



Article Hydroclimate Variations across North-Central China during the Past 530 Years and Their Relationships with Atmospheric Oscillations

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Abstract: Detailed study of historical drought events in North-Central China (NCC) is important to understand current hydroclimate variability in the background of global warming. Here, we combined 12 published tree-ring chronologies and 12 dryness/wetness indices (DWI) to reconstruct dry and wet climate variability across NCC. These 24 proxy records showed similarly significant responses to warm season (May–June–July–August–September, MJJAS) moisture signals. A new 530-year-long reconstruction of self-calibrating Palmer Drought Severity Index (scPDSI) values for the warm season in NCC was determined using a nested principal component regression (PCR) approach. The new reconstruction shows significant correlations with the instrumental MJJAS scPDSI data across NCC during the period AD 1901–2012. The reconstructed MJJAS scPDSI revealed seven severe dry/wet events from AD 1470 to 2012. The periods AD 1701–1727 and AD 1985–2011 represent the longest dry periods, and the drought during the 1920s is identified as the most severe one over the past 530 years. Our reconstruction shows significant interannual spectral peaks at the frequency domain of 2–7 years, together with relatively weaker decadal frequencies of 16, 24, and 78 years. The results of superposed epoch analysis (SEA) show that extreme North Atlantic Oscillation (NAO) years may modulate drought variability in NCC.

Keywords: tree-ring; dryness/wetness indices (DWI); drought variability; Indo-Pacific; NAO

1. Introduction

Observational data and model simulations commonly suggest that global drought events are increasingly aggravated due to global warming [1–3], but Sheffield et al. [4] and Greve et al. [5] have reported that the extent of global aridity has not changed significantly over the past 60 years. Similar confusion surrounds the magnitude and impact of climate change in China itself. For example, several studies have shown that China exhibited a drying trend during this period, and that increased aridity led to water scarcity and caused detrimental economic impacts [6–8]; however, other studies suggest that there was no change in dry event frequency in China from the year of 1961 to 2012 [9]. This discrepancy is likely due to different studies using different gridded datasets [10]; for example, the Palmer Drought Severity Index (PDSI), the standardized precipitation index (SPI), and the standardized precipitation evapotranspiration index (SPEI) consider different climatic factors and are appropriate of specific regions, accordingly, producing conflicting results. Therefore, the strategies of selecting datasets are crucial, and some types of climate studies are better than others at accurately modeling historical dry conditions at the sub-regional scale.

Substantial research has been performed on the climate of North-Central China (NCC, 101–113° E, 33–42° N) since 1951, with results obtained using different indices (e.g., monthly



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). precipitation, surface wetness index, PDSI, and soil moisture retrieval) consistently showing that the region was extremely arid [11,12]. Instrumental data and observations record an intensifying drying trend in NCC since the 1950s that was mainly caused by global warming and a decrease in regional precipitation [13]. Not only that, with increasing anthropogenic disruption, proxy data showed that river flow rates in the middle of the Yellow River had reduced substantially since the late 1960s [14]. Drought is one of the principal factors that limit economic growth, agricultural prosperity, and societal development, such that variations in hydroclimate in the NCC region have recently attracted much attention in the literature.

Paleoclimate data can be used to investigate long-term dry/wet variation and can strengthen our understanding of past and present hydroclimates. The longest dendroclimatic reconstruction in China for Asian monsoon rainfall has revealed a long-term decrease in precipitation in the northeastern Tibetan Plateau (TP) over the past 6700 years [15]. Moisture reconstructions based on tree-ring proxies have been performed for NCC [16–19], but these reconstructions only extend to several centuries in the past, limiting our understanding of the current hydroclimate anomalies with a long-term perspective, although a few millennial hydroclimate reconstructions are reported for the surrounding areas [20,21]. Moisture-sensitive pollen [22,23], lake sediments [24–26], and speleothems [27–29] could preserve hydroclimate information over NCC in the past millennium (AD 1000–1999), but they often have a relatively low temporal resolution.

