

## Article

# Solid State Phase Equilibria of an Al–Sn–Y Ternary System

Wenchao Yang <sup>1,2,4</sup>, Moumiao Liu <sup>2,4</sup>, Junli Feng <sup>3</sup>, Jingwu Wu <sup>3</sup>, Jun Mao <sup>2,4</sup>, Zaixiang Du <sup>2,4</sup>, Xiaojun Ke <sup>2,4</sup>, Xinjiang Zhang <sup>2</sup> and Yongzhong Zhan <sup>1,2,4,\*</sup>

<sup>1</sup> School of Materials Science and Engineering, South China University of Technology, Guangzhou 510641, China; ywch053@163.com

<sup>2</sup> Guangxi Key Laboratory of Processing for Non-ferrous Metals and Featured Materials, Nanning 530004, China; liumoumiao0524@163.com (M.L.); maojun960621@163.com (J.M.); imzx\_to@126.com (Z.D.); kexiaojun@gxu.edu.cn (X.K.); zhangxinjiang1983@163.com (X.Z.)

<sup>3</sup> Shenzhen Exit Inspection and Quarantine Bureau Industrial Products Inspection Technology Center, Shenzhen 518067, China; fjlhhp@foxmail.com (J.F.); wujingw@163.com (J.W.)

<sup>4</sup> College of Resources, Environment and Materials, Guangxi University, Nanning 530004, China

\* Correspondence: zyzmatres@aliyun.com; Tel.: +86-771-3270152

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**Abstract:** A complete understanding of the solid-state phase equilibria of the ternary Al–Sn–Y system is essential for the development of both Al-based structural materials and Sn-based lead-free solders. In this work, the phase relationships in the Al–Sn–Y ternary system at 473 K were investigated mainly by means of X-ray powder diffraction (XRD), differential scanning calorimetry (DSC) and scanning electron microscopy (SEM) with energy disperse spectroscopy (EDS) analysis. The existence of 12 binary compounds, namely Sn<sub>3</sub>Y, Sn<sub>5</sub>Y<sub>2</sub>, Sn<sub>2</sub>Y, Sn<sub>10</sub>Y<sub>11</sub>, Sn<sub>4</sub>Y<sub>5</sub>, Sn<sub>3</sub>Y<sub>5</sub>, AlY<sub>2</sub>, Al<sub>3</sub>Y<sub>5</sub>, Al<sub>2</sub>Y<sub>3</sub>, AlY, Al<sub>2</sub>Y and α–Al<sub>3</sub>Y, was confirmed. Controversial phases (Sn<sub>5</sub>Y<sub>2</sub> and Al<sub>3</sub>Y<sub>5</sub>) were found in this work. This isothermal section consisted of 15 single-phase regions, 27 two-phase regions and 13 three-phase regions. No ternary compounds were found and none of the other phases in this system revealed a remarkable solid solution at 473 K.

**Keywords:** Al–Sn–Y ternary system; Al<sub>3</sub>Y<sub>5</sub> phase; phase equilibrium

## 1. Introduction

Al-based alloys, which consist of Al–Pb and Al–Sn, are widely used for sliding bearing applications due to their good load carrying capacity, fatigue resistance, wear resistance and sliding properties [1–3]. However, because of toxic Pb, environmental legislation has driven manufacturers to eliminate Pb from bearing alloys. Thus, the focus has been concentrated on Al–Sn alloys. Al–Sn based alloys are simple eutectic binary alloy systems with solid solutions of a wide range of compositions and are well known as soft tribological alloys [3]. However, the main challenges of Al–Sn based alloys are that the strength of alloys is generally low and can easily form a near-continuous large Sn zone that weakens the interface bonding [4]. Abundant attempts, such as alloying addition, to improve preparation methods have been made to overcome those drawbacks. Al–Sn–Si [5], Al–Sn–Bi [6] and Al–Sn–Mg [4] alloys have been researched with the aim of enhancing the strength of Al-based bearing alloys. It is well known that the addition of small amounts of rare earth elements can improve the microstructures and properties of aluminum alloys [7–9]. Meanwhile, Sn–Al eutectic alloy has the potential to be a new system of lead-free solder because it is similar to existing systems, such as the Sn–Zn system and the Sn–Cu system. Rare earth (RE) is an important kind of alloying additive for metallic materials which can significantly improve the properties of alloys by affecting microstructure

and refining grain. The ternary Al–Sn–Y system [10] has been reported before but it is only part of the section (65 at.% Y or less) at room temperature, which is not enough for the application of alloys at high temperatures.

Therefore, a complete knowledge of the phase diagram of the ternary Al–Sn–Y system is essential for a better understanding of this system. The work presented in this article aims to determine the Al–Sn–Y phase equilibrium at 473 K. It is expected that this study will give further insights into the Al–Sn–Y ternary system for practical applications.

## 2. Materials and Methods

Aluminum (99.9 wt.%), tin (99.9 wt.%) and yttrium (99.99 wt.%) were prepared as raw materials. The alloy compositions of all the samples are plotted in Figure 1. Some components were repeatedly designed for the ideal results. The samples (each 1.5 g) were prepared in an electric arc furnace under an argon atmosphere and a water-cooled copper crucible. In order to obtain a homogeneous composition, each sample was melted three times. For most alloys, the weight loss was generally less than 1 wt.% after being melted. All the samples were sealed in an evacuated quartz tube for homogenization treatment. The alloys which contained more than 50 at.% Sn were homogenized at 673 K for 20 days. Then, the alloys were cooled down to 473 K and maintained for 30 days. Others were kept at 873 K for 10 days and then cooled slowly to 473 K and maintained for 30 days. Finally, all the samples were quenched with ice-water.

