

Article



# Using Mixed Integer Goal Programming in Final Yield Harvest Planning: A Case Study from the Mediterranean Region of Turkey

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Abstract: A mixed integer goal programming model is developed to address the regeneration planning problems of even-aged forests in the Mediterranean region of Turkey. The unique aspect of the goal programming formulation is to minimize deviations in scheduled wood product volumes and the size of harvest areas within each time period, as these are important goals for the management area. About 98% of the forests in Turkey are considered even-aged, and 2% are uneven-aged. Therefore, an age class method is used for the planning of even-aged forests. For the areas where this method is applied, reaching the optimal age class structure is the first priority. This involves implementing final harvests (clearcuts) to regenerate an amount of forest area into each age class. To meet the local market's needs, forest enterprises also require the final yield to be fairly equal each year. Further, it is desired that the harvest area (regeneration area) is relatively equal each year, to address operational considerations. A linear goal programming model is developed to address the problem. The minimization of deviations from both the harvest area and harvest volume targets are incorporated as goals in the objective function of the model. Several scenarios are solved using the extended version of Lingo 16. A scenario with weights of 0.8 for area and 0.2 for volume produces the best results. Here, the total deviation for 20 years is 3.8 ha in area and 2889 m<sup>3</sup> in volume. In the actual regeneration plan, the area deviation for 10 years is 54.72 ha (6.2% of total regeneration area), and the volume deviation is 20,472 m<sup>3</sup> (9.8% of harvest volume). The model described through this study can be developed further and integrated into forest management planning software and processes used for the planning of even-aged forests in the Mediterranean region.

**Keywords:** linear goal programming; mixed integer programming; sustainable forest management; forest planning; optimization

# 1. Introduction

During the last two decades, Turkey has experienced considerable economic, environmental, and social developments which have increased the demands and expectations for forest resources. Until recently, forests have been predominantly the source of wood production, however, now, they are viewed as a source of non-wood forest products and provider of ecological and sociocultural services. In line with these developments, Turkey has changed its classical forest management planning model and implemented a functional ecosystem-based planning approach [1]. Modern harvest planning is needed not only to ensure that a plan of action provided to foresters is efficient from an economic perspective, but also that it recognizes as many of the quantifiable management issues as possible to

best represent a model of the management environment. Advances in operations' research methods over the last four or five decades have informed the manner in which harvest planning is conducted in large forest management organizations. Harvest planning was initially conducted using linear programming methods, but as concerns the spatial location of management activities and wildlife habitat, harvest planning methods have evolved [2]. Currently, there are a number of methodologies, including mixed-integer programming, simulation, and heuristics that can be used to address complex forest landscape planning issues [3,4].

Management plans for Turkish forests are designed for application at the sub-district management unit level and can consist of those providing guidance for final yield (regeneration) and intermediate yield harvests (thinning/stand tending). During a management plan preparation process, where timber production is the primary goal, the most appropriate management method for the estimation of the annual allowable cut is determined according to forest habitat conditions, tree species, forest management objectives, and management types. About 98% of the forests in Turkey are considered even-aged, and 2% are uneven-aged. Therefore, an age class method is used for the planning of even-aged forests. Prior to the recent application of modern operational research methods, the development of the allowable cut estimate was made using forest inventory data exclusive of other concerns. Some of these forest plans continue to be used today. In the long run, in order to harvest a nearly equal amount of wood every year, it is necessary to cut a relatively equal area of forest area that will provide the desired management environment. This is only possible in forests that are optimal in terms of their age class distribution. The way to meet these requirements is to schedule an equal area of new stands every year and to manage this resource to the end of the rotation length or cutting cycle from regeneration to final harvest [5,6]. To summarize, in order to obtain a forest with an optimal age class structure and to regularly meet market requirements, an equal amount of area should be regenerated every year. When regenerating an equal amount of forest area, the final harvest yield would ideally be equal as well. In addition, adjacent sub-compartments should not be regenerated during the greening period to prevent clearing larger areas that exceed an allowable clear-cut size.

This regeneration problem is a forest level spatial optimization problem that distributes (disperses) management activities across the landscape. Examples of a dispersing problem include maximizing the edge effect between adjacent stands [7] and avoiding large open clear-cuts in the landscape [8]. Two different approaches have been used to control the size of clear-cuts, the unit restriction model and the area restriction model [9]. Many studies that use adjacency and green-up constraints [10–12] are examples of dispersing problems. In particular, while clumping activities together may increase management efficiency, the control of clear-cut area size can be seen as a way of preserving the habitat, biodiversity, and aesthetic appearance of the forest when the activities result in large changes in forest character. However, the spatial and temporal distribution of production areas to the different parts of the forest has undesirable consequences, such as an increased forest edge length and fragmentation [13].

Goal programming (GP) is suitable for addressing complex forestry problems such as these, where there is more than one type of objective [14]. The basic elements of GP were introduced as simply an alternative use of linear programming (LP) [15], and coined later as "goal programming" [16]. The texts of [17] and [18] were some of the main references on GP by the early 1970s. The first applications of GP were outside forest management. For example, early on, GP was applied to manpower planning for the U.S. Navy [19–22] and media planning [23,24]. GP was further applied to the television assembly problem [25], to the allocation of limited budgets to the personnel needs of academic institutions [26], to the selection of efficient portfolios for commercial banks [27], and to the problem of population location in a metropolitan area [28]. Perhaps the first examples of the application of GP to forestry issues were for timber production [29] and forest management [30]. Other pioneer forestry applications of GP included analyzing potential gains from tree improvement programs [31,32], addressing an accounting problem in the forest industry [33], improving decisions about regulation of wilderness use [34], evaluating land use planning options for national forests [35], and selecting forest residue treatment alternatives [36]. Others have illustrated how GP can be used to address traditional

forest management objectives that relate to commodity production, wood flow, economic returns, and perhaps other objectives [37–46]. More recently, spatial considerations have been incorporated into a GP problem. For example, [14] developed mixed integer GP models for thinning block designation based on the distance between scheduled blocks, and [47] and [48] developed processes for aggregated

