

## Article

# Robust Control and Optimization Method for Single-Phase Grid-Connected Inverters Based on All-Pass-Filter Phase-Locked Loop in Weak Grid

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**Abstract:** In a distributed generation system, the all-pass-filter phase-locked loop (APF-PLL) is a commonly used method for grid synchronization. However, the coupling effect between APF-PLL and current control loop increases the risk of oscillation instability for the inverter in the weak grid. At present, there are few effective methods to solve the adverse effect of APF-PLL on the inverter-grid interconnection system in the weak grid. Therefore, a small-signal impedance model of the inverter considering the dual  $d$ - $q$  frame brought by APF-PLL is first established. Then the reason for the inverter instability caused by APF-PLL in the weak grid is analyzed. Subsequently, an impedance reshaping method based on a modified first-order filter PLL with a complex coefficient filter (CCF-MFOF-PLL) and its parameter optimization design method are proposed. Finally, the experimental results verify that the proposed method widens the stable range of the inverter and ensures the stable operation of the inverter even with the large grid impedance.

**Keywords:** grid-connected inverter (GCI); phase-locked loop (PLL); complex coefficient filter (CCF); weak grid; robustness



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## 1. Introduction

Global environmental pollution and the energy crisis have greatly promoted the development of distributed generation technology [1,2]. As the power interface between distributed energy and power grid, the GCI plays a vital role in feeding the renewable energy output to the grid [3]. In order to control the power delivered to the grid by the inverter, PLL is often used to obtain the synchronization information of grid voltage [4]. However, the dynamic characteristic of PLL in the weak grid brings an angular deviation between the control and system  $d$ - $q$  frame in the small-signal perturbation state, which in turn deteriorates the operating environment of the grid-connected system through the current controller [5]. Meanwhile, with the deepening of the weak grid degree, the coupling between PLL, current controller and the power grid is gradually strengthened, which brings adverse influence to the stability of the grid-connected system [6,7].

In current studies, there are two types of methods regarding the stability analysis and control of GCI in the weak grid. One category is the method considering the influence of PLL, and the other category is the method without considering the influence of PLL. To improve the robustness of GCI to the grid, some robust or adaptive control methods are proposed, such as robust active damping and current control methods [8–10], robust grid voltage feedforward control methods [11–13], adaptive methods based on feedback loop [14], and so on. In fact, it is reasonable to ignore the PLL when the research mainly focuses on the output characteristic of GCI at the high-frequency band, which is much larger than the bandwidth of PLL. In the case of an extremely weak grid, where the equivalent

impedance of the grid is large, the frequency point at which oscillation instability occurs is close to the bandwidth of PLL. At this time, the effect of PLL must be considered when analyzing the stability of the grid-connected system.

In terms of inverter stability analysis considering the influence of PLL, scholars worldwide have conducted a lot of research. The influence mechanism caused by the synchronous reference frame PLL (SRF-PLL) on the inverter stability is studied in [15], which shows that PLL is required to achieve the strong attenuation characteristic at the low-frequency band and suppress the adverse effect of PLL. Then a decoupling method combined with the grid measurement is proposed in [16], which can reshape the input signal of SRF-PLL and thus weaken the negative phase shift brought by PLL. However, this method relies on the accuracy of the impedance measurement, which causes the inverter to become unstable when the system is under faulty working conditions. In [17], an improved voltage feed-forward control method for GCI is proposed to reduce the influence of PLL, but the optimal design principle for the inverter control parameters is not redesigned when the control structure is altered. A conservative design method for PLL parameters with the bandwidth and system phase margin as constraints is proposed in [18], which improves inverter stability, but this is at the expense of current dynamic tracking performance. Then two impedance reshaping methods in the  $q$ -axis for the GCI are proposed in [19,20]. However, the specific stability region extension scope of these methods is not clear. In [21], the influence of the proportional gains of SRF-PLL and dc-link voltage loop on the inverter stability is analyzed, based on which an improved voltage feedback control method is proposed. However, this method increases the complexity of the system, which in turn destroys the dynamic response speed for GCI to a certain extent. In [22], the stability margin of the inverter is improved by designing a phase angle compensation link of SRF-PLL, but this method cannot adapt to the wide frequency variation in the grid impedance and it also increases the complexity of the system control. In [23], three types of improved SRF-PLL structures based on the pre-filter are proposed to suppress the adverse effect of SRF-PLL on inverter stability. The analysis shows that the CCF-based SRF-PLL has better dynamic characteristic than the band-pass and low-pass filter-based SRF-PLL, which also require no additional phase compensation link. Based on this research, in this paper the CCF-based pre-filter structure is extended to the single-phase APF-PLL to study its optimization role on the operating characteristic of GCI and its adaptation to grid impedance in the weak grid.

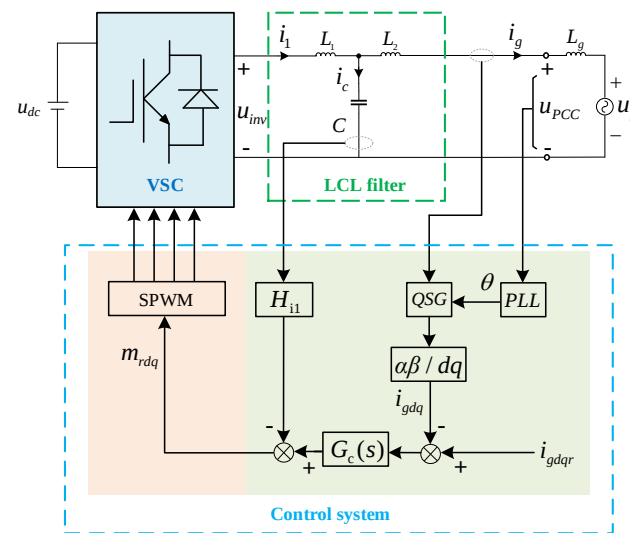
With the above analysis, a small-signal control model for a single-phase LCL-type inverter considering the influence of APF-PLL is taken as the basis for the research. The GCI with an APF-PLL based on CCF is obtained by attaching CCF to the pre-stage of APF-PLL. On the one hand, the addition of the CCF can reshape the output impedance of GCI, thus improving the output characteristic of the inverter in low-frequency band and its adaptability to the weak grid. On the other hand, the CCF as the pre-stage structure is more conducive to the extraction of the grid fundamental frequency by the back-stage APF-PLL in the case of grid impedance perturbation, which in turn improves the stability of the GCI in the weak grid. In addition, a parameter optimization design method for CCF-MFOF-PLL is given, which simultaneously takes into account the constraints of the performance for PLL and the stability domain for GCI, thus enhancing the adaptability of GCI to the weak grid. Finally, the experimental results verify the correctness of the theoretical analysis in this paper.

The rest of this paper is organized as follows. In Section 2, a small-signal impedance model and stability of the inverter are analyzed. Then, an impedance reshaping method for GCI based on CCF-MFOF-PLL and its parameter design method are proposed in Section 3. Moreover, the effectiveness of the proposed control method is verified through experimentation in Section 4. Finally, the conclusion is drawn in Section 5.

