

Article



# A Maximum Entropy Model Predicts the Potential Geographic Distribution of *Sirex noctilio*

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**Abstract:** *Sirex noctilio*, the *Sirex* woodwasp, is an invasive pest that causes significant economic damage to native and commercial conifer stands through the introduction of a fungal wood decay pathogen. We combined the latitudes and longitudes of *S. noctilio* distribution points with historical climate data to predict its potential global distribution using a maximum entropy model implemented in the Maxent software. The annual mean temperature, the mean temperature of the warmest quarter, and the precipitation of the wettest month were important meteorological factors that affected the predictions, probably because they have a strong effect on the development of *S. noctilio*. Our predictions cover the most recent occurrence sites of *S. noctilio* in China. We predict that suitable habitats for *S. noctilio* are currently concentrated between 30° N to 60° N and 25° S to 55° S on the world map. All continents except for Antarctica contain suitable areas for *S. noctilio*, and such areas account for approximately 26% of the total area of these six continents. Predictions for 2050 and 2070 show that global climate change will affect the distribution of *S. noctilio* will increase; with an increase in emissions, these areas will decrease.

Keywords: Sirex noctilio; invasive species; climate change; potential distribution

## 1. Introduction

The European woodwasp, *Sirex noctilio* Fabricius (Hymenoptera: Siricidae), is a wood-boring insect native to Eurasia and North Africa, which is known to attack dead and dying *Pinus* species in these regions, where the woodwasp is not generally considered to be a major pest of pine trees [1,2]. However, in the introduced countries, *S. noctilio* has caused severe damage to pine plantations and is considered a major pest of pine. According to the report, it was accidentally introduced to these countries, including New Zealand (first record 1900), Australia (1952), Uruguay (1980), Argentina (1985), Brazil (1988), South Africa (1994), Chile (2001), and United States (2004) [3–8]. Most introductions of *S. noctilio* was via trade routes [7]. In August 2013, *S. noctilio* was detected as a pest of *Pinus sylvestris* var. *mongolica* Litv in the Duerbote Mongolian Autonomous County, Daqing City, Heilongjiang Province, China (N 46°37′47″, E 124°25′51″) [9]. According to the latest report, the damage of *S. noctilio* is found in Inner Mongolia Autonomous Region, Jilin and Liaoning Province in the northeast of China [10–12].

Due to its significance as a worldwide invasive pest, many studies have evaluated the potential global distribution of *S. noctilio*. Previous studies have used the climate-matching program CLIMEX, which is now widely employed to predict suitable habitats for insects [13]. The software uses the information on a species' biological characteristics and geographical distribution to predict the suitability of potential habitats in terms of climate. Based on climatic conditions in Eurasia and northern Africa where the insect is endemic, Carnegie (2006) predicted the potential distribution range of *S. noctilio* across the globe [14]. However, the study did not predict the occurrence of *S. noctilio* 

in Jilin, Liaoning, and Inner Mongolia, where it is now known to occur. Ireland (2018) also used process-oriented niche modeling in CLIMEX to predict the distribution of *S. noctilio* [15]. The seventeen distribution data points located in China fell predominantly into areas of moderate ( $\approx$ 24%,) and high ( $\approx$ 71%) *S. noctilio* suitability. However, according to the most recent study data, new distribution points of *S. noctilio* in Inner Mongolia and Liaoning Province are located in areas predicted to have marginal suitability. Therefore, based on the current distribution of *S. noctilio* in China, Ireland's predictions are conservative. This may reflect differences in the biological characteristics of *S. noctilio* from different regions or the omission of more recent occurrence data from China. Of course, the size of the prediction scale will also affect the prediction results. On a global scale, local areas may be overestimated or underestimated.

In contrast to CLIMEX, the maximum entropy model Maxent has been identified as the best predictive method when only species occurrence data are available. It has also been shown to perform well with limited training data [16–18]. Maxent is an open-source software package for modeling species niches and distributions through the application of a machine-learning technique called maximum entropy modeling. From a set of environmental (e.g., climatic) grids and geo-referenced occurrence locations, the model produces a probability distribution in which each grid cell has predicted suitability of conditions for the species. The maximum entropy model is a widely-used model for species distribution and has good prediction accuracy [17,19–21].

