

Article

A Novel High-Efficiency Energy Storage Converter Based on a Controllable DC Bus

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Abstract

Cascaded H-bridge converters are the prevalent option for classic energy storage converters due to their excellent battery integration and current sharing capabilities. However, this scheme requires numerous IGBT switchings and exhibits high losses in low-voltage, high-power applications due to high current flowing through the batteries. Furthermore, the limited DC voltage regulation capability makes it difficult to obtain sufficient DC voltage for modulation when the battery is continuously discharging, resulting in shortened continuous discharge duration. To address these issues, this paper proposes a novel energy storage converter based on controllable DC buses. The proposed controllable DC bus consists of cascaded half-bridges and a bidirectional DC converter, where the former topology is designed to preserve voltage and current balancing between batteries, as well as boost the DC voltage—thereby reducing the current flowing through the batteries and minimizing losses. The latter topology is implemented to maintain DC bus voltage during battery discharge, thereby increasing the continuous operating time of the proposed energy storage converter. Moreover, the control and modulation of the proposed controllable DC bus have been optimized, and its effectiveness and performance are verified through simulation results.

Keywords: energy storage converter; cascaded H-bridge; internal resistance; loss; DC voltage regulation



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1. Introduction

As a fast and controllable power source, energy storage devices play a crucial energy buffering role in the increasingly complex source–grid–load system [1]. Unlike traditional large-capacity, centralized energy storage power stations, the concept of distributed energy storage is gradually emerging [2]. Installing energy storage systems in distribution substations not only reduces the AC voltage stress and peak capacity of the equipment but also improves the frequency regulation capability of thermal power units and enhances regional power quality. Therefore, employing energy storage systems to assist thermal power units in frequency regulation and to provide emergency balancing control is of great significance for improving the operational performance of large-scale power distribution systems.

Cascaded H-bridge-based converters are the prevailing topology for energy storage converters nowadays [3]. In this type of scheme, each energy storage battery can be

connected directly to the DC side of the H-bridge, or indirectly via a DC converter, thereby enabling voltage and current sharing between the multiple batteries. Ref. [4] proposes a novel power management device that connects supercapacitors directly to a 10 kV distribution grid via cascaded H-bridge converters, but it does not involve the analysis and optimization of energy storage costs and device efficiency. Different from this approach, Ref. [5] integrates supercapacitor cells and photovoltaic modules into a single-phase grid-connected photovoltaic inverter via cascaded H-bridge converters, aiming to stabilize active power fluctuations when illumination varies. However, no detailed modulation and triggering methods are provided, leaving the pulse triggering of the H-bridge containing supercapacitors and its coordination with the other H-bridges unclear. Under similar design considerations, the energy storage system integrating supercapacitors via cascaded H-bridges is also reported in Ref. [6], yet the voltage drop across the equivalent inductance of the interface transformer is ignored in the voltage dynamics analysis, and the selected high-voltage supercapacitor modules are difficult to obtain. Furthermore, classic control strategies, such as the SOC balancing algorithm [7] and the auxiliary voltage balancing loop [8], are susceptible to interaction with carrier phase-shift modulation [9], which alters the phase-shift relationship between the output voltages of the sub-modules [10], thereby undermining the inherent advantages of carrier phase-shift modulation and increasing the harmonic component of the output current in the cascaded H-bridge converter.

However, the above investigations cannot be directly applied to frequency support applications, as the specific demands of low-voltage, high-current conditions in distribution systems have not been adequately considered. On the one hand, numerous series-connected H-bridges are required to integrate supercapacitors into the AC bus, where the energy storage converter is already capable of outputting substantial voltage levels, rendering any further increase in voltage levels unnecessary [11–13]. In fact, the classic two-level converter is sufficient to meet power quality requirements, and employing the cascaded H-bridge converter could instead significantly increase the cost of the system. Recent studies have attempted to reduce system cost through hybrid topologies. For instance, a cascaded hybrid H-bridge where a high-frequency capacitor submodule is series-connected with multiple low-frequency battery submodules is proposed to reduce switching losses and allow the use of lower-cost power devices [14]. However, this scheme still requires each submodule to contain an individual DC-DC converter, leaving the overall control complexity and hardware redundancy largely unresolved. Similarly, Ref. [15] presents a current-fed quadruple active bridge-based cascaded H-bridge architecture that reduces the number of active switches by approximately 20% compared to classic solutions, but the four DC ports remain strongly coupled, necessitating complex decoupling control that does not simultaneously mitigate the high current through the storage modules. On the other hand, high-current output results in significant power losses, which can cause batteries or supercapacitors to overheat, posing a safety hazard. While novel overmodulation strategies have been proposed to extend the operating range of cascaded H-bridge converters under deep charge/discharge conditions by selectively over-modulating heavily loaded submodules while compensating distortions in other phases [16], these methods focus solely on power distribution and fail to address the root cause of losses—the excessive current magnitude flowing through the storage modules. Likewise, advanced SOC balancing algorithms, such as the dual-stage model predictive control developed for second-life battery cascaded H-bridge systems, can optimize charging/discharging current distribution among sub-modules [17]. Nevertheless, such SOC-oriented strategies do not resolve the fundamental DC voltage regulation limitation of classic cascaded H-bridge topologies; each H-bridge submodule must still handle high current, leading to substantial internal resistance losses and thermal stress.

Summarizing the above research, cascaded H-bridges can actively achieve voltage and current balancing between batteries or supercapacitors, but the excessive number of submodules leads to high device costs. For this reason, this paper innovatively connects cascaded H-bridges in series to form a controllable DC bus, thereby preserving the voltage and current balancing capabilities of batteries or supercapacitors. This configuration is then connected in parallel with a classic two-level converter, whose sinusoidal current output and fault ride-through capability ensure the safe and stable operation of the entire energy storage system. The contributions of the proposed method are listed as follows:

- Retains the voltage and current sharing between batteries or supercapacitors, while reducing the number of H-bridges.
- Reduced current flowing through batteries or supercapacitors, thereby decreasing the loss and heat issues of the device.

2. Classic Energy Storage Converter Based on Cascade H-Bridges

The basic topology of the cascaded H-bridge converter is shown in Figure 1 [18]. In this figure, u_{gj} and i_{gj} represent the grid voltage and current of phase j , u_{jk} presents the input voltage of the k submodule of phase j , u_{oj} represents the output voltage of the phase j cascaded converter branch, and L_g is the filter inductor ($j = A, B, C; k = 1, 2, \dots, n$).

