

Article

Aboveground Biomass Estimation Using Structure from Motion Approach with Aerial Photographs in a Seasonal Tropical Forest

Tetsuji Ota ^{1,†,*}, Miyuki Ogawa ^{2,†}, Katsuto Shimizu ², Tsuyoshi Kajisa ³, Nobuya Mizoue ⁴, Shigejiro Yoshida ⁴, Gen Takao ⁵, Yasumasa Hirata ⁵, Naoyuki Furuya ⁶, Takio Sano ⁷, Heng Sokh ⁸, Vuthy Ma ⁸, Eriko Ito ⁶, Jumpei Toriyama ⁵, Yukako Monda ⁹, Hideki Saito ⁵, Yoshiyuki Kiyono ⁵, Sophal Chann ⁸ and Nang Ket ⁸

- ¹ Institute of Decision Science for a Sustainable Society, Kyushu University, 6-10-1 Hakozaki, Fukuoka 812-8581, Japan
- ² Graduate School of Bioresource and Bioenvironmental Sciences, Kyushu University, 6-10-1 Hakozaki, Fukuoka 812-8581, Japan; E-Mails: myyyy91@yahoo.co.jp (M.O.); dulvrq.3317nau@gmail.com (K.S.);
- ³ Faculty of Agriculture, Kagoshima University, 1-21-24 Korimoto, Kagoshima 890-8580, Japan; E-Mail: k2083442@agri.kagoshima-u.ac.jp
- ⁴ Faculty of Agriculture, Kyushu University, 6-10-1 Hakozaki, Fukuoka 812-8581, Japan; E-Mails: mizoue@agr.kyushu-u.ac.jp (N.M.); syoshida@agr.kyushu-u.ac.jp (S.Y.)
- ⁵ Forestry and Forest Products Research Institute, 1 Matsunosato, Tsukuba 305-8687, Japan; E-Mails: takaogen@affrc.go.jp (G.T.); hirat09@affrc.go.jp (Y.H.); jtori@ffpri.affrc.go.jp (J.T.); rslsaito@ffpri.affrc.go.jp (H.S.); kiono@ffpri.affrc.go.jp (Y.K.)
- ⁶ Hokkaido Research Center, Forestry and Forest Products Research Institute, 7 Hitsujigaoka, Toyohiraku, Sapporo 062-8516, Japan; E-Mails: nfuruya@affrc.go.jp (N.F.); iter@ffpri.affrc.go.jp (E.I.)
- ⁷ Asia Air Survey Co., Ltd., Shinyuri 21 Building, 1-2-2 Manpukuji, Asao-ku, Kawasaki 215-0004, Japan; E-Mail: tk.sano@ajiko.co.jp
- ⁸ Forestry Administration, Khan Sen Sok, Phnom Penh 12157, Cambodia; E-Mails: sokhhengpiny@yahoo.com (S.H.); vuthydalin@yahoo.com (V.M.); sophal.chann@yahoo.com (S.C.); nang keth@yahoo.com (N.K.)
- ⁹ Tano Forest Science Station of Field Science Center, Faculty of Agriculture, University of Miyazaki, 11300 Tano-Otsu, Miyazaki, Miyazaki 889-1712, Japan; E-Mail: monday@cc.miyazaki-u.ac.jp
- [†] These authors contributed equally to this work.

* Author to whom correspondence should be addressed: E-Mail: chochoji1983@gmail.com; Tel.: +81-926-422-868; Fax: +81-926-422-867.

Academic Editor: Joanne C. White

Received: 11 September 2015 / Accepted: 26 October 2015 / Published: 30 October 2015

Abstract: We investigated the capabilities of a canopy height model (CHM) derived from aerial photographs using the Structure from Motion (SfM) approach to estimate aboveground biomass (AGB) in a tropical forest. Aerial photographs and airborne Light Detection and Ranging (LiDAR) data were simultaneously acquired under leaf-on canopy conditions. A 3D point cloud was generated from aerial photographs using the SfM approach and converted to a digital surface model (DSM_P). We also created a DSM from airborne LiDAR data (DSML). From each of DSMP and DSML, we constructed digital terrain models (DTM), which are DTM_P and DTM_L, respectively. We created four CHMs, which were calculated from (1) DSM_P and DTM_P (CHM_{PP}); (2) DSM_P and DTM_L (CHM_{PL}); (3) DSM_L and DTM_P (CHM_{LP}); and (4) DSM_L and DTM_L (CHM_{LL}). Then, we estimated AGB using these CHMs. The model using CHM_{LL} vielded the highest accuracy in four CHMs ($R^2 = 0.94$) and was comparable to the model using CHM_{PL} ($R^2 = 0.93$). The model using CHM_{PP} yielded the lowest accuracy ($R^2 = 0.79$). In conclusion, AGB can be estimated from CHM derived from aerial photographs using the SfM approach in the tropics. However, to accurately estimate AGB, we need a more accurate DTM than the DTM derived from aerial photographs using the SfM approach.

Keywords: aboveground biomass; aerial photograph; Airborne LiDAR; seasonal tropical forest; SfM

1. Introduction

Tropical forests have been recognized as an important type of ecosystem that can be used in mitigating climate change, because they sequester and store more carbon than any other vegetation types [1,2]. However, tropical forests are being deforested and degraded dramatically through agricultural expansion, wood extraction, infrastructure development, and other natural and anthropogenic processes [3,4]. As a result, carbon emissions from deforestation and forest degradation in tropical forests are a major issue in the global carbon budget [5–8]. Reducing emissions from deforestation and forest degradation, and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries (REDD+) is one mitigation mechanism related to deforestation and forest degradation in tropical forests. For the implementation of REDD+, a scientifically robust method is required to quantify aboveground biomass (AGB) [9].

Remote sensing with ground-based inventories is expected to play an important role as the method that can be used to quantify AGB for the implementation of REDD+.