Here, we used the Maxent model and the newest *S. noctilio* occurrence data, particularly from China, to predict the potential occurrence of *S. noctilio* on a global scale. Our expectation is to improve the accuracy of global prediction results by supplementing China's data. We also predicted and compared current and future (2050 and 2070) *S. noctilio* distributions based on climate data and analyzed the meteorological factors that influence and limit its distribution. Understanding the potential global distribution and climate suitability patterns of pests such as *S. noctilio* is useful for forest managers and biosecurity officers when planning surveys, control strategies, and regulatory guidelines [22].

### 2. Materials and Methods

#### 2.1. Collection of Data on Distribution Points of S. noctilio in China and Other Countries

*S. noctilio* distribution point data were collected from two sources. The data for *S. noctilio* in China were obtained from field surveys from 2013 to 2019. Surveys covered the northeast, northwest, and southwest of China. The main methods of field surveys include malaise traps (about 300) and dissecting of the damaged host logs (about 1000) [23]. The data for *S. noctilio* in other parts of the world were collected from literature references and websites. The two main websites were the Centre for Agriculture and Bioscience International (CABI, https://www.cabi.org/isc/) and the Global Biodiversity Information Facility (GBIF, https://www.gbif.org). To avoid repeated sampling within close distances, the Euclidean distance between any pair of distribution points was at least 10 km. After screening, a total of 75 distribution points were retained for analysis, including 24 points from Northeast China. Fifty-one additional points were obtained from more than 15 countries, including Eurasian countries in *S. noctilio*'s native range and countries such as Australia, South Africa, and the United States, where *S. noctilio* is invasive.

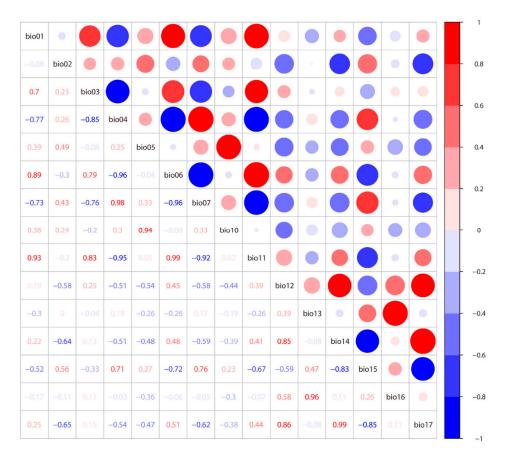
## 2.2. Investigation on Main Autecology and Biology of S. noctilio in China

While investigating the distribution points of *S. noctilio*, we conducted a series of studies on the reproduction and lifecycle of *S. noctilio* under the laboratory conditions [24]. The insect source was obtained by feeding in the logs collected from the forest. The flight capacity of *S. noctilio* was tested by Jiaduo flight mill system.

#### 2.3. Climate Data

Climate data were obtained from the WorldClim database (version 1.4, http://www.worldclim.org), a set of global climate layers (gridded climate data) with different spatial resolutions that can be used for mapping and spatial modeling. All data for current and future bioclimatic variables had a 5-min latitudinal and longitudinal spatial resolution, equivalent to approximately 9 km at the equator. Current climate data were generated by interpolation from 1960 to 1990.

The WorldClim database provides a total of 19 bioclimatic variables, denoted bio01–bio19, and we selected six for inclusion in the *S. noctilio* distribution model. Including an excessive number of variables rapidly increases the dimensions of ecological space and is not conducive to model predictions. In selecting variables for our model, we sought to avoid autocorrelation between variables and to retain those variables that could potentially limit the distribution of *S. noctilio*. We first excluded bio08 (mean temperature of the wettest quarter), bio09 (mean temperature of the driest quarter), bio18 (precipitation of warmest quarter), and bio19 (precipitation of coldest quarter). These four variables combine precipitation and temperature information into the same layer and exhibit spatial anomalies in the form of odd discontinuities between neighboring pixels [25,26]. Next, we performed a correlation coefficient ( $\leq$ 0.80) as a threshold for the exclusion of highly correlated variables (Figure 1). Six bioclimatic variables were retained: bio01 (annual mean temperature), bio07 (annual temperature range), bio10 (mean temperature of the warmest quarter), bio12 (precipitation), bio13 (precipitation of the wettest month), and bio15 (precipitation seasonality) (Table 1).