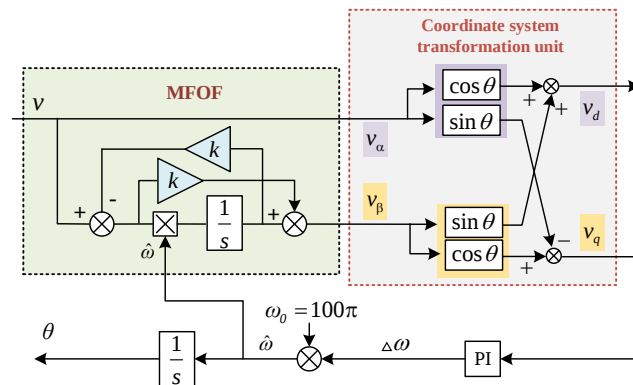
## 2. Stability Analysis for GCI Considering MFOF-PLL

### 2.1. Small-Signal Impedance Model of the Inverter under Multiple Perturbation

Figure 1a shows the control block diagram of the single-phase LCL-type grid-connected inverter, where  $L_1$  denotes the inverter-side inductor,  $C$  denotes the filter capacitor,  $L_2$  denotes the grid-side inductor, and  $H_{i1}$  denotes the active damping factor. In this paper, a modified first-order filter (MFOF) proposed in [24–26] is chosen as the quadrature signal generator (QSG) of the inverter, which is a type of improved APF-PLL. The control diagram of MFOF-PLL is shown in Figure 1b. To improve the stability of the inverter in the weak grid, the harmonic resonance controller  $G_c(s)$  with phase compensation link proposed in [27] is used as the current controller for the inverter.



(a)



(b)

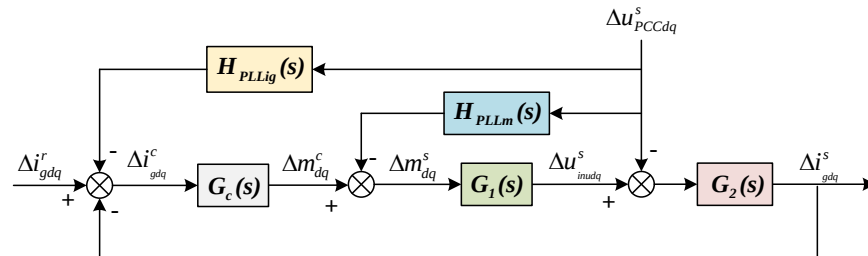
**Figure 1.** Structure and model of single-phase grid-connected inverter: (a) the control block diagram of the single-phase LCL-type grid-connected inverter; (b) the control diagram of MFOF-PLL.

Due to the dynamic characteristic of the PI controller in MFOF-PLL, there is an angular deviation  $\Delta\theta$  between the control  $d$ - $q$  frame and system  $d$ - $q$  frame in the small-signal perturbation state. Considering the effect of small-signal perturbation, the variables in dual  $d$ - $q$  frame can be expressed as [28]:

$$\begin{bmatrix} \Delta x_d^s \\ \Delta x_q^s \end{bmatrix} = \begin{bmatrix} \Delta x_d^c \\ \Delta x_q^c \end{bmatrix} + \begin{bmatrix} 0 & \frac{H_{PI}(s)x_q^s}{s+H_{PI}(s)U_{PCC0}} \\ 0 & -\frac{H_{PI}(s)x_d^s}{s+H_{PI}(s)U_{PCC0}} \end{bmatrix} \begin{bmatrix} \Delta u_{PCCd}^s \\ \Delta u_{PCCq}^s \end{bmatrix} \quad (1)$$

where, the variables in the system  $d$ - $q$  frame and control  $d$ - $q$  frame are defined as  $x^s$  and  $x^c$ , respectively. The symbol  $\Delta x$  denotes the small-signal variable in  $d$ - $q$  frame,  $H_{PI}(s)$  denotes the transfer function of PI controller in MFOF-PLL, and  $U_{PCC0}$  is the voltage amplitude at PCC point.

Through the above analysis, the small-signal control block diagram of the inverter considering the influence of MFOF-PLL can be obtained as follows (Figure 2).



**Figure 2.** The small-signal control block diagram of the inverter considering the influence of MFOF-PLL.

In Figure 2, the transfer functions for each link are shown as follows.

$$H_{PLLig}(s) = \begin{bmatrix} 0 & \frac{H_{PI}(s)i_{q0}^s}{s+H_{PI}(s)U_{PCC0}} \\ 0 & -\frac{H_{PI}(s)i_{d0}^s}{s+H_{PI}(s)U_{PCC0}} \end{bmatrix} \quad (2)$$

$$H_{PLLm}(s) = \begin{bmatrix} 0 & \frac{H_{PI}(s)m_{q0}^s}{s+H_{PI}(s)U_{PCC0}} \\ 0 & -\frac{H_{PI}(s)m_{d0}^s}{s+H_{PI}(s)U_{PCC0}} \end{bmatrix} \quad (3)$$

where,  $i_{d0}^s$  and  $i_{q0}^s$  denote the steady state value of the inverter output current in  $d$ - $q$  frame, respectively, and  $m_{q0}^s$  and  $m_{d0}^s$  denote the steady state value of modulation signal in the  $d$ - $q$  frame, respectively.  $G_c(s)$ ,  $G_{X1}(s)$  and  $G_{X2}(s)$  denote the second-order diagonal matrix with  $G_c(s)$ ,  $G_{X1}(s)$  and  $G_{X2}(s)$  as elements, respectively. The expression of  $G_{X1}(s)$  and  $G_{X2}(s)$  can be written as:

$$G_{X1}(s) = \frac{k_{PWM}G_c(s)}{s^2L_1C+sCH_{i1}+1} \quad (4)$$

$$G_{X2}(s) = \frac{s^2L_1C+sCH_{i1}+1}{s^3L_1L_2C+s^2L_2CH_{i1}+s(L_1+L_2)} \quad (5)$$

Analyzing Figure 2, the small-signal impedance of the inverter can be achieved as [29]:

$$Z_{inv\_dq} = [I + G_cG_{X1}G_{X2}][G_{X2}+H_{PLLig}G_cG_{X1}G_{X2}+H_{PLLm}G_{X1}G_{X2}]^{-1} \quad (6)$$

where,  $I$  denotes the unit matrix. Rectifying Equation (6), the  $d$ -axis and  $q$ -axis impedance of the inverter considering the influence of MFOF-PLL can be obtained as:

$$\begin{cases} Z_{dd\_PLL} = \frac{1+G_c(s)G_{X1}(s)G_{X2}(s)}{G_{X2}(s)} \\ Z_{qq\_PLL} = \frac{[1+G_c(s)G_{X1}(s)G_{X2}(s)]}{G_{X2}+H_{PLLig}G_c(s)G_{X1}G_{X2}+H_{PLLm}G_{X1}G_{X2}} \end{cases} \quad (7)$$