In this study, we combined 12 previously published tree-ring chronologies and 12 dryness/wetness indices (DWI) records at an annual resolution to develop a new regional-scale self-calibrating PDSI (scPDSI) reconstruction over NCC for the warm season (May–June– July–August–September, MJJAS) during the past 530 years. We analyzed the relationships of our reconstruction with atmospheric oscillations and compared them with other previously reported hydroclimate records across the surrounding areas.

2. Materials and Methods

2.1. Study Region

The portion of NCC studied here was delimited between 101–113° E and 33–42° N (Figure 1), and contains arid, semi-arid, and semi-humid areas, which are climatically sensitive zones and monsoon transitional regions [11,20]. The climate in this area is controlled by the Asian monsoon, which leads to very dry winter (December–January–February) and spring (March–April–May) seasons, with substantial precipitation in summer (June–July–August) and autumn (September–October–November) each year. Calculated precipitation rates and mean temperatures were determined using data collected from 67 meteorological stations in our target NCC over the period AD 1951–2012. These data show that 67% of the region's annual precipitation occurs from May to September, and the average annual temperature is 7.8 °C. The study area contains the Loess Plateau, the Ordos Plateau, and the middle reaches of the Yellow River Basin. These unique geographical and physioclimatic characteristics lead to drought being more common than flood events.



Figure 1. Locations of the 12 tree-ring sites, 12 DWI sites, and 17 MADA PDSI sites across NCC considered in this study. The abbreviation ASML (green line in the small panel) refers to the Asian summer monsoon limit [30,31].

2.2. Climate Data and MADA Data

We extracted the temperature and precipitation dataset on a 0.5° latitude by 0.5° longitude grid from Climatic Research Unit (CRU) TS 4.06 [32] on a monthly basis from AD 1950 to 2012. Monthly scPDSI data [33] were obtained from CRU from AD 1901 to 2012. Thirty scPDSI grid datasets were employed with a resolution of 0.5° latitude by 0.5° longitude, which provided complete coverage of NCC. Positive values of scPDSI represent wet conditions and negative values represent dry conditions. Averaged warm season scPDSI data, i.e., May–June–July–August–September (MJJAS), were used to further analyze the relationships with proxy data (tree-ring and DWI data) in NCC.

The Monsoonal Asia Drought Atlas (MADA) [34] contains spatially gridded data sets of the PDSI for the months of June, July, and August, covering the areas of Asian summer monsoon during the past millennium. This dataset comprised 534 grid points on a $2.5^{\circ} \times 2.5^{\circ}$ grid, with MADA PDSI reconstructions produced using a network of Asian tree-ring data. We extracted 17 grid points (Figure 1) adjacent to our tree-ring sites and DWI sites from this MADA PDSI dataset to compare against our scPDSI reconstruction.

2.3. Tree-Ring Data and DWI Data

We compiled 11 tree-ring width (TRW) chronologies and one tree-ring oxygen isotope chronology for this study (Figure 1). These tree-ring data provide comprehensive records of local moisture (i.e., precipitation and/or drought) variations (Table 1, ref. incl. [35–46]) at an annual timescale and provide accurate and continuous data throughout the study period. These 12 chronologies have variable lengths of 141–395 years and a median length of 260 years.

Table 1. The 12 moisture-limited tree-ring chronologies across NCC. Correlations are shown between tree-ring chronologies and averaged May–June–July–August–September scPDSI data for NCC over the period AD 1901–2012. The results of correlation with scPDSI are marked with one or two asterisks, which indicate significant correlations at the 95% and 99% confidence levels, respectively. Abbreviations are as follows: TRW—tree-ring width.

Site	Lat. (°N)	Long. (°E)	Туре	Period (AD)	Correlation Coefficient with scPDSI	Reference
Kongtong	35.54	106.51	TRW	1615-2009	0.377 **	[35]
Guiqing	34.63	104.47	TRW	1618-2006	0.313 **	[36]
Hasi	37.03	104.47	TRW	1698-2012	0.453 **	[37]
Helan	39.08	106.08	TRW	1717-1999	0.296 **	[38]
Taibai	33.57	107.37	TRW	1740-2004	-0.028	[39]
Tianzhu	37.50	102.3	TRW	1750-2009	0.197 *	[40]
Shandan	37.95	102.22	TRW	1783-2006	0.242 *	[41]
Xinglong	35.78	104.07	TRW	1794-2002	0.416 **	[42]
Songmingyan	35.55	103.3	TRW	1804-2010	0.296 **	[43]
Ordos	39.40	110.7	$\delta^{18}O$	1808-2012	-0.420 **	[44]
Wuwei	37.80	102.7	TRW	1856-2009	0.271 **	[45]
Changlinshan	37.45	103.68	TRW	1860-2000	0.281 **	[46]

* and ** denote confidence levels at 95% and 99%, respectively.