As a problem-solving methodology, GP is very useful in understanding contrasts in outcomes from the use of different assumptions in forest management planning processes [49], yet in one review, only 14 papers were located describing the use of GP in forestry from the onset of its use through about 2015 [50]. Many GP problem formulations use decision variables that are assigned continuous real values or that utilize data structures that preclude the ability to control the harvest of adjacent stands, e.g., [51]; relatively few examples, e.g., [7,14,52] of GP in forestry have utilized integer decision variables and attempted to control the timing and placement of harvests. Others have developed pairwise adjacency constraints to maximize age contrast between stands [51] and maximize clustering of stands within defined time periods [47]. Furthermore, while other research has proposed alternative GP formulations, we feel the type of integrated forest management planning issue described here has yet to be described in the forest management literature.

forest harvesting activities on the basis of their geographic location.

The objective of this study is to develop and assess a mixed integer multi-objective GP model that addresses spatial forest planning problems involving time-space arrangements of regeneration sites. Previous work of ours in this area involved creating thinning blocks using a mixed integer goal programming process [14]. One hypothesis for the current study is that GP can address a type of integrated forest management planning issue described above, which has yet to be assessed in the forest management literature. Another hypothesis is that through the GP modeling effort, more efficient final yield harvest forest plans can be developed, as compared to actual forest plans that have been developed through other means. Along these lines, a linear regeneration model is developed. The model aims to regenerate an equal amount of forest area every year to schedule an equal amount of product. This includes regenerating neighboring sub-compartments to final harvest that are smaller than 5.0 ha in size in the same year. In accordance with legislation associated with the management of the study area forest, areas applying a final harvest cannot exceed 25.0 ha during the greening (green-up) period. With this study, we also introduce the use of the greening (green-up) period approach in the final yield harvest planning of Turkish pine (*Pinus brutia* Ten.) forests in the region.

Without using a decision support system such as the one we propose, it is difficult to schedule the regeneration of an equal amount of area each year and to avoid regenerating forest areas larger than the allowable clear-cut size during the greening period. In practice, the regeneration activities are conducted by local foresters using detailed silviculture plans produced from forest management plans. In the best situation, area deviation may be more than 5% of the total regeneration area each year, and the volume deviation may be around 10% of the harvest volume each year. However, these deviations are acceptable in Turkish forest management. Avoiding clearing larger areas is crucial for ecological and environmental issues such as soil conservation, wildlife protection, and biodiversity conservation.

#### 2. Materials and Methods

## 2.1. Study Area

The study area is located within the Akoren Planning Unit, in the southern part of Turkey (Figure 1). The study area contains 5380 ha of coniferous forests, where Turkish pine is the dominant tree species. Other coniferous tree species include Anatolian black pine (*Pinus nigra* Arn. subsp. pallasiana (Lamb.) Holmboe var. pallasiana), fir (*Abies cilicica* Carr.), cedar (*Cedrus libani* A. Rich.) and juniper (*Juniperus* spp.). The growing stock of the forest is 559,006 m<sup>3</sup> and the annual increment is 22,422 m<sup>3</sup>. The main management objective of this coniferous forest is pine wood production. Since even-aged forest management is applied, every year the same amount of forest area is being regenerated and the same amount of wood flow is desired from the regeneration cuts (clearcuts).

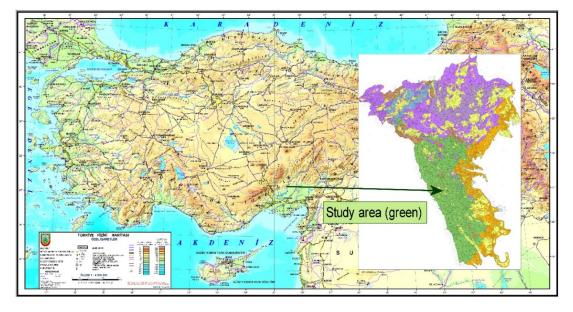


Figure 1. Location of the Akoren Planning Unit and the study area (Turkish pine working circle).

#### 2.2. Study Area Data

While Turkish pine is the dominant tree species in the study area, Anatolian black pine stands (36 ha) and hardwood-coniferous mixed stands (27 ha) are also present. Only 5366.5 ha of productive forest (crown closure is more than 10%) of the planning unit is considered in this research, and 13.3 ha of degraded forest is ignored. The majority of the forest (55%) is considered site class II (medium). In this study, the site class differences have been neglected. It should be noted that the thinning operations in Turkish forestry are conducted at the compartment level and the regeneration operations are conducted at the stand (sub-compartment) level.

According to the current forest management plan for the study area [53], the annual allowable cut from final harvests is 23,350 m<sup>3</sup>, arising from 1777 ha over 20 years (88.85 ha per year). Additionally, the number of sub-compartments (stands) planned for regeneration is 166. According to the Communiqué on Technical Principles of Silvicultural Applications published by the Turkish Forest Service [54], final harvest areas shall not be larger than 25 ha in even-aged forests that have a production function. Therefore, stands larger than 25 ha were subdivided in ArcMap. For this study, the green-up period was assumed to be five years. During this time, it was not desired to regenerate the two adjacent compartments that comprise together an area larger than 25 ha. Likewise, to avoid regeneration activities in very small areas, it is assumed that adjacent stands smaller than 5 ha could be harvested together in the same year.