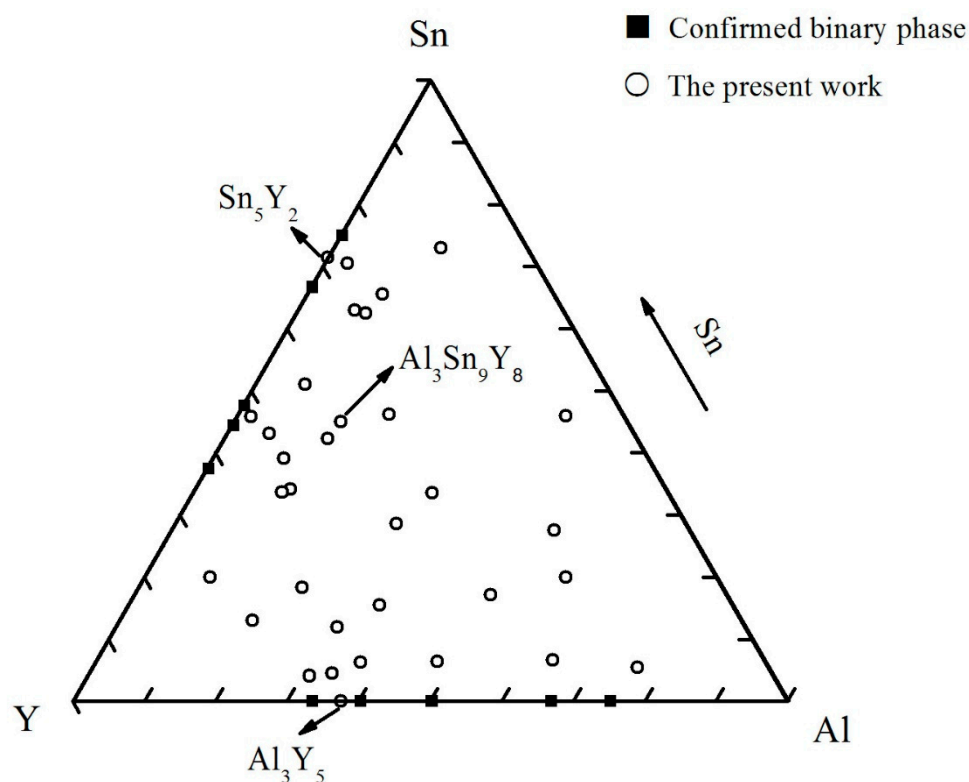


Figure 1. The nominal alloy compositions for the Al–Sn–Y ternary system.

All of the homogenized samples were ground into powder and then measured with the help of a Rigaku D/Max 2500V diffractometer (Rigaku, Tokyo, Japan) with  $\text{CuK}\alpha$  radiation and a graphite monochromator operated at 40 kV, 200 mA. The microstructures and phase analyses were determined by scanning electron microscopy (SEM, Hitachi, Tokyo, Japan) with energy disperse spectroscopy (EDS, Hitachi, Tokyo, Japan) analysis. The temperature of the phase transition was determined using a differential scanning calorimeter (Netzsch, Bavaria, Germany), which was performed in an aluminum

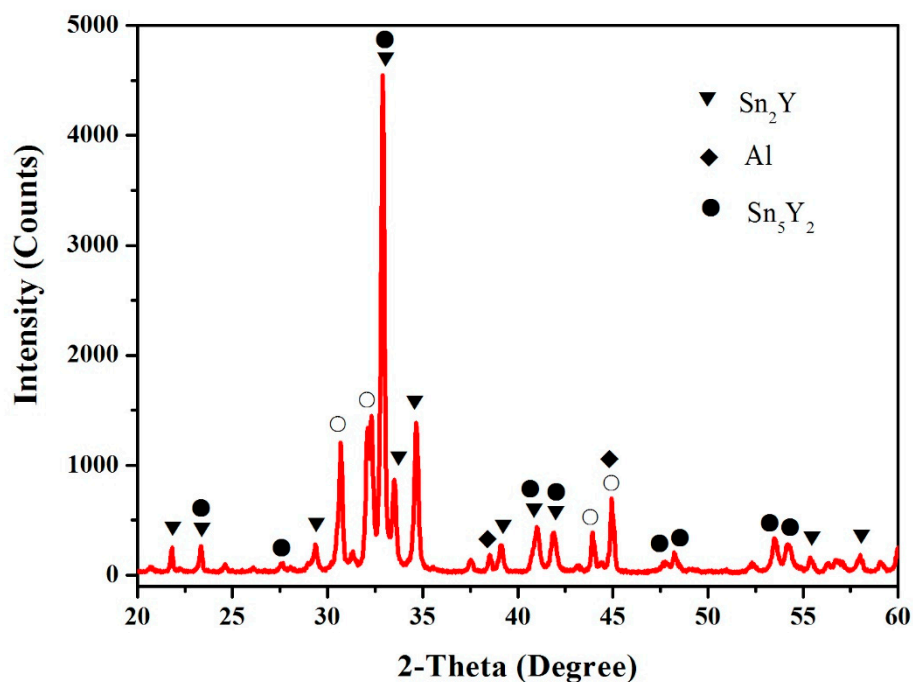
crucible with a flowing argon atmosphere as a reference substance between room temperature and 1373 K. The heating and cooling rate used was  $10 \text{ K} \cdot \text{min}^{-1}$ .

### 3. Results

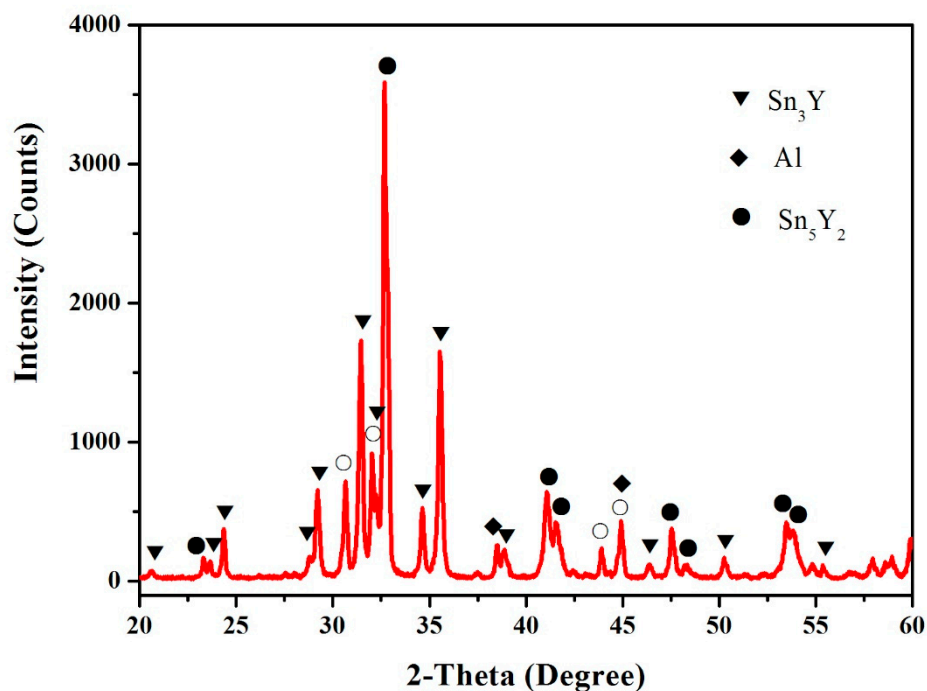
#### 3.1. Sn–Y Binary System

For the Sn–Y binary system, the existence of the five phases, i.e.,  $\text{Sn}_3\text{Y}$ ,  $\text{Sn}_2\text{Y}$ ,  $\text{Sn}_{10}\text{Y}_{11}$ ,  $\text{Sn}_4\text{Y}_5$  and  $\text{Sn}_3\text{Y}_5$ , are accepted without question. However, the existence of the phase  $\text{Sn}_5\text{Y}_2$  is controversial. In the Sn–Y phase diagram revised by Okamoto [11], the phase  $\text{Sn}_5\text{Y}_2$  was discovered at the range of temperature from 273 K to 798 K and the structure of the  $\text{Sn}_5\text{Y}_2$  phase was reported in detail, which is in good agreement with the findings of Tang et al. [12]. In that work, the Sn–Y system was investigated by thermodynamic modeling. The  $\text{Sn}_5\text{Y}_2$  phase was considered to have the same structure as the  $\text{Ge}_5\text{Er}_2$  phase, and the lattice parameters were 0.4322 nm (a), 0.4409 nm (b) and 1.9089 nm (c). But the existence of the  $\text{Sn}_5\text{Y}_2$  phase was questioned by Mudryk et al. [13]. When they investigated the R–Fe–Sn ternary systems (R–Y, Gd) at 670 K, Chen et al. [10] and Zhan et al. [14] also reported the same results that phase  $\text{Sn}_5\text{Y}_2$  was not found. However, Romaka et al. [15] later confirmed the existence of the  $\text{Sn}_5\text{Y}_2$  phase in the Sn–Ni–Y ternary system at 670 K.