The DWI dataset contains 120 dry/wet grade sites within China that contain data covering the period AD 1470–2000 [47–49]. Nineteen of these DWI sites have been updated to the year AD 2008 and seven DWI sites have been added to extend coverage across northwestern China [50]. The DWI dataset contains information from local historical documents and court proceedings, and thus provides an official record of moisture variability over the past five centuries in China. The DWI dataset categorizes each year's drought severity as either very wet (grade 1), wet (grade 2), normal (grade 3), dry (grade 4), or very dry (grade 5).

In our study, we used data from 12 DWI sites located within 101–113° E and 33–42° N (Figure 1), although we also note that the DWI series from Hohhot, Tianshui, and Lanzhou lacked data in 6, 10, and 12 separate years, respectively. The missing data were interpolated using a regularized expectation maximization method for consistency [51]. The other nine DWI series data were all integrated and continuous. DWI data from Xi'ning, Lanzhou, Tianshui, Yinchuan, Yan'an, and Xi'an covered the period from AD 1470 to 2008, whereas data for the Bailingmiao, Hohhot, Shanba, Eketuo, Taiyuan, and Linfen DWI sites covered the period from AD 1470 to 2000.

2.4. Methods

An MJJAS mean scPDSI reconstruction was constructed over the NCC study area using a nested principal component regression (PCR; [52–56]). This approach created a few nests, considering that the number of available tree-ring and DWI records decreased before the earliest common year (AD 1860) and after the latest common year (AD 1999) of the 24 tree-ring and DWI records. We used a sliding window approach for calibration (i.e., using 2/3 length of instrumental data over the period AD 1950–1999) and verification (i.e., using 1/3 length of instrumental data over the period AD 1950–1999) to produce the final reconstruction [56]. In each nest reconstruction, the initial calibration interval extends from AD 1950–1982 with an increment of one year to the final interval AD 1967–1999, creating an ensemble of 18 reconstruction members. The reduction of error (RE), coefficient of efficiency (CE), root mean square error (RMSE), and R² statistics were used to assess the skill of each nested model [57]. The final scPDSI reconstruction, RE, CE, R², and RMSE values were expressed as the ensemble median of the 18 ensemble members.

Spatial correlations between our scPDSI reconstruction and climatic data were explored using the KNMI Climate Explorer software (Royal Netherlands Meteorological Institute; https://climexp.knmi.nl/, accessed on 17 March 2023). We used the multi-taper method (MTM) of spectral analysis [58] to investigate the periodicity of our reconstruction. The superposed epoch analysis (SEA; [59]) approach was used to assess the influence of the North Atlantic Oscillation (NAO) on hydroclimate variability in our study region.

3. Results and Discussion

3.1. The scPDSI Reconstruction

An MJJAS scPDSI reconstruction for NCC from AD 1470 to 2012 was generated using the PCR method (Figure 2). The values of CE and RE for all nests are greater than zero, which imply that our PCR model passed the validation test and that the scPDSI reconstruction was reliable. It should be noted that the earlier part of reconstruction that is solely created by DWI data also has a high skill as the RE and CE are positive, and the explained variance is higher than 60% during this period (Figure 2b,c). Our scPDSI reconstruction shows rather consistent interannual variations with the CRU instrumental scPDSI data over the study region from AD 1950 to 2012, as characterized by a significantly high correlation coefficient of 0.89 ($n_{year} = 63$, p < 0.01) (Figure 3a).