#### 2.3. Problem Formulation

For the regeneration (final harvest) problem, the deviations from the harvest area (regeneration area) and harvest volume (final yield harvest) targets should be minimized. The foresters also want to cut each stand as a whole when they are entered, therefore, the decision for a stand is discrete (harvest or do not harvest). Furthermore, adjacent final harvests need to be spatially and temporally controlled. The nature of the management situation suggests that mixed integer multi-objective GP would be applicable to solve this problem.

The objective function of the linear regeneration model minimizes the deviations from wood volume and regeneration area targets over a 20-year time horizon. The constraints of the model are

area constraints that prevent work in both small and very large areas during the greening (green-up) period. The mathematical formulation of the model is as follows:

$$Min \ Z = \sum_{i=1}^{20} \sum_{j=1}^{2} \left( w_{ij}^{-} d_{ij}^{-} + w_{ij}^{+} d_{ij}^{+} \right)$$
(1)

Subject to

$$\sum_{i=1}^{20} (X_{ki}) = 1 \quad \forall k$$
 (2)

$$\sum_{k=1}^{K} (A_{ki}X_{ki}) - AC_i = 0 \quad \forall i$$
(3)

$$\sum_{k=1}^{K} (V_{ki} X_{ki}) - VC_i = 0 \quad \forall i$$

$$\tag{4}$$

$$X_{ki} + X_{mi} - 2 Pr_{pi} = 0 \quad \forall p$$
(5)

$$X_{ki} + \left(\sum_{i=4}^{i} X_{mi} + \sum_{i=1}^{i+4} X_{mi}\right) \le 1 \; \forall \; \text{km pairs where } Pr_{pi} = 0, \; i-4 > 0 \tag{6}$$

$$AC_i + d_{i1}^- - d_{i1}^+ = AT_i \quad \forall i$$
(7)

$$VC_i + d_{i2}^- - d_{i2}^+ = VT_i \quad \forall i$$
 (8)

$$X_{ki} \in \{0, 1\}$$
 (9)

$$Pr_{pi} \in \{0, 1\}$$
 (10)

where,

- i years (1, 20)
- objectives: 1 = area scheduled for harvest, 2 = volume scheduled for harvest j
- $w_{ij}$ weight for negative deviations in objective *j*, year *i*
- $w_{ij}^{\uparrow}$ weight for positive deviations in objective *j*, year *i*
- $d_{ij}^{-}$  $d_{ij}^{+}$ negative deviation in objective *j*, year *i*
- positive deviation in objective *j*, year *i*
- k regeneration stands
- $X_{ki}$ binary (0, 1) decision variable representing the harvest of stand k during year i
- $A_{ki}$ area available for harvest in stand k during year i
- volume available for harvest in stand *k* during year *i*  $V_{ki}$
- $X_{mi}$  binary (0, 1) decision variable representing the harvest of stand *m* during year *i*
- $Pr_{ni}$  pairs of adjacent stands
- $AC_i$  total area scheduled for harvest in year *i*
- $AT_i$  area target in year *i*
- $VC_i$  total volume scheduled for harvest in year *i*
- $VT_i$  volume target in year *i*

Equation (1) is the objective function, which minimizes the deviations from harvest area and harvest volume targets, which were 88.85 ha and 23,350 m<sup>3</sup> per year. Equation (2), together with Equation (9), forces a stand to be scheduled for harvest. Equation (3) represents the accounting rows to add up area scheduled for harvest. Equation (4) represents the accounting rows to add up the volume scheduled for harvest. Equation (5), together with Equation (10), forces adjacent stands k and

*m*, that are smaller than 5 ha, to be scheduled for harvest in same year. Equation (6) ensures adjacent stands *k* and *m* not be scheduled for harvest during the greening period (5 years). Twenty equations, represented by Equation (7), determine deviations in area scheduled for harvest. Twenty equations, represented by Equation (8), determine deviations in the volume scheduled for harvest.

The problem was solved using the extended version of Lingo 16.0 [55]. This version of Lingo allows an unlimited number of continuous value variables, integer variables, and nonlinear variables. Table 1 displays the total number of variables and constraints of our model. The problem was solved using different weights for the deviations in harvest volume and harvest area that ranged from 0.0 to 1.0, in 10 percent intervals, which created 11 scenarios (Table 2). These weights were selected in order to observe the sensitivity of changes in the volume and harvest area (regeneration area) outcomes when emphasis on the objective function elements was altered. The software was operated on a PC with a 2.60 GHz Intel<sup>®</sup> Core<sup>™</sup> i7 processor and 16 GB of RAM. A maximum of about 500 million iterations was allowed for the regeneration model. The computing times by scenarios can be found in Table 3.

Table 1. Total number of variables and constraints used in the models.

Variables and Constraints	Linear Regeneration Model
Variables	3620
Nonlinear variables	0
Integer variables	3500
Constraints	2767
Nonlinear constraints	0

Scenario	Area Weight $(w_{i1}^+ \text{ and } w_{i1}^-)$	Volume Weight $(w_{i2}^+ \text{ and } w_{i2}^-)$
1	1.0	0.0
2	0.9	0.1
3	0.8	0.2
4	0.7	0.3
5	0.6	0.4
6	0.5	0.5
7	0.4	0.6
8	0.3	0.7
9	0.2	0.8
10	0.1	0.9
11	0.0	1.0

Table 2. Weights for the two objectives.