In this work, the samples (60.5 at.% Sn, 12.5 at.% Al, 27 at.% Y, 64.5 at.% Sn, 12.5 at.% Al and 23 at.% Y) were prepared to verify the existence of the  $\text{Sn}_5\text{Y}_2$  phase. Figure 2 shows the X-ray powder diffraction (XRD) pattern of the sample (60.5 at.% Sn, 12.5 at.% Al and 27 at.% Y), which illustrates the existence of  $\text{Sn}_2\text{Y}$ ,  $\text{Sn}_5\text{Y}_2$  and Al. Figure 3 shows the pattern prepared with the atomic proportion of 64.5 at.% Sn, 12.5 at.% Al and 23 at.% Y. It indicates the existence of the three phases— $\text{Sn}_3\text{Y}$ ,  $\text{Sn}_5\text{Y}_2$  and Al. In Figures 2 and 3, the Sn phase was found. A possible reason for this is that when the Sn content is higher, it is easy to separate out tin whiskers during annealing over a longer time [16,17]. However, the XRD patterns of the samples clearly showed the existence of the  $\text{Sn}_5\text{Y}_2$  phase, which was confirmed in the Al–Sn–Y ternary system at this investigated temperature.



**Figure 2.** The X-ray powder diffraction (XRD) pattern of the sample (60.5 at.% Sn, 12.5 at.% Al and 27 at.% Y). The symbol ○ is used to indicate Sn.



**Figure 3.** The XRD pattern of the sample (64.5 at.% Sn, 12.5 at.% Al, 23 at.% Y). The symbol  $\circ$  is used to indicate Sn.

### 3.2. Al–Y Binary System

For the Al–Y binary system, the existence of the five phases, i.e.,  $Y_2Al$ ,  $Y_3Al_2$ ,  $YAl$ ,  $YAl_2$  and  $YAl_3$ , are accepted without question. Bailey [18] reported early on that two structurally related polymorphic forms of  $Al_3Y$  have been corroborated—a low temperature form with the hexagonal  $Ni_3Sn$ -type structure ( $\alpha$ - $YAl_3$ ) and a high temperature form with a rhombohedra  $BaPb_3$ -type structure ( $\beta$ - $YAl_3$ ). At the temperature of this work, the  $YAl_3$  phase is  $\alpha$ - $YAl_3$ . The Al–Y binary system was also investigated thermodynamically by Lukas [19]. The  $Y_5Al_3$  phase was found by Lukas and the structure of  $Y_5Al_3$  phase was identified by Richter et al. [20]. Liu et al. [21] also experimentally investigated the Al–Y phase diagram and failed to confirm the existence of the  $Y_5Al_3$  phase. After that, in the studies of many ternary systems, such as Al–Fe–Y [22], Al–Zr–Y [23], Al–Sb–Y [24] and Al–Sn–Y [10], the  $Y_5Al_3$  phase was not found. However, Liu et al. [25] thermodynamically assessed the Al–Zn–Y system and found that the binary compound  $Y_5Al_3$  forms through the reaction  $L + Y_3Al_2 \rightleftharpoons Y_5Al_3 + YZnAl$  at 997 K. However, the temperature range of  $Y_5Al_3$  has not been clearly identified.

In order to obtain a believable result, the samples (4 at.% Sn, 35 at.% Al, 61 at.% Y, 5 at.% Sn, 30 at.% Al and 65 at.% Y) were prepared. Figure 4 shows that the XRD pattern of the sample (4 at.% Sn, 35 at.% Al and 61 at.% Y) illustrates the existence of  $Y_3Al_2$ ,  $Y_5Al_3$  and  $Sn_3Y_5$ . The XRD pattern of the sample (5 at.% Sn, 30 at.% Al, 65 at.% Y) illustrates the existence of  $Y_2Al$ ,  $Y_5Al_3$  and  $Sn_3Y_5$ , as shown in Figure 5, which indicates the existence of the  $Y_5Al_3$  phase.

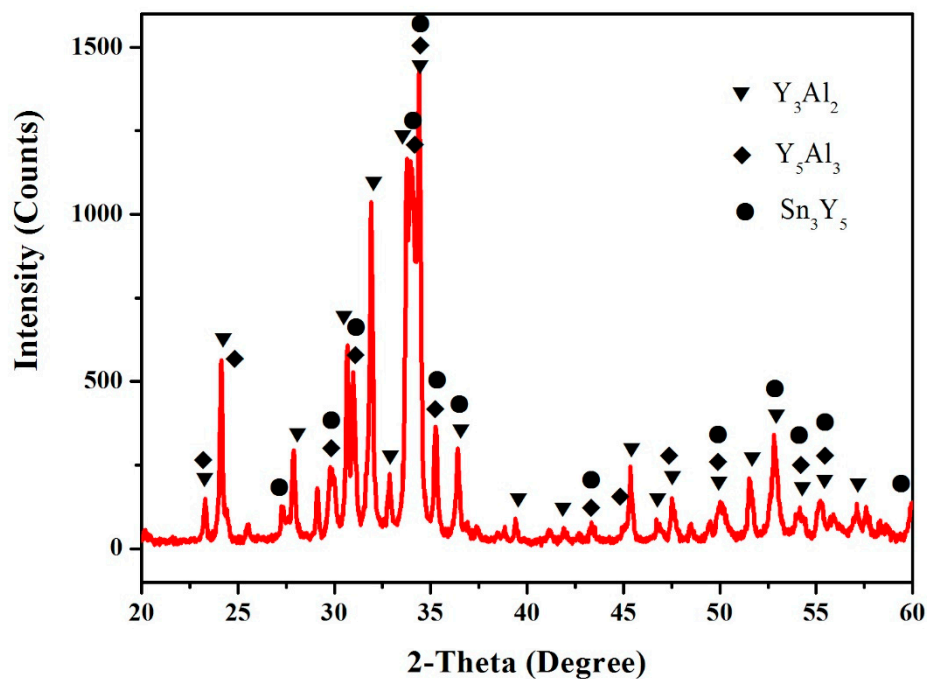


Figure 4. The XRD pattern of the sample (4 at.% Sn, 35 at.% Al and 61 at.% Y).

