



Article Energy-Water-Carbon Nexus Optimization for the Path of Achieving Carbon Emission Peak in China Considering Multiple Uncertainties: A Case Study in Inner Mongolia

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Abstract: The Chinese government has launched a guideline for carbon emissions up to the peak (CEUP) in the 2030 target. The electric power sector has to make its own contributions to the national CO^2 emissions mitigation target. In this study, a patron–client interactive optimized (PCIO) model is proposed to investigate the regional energy–water–carbon nexus optimization under the policy background of the CEUP target. Inner Mongolia, the largest energy base in China, which is also facing the prominent contradiction including the energy production and serious environmental problems, is chosen as a case study. Multiple uncertainties, including the fuel price uncertainty and output of the wind and solar power, are considered to make the optimization process more realistic. Results show that coal-fired power will gradually be substituted by the gas, wind, and solar power in Inner Mongolia to reach the CEUP target. The CO₂ capture and storage technology and air-cooling systems will play important roles, especially under the strict water policy scenario. However, the achievement of the CEUP and water-saving target will be at the expense of high system costs. The PCIO model makes it possible for the decision-maker to make flexible strategies to balance the CEUP target and saving system costs. The results have demonstrated the validity of the PCIO model in addressing the hierarchical programming problems.

Keywords: energy-water-carbon nexus; bi-level programming; uncertainty; carbon emissions peak

1. Introduction

China has announced to the world that in 2030, carbon emissions will reach their peak so that it can make effective contributions to the global CO_2 emissions target. This target is China's national pledge to reduce carbon emissions, which will further help China switch the extensive economy mode to the low-carbon economy mode. To achieve this target, all sectors should assume responsibility and undertake the corresponding systematic reduction in CO_2 emissions. The electric power industry is the major CO_2 emissions contributor in China, which is responsible for 44% of the total CO_2 emissions in 2015 [1]. This amount is 1.5 times higher than that in the United States (US) and 2.5 times higher than that in European Union (EU), while China's electricity consumption per capita only accounts for a quarter of that in the US and one-half of that in EU [2]. The electric power industry in China needs to make great efforts to optimize its structure further and improve the energy efficiency so that the CO_2 emissions up to the peak (CEUP) target could be achieved as scheduled.

Thermal power, especially coal-fired power, is still in the dominant position in China's electric power system (EPS). In 2017, the installed generating capacity rose by 7.6% to



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). over 1770 GW; among them, over 60% was thermal power [3]. Although the Chinese government has promulgated a few enforced policies to remove inefficient generation units, some studies have pointed out that there would be little CO₂ reduction and large reduction fees during 2015–2020, and a lock-in effect during 2015–2030 [4]. To guarantee the CEUP target will be achieved by 2030, there are some key strategies: First, thermal power should further promote energy efficiency and shut down the outdated production facilities [5]. Second, optimize the power supply structure further, and expand the application scope of the renewable energy, such as wind and solar power, instead of the new installation of thermal power [6]. Third, it is suggested that the CO_2 capture and storage technology (CCS) have vigorous development to assist the CO_2 emissions mitigation target, for this technology can safely capture and store about 90% of the CO_2 , which is a key measure for the sustainable use of large-scale fossil fuels [7]. However, the high cost of CCS technology poses the main barrier to its widespread application as the CO₂ control strategy. So not only for the power structure optimization but also for the application of CCS to achieve the CO_2 mitigation target in 2030, scientific planning is important and essential. In the meanwhile, as the thermal power plants in China are mainly distributed in arid and semiarid regions, the water withdrawals of the thermal power plants have become a significant issue. The regional contradiction between energy supply and water resource consumption is prominent, especially in recent decades [8]. In this way, investigating a scientific framework, including the regional electric power structure adjustment, CO₂ emission mitigation, and water withdrawal saving optimization, which is called the energy-water-carbon nexus optimization under the 2030 carbon emission up to the peak target, is rather important.

The nexus studies have gradually become the research hotspot in recent years. Many studies focused on the energy-carbon nexus or the energy-water nexus. Gao et al. [9] first uncovered the CO₂ emission trajectory of China's pharmaceutical industry and then identified the key driving forces of its emission growth. Anwar et al. [10] investigated the tourism and natural resources in energy-growth-CO₂ emission nexus for 51 "Belt and Road Initiative (BRI) countries" over 1990–2016. Liu et al. [11] explored the energy saving/water saving of different policies in Beijing in the future and its nexus effect based on 26 scenarios. Zhai et al. [12] investigated the three main cereals (i.e., wheat, maize, and rice), their potential environmental footprint and spatial variation, and key factors in 2017 in China. However, studies on the energy-water-carbon nexus, especially the nexus studies in the EPS, are still limited. Most existing energy-water-carbon nexus studies focused on the food supply security [13], or the ecological network system [14]. Although Liu et al. [15] and Wang et al. [16] studied on the regional EPS planning concerning the energy–water–emission nexus, as their planning target just served the regional electric power structure adjustment strategy, they could not give effective guidelines and policy suggestions for the CEUP target of China. In this way, investigating available ways for the regional energy-water-carbon nexus optimization under the policy background of the CEUP target is important and urgent, for they can provide scientific guidance for the path of realizing the CEUP target in China.