Table 3. Total solver iterations and elapsed runtime seconds for linear and nonlinear models.

Scenario	<b>Total Solver Iterations</b>	Elapsed Runtime
Scenario 1	500,000,001	7 h 58 min 4 s
Scenario 2	500,000,000	33 h 25 min 15 s
Scenario 3	500,000,000	7 h 3 min 34 s
Scenario 4	500,000,001	35 h 39 min 2 s
Scenario 5	500,000,001	32 h 14 min 54 s
Scenario 6	500,000,000	5 h 52 min 12 s
Scenario 7	500,000,001	30 h 31 min 15 s
Scenario 8	500,000,000	32 min 48 s
Scenario 9	500,000,000	5 h 42 min 36 s
Scenario 10	500,000,001	30 h 36 min 53 s
Scenario 11	500,000,001	20 h 28 min 26 s

## 3. Results

#### 3.1. Results from the Regeneration Model

Using the regeneration model, Lingo was able to produce a feasible solution to the stated regeneration problem for each of the 11 management scenarios. Scenarios 1 and 11 are the reference scenarios for the regeneration area and harvest volume, respectively, because they represent the greatest weights applied to the area deviations (scenario 1) and the volume deviations (scenario 11). A total of 210 h and 4 min and 59 s was required to run all 11 scenarios. The longest computing time was 35 h and 39 min and 2 s (scenario 4).

In the results, the total deviation from the target value for the area reference scenario (scenario 1) was only 3.8 ha for 20 years and the total deviation from the target value for the volume reference scenario (scenario 11) was 1025 m<sup>3</sup>. When the area and volume deviations were evaluated together, scenario 3 provided the best results. When the area and volume deviations were evaluated individually, respectively, scenarios 1, 3, 2, and 5, for area and 8, 11, 9, and 6 scenarios for volume provided better results (Table 4). It is interesting that scenario 8, with weights of 0.3 for area and 0.7 for volume, produced a 874 m<sup>3</sup> deviation in scheduled volume, which is better than the reference scenario (scenario 11). We allowed a maximum of about 500 million iterations to solve the problem. If we allow more iterations, the volume reference scenario would produce a better result.

Scenario	Deviatior	ns from Goals
1 2 3	Area (ha)	Volume (m <sup>3</sup> )
1	3.8	60,613
2	14.2	10,968
3	3.8	2889
4	25.4	7951
5	24.5	3790
6	27.2	1366
7	36.1	3414
8	34.7	874
9	64.8	1260

105.9

197.6

2827

1025

10

11

Table 4. Outcomes achieved using the linear regeneration model.

#### 3.1.1. The Results for Area

The actual area scheduled for regeneration for all 11 scenarios is displayed in Table 5 and the deviations from the area target (88.85 ha) in Table 6. However, in addition to the results of area reference scenario (scenario 1), the results of scenario 3, 2, and 5, which gave better results respectively, are presented in Figure 2.

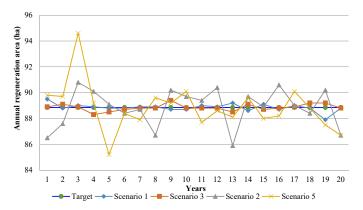


Figure 2. A comparison of the regeneration area achieved using the regeneration model.

Year	Scenario										
Iear	1	2	3	4	5	6	7	8	9	10	11
1	89.5	88.7	88.9	88.6	89.8	93.1	91.7	92.9	94.8	106.1	115.4
2	88.8	90.4	89.1	90.4	89.7	90.2	89.4	89.7	98.5	89.7	130.2
3	89.0	87.7	88.9	90.0	94.6	88.6	87.6	89.0	87.8	92.7	99.8
4	88.9	88.5	88.3	89.0	89.2	89.3	89.4	92.4	88.3	95.7	89.5
5	88.8	88.9	88.5	89.2	85.2	88.9	88.9	87.5	91.3	93.7	90.5
6	88.8	89.4	88.7	91.4	88.4	89.0	84.9	91.8	89.3	95.9	91.5
7	88.9	88.7	88.8	90.2	87.9	88.5	88.4	88.2	89.7	90.8	88.7
8	88.9	89.0	88.8	88.2	89.6	88.8	89.3	88.5	83.6	86.0	99.7
9	88.7	87.8	89.4	91.7	89.2	87.1	92.3	87.9	91.8	86.9	90.8
10	88.7	87.3	88.8	85.7	90.1	87.3	87.1	89.1	88.0	88.7	76.2
11	89.0	87.5	88.8	86.4	87.7	84.9	86.3	89.0	88.7	89.2	79.8
12	88.9	91.4	88.8	90.2	88.6	86.6	88.7	85.0	90.2	90.5	90.2
13	89.2	88.4	88.5	89.1	88.1	90.3	91.2	85.6	83.2	75.3	76.9
14	88.6	88.7	89.1	89.3	89.6	91.8	84.6	89.2	92.0	90.6	81.7
15	89.1	88.4	88.7	83.2	88.0	88.5	87.4	90.2	92.4	88.5	80.6
16	88.7	90.0	88.8	89.1	88.2	91.8	87.8	83.2	90.9	81.6	76.8
17	89.0	88.6	88.9	88.6	90.1	87.9	88.1	89.0	88.3	95.4	89.7
18	88.8	88.8	89.2	89.3	88.8	88.6	93.3	92.4	78.1	83.0	74.7
19	87.9	89.6	89.2	88.6	87.5	88.6	92.2	87.8	82.5	72.4	74.3
20	88.8	89.2	88.8	88.8	86.7	87.2	88.4	88.6	87.6	84.3	80.0
Total	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0	1777.0

**Table 5.** Area (ha) scheduled for regeneration for all scenarios when using the regeneration model.

Table 6. Deviations from the area target (ha) when using the regeneration model.