## 2.2. Stability Analysis of the Inverter Considering the Influence of MFOF-PLL

Analyzing Equation (7), there are two factors associated with the PLL in the denominator of the inverter  $q$ -axis output impedance expression  $Z_{qq\_PLL}$ , which are  $H_{PLLig}G_c(s)G_{X1}G_{X2}$  and  $H_{PLLm}G_{X1}G_{X2}$ , respectively. The main reason for this is that only the  $q$ -axis voltage at the PCC point is fed back to the reference current and modulation voltage through the loop  $H_{PLLig}(s)$  and  $H_{PLLm}(s)$  when considering the effect of MFOF-PLL on the control model of GCI according to Figure 2. As a result, two additional asymmetric negative feedback loops associated with MFOF-PLL are added to the small-signal control block diagram of

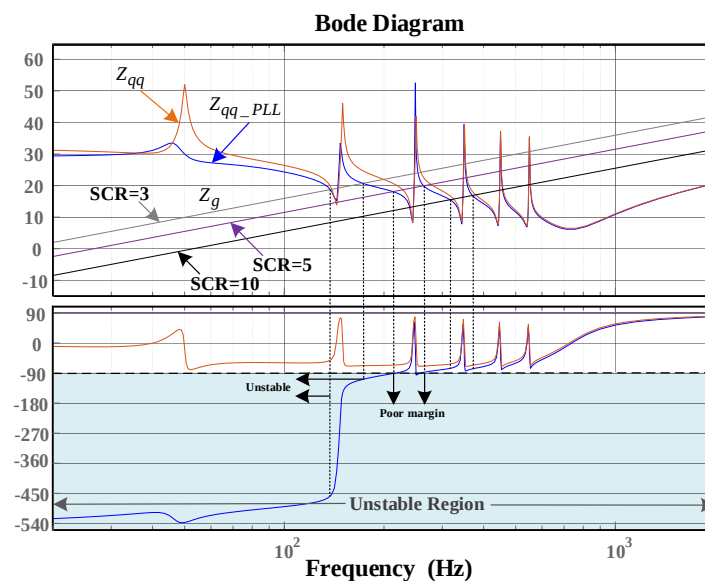
the inverter, which changes the original output characteristic of the inverter impedance and reduces the adaptability of GCI to the weak grid.

In order to further analyze the influence brought by MFOF-PLL on the inverter stability, the criterion for the stability of the inverter-grid interconnection system is given first. According to the impedance stability theory, the PM of the grid-connected system can be expressed as [30,31]:

$$PM = 180^\circ + \angle Z_{inv}(j2\pi f_c) - \angle Z_g(j2\pi f_c) \quad (8)$$

where,  $f_c$  denotes the intersection frequency of the magnitude–frequency characteristic curve between inverter impedance  $Z_{inv}$  and grid impedance  $Z_g$ .

To facilitate the analysis, a single-phase GCI with a rated power of 5 kW is used for further illustration. When the short-circuit ratio (SCR) is taken as 3, 5 and 10, respectively, the corresponding grid equivalent inductance  $L_g$  is 10 mH, 6 mH and 3 mH, respectively. The bode plot of the inverter impedance, with and without considering MFOF-PLL, can be obtained from Equation (7) as shown in Figure 3, where  $Z_{qq\_PLL}$  denotes the inverter impedance considering MFOF-PLL and  $Z_{qq}$  denotes the inverter impedance without considering MFOF-PLL. The parameter design method of the current controller proposed in [26] is referenced in this paper, which enables the stability margin to be always larger than  $20^\circ$  at the intersection frequency band between inverter and grid impedance, when the influence of MFOF-PLL is ignored.



**Figure 3.** Bode plot of the inverter impedance with and without considering MFOF-PLL.

It can be observed from Figure 3 that the low-frequency characteristic of the inverter impedance changes significantly when the influence of MFOF-PLL is considered. The main reason is that the MFOF-PLL brings an additional term in the denominator of the inverter impedance model, which results in a significant drop in the phase of the inverter output impedance within the bandwidth of MFOF-PLL and deteriorates the robustness of the system. When  $SCR = 5$ , the system is in a state of critical stability. Additionally, when the SCR is further decreased, the inverter cannot maintain stable operation. Therefore, the addition of MFOF-PLL in the weak grid significantly increases the risk of inverter oscillation instability.

The above analysis shows that the GCI can always maintain a large PM without considering the effect of MFOF-PLL when the grid impedance  $Z_g$  changes over a wide frequency band. Therefore, suppressing the function of two asymmetric negative feedback

loops  $H_{PLLig}(s)$  and  $H_{PLLm}(s)$  brought by MFOF-PLL will help maintain the stability of the inverter-grid interconnected system in the weak grid.

### 3. Inverter Impedance Reshaping Method Based on APF-PLL

#### 3.1. Improved MFOF-PLL

By combining Equation (7) with the above analysis, it is necessary to reduce the role of the additional term brought by MFOF-PLL on the inverter impedance. Specifically, the impedance reshaping method can be used to make the output characteristic of the additional term brought by MFOF-PLL similar to a negligible or real number term in the low-frequency band. Analyzing Equation (7), all the factors in  $Z_{qq\_PLL}$  except  $H_{PLLigq}$  and  $H_{PLLmq}$  are determined by the own control system of GCI, which cannot be adjusted arbitrarily. Therefore, to improve the stability margin, the adverse influence brought by MFOF-PLL can only be suppressed by adjusting the output characteristic of the equivalent transfer function related to PLL. To this end, an impedance reshaping method based on improved APF-PLL is proposed in this section.

In [24], the MFOF-PLL is obtained by adding one freedom degree to the APF-PLL as shown in Figure 1b. It is observed that the QSG of MFOF-PLL is a single-input, dual-output control structure. According to Figure 1b, the transfer function can be deduced as:

$$\begin{cases} D(s) = \frac{v_\alpha(s)}{v(s)} = 1 \\ Q(s) = \frac{v_\beta(s)}{v(s)} = \frac{\hat{\omega} - ks}{k\hat{\omega} + s} \end{cases} \quad (9)$$

where,  $\hat{\omega}$  is the output angular frequency of PLL. When the angular frequency of the grid voltage  $v(s)$  is equal to  $\hat{\omega}$ , the output signal of the MFOF-PLL is a pair of quadrature signals with equal amplitude and  $90^\circ$  phase difference. Figure 4 shows the dynamic response curve of  $Q(s)$  for different values of  $k$  in Equation (9), and it can be observed that the dynamic response of the MFOF-PLL is almost consistent when  $k$  changes.

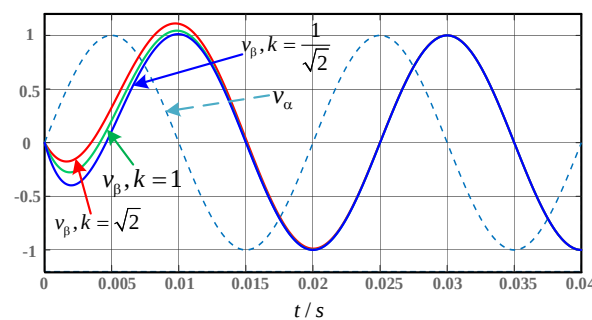


Figure 4. Dynamic response curve of  $Q(s)$ .

