

Article



# **Temperature Reduction in Urban Surface Materials through Tree Shading Depends on Surface Type Not Tree Species**

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Abstract: Trees play a vital role in urban cooling. The present study tested if key canopy characteristics related to tree shade could be used to predict the cooling potential across a range of urban surface materials. During the austral summer of 2018–2019, tree and canopy characteristics of 471 free-standing trees from 13 species were recorded across Greater Sydney, Australia. Stem girth and tree height, as well as leaf area index and ground-projected crown area was measured for every tree. Surface temperatures were recorded between noon (daylight saving time) and 3:00 p.m. under the canopy of each tree in the shade and in full sun to calculate the temperature differential between adjacent sunlit and shaded surfaces ( $\Delta T_s$ ). The limited control over environmental parameters was addressed by using a large number of randomly selected trees and measurement points of surface temperatures. Analyses revealed that no systematic relationship existed among canopy characteristics and  $\Delta T_s$  for any surface material. However, highly significant differences (p < 0.001) in  $\Delta T_s$  existed among surface materials. The largest cooling potential of tree shade was found by shading bark mulch  $(\Delta T_s = -24.8 \text{ °C} \pm 7.1)$ , followed by bare soil ( $\Delta T_s = -22.1 \text{ °C} \pm 5.5$ ), bitumen ( $\Delta T_s = -20.9 \text{ °C} \pm 5.8$ ), grass ( $\Delta T_s = -18.5 \text{ °C} \pm 4.8$ ) and concrete pavers ( $\Delta T_s = -17.5 \text{ °C} \pm 6.0$ ). The results indicate that surface material, but not the tree species, matters for shade cooling of common urban surfaces. Shading bark mulch, bare soil or bitumen will provide the largest reductions in surface temperature, which in turn results in effective mitigation of radiant heat. This refined understanding of the capacity of trees to reduce thermal loads in urban space can increase the effectiveness of urban cooling strategies.

**Keywords:** Urban Heat Island; surface temperature; green space; Western Sydney; microclimate; thermal management

# 1. Introduction

The Urban Heat Island Effect (UHIE) is one of the most prominent impacts of urbanisation and is accelerated by climate change [1,2]. The UHIE can be defined as the discernible temperature difference between urban and adjacent rural areas caused by emission of excess heat and the solar energy trapped by infrastructure [3]. Mitigation of urban heat has become a pressing issue as more than half of the world's population is currently living in cities [4], where they are exposed to increased levels of heat that, during heat wave conditions, adversely impact public health and accelerate rates of mortality [4]. People that live in urban areas highly depend on air-conditioned buildings, artificial lighting and (air conditioned) transport. The additional waste heat generated by this lifestyle further contributes to

the UHIE [3,5,6]. Increased night-time temperatures in urban settings are mainly caused by buildings and paved areas with low albedo and high heat storage capacity [7]. Buildings and paved areas are made from concrete, asphalt, bricks and tiles, which absorb short-wave solar radiation during daytime and re-radiate long-wave radiation in the night, thereby increasing air temperatures at night.

Retaining existing trees and planting additional trees is one of the most effective strategies to mitigate UHIE. Urban trees provide surface cooling through shading and additional cooling benefits can be generated by latent heat removal through evapotranspiration [8]. Shade from tree canopies reduces the amount of sunlight absorbed by infrastructure, such as buildings and pavement, and thus decreases the amount of energy that is re-radiated into the surrounding environment. The cooling effect of tree canopies on single domestic dwellings has been known for decades [9]. A recent study revealed that the cooling effect provided by shading from trees is more significant than that by evapotranspiration [10]. It was estimated that urban trees in the United States reduce the national residential energy consumption by 7.2% per year and provide a reduction of 38.8 million MWh of electricity (worth USD 4.7 billion) [11]. The presence of trees is also linked with higher property values [12–14]. As a direct effect on the human body, tree shade alters the perceived temperature which depends more on radiation and less on convection of heat from the local environment [15]. Hence, reducing radiant heat loads through shade from tree canopies improves human thermal comfort and can have a positive effect on public health [16,17].

Shade provided by a tree has a quantitative and a qualitative dimension. The quantity of shade is dependent on the size of the tree crown and can be approximated by projecting the crown perimeter onto the ground surface. The quality of tree shade depends on the density of the canopy. The Leaf Area Index (LAI) can be used to determine the canopy density [18]. LAI is defined as the total projected area of leaves of a single tree or group of trees over a unit of land ( $m^2 m^{-2}$ ) and is known to have a direct influence on microclimate below the canopy [19,20]. A low LAI indicates a more open canopy arrangement that provides a lower quality of shade, whereas a high LAI indicates a dense canopy which provides very high quality of shade. The canopy characteristics vary among tree species, age and location, and thus could influence air and surface temperature below the canopy [21]. However, the shading efficiency of tree canopies is likely the result of a combination of the density and size of tree crowns. Surface temperature under a tree with a wide but open crown may be higher compared to that under a tree with a narrower but dense crown.