Year						Scenario					
Ieal	1	2	3	4	5	6	7	8	9	10	11
1	0.65	-0.15	0.05	-0.25	0.95	4.25	2.85	4.05	5.95	17.25	26.55
2	-0.05	1.55	0.25	1.55	0.85	1.35	0.55	0.85	9.65	0.85	41.35
3	0.15	-1.15	0.05	1.15	5.75	-0.25	-1.25	0.15	-1.05	3.85	10.95
4	0.05	-0.35	-0.55	0.15	0.35	0.45	0.55	3.55	-0.55	6.85	0.65
5	-0.05	0.05	-0.35	0.35	-3.65	0.05	0.05	-1.35	2.45	4.85	1.65
6	-0.05	0.55	-0.15	2.55	-0.45	0.15	-3.95	2.95	0.45	7.05	2.65
7	0.05	-0.15	-0.05	1.35	-0.95	-0.35	-0.45	-0.65	0.85	1.95	-0.15
8	0.05	0.15	-0.05	-0.65	0.75	-0.05	0.45	-0.35	-5.25	-2.85	10.85
9	-0.15	-1.05	0.55	2.85	0.35	-1.75	3.45	-0.95	2.95	-1.95	1.95
10	-0.15	-1.55	-0.05	-3.15	1.25	-1.55	-1.75	0.25	-0.85	-0.15	-12.65
11	0.15	-1.35	-0.05	-2.45	-1.15	-3.95	-2.55	0.15	-0.15	0.35	-9.05
12	0.05	2.55	-0.05	1.35	-0.25	-2.25	-0.15	-3.85	1.35	1.65	1.35
13	0.35	-0.45	-0.35	0.25	-0.75	1.45	2.35	-3.25	-5.65	-13.55	-11.95
14	-0.25	-0.15	0.25	0.45	0.75	2.95	-4.25	0.35	3.15	1.75	-7.15
15	0.25	-0.45	-0.15	-5.65	-0.85	-0.35	-1.45	1.35	3.55	-0.35	-8.25
16	-0.15	1.15	-0.05	0.25	-0.65	2.95	-1.05	-5.65	2.05	-7.25	-12.05
17	0.15	-0.25	0.05	-0.25	1.25	-0.95	-0.75	0.15	-0.55	6.55	0.85
18	-0.05	-0.05	0.35	0.45	-0.05	-0.25	4.45	3.55	-10.75	-5.85	-14.15
19	-0.95	0.75	0.35	-0.25	-1.35	-0.25	3.35	-1.05	-6.35	-16.45	-14.55
20	-0.05	0.35	-0.05	-0.05	-2.15	-1.65	-0.45	-0.25	-1.25	-4.55	-8.85
Total <sup>a</sup>	3.80	14.20	3.80	25.40	24.50	27.20	36.10	34.70	64.80	105.90	197.60

<sup>a</sup> Absolute value of annual deviations.

Since the stands represent real forest data, the sizes of stands are all different. Therefore, a solution showing an exact adherence to the target (i.e., with 0 deviation from the target) is likely impossible. The area scheduled for harvest under the area reference scenario (scenario 1) deviated from the target by only 3.8 ha. The deviations here ranged from -0.95 (19th year) to +0.65 (1st year) ha per year. When the area and volume deviations were evaluated together, the total deviation was only 3.8 ha for

20 years for scenario 3, which provided the best result. The deviations from the target value range from -0.55 ha (4th year) to +0.55 ha (9th year). In other words, the maximum deviation from the area target value was 0.55 ha (0.6% of the annual regeneration area). In Turkish forest management, this deviation is very well acceptable.

As stated earlier, the area to be regenerated annually in the study area is 88.85 ha (1777 ha/20 years). If local foresters decide to implement the results of scenario 3, they annually will regenerate a forest area ranging from 88.3 ha to 89.4. This means that the maximum deviation will be only 0.55 ha, which is 0.6 per cent of the annual regeneration area. The regeneration operations in Turkey are very costly and it is not easy to find experienced forestry workers to employ each year to conduct harvesting and regeneration activities. If this deviation increases, this means the forest enterprise regenerates forests in different area sizes each year. This will affect the annual budgeting issues, operational efficiency, the needs of local timber markets, and the employment opportunities for experienced workers. More importantly, natural regeneration and litter clearing within the harvest area, and light tillage of the ground may be needed. After regeneration activities are completed, other forestry operations should be conducted such as seedling counting, vegetation management, and seedling maintenance. The success of all these operations is related to the annual regeneration area size. If the annual deviation from this target is minimal, the forest enterprise will be much more organized to conduct these regeneration operations.

#### 3.1.2. The Results for Volume

The actual volume scheduled for harvest for all 11 scenarios is displayed in Table 7 and the deviations from the volume target (23,350 m<sup>3</sup>) in Table 8. In addition to the results of volume reference scenario (scenario 11), the results of scenario 8, 9, and 6, which gave better results respectively, are presented in Figure 3.