Airborne Light Detection and Ranging (LiDAR) is an active remote sensing system which directly estimates the vertical structure of objects by measuring the time of flight between the emitted laser pulses and their received reflectance [10]. This system is well suited for measuring forest structural parameters [11–13]. In the case of the tropics, a canopy height model (CHM) derived from airborne LiDAR can accurately estimate AGB [14,15] and aboveground carbon stocks [16–18]. While airborne LiDAR is one of the most reliable tools used to estimate AGB in forests, acquisition costs of airborne LiDAR data are often prohibitive and hinder the monitoring of large forest areas [19]. Thus, alternative approaches to estimate AGB are required.

Aerial photographs have been common tools used to retrieve forest information for many decades. They are well suited to measure forest cover [20], mean stand height [21], temporal dynamics of AGB [22] and individual tree parameters [23]. It has long been demonstrated that tree height can be measured by manual methods of stereo photogrammetry using aerial photographs. We can also create digital surface models (DSMs), which are similar to those derived from airborne LiDAR, from a pair of stereo aerial photographs using stereo matching algorithms. The DSM derived from aerial photographs have significant potential to accurately estimate tree height, stem volume and basal area [24,25]. Recent progress in computer science enables the production of DSMs using the Structure from Motion (SfM) approach with a much higher level of automation and much greater ease of use [26]. SfM aims to generate 3D geometry from an unordered overlapping collection of photographs using standard automated techniques employing computer vision and photogrammetry [27]. Applying the SfM approach enables us to produce a high spatial resolution 3D point cloud model similar to those derived from airborne LiDAR. Previous studies have demonstrated the usefulness of the SfM approach for topographic mapping and landslide monitoring [26,28-31]. A few studies have evaluated the quality of a canopy height model created by an SfM algorithm [32-34]. Nevertheless, no known studies evaluate the utility of a CHM created by a SfM algorithm for the estimation of AGB in the tropics.

In general, CHMs are calculated as the difference between the height of the returned pulse or digital surface model (DSM) and ground elevation based on a digital terrain model (DTM). Because the pulses emitted from airborne LiDAR can penetrate below the canopy and reach the ground even in the forested areas, we can generate both a DTM and a DSM from airborne LiDAR alone. Compared with airborne LiDAR, obtaining under-canopy information using aerial photography is difficult. Thus, creating a DTM is the main limitation we face when calculating a CHM from aerial photography. The combined use of aerial photography derived DSM and airborne LiDAR derived DTM provides one solution. Previous studies have demonstrated that a CHM calculated from a DTM derived from airborne LiDAR and DSM derived from aerial photography have a significant potential for the successful monitoring of boreal forests [25,35,36]. However, this type of research has not yet been conducted in unmanaged tropical forests.

In this study, we investigated the capabilities of a CHM derived from aerial photography using the SfM approach in estimating AGB in tropical forests. We created four types of CHMs, which were calculated from (1) aerial photography deriving a DSM and aerial photography deriving a DTM (CHM_{PP}) (2) aerial photography deriving a DSM and airborne LiDAR deriving a DTM (CHM_{PL});

(3) airborne LiDAR deriving a DSM and aerial photography deriving a DTM (CHM_{LP}), and (4) airborne LiDAR deriving a DSM and airborne LiDAR deriving a DTM (CHM_{LL}). The results of AGB estimation using an aerial photography-derived CHM are compared with the approach of using an airborne LiDAR-derived CHM for AGB estimation. Finally, we investigated the ability of a DSM derived from aerial photography to estimate AGB.

2. Study Area

The study area is located in Kampong Thom Province in central Cambodia (Figure 1). Covering a total land area of 1,244,764 ha, this province experiences a typical monsoon Asian climate with a distinct dry season from November to April and a rainy season with about 1700 mm annual precipitation [37]. This lowland and nearly flat area has elevations from about 1 to 80 m above sea level. Four forest types occur in the study area: dense evergreen, deciduous, and degraded evergreen forests as well as an area of second-growth forest. While the dominant tree height is between 30m and 40 m in dense evergreen forest, the height is only 10–15 m in deciduous forest. An area of second-growth forest is defined as any area after clearcutting; these areas have shrubs with few residual trees left standing. Degraded evergreen forest is an evergreen forest with evidence of illegal logging based on field surveys. Degraded evergreen includes fewer large trees than evergreen forest, but the landscape still retains its forested nature.



Figure 1. Study area in Kampong Thom Province, Cambodia with an inset map showing the study site location within extreme Southeast Asia.

3. Field Measurements

Field measurements in each forest type were conducted at previously established permanent plots that had been created as a part of a different series of ongoing field studies. The number of plots in

dense evergreen, deciduous, degraded evergreen forest and regrowth were 10, 8, 4 and 8, respectively (Table 1). We used three sizes of rectangular permanent plots, which are 2500 m² (50 m \times 50 m), 1200 m² (30 m \times 40 m) and 900 m² (30 m \times 30 m). Eight regrowth plots and four deciduous forest plots cover 2500 m². Five evergreen and two deciduous forests plots cover 1200 m². Five evergreen, four degraded forest and two deciduous forest plots cover 900 m². Field measurements were collected under leaf-on canopy conditions between November 2011 and March 2012. Within each plot, the diameter at breast height (DBH) for all trees with DBH > 5 cm was measured. The coordinates of plot corners were collected using a Global Positioning System (GPS; GPSmap 62s, Garmin, Olathe, KS, USA). In addition, we measured the distance and the azimuth direction between each corner using a laser range finder (Trupulse 360, Laser Technology Inc., Centennial, CO, USA). Because the GPS instrument was not differentially corrected, the accuracy of GPS data was open to question. Thus, we selected the most reliable GPS corner coordinates for each plot through a verification process. Ota *et al.* (in press) will provide detailed descriptions of the verification process. From the selected corner, we determined the coordinate of other corners mathematically from the distance (i.e., 30 m, 40 m or 50 m) and azimuth directions between the corners. We calculated AGB for each measured tree using general allometric equations [38]. AGB of each plot was then calculated by summing AGB of each tree and divided by the plot size.