Although there are numerous studies on the effect of tree canopy cover on air temperature in urban settings [22,23], far fewer studies have assessed the influence of tree canopies or species differences in shading efficiency on surface temperatures at a microscale. Moreover, studies that do assess the relationship between surface temperature and urban tree canopy cover regularly use remotely sensed infrared data [24–26], which cannot be used to assess the impact of shading on temperature of surface materials under tree canopies. However, it is this type of information that urban planners, landscape architects and land managers often seek when selecting tree species to improve microclimates and reduce radiant heat loads.

Further, the albedo of a surface material plays a significant role in UHIE. The albedo can be defined as the fraction of shortwave radiative energy reflected from a surface [27]. Light-coloured surfaces with high albedo generally absorb less solar radiation than dark-coloured ones with low albedo [28]. Consequently, decreases in albedo increase the radiative energy absorption by the urban land surface, lead to increased air and surface temperatures and contribute to the UHIE [28,29]. Typical albedo values range from 0.10 to 0.50, with higher values usually associated with metallic surfaces [28].

Here, we present surface temperature measurements of common urban surface types under tree shade and adjacent sunlit areas and investigate species-specific relationships between tree size, using the stem diameter at breast height (DBH), LAI and the vertical projection of the crown area (A<sub>C</sub>). A range of common urban tree species planted throughout Greater Sydney were tested for this purpose, and we hypothesised (1) that species with higher LAI and larger A<sub>C</sub> are most effective in reducing surface temperature, (2) that the surface temperature underneath tree canopies also depends on the surface material and (3) that darker surface materials with low albedo would exhibit higher surface temperatures compared to surface materials with high albedo. We were interested in identifying species-specific and also surface-specific trends and thus did not control tree age, canopy size or any environmental parameter, except time of day and that no meaningful rainfall had occurred in the days preceding our data collections. We countered the limited control by assessing a large number of trees and randomizing data collection points for surface temperatures.

#### 2. Materials and Methods

#### 2.1. Study Area

Greater Sydney in the state of New South Wales (NSW), Australia, was selected as the study area for this project. The area has a temperate climate with dry and hot summers. A natural rainfall gradient exists along an east (coastal)/west (inland) gradient where mean annual precipitation declines from 1300 to 880 mm [30]. Mean annual air temperature of the area is around 18 °C. Greater Sydney, especially the western part, experiences extreme heatwave conditions annually with a peak temperature of 48.9 °C in January 2020 [31]. Moreover, Parramatta, a city in the geographic centre of Greater Sydney, has been identified to have the highest UHIE in NSW [32]. On average, Parramatta experiences 13 days each year with air temperatures of 35 °C and above [33]. The frequency of hot and extreme heat days is increasing in Parramatta and Western Sydney more broadly [34]. Additionally, urban development has transformed rural land in the west of Greater Sydney to residential suburbs [35]. The estimated population of this part of Greater Sydney in 2018 is 2.2 million which is 10% higher compared to 2011 [36]. It is expected that the population of Greater Sydney. Due to continued urbanisation in the region, canopy cover in the western part of Greater Sydney. Due to 2018 is 2.9 million by 2036, representing more than 50% of the total population of Greater Sydney. Bydney will reach 2.9 million by 2036, representing more than 50% of the total population of Greater Sydney. Due to continued urbanisation in the region, canopy cover in the western part of Greater Sydney decreased by 0.83% from 2009 to 2016, a rate more than twice as high as what was observed across the State of NSW [33].

#### 2.2. Tree Morphological Measurements

Stem diameter at breast height (DBH) was measured for each individual tree using a diameter tape. Here we used DBH as rough indicator of tree age. A clinometer (Suunto Tandem 360PC/360RDG, Suunto, Vantaa, Finland) was used to measure tree and crown height. Crown radii (r) in six sub cardinal directions were measured using an optical laser (DISTO D810, Leica Geosystems, St Gallen, Switzerland). For this purpose, a perpendicular was dropped at the edge of the canopy from where the laser was pointed to the centre of the stem at parallel height to the ground surface. Half of the DBH (i.e., the stem radius) was added to each measurement to represent the distance from the crown edge to the centre of the stem. To estimate  $A_{C}$ , we used the following modified equation [37]:

$$A_{C} = \sum_{i=1}^{6} \frac{r_{i} \times r_{i+1} \times \sin(60^{\circ})}{2}$$
(1)

where  $r_i$  and  $r_{i+1}$  are adjacent radii. LAI was measured using a digital canopy analyser (CI-110 Plant Canopy Imager, CID Bio Science Inc., Camas, WA, USA). Two independent measurements were taken at randomly selected positions under each tree canopy. All images were collected under appropriate light conditions. During post-processing of the images, the Otsu method was applied for image thresholding and gap fraction analysis. This method was selected, because of its robustness in image segmentation, using a least-square method based on a grey-scale histogram [38]. Zenith and azimuth divisions of canopy images were selected manually for each image to ensure an accurate calculation of LAI.