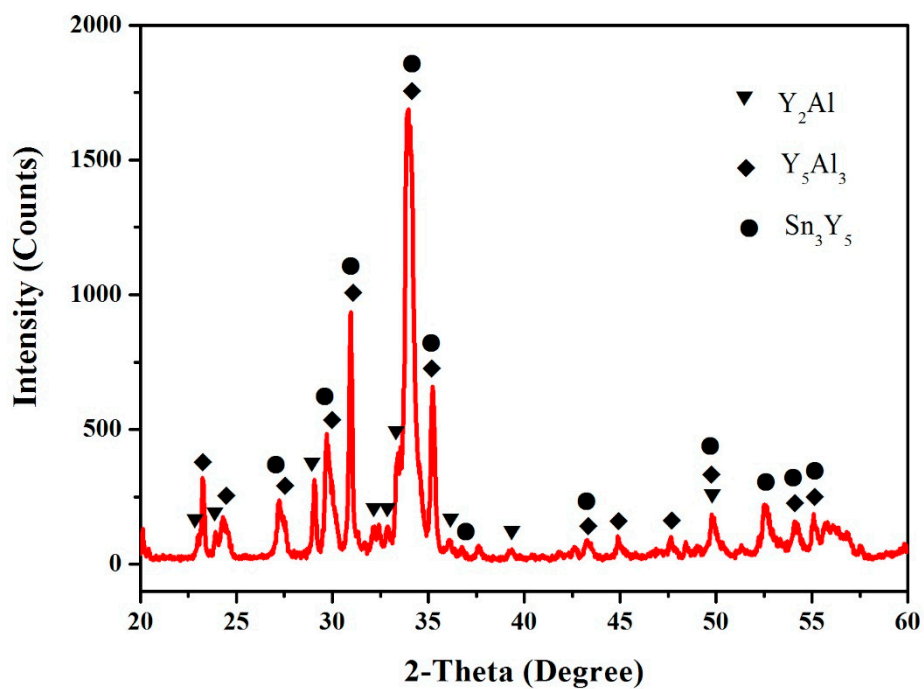


Figure 5. The XRD pattern of the sample (5 at.% Sn, 30 at.% Al, 65 at.% Y).

### 3.3. Sn–Al Binary System

There was no compound found in the Sn–Al system. Figure 6 shows that the XRD pattern of the sample (69.8 at.% Sn, 14.9 at.% Al, 15.3 at.% Y) illustrates the existence of Sn, Al and  $\text{Sn}_3\text{Y}$ . The crystal structure data of the intermetallic compounds in the Sn–Y, Al–Y and Sn–Al binary systems at 473 K are given in Table 1.

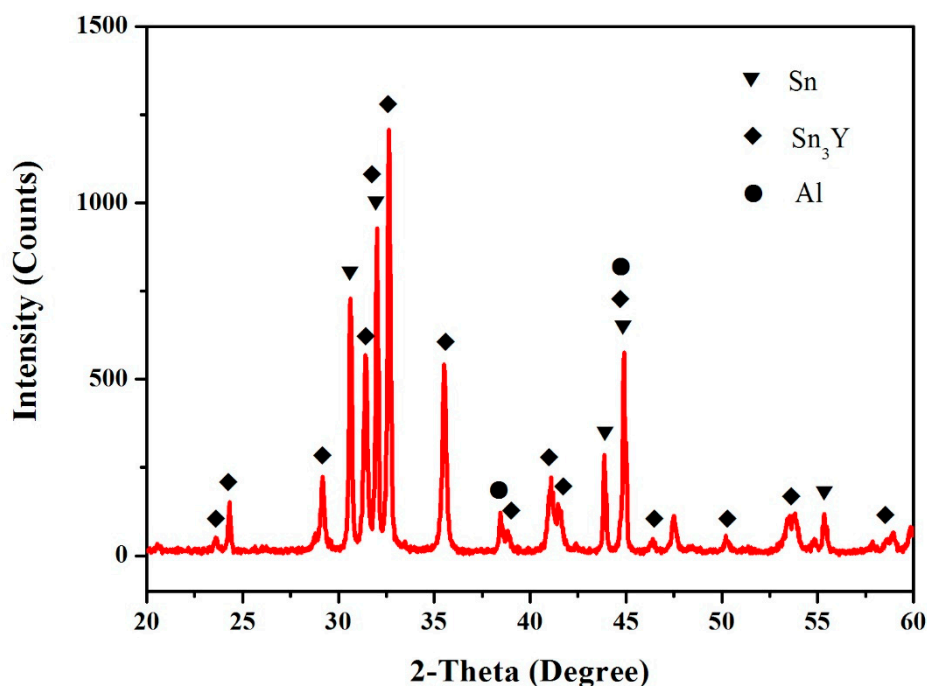


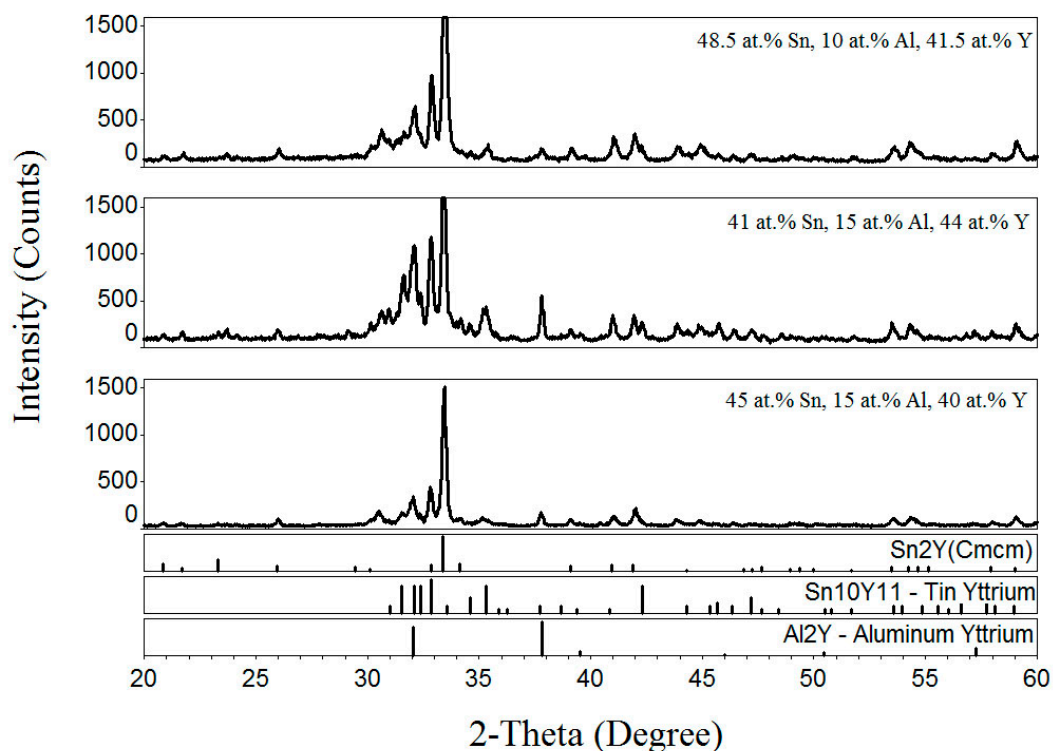
Figure 6. The XRD pattern of the sample (69.8 at.% Sn, 14.9 at.% Al, 15.3 at.% Y).