Earney to the s	Count		AGB (I	Mg/ha)	
Forest type	Count	Min	Mean	Max	SD
Evergreen	10	176	294	398	65
Degraded evergreen	4	96	132	176	31
Deciduous	8	38	98	150	40
Regrowth	8	22	42	90	21

Table 1. Summary of field measurements of aboveground biomass.

4. Remote Sensing Data

Aerial photographs and airborne LiDAR data were simultaneously acquired under leaf-on canopy conditions from a helicopter with an Airborne GPS and inertial measuring unit on 18–21 January 2012. Table 2 shows details of aerial photograph data and airborne LiDAR data specifications. The average flight altitude was 500 m above ground level and the average flight speed was 25 m/s. In the case of aerial photograph data, camera focal length, image size, and pixel size inside the camera were, 51.2499 mm, 8984 × 6732 pixels and 6.0 μ m, respectively. In the case of airborne LiDAR, pulse frequency was 100 kHz and average density of first returns-to-sensor was 26 point/m².

Flight Conditions			
Flight altitude (above-ground)	500 m		
Flying Speed	25 m/s 18–21 January, 2012		
Acquisition date			
Aerial Ph	otograph data acquisition		
Instruments	DALSA Sensor + 60.5 Mp Image Sensor 8984 (H) x 6732 (V) Full Frame CCD Color Image Sensor with		
instruments	Rodenstock HR Digaron-W 50 mm f/4 lens.		
Focal length	51.2499 mm		
Scale	8984 × 6732 pixels		
Pixel size	6 µm		
Ground resolution	7 cm		
Average density of point cloud	22 points/m ²		
Airborne	LiDAR data acquisition		
Instruments	Optech ALTM 3100 from Optech, Inc.		
Pulse repetition frequency	100 kHz		
Scan frequency	53 Hz		
Foot print	0.125 m		
Wave length	1064 nm		
Range of view angles	20°		
Average density of first returns	26 pulse/m^2		

Table 2. Details of aerial photograph data and airborne light detection and ranging (LiDAR) data specifications.

5. Methods

5.1. Processing of Airborne LiDAR data

From the first and last returns of the airborne LiDAR data, we constructed a 1 m resolution grid of DSM (DSM_L) and DTM (DTM_L) (Figure 2). The last return data were used to create a DTM using ground echoes of reflected LiDAR pulses by filtering earlier returns. In the filtering process, the local minima with 10×10 m, assumed to represent the ground, were collected. Then, we produced a triangulated irregular network (TIN) that allowed for development of a DTM [39]. Finally, the TIN was converted to a regular grid at 1 m resolution to create a DTM. The first return-to-sensor data were also used to create an airborne LiDAR derived DSM. The DSM was created from the highest first return value of pulses for each grid cell.



Figure 2. Representative samples of DSM, DTM, and CHM. (a) DSM_P; (b) DSM_L; (c) DTM_P; (d) DTM_L; (e) CHM_{PP}; (f) CHM_{PL}; (g) CHM_{LP}; (h) CHM_{LL}.

5.2. Processing of Aerial Photographs

We used Photoscan Professional (http://www.agisoft.com; Petersburg, Russia), which is a type of commercial computer vision software, to generate a 3D point cloud from the sets of aerial photographs. Dandois and Ellis [34] and Turner et al. [40] provide a detailed description of the software and workflow. Briefly, the software uses the SfM approach for 3D reconstruction from overlapping collection of photographs. The workflow starts with the "Align Photos" stage, which is the process used to find the camera position and orientation for each aerial photograph and build a sparse point cloud model [41]. We selected "High accuracy" and "Ground control pre-selection" as settings. This stage was conducted in the real-world coordinate system, which was Universal Transverse Mercator projection (Zone 48N, WGS 84) based on the camera positions provided by Airborne GPS. We also manually identified ground control points (GCPs) within the aerial photographs to improve the accuracy of the align photos stage. The GCPs consisted of curbs, building corners and so on and were selected from a DSM created by airborne LiDAR data in 2012 (i.e., the DSM created in this study) and aerial orthophotography from 2014 (0.1 m pixel resolution, collected on 20 January 2014, developed by Asia Air Survey Co., Ltd. (Tokyo, Japan). We detected GCP positions in the aerial photographs by manual interpretation of 3D structures and RGB colors corresponding to GCP features identified in the DSM and the orthophotographs following Dandois and Ellis [33]. Horizontal and vertical coordinates for GCPs positions were obtained from a DSM derived from airborne LiDAR. Extracting vertical coordinates from airborne LiDAR, we used "Extract Values to Points" function of ArcGIS desktop 10.2. The next workflow step is the "Build dense point cloud" stage which generates a 3D dense point cloud data based on the estimated camera position and orientation for each aerial photograph. We selected "medium quality" and "mild" as settings. Finally, a dense point cloud with 22 points/m², in average, was generated.

From the generated 3D dense point cloud data, we constructed a 1 m resolution grid of DSM (DSM_P) and DTM (DTM_P) (Figure 2), similar to those derived from airborne LiDAR. We used the same approach when we generated DTM_L and DTM_P. First, we selected points assumed to represent the ground using the local minima with 10×10 m. Then, the TIN was then generated from the selected points. The TIN was converted to a regular grid at 1 m resolution to create a DTM. In addition, the DSM was created from the highest value of the point cloud for each grid cell.

5.3. Calculation of CHM and CHM-Derived Variables

CHMs were calculated as the relative height between the DSM and DTM. In this study, we created four CHMs, which are the difference between 1) DSM_P and DTM_P (CHM_{PP}), 2) DSM_P and DTM_L (CHM_{PL}), 3) DSM_L and DTM_P (CHM_{LP}) and 4) DSM_L and DTM_L (CHM_{LL}). The pixels of each CHM were classified as either canopy or non-canopy pixels based on their relative height to avoid the potential inclusion of non-tree objects. The pixels of the CHM were classified as canopy if the normalized canopy height was ≥ 1 m. For each CHM, the variables derived from the CHM were then calculated within each permanent plot, including mean canopy height (the average value of the relative height H_{mean}), 50th percentile of height (H_{50}), maximum height (H_{100}), and canopy density (D). The canopy density was calculated as the ratio between the number of pixels representing the canopy and the total number of pixels in each plot.