**Table 1.** Alphabetic list of tree species with their mean diameter at breast height (DBH), total height, vertical crown projected area ( $A_C$ ) and leaf area index (LAI). *N* denotes number of trees. Minimum and maximum values for DBH and height, as well as 1 Standard Deviation (SD) are shown.

Species	n	Mean DBH ± SD (m)	Min /Max DBH (m)	Mean Tree Height ± SD (m)	Min/Max Tree Height (m)	$\frac{Mean A_C \pm SD}{(m^2)}$	$\begin{array}{c} Mean \; LAI \pm SD \\ (m^2 \; m^{-2}) \end{array}$
Camphor laurel	48	$0.72 \pm 0.20$	0.48/1.31	$13.3 \pm 2.7$	9.8/22.3	$74.5 \pm 26.3$	$1.9 \pm 0.5$
Casuarina equisetifolia	58	$0.45 \pm 0.24$	0.06/1.12	$14.0 \pm 5.9$	3.8/22.8	$59.1 \pm 39.6$	$1.7 \pm 0.5$
Corymbia citriodora	15	$0.31 \pm 0.20$	0.09/0.70	$11.0 \pm 4.4$	5.7/21.0	$24.2 \pm 10.3$	$0.9 \pm 0.2$
Eucalyptus saligna	19	$0.64 \pm 0.22$	0.38/1.30	$26.3 \pm 4.3$	17.8/35.3	$92.3 \pm 46.9$	$1.4 \pm 0.3$
Ficus macrocarpa	48	$0.26 \pm 0.17$	0.06/0.93	$9.3 \pm 4.4$	4.1/21.0	$29.6 \pm 22.6$	$3.4 \pm 0.5$
Jacaranda mimosifolia	40	$0.51 \pm 0.26$	0.09/0.96	$13.5 \pm 4.5$	5.6/22.74	$99.5 \pm 85.5$	$2.0 \pm 0.6$
Lagerstroemia	55	$0.12 \pm 0.05$	0.03/0.25	$8.2 \pm 2.8$	3.9/13.5	$12.9 \pm 8.6$	$2.6 \pm 0.4$
Liquidambar styraciflua	13	$0.59 \pm 0.30$	0.10/1.12	$16.8 \pm 5.7$	5.6/27.9	$95.5 \pm 74.4$	$2.5 \pm 0.3$
Lophostemon confertus	49	$0.35 \pm 0.33$	0.04/1.26	$12.5 \pm 6.9$	4.6/28.0	$36.1 \pm 43.4$	$2.1 \pm 0.4$
Melaleuca quinquenervia	19	$0.84 \pm 0.33$	0.34/1.60	$17.1 \pm 2.5$	13.8/24.0	$55.1 \pm 23.4$	$2.1 \pm 0.3$
Platanus acerifolia	17	$0.52 \pm 0.26$	0.08/0.97	$16.8 \pm 5.1$	4.3/24.8	$96.5 \pm 44.5$	$2.8 \pm 0.4$
Pyrus calleryana	46	$0.19 \pm 0.13$	0.04/0.68	$8.0 \pm 2.2$	4.5/13.3	$17.8 \pm 18.4$	$2.6 \pm 0.8$
Waterhousea floribunda	44	$0.13\pm0.07$	0.04/0.29	$7.3 \pm 1.8$	4.0/12.2	$9.4 \pm 6.8$	$2.9\pm0.5$



**Figure 1.** Examples of common tree species and surface types. (**A**) Crepe myrtle (*Lagerstroemia* L.) with brick pavers and grass in sunlight and shade. (**B**) Jacaranda (*Jacaranda mimosifolia* D.Don) with asphalt and grass in sunlight and shade. (**C**) Paperbark (*Melaleuca quinquenervia* (Cav.) S.T.Blake) with bark mulch in sunlight and shade. (**D**) Queensland box (*Lophostemon confertus* (R.Br.) Peter G.Wilson & J.T.Waterh.) with grass, bark mulch and asphalt in sunlight and shade.