Table 1. Binary crystal structure data of the Al–Sn–Y system at 473 K.

Phase	Pearson's Symbol	Crystal Structure	Space Group	Lattice Parameters (nm)			Refs.
				a	b	c	
Sn <sub>3</sub> Y	oC16	Gd <sub>4</sub> Sn <sub>11</sub>	Amm2	0.4345	0.4391	2.1937	[12]
Sn <sub>5</sub> Y <sub>2</sub>	oP14	Ge <sub>5</sub> Er <sub>2</sub>	Pmmm	0.4322	0.4409	1.9089	[12]
Sn <sub>2</sub> Y	oC12	Si <sub>2</sub> Zr	Cmcm	0.4398	1.632	0.4304	[12]
Sn <sub>10</sub> Y <sub>11</sub>	tI84	Ge <sub>10</sub> Ho <sub>11</sub>	I4/mmm	1.154	–	1.692	[12]
Sn <sub>4</sub> Y <sub>5</sub>	oP36	Ge <sub>4</sub> Sm <sub>5</sub>	Pnma	0.805	1.529	0.805	[12]
Sn <sub>3</sub> Y <sub>5</sub>	hP16	Si <sub>3</sub> Mn <sub>5</sub>	P6 <sub>3</sub> /mcm	0.8902	–	0.6536	[12]
αYAl <sub>3</sub>	hP8	Ni <sub>3</sub> Sn	P6 <sub>3</sub> /mmc	0.6276	–	0.4582	[26]
YAl <sub>2</sub>	cF24	Cu <sub>2</sub> Mg	<i>Fd</i> 3̄m	0.78611	–	–	[26]
YAl	oC8	CrB	Cmcm	0.3884	1.1522	0.4385	[26]
Y <sub>3</sub> Al <sub>2</sub>	tP20	Al <sub>2</sub> Zr <sub>3</sub>	P4 <sub>2</sub> /mnm	0.8239	–	0.7648	[26]
Y <sub>2</sub> Al	oP12	Co <sub>2</sub> Si	Pnma	0.6642	0.5084	0.9469	[26]
Y <sub>5</sub> Al <sub>3</sub>	hP16	Mn <sub>5</sub> Si <sub>3</sub>	P6 <sub>3</sub> /mcm	0.8787	–	0.6435	[20]

### 3.4. Al–Sn–Y Ternary System

For the Al–Sn–Y ternary system, the Al<sub>3</sub>Sn<sub>9</sub>Y<sub>8</sub> ternary compound was detected by Chen et al. [10] at room temperature. In order to verify the existence of the Al<sub>3</sub>Sn<sub>9</sub>Y<sub>8</sub> phase at 473 K, the samples (48.5 at.% Sn, 10 at.% Al, 41.5 at.% Y; 41 at.% Sn, 15 at.% Al, 44 at.% Y; 45 at.% Sn, 15 at.% Al, 40 at.% Y) were prepared. The XRD patterns of the samples clearly indicated the existence Sn<sub>2</sub>Y, Sn<sub>10</sub>Y<sub>11</sub> and YAl<sub>2</sub>, as shown in Figure 7. Thus, the Al<sub>3</sub>Sn<sub>9</sub>Y<sub>8</sub> ternary compound was not detected in this work.



**Figure 7.** The XRD patterns of the samples (48.5 at.% Sn, 10 at.% Al, 41.5 at.% Y, 41 at.% Sn, 15 at.% Al, 44 at.% Y and 45 at.% Sn, 15 at.% Al, 40 at.% Y).

### 3.5. Isothermal Section

According to the XRD, SEM/EDS and differential scanning calorimeter (DSC) analysis, the isothermal section of the Al-Sn-Y ternary system at 473 K is shown in Figure 8. This isothermal section consists of 15 single phase regions, 27 binary phase regions and 13 ternary phase regions. No ternary compounds were found and none of the other phases in this system revealed a remarkable homogeneity range at 473 K. Figure 9 shows the XRD pattern of the sample (20 at.% Sn, 9 at.% Al, 71 at.% Y) indicating the existence of Sn<sub>3</sub>Y<sub>5</sub>, Y<sub>2</sub>Al and Y. The XRD pattern of the equilibrated sample with a stoichiometric composition of 6.5 at.% Sn, 47.8 at.% Al, 45.7 at.% Y indicated the existence of Sn<sub>3</sub>Y<sub>5</sub>, Al<sub>2</sub>Y and YAl, as shown in Figure 10. In addition, Figure 11 shows the XRD pattern of the sample (39 at.% Sn, 10 at.% Al, 51 at.% Y) indicating the existence of Sn<sub>4</sub>Y<sub>5</sub>, Al<sub>2</sub>Y and Sn<sub>10</sub>Y<sub>11</sub>. Figure 12 shows the XRD pattern of the sample (6.5 at.% Sn, 64 at.% Al, 29.5 at.% Y) indicating the existence of  $\alpha$ -Al<sub>3</sub>Y, Al<sub>2</sub>Y and Sn<sub>2</sub>Y. The XRD results confirm that nine binary compounds, namely Sn<sub>3</sub>Y, Sn<sub>2</sub>Y, Sn<sub>10</sub>Y<sub>11</sub>, Sn<sub>4</sub>Y<sub>5</sub>, Sn<sub>3</sub>Y<sub>5</sub>, Y<sub>2</sub>Al, Y<sub>3</sub>Al<sub>2</sub>, YAl, YAl<sub>2</sub> and  $\alpha$ -YAl<sub>3</sub>, exist in this system at 473 K. The SEM photographs (as shown in Figures 11–15) also clearly display the existence of some phases (identified by EDS). Constitutions of the ternary phase regions and compositions of the typical alloys are given in Table 2.