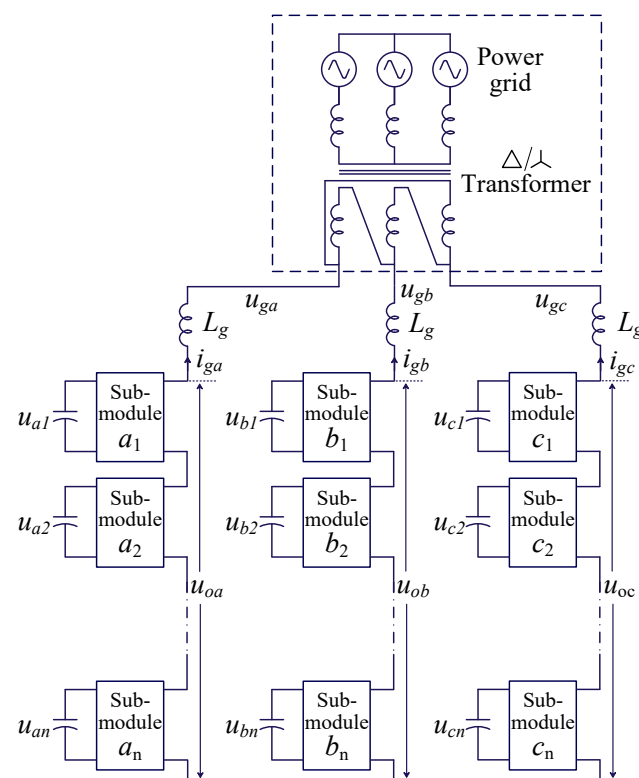


Figure 1. Basic topology of cascaded H-bridge converter.

Figure 1 shows that the cascaded H-bridge converter mainly consists of multiple submodules. Submodules are connected in series to form a single-phase cascade branch, and three such single-phase branches form a three-phase cascaded converter. Each submodule is connected to a supercapacitor, whose rapid charging and discharging capabilities can enhance the dynamic response of the energy storage converter.

Due to the low capacity of single energy storage modules, numerous modules are required for high-power systems, which means the system itself is capable of outputting

numerous voltage levels. To reduce system costs, the minimum number of H-bridges can be derived as follows.

The total energy constraint for supercapacitors is

$$\sum_{i=1}^{n_{tot}} E_{sc0i} x_i y_i \geq \frac{T_{dis} k_{m1} P_{gref}}{3 \times 3600} \quad (1)$$

where n_{tot} denotes the total type of supercapacitors, x_i , y_i , and E_{sc0} are the series number, parallel number, and rated capacity of type i , respectively, T_{dis} is the discharge duration, P_{gref} is the active power reference value, and k_{m1} represents the capacity margin.

Define the phasor form of u_{oj} as $\mathbf{u}_o$, u_{gj} as $\mathbf{u}_g$, and i_{gj} as $\mathbf{i}_g$, respectively. The phasor relationships on the AC side can be expressed as:

$$\mathbf{u}_o = \mathbf{u}_g + j\omega L_g \mathbf{i}_g \quad (2)$$

The amplitude of (2) is

$$V_o = \sqrt{2} \sqrt{|\mathbf{u}_g|^2 + |\omega L_g \mathbf{i}_g|^2} \quad (3)$$

where V_o is the amplitude of $\mathbf{u}_o$.

Given the modulation ratio margin k_{m2} , (3) can be simplified to

$$\sum_{k=1}^n u_{jk} \geq V_{dcmin} = \frac{\sqrt{2}}{k_{m2}} \sqrt{\frac{V_g^2}{2} + \left(\frac{\sqrt{2} P_{gref} \omega L_g}{3 V_g}\right)^2} \quad (4)$$

where V_{dcmin} is the minimum total DC voltage, V_g is the amplitude of u_g , and ω is the angular velocity of the grid voltage.

According to (4), the voltage constraint for series-connected supercapacitors can be expressed as

$$\sum_{i=1}^{n_{tot}} U_{sc0i} x_i \geq V_{dcmin} \quad (5)$$

where U_{sc0} denotes the rated voltage of supercapacitor i .

Combining (1), (4), and (5), the basic constraints for the above-mentioned cascaded H-bridge converter are as follows:

$$\begin{cases} \sum_{i=1}^{n_{tot}} E_{sc0i} x_i y_i \geq \frac{15 k_{m1} P_{gref}}{3 \times 3600} \\ \sum_{i=1}^{12} U_{sc0i} x_i \geq \frac{\sqrt{2}}{k_{m2}} \sqrt{\frac{V_g^2}{2} + \left(\frac{\sqrt{2} P_{gref} \omega L_g}{3 V_g}\right)^2} \end{cases} \quad (6)$$

It is worth noting that the three arms of the cascaded H-bridge converter shown in Figure 1 are parallel-connected, implying that the minimum number of submodules is $3 \sum x_i$, which will significantly increase the cost of the energy storage converter.

On the other hand, the vector current control method shown in Figure 2 is generally used for energy storage converters, where Q_{gref} is the reference value for the output reactive power, θ_{in} is the phase angle of $\mathbf{u}_g$, and u_m is the modulation wave output by the vector current control.

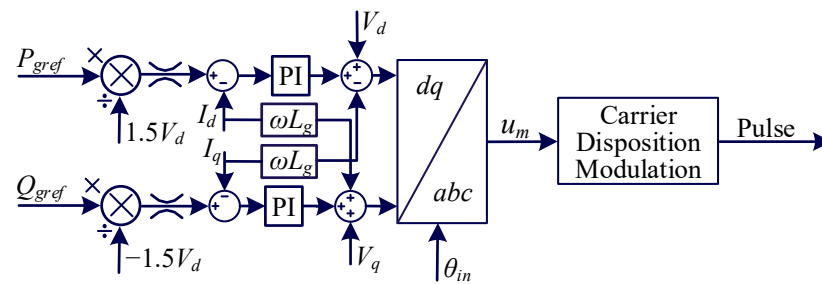


Figure 2. Classic control methods for energy storage converters.