Neer						Scenarios					
Year	1	2	3	4	5	6	7	8	9	10	11
1	19,454	22,253	22,303	22,228	22,524	23,357	23,006	23,307	23,443	23,334	23,357
2	16,797	23,382	22,991	23,382	23,196	23,334	23,127	23,204	23,282	23,202	23,403
3	21,246	23,102	23,266	23,820	23,258	23,215	23,327	23,373	23,262	24,040	23,361
4	21,151	23,155	23,353	23,092	23,075	23,228	23,314	23,378	23,597	23,361	23,374
5	17,625	22,333	23,322	23,226	23,094	23,443	23,448	23,300	23,351	23,126	23,363
6	21,838	23,348	23,320	23,197	23,452	23,443	23,119	23,380	23,449	23,526	23,337
7	20,627	22,895	23,603	23,327	23,694	23,317	23,600	23,429	23,138	23,264	23,296
8	22,521	23,954	23,442	23,886	23,080	23,329	23,214	23,367	23,352	23,512	23,426
9	23,983	23,295	23,309	23,747	23,476	23,300	23,612	23,363	23,315	23,339	23,342
10	23,020	24,944	23,372	22,025	23,460	23,510	23,634	23,314	23,319	23,376	23,516
11	26,781	24,455	23,375	23,624	23,655	23,357	23,464	23,283	23,414	23,489	23,290
12	25,910	23,824	23,315	22,080	23,181	23,517	23,462	23,456	23,376	23,178	23,263
13	25,741	22,933	23,188	23,663	23,351	23,343	23,178	23,353	23,370	23,349	23,192
14	23,691	21,352	23,243	23,824	23,510	23,220	23,367	23,440	23,381	23,062	23,362
15	22,466	22,893	23,255	23,007	23,363	23,447	23,626	23,406	23,346	23,254	23,460
16	27,035	23,451	23,430	23,545	23,345	23,383	23,391	23,322	23,364	23,280	23,391
17	24,218	24,257	23,413	23,634	23,057	23,330	23,169	23,327	23,331	23,415	23,329
18	24,999	23,448	23,282	23,217	23,401	23,302	23,432	23,365	23,261	23,200	23,384
19	29,779	23,381	23,331	23,343	23,252	23,467	22,912	23,336	23,284	23,503	23,332
20	35,221	23,269	23,626	23,568	23,210	23,340	23,444	23,343	23,299	23,207	23,409
Total	474,103	465,924	465,739	465,435	465,634	467,182	466,846	467,046	466,934	467,017	467,187

Table 7. Volume (m<sup>3</sup>) scheduled for harvest for all scenarios when using the regeneration model.

Year	Scenario										
Ical	1	2	3	4	5	6	7	8	9	10	11
1	-3896	-1097	-1047	-1122	-826	7	-344	-43	93	-16	7
2	-6553	32	-359	32	-154	-16	-223	-146	-68	-148	53
3	-2104	-248	-84	470	-92	-135	-23	23	-88	690	11
4	-2199	-195	3	-258	-275	-122	-36	28	247	11	24
5	-5725	-1017	-28	-124	-256	93	98	-50	1	-224	13
6	-1512	-2	-30	-153	102	93	-231	30	99	176	-13
7	-2723	-455	253	-23	344	-33	250	79	-212	-86	-54
8	-829	604	92	536	-270	-21	-136	17	2	162	76
9	633	-55	-41	397	126	-50	262	13	-35	-11	-8
10	-330	1594	22	-1325	110	160	284	-36	-31	26	166
11	3431	1105	25	274	305	7	114	-67	64	139	-60
12	2560	474	-35	-1270	-169	167	112	106	26	-172	-87
13	2391	-417	-162	313	1	-7	-172	3	20	-1	-158
14	341	-1998	-107	474	160	-130	17	90	31	-288	12
15	-884	-457	-95	-343	13	97	276	56	-4	-96	110
16	3685	101	80	195	-5	33	41	-28	14	-70	41
17	868	907	63	284	-293	-20	-181	-23	-19	65	-21
18	1649	98	-68	-133	51	-48	82	15	-89	-150	34
19	6429	31	-19	-7	-98	117	-438	-14	-66	153	-18
20	11,871	-81	276	218	-140	-10	94	-7	-51	-143	59
Total <sup>a</sup>	60,613	10,968	2889	7951	3790	1366	3414	874	1260	2827	1025

Table 8. Deviations from the volume target (m<sup>3</sup>) when using the linear regeneration model.

<sup>&</sup>lt;sup>a</sup> Absolute value of annual deviations.

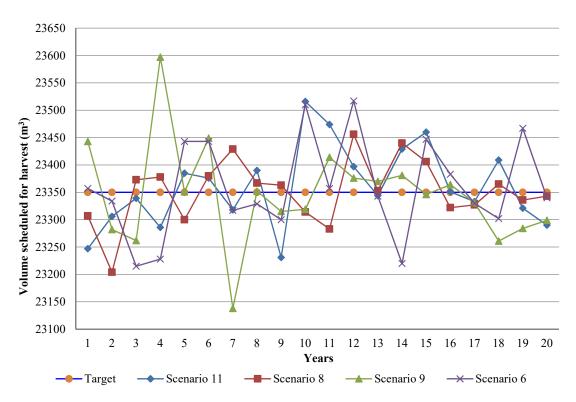


Figure 3. A comparison of the scheduled volume achieved using the linear regeneration model.

Similar to the stand area issue, since the stands represent real forest data, the sizes of the stands are all different. Thus, the volume available in a stand is different. Therefore, a solution showing an exact adherence to the target (i.e., with 0 total deviation from the target volume) is likely impossible. For the volume reference scenario (scenario 11), the total deviation from the volume target was 1025 m<sup>3</sup>

over 20 years. The deviations here ranged from  $-158 \text{ m}^3$  (13th year) to  $+166 \text{ m}^3$  (10th year). The total deviation for 20 years was 2889 m<sup>3</sup> under scenario 3, which provided the best result if we evaluate area and volume goals together. The deviations in volume scheduled in scenario 3 ranged from  $-1.047 \text{ m}^3$  (1st year) to 276 m<sup>3</sup> (20th year), and the ratio of deviations between the target volume and the scheduled volume varied between -4.48% and +1.18% per year. Again, this deviation is also acceptable in Turkish forest management. In order to better illustrate the results of the linear regeneration model, a map was prepared for scenario 3, which again seemed to be the best solution achieved (Figure 4).