**Figure 1.** Correlation analysis of 15 bioclimatic variables. Red represents positive correlation, blue represents negative correlation, and the darker the color, the stronger the correlation. The size of the circle represents the strength of the correlation, and the larger the circle represents the stronger the correlation. These values are correlation coefficients.

| Abbreviation | <b>Bioclimatic Variables</b>                         |  |  |  |
|--------------|--|--|--|--|
| Bio01        | Annual Mean Temperature                              |  |  |  |
| Bio07        | Temperature Annual Range (Bio05-Bio06)               |  |  |  |
| Bio10        | Mean Temperature of Warmest Quarter                  |  |  |  |
| Bio12        | Annual Precipitation                                 |  |  |  |
| Bio13        | Precipitation of Wettest Month                       |  |  |  |
| Bio15        | Precipitation Seasonality (Coefficient of Variation) |  |  |  |

**Table 1.** Six bioclimatic variables from the WorldClim database that were retained after preliminary screening.

Future climate data were obtained from the Coupled Model Intercomparison Project Phase 5 global climate model under the Community Climate System Model 4 general model for three representative concentration pathways (RCPs) in 2050 (2041–2060 average) and 2070 (2061–2080 average). The three RCPs (2.6, 4.5, and 8.5 W m<sup>-2</sup>) differ in their projections of radiative forcing for the year 2100 [27,28]. RCP2.6 is an optimistic scenario in which the carbon dioxide equivalent concentration reaches 450 ppm in 2100 and the global average temperature increases by 0.2–1.8 °C. RCP4.5 is a moderate scenario in which the carbon dioxide equivalent concentration reaches 450 ppm in 2100 and the global average temperature increases by 0.2–1.8 °C. RCP4.5 is a moderate scenario in which the carbon dioxide equivalent concentration reaches 650 ppm in 2100 and the global average temperature increases by 1.0–2.6 °C. Finally, RCP8.5 is a pessimistic scenario in which the carbon dioxide equivalent concentration reaches 1350 ppm in 2100 and the global average temperature increases by 2.6–4.8 °C.

## 2.4. Setting Parameters in Maxent

We used the open-source software package Maxent v.3.4.0, which can be downloaded from http://biodiversityinformatics.amnh.org/open\_source/maxent/. The following parameters were used in the basic settings: random seed, a random test percentage of 25, 10 replicates, and a replicated run type of 'subsample'. By setting the random test percentage to 25%, 75% of the distribution points were randomly selected for the training set and the remaining 25% were used for the test set. By setting the number of replicates to 10, the model was run 10 times with the same settings, and the output of all runs was averaged to obtain the final result. In the advanced settings, we set the maximum number of iterations to 5000 and selected the 'write plot data' option. Maxent permits the specification of feature classes, i.e., transformations of the model covariates that enable the modeling of complex relationships [29]. The combination of specified feature classes depends on the number of distribution points, and based on the 75 distribution points in our study, we specified linear, quadratic, and hinge features.

Regularization provides a method to reduce model overfitting, and the predictive performance of the Maxent is influenced by the choice of regularization multiplier. We tested six values of the regularization multiplier (0.5, 1, 1.5, 2, 2.5, and 3), and the area under the receiver operating characteristic curve (AUC) was highest with a regularization multiplier of 1. The regularization multiplier was therefore set to 1 in the present study, and all remaining parameters were set to the software defaults.

#### 2.5. Classification of Suitable Areas for S. noctilio

The classification of suitable areas for *S. noctilio* was performed using ArcGIS software v.10.2 (ESRI, Redlands, CA, USA). The ASCII output file from Maxent was transformed into a raster format using the Arc Toolbox tool in ArcGIS, and the layer was reclassified using Reclass in the Spatial Analyst tool. At present, the classification of suitable habitat areas is largely based on experience, and there is no unified standard. We used the natural groupings inherent in the Maxent output to delineate four suitability areas for *S. noctilio* using the Jenks classification in ArcGIS: high habitat suitability, moderate habitat suitability, low habitat suitability, and unsuitable habitat.