In the weak grid, the intersection frequency of the magnitude–frequency characteristic curve between the inverter impedance and grid impedance is usually in the range of several hundred Hz. In order to suppress the influence of the additional term brought by PLL on the stability of the inverter, the PLL needs to have the ability to adequately attenuate the signal in the range of at least 100–600 Hz. Therefore, a modified first-order filter PLL with complex coefficient filter (CCF-MFOF-PLL) is proposed in this paper as shown in Figure 5. The complex coefficient filter (CCF) shows amplitude attenuation characteristics in the intersection frequency band of magnitude–frequency characteristic curve between the inverter impedance and grid impedance. Therefore, the adverse influence brought by MFOF-PLL can be effectively suppressed by using the CCF as a prefilter link.

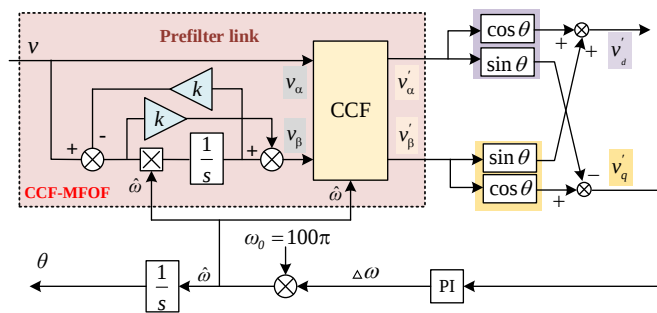


Figure 5. Control block diagram of CCF-MFOF-PLL.

Figure 6 shows the control diagram of CCF, whose transfer function can be expressed as:

$$G_{CCF} = \frac{\omega_c}{s - j\hat{\omega} + \omega_c} \quad (10)$$

where,  $\omega_c$  is the cut-off angle frequency of CCF.

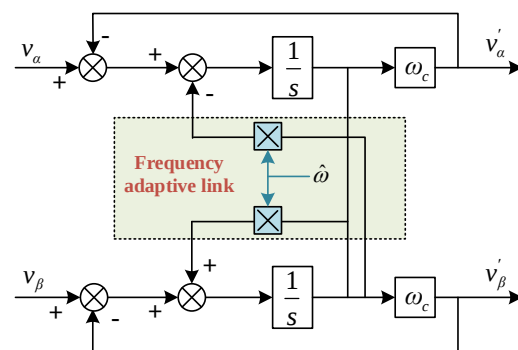
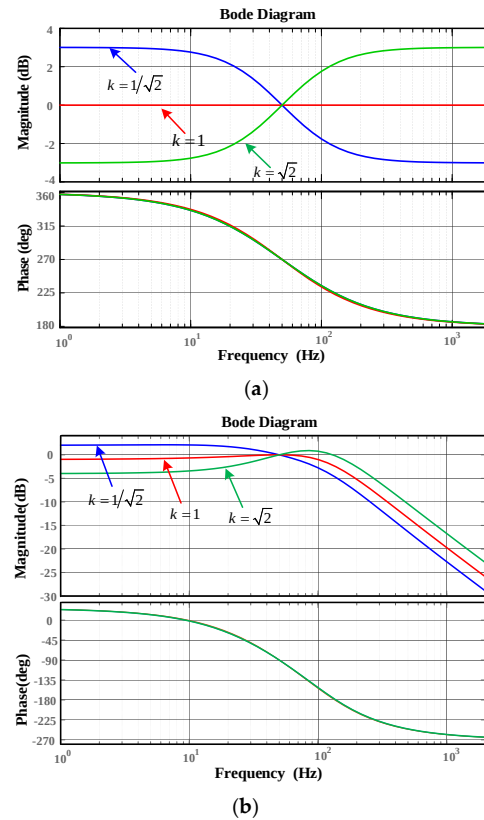


Figure 6. Control diagram of CCF.

Analyzing Figure 5, the transfer function of QSG of CCF-MFOF-PLL can be obtained as:

$$\begin{cases} D'(s) = \frac{v'_\alpha(s)}{v(s)} = \frac{\omega_c}{s - j\hat{\omega} + \omega_c} \\ Q'(s) = \frac{v'_\beta(s)}{v(s)} = \frac{\omega_c(\hat{\omega} - ks)}{(s - j\hat{\omega} + \omega_c)(k\hat{\omega} + s)} \end{cases} \quad (11)$$

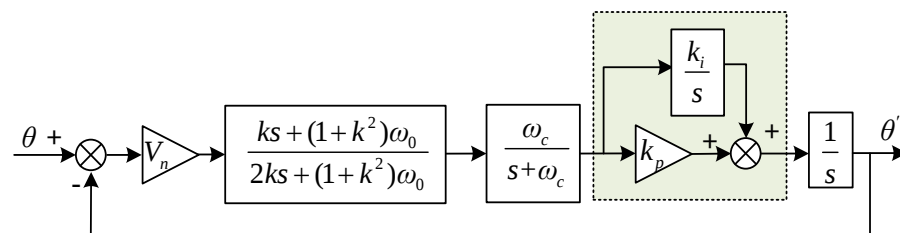
Selecting the same variation range of the parameter  $k$ , bode plot of MFOF-PLL before and after improving is shown in Figure 7. It can be observed from Figure 7a that before the improvement,  $Q(s)$  is a high-pass filter when the value of  $k$  is large. At this time, it has no rejection capability for the input harmonic component, thus further amplifying the adverse influence brought by PLL on the stability of the inverter. Even if  $k$  is small, the amplitude of MFOF-PLL is still larger than  $-5$  dB at the harmonic frequency band, which indicates that the method of adjusting the parameter  $k$  still does not effectively suppress the flow of harmonic into MFOF-PLL. Figure 7b shows the bode plot of  $Q'(s)$ . It can be observed that the magnitude–frequency characteristic curve of  $Q'(s)$  at high frequency band is a straight line with a slope larger than  $-20$  dB/dec. In comparison with Figure 7a, the proposed PLL is more adaptable under the harmonic grid. At the same time, the proposed CCF-MFOF-PLL has stronger amplitude attenuation ability in crossover band between the inverter and grid impedance, which can weaken the role of two additional asymmetric negative feedback loops brought by MFOF-PLL and thus suppress the adverse effect of MFOF-PLL on inverter stability.



**Figure 7.** Bode plot of MFOF before and after improving: (a) bode plot of  $Q(s)$ ; (b) bode plot of  $Q'(s)$ .

### 3.2. Parameter Optimization Design Method for CCF-MFOF-PLL

It is worth noting that the parameter of the PI controller in PLL not only directly affects its phase-lock performance, but also determines the stability of the inverter-grid interconnection system. For this purpose, the parameter optimization design method of proposed CCF-MFOF-PLL is given in this section. The small-signal model of CCF-MFOF-PLL can be deduced from Figure 5, which is shown in Figure 8.