5.4. Statistical Analysis

The observed AGB based on field measurements was regressed against the variables derived from the CHM (Equation (1)):

$$B = \beta_0 h^{\beta_1} d^{\beta_2} \tag{1}$$

where *B* is the observed AGB (Mg/ha), β_0 and β_1 and β_2 are the regression coefficients, *h* is the height variable (*i.e.*, H_{mean} , H_{50} , H_{100}) and *d* is the canopy density. We used log transformation to simplify Equation (1) as a linear regression in Equation (2):

$$\log B = \log \beta_0 + \beta_1 \log h + \beta_2 \log d \tag{2}$$

In addition, the influence of forest type (F_{type}) on the regression was assessed by expanding Equation (2) with dummy variables representing forest type (*i.e.*, evergreen, degraded evergreen, deciduous, and regrowth) following Ota *et al.* [18].

$$\log B = \log \beta_0 + \beta_1 \log h + \beta_2 \log d + \sum_{i=1}^{3} b_i z_i$$
(3)

where b_i is the regression coefficient of *i*th class and z_i is the dummy variable of *i*th class. The dummy variables' names z_1 , z_2 and z_3 take on values 1 for degraded evergreen, deciduous and regrowth, respectively and on value 0 for other types of forest in each respective group (z_1 , z_2 and z_3).

First, AGB was regressed against height variables and/or canopy density for each of CHM_{PP}, CHM_{PL}, CHM_{LP}, and CHM_{LL}. Additionally, the pertinence of considering forest type in the analysis was assessed. Then, the best model used to estimate AGB using each of four CHMs was selected.

Finally, we compared the accuracy of AGB estimation using the best model of four CHMs. The coefficient of determination (R^2), adjusted R^2 and the root mean square error (RMSE) expressed in MG/ha were calculated to express the accuracy of estimates of AGB. Because we used the log transformed equation, R^2 and adjusted R^2 were calculated using the observed and estimated values of AGB. Furthermore, R^2 , adjusted R^2 and RMSE were calculated using leave one-out cross-validation because of the limited number of field plots.

6. Results

Table 3 shows the results of the regression model fit depicting the relationship between AGB and CHM derived variables when we use CHM_{PL} and CHM_{PP}. When we use CHM_{PP}, the best single-variable model was H_{mean} ($R^2 = 0.31$, adjusted $R^2 = 0.28$, RMSE = 98.82 Mg/ha), followed by H_{100} ($R^2 = 0.24$, adjusted $R^2 = 0.22$, RMSE = 103.06 Mg/ha). The R^2 , the adjusted R^2 and the RMSE of the combined model of the height variable and canopy density were higher than the corresponding single height model. The best combined model was used $H_{\text{mean}} + D$ ($R^2 = 0.41$, adjusted $R^2 = 0.36$, RMSE = 89.54 Mg/ha), followed by $H_{100} + D$ ($R^2 = 0.36$, adjusted $R^2 = 0.31$, RMSE = 93.68 Mg/ha). Similarly, adding the forest type information improved the accurate estimation of AGB in terms of R^2 , adjusted R^2 and RMSE. The model using $D + F_{\text{type}}$ yielded the highest adjusted R^2 and the lowest RMSE in all models ($R^2 = 0.79$, adjusted $R^2 = 0.76$, RMSE = 51.79 Mg/ha). Thus, we conclude that $D + F_{\text{type}}$ is the best model that can be used to explain AGB independent from forest type when we use CHM_{PP}.

When we used CHM_{PL}, the best single-variable model was H_{mean} ($R^2 = 0.93$, adjusted $R^2 = 0.93$, RMSE = 31.30 Mg/ha), followed by H_{50} ($R^2 = 0.92$, adjusted $R^2 = 0.92$, RMSE = 32.55 Mg/ha). The RMSE of the combined model of the height variable and canopy density was close to the corresponding single height model. In terms of the adjusted R^2 , canopy density was not informative because the adjusted R^2 of the combined model of the height variable and canopy density was equal to or smaller than the corresponding single height model. Similarly, while adding the forest type information improved the accurate estimation of AGB in terms of RMSE, forest type information is not informative in terms of the adjusted R^2 . The adjusted R^2 of single H_{mean} was the highest after considering forest type. Thus, we conclude that H_{mean} could explain AGB independent from forest type when we use CHM_{PL}.

	Variables	RMSE (Mg/ha)	R^2	Adjusted R ²
	H_{50}	109.91	0.20	0.17
	H_{100}	103.06	0.24	0.22
	$H_{\rm mean}$	98.82	0.31	0.28
CUM	D	113.99	0.10	0.06
CHIMPP	$H_{50} + D$	97.97	0.30	0.25
	$H_{100} + D$	93.68	0.36	0.31
	$H_{\text{mean}} + D$	89.54	0.41	0.36
	$H_{50} + F_{type}$	56.81	0.75	0.72

Table 3. Results of estimation models each of canopy height model using canopy height model (CHM)_{PP} and CHM_{PL}.