#### 2.3. Surface and Globe Temperature Measurements

Surface and black globe temperatures were recorded between 12:00 and 15:00 h (local daylight-saving time) under each tree canopy and in full sun adjacent to each tree. Black globe temperature is an indirect measurement of human thermal comfort obtained with a thermometer installed inside a hollow copper sphere painted in matte black [39]. It is a composite measurement that incorporates air temperature, relative humidity, direct sunlight, wind speed and radiant heat.

A tripod-mounted weather station (Kestrel 5400, Kestrel Meters, Boothwyn, PA, USA) was used to record black globe temperature at 30-s intervals. The weather station was positioned 1 m above the

ground. The air temperature sensor of the weather station was shielded from direct solar radiation and was well aspirated. The weather station was first positioned under the tree for 15 min before moving it into the sun adjacent to the tree for another 15 min. Data for the last 3 min of each measurement interval were averaged, to ensure only data after the weather station had adjusted to ambient conditions were used. The resulting six measurements were averages. These measurements were not independent, thus one average temperature per time interval was used to calculate means among surface types. We note that black globe temperatures were only recorded for each location and light condition, not for specific surface types at individual locations. The reason for this approach was the limited ability to exclude microclimatic 'noise' from adjacent surface types, especially in the sun.

An infrared (IR) camera (FLIR C3, FLIR Systems Inc., Wilsonville, OR, USA) was used to record surface temperature at five random locations under the canopy and in full sun adjacent to each tree. The camera has a fixed focus, field of view is  $41 \times 31^{\circ}$ , image size is  $640 \times 480$  pixel and thermal sensitivity is  $0.1 \,^{\circ}$ C. The IR camera was held 1 m above the surface when taking the image perpendicular to the ground. The area covered by the image was approximately  $56 \times 77 \,\mathrm{cm}$  ( $4312 \,\mathrm{cm}^2$ ). The temperatures of different surface types (grass, bark mulch, bare soil, concrete pavers and bitumen) were assessed in both light conditions. Care was taken that no shade was introduced to the area imaged in sunlight or under tree canopies on readily shaded surfaces. We noticed that two or more different types of surface could be found underneath tree canopies, and consequently, the number of surface temperature assessments exceeded the number of trees in our study. We measured surface temperatures on 414 locations covered by grass, 135 covered by bitumen, 69 covered by bark mulch, 62 covered by pavers and 28 had bare soil.

FLIR Tools+ software was used to extract five random point measurements from each image for a single, uniform surface type to calculate a representative surface temperature for each image. Similar to Black Globe Measurements, these measurements were also not independent, and consequently, one average temperature per image was used to calculate means among surface types. Measurements of air temperature were used to normalize surface and black globe temperatures. Surface and black globe temperatures differentials ( $\Delta T_S$  and  $\Delta T_G$ ) were calculated by subtracting temperatures measured in the shade from those measured in the sun. To represent the effect of shading as 'cooling effect', all delta values are presented with a negative prefix.

To document the warm summer conditions during which the black globe and surface temperatures were collected, we provide information about mean, minimum and maximum ambient air temperatures measured in the sunlight ( $T_{ASL}$ ) and in the shade of trees ( $T_{AS}$ ) and their differential ( $\Delta T_A$ ) as Supplementary Materials. Table S1 provides these temperatures according to tree species while Table S2 provides this information according to the five surface types we investigated (i.e., bare soil, bark mulch, bitumen, grass, and concrete pavers).

#### 2.4. Data Analysis

All statistical tests were done using JMP software (JMP 14 SW, SAS Institute Inc, Cary, NC, USA). All data were first tested for normal distribution. Mean values were calculated for  $A_C$  and LAI for each tree species. Surface and globe temperature data were normalized to account for day-to-day variation in air temperatures. Surface temperature normalization was done for each surface type separately by using the following equation:

$$T' = \frac{T_o - T_{min}}{T_{max} - T_{min}} \tag{2}$$

where T' is the normalized temperature,  $T_o$  is the observed temperature,  $T_{min}$  is the minimum recorded temperature and  $T_{max}$  is the maximum recorded temperature. Linear regression analysis was performed between tree physical traits and all the temperature measurements. Generalized Linear Models (GLM) were used to determine relationships among  $A_C$ , LAI, surface, globe temperature and surface types.

# 3. Results

# 3.1. Relationships of Physical Traits

Of the 471 urban trees that we sampled, *Casuarina equisetifolia* accounted for of the most trees of a single species (n = 58) followed by *Lagerstroemia* (n = 55) and *Lophostemon confertus* (n = 49), while *Liquidambar styraciflua* had the lowest representation (n = 13) (Table 1). DBH of the sampled tree population ranged from 0.03 m (*Lagerstroemia*) to 1.6 m (*Melaleuca quinquenervia*) and tree height varied from 3.8 m (*Casuarina equisetifolia*) to 35.3 m (*Eucalyptus saligna*) (Table 1).