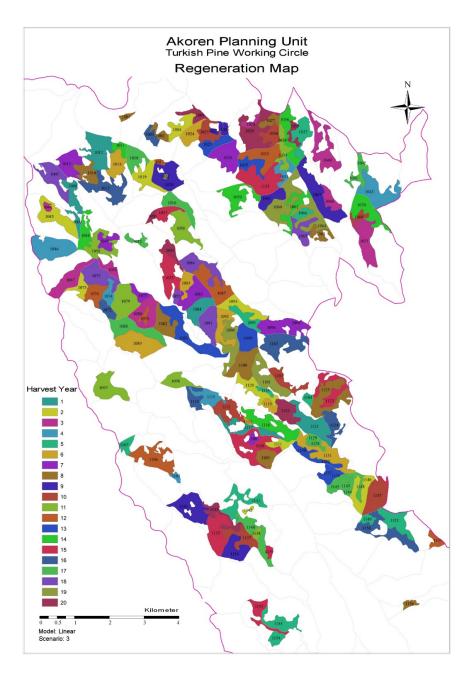


Figure 4. Regeneration map produced by the regeneration model (scenario 3).

As noted earlier, the 23,350 m<sup>3</sup> annual final yield was set as the volume target. If local foresters decide to use the results of scenario 3, they will harvest wood ranging from 22,303 m<sup>3</sup> to 23,626 m<sup>3</sup> each year. This means the maximum deviation will be 1047 m<sup>3</sup> in the first year and the minimum deviation will be only 3 m<sup>3</sup> in the third year. If they prefer to use the results of scenario 8, the total volume

deviation for 20 years will be only 874 m<sup>3</sup>. In this case, the regeneration area deviation will be 34.70 ha for 20 years, ranging from 4.05 ha (1st year) to -5.65 ha (16th year). Since this study doesn't evaluate the economic results of the management scenarios, we are not recommending the local foresters which scenario they would decide to use. However, the largest income source of forest enterprises in Turkey are timber sale revenues. Furthermore, around 90 per cent of the timber they produce comes from the final yield harvest (regeneration). In this case, minimizing the volume deviation is important to meet the needs of the markets in a sustainable manner.

#### 3.2. Comparison of the Actual Regeneration Plan Data with the Results of the Regeneration Model

The actual regeneration plan [56], or detailed silviculture plan, for the study area indicated that over 10 years 881.8 ha of forests would be regenerated, and 209,600 m<sup>3</sup> of wood material would be produced (Table 9). This plan was developed using less sophisticated mathematical methods but took into account the growth of the forest. Given this information, we assumed that an area of 88.18 ha (881.8 ha/10 years) and a volume of 20.960 m<sup>3</sup> (209,600 m<sup>3</sup>/10 years) would be scheduled each year, since finer detail was unavailable from the plan. Using scenario 3 of the regeneration model, the total deviation for the first 10 years was 2.1 ha in the area (0.24% of total 10-year regeneration area) and 1959 m<sup>3</sup> in volume (0.84% of total 10-year harvest volume). Using the guidance from the actual regeneration plan, the area deviation would be 54.72 ha (6.2% of total regeneration area) from the assumed area target, and the volume deviation would be 20,472 m<sup>3</sup> (9.8% of harvest volume) from the assumed volume target (Table 10). This comparison suggests some efficiencies in the regeneration program can be realized using the mathematical programming approach.

Forest 1	Management	Plan	Detailed Silviculture Plan <sup>a</sup>				
	Area (ha)	Allowable Cut (m <sup>3</sup> )		Area (ha)	Allowable Cut (m <sup>3</sup> )		
Regeneration area	906.9	215,480	Natural regeneration	344.9	85,601		
Ū.			Artificial regeneration	427.7	95,604		
			Regenerated area in 2014	109.2	28,395		
			Total regeneration	881.8	209,600		
			Islet of aging	25.1	5880		
			General Total	906.9	215,480		

Table 9. Regeneration data in the forest management plan and detailed silviculture plan.

<sup>a</sup> The detailed silviculture plan covers the first ten years of forest plan implementation (2014–2023).

	Scen	ario 3 of the R	legeneratio		Silvicul	ture Plan		
Year	Area (ha)	Deviation (ha)	Volume (m <sup>3</sup> )	Deviation (m <sup>3</sup> )	Area (ha)	Deviation (ha)	Volume (m <sup>3</sup> )	Deviation (m <sup>3</sup> )
2014	88.9	0.05	22,303	-1047	109.2	21.02	28,395	7435
2015	89.1	0.25	22,991	-359	94.0	5.82	21,196	236
2016	88.9	0.05	23,266	-84	84.4	-3.78	18,987	-1973
2017	88.3	-0.55	23,353	3	82.9	-5.28	20,751	-209
2018	88.5	-0.35	23,322	-28	87.6	-0.58	23,171	2211
2019	88.7	-0.15	23,320	-30	88.7	0.52	20,131	-829
2020	88.8	-0.05	23,603	253	83.3	-4.88	17,083	-3877
2021	88.8	-0.05	23,442	92	84.5	-3.68	20,172	-788
2022	89.4	0.55	23,309	-41	81.7	-6.48	18,400	-2560
2023	88.8	-0.05	23,372	22	85.5	-2.68	21,314	354
Average	88.8	0.21	23,228	196	88.2	5.47	20,960	2047
Total	888.2	2.10	232,281	1959 <sup>a</sup>	881.8	54.72	209,600	20,472 <sup>a</sup>

Table 10. Comparison of the results of scenario 3 of the regeneration model and the silviculture plan.