Most studies carried out on the regional nexus studies were based on the optimized methods. Piao et al. [17] built a single-objective stochastic simulation–optimization model to deal with the energy–emissions problems in Shanghai's power sector, including the energy supply uncertainty. Majid et al. [18] proposed a multi-objective mixed-integer linear programming model to investigate the energy–water nexus in building a system. However, the single objective cannot effectively deal with problems with conflicting objectives in the nexus studies. Although the multi-objective method has more than one objective to represent different targets simultaneously, each objective is on the same level. It also has difficulties in dealing with problems with the hierarchical structure. Different from the multi-objective programming method, bi-level programming theory has the advantages of being able to tackle interactive decision-making units within a predominantly hierarchical structure [19], which can effectively deal with dual conflicting objectives in the regional energy–water–carbon nexus study. This paper proposes a patron–client interactive optimized (PCIO) model based on the bi-level programming to explore the re-

gional energy–water–carbon nexus optimization under the background of the CEUP target, and investigates the best tradeoffs in the regional EPS in China. Multiple uncertainties, including the fuel price and the output of the wind and solar, are taken into consideration in this study. Inner Mongolia, the largest energy base in China, is chosen as a case study to verify the availability and efficiency of the proposed PCIO model.

2. Methodology

2.1. The Algorithm of PCIO Model

Bi-level programming (BLP) is a special kind of statical Stackelberg game with two decision-makers at two different levels [8], a case of two-person, non-zero-sum, and full information game. It has the superiority in tackling problems with a hierarchical framework and helps to get a compromised solution between two levels [20]. The patron–client interactive optimized (PCIO) model was formulated based on the framework of the BLP model. The patron (upper) level of the PCIO model is to maximize the renewable power penetration ratio in Inner Mongolia; The client (lower) level of the model is to minimize the system cost. A fuzzy satisfaction degree method [21] is introduced to solve the PCIO model. As the values of the decision variables and function of the patron (client) level will be the in-put parameters of the client (patron) level to build the triangle membership function, both levels will exert influences on the other level. Coupled with the carbon emission and water withdrawals restraints in two level, the proposed PCIO model is applied to optimize the energy-water-emissions nexus in Inner Mongolia. The flow chart of algorithm is shown in Figure 1.

The algorithm of the fuzzy satisfaction degree method for the PCIO model is shown below: The upper-level objective and its constraints:

$$Min f_1(x_1, x_2) = C_{11}x_1 + C_{12}x_2 \tag{1}$$

$$s.t(x_1, x_2) \in F_1 = \{(x_1, x_2) | A_1 x_1 + A_2 x_2 \le b, x_1 \text{ and } x_2 \ge 0\}$$
(2)

The lower-level objective and its constraints:

$$Min f_2(x_1, x_2) = C_{T_{21}} x_1 + C_{T_{22}} x_2$$
(3)

$$s.t (x_1, x_2) \in F_2 = \{ (x_1, x_2) | A_1 x_1 + A_2 x_2 \le b, x_1 \text{ and } x_2 \ge 0 \}$$

$$(4)$$

$$\mu_{x_1} = \begin{cases} \left[x_1 - (x_1^U - P_1) \right] / P_1, & if \ x_1^U - P_1 \le x_1 \le x_1^U \\ \left[(x_1^U + P_1) - x_1 \right] / P_1, & if \ x_1^U \le x_1 \le x_1^U + P_1 \\ 0, & otherwise \end{cases}$$
(5)

$$\mu_{f_1}(f_1(x)) = \begin{cases} 1, & \text{if } f_1(x) > f_1^U \\ [f_1(x) - f_1'] / [f_1^U - f_1'], & \text{if } f_1' \le f_1(x) \le f_1^U \\ 0, & \text{if } f_1(x) < f_1' \end{cases}$$
(6)

$$\mu_{f_2}(f_2(x)) = \begin{cases} 1, & \text{if } f_2(x) > f_2^L \\ [f_2(x) - f_2'] / [f_1^L - f_2'] & \text{if } f_2' \le f_2(x) \le f_2^L \\ 0 & \text{if } f_2(x) < f_2' \end{cases}$$
(7)