*Jacaranda mimosifolia* trees generally had the largest  $A_C$  (99.47 ± 85.74 m<sup>2</sup>) followed by *Platanus acerifolia* (96.50 ± 44.52 m<sup>2</sup>) and *Liquidambar styraciflua* (95.50 ± 73.43 m<sup>2</sup>) while *Waterhousea floribunda* had the smallest  $A_C$  (9.42 ± 6.85 m<sup>2</sup>) among all sampled species (Table 1). Furthermore, *Ficus macrocarpa* was the species with the highest LAI (3.4 ± 0.5 m<sup>2</sup> m<sup>-2</sup>) and *Waterhousea floribunda* had the second largest LAI (2.9 ± 0.5 m<sup>2</sup> m<sup>-2</sup>). In contrast, *Corymbia citriodora* had the lowest LAI (0.9 ± 0.2 m<sup>2</sup> m<sup>-2</sup>) among the sampled tree species (Table 1).

Across all species, tree height and DBH followed a clear positive trajectory ( $R^2 = 0.68$ , p < 0.001), as did A<sub>C</sub> ( $R^2 = 0.75$ , p < 0.001) (Figure 2). At the individual tree level, there were no significant relationships between LAI and DBH or A<sub>C</sub>. Tree species with dense canopies and medium height (e.g., *Ficus macrocarpa, Lagerstroemia*) had a smaller A<sub>C</sub> and higher LAI compared to tall, species with more open canopies (e.g., *Casuarina equisetifolia, Corymbia citriodora*) (Table 1).



**Figure 2.** Relationships between stem diameter at breast height (DBH) and total tree height (panel (**A**) and vertically projected crown area (A<sub>C</sub>; panel (**B**)) of 471 free-standing trees from 13 species growing in urban environments across greater Sydney, Australia. Dotted lines show best-fit functions (A: Tree height =  $19.38 \times \text{DBH}^{0.46}$ ; B: A<sub>C</sub> =  $136.23 \times \text{DBH}^{1.29}$ ). Coefficients of determination are shown.

# 3.2. Influence of Urban Trees on Different Types of Temperature

No significant effect of  $A_C$  or LAI on the shaded surface temperature ( $T_{SS}$ ) or surface temperature differential ( $\Delta T_S$ ) (p > 0.05) was found (Figure 3). Figure 4 shows the distribution of  $\Delta T_S$  and LAI for each species, further demonstrating that there was no systematic relationship between LAI and  $\Delta T_S$  among the investigated tree species. Species-specific measurements for mean, minimum, maximum and the differential of surface temperatures measured in the shade and sun are provided in Table S3.



**Figure 3.** Relationships between tree crown characteristics and surface cooling, calculated as differential between the surface temperature in the sun and shade of a given surface type ( $\Delta T_S$ ). Panel (**A**): vertical crown projected area ( $A_C$ ) and surface temperature differential ( $\Delta T_S$ ); panel (**B**): Leaf Area Index (LAI) and surface temperature differential ( $\Delta T_S$ ). Data are shown for 471 individual trees from 13 species growing in urban environments across greater Sydney, Australia. Dotted lines show linear fits.



Species

**Figure 4.** Distribution of the surface temperature differential ( $\Delta T_S$ ; panel (**A**)) and Leaf Area Index (LAI; panel (**B**)) in each tree species. Distribution of LAI in each species is arranged from the highest mean LAI to the lowest mean LAI. The lower and upper line of the box shows the first and third quartile; the line and cross inside the box show the median and mean; the whiskers show minimum and maximum values.

However, the effect of surface type on  $T_{SS}$ ,  $T_{SL}$  and  $\Delta T_S$  was highly significant (p < 0.001).  $T_{SS}$  ranged from 20.4 °C to 54.7 °C and bitumen had the highest mean  $T_{SS}$  (33.5 ± 4.2 °C) followed by bark mulch (33.4 ± 3.1 °C), concrete pavers (33.1 ± 4.8 °C), bare soil (33.0 ± 2.9 °C) and grass (31.0 ± 2.7 °C) (Table 2).  $T_{SL}$  ranged from 30.1 °C to 76.9 °C and bark mulch had the highest mean  $T_{SL}$  (58.2 ± 8.1 °C) followed by bare soil (55.2 ± 5.9 °C), bitumen (54.5 ± 6.2 °C) and grass (49.4 ± 5.1 °C). Bark mulch showed the

largest  $\Delta T_S$  (-24.8 ± 7.1 °C) followed by bare soil (-22.1 ± 5.6 °C), bitumen (-20.9 ± 5.8 °C) and grass (-18.5 ± 4.8 °C) respectively. Concrete pavers showed the smallest  $\Delta T_S$  (-17.5 ± 6.0 °C).