**Figure 8.** Small-signal model of CCF-MFOF-PLL.

Analyzing Figure 8, the open-loop transfer function of CCF-MFOF-PLL can be obtained as:

$$\begin{aligned} G_{ol}(s) &= \frac{\theta'(s)}{\Delta\theta(s)} = V_n \frac{ks + (k^2 + 1)\omega_0}{2ks + (k^2 + 1)\omega_0} \frac{\omega_c}{s + \omega_c} \left( k_p + \frac{k_i}{s} \right) \frac{1}{s} \\ &= V_n \frac{0.5(s + \frac{k^2 + 1}{k}\omega_0)}{s + \frac{k^2 + 1}{2k}\omega_0} \frac{\omega_c}{s + \omega_c} \frac{k_p s + k_i}{s^2} \end{aligned} \quad (12)$$

To simplify the subsequent analysis, the expression of  $\omega_1$  in this paper is defined as:

$$\omega_1 = \frac{k^2 + 1}{2k} \omega_0 \quad (13)$$

Then, Equation (12) can be rewritten as:

$$G_{ol}(s) = \frac{\theta(s)}{\Delta\theta(s)} = V_n \frac{0.5(s + 2\omega_1)}{s + \omega_1} \frac{\omega_c}{s + \omega_c} \frac{k_p s + k_i}{s^2} \quad (14)$$

It can be found from references [24,26] that for MFOF-PLL, the value range of  $k$  is usually chosen to be  $\left[\frac{1}{\sqrt{2}}, \sqrt{2}\right]$ . At this time, the value range of  $\omega_1$  is [314.16, 333.22] according to Equation (13). In order to lower the order of  $G_{ol}(s)$ ,  $\omega_c$  is chosen to be equal to  $2\omega_1$ . Then, zero-pole pair elimination can be achieved in (14) and the value range of  $\omega_c$  can be obtained, which is [628.32, 666.44]. Then Equation (14) can be simplified as:

$$G_{ol}(s) = \frac{V_n \omega_1 (k_p s + k_i)}{(s + \omega_1) s^2} \quad (15)$$

Figure 9 shows the bode plot of  $Q'(s)$  with the change in  $\omega_c$ , where  $\omega_c$  increases from 628.32 to 666.44. It can be observed that the bode plot of  $Q'(s)$  changes less when  $\omega_c$  is varied within the chosen range. At this time, CCF-MFOF-PLL can maintain strong harmonic rejection capability, which proves the reasonableness of the above simplification.

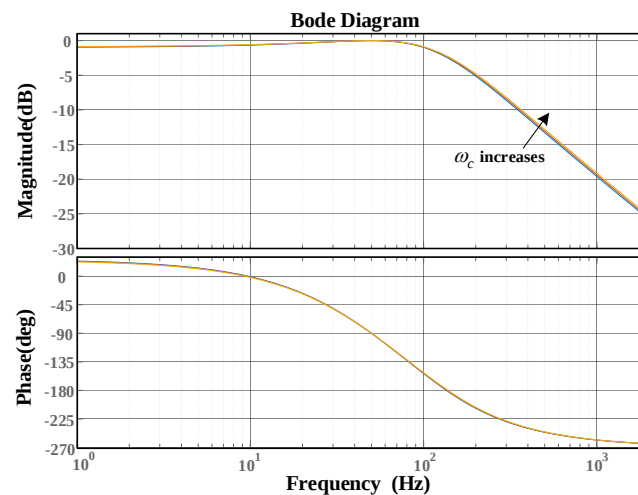


Figure 9. Bode plot of  $Q'(s)$  with the change in  $\omega_c$ .

$G_{ol}(s)$  in Equation (15) is a typical second-order system. According to the symmetric optimum method [32], the cut-off angle frequency of the system can be obtained as:

$$\omega'_c = \sqrt{\frac{\omega_1 k_i}{k_p}} \quad (16)$$

Combining Equations (15) and (16), the following Equation (17) can be obtained from the definition of cut-off angle frequency.

$$|G_{ol}(j\omega'_c)| = \frac{V_n \omega_1 \sqrt{k_i^2 + \omega_c'^2 k_p^2}}{\omega_c'^2 \sqrt{\omega_c'^2 + \omega_1^2}} = 1 \quad (17)$$

Solving Equation (17),  $\omega'_c$  can be also written as:

$$\omega'_c = V_n k_p \quad (18)$$

Combining Equation (16)–(18), the proportional and integral coefficient of CCF-MFOF-PLL can be obtained as:

$$\begin{cases} k_p = \frac{\omega'_c}{V_n} = \frac{1}{V_n} \sqrt{\frac{\omega_1 k_i}{k_p}} \\ k_i = \frac{V_n^2 k_p^3}{\omega_1} = \frac{\omega_c'^2 k_p}{\omega_1} \end{cases} \quad (19)$$

It is not difficult to observe from Equation (19) that  $k_i$  is proportional to  $k_p^2$ . To facilitate the analysis, the constant  $m$  is added in this paper. Then the following parameter relationship can be obtained as:

$$k_i = m k_p^2 \quad (20)$$

In this paper, two constraints are considered in the process of parameter design. Firstly, the phase margin is considered, which can be obtained from Equation (15) as:

$$PM_{PLL} = \arctan\left(\frac{\omega'_c k_p}{k_i}\right) - \arctan\left(\frac{\omega'_c}{\omega_1}\right) = \arctan\left(\frac{V_n^2 - m^2}{2V_n m}\right) \quad (21)$$

According to Equation (21), the relationship curve between the phase margin of CCF-MFOF-PLL and  $m$  is shown in Figure 10. Considering the phase margin of the system from  $30^\circ$  to  $50^\circ$ , the range of  $m$  can be obtained as [113.195, 179.556].

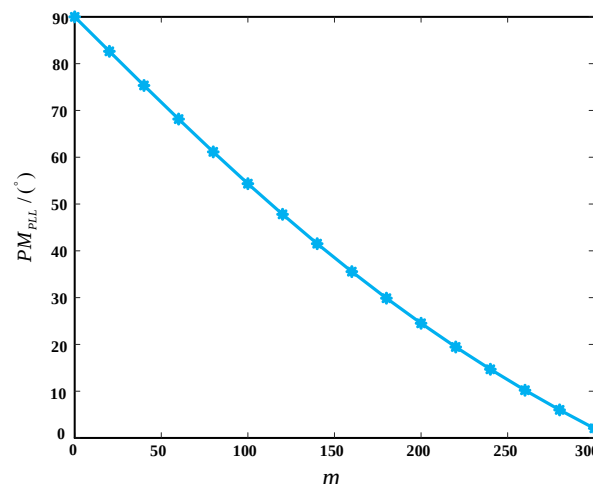


Figure 10. Relationship curve between phase margin of CCF-MFOF-PLL and  $m$ .

Subsequently, considering that the  $q$ -axis voltage flowing into PLL contains mainly the 6th ( $k = 1, 2, \dots$ ) harmonic [33], the second parameter constraint is obtained in this paper, which is the perturbation rejection capability of the CCF-MFOF-PLL for the 6th harmonic voltage. From Equation (15), the amplitude of the open-loop magnitude–frequency characteristic curve of PLL at the 6th harmonic frequency can be expressed as:

$$T = 20\lg|G_{ol}(j\omega_a)| = 20\lg\left|\frac{V_n^3 k_p^2 \sqrt{m^2 k_p^2 + \omega_a^2}}{\omega_a^2 \sqrt{m\omega_a^2 + k_p^2 V_n^4}}\right| \quad (22)$$

where,  $\omega_a$  is the 6th harmonic angle frequency. Considering the value range of  $T$  as  $[-20, -40]$  dB, the relationship curve between  $k_p$  and  $m$  can be obtained as shown in Figure 11.