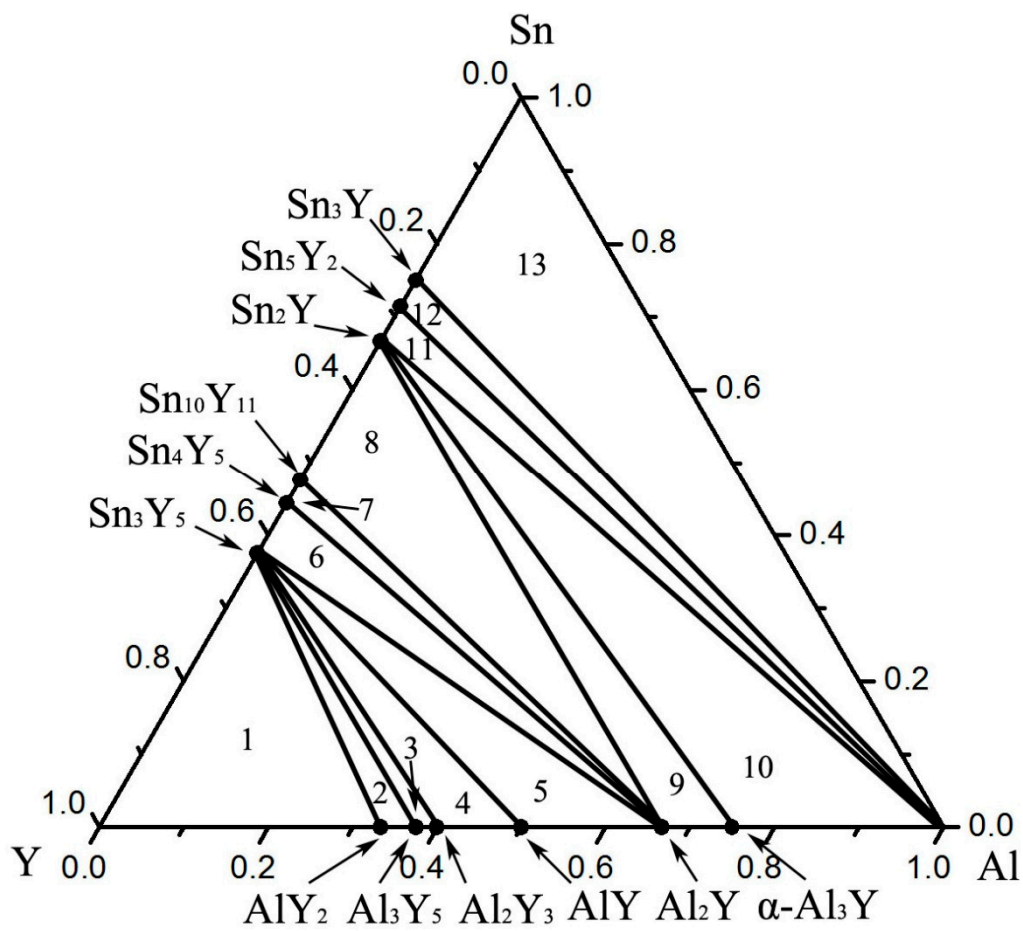


Figure 8. The isothermal section of the Sn–Al–Y ternary system at 473 K.

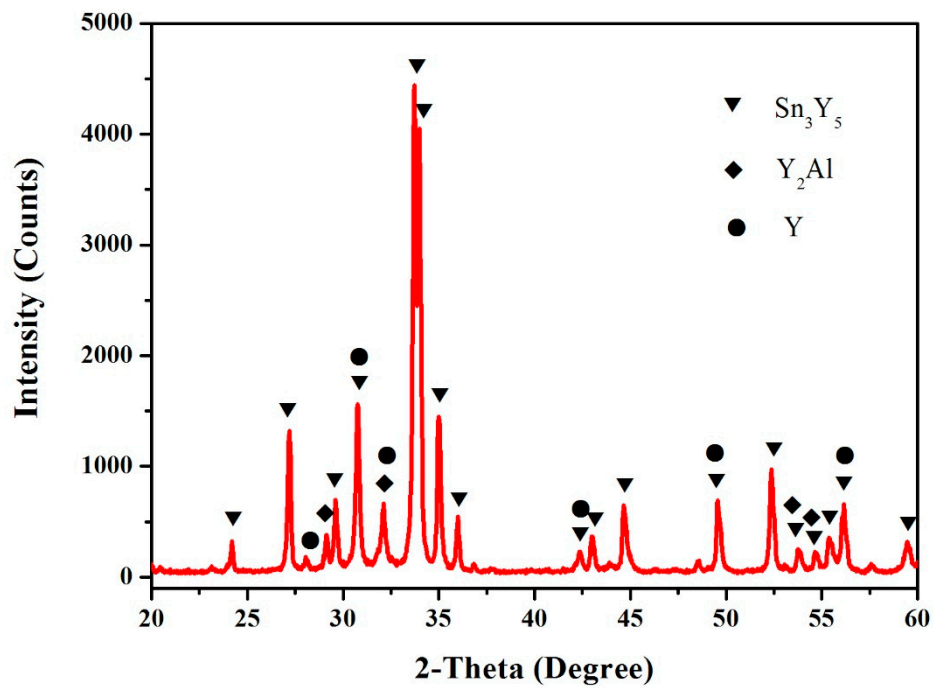


Figure 9. The XRD pattern of the sample (20 at.% Sn, 9 at.% Al and 71 at.% Y).



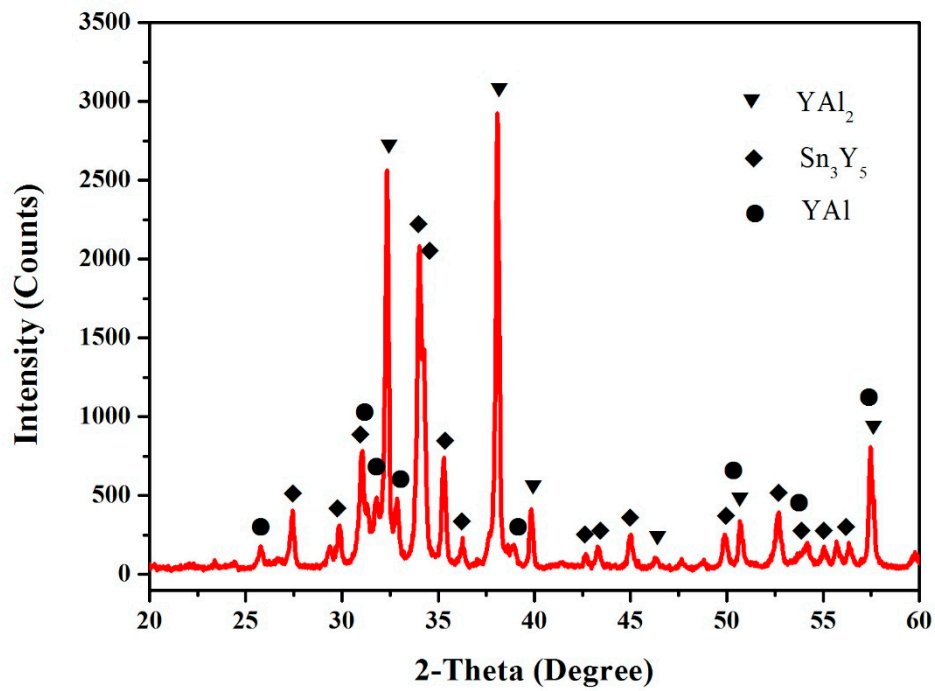


Figure 10. The XRD pattern of the sample (6.5 at.% Sn, 47.8 at.% Al and 45.7 at.% Y).