 $Max \lambda$

$$s.t A_1 x_1 + A_2 x_2 \leq b$$

$$\left[\left(x_1^U + P_1\right) - x_1\right] / P_1 \geq \lambda$$

$$\left[x_1 - \left(x_1^U - P_1\right)\right] / P_1 \geq \lambda$$

$$\mu_{f_1}(f_1(x)) = \left[f_1(x) - f_1'\right] / \left[f_1^U - f_1'\right] \geq \lambda$$
(8)

$$\mu_{f_2}(f_2(x)) = \left[f_2(x) - f_2' \right] / \left[f_2^L - f_2' \right] \ge \lambda$$

$$x_1 \text{ and } x_2 \ge 0, \ \lambda \in [0, 1]$$

where (x_1^U, x_2^U, f_1^U) is the optimized solution of Equation (1). (x_1^L, x_2^L, f_1^L) is the optimized solution of Equation (3). P_1 is the maximum tolerances around x_1^U . f'_1/f'_2 is the result when the optimized decision variables of Equation (3)/Equation (1) is put into Equation (1)/Equation (3). $\mu_{x_1}, \mu_{f_1}(f_1(x))$ and $\mu_{f_2}(f_2(x))$ are three membership functions, which is built to restrain the minimum acceptable degrees of satisfaction λ . If the upper-level decision maker is satisfied with the solution in [22], a satisfactory solution is reached.



Figure 1. The flow chart of the PCIO model.

2.2. The PCIO Model

The functions, decision variables and parameters are presented in Table 1. The detailed information of each variable and parameter are shown close to the PCIO model.

Functions	Decision Variables	cision Variables Parameters	
PRS _{jt}	Pele _{it}	PRS _{jt}	YC _{jt}
$f_{\cos t}$	η_{jt}	ERC_{jt}	ECG_{jt}
	$A ES_{it}$	L_{jt}	P_{it}
	γ_{jt}	CPW_{pt}	WF_{jptk}
	$\mu_e(\omega_1)$	μ_{jt}	OCT _{it}
	R_E	ECG_{jt}	PCI_{th}
	ω_2	YCC _{it}	CET_t
	AWS_{pt}	GOCÉ _{it}	FS _{it}
	δ_{itk}	BGC_{it}	CI_t
	\dot{P}_{th}	TEL_{it}	TWL_{pt}
	SOE_{th}	FTC_{it}	DEM_{th}
	TR_{jt}	SD_{jt}	<i>CEm</i> ₂₀₀₅
		WCE _{pit}	TSW_{pt}
		EPP_{jt}^{r}	Ţ

Table 1. The decision variables and parameters of the PCIO model.

2.2.1. Patron Objective

The patron-level objective emphasizes the maximization of renewable power penetration during the planning periods in Inner Mongolia, which is stated as:

$$Max f_{rep} = \sum_{j=3}^{4} Pele_{jt} / \sum_{j=1}^{4} Pele_{jt}$$
⁽⁹⁾

$$Pele_{jt} = (PRS_{jt} + \eta_{jt} \times YC_{jt}) \times L_{jt}$$
(10)

where f_{rep} denotes renewable power penetration in the electric power system. $Pele_{jt}$ denotes the amount of electricity generated by power conversion technology j. j = 1, 2, 3, and 4 represent coal-fired power, gas-fired power, wind power, and photovoltaic power, respectively. PRS_{jt} denotes the pre-regulated installed capacity of the electricity schemes (MW). η_{jt} denotes the binary variables to identify whether a capacity expansion action of power conversion technology j should be executed. YC_{jt} denotes the expansion capacity for conversion technology j (MW). L_{jt} is the utilization hours of conversion technology j (hour).

Considering the relationship between the path of achieving the carbon emissions peak and the harmonious development of the local economy, constraints of the environment– economy performances are taken into account at the patron level:

Coordinated constraints for the environment-economy performances [23]:

$$\mu = \sqrt{\mu_e(\omega_1) \cdot \mu_c(\omega_2)} \ge \mu_{\min} \tag{11}$$

where μ and μ_{min} denote the optimal and the minimum regional harmonious development index, respectively, in which the predetermined value of μ_{min} was 0.8 in this model [23]. Details about the synergetic relationship for the environmental and economic performances of the systems are presented as follows:

Membership function $\mu_e(\omega_1)$ denotes the coordinated degree between electricity supply and demand:

$$\mu_{e}(\omega_{1}) = \begin{cases} 1 & \omega_{1} \ge 1\\ \exp\{-2 \cdot (\omega_{1} - 1)^{2}\} & \omega_{1} < 1 \end{cases}$$
(12)

where ω_1 is defined as the ratio of total power generation to power generation amount in 2005, which is expressed as:

$$\omega_1 = \sum_{1}^{4} Pele_{jt} / Pele_{2005} \tag{13}$$

where *Pele*₂₀₀₅ denotes the power generation amount of Inner Mongolia in 2005.