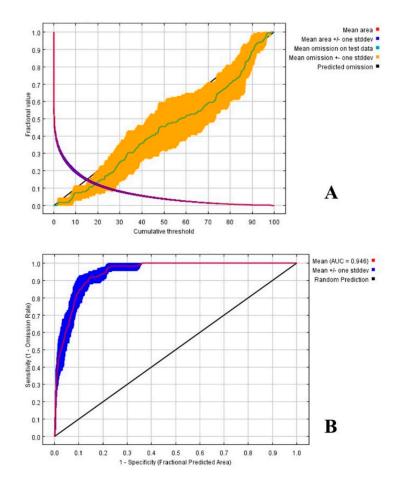
## 3. Results

## 3.1. Main Autecology and Biology of S. noctilio in China

*S. noctilio* usually completes one generation per year in Heilongjiang Province. The only host of *S. noctilio* is *P. sylvestris* var. *mongolica*. The maximum cumulative flight distance of females is 56.25 km, and that of males is 30.80 km. One female lays 97.58 eggs throughout its life. The oviposition frequency of *S. notilio* is positively correlated with temperature from 17.49 to 23.15 °C.

## 3.2. Model Evaluation

The average omission and predicted area for *S. noctilio* were obtained after 10 rounds of modeling in Maxent (Figure 2A). As shown in the receiver operating characteristic (ROC) curve in Figure 2B, the AUC value of the model is greater than 0.9 ( $0.946 \pm 0.014$ ), indicating that the construction of this model has reached an excellent standard. In summary, this model can be used to predict suitable habitat areas for *S. noctilio*.

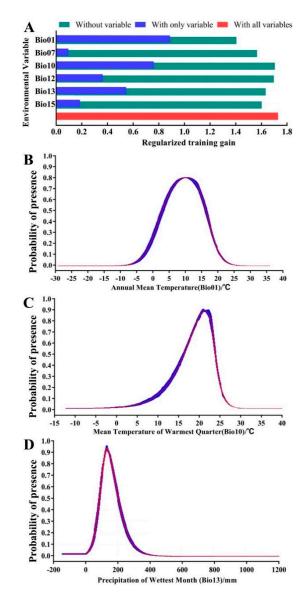


**Figure 2.** Model prediction accuracy analysis. (**A**) Average omission and predicted area for *Sirex noctilio*; (**B**) Average sensitivity vs. 1-specificity for *Sirex noctilio*.

#### 3.3. Relationships between S. noctilio Distribution and Bioclimatic Variables

The importance of each bioclimatic variable to the distribution of *S. noctilio* is shown in a jackknife test plot (Figure 3A). All values are means of 10 replicate runs. For each variable, the greater the length of the blue bar, the greater the importance of that variable to the distribution of *S. noctilio*. By contrast, the shorter the green bar, the more information the variable has that other variables do not have. This type of unique information has an important influence on the distribution of *S. noctilio*.

The three bioclimatic variables with the greatest influence on *S. noctilio* distribution are annual mean temperature (bio01), mean temperature of the warmest quarter (bio10), and precipitation of the wettest month (bio13). The response curves in Figure 3B–D show how changes in each variable affect the probability of S. *noctilio* presence; each curve shows the mean of 10 replicate Maxent runs in red and the mean  $\pm$  one standard deviation of the replicate runs in blue. Each of the curves represents a different model, namely, a Maxent model created using only the corresponding variable. The plots reflect both the dependence of predicted habitat suitability on the selected variable and the dependencies induced by correlations between the selected variable and other variables.



