categories of land cover: A represents abandonment, C represents cultivation and RC represents recultivation. ** Bold figures indicate the sum of area in the first three subperiods.

3.4. Spatial Pattern of Rainfed and Irrigated Cropland

Viewed from Figure 6, the general trend of transition from steppe to rainfed cropland in the whole study period was located in the northern and eastern parts of the study area, including North Kazakhstan, Aqumolar, Pavlodar, Qostanay, Qaraghandy and East Kazakhstan (Figure 6a). The major transitions from steppe to rainfed cropland were detected only in the northern part (North Kazakhstan, Aqumolar, Pavlodar) in SP-I (Figure 6b) and expanded to the northern and central parts (Qostanay, Qaraghandy and East Kazakhstan included) in SP-II (similar to those for the whole period) (Figure 6c). The transition expanded further to the entire eastern and central parts (Almaty, Zhambyl and South Kazakhstan also included) in SP-III (Figure 6d) and decreased to the southern part (Almaty, Zhambyl and South Kazakhstan, excluding where irrigated cropland was well developed) in SP-IV and SP-V (Figure 6e,f). The general transitions from steppe to irrigated cropland during the whole period and five subperiods had similar trends and were located in the following six regions: Qyzylorda Qaraghandy, South Kazakhstan, Almaty, Zhambyl and East Kazakhstan (Figure 7a–d). A slight difference appeared in SP-IV and SP-V with weaker transitions in Qyzylorda and Qaraghandy (Figure 7e,f). Following the significant increase of rainfed and irrigated cropland areas(Figure 2), a relatively stable cropland pattern characterized by rainfed cropland in north along boundary with China, Mongolia and Russia and irrigated cropland in south along the north slope of Tien Shan Range was formed.



Figure 6. Trend surface analysis of the transitions from steppe to rainfed cropland. (**a**) 1992–2015; (**b**) 1992–1995; (**c**) 1995–2000; (**d**) 2000–2005; (**e**) 2005–2010; and (**f**) 2010–2015.



Figure 7. Trend surface analysis of the transitions from steppe to irrigated cropland. (**a**) 1992–2015; (**b**) 1992–1995; (**c**) 1995–2000; (**d**) 2000–2005; (**e**) 2005–2010; and (**f**) 2010–2015.

4. Discussion

4.1. Cropland Area Change in the Post-Soviet Era

The breakdown of the Soviet Union resulted in the abandonment of a large area of cropland in some post-Soviet and ex-Soviet countries in the Eurasian steppe [14,16,24,85,86]. However, as one of the primary food providers for the former Soviet Union [87,88], it was unclear whether Kazakhstan had also experienced a similar change. According to the incomplete statistics from FAO, there has been a significant decreasing trend in arable land in Kazakhstan since 1995 (Figure 2); however, our results showed rainfed and irrigated cropland at the national level had a significant increasing trend with a significant increasing stage before 1999–2000 and a relatively stable stage during 2000–2015 (Figure 2), and the Mann-Kendall test and regime shift analysis at 14 regions also confirmed this result (Table 2, Figure 3). As an important traditional industry in Kazakhstan, agriculture had formed a relatively stable structure during the Soviet Union Era [89], which was affected very little by political surge. Due to its huge virgin land resources, especially the large area of the steppe [87,88,90], traditionally agricultural development is far easier than the development of other industries such as oil and gas [14,91]. Hence, a significant increase of cropland area in an earlier stage of newly sovereign Kazakhstan is a necessary consequence, with the coming of gradual foreign investment for resource exploitation, the agriculture in Kazakhstan lost its primary importance and cropland development showed a relatively stable trend [22,92,93].

4.2. Cropland Gains and Spatial Reorganization

While the cropland area exhibited a significant increase in Kazakhstan, it was unknown what the country-scale transition types and locations of change were. It was revealed that almost all cropland was gained from steppe in the whole study period and five subperiods; this can be viewed as a virgin land development strategy that will be continued [9,19]. The results from the trend surface analysis

showed the cropland distribution was changing from a disordered expansion to ordered stability, while a small area of rainfed cropland was disappearing from the mosaic of irrigated cropland in the southern region. This may mainly result from the historical legacy of the former Soviet Union's agricultural policies [90,94], and a reorganized pattern was gradually formed as rainfed cropland in the North and irrigated cropland in the South, which reflected the implementation of an oasis agricultural development strategy in the whole country [95–97]. In addition, a greater contribution from water bodies to rainfed and irrigated cropland since 2005 (SP-IV and SP-V) reflected lake shrinkage to a great extent with climate change and less water from the upper reaches of many transboundary rivers in recent years [96,98,99]. Meanwhile, irrigated cropland has been an important provider for artificial areas since 2000, which reflects the rapid urbanization process at the expense of irrigated oases with good agricultural infrastructure [100–103].