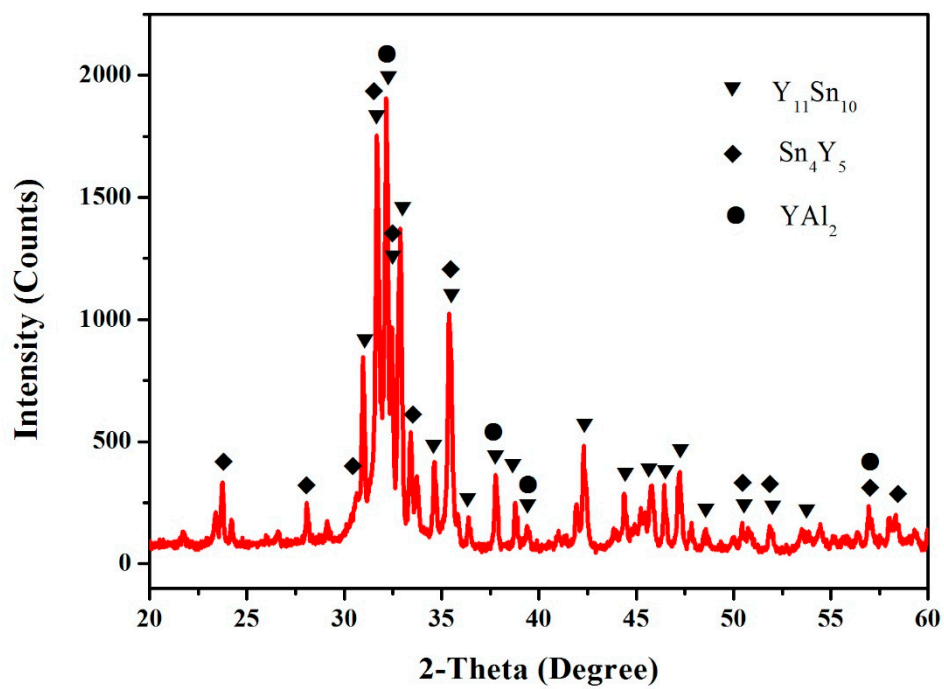


Figure 11. The XRD pattern of the sample (39 at.% Sn, 10 at.% Al and 51 at.% Y).

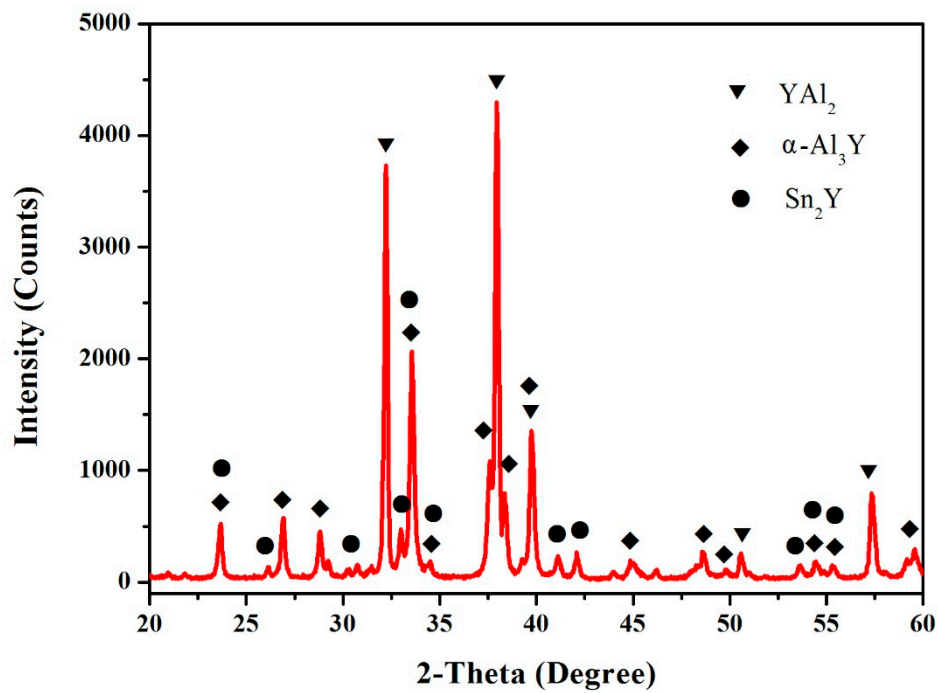


Figure 12. The XRD pattern of the sample (6.5 at.% Sn, 64 at.% Al and 29.5 at.% Y).