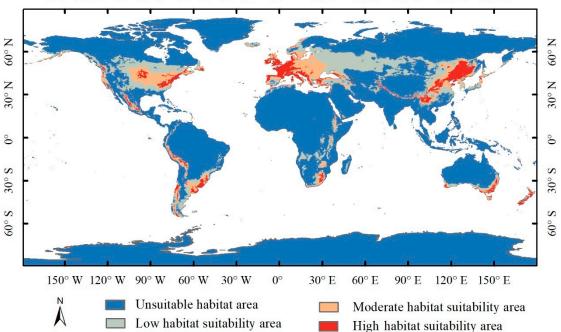
**Figure 3.** Jackknife test plot (**A**) and response curves of bioclimatic variables (**B**–**D**) that affect the distribution of *S. noctilio.* (**A**) "Without variable" represents the regularized training gain of the model without this variable, "With only variable" represents the regularized training gain of the model with only this variable, "With all variable" represents the regularized training gain of the model with all variable, "With all variable" represents the regularized training gain of the model with all variable, (**B**) Response curve of annual mean temperature (bio01), (**C**) Response curve of mean temperature of the warmest quarter (bio10), (**D**) Response curve of precipitation of the wettest month (bio13).

The most suitable annual mean temperature for *S. noctilio* presence is 10 °C. The probability of *S. noctilio* presence increases with increasing the annual average temperature from −10 to 10 °C, then

decreases with increasing the annual mean temperature from 10 to 25 °C. In the warmest quarter, the most suitable mean temperature is 21 °C. The probability of *S. noctilio* presence increases as mean temperature in the warmest quarter increases from 0 to 21 °C, then decreases as mean temperature in the warmest quarter increases from 21 to 30 °C. In the wettest month, the optimum precipitation for *S. noctilio* presence is 140 mm. The probability of *S. noctilio* presence increases as precipitation in the wettest month increases from 0 to 140 mm, then decreases as precipitation increases from 140 to 460 mm in the wettest month.

#### 3.4. Currently Suitable Habitat Areas for S. noctilio Based on Historical Climatic Conditions

A current habitat suitability map for *S. noctilio* based on maximum entropy model predictions is shown in Figure 4; it is based on the historical data of six bioclimatic variables and the current distribution data of *S. noctilio*. Regions suitable for *S. noctilio* are concentrated in the range of 30° N to 60° N and 25° S to 55° S on the world map. All continents except for Antarctica contain suitable areas for *S. noctilio*, and such areas account for approximately 26% of the total area of these six continents. Regions of high habitat suitability are found mainly in New Zealand, Australia, South Africa, Brazil, the United States, China, France, and the United Kingdom. The new *S. noctilio* occurrence sites that we have recently investigated in China are all located within high habitat suitability regions. The map also indicates that *S. noctilio* is more likely to be present near the ocean in countries within its predicted range.



150° W 120° W 90° W 60° W 30° W 0° 30° E 60° E 90° E 120° E 150° E

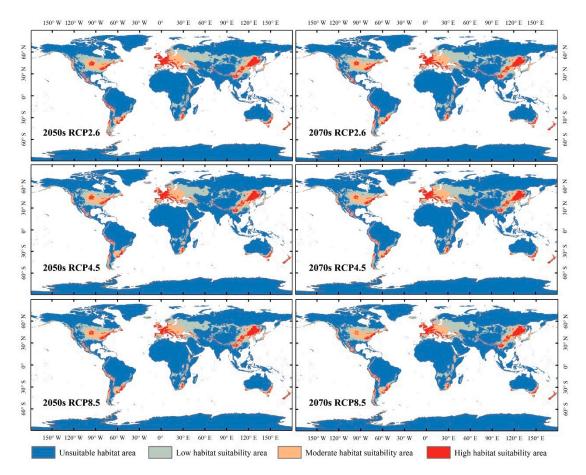
**Figure 4.** The predicted range of currently suitable areas for *S. noctilio* under historical climatic conditions.

## 3.5. Potential Distribution of S. noctilio under Future Climate Scenarios

In future models of *S. noctilio* distribution, the AUC values under three RCP scenarios (RCP2.6, RCP4.5, and RCP8.5) were  $0.915 \pm 0.014$ ,  $0.938 \pm 0.023$ ,  $0.943 \pm 0.017$ , respectively, in 2050 and  $0.937 \pm 0.015$ ,  $0.940 \pm 0.010$ ,  $0.947 \pm 0.012$ , respectively, in 2070, all of which were greater than the 0.9 AUC threshold.