3. Dynamic Analysis of Classic Energy Storage Devices

3.1. Losses of Classic Energy Storage Converters

The losses in energy storage systems primarily originate from the equivalent internal resistance of the batteries or supercapacitors. The specifications for real supercapacitor products can be found in Table 1, served as an example for the loss analysis of energy storage devices in this section.

Table 1. Major parameters of the energy storage system.

Symbol	Value	Symbol	Value	Symbol	Value
P_{gref}	500 kW	T_{dis}	15 s	V_g	380 V
ω	100π rad/s	L_g	3.1 mH	U_{sc0}	144 V
C_{sc0}	55 F	R_{sc0}	17 m Ω	E_{sc0}	158.4 Wh

In Table 1, V_g is the voltage amplitude on the low-voltage side of the transformer shown in Figure 1, while C_{sc0} and R_{sc0} denote the capacitance and internal resistance of a specific supercapacitor.

Combining (6) and Table 1, 10 submodules are required per arm when employing the cascaded H-bridge converter shown in Figure 1, with each sub-module connected to a supercapacitor. Under this scenario, the magnitude of the output current from the energy storage converter can be calculated using the following equation:

$$I_g = \frac{P_g}{1.5V_g} \quad (7)$$

Based on (7), it can be calculated that the amplitude of output current from the energy storage converter during steady-state operation reaches 1.07 kA. Under these operating conditions, large currents will flow through the internal resistance of the energy storage module, as shown in Figure 3a, resulting in high losses. Combined with Figure 3b, the terminal voltage of the energy storage modules drops as they discharge continuously; their output current will further increase to maintain a constant power supply, which will consequently increase power losses.

The losses across the internal resistor of energy storage modules are measured and plotted in Figure 3c, indicating that losses rise from the initial 20% to 39.62%, resulting in significant power wastage and heat dissipation requirements. Moreover, it shows that losses rise rapidly in the final stage, attributable to the saturation of the modulating waveform caused by the excessively low voltage of the energy storage module, as depicted in Figure 3d, where the converter outputs distorted current with an ever-increasing amplitude, resulting in further losses.

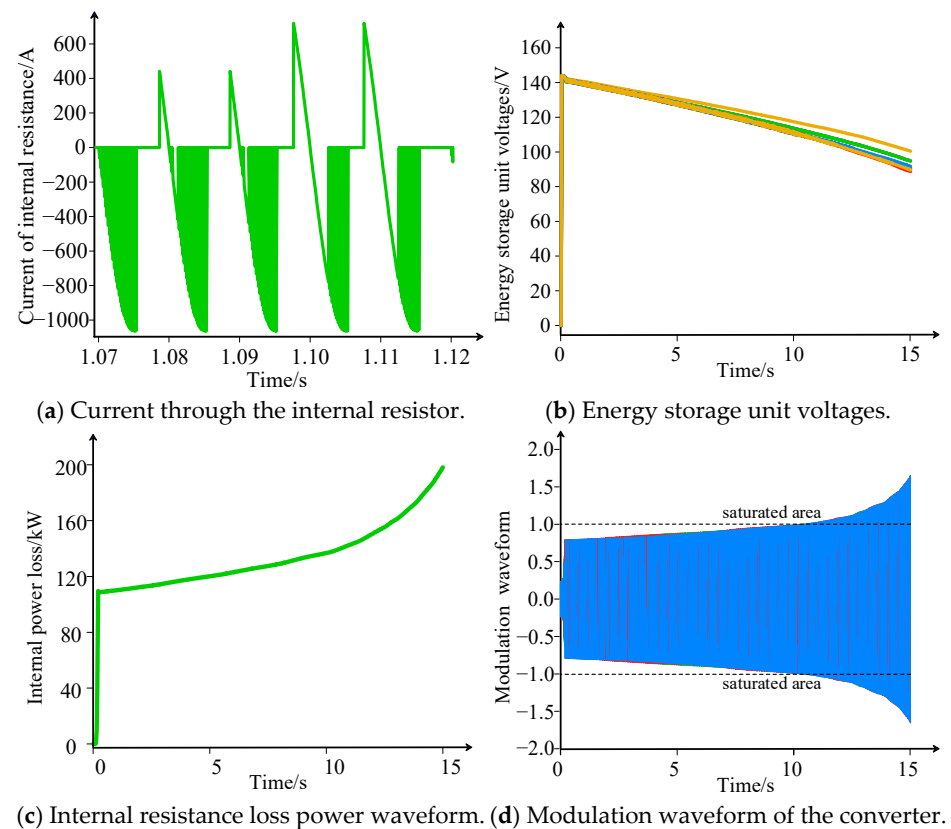


Figure 3. Voltage drop and losses across the internal resistance of supercapacitors.

Therefore, the classic cascaded H-bridge-based energy storage converter suffers from significant losses and heat dissipation issues in low-voltage, high-current applications, primarily due to the excessive current flowing through the energy storage modules and the absence of DC voltage regulation capability.

3.2. Bidirectional Voltage Regulation of Energy Storage Modules

The second equation in (6) shows that a sufficient DC voltage is required to modulate an AC voltage. However, as the supercapacitor continues to discharge, its terminal voltage decreases continuously, which would violate (6). Therefore, a DC converter is recommended to be incorporated into the sub-module of the cascaded H-bridge converter.

The dual active bridge (DAB) converter is a commonly used DC converter, with its block diagram shown in Figure 4 [19]. In this diagram, i_{in} is the input current to the energy storage module, whilst L_1 , k_1 and C_1 represent the leakage inductance, turns ratio, and filter capacitor of the DAB, respectively, and V_{in} and E are the input and output voltages of the DAB converter, respectively.

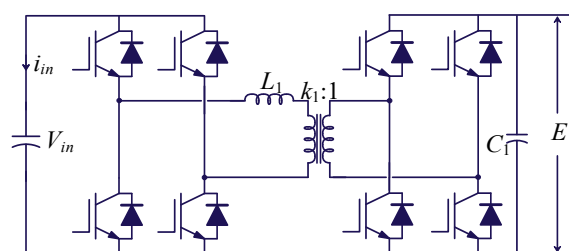


Figure 4. Basic topology of the DAB converter.