4.3. Cycle of Abandonment and Recultivation between Cropland and Steppe

We inspected the transition cycle between cropland and steppe for the greatest contributor to cropland, and the results showed that there is a negligible transition from rainfed cropland to steppe then back to rainfed cropland during the five subperiods. Obviously, the soil fertility of the original steppe was higher than that of the abandoned rainfed cropland [104,105], and local farmers should prefer to explore the original steppe as rainfed cropland as opposed to recultivating abandoned or fallow cropland.

For irrigated cropland, no transition from irrigated cropland to steppe and then back to irrigated cropland was observed during the five subperiods. The main reason for this result was that the abandonment of irrigated cropland in Kazakhstan may result from the severe secondary salinization [106,107]. Hence, this abandoned land was not able to be recultivated without cost-effective desalinization measures. Obviously, the nonexistence of cycle between the abandonment and recultivation proved that newly-gained cropland from steppe may be less degraded and more productive for sustainable land use in Kazakhstan [11].

5. Conclusions and Implications for Sustainability

Kazakhstan's cropland development experienced a period of rapid increase and then a long-term stable period, forming a reorganization pattern with rainfed cropland in western, northern, eastern, and central parts and irrigated cropland in the southern part. The majority of new-developed cropland, rainfed or irrigated, comes from the original steppe rather than the recultivation of abandoned cropland. Rainfed cropland showed a significant increasing trend and maintained a recent long-term stable stage, dryland vulnerability to climate change can be reduced by diversified cropping systems, conservation tillage, water saving technologies, and less water-demanding crop species [108,109]. A great amount of rainfed cropland abandonment did not occur, hence we can deduce that the rainfed cropland system under the current situation has high sustainability. For irrigated cropland, the main impact on its areas and productivity came from water provision capacity of inland rivers; the amount of water was determined by precipitation, glacier- and snow-melt and the upstream water utilization amount. The former two were affected by climate change, the latter one affected by transboundary cooperation [96]. Similar to rainfed cropland, irrigated cropland also showed a significant increasing trend and maintained a recent long-term stable stage, a great amount of irrigated cropland abandonment did not occur, hence we can deduce that the cropland system under the current situation has medium sustainability limited mainly by transboundary water allocation. Undoubtedly, the results of the analysis can provide strong evidence for sustainable land use and a basis for food security policy-making in Kazakhstan and even all of Central Asia in the future [110]. In addition. It is undeniable that some significant changes at the regional scale may be neglected or omitted using ESA-CCI land cover dataset with an annual temporal resolution and 300 m spatial resolution; hence, some satellite images with high temporal resolution, such as MODIS (Moderate Resolution Imaging Spectroradiometer), and high spatial resolution, such as Landsate TM (Thematic Mapper), can be used

to discover the regional changes in croplands in Kazakhstan when combined with several popular software packages, such as Landtrendr [26,111] and BFAST [112,113], which can characterize land use changes and their causes more directly and concretely.

Author Contributions: W.W., Y.Z. and H.L. developed the methodology, and prepared the original draft. K.Z. and B.W. conceptualized the framework of the article, reviewed the paper, and set directions for the revision. X.Y. and Z.S. conceptualized the framework of the article, reviewed the paper, and supervised the research. All of the authors read, revised, and approved the manuscript.

Funding: This research is supported by the Fundamental Research Funds of CAF (CAFYBB2017ZA006), the International Science & Technology Cooperation Programme of China (2015DFR31130), and the National Key Research and Development Programme of China (2016YFC0500801, 2016YFC0500804 and 2016YFC0500908).

Acknowledgments: Special thanks should be given to ESA CCI Land Cover project, without their free available series land cover maps, it will be impossible to implement this study. We also thank these anonymous reviewers for their suggestive comments to improve the quality of this article substantially.

Conflicts of Interest: The authors declare no conflict of interest.

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