	Variables	RMSE (Mg/ha)	R^2	Adjusted R ²
	$H_{100} + F_{type}$	68.24	0.66	0.61
	$H_{\rm mean} + F_{\rm type}$	63.48	0.70	0.66
	$D + F_{type}$	51.79	0.79	0.76
	$H_{50} + D + F_{type}$	56.22	0.76	0.71
	$H_{100} + D + F_{type}$	67.06	0.67	0.61
	$H_{\text{mean}} + D + F_{\text{type}}$	62.12	0.71	0.65
	H_{50}	32.55	0.92	0.92
	H_{100}	55.29	0.79	0.78
	$H_{\rm mean}$	31.30	0.93	0.93
	D	114.42	0.10	0.07
	$H_{50} + D$	35.92	0.91	0.90
	$H_{100} + D$	55.16	0.79	0.78
CUM	$H_{\text{mean}} + D$	33.36	0.93	0.92
CHIVIPL	$H_{50} + F_{type}$	30.31	0.93	0.92
	$H_{100} + F_{type}$	46.10	0.84	0.81
	$H_{\rm mean} + F_{\rm type}$	28.47	0.94	0.93
	$D + F_{type}$	49.02	0.82	0.79
	$H_{50} + D + F_{type}$	31.34	0.92	0.91
	$H_{100} + D + F_{type}$	43.54	0.86	0.83
	$H_{\text{mean}} + D + F_{\text{type}}$	29.47	0.93	0.92

 Table 3. Cont.

Table 4 shows the results of the regression model fit depicting the relationship between AGB and CHM derived variables when we use CHM_{LP} and CHM_{LL}. When we use CHM_{LP}, the best single-variable model was H_{mean} ($R^2 = 0.25$, adjusted $R^2 = 0.22$, RMSE = 104.53 Mg/ha). As is the case with AGB estimation using CHM_{PP}, adding the forest type information improved the accurate estimation of AGB in terms of R^2 , adjusted R^2 and RMSE. The model using $D + F_{\text{type}}$ yielded the highest adjusted R^2 and the lowest RMSE in all models ($R^2 = 0.78$, adjusted $R^2 = 0.75$, RMSE = 53.08 Mg/ha). Thus, we conclude that $D + F_{\text{type}}$ is the best model that can be used to explain AGB independent from forest type when we use CHM_{LP}. When we use CHM_{LL} the best single-variable model was H_{mean} ($R^2 = 0.94$, adjusted $R^2 = 0.94$, RMSE = 30.73 Mg/ha). As is the case with AGB estimation using CHM_{PL}, canopy density and the forest type information did not improve the accuracy of AGB estimation. Thus, we conclude that H_{mean} is the best model that can be used to explain AGB independent from forest type when we use CHM_{LL}.

Table 1 Results of estimation models each of canony height model using ('HMy and i	1 C'HM11	model using ('HM _L) and ('HM	canony	each of	els	tion mod	stima	of e	lts of	≷esul	4 k	ahl	1
---	----------	--	--------	---------	-----	----------	-------	------	--------	-------	------------	-----	---

	Variables	RMSE (Mg/ha)	R ²	Adjusted R ²
	H_{50}	118.28	0.14	0.11
	H_{100}	106.72	0.19	0.16
	$H_{\rm mean}$	104.53	0.25	0.22
CHM _{LP}	D	124.23	0.05	0.02
	$H_{50} + D$	118.31	0.13	0.07
	$H_{100} + D$	107.73	0.17	0.11
	$H_{\rm mean} + D$	104.77	0.24	0.18

	Variables	RMSE (Mg/ha)	R^2	Adjusted R ²
	$H_{50} + F_{type}$	77.70	0.60	0.53
	$H_{100} + F_{type}$	68.80	0.66	0.60
	$H_{\rm mean} + F_{\rm type}$	77.82	0.59	0.53
	$D + F_{type}$	53.08	0.78	0.75
	$H_{50} + D + F_{type}$	78.26	0.59	0.51
	$H_{100} + D + F_{type}$	69.55	0.65	0.58
	$H_{\text{mean}} + D + F_{\text{type}}$	78.08	0.59	0.51
	H_{50}	32.17	0.93	0.92
	H_{100}	54.89	0.81	0.8
	$H_{\rm mean}$	30.73	0.94	0.94
	D	110.11	0.15	0.12
	$H_{50} + D$	33.04	0.92	0.92
	$H_{100} + D$	53.01	0.82	0.8
CUM	$H_{\text{mean}} + D$	31.25	0.94	0.93
CHIMLL	$H_{50} + F_{type}$	31.84	0.92	0.91
	$H_{100} + F_{type}$	46.45	0.84	0.81
	$H_{\rm mean} + F_{\rm type}$	28.63	0.94	0.93
	$D + F_{type}$	51.21	0.80	0.77
	$H_{50} + D + F_{type}$	32.48	0.92	0.9
	$H_{100} + D + F_{type}$	45.02	0.85	0.82
	$H_{\rm mean} + D + F_{\rm type}$	29.12	0.94	0.92

 Table 4. Cont.

Figure 3 shows the observed *versus* predicted values for AGB estimation using the best model of CHM_{PP}, CHM_{PL}, CHM_{LP} and CHM_{LL}. The predicted values using CHM_{PL} model were close to the predicted values using the CHM_{LL} model, while the predicted values using the CHM_{PP} model were far from the predicted values using the CHM_{PL} and CHM_{LL} models. Both regression lines using the CHM_{PL} and CHM_{LL} model were almost in line with the 1:1 line.



Figure 3. Cont.



Figure 3. Observed aboveground biomass (AGB) *versus* predicted AGB from the best model of each of the canopy height models (CHMs). (**a**) CHM_{PP}; (**b**) CHM_{PL}; (**c**) CHM_{LL}. A diagonal dotted line and a cross solid line indicate the 1:1 line and regression line between predicted AGB and observed AGB, respectively.

7. Discussion

When CHM_{PL} and CHM_{LL} were used, the single-variable models using H_{mean} yielded a higher R^2 and lower RMSE compared with other single-variable models (Tables 3 and 4). In the case of tropical forests, several studies using airborne LiDAR have shown how mean height can be used to estimate AGB or aboveground carbon density in the tropics [15,17,42,43]. Our study has confirmed that mean height is particularly a well suited index to estimate AGB, even if we used a DSM derived from aerial photography using the SfM approach.