The output power of the DAB converter can be expressed as

$$P_{dab} = \frac{k_1 V_{in} E}{2\pi^2 f_1 L_1} \varphi_1 (\pi - |\varphi_1|) \quad (8)$$

where P_{dab} denotes the DAB output power, and f_1 and φ_1 denote the DAB switching frequency and phase-shift angle, respectively.

When the energy storage module continues to deliver power, V_{in} continues to decrease. Combined with (8), φ_1 of the DAB must continue to increase for constant power output, as shown in Figure 5. When φ_1 increases to $\pi/2$, $\varphi_1(\pi - \varphi_1)$ begins to decrease [20], where the power balance cannot be sustained, and the DAB moves beyond the controllable range. Under these conditions, large current surges will occur at the leakage inductor, which may trigger shutdown protection. Therefore, the maximum operating time of the DAB converter is still limited due to its maximum external phase-shifting angle, even though the operating time of the energy storage converter incorporating it has been extended.

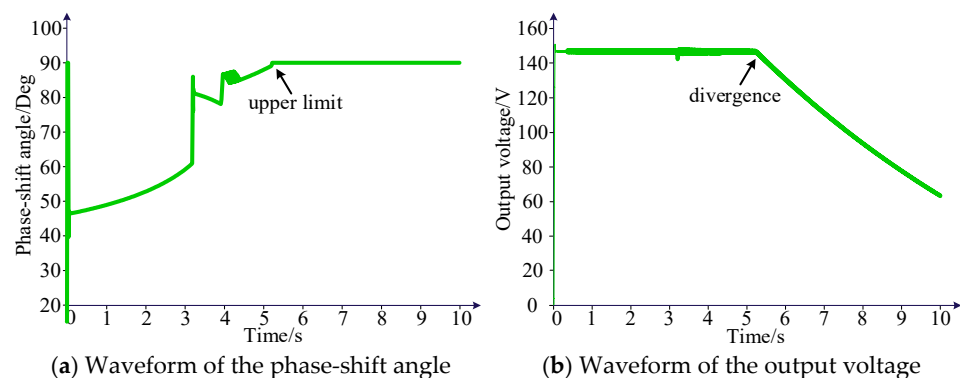


Figure 5. Instability phenomena of DAB converters in energy storage applications.

Similar issues also exist in commonly used z-source DC converters. As the supercapacitor continues to discharge, its terminal voltage gradually decreases, resulting in an increasingly higher amplitude of the modulating wave. This reduces the controllability of the z-source converter [21], eventually making it incapable of generating the modulating signal. As a result, classic energy storage converters still lack sufficient bidirectional voltage regulation capabilities, which limits their continuous power supply duration.

3.3. Overmodulation Operation of the Cascaded H-Bridge Converter

As the supercapacitor continues to discharge, its terminal voltage gradually decreases, causing the amplitude of the modulation wave in the classic energy storage converter shown in Figure 1 to rise continuously until it reaches saturation. Under these operating conditions, the energy storage converter will operate in the overmodulation region, resulting in distorted output current waveforms.

Considering that the cascaded H-bridge converter shown in Figure 1 is formed by three parallel arms, double-frequency power fluctuations occur within each arm, which, in turn, affects the voltage of supercapacitors and alters the amplitude of the modulation waveform, making the modulation stage more prone to entering the saturation region. Therefore, the saturated modulation issue in parallel arm-based converters will become more challenging.

4. Proposed Energy Storage Converter Based on Controllable DC Bus

To address the above issues, a novel energy storage converter based on the controllable DC bus is proposed in this section, whose advantages over the classic cascaded H-bridge

converter in terms of losses, bidirectional voltage regulation, and saturated modulation are demonstrated in detail.

4.1. Proposed Controllable DC Bus Topology

Regarding the issue of high internal resistance losses, the main reason is the excessive current flowing through the energy storage modules, which results in large voltage drops across the internal resistance of the energy storage batteries or supercapacitors, leading to losses and heat dissipation issues. Considering the double-frequency power fluctuations within single bridge arms, loss reduction over the internal resistance becomes more complex and could exacerbate overmodulation issues.

To address this issue, cascaded half-bridges are employed to integrate supercapacitors, which are then connected in parallel with a bidirectional DC converter to form the proposed controlled DC bus. The proposed method not only can significantly increase the DC line voltage—thereby reducing the current flowing through the internal resistance and substantially lowering resistance losses and heat dissipation requirements—but also eliminates frequency-doubling power fluctuations in the cascaded half-bridge submodules, allowing for greatly simplified and improved bidirectional voltage regulation design. Then, the proposed controllable DC bus is connected in parallel with a classic two-level converter to enable grid-connected power transmission and fault ride-through.

The specific structure is shown in Figure 6, where V_d is the output voltage of the cascaded structure, L_f and C_f are the inductor and capacitor of the bidirectional DC voltage regulation converter, V_{dc} is the DC bus voltage, S_{1k} is the upper switch of the cascaded structure submodule, S_{2k} is the lower switch of the cascaded structure submodule, T_1 is the upper switch of the bidirectional voltage regulation circuit, and T_2 is the lower switch of the bidirectional voltage regulation circuit.

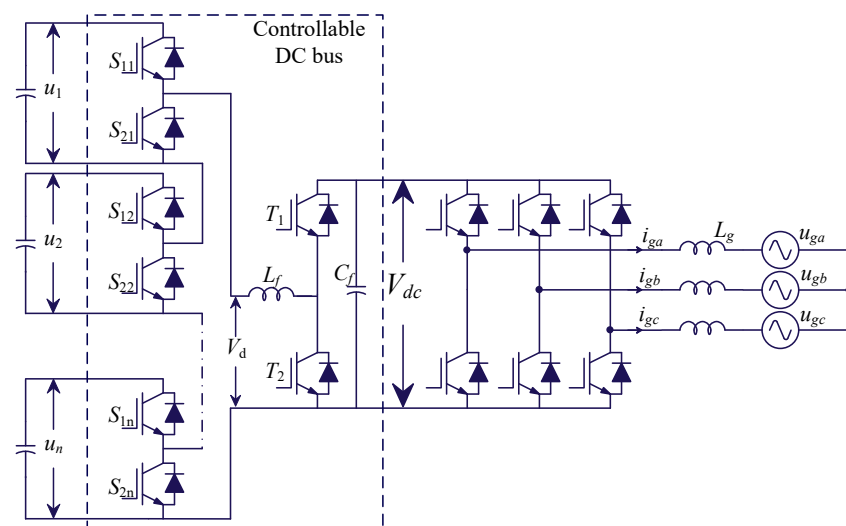


Figure 6. Energy storage converter based on the proposed controllable DC bus.