The regional temporal series of moisture anomalies in NCC from AD 1470 to 2012 is shown in Figure 2a. The mean value of our reconstructed scPDSI was 0, which is within the range of the defined near normal status (-0.5~0.5) [60]. To identify the phases of wet and dry conditions in reconstruction, positive and negative values indicate wet and dry periods of the same category [60], respectively. Pluvial and drought events of the scPDSI reconstruction were recognized by identifying calculated values that were more than one standard deviation ($\sigma = 0.74$) above or below the mean value [61], respectively. The application of a 30-year low-pass filter revealed seven severe dry events at AD 1479–1499, 1626–1644, 1682–1692, 1701–1727, 1830–1840, 1922–1932, and AD 1985–2011, with these drought events having more than 10 consecutive years of negative scPDSI anomalies. Additional short-period drought events that lasted less than 10 consecutive years were also identified during AD 1527–1533, 1580–1588, 1745–1750, 1762–1770, 1809–1815, 1845–1847, 1859–1867, 1876–1884, and AD 1970–1975. Seven wet periods that lasted at least

10 years were recorded during AD 1534–1579, 1589–1608, 1645–1680, 1730–1740, 1778–1795, 1902–1914, and AD 1933–1980. Thus, phases of sustained drought generally lasted longer than wet periods. The longest dry events occurred in the periods AD 1701–1727 and AD 1985–2011, which both had 27 consecutive years of drought, and the latter of these two events was the most severe period of drought since AD 1470. When examined at annual timescales, the AD 1928 and AD 1964 events were the driest and the most humid phases, respectively.



Figure 2. The MJJAS scPDSI reconstruction for NCC region from AD 1470 to 2012. Black and red lines in (**a**) are the annual reconstruction and 30-year low-pass filter, respectively. Reduction of error (RE), coefficient of efficiency (CE), RMSE, and R² statistics for each nest are shown in (**b**). The number of records in ((**c**), blue) denotes the number of sites where tree-ring chronologies and DWI series, and the number of PCs (red) denotes the number of principal components used in each nest.



Figure 3. Panel (**a**) shows the scPDSI reconstruction (red line) compared with instrumental MJJAS scPDSI data (black line) over the study region from AD 1950 to 2012. Panel (**b**) shows the spectral properties of our reconstruction, where the green, red, and blue lines represent the 90%, 95%, and 99% significance levels, respectively.

3.2. The Comparison with Other Hydroclimate Reconstructions

A comparison of our scPDSI reconstruction with the MADA PDSI reconstruction [34] produced a significant correlation coefficient of 0.39 ($n_{year} = 531$, p < 0.01) during their common period AD 1470 to 2010. The correlations became stronger (r = 0.44, p < 0.01) and significant at the 99% level after the serials were processed using a 30-year smoothing over the past five centuries. This result suggests that there were similar dry/wet fluctuations identified in each model, particularly during periods of drought (Figure 4a,b). These results also show that our scPDSI reconstruction accurately reproduced low-frequency variations

(Figure 4a). Some differences are noted between these two series, such as our reconstruction reflecting drought conditions from AD 1600 to 1625, whereas the MADA PDSI reconstructions indicated a wet period. Such differences might be related to differences in proxy data and the methods employed. Nonetheless, major mega-drought periods were identified in both reconstructions, particularly in AD 1626–1644, 1922–1932, and AD 1985–2000.



Figure 4. Comparisons of our scPDSI reconstruction (**a**) with the MADA PDSI reconstruction ((**b**), [34]), Northern China Plain precipitation variability ((**c**), [62]), Northern China precipitation reconstruction ((**d**), [63]), and NASM drought variability ((**e**), [20]). Bold curves indicated the application of a 30-year algorithm smoothing during their common period (AD 1470–2010). The common dry periods were shaded in grey.

Zheng et al. [62] reconstructed the precipitation variability over eastern China (east of 105° E, 25–40° N approximately) for the period AD 501–2000. A strong significant positive relationship was found between our scPDSI reconstruction and precipitation variability of North China Plain (34–40° N approximately; Figure 4c; [62]), a sub-region of eastern China, at annual time scales (r = 0.332, n_{year} = 542, p < 0.01). A higher correlation was also found (r = 0.565, n_{year} = 526, p < 0.01) for the 30-year smoothed data. A similar drought/wet variation was shown in these two chronologies (Figure 4a,c) during the past 530 years.