Habitat suitability maps for these models are shown in Figure 5, and the percentage areas of different habitat suitability types under various climate scenarios is shown in Table 2. The change in

percent area of different habitat suitability types can be determined by calculation. In 2050 and 2070, if carbon dioxide emissions are high and RCP is large, the suitable area for *S. noctilio* will be reduced. However, if emissions and RCP are low, the suitable area will be enlarged. Although the direction of change is the same, the degree of change is different for different habitat types. For example, as carbon dioxide emissions increase, the high suitability area for *S. noctilio* decreases nearly twice as much as the moderate suitability area. The current distribution is broadly similar to that predicted under the RCP4.5 scenario in 2050 and 2070.



**Figure 5.** Prediction of the future range of suitable areas for *S. noctilio* under various representative concentration pathway (RCP) scenarios in 2050 and 2070.

**Table 2.** Percentage land surface area of different *S. noctilio* habitat suitability types under various climate scenarios.

|              | Percentage of Different Areas (%) |                                 |                                      |                                  |  |
|--------------|-----------------------------------|---------------------------------|--------------------------------------|----------------------------------|--|
| Date and RCP | Unsuitable<br>Habitat Area        | Low Habitat<br>Suitability Area | Moderate Habitat<br>Suitability Area | High Habitat<br>Suitability Area |  |
| Current      | 73.63                             | 15.01                           | 7.04                                 | 4.32                             |  |
| 2050 RCP2.6  | 72.12 (-1.51)                     | 15.79 (+0.78)                   | 7.27 (+0.23)                         | 4.82 (+0.50)                     |  |
| 2050 RCP4.5  | 75.11 (+1.48)                     | 13.46 (-1.55)                   | 7.05 (+0.01)                         | 4.39 (+0.07)                     |  |
| 2050 RCP8.5  | 74.92 (+1.29)                     | 14.11 (-0.90)                   | 6.90 (-0.14)                         | 4.07 (-0.25)                     |  |
| 2070 RCP2.6  | 73.68 (+0.05)                     | 14.54 (-0.47)                   | 7.18 (+0.14)                         | 4.60 (+0.28)                     |  |
| 2070 RCP4.5  | 74.66 (+1.03)                     | 14.01 (-1.00)                   | 7.02 (-0.02)                         | 4.30 (-0.02)                     |  |
| 2070 RCP8.5  | 75.03 (+1.40)                     | 13.91 (-1.10)                   | 6.88 (-0.16)                         | 4.17 (-0.15)                     |  |

Note: Values in parentheses that show the change from the Current percentage area.

#### 4. Discussion

A comprehensive study of current methods for species distribution modeling found that the maximum entropy model outperformed nearly all other methods [16]. Nonetheless, the Maxent software does have several limitations. First, the AUC values of the fitted model can be used to assess whether it is reasonable but cannot confirm that it is accurate. "Various goodness-of-fit procedures available" is a solution available through re-expression as a Poisson point process model [30]. However, specific methods require further study. Second, there is no evaluation standard for setting software parameters such as the regularization multiplier. Merow (2013) recommends exploring a range of regularization coefficient values and choosing a value that maximizes some measure of fit on a cross-validation data set [31]. In our study, we explored a series of coefficient values, but our limited exploration may not have found the optimal multiplier.

Climatic variables are known to have a direct effect on insect population dynamics through the modulation of survival, developmental rate, fecundity, and dispersal [32,33]. Damage significance and spatial distribution of *S. noctilio* infestations in New Zealand were compared with the growth and suitability outputs of a model to explore whether the impact of *S. noctilio* was related to climate. However, no correlation between modeled climate suitability and field infestation severity was found [15]. In our study, a few key bioclimatic variables had an important effect on distribution prediction. Annual mean temperature (bio01) was the main environmental variable associated with *S. noctilio* distribution at the global level. Of course, in addition to climate factors, the distribution of *S. noctilio* was also limited by other factors such as latitude, which is a surrogate for day length and critical photoperiod and plays an indirect role through climate.