In the above controllable DC bus, cascaded half-bridges can effectively reduce the output DC voltage V_{dc} by adjusting the duty cycle, but the absence of energy storage components prevents them from performing a voltage boost. The bidirectional DC converter can effectively boost V_{dc} through the charging and discharging of the energy storage inductor in boost mode, but the current only flows through the anti-parallel diode T_1 in buck mode, making it difficult to enable rapid DC voltage control. Therefore, the proposed controllable DC bus combines the step-down capability of cascaded half-bridges with the step-up capability of bidirectional DC converters. This not only contributes to significantly

reducing internal resistance losses, as mentioned earlier, but also enables flexible adjustment of the DC voltage, thereby enhancing the continuous discharge duration of the energy storage system.

Combining (6) with the parameters shown in Table 1, only 20 supercapacitors are required for the proposed energy storage converter, which is 33% fewer than in the classic scheme shown in Figure 1. Furthermore, the proposed converter requires only a single bidirectional DC converter, which can save numerous IGBT switchings compared to the classic distributed DC converter-cascaded H-bridge topology [22].

4.2. Control Method for the Proposed Controllable DC Bus

This paper regards the controllable DC bus shown in Figure 6 as an entire converter, with its objective being to maintain DC bus voltage across the entire operating range. The detailed control method of the controllable DC bus is as follows, where V_{dcref} is the rated value of V_{dc} , P_{S1k} , P_{S2k} , P_{T1} and P_{T2} are the pulse signals for S_{1k} , S_{2k} , T_1 , P_{T1} and T_2 , respectively, in Figure 6.

Define the duty cycle of the switching tube T_1 in the bidirectional DC converter in Figure 6 as d_v . Since the average voltage drop across L_f is 0 in each switching cycle, the relation between the input and output voltages can be described as:

$$V_d = d_v V_{dc} \quad (9)$$

To control V_{dc} to V_{dcref} , (9) can be changed to:

$$d_v = \frac{V_d}{V_{dcref}} \quad (10)$$

Equation (10) is precisely the pulse generation method for the bidirectional DC converter shown in Figure 7, which is remarkably simple yet highly effective. On this basis, the DC voltage loop is applied to the cascaded half-bridge, thereby enabling flexible regulation of the DC bus voltage.

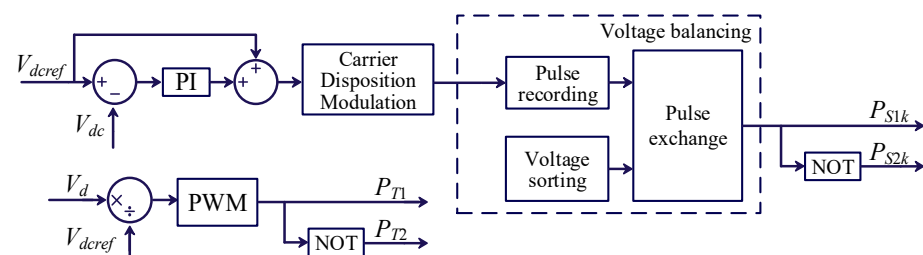


Figure 7. Control methods of the controllable DC bus.

4.3. Modulation with Submodule Voltage Balancing

Regarding the voltage balancing of supercapacitors, a simpler but efficient method is proposed based on carrier disposition modulation and voltage sorting [23]. The details are as follows.

As shown above, the pulse signals of each sub-module generated by carrier-stacked modulation vary significantly, with lower-numbered sub-modules having a higher duty ratio and higher-numbered sub-modules having a lower duty ratio. Under these operating conditions, the bottom-most energy storage modules will exhaust their discharge capacity earlier than others, resulting in a significant imbalance in the charging and discharging depths across the modules, and accelerating the aging of certain modules. After employing the voltage balancing method in Figure 8, if the magnitude of u_{jk} is less than that of $u_{j(k+1)}$, the priority of u_{jk} in the voltage sorting decreases, while the corresponding carrier layer

number increases. Consequently, the duty ratio of sub-module j_k decreases, reducing the reduced discharge of this energy storage module and facilitating its voltage recovery. Similarly, if the amplitude of u_{jk} is less than that of $u_{j(k+1)}$ during charging, its priority in the voltage sorting decreases, resulting in a lower carrier layer being used. This increases the duty ratio of sub-module j_k , thereby boosting the charging of that sub-module and promoting its voltage recovery. Therefore, adjusting the carrier layers in which each submodule operates in the carrier disposition modulation provides a convenient way to balance output power among energy storage modules.

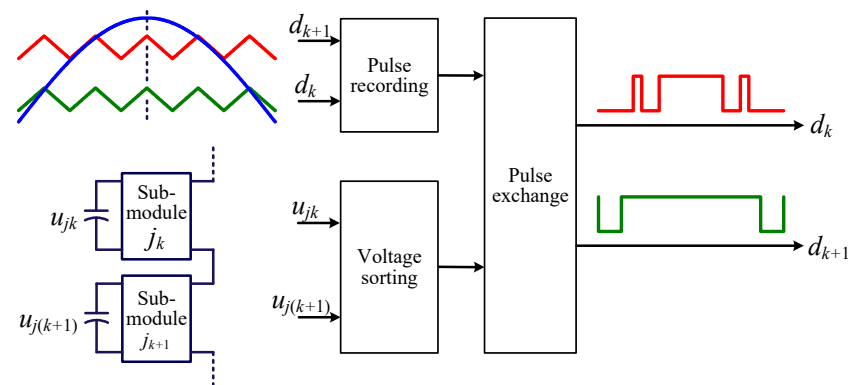


Figure 8. Proposed modulation method with voltage balancing.

5. Discussion and Comparisons

This section compares the energy storage converter based on the proposed controllable DC bus shown in Figure 6 with the energy storage converter based on the classic cascaded H-bridge shown in Figure 1, and the results are summarized in Table 2, where the parameters required for the calculation are also taken from Table 1.

Table 2. Comparison of the proposed scheme and the classic scheme.