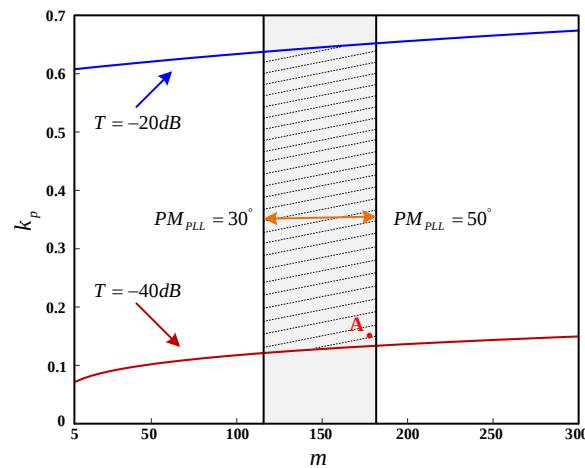


Figure 11. Relationship curve between  $k_p$  and  $m$ .

According to the above two constraints, the value range of  $k_p$  is shown in the shade of Figure 11. It is worth noting that the effect of the PLL parameters on the output characteristic of GCI system is also an essential constraint. Therefore, it is necessary to further optimize the PLL parameter design method based on the above analysis.

In order to analyze the effect of  $m$  and  $k_p$  on the inverter, the bode plot of  $Z'_{qq\_PLL}(s)$  with the change in  $m$  and  $k_p$  is shown as Figure 12. It can be observed from Figure 12a that when  $m$  changes and  $k_p$  is constant, the stability domain of the inverter-grid interconnection system is further expanded in low-frequency band with increasing  $m$ . Similarly, it can be observed from Figure 12b that when  $k_p$  changes and  $m$  is constant, the phase of  $Z'_{qq\_PLL}(s)$  in low-frequency band increases with decreasing  $k_p$  and the stability domain of the inverter-grid interconnection system also is expanded. Moreover, comparing Figure 12a,b, the effect of  $k_p$  on the inverter output characteristic in the weak grid is greater than that of  $m$ . In summary, the grid-connected system can maintain a wider stability range with the larger  $m$  and smaller  $k_p$  in the constraint region of Figure 11. Therefore, combining with the above analysis, point A in Figure 11 is chosen. According to Equation (20),  $k_i = 3.94$  can be obtained when  $k_p = 0.15$  and  $m = 175$  are chosen.

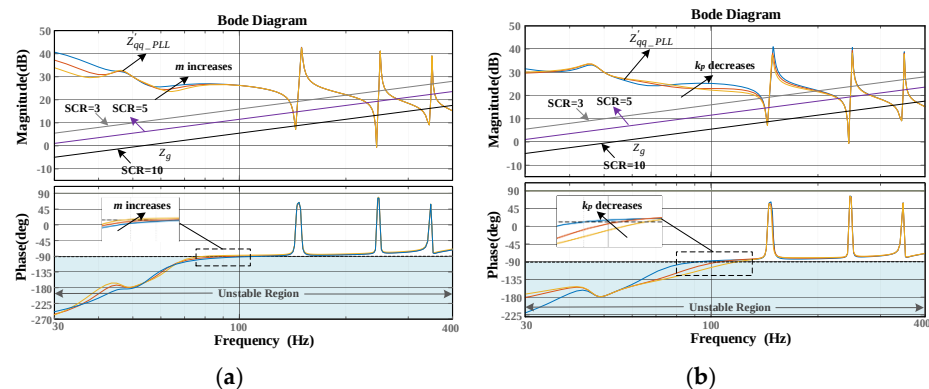


Figure 12. Bode plot of  $Z'_{qq\_PLL}(s)$  with the change in  $m$  and  $k_p$ : (a)  $m$  changes,  $k_p$  is constant; (b)  $k_p$  changes,  $m$  is constant.

### 3.3. Stability Analysis of the Inverter after Impedance Reshaping

The inverter impedance can be reshaped by using proposed CCF-MFOF-PLL. At this time, the equivalent transfer function of PLL is modified by multiplying  $G_{ccf}(s)$  before the original  $H_{PLLig}(s)$  and  $H_{PLLm}(s)$ . In order to analyze the improvement effect of CCF-MFOF-PLL on the inverter stability, the transfer function from  $u_{PCCdq}^s$  to  $i_{gdq}^r$  is discussed as an example. Figure 13 shows the bode plot of the transfer function from  $u_{PCCdq}^s$  to  $i_{gdq}^r$  before and after the improvement. It can be observed that due to the addition of

CCF, the MFOF-PLL has the stronger amplitude attenuation ability in the frequency band above 100 Hz. At this time, the additional term brought by MFOF-PLL in the inverter impedance denominator can be considered as a negligible factor, which proves that the proposed impedance reshaping method based CCF-MFOF-PLL can suppress the adverse influence brought by MFOF-PLL on inverter stability.

The bode plot of the inverter and grid impedance after impedance reshaping is shown in Figure 14. It can be observed that the instability risk of the inverter is significantly reduced after using CCF-MFOF-PLL in the weak grid. The proposed CCF-MFOF-PLL raises the phase of the inverter impedance in low-frequency band, thus expanding the stability domain of the inverter. It can be observed from Figure 14 that the stable frequency band of the inverter-grid interconnection system is enlarged from  $f_2 > 261$  Hz to  $f_1 > 91$  Hz. In addition, the inverter has a stability margin of  $12^\circ$  even when  $SCR = 3$  after impedance reshaping. In other words, even if the SCR is dropped to 3 or lower, the inverter can still maintain the strong robustness.

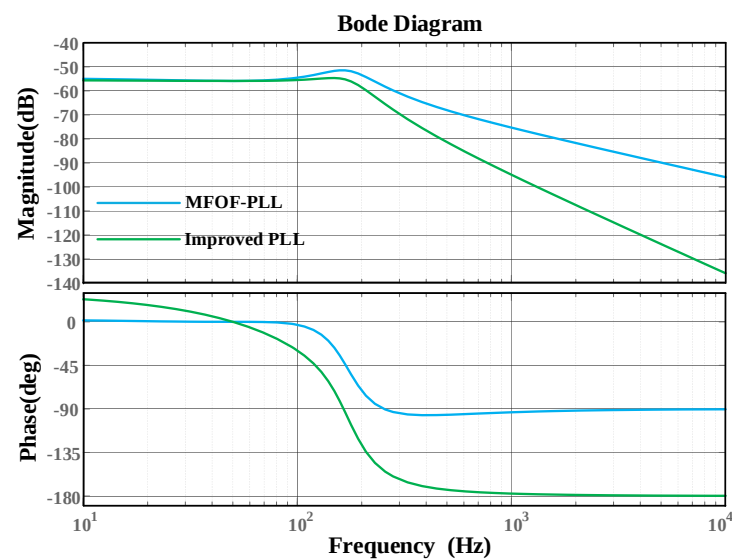


Figure 13. Bode plot of the transfer function from  $u_{PCCdq}^s$  to  $i_{gdq}^r$ .