The *R*², RMSE and the predicted values of the best model from CHM_{PL} were close to the best model from CHM_{LL} (Tables 3 and 4, Figure 3). These results imply that AGB estimation using metrics from a combination of aerial photography with the SfM approach and airborne LiDAR was comparable to that using metrics from only airborne LiDAR. Previous studies showed that height metrics from aerial photography allow the accurate estimation canopy height in temperate forests [32–34], and height, diameter, basal area, stem volume and AGB in boreal forests [36]. Our study demonstrated the ability to estimate AGB in tropical forests using the combination of aerial photography with the SfM approach and airborne LiDAR. Acquiring under-canopy information from aerial photography is the difficult task. Thus, difficulty in creating a DTM is the main limitation when we calculate a CHM using only aerial photography. As a result, the estimation accuracy using CHM_{PP} and CHM_{LP} were lower than the estimation accuracy using CHM_{PL} and CHM_{LL} (Tables 3 and 4). These results imply that the accuracy of a DTM derived from aerial photography is not enough to estimate AGB in the tropics and that, for the accurate estimation of AGB, at least a single acquisition of airborne LiDAR is required to create a DTM. However, once a DTM was created by airborne LiDAR, aerial photographs

can be used to accurately estimate AGB. This represents a strong advantage in the case of REDD+ implementation because AGB should be estimated repeatedly to monitor deforestation and forest degradation over extended time frames for REDD+ implementation. While this study used a helicopter to acquire the aerial photography, use of a low-cost Unmanned Aerial Vehicle (UAV) is becoming a popular method to collect aerial photographs [34,44]. A UAV may contribute to a dramatic cost reduction of repeated monitoring of tropical forests. Thus, we need further research to evaluate the applicability of aerial photographs captured from a UAV, instead of manned aerial vehicle, with SfM approaches in tropical forests.

CHM_{PL} accurately estimated AGB without the consideration of forest types (Table 3). Similar results were obtained in previous studies that estimated AGB using airborne LiDAR in boreal coniferous forests [45], temperate coniferous forests [46] and tropical forests [18]. Our results confirmed that the combination of aerial photography with the SfM approach and airborne LiDAR can also estimate AGB independently of forest type in tropical forests. These results imply that the calibration process of the equation between AGB and CHM for each individual forest type is not necessary. Only the calibration process for data sets gathering forest types all together is adequate. This may provide a strong advantage for the use of aerial photography and airborne LiDAR in areas where several forest types are intricately distributed and mixed, because it is possible to simplify the calibration process, including laborious and time-consuming forest inventory for each forest type [18].

Although several studies have demonstrated the power of using airborne LiDAR to estimate AGB, the acquisition of airborne LiDAR is costly. Thus, alternative approaches that can be used to estimate AGB are required. In this study, we investigated the capabilities of a DSM derived from aerial photograph using the SfM approach to estimate AGB in tropical forests and compared the results with the more promising airborne LiDAR approach. In conclusion, AGB can be estimated from metrics derived from aerial photographs using the SfM approach in the tropics. However, for the accurate estimation of AGB, we need a more accurate DTM than the DTM derived from aerial photography using SfM approach. Thus, the acquisition of airborne LiDAR that can be used to create a DTM is required. When we calibrate the parameters of the equation between AGB and related metrics, the calibration process for each individual forest type is not necessary. In the future, the applicability of UAV with SfM approaches in tropical forests should be evaluated.

Acknowledgments

This study is part of the "Technology Development for Circulatory Food Production Systems Responsive to Climate Change" project and was supported by the Ministry of Agriculture, Forestry and Fisheries, Japan.

Author Contributions

All authors contributed extensively to the work. Tetsuji Ota and Miyuki Ogawa performed the processing and lead writing of the manuscript. Katsuto Shimizu, Tsuyoshi Kajisa, Nobuya Mizoue, Shigejiro Yoshida supervised the research work, reviewed the manuscript and gave comments and suggestions to improve the manuscript. Gen Takao, Yasumasa Hirata and Naoyuki Furuya reviewed the manuscript and gave comments and suggestions on the manuscript, making sure to include insights

on tropical forests and related forest practices. Takio Sano contributed to acquiring remote sensing data in Cambodia. Heng Sokh and Vuthy Ma liaised to obtain permission to conduct research in the Cambodian forest and provided significant knowledge about forests in Cambodia. Eriko Ito, Jumpei Toriyama, Yukako Monda, Hideki Saito, Yoshiyuki Kiyono, Sophal Chann and Nang Ket contributed to conducting field measurements.

Conflicts of Interest

The authors declare no conflict of interest.

References

- 1. Gibbs, H.K.; Brown, S.; Niles, J.O.; Foley, J.A. Monitoring and Estimating Tropical Forest Carbon Stocks: Making REDD a Reality. *Environ. Res. Lett.* 2007, *2*, doi:10.1088/1748-9326/2/4/045023.
- Lewis, S.L.; Lopez-Gonzalez, G.; Sonké, B.; Affum-Baffoe, K.; Baker, T.R.; Ojo, L.O.; Phillips, O.L.; Reitsma, J.M.; White, L.; Comiskey, J.A.; *et al.* Increasing Carbon Storage in Intact African Tropical Forests. *Nature* 2009, 457, 1003–1006.
- 3. Geist, H.J.; Lambin, E.F. Proximate Causes and Underlying Driving Forces of Tropical Deforestation. *Bioscience* **2002**, *52*, 143–150.
- Hosonuma, N.; Herold, M.; De Sy, V.; de Fries, R.S.; Brockhaus, M.; Verchot, L.; Angelsen, A.; Romijn, E. An Assessment of Deforestation and Forest Degradation Drivers in Developing Countries. *Environ. Res. Lett.* 2012, 7, doi:10.1088/1748-9326/7/4/044009.
- Canadell, J.G.; Le Quéré, C.; Raupach, M.R.; Field, C.B.; Buitenhuis, E.T.; Ciais, P.; Conway, T.J.; Gillett, N.P.; Houghton, R.A.; Marland, G. Contributions to Accelerating Atmospheric CO₂ Growth from Economic Activity, Carbon Intensity, and Efficiency of Natural Sinks. *Proc. Natl. Acad. Sci. USA* 2007, *104*, 18866–18870.
- 6. Houghton, R.A. Carbon Emissions and the Drivers of Deforestation and Forest Degradation in the Tropics. Current Opinion in Environmental Sustainability **2012**, *4*, 597–603.
- Le Quéré, C.; Raupach, M.R.; Canadell, J.G.; Marland, G.; Bopp, L.; Ciais, P.; Conway, T.J.; Doney, S.C.; Feely, R.A.; Foster, P.; *et al.* Trends in the Sources and Sinks of Carbon Dioxide. *Nat. Geosci.* 2009, *2*, 831–836.
- 8. Pearson, T.R.H.; Brown, S.; Casarim, F.M. Carbon Emissions from Tropical Forest Degradation Caused by Logging. Environmental Research Letters **2014**, *9*, doi:10.1088/1748-9326/9/3/034017.
- Böttcher, H.; Eisbrenner, K.; Fritz, S.; Kindermann, G.; Kraxner, F.; McCallum, I.; Obersteiner, M. An Assessment of Monitoring Requirements and Costs of 'Reduced Emissions from Deforestation and Degradation'. *Carbon Balance Manag.* 2009, *4*, 7.
- 10. Van Leeuwen, M.; Nieuwenhuis, M. Retrieval of Forest Structural Parameters using LiDAR Remote Sensing. *Eur. J. For. Res.* **2010**, *129*, 749–770.
- 11. Moeser, D.; Roubinek, J.; Schleppi, P.; Morsdorf, F.; Jonas, T. Canopy Closure, LAI and Radiation Transfer from Airborne LiDAR Synthetic Images. *Agric. For. Meteorol.* **2014**, *197*, 158–168.