We also compared our scPDSI reconstruction with the precipitation variations of the fringe of the Asian summer monsoon in Northern China (100–120° E, 33–45° N; [63]) from AD 1470 to 2000 (Figure 4d), and the two series were significantly positively correlated with r = 0.601 ($n_{year} = 531$, p < 0.01). The dry period in our sequences (Figure 4a) also existed in Northern China (Figure 4d).

Several drought events (e.g., AD 1626–1644, 1701–1727, and AD 1922–1932) presented in our reconstruction are consistent with the results of studies performed adjacent to NCC (Figure 4e; [20]). Such persistent dry conditions likely had a severe impact on society and its economy at the time. For example, the AD 1626–1644 drought identified in NCC may have influenced the collapse of the Ming Dynasty in China [64,65], and well-known droughts recorded in AD 1922–1932 had highly detrimental impacts on agriculture, which in turn caused extensive social disorder, further famine, and led to the death of 10 million people in northern China [66]. A persistent drought disaster was documented in more than 13 provinces in NCC during AD 1876–1884, which was most severe in AD 1876–1878, and was characterized by over 340 days of non-soaking rain in the Shaanxi, Henan, and Shanxi provinces [67]. This period of extreme drought led to large-scale migration of climate refugees, causing a dramatic decrease in the area's population by approximately 20 million people [68].

The out-of-phase relationship between our reconstruction and the northern fringe of the Asian summer monsoon region (NASM; Figure 4e; [20]) reconstruction was found during the period AD 1850–2011. It was likely modulated by the temperature increment. It is noteworthy that the prolonged drought period recorded from AD 1985 to 2011 occurred in our reconstruction during a period of global warming. This implies that periods of dry conditions in NCC may last for increasingly longer periods in the future as global temperatures continue to rise, although further research is required to validate this hypothesis.

3.3. The Spatial Representation of Our Reconstruction

We calculated the spatial correlations between our MJJAS scPDSI reconstruction with temperature, precipitation, and scPDSI from the CRU dataset [32]. This comparison produced significantly (p < 0.1) negative correlations between our reconstruction and CRU temperatures in the period AD 1901–2012 (Figure 5a). The strength of this spatial correlation increased during the recent 60 years of the model, with correlation coefficients ranging from -0.5 to -0.3 (p < 0.1; Figure 5b). There was a robust and positive spatial correlation between our reconstruction with CRU precipitation and scPDSI data, as shown in Figure 5c–f. The spatial correlations in AD 1950–2012 were stronger than those in AD 1901–2012, and correlation coefficients up to ~0.6 (p < 0.1) appeared in our study region (Figure 5c–f). This result suggests that our scPDSI reconstruction can accurately express hydroclimate variability in the NCC region.



Figure 5. Spatial correlations between MJJAS scPDSI reconstruction with temperature (**a**,**b**), precipitation (**c**,**d**), and the instrumental scPDSI (**e**,**f**) for the two common periods during AD 1901–2012 and 1950–2012, separately. Statistically significant correlations (p < 0.1) are shown in each panel.

3.4. Relationships with Large-Scale Climate Modes

The MTM method was used to investigate the spectral properties of our reconstruction from AD 1470 to 2012 (Figure 3b). Significant spectral peaks were identified with periods of 2–7 years and 24 years at the 99% and 95% levels, respectively. We also found periodicities of 16 years and 78 years with significance at the 90% level. The dominant oscillations of 2–7 years suggest that dry and wet variability over the study region may be associated with the El Niño-Southern Oscillation (ENSO; [69]). Figure 6 shows spatial correlations between our scPDSI reconstruction and sea surface temperature (SST) over their common period. Significant (p < 0.1) negative correlations ($r \sim -0.5$) occurred in the eastern equatorial Pacific from AD 1950 to 2012 (Figure 6a), which shows that drought variability over the NCC study region could have been influenced by the ENSO. This is supported by previous studies that have also shown that SST variation in the eastern equatorial Pacific can significantly influence the climate in North China [35,37,44,70].



Figure 6. Spatial correlation patterns of (**a**) our scPDSI reconstruction and (**b**) 10-year running mean of our scPDSI reconstruction with the concurrent SST for their common period.