	Submodule Topology	Number of Supercapacitors	Number of IGBTs	Maximum Loss	Voltage Balancing	DC Voltage Regulation
Classic	full-bridge	30	120	39.62%	Complex	None
Proposed	half-bridge	20	48	8.67%	Simple	Yes

The classic scheme is formed by cascaded H-bridges, with each submodule requiring four IGBTs. Based on (6) and Table 1, the number of cascades under this scheme is 10, thereby 30 supercapacitors are required. Therefore, the classic scheme requires a total of $30 \times 4 = 120$ IGBTs, which significantly increases the cost of the device. Similarly, the proposed scheme requires only 20 half-bridge submodules, combined with a bidirectional DC converter and a two-level converter, for a total of $20 \times 2 + 2 + 6 = 48$ IGBTs—just 40% of the number used in the previous scheme.

Combining actual IGBT device specifications and the parameters in Table 1, the total cost analysis is further elaborated with the 500 kW energy storage converter as an example. Under this scenario, the classic topology requires 120 IGBT units rated at 144 V 1071 A, whereas the proposed topology requires 40 IGBT units rated at 144 V 300 A, 2 IGBT units rated at 2.7 kV 300 A, and 6 IGBT units rated at 2.7 kV 1.1 kA. To ensure a fair comparison, the selected IGBT devices are the most cost-effective options available within the specified voltage and current ratings.

Table 3 shows that the total cost of the proposed topology is only 18.18% of that of the classic topology, owing to the replacement of high-current IGBTs with low-current IGBTs.

For example, replacing the expensive 1071 A IGBTs with cheaper 300 A IGBTs. Therefore, the cost benefits of the proposed solution are feasible.

Table 3. Cost comparisons based on actual IGBT parameters.

	Product Model	Unit Price	Quantity	IGBT Count	Total Cost
Classic	2MBI1400VXB-120P-54	\$290.85	60	120	\$17,451
Proposed	IXXH150N60C3	\$16.86	40	40	\$3171.8
	FF200R33KF2C	\$544.8	1	2	
	FZ1500R33HE3	\$976.28	2	6	

Moreover, the maximum loss of the classic scheme, as shown in Figure 3b above, reaches 39.62%, due to excessively high current flowing through supercapacitors. This current can be greatly reduced by the proposed controllable DC bus, so the proposed scheme exhibits low losses—a notable advantage of the proposed scheme.

In addition, the classic method requires extra voltage balancing methods, such as the SOC balancing algorithm [7] and the auxiliary voltage balancing loop [8], but the proposed scheme does not. As shown in Figure 8, the voltage balancing in the proposed scheme can be integrated into the modulation process, which is very easy to implement and offers faster response capabilities.

Finally, the proposed topology offers similar fault tolerance and short-circuit protection to the classic topology, as both integrate energy storage units through submodules, enabling rapid failure recovery by replacing the submodules. Their output power quality is comparable, and both are capable of four-quadrant power control, since these issues can be easily addressed in low-voltage, high-current applications. Therefore, the cost and loss advantages of the proposed topology will not compromise its performance.

6. Verification of the Proposed Scheme

Based on the topology shown in Figure 6, the control shown in Figure 7, the modulation shown in Figure 8, and the parameters listed in Table 1, this section validates the effectiveness and performance of the proposed energy storage converter through PLECS.

6.1. Simulation Results of Controllable DC Bus

The simulation results for the proposed controllable DC bus are shown in Figure 9. Among them, Figure 9a shows that the output active power of the proposed energy storage converter stabilizes at the rated value P_{gref} during the rated discharge duration T_{dis} , which validates the effectiveness of the energy storage battery selection method presented in (6). Combined with Table 2, the proposed scheme can reduce 33% supercapacitors and 60% IGBT switchings, exhibiting excellent cost-effectiveness.

From Figure 9b, internal resistance losses account for only 2.4% to 8.67% of the rated power, which is significantly lower than the 21.74% to 39.62% reported in Figure 3; thus, the proposed scheme exhibits superior efficiency compared to the classic scheme. In addition, Figure 9c shows that the voltage waveforms of the supercapacitors overlap throughout the entire discharge process, demonstrating the effectiveness of the modulation with voltage balancing capability described in Figure 8. Combined with Figure 9d, although supercapacitor voltages gradually decrease, the DC bus voltage remains stable at its rated value throughout the entire discharge process, which proves the excellent voltage regulation capability of the proposed controllable DC bus.

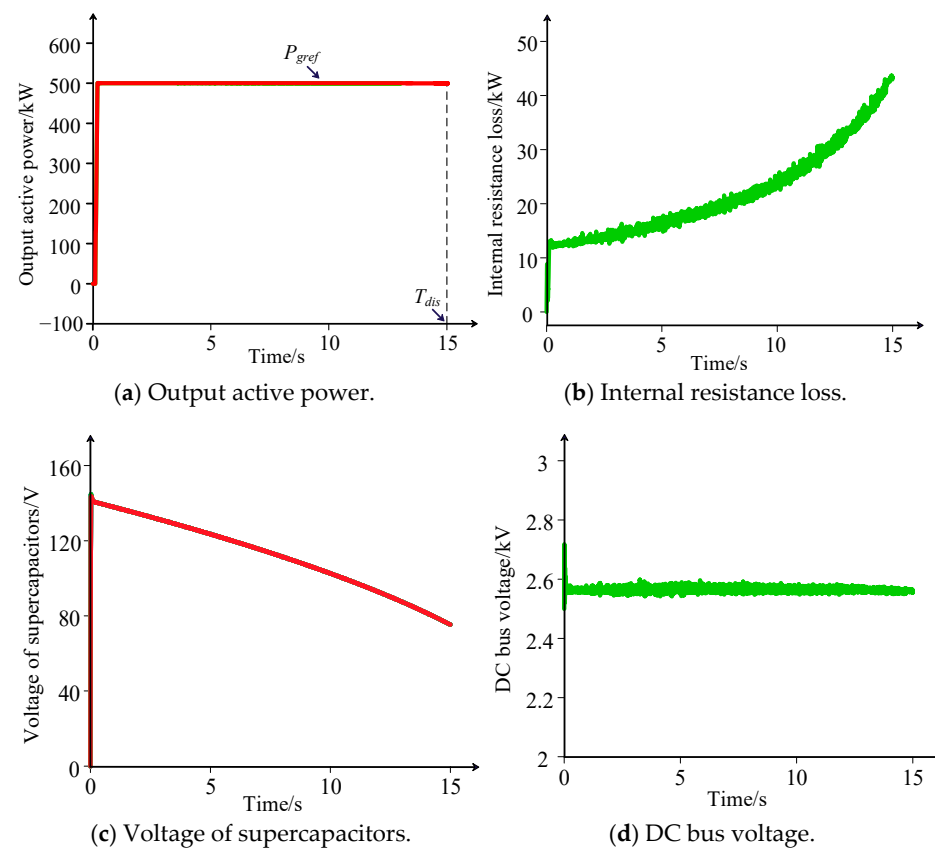


Figure 9. Simulation results of the proposed controllable DC bus.