**Table 2.** Mean, minimum and maximum shaded surface temperature ( $T_{SS}$ ), sunlit surface temperature ( $T_{SL}$ ) and surface temperature differential ( $\Delta T_S$ ) recorded on bare soil, grass, bark mulch, concrete pavers and bitumen.

Surface Types		Min /Max T <sub>SS</sub> (°C)	$\begin{array}{c} \text{Mean } T_{SL} \pm \text{SD} \\ \text{(°C)} \end{array}$	Min/Max T <sub>SL</sub> (°C)	$Mean \Delta T_S \pm SD \\ (°C)$	Min/Max ΔT <sub>S</sub> (°C)
Bare soil	$33.0 \pm 2.9$	27.5/40.4	$55.2 \pm 5.9$	44.8/69.9	$-22.1 \pm 5.5$	-9.9/-34.3
Grass	$31.0 \pm 2.7$	22.5/40.2	$49.4 \pm 5.1$	30.1/64.0	$-18.5 \pm 4.8$	-6.3/-30.9
Bark mulch	$33.4 \pm 3.1$	27.6/42.5	$58.2 \pm 8.1$	42.8/76.9	$-24.8 \pm 7.1$	-8.2/-41.1
Pavers	$33.1 \pm 4.8$	20.4/54.7	$50.1 \pm 5.6$	33.6/60.5	$-17.5 \pm 6.0$	-7.0/-32.0
Bitumen	$33.5 \pm 4.2$	25.9/44.9	$54.5 \pm 6.2$	40.7/69.6	$-20.9 \pm 5.8$	-8.6/-35.9

A Tukey HSD test revealed that  $T_{SL}$  was significantly different between all surface types except between bark mulch and bare soil, bitumen and bare soil and pavers and grass (Figure 5). Similarly,  $T_{SS}$  was significantly different between grass and bitumen, grass and bark mulch, grass and pavers, and also between grass and bare soil. Further,  $\Delta T_S$  was significantly different among all the surface types except bark mulch and bare soil, bitumen and bare soil and grass and pavers (Table 3).



**Figure 5.** Box whisker plot illustrates the distribution of surface temperature differential ( $\Delta T_S$ ) in surface types: bare soil, bark mulch, bitumen, grass and pavers. Levels not connected by the same letter are significantly different. The lower and upper line of the box shows the first and third quartile; the line and cross inside the box show the median and mean; the whiskers show minimum and maximum values.

Shaded globe temperature (GT<sub>S</sub>) and globe temperature differential ( $\Delta$ T<sub>G</sub>) did not show any significant relationship with the tree morphological parameters (p > 0.05). Data for species-specific globe temperature measurements collected in the shade and sun, as well as  $\Delta$ T<sub>G</sub> are provided in Table S4. Absolute GT<sub>S</sub> ranged from 26.3 to 44.5 °C and bark mulch had the highest mean GT<sub>S</sub> (37.9 ± 2.8 °C) followed by bitumen (36.9 ± 2.2 °C), pavers (36.1 ± 2.4 °C), bare soil (34.4 ± 2.2 °C) and grass (33.3 ± 3.2 °C) (Table 4). Absolute globe temperature in the sun light (GT<sub>SL</sub>) ranged from 28.4 to 54.1 °C and, consistently with rankings found in the shade, bark mulch had the highest mean GT<sub>SL</sub> (48.8 ± 2.8 °C) followed by bitumen (46.1 ± 2.2 °C), bare soil (41.5 ± 2.2 °C), pavers (40.4 ± 2.4 °C) and grass (36.5 ± 3.2 °C). Bark mulch showed the largest  $\Delta$ T<sub>G</sub> (-10.9 ± 0.5 °C) and grass showed the lowest  $\Delta$ T<sub>G</sub> (-3.2 ± 0.2 °C). The effect of surface types on GT<sub>S</sub>, GT<sub>SL</sub> and  $\Delta$ T<sub>G</sub> was highly significant (p < 0.001). Tukey's HSD test showed that GT<sub>S</sub> was significantly different among all the surface types

except bitumen-bare soil. Similarly,  $GT_{SL}$  was significantly different between all surface types except bitumen-pavers, pavers-bare soil and bitumen-bare soil. Further,  $\Delta T_G$  significantly differed between all surface types (p < 0.001) (see Table 3).

**Table 3.** Tukey's HSD pairwise comparison of the surface temperature differential ( $\Delta T_S$ ) and black globe temperature differential ( $\Delta T_G$ ) observed among the five surface types: concrete pavers, grass, bitumen, bark mulch and bare soil.