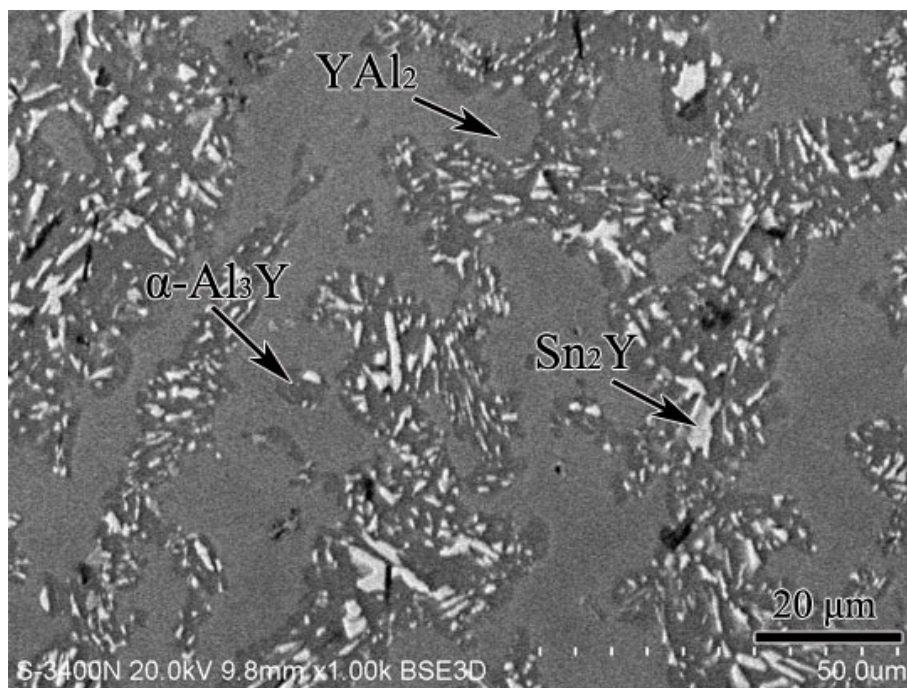
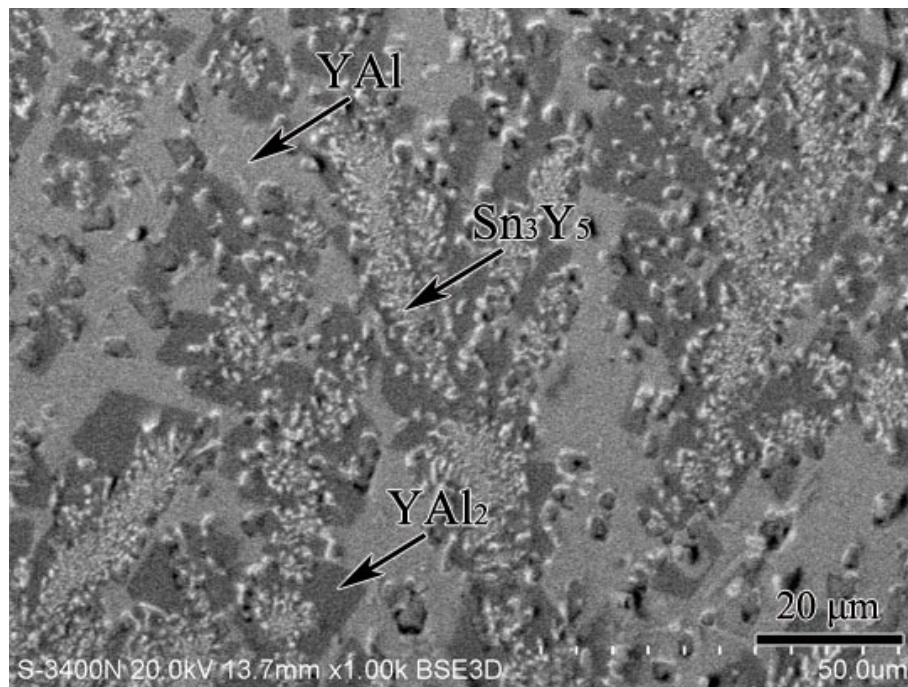
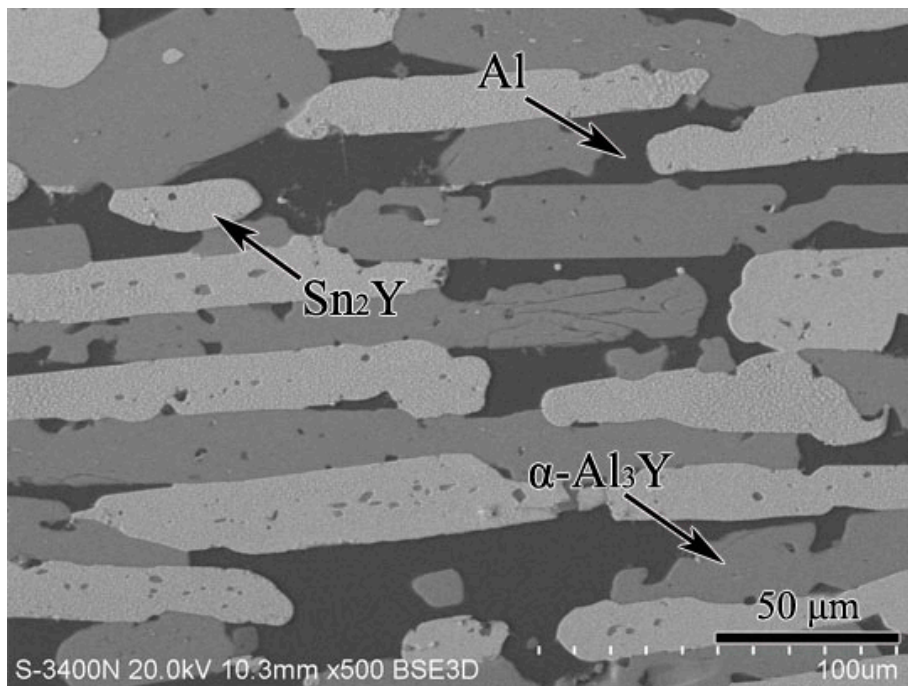


Figure 13. The scanning electron microscopy (SEM) micrograph of the equilibrated alloy 6.5 at.% Sn, 64 at.% Al, 29.5 at.% Y illustrating the existence of  $\text{YAl}_2$ ,  $\text{Sn}_2\text{Y}$  and  $\alpha\text{-Al}_3\text{Y}$ .



**Figure 14.** The SEM micrograph of the equilibrated alloy 6.5 at.% Sn, 47.8 at.% Al, 45.7 at.% Y illustrating the existence of YAl,  $\text{YAl}_2$  and  $\text{Sn}_3\text{Y}_5$ .



**Figure 15.** The SEM micrograph of the equilibrated alloy 20 at.% Sn, 59 at.% Al, 21 at.% Y illustrating the existence of Al,  $\text{Sn}_2\text{Y}$  and  $\alpha\text{-Al}_3\text{Y}$ .

**Table 2.** Details of the phase regions and typical samples in the Al–Sn–Y system at 473 K.

Phase Regions	Alloy Composition (at.%)			Phase Composition
	Sn	Al	Y	
1	20	9	71	Y + Sn <sub>3</sub> Y <sub>5</sub> + Y <sub>2</sub> Al
2	5	30	65	Y <sub>2</sub> Al + Sn <sub>3</sub> Y <sub>5</sub> + Y <sub>5</sub> Al <sub>3</sub>
3	4	35	61	Y <sub>5</sub> Al <sub>3</sub> + Sn <sub>3</sub> Y <sub>5</sub> + Y <sub>3</sub> Al <sub>2</sub>
4	6.3	37	56.7	Y <sub>3</sub> Al <sub>2</sub> + Sn <sub>3</sub> Y <sub>5</sub> + AlY
5	6.5	47.8	45.7	AlY + Sn <sub>3</sub> Y <sub>5</sub> + Al <sub>2</sub> Y
6	33.5	12.5	54	Al <sub>2</sub> Y + Sn <sub>3</sub> Y <sub>5</sub> + Sn <sub>4</sub> Y <sub>5</sub>
7	39	10	51	Sn <sub>4</sub> Y <sub>5</sub> + Al <sub>2</sub> Y + Sn <sub>10</sub> Y <sub>11</sub>
8	45	15	40	Sn <sub>10</sub> Y <sub>11</sub> + Al <sub>2</sub> Y + Sn <sub>2</sub> Y
9	6.5	64	29.5	Sn <sub>2</sub> Y + Al <sub>2</sub> Y + $\alpha$ -Al <sub>3</sub> Y
10	20	59	21	$\alpha$ -Al <sub>3</sub> Y + Sn <sub>2</sub> Y + Al
11	60.5	12.5	27	Al + Sn <sub>2</sub> Y + Sn <sub>5</sub> Y <sub>2</sub>
12	64.5	12.5	23	Sn <sub>5</sub> Y <sub>2</sub> + Al + Sn <sub>3</sub> Y
13	69.8	14.9	15.3	Sn <sub>3</sub> Y + Al + Sn

#### 4. Conclusions

The isothermal section of the Sn–Al–Y ternary system at 473 K was experimentally constructed in this work. This isothermal section consists of 15 single-phase regions, 27 two-phase regions and 13 three-phase regions. The existence of 12 binary compounds was confirmed; namely, Sn<sub>3</sub>Y, Sn<sub>5</sub>Y<sub>2</sub>, Sn<sub>2</sub>Y, Sn<sub>10</sub>Y<sub>11</sub>, Sn<sub>4</sub>Y<sub>5</sub>, Sn<sub>3</sub>Y<sub>5</sub>, AlY<sub>2</sub>, Al<sub>3</sub>Y<sub>5</sub>, Al<sub>2</sub>Y<sub>3</sub>, AlY, Al<sub>2</sub>Y and  $\alpha$ -Al<sub>3</sub>Y. No ternary compound was found.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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