6.2. Simulation Results Under Abrupt Power Surge Conditions

When the active power increases at 0.1 s, Figure 10a shows that the output active power increases rapidly and enters steady-state operation, during which Figure 10b shows that the voltages of the supercapacitors remain balanced. Similarly, the same result can be observed when the reactive power increases at 0.5 s, which demonstrates the rapid response of the proposed scheme. Figure 10c shows that both the single-phase voltage and current waveforms are sinusoidal and in phase with each other, which matches the unit power factor operation of the energy storage converter during the 0.1 to 0.5 s shown in Figure 10a. Furthermore, Figure 10d shows that the three-phase current waveforms are symmetrical, and no impact occurs during the transient process, which demonstrates the effectiveness of the power control in the proposed scheme.

6.3. Simulation Results Under Abrupt Power Drop Conditions

As shown in Figure 11a, the energy storage converter exhibits good transient response and experiences minimal impact when the rated power is suddenly increased from no-load operation, or when the rated forward power is suddenly switched to negative rated power operation. During this process, Figure 11b shows that the current stabilizes rapidly, and unit power factor operation is also achieved when the power is reversed. Furthermore, Figure 11c shows that when the active power suddenly changes from positive full load to negative full load operation, the power surge is 0.25 p.u. and the settling time is 23 ms, whose rapid response is evident during sudden full-power changes. Meanwhile, Figure 11d shows that the voltage difference in all supercapacitors does not exceed 0.07 p.u., so the voltage balancing can also be achieved during negative-rated operation.

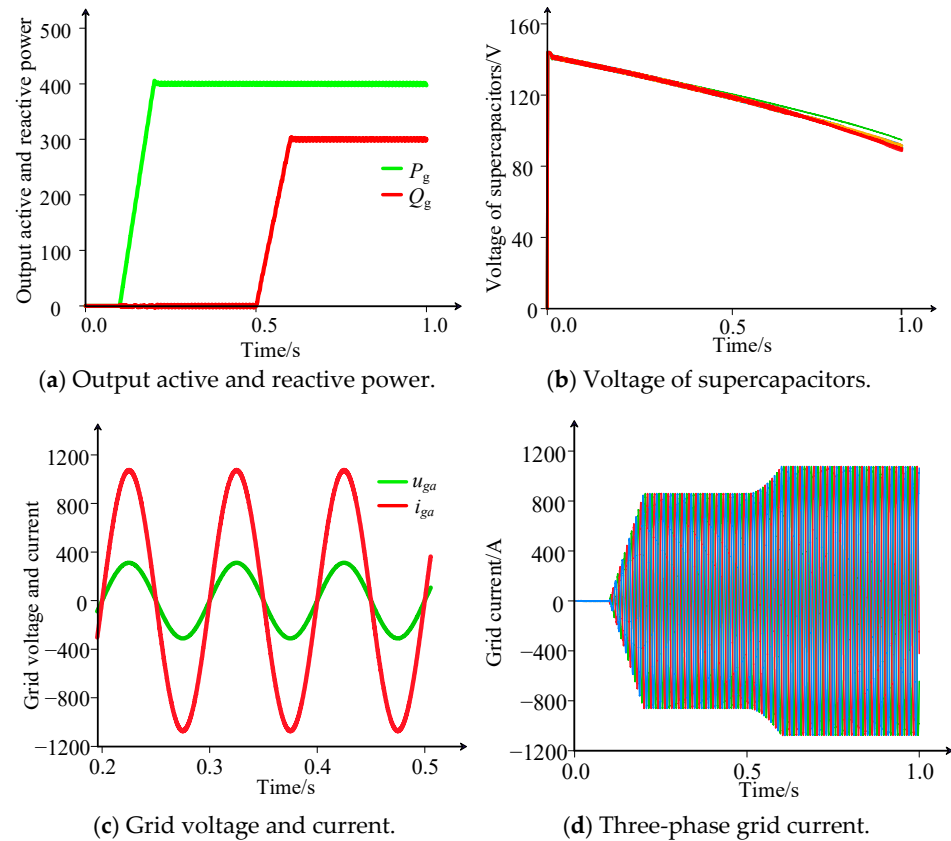


Figure 10. Simulation results under abrupt power surge conditions.