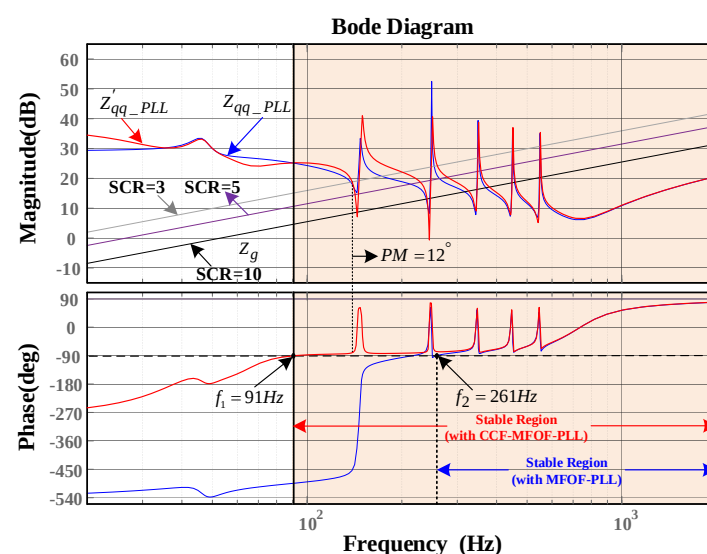


Figure 14. Bode plot of inverter and grid impedance after impedance reshaping.

It is not difficult to understand the effectiveness of the proposed impedance reshaping method from the above analysis. On the one hand, the role of two additional asymmetric

negative feedback loops brought by MFOF-PLL can be suppressed, and the additional term concerning MFOF-PLL in the inverter impedance can be ignored after using the proposed CCF-MFOF-PLL, which in turn reshapes the inverter output characteristic in low-frequency band and raises the inverter impedance phase. On the other hand, since the CCF has a strong capability of the fundamental component extraction, the method of placing it in MFOF-PLL as a pre-filtering link can significantly improve the extraction capability of it for fundamental frequency signal in grid impedance disturbance state, thus improving the adaptability of the inverter to the grid equivalent inductance in the weak grid.

#### 4. Experimental Verification

To further verify the effectiveness of the proposed impedance reshaping method based CCF-MFOF-PLL, the experimental platform of the GCI is built based on a real time digital simulation system (RTDS) as shown in Figure 15. The control link of the inverter is implemented on the TMS320F28335/Spartan6XC6SLX16 DSP + FPGA. The relevant parameters of the of the CCF-MFOF-PLL and GCI are shown in Table 1.

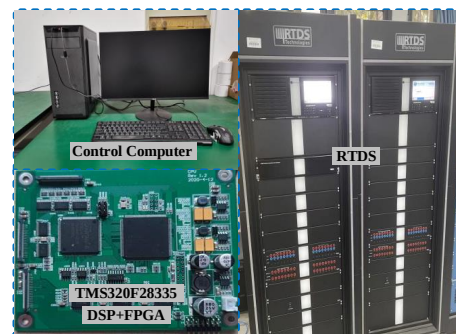
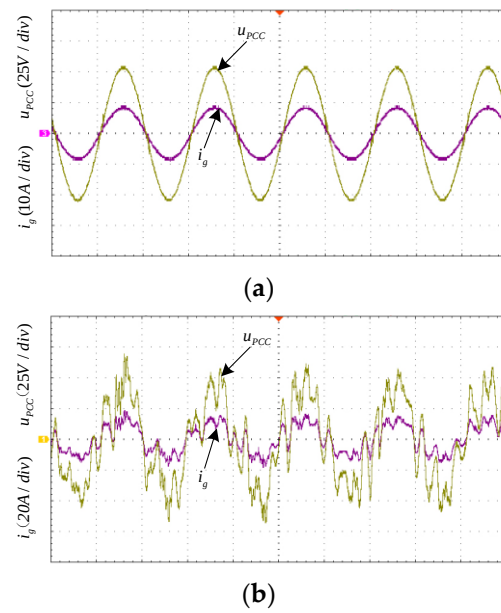


Figure 15. Experimental platform of the GCI.

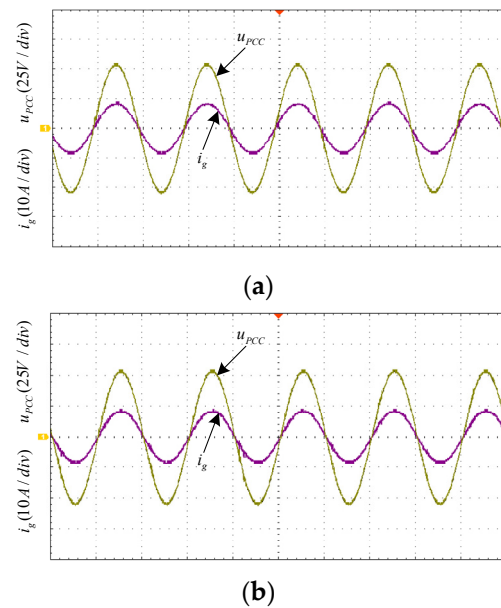
Table 1. Relevant parameters of the CCF-MFOF-PLL and GCI.

| Symbol   | Parameter                                   | Value      |
|----------|---------------------------------------------|------------|
| $P_o$    | Rated power                                 | 5 kW       |
| $f_1$    | Fundamental frequency                       | 50 Hz      |
| $C$      | Filter capacitors                           | 10 $\mu$ F |
| $k_p$    | Proportion coefficient of CCF-MFOF-PLL      | 0.15       |
| $k_i$    | Integral coefficient of CCF-MFOF-PLL        | 3.94       |
| $k_{cp}$ | Proportion coefficient of current regulator | 10         |
| $k_{cr}$ | Resonance coefficient of current regulator  | 600        |
| $f_{sw}$ | Switching frequency                         | 15 kHz     |
| $f_s$    | Sampling frequency                          | 15 kHz     |
| $H_{i1}$ | Active damping factor                       | 10         |
| $L_1$    | Inverter-side inductance                    | 1 mH       |
| $L_2$    | Grid-side inductance                        | 1 mH       |

Firstly, to verify the adaptability of the proposed control method in the weak grid, the experimental waveform of the current and voltage at PCC for different SCR (before and after impedance reshaping) are shown in Figures 16 and 17, respectively. When MFOF-PLL is adopted in a strong grid, the inverter can operate stably. However, when the grid equivalent inductance  $L_g$  increases to 10 mH, the THD of the current and voltage at PCC is high and the inverter cannot operate stably. On the contrary, when the proposed impedance reshaping method based on CCF-MFOF-PLL is adopted, the inverter can operate stably even when SCR is decreased to 3 and the THD of the inverter output current is 2.32%, which meets the grid-connected power quality standard.