- 12. Chen, Q.; Gong, P.; Baldocchi, D.; Tian, Y.Q. Estimating Basal Area and Stem Volume for Individual Trees from Lidar Data. Photogramm. *Eng. Remote Sens.* **2007**, *73*, 1355–1365.
- Coops, N.C.; Hilker, T.; Wulder, M.A.; St-Onge, B.; Newnham, G.; Siggins, A.; Trofymow, J.A. Estimating Canopy Structure of Douglas-Fir Forest Stands from Discrete-Return LiDAR. *Trees—Struct. Funct.* 2007, 21, 295–310.
- 14. Englhart, S.; Jubanski, J.; Siegert, F. Quantifying Dynamics in Tropical Peat Swamp Forest Biomass with Multi-Temporal LiDAR Datasets. *Remote Sens.* **2013**, *5*, 2368–2388.
- Ioki, K.; Tsuyuki, S.; Hirata, Y.; Phua, M.-H.; Wong, W.V.C.; Ling, Z.-Y.; Saito, H.; Takao, G. Estimating Above-Ground Biomass of Tropical Rainforest of Different Degradation Levels in Northern Borneo using Airborne LiDAR. *For. Ecol. Manag.* 2014, *328*, 335–341.
- Asner, G.P.; Mascaro, J.; Muller-Landau, H.C.; Vieilledent, G.; Vaudry, R.; Rasamoelina, M.; Hall, J.S.; van Breugel, M. A Universal Airborne LiDAR Approach for Tropical Forest Carbon Mapping. *Oecologia* 2012, *168*, 1147–1160.
- Asner, G.P.; Powell, G.V.N.; Mascaro, J.; Knapp, D.E.; Clark, J.K.; Jacobson, J.; Kennedy-Bowdoin, T.; Balaji, A.; Paez-Acosta, G.; Victoria, E.; *et al.* High-Resolution Forest Carbon Stocks and Emissions in the Amazon. *Proc. Natl. Acad. Sci. USA* 2010, *107*, 16738–16742.
- 18. Ota, T.; Kajisa, T.; Mizoue, N.; Yoshida, S.; Takao, G.; Hirata, Y.; Furuya, N.; Sano, T.; Ponce-Hernandez, R.; Ahmed, O.S.; *et al.* Estimating Aboveground Carbon using Airborne LiDAR in Cambodian Tropical Seasonal Forests for REDD+ Implementation. *J. For. Res.* 2015, in press.
- Pflugmacher, D.; Cohen, W.B.; Kennedy, R.E.; Yang, Z. Using Landsat-Derived Disturbance and Recovery History and Lidar to Map Forest Biomass Dynamics. *Remote Sens. Environ.* 2014, 151, 124–137.
- Loepfe, L.; Martinez-Vilalta, J.; Oliveres, J.; Piñol, J.; Lloret, F. Feedbacks between Fuel Reduction and Landscape Homogenisation Determine Fire Regimes in Three Mediterranean Areas. *For. Ecol. Manag.* 2010, 259, 2366–2374.
- Balenovic, I.; Seletkovic, A.; Pernar, R.; Jazbec, A. Estimation of the Mean Tree Height of Forest Stands by Photogrammetric Measurement using Digital Aerial Images of High Spatial Resolution. *Ann. For. Res.* 2015, 58, 125–143.
- 22. Massada, A.B.; Carmel, Y.; Even Tzur, G.; Grünzweig, J.M.; Yakir, D. Assessment of Temporal Changes in Aboveground Forest Tree Biomass using Aerial Photographs and Allometric Equations. *Can. J. For. Res.* **2006**, *36*, 2585–2594.
- 23. Gong, P.; Sheng, Y.; Biging, G.S. 3D Model-Based Tree Measurement from High-Resolution Aerial Imagery. Photogramm. *Eng. Remote Sens.* **2002**, *68*, 1203–1212.
- 24. Bohlin, J.; Wallerman, J.; Fransson, J.E.S. Forest Variable Estimation using Photogrammetric Matching of Digital Aerial Images in Combination with a High-Resolution DEM. *Scand. J. For. Res.* **2012**, *27*, 692–699.
- Järnstedt, J.; Pekkarinen, A.; Tuominen, S.; Ginzler, C.; Holopainen, M.; Viitala, R. Forest Variable Estimation using a High-Resolution Digital Surface Model. *ISPRS J. Photogramm. Remote Sens.* 2012, 74, 78–84.