Membership function $\mu_c(\omega_2)$ denotes the coordination degree between regional economic performance and carbon emissions level, stated as:

$$\mu_c(\omega_2) = \exp\left\{-4 \cdot (\omega_2 - 1)^2\right\}$$
(14)

where ω_2 is the ratio of carbon emissions to regional economic performance, presented as

$$\omega_2 = \frac{R_E/R_{EO}}{R_C/R_{CO}} \tag{15}$$

where R_{EO} and R_{CO} denote the reference coefficients for the carbon emissions and the economic cost of the systems. In this study, the carbon emissions and the economic cost of Inner Mongolia's electric power system in 2005 were chosen as the reference coefficients, respectively. R_E and R_C denote the actual value of carbon emissions and system cost in different years.

Constraints for total CO₂-emissions are as follows:

$$R_E = \sum_{j=1}^{2} \left(Pele_{jt} \times ERC_{jt} \right) \times \left(1 - \gamma_{jt} \times \mu_{jt} \right)$$
(16)

$$R_E \le DLC_t, \forall t \tag{17}$$

$$Pele_{it} \times ERC_{it} \times (1 - \gamma_{it} \times \mu_{it}) \ge ECG_{it}, \forall j, t$$
 (18)

where ERC_{jt} denotes CO₂-emissions rate by power conversion technology *j* in period *t* (tonne/MWh), μ_{jt} is the CO₂ reduction efficiency through the CCS technology in period *k* (%). γ_{jt} is the proportion of the thermal power plants with the CCS devices (%). DLC_t is the CO₂ emission limit from the thermal power plants in period *k*. ECG_{jt} is the excess CO₂ generated from power conversion technology *j* in period *k* (10³ t)

2.2.2. Client Objective

$$Min f_{\cos t} = (1) + (2) + (3) + (4) - (5) + (6) + (7)$$
(19)

The system cost contains seven sectors, including the purchasing cost for energy and water resources, the cost for capacity expansion and electricity transmission, the cost for CO_2 emissions mitigation, etc.

where (1) the cost for purchasing energy and water withdrawals:

$$\sum_{i=1}^{l} P_{it} \times AES_{it} + CPW_{pt} \times AWS_{pt}$$
⁽²⁰⁾

$$AWS_{pt} = \sum_{j=1}^{2} WF_{jptk} \times (PRS_{jt} \times \delta_{jtk}) + \sum_{j=1}^{2} WF_{jpt3} \times \eta_{jt} \times YC_{jt}$$
(21)

(2) the operating cost for power generation:

$$\sum_{j=1}^{J} Pele_{jt} \times OCT_{jt}$$
(22)

(3) the penalty for power shortage:

$$\sum_{h=1}^{H} P_{th} \times PCI_{th} \times SOE_{th}$$
(23)

(4) the cost for capacity expansion:

$$\sum_{j=1}^{J} L_{jt} \times Y E_{jt} \times Y C_{jt} \times Y C_{jt}$$
(24)

(5) the revenue for electricity transmission:

$$\sum_{j=1}^{J} Pele_{jt} \times CET_t \times TR_{jt}$$
(25)

(6) the cost for CO_2 capture and storage:

$$CI_t \times \sum_{j=1}^{2} \left(PRS_{jt} + \eta_{jt} \times YC_{jt} \right) \times \gamma_{jt} + \sum_{j=1}^{2} Pele_{jt} \times BGC_{jt} \times \gamma_{jt} - FS_{jt}$$
(26)