	Pavers	Grass	Bitumen	Bark Mulch	Bare Soil			
(a) Surface temperature differential ( $\Delta T_S$ )								
Pavers		0.5917	0.2432	< 0.0001	< 0.0001			
Grass	0.5917		< 0.0001	< 0.0001	0.0187			
Bitumen	0.2432	< 0.0001		< 0.0001	0.8692			
Bark mulch	< 0.0001	< 0.0001	< 0.0001		< 0.0001			
Bare soil	< 0.0001	0.0187	0.8692	< 0.0001				
(b) Black globe temperature differential ( $\Delta T_{G}$ )								
Pavers		< 0.0001	< 0.0001	0.0096	< 0.0001			
Grass	< 0.0001		< 0.0001	< 0.0001	< 0.0001			
Bitumen	< 0.0001	< 0.0001		< 0.0001	0.0166			
Bark mulch	0.0096	< 0.0001	< 0.0001		< 0.0001			
Bare soil	< 0.0001	< 0.0001	0.0166	< 0.0001				

**Table 4.** Mean, minimum and maximum shaded globe temperature ( $GT_S$ ), sunlit globe temperature ( $GT_{SL}$ ), and globe temperature differential ( $\Delta T_G$ ) recorded over bare soil, grass, bark mulch, concrete pavers and bitumen.

Surface Types	$\begin{array}{c} \text{Mean GT}_{\text{S}} \pm \text{SD} \\ \text{(°C)} \end{array}$	Min /Max GT <sub>S</sub> (°C)	$\begin{array}{c} \text{Mean } \text{GT}_{\text{SL}} \pm \text{SD} \\ \text{(°C)} \end{array}$	Min/Max GT <sub>SL</sub> (°C)	$Mean \Delta T_G \pm SD (°C)$	Min/Max ΔT <sub>G</sub> (°C)
Bare soil	$34.4 \pm 2.2$	26.3/41.5	$41.5 \pm 2.2$	33.4/48.6	$-7.2 \pm 0.1$	-7.3/-7.0
Grass	$33.3 \pm 3.2$	25.3/43.1	$36.5 \pm 3.2$	28.4/46.3	$-3.2 \pm 0.1$	-3.4/-3.0
Bark mulch	$37.9 \pm 2.8$	32.2/43.0	$48.8 \pm 2.8$	39.3/58.3	$-10.9 \pm 0.3$	-10.3/-11.5
Pavers	$36.1 \pm 2.4$	29.6/39.6	$40.4 \pm 2.4$	33.8/43.9	$-4.3 \pm 0.1$	-4.3/-4.3
Bitumen	$36.9 \pm 2.2$	33.4/44.5	$46.1\pm2.2$	38.1/54.1	$-9.5\pm0.2$	-10.5/-8.5

# 4. Discussion

# 4.1. Influence of Urban Trees on Surface and Globe Temperature

Tree shade reduced the surface temperatures by 20 °C on average, and species like *Lophostemon confertus, Pyrus calleryana* and *Liquidambar styraciflua* provided the largest surface temperature reduction of around 40 °C. Although this can be due to having a comparatively larger LAI, the correlation analysis between  $A_C$ , LAI and the  $\Delta T_S$  did not show a strong, significant relationship. For example, *Waterhousea floribunda* had the second largest LAI among the sampled tree species, however, it had the lowest average  $\Delta T_S$ . Similar results were found in the globe temperature measurements. There is a globe temperature reduction up to 13 °C from the sun to the tree shade. Nevertheless, results do not support that LAI or the  $A_C$  have systematically influenced this temperature reduction. Despite having both the largest LAI, *Ficus macrocarpa* accounted for the highest globe temperatures.

Our findings are different from the findings of other studies. For example, the study conducted by Hardin and colleagues [40] in Terre Haute, Indiana, USA, on the effect of urban leaf area on summertime urban surface temperatures found that leaf area index and surface temperature were negatively correlated. In this study, LAI accounted for 62% of variation in surface temperature. Moreover, a study by Yusof and colleagues [24] suggested that surface temperature reduction is positively correlated with LAI. They also found that tree shade reduces the surface temperature by an average of 12 °C. A study carried out in the Suzhou Industrial Park, Shanghai, China [41] concluded that the cooling effect of green areas were positively correlated with LAI. Similar findings were presented by Napoli and colleagues [42] where they found a strong relationship between  $\Delta T_S$  on asphalt and LAI

and a weaker relationship between  $\Delta T_S$  on grass and LAI. Studies have found that the amount of solar radiation blocked by tree shade is strongly related to size of the crown and height of the tree [43,44], and thereby improves surface cooling. In this study, we were unable to build such a relationship with tree height or  $A_C$ . There is no doubt that tree shade reduces the amount of heat absorbed by the surface underneath during the daytime; however, our study provided evidence that microclimate underneath the trees and the temperature of surface material greatly depends on the type of surface material.