The Pacific Decadal Oscillation (PDO) has similar characteristics with the ENSO, but occurs at a longer (decadal) time scale [71]. The PDO has two energetic periodicities that last 15–25 years and 50–70 years [71]. The 16-year and 24-year spectral cycles identified in our reconstruction may therefore be likely associated with the PDO. The PDO is known to have been closely related to dry/wet variations within northern China over the last 100 years, with the positive phase of the PDO corresponding to dry conditions in the region and the negative phase corresponding to wet conditions [72]. The spatial correlation results shown here for the 10-year running mean record weaker correlations in the eastern equatorial Pacific region, but still have significantly (p < 0.1) negative values ranging from -0.5 to -0.3 (Figure 6b).

Hurrell et al. [73] reported that significant interannual variability of the NAO has periods of 2–3 and 7–8 years. Based on long-term analysis of tree-ring proxy data [57,74], the reconstructed NAO series also has interdecadal fluctuations with periods of 16–28 years and 50–88 years. These reported NAO cycles agree with the frequencies determined in our scPDSI reconstruction. Previous research has shown that there is out-of-phase variation between the NAO and rainfall in North China [75]. The NAO can significantly impact the intensity of rainfall in Central Asia and North China, with a weaker NAO corresponding to wetter conditions and a stronger NAO corresponding to drier conditions [76]. Based

on dendrochronology, Li et al. [77] also showed that drought variability along the eastern margin of the Loess Plateau was related to the North Atlantic SST tripolar pattern.

We adopted SEA to determine whether hydroclimate anomalies in our study region were caused by the NAO (Figure 7). In our study, values of NAO data greater than two standard deviations (σ = 1) away from the average were defined as extreme events [78]. The five strongest extreme NAO event years, which specifically occurred in AD 1895, 1917, 1963, 1969, and 1996, were identified and shown in Figure 7a. Our analysis window included up to five years before and after each NAO event year. These results demonstrate that extreme NAO years strongly affect drought variability in the NCC region, and that negative NAO events could cause significantly dry anomalies for the following three years.



Figure 7. Superposed Epoch Analysis (SEA) for the identified extremes. (**a**) The value of our scPDSI reconstruction, showing 5 NAO events years alongside a 5-year period before and after each NAO event. (**b**) Our scPDSI reconstruction value with the average of 5 NAO events years for the 10 years surrounding the NAO event. The shaded region represents the 95% significance level.

In addition, our spatial correlation revealed significant (p < 0.1) negative correlations that varied strongly after producing a 10-year running mean time series for the Atlantic, western equatorial Pacific, and Indian Ocean regions (Figure 6b). Therefore, we calculated the correlation between our scPDSI reconstruction data and precipitation rates in India from June to September during AD 1950–2012. This analysis produced a significant positive correlation coefficient of 0.44 ($n_{year} = 63$, p < 0.01), which suggested that moisture convergence in the NCC region may be related to the Indian monsoon. Previous studies have found that North China drought events are linked to tropical Indo-Pacific climate variability [36,79], which can trigger changes in monsoon circulation patterns, Walker circulation, and precipitation over monsoonal Asia [80].

4. Conclusions

In this study, we produced a new MJJAS scPDSI reconstruction based on tree-ring and DWI data. This reconstruction was developed by applying PCR analysis across NCC for the previous 530 years. The reconstruction showed that there were seven extreme dry/wet events that continued for at least 10 years equally. Two of these dry events, which occurred in AD 1701–1727 and AD 1985–2011, represent the longest dry phases since AD 1470. Our data show that the driest year within the study period occurred in AD 1928, which corroborates the results of previous studies, and was associated with significant economic and societal decline. Spatial correlation patterns revealed that our new reconstruction has a significantly negative correlation with temperature, but a significantly positive correlation with precipitation and scPDSI during AD 1901–2012. We also confirm that moisture changes

in NCC were modulated by large-scale atmospheric circulation; however, more research is needed to clarify the relationships between large-scale ocean-land circulation and dry/wet changes in this region.

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Conflicts of Interest: The authors declare no conflict of interest.

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