<sup>a</sup> Absolute value of deviations.

#### 4. Discussion

As all of the forests in Turkey are managed using plans prepared in consideration of basic principles such as sustainability, economics, productivity, multi-purpose utilization, protection of biological diversity, aesthetics and protection of other landscape values, and carbon balance, the use of appropriate techniques to achieve management objectives is important. Final yield and intermediate yield harvest plans are included in these management plans. The regeneration activities envisaged in the management plan are carried out according to detailed silviculture plans. In the long run, in order to harvest an equal amount of wood every year, it is necessary to cut a relatively equal area of forest area that will provide this each year. This is only possible in forests that are optimal in terms of their age class distribution. The way to meet these requirements is to establish an equal area of new stands every year and to manage them to the end of the rotation length. This approach, often considered as a regulated forest, should be taken into consideration when preparing the detailed silviculture plans. Furthermore, in accordance with legislation, areas applying a final harvest cannot exceed 25 ha during the greening (green-up) period. It seems impossible to regenerate an equal amount of area each year and to avoid regenerating forest areas larger than 25 ha during the greening period without using decision support systems. We have demonstrated in this case that a mixed integer GP model can be developed to provide efficient plans which can be used as guidance for implementation of activities by field foresters.

With a total of 3620 variables, the linear regeneration model presents a difficult problem. Both this situation and the use of 500 million iterations have greatly extended the Lingo's computing time. It took a total of 210 h and 4 min and 59 s to run all 11 scenarios. The longest computing time was 35 h and 39 min and 2 s (scenario 4). One of the factors directly affecting the computing time is undoubtedly the number of adjacency constraints, therefore one of the drawbacks of the model was that its long computing time needed to provide proper results when the adjacency constraints are numerous. While there is an inverse relationship between the clear-cut area size and the number of constraints, there is a linear relationship between the green-up period and the number of constraints. Conversely, as the green-up period increases, so generally does the number of constraints. Others recommend that the clear-cut size area be as large as possible and that the green up period is as short as possible to reduce the number of adjacency constraints [57].

We also explored the sensitivity of the model to produce the goals desired, by assessing how the results (harvest volume and regeneration area) changed when the weights applied to the deviational values varied. Managers seeking to locate forest planning solutions may desire that two (or more) goals have the same relative weight, and therefore one challenge to the planner is to determine the normalized weights that are appropriate for the problem [14]. A major issue of debate among the researchers using G concerns the use of normalization techniques to overcome incommensurability. Incommensurability in a weighted GP occurs when deviational variables are represented by different units (ha, m<sup>3</sup>, km, etc.). The summation of different units may cause an unintentional bias towards the objectives that have a larger magnitude. To overcome this difficulty, it may be necessary to divide each objective by a constant pertaining to that objective, to ensure that all objectives have roughly the same magnitude. Such a constant is known as a normalization constant. There are several normalization methods, each with its own normalization constant [58]. Some of them are percentage normalization [59], Euclidean normalization [60,61], summation normalization [62], and zero-one normalization [63]. However, these normalization methods cannot guarantee that the achieved objectives are consistent with their goals [64]. Therefore, with regard to the type of forestry problem addressed in this research, this area of investigation is still open.

#### 5. Conclusions

In this study, we explained how one might use mixed integer GP in the Turkish forest management planning system to address final harvest issues. This study introduced a new approach to regeneration planning. This study provided an enhancement on previous research in this area as well. The previous

research proposed an approach in intermediate harvest planning and stand tending block designation using GP models. The compartments were grouped together to create blocks by minimizing deviation between scheduled compartments. It was the first application of GP in intermediate harvest planning in Turkish forestry. The present study applied a GP model in final yield harvest planning, which is the other main aspect of forest management plans. This study can also be improved by integrating these different models into one comprehensive model to address both problems at the same time. The biggest disadvantage of this study is that it does not take into account natural disturbances such as forest fires, insect and disease outbreaks, and drought and windthrow. This is not unusual, since most forest planning models ignore these issues, due to their high level of uncertainty. However, the process described has some major advantages over the current approaches to develop forest management plans in Turkey. Since the regeneration operations in Turkey are very costly and locating experienced forestry workers to employ to conduct harvesting and regeneration activities is not easy, using this type of model will help forest enterprises to regenerate their forests successfully. Some certainty and regularity of annual production levels can alleviate wood flow fluctuations and help forest enterprises increase their forestry operation efficiency. Further, they will be better positioned to meet the needs of local markets in a sustainable manner by regulating final yield harvest, a major source of income. Should decision-makers consider that this study be useful for forest planning and contribute to Turkish forestry, it would be of great benefit to develop a special matrix generator to solve these (and similar) problems. Finally, the approach can be tested in different planning units and can be employed world-wide in the planning of even-aged forests, primarily pine forests.

**Author Contributions:** M.D., A.Y., P.B. contributed to the conceptualization and design of the study and developed the goal programming models. M.D. acquired, analyzed, and interpreted the data, solved the model using Lingo 16.0, and wrote the original draft manuscript. A.Y., P.B. supervised the project and reviewed and edited the manuscript. All authors have read and agreed to the published version of the manuscript.

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