### 4.2. Effect of Surface Types on Surface and Globe Temperature

The results showed that grass had the lowest recorded surface temperature and globe temperature both in shade and sun. This can be due to the combined effects of evapotranspiration and albedo of this surface material. Albedo can be defined as the fraction of the incident sunlight that the surface reflects [45,46]. Grass has the highest albedo (0.3-0.25) [47] of all the surface types investigated here, thus it absorbs less and reflects more radiation than the other surface types. However, it does not store incoming solar radiation and emits this energy as sensible heat like the other surface materials. The energy absorbed by grass is used to fuel the biochemical processes of photosynthesis and latent heat flux cooling, which reduces air temperature. On the contrary, bark mulch had the highest  $T_{SS}$   $T_{SL}$ and  $GT_{S}$ . It has a very low albedo 0.05 [48] compared to the other surfaces (bare soil (0.26–0.16) [49], bitumen (0.2–0.05) and concrete pavers (0.13–0.1) [47]) and thus increases the surface temperature by absorbing more radiation. However, it is worth noting that there are other factors, such as the thermal emissivity and thermal mass of surface materials, which influence the surface temperatures [50] and the extent to which surface materials contribute to the UHIE. Further experimentation is needed to evaluate individual effects of these parameters on surface temperature variations. Largest surface cooling from tree shade was observed for bark mulch followed by bare soil, bitumen, grass and pavers. The results indicated that the surface material had a strong and significant influence on surface temperature. This finding is backed-up by the globe temperature recorded above each surface material; the highest GT<sub>SL</sub> was recorded over bark mulch whereas the lowest was recorded over grass. Black globe temperature combines the effects of air movement, dry-bulb temperature, wind speed and radiant heat received from the surfaces [51]. The novel finding of this study advances our understanding of cooling provided by trees. Planting trees with wider canopies and larger LAI does not directly support urban cooling through surface temperature reduction. Rather, the surface material has a larger influence in reducing thermal loads in urban space. This finding should be integrated in urban planning and cooling strategies to mitigate UHIE.

#### 4.3. Limitations of the Study

The majority of the sampled trees were well-established trees with a DBH of 10–50 cm. This is a clear indication that the urban landscape of Western Sydney does primarily accommodate younger mature trees and that older mature trees with wide canopies are lower in number. Research has demonstrated that the shade profile of a tree depends on the maturity, overlapping canopies and canopy extents [52–54]. The major proportion of our study was comprised of young mature trees with smaller and separate canopies which can influence the amount of solar radiation reaching the ground. We did not include measurements of soil moisture, which potentially influenced our surface temperature measurements of bare soil, grass and bark mulch. However, surface temperatures were only recorded during midday on a hot sunny day following one or two days of zero precipitation. Only during 2 out of 13 days did we experience a light shower (<10 mm total daily precipitation) two days prior to data collection. We thus expect that any influence of soil moisture on surface temperatures would be marginal.

### 5. Conclusions

This study gave a novel insight into the relationship between surface temperature and canopy characteristics. It showed that canopy characteristics such as LAI, shaded area and crown projected

area do not have a strong influence on the temperature loads on surfaces. Although these canopy characteristics varied among the tested species, they were unrelated to surface temperature reductions in shade. Nevertheless, we found that surface types play a significant role in absorbing and reflecting radiation, thereby controlling surface temperatures and cooling arising from tree shade. Evapotranspiration will have an effect on surface cooling; however, further studies are needed to determine the cumulative effects of surface material and tree evapotranspiration on surface cooling. This novel finding can be integrated in urban cooling and urban planning strategies. Landscape planners and architects should consider the choice of surface materials in urban settings as a higher priority than tree species for shade quality alone when implementing urban greening strategies to mitigate urban heat.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/1999-4907/11/11/1141/s1. Table S1: Tree species with their mean, minimum and maximum air temperature in the shade (TAS), in the sun (TASL) and the differential between these ( $\Delta$ TA). Table S2: Mean, minimum and maximum TAS, TASL and  $\Delta$ TA recorded in bare soil, grass, bark mulch, pavers and bitumen. Table S3: Tree species with their mean, minimum and maximum shaded surface temperature (TSS), sunlit surface temperature (TSL) and surface temperature differential ( $\Delta$ TS). Table S4: Tree species with their mean, minimum and maximum globe temperature in the shade (GTS), in the sunlight (GTSL) and the differential between the them ( $\Delta$ TG).

**Author Contributions:** K.T.U.N. collected and analysed the data and wrote the draft manuscript. P.S. designed the study and assisted with analyses of data and writing the manuscript. T.M.G. contributed to data analyses and writing of the manuscript. All authors have read and agreed to the published version of the manuscript.

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