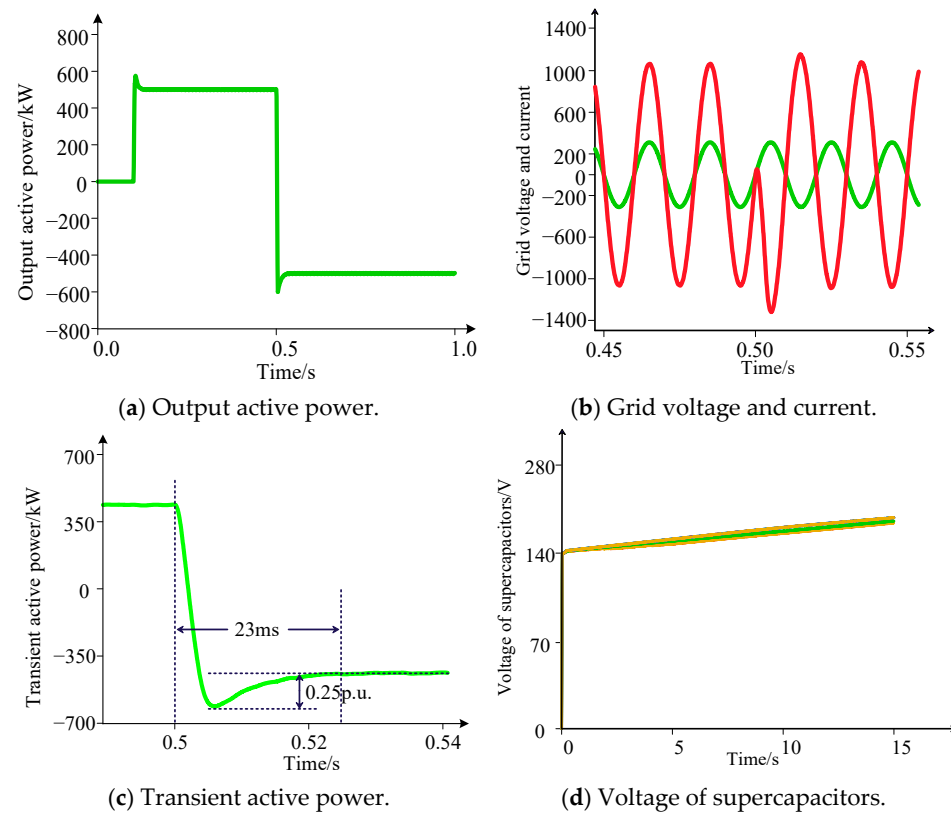


Figure 11. Simulation results under abrupt power drop conditions.

6.4. Experimental Validations of the Proposed Method

A scaled-down 500 W experiment has been conducted through hardware-in-loop devices and DSP control boards, with the results depicted in Figure 12. In this figure, the blue and green curves in Figure 12a and Figure 12c denote the output active power and output reactive power, respectively, and the blue and green curves in Figure 12d represent the voltage and current waveforms of phase A, respectively.

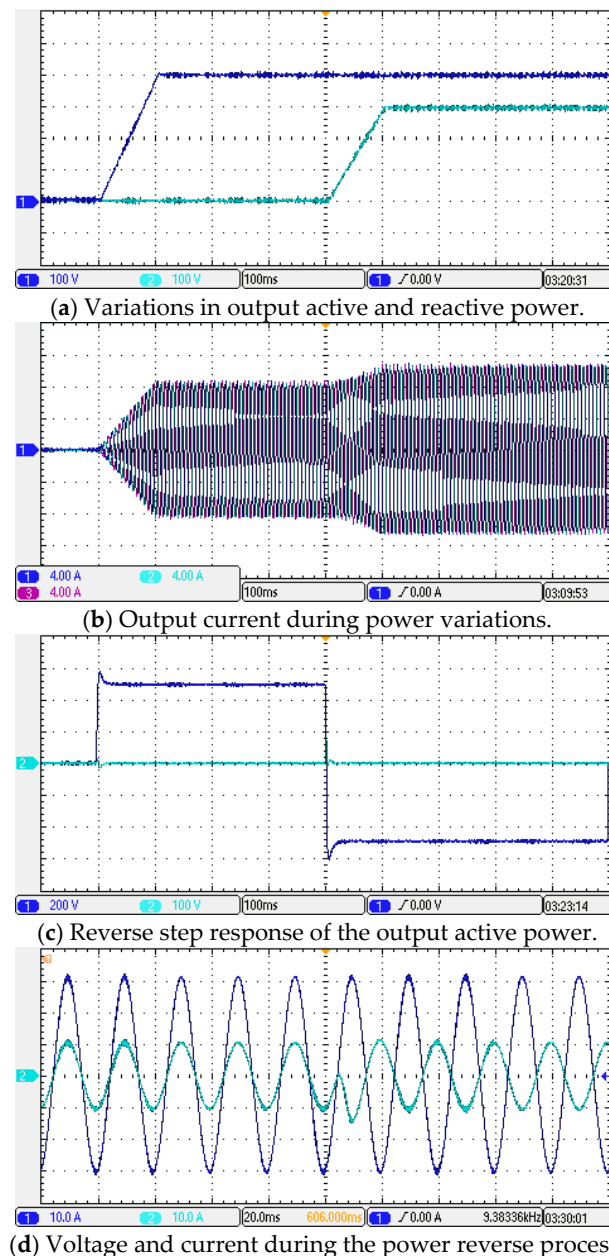


Figure 12. Experimental validations of the proposed method.

Figure 12a shows the dynamic responses of output active and reactive power under step changes. When the active power reference increases from 0 to 500 W and the reactive power reference steps from 0 to 200 Var, the actual power waveforms rise smoothly without observable overshoot, which is consistent with the simulation results in Figure 10a. Figure 12b demonstrates that the three-phase output currents remain sinusoidal and well-balanced during the transients, with no visible waveform distortion. Figure 12c presents the active power reversal from +500 W to −500 W. The transition is rapid, and no significant

impact or overshoot is observed, confirming the effective bidirectional regulation capability of the proposed controllable DC bus. Figure 12d provides a detailed view during the power reversal: before the reversal, the phase current is in phase with the grid voltage (discharging mode); after the reversal, the current becomes exactly anti-phase (charging mode). The phase relationship switches seamlessly, demonstrating robust phase-locked performance. Therefore, the experimental results validate that the proposed energy storage converter based on the controllable DC bus achieves fast dynamic response, stable current quality, and reliable bidirectional power control, thereby confirming its correctness and feasibility.

7. Conclusions

This paper proposes a novel energy storage converter based on the controllable DC bus. The main conclusions are summarized as follows:

(1) The internal resistance loss and bidirectional voltage regulation issues in the cascaded H-bridge-based energy storage converter are analyzed. It is shown that although the internal resistance of energy storage modules in a low-voltage high-power environment does not affect the selection of energy storage products, it causes significant losses, resulting in excessively low device efficiency.

(2) Due to the presence of single-phase double-frequency power fluctuation and the over-modulation during deep charge/discharge state, commonly used bidirectional DC voltage regulation methods are difficult to implement, and the total DC voltage constraint increases, leading to higher energy storage costs.

(3) The proposed topology not only significantly reduces internal resistance losses and improves device efficiency, but also possesses bidirectional DC voltage regulation capability, enabling 33% cost savings and 8.67% maximum loss.

(4) As double-frequency power fluctuations are eliminated, the proposed control offers superior voltage balancing performance and simpler implementation complexity.

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