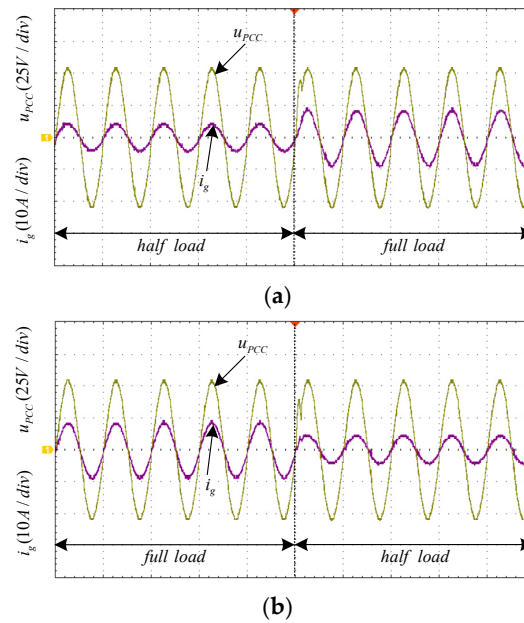


**Figure 16.** Experimental waveform of current and voltage at PCC for different SCR before impedance reshaping: (a)  $L_g = 0$  (SCR > 10); (b)  $L_g = 10$  mH (SCR = 3).



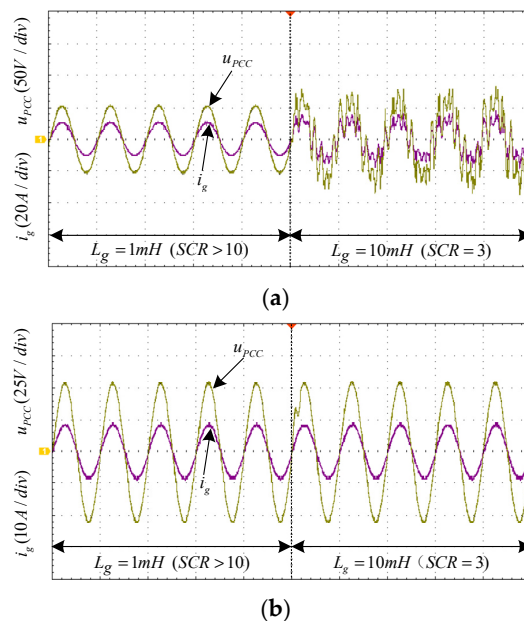
**Figure 17.** Experimental waveform of current and voltage at PCC for different SCR after impedance reshaping: (a)  $L_g = 0$  (SCR > 10); (b)  $L_g = 10$  mH (SCR = 3).

Subsequently, to verify the dynamic performance of the proposed control method, the experimental waveform of the current and voltage at PCC when the reference current changes abruptly is shown in Figure 18. It can be observed that after impedance reshaping, the inverter can operate stably when the reference current is set either from half load to full load, or from full load to half load. At the same time, the inverter can enter the stable state within 0.005 s at the instant of reference current jump, and the dynamic response of the system is fast, thus verifying that the proposed method can effectively solve the problem of system robustness degradation and oscillation instability caused by MFOF-PLL in the weak grid.



**Figure 18.** Experimental waveform of current and voltage at PCC when the reference current changes abruptly: (a) from half load to full load; (b) from full load to half load.

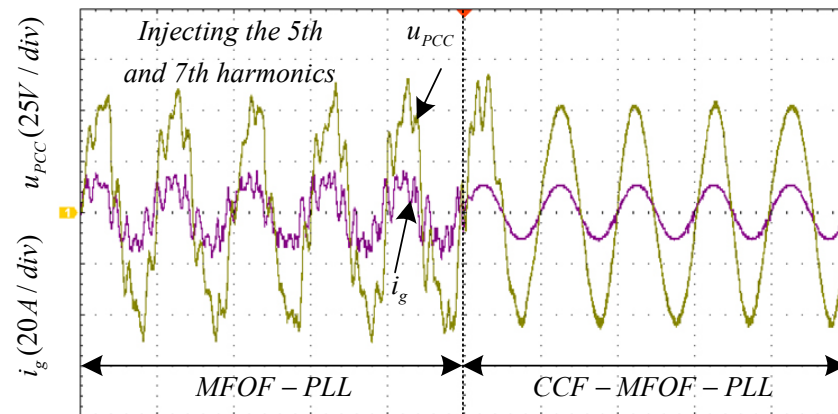
In addition, to verify the dynamic characteristics of the proposed impedance reshaping method when the grid parameter changes, the experimental waveform of current and voltage at PCC when SCR changes is shown in Figure 19. It can be observed that the inverter gradually destabilizes when the grid changes from the strong grid to the weak grid before impedance reshaping. When the proposed CCF-MFOF-PLL is used, the inverter can quickly resume stable operation and maintain a fast dynamic response when SCR changes, which verifies that the proposed impedance reshaping method broadens the adaptation range of the inverter to the grid impedance.



**Figure 19.** Experimental waveform of current and voltage at PCC when SCR changes: (a) with MFOF-PLL; (b) with CCF-MFOF-PLL.

Finally, to verify the effectiveness of the proposed control method under the harmonic grid, Figure 20 shows the experimental waveform of current and voltage at PCC after

injecting harmonic voltage, in which the 5th and 7th harmonic content of both are 0.1 pu, and  $L_g$  is set to 10 mH. It can be observed that when switching the PLL from MFOF-PLL to CCF-MFOF-PLL, the inverter can resume stable operation and the THD of current at PCC is 2.62% at this time, which meets the grid-connected standard. The above analysis indicates that the proposed impedance reshaping method is still highly adaptable even under the harmonic grid.



**Figure 20.** Experimental waveform of current and voltage at PCC after injecting harmonic voltage.

## 5. Conclusions

By establishing the small-signal impedance model for GCI, the adverse effect of MFOF-PLL on the stability for the inverter-grid interconnection system in the weak grid is revealed. Then the existing three-phase SRF-PLL structure based on pre-filter is extended to the single-phase APF-PLL application area in this paper, thus obtaining the CCF-MFOF-PLL structure. It can reshape the impedance of the inverter with APF-PLL and improve the stability margin of the grid-connected system, even when the grid equivalent inductance is large according to the impedance stability criterion. Furthermore, a parameter optimization design method for CCF-MFOF-PLL considering the performance of PLL and the stability domain for the inverter is given, which ensures the performance of the PLL under the distorted grid and the stability of inverter-grid interconnection system. The experimental results verify the correctness and effectiveness of the proposed method. It is worth noting that how to obtain the parameter of impedance reshaping method based on APF-PLL by building expressions or quantifying indexes will be the further work to be explored in the future.

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## Abbreviations

The following abbreviations are used in this paper:

|              |                                                                               |
|--------------|-------------------------------------------------------------------------------|
| PLL          | Phase-locked loop                                                             |
| SRF-PLL      | Synchronous reference frame phase-locked loop                                 |
| APF-PLL      | All-pass-filter phase-locked loop                                             |
| CCF          | Complex coefficient filter                                                    |
| MFOF         | Modified first-order filter                                                   |
| MFOF-PLL     | Modified first-order filter phase-locked loop                                 |
| CCF-MFOF-PLL | Modified first-order filter phase-locked loop with complex coefficient filter |
| GCI          | Grid-connected inverter                                                       |
| QSG          | Quadrature signal generator                                                   |
| PM           | Phase margin                                                                  |
| PCC          | Point of common coupling                                                      |
| THD          | Total harmonic distortion                                                     |
| SCR          | Short-circuit ratio                                                           |
| RTDS         | Real time digital simulation system                                           |

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