The mean omission of the test data and the predicted omission were very similar. According to the definition of the cumulative threshold, this shows that the effect of model construction is very good [34,35]. However, in this study, the assessment of the suitability for *S. noctilio* is based only on the Maxent tool that uses climate data. In fact, the development and spreading of an insect species is crucially dependent on the breeding base, i.e., distribution of the host tree [36]. In the introduced area of S. noctilio, there is a phenomenon worth paying attention to. S. noctilio are very harmful to non-native pine species [7]. In the Southern Hemisphere, S. noctilio has attacked and killed several species of introduced North American pines, including jack pine (Pinus banksiana Lamb.), Caribbean pine (Pinus caribaea Morelet), lodgepole pine (Pinus contorta Dougl.), shortleaf pine (Pinus echinata Mill.), slash pine (Pinus elliottii Engelm.), Jeffrey pine (Pinus jeffreyi Grev. and Balf.), longleaf pine (Pinus palustris Mill.), Mexican weeping pine (P. patula Schiede and Schltdl. and Cham.), ponderosa pine (P. ponderosa Laws.), Monterey pine (Pinus radiata D. Don.), Chiapas white pine (Pinus chiapensis (MartInez) Andresen), and loblolly pine (*Pinus taeda* L.) [7]. Many of these species come from the origin location of S. noctilio. In China, the only host of S. noctilio, P. sylvestris var. mongolica, is a variety of the origin host in Europe. The planting of the original hosts in the world are conducive to the invasion of the European woodwasp [7,37]. When the planting range of hosts are reduced, the suitable area of S. noctilio will also decrease. That is how climate indirectly affects S. noctilio through their hosts.

Climate change affects forest insect pest populations in a number of ways. It is predicted to have demonstrable effects on the frequency and intensity of pest outbreaks, particularly at the margins of host ranges [38,39]. Interestingly, our 2050 and 2070 predictions suggest that a favorable inflection point for the control of *S. noctilio* is coming. In the future, emissions of carbon dioxide and other greenhouse gases will probably increase from the present level. In that circumstance, the distribution of *S. noctilio* predicted under RCP8.5 conditions is more likely to become a reality. Under the RCP8.5 scenario, the range of suitable areas for *S. noctilio* will narrow, benefiting efforts to control *S. noctilio* and preventing its spread. The increase of greenhouse gas carbon dioxide emissions will increase the temperature, directly affect the climate, and indirectly affect the survival of insects and other organisms through the climate [40,41]. In its native areas, *S. noctilio* is a common organism; however, in introduced areas causing damage, *S. noctilio* is an invasive pest. Even though *S. noctilio* is a pest it still is an organism that has its value. If *S. noctilio* is regarded only as an invasive pest, then an increase in atmospheric

carbon dioxide concentration will reduce its range of harm. However, if the woodwasp is regarded as a common organism, a reduction in its range of suitable habitats is not good news. As greenhouse gas emissions increase, the habitat range of many other organisms, including humans, may also decrease. The pressure on coniferous species might still increase dramatically due to higher abundances. It is also very likely that climate change will increase the number of severe pest outbreaks in the near future [42]. In order to increase the long-term effect of prevention, biodiversity of monospecific forests highly disposed to *S. noctilio* invasion and spreading should be improved. It is suggested that different species of trees should be mixed.

The life cycle of *S. noctilio* is normally completed in one year, but may require two years in colder parts of its range [7]. Larvae must meet a certain accumulated temperature to emerge as an adult. This is why annual mean temperature (bio01) is one of the climatic factors that influence the distribution of *S. noctilio*. That is how climate affects *S. noctilio* directly [43].

#### 5. Conclusions

In this study, Maxent software was used to predict the distribution of *S. noctilio* based on climate variables. Using the maximum entropy model, the latest distribution data obtained a better distribution range. The annual mean temperature, the mean temperature of the warmest quarter, and the precipitation of the wettest month were important meteorological factors that affected the predictions. Climate variables indirectly affect the distribution of *S. noctilio* through the host, or directly affect its distribution through the development of *S. noctilioc*. Different from previous studies, this study found that the overall distribution of *S. noctilioc* tends to be smaller under the trend of global warming, rather than larger.

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