- Fonstad, M.A.; Dietrich, J.T.; Courville, B.C.; Jensen, J.L.; Carbonneau, P.E. Topographic Structure from Motion: A New Development in Photogrammetric Measurement. *Earth Surf. Process. Landforms* 2013, 38, 421–430.
- 27. Snavely, N.; Seitz, S.M.; Szeliski, R. Modeling the World from Internet Photo Collections. *Int. J. Comput. Vis.* **2008**, *80*, 189–210.
- 28. Turner, D.; Lucieer, A.; de Jong, S.M. Time Series Analysis of Landslide Dynamics using an Unmanned Aerial Vehicle (UAV). *Remote Sens.* **2015**, *7*, 1736–1757.
- Lucieer, A.; Jong, S.M.; Turner, D. Mapping Landslide Displacements using Structure from Motion (SfM) and Image Correlation of Multi-Temporal UAV Photography. *Prog. Phys. Geogr.* 2014, 38, 97–116.
- Niethammer, U.; Rothmund, S.; Schwaderer, U.; Zeman, J.; Joswig, M. Open Source Image-Processing Tools for Low-Cost UAV-Based Landslide Investigations. In International Archives of the Photogrammetry, Remote Sensing and Spatial Information Sciences—ISPRS Archives; ISPRS: Zurich, Switzerland, 2011; pp. 161–166.
- 31. James, M.R.; Robson, S. Mitigating Systematic Error in Topographic Models Derived from UAV and Ground-Based Image Networks. *Earth Surf. Process. Landforms* **2014**, *39*, 1413–1420.
- 32. Lisein, J.; Pierrot-Deseilligny, M.; Bonnet, S.; Lejeune, P. A Photogrammetric Workflow for the Creation of a Forest Canopy Height Model from Small Unmanned Aerial System Imagery. *Forests* **2013**, *4*, 922–944.
- 33. Dandois, J.P.; Ellis, E.C. Remote Sensing of Vegetation Structure using Computer Vision. *Remote Sens.* **2010**, *2*, 1157–1176.
- 34. Dandois, J.P.; Ellis, E.C. High Spatial Resolution Three-Dimensional Mapping of Vegetation Spectral Dynamics using Computer Vision. *Remote Sens. Environ.* **2013**, *136*, 259–276.
- Véga, C.; St-Onge, B. Height Growth Reconstruction of a Boreal Forest Canopy Over a Period of 58 Years using a Combination of Photogrammetric and Lidar Models. *Remote Sens. Environ.* 2008, 112, 1784–1794.
- Vastaranta, M.; Wulder, M.A.; White, J.C.; Pekkarinen, A.; Tuominen, S.; Ginzler, C.; Kankare, V.; Holopainen, M.; Hyyppä, J.; Hyyppä, H. Airborne Laser Scanning and Digital Stereo Imagery Measures of Forest Structure: Comparative Results and Implications to Forest Mapping and Inventory Update. *Can. J. Remote Sens.* 2013, *39*, 382–395.
- Miyazawa, Y.; Tateishi, M.; Komatsu, H.; Ma, V.; Kajisa, T.; Sokh, H.; Mizoue, N.; Kumagai, T. Tropical Tree Water use Under Seasonal Waterlogging and Drought in Central Cambodia. J. Hydrol. 2014, 515, 81–88.
- 38. Brown, S. *Estimating Biomass and Biomass Change of Tropical Forests: A Primer*; Food & Agriculture Org.: Rome, Italy, 1997.
- 39. Axelsson, P. Processing of Laser Scanner Data—Algorithms and Applications. *ISPRS J. Photogramm. Remote Sens.* **1999**, *54*, 138–147.
- 40. Turner, D.; Lucieer, A.; Wallace, L. Direct Georeferencing of Ultrahigh-Resolution UAV Imagery. *IEEE Trans. Geosci. Remote Sens.* **2014**, *52*, 2738–2745.
- 41. Agisoft LLC. Agisoft PhotoScan User Manual Professional Edition, Version 1.1. Available online: http://www.Agisoft.Com/Pdf/Photoscan-pro_1_1_en.Pdf (accessed on 4 July 2015).

- Asner, G.P.; Clark, J.K.; Mascaro, J.; Vaudry, R.; Chadwick, K.D.; Vieilledent, G.; Rasamoelina, M.; Balaji, A.; Kennedy-Bowdoin, T.; Maatoug, L.; *et al.* Human and Environmental Controls Over Aboveground Carbon Storage in Madagascar. *Carbon Balance Manag.* 2012, 7, doi:10.1186/1750-0680-7-2.
- Mascaro, J.; Asner, G.P.; Muller-Landau, H.C.; Van Breugel, M.; Hall, J.; Dahlin, K. Controls Over Aboveground Forest Carbon Density on Barro Colorado Island, Panama. *Biogeosciences* 2011, *8*, 1615–1629.
- 44. Han, J.; Xu, Y.; Di, L.; Chen, Y. Low-Cost Multi-UAV Technologies for Contour Mapping of Nuclear Radiation Field. J. Intell. Robot. Syst.: Theory Appl. 2013, 70, 401–410.
- 45. Næsset, E. Estimation of above-and below-ground biomass in boreal forest ecosystems. In International Society of Photogrammetry and Remote Sensing. International Archives of Photogrammetry, Remote Sensing and Spatial Information Sciences; Thies, M., Kock, B., Spiecker, H., Weinacker, H., Eds.; International Society of Photogrammetry and Remote Sensing (ISPRS): Freiburg, Germany, 2004; pp. 145–148.
- 46. Lefsky, M.A.; Cohen, W.B.; Harding, D.J.; Parker, G.G.; Acker, S.A.; Gower, S.T. Lidar Remote Sensing of Above-Ground Biomass in Three Biomes. *Global Ecol. Biogeogr.* **2002**, *11*, 393–399.

 \bigcirc 2015 by the authors; licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution license (http://creativecommons.org/licenses/by/4.0/).