(7) the penalty for excess CO_2 emissions:

$$\sum_{i=1}^{2} \sum_{h=1}^{H} P_{th} \times ECG_{jt} \times GOCE_{jt}$$
(27)

where *i* denotes the type of energy, i = 1, 2 for coal and natural gas, respectively. AES_{it} denotes the amount of energy supply from source i in period t (PJ), P_{it} is the price of coal and natural gas in period t (10⁶ RMB¥/PJ). CPW_{nt} denotes the cost for water in period k (10⁶ RMB¥/tonne), AWS_{vt} is the number of water withdrawals in period t under the water supply policy scenario p (tonnes). p denotes the water supply policy (flexible and strict). WF_{iptk} denotes the water withdrawal factor of different power generating technologies (m^3/MWh). k is the type of cooling technologies, k=1,2,3 denotes the cycling cooling, one-through, and air-cooling system, respectively. δ_{itk} is the kth proportion of cooling technologies (%). (2) OCT_{it} denotes the operating cost for power conversion technology i (10⁶ RMB¥/PJ). (3) P_{th} denotes the probability of different electricity demand-level h (%). PCI_{th} is penalty cost for power shortage (10^3 RMB/MWh) . SOE_{th} is the amount of power importing from other regions when the power shortage occurs (MWh). (4) YCC_{it} denotes the expansion cost for conversion technology *j* in period t (10³ RMB¥/MW). (5) CET_t denotes the revenue per unit of electric power transmitted to other regions. TR_{it} denotes the transmission ratio of total power generation (%). (6) CI_t is the construction investment for carbon capture and storage (CCS) technology (RMB/MW). BGC_{it} is the benchmark technology cost for CCS technology (RMB/MWh). FS_{it} denotes the financial subsidy in period t. (7) $GOCE_{it}$ denotes the operating cost for excess CO₂ released from power conversion technology *j* in period *t* (10^6 RMB¥/tonne).

The constraints for resource availability:

$$0 < AES_{it} \le TEL_{it}, \forall i \tag{28}$$

$$0 < AWS_{vt} \le TWL_{vt}, \forall p, t$$
 (29)

 TEL_{it} and TWL_{pt} denote total energy resource supply level to generate electricity in period *t* (tonne) and total water resource supply level to generate electricity in period *t* (tonne), respectively.

The constraints for energy supply and electricity generation:

$$\sum_{i=1}^{I} AES_{it} \times FTC_{it} \ge \sum_{j=1}^{2} Pele_{jt}, \ \forall t$$
(30)

 FTC_{it} denotes the unit of electricity generation per unit of energy carrier for energy *i* (GWh/PJ).

The constraints for power demand-supply balance:

$$\sum_{j=1}^{J} \left(Pele_{jt} - SD_{jt} \right) \ge DEM_{th}, \forall j, h$$
(31)

 SD_{jt} denotes power loss for power conversion technology j in each planning period, DEM_{th} denotes the electricity demand in Inner Mongolia in each period (10³ GWh).

The constraints for realizing CO₂ emissions peak:

$$\sum_{j=1}^{2} Pele_{jt} \times ERC_{jt} \times (1 - \gamma_{jt} \times \mu_{jt}) \le CEm_{2005}$$
(32)

 CEm_{2005} denotes the CO₂ emissions of Inner Mongolia from the electricity industry in 2005 (10³ tonne).

The mass balance for water withdrawals:

$$\sum_{j=1}^{J} WCE_{pjt} \times Pele_{jt} \le TSW_{pt}$$
(33)

$$\sum_{j=1}^{J} WCE_{pjt} \times Pele_{jt} = AWS_{pt}$$
(34)

 WCE_{pjt} denotes the water withdrawals per unit of electricity generation (tonne/GWh), TSW_{pt} is the total water resource supplies underwater withdrawal policy p (tonne).

The constraints for expansion capital:

$$\eta_{jt} \times YC_{jt} \times YCC_{jt} \le EPP_{jt}, \forall j, t$$
(35)

 YCC_{jt} denotes the expansion cost for conversion technology *j* in period *t* (10³ RMB¥/GW), *EPP*_{jt} denotes the power plants expansion fees in period *t* (10⁶ RMB ¥).

The constraints for the interval-integer variables:

$$\eta_{jt} \begin{cases} = 1 & \text{if capacity expansion is executed} \\ = 0 & \text{if otherwise} \end{cases}, \forall j, t$$
(36)

2.3. Uncertainty Analysis

2.3.1. Measuring the Fuel Price

The fuel price here includes two kinds of fuels, coal and natural gas. We assumed that both of their prices p_F followed the Geometric Brownian Motion [24]:

$$dp_F = \alpha_F p_F dt + \chi_F p_F dz \tag{37}$$

where p_F denotes the fuel prices; α_F is the drift parameters; χ_F is the variance parameter, and dz denotes the independent increments of the Wiener process.

$$dz = \gamma_t \sqrt{dt} \tag{38}$$

The discrete approximation to (1) is as follows:

$$p_F(t + \Delta t) = p_F(t) \exp(\alpha_F \Delta t + \chi_F(\Delta t)^{1/2} \gamma_t)$$
(39)

where γ_t is a random variable, and $\gamma_t \sim N(0, 1)$, $E(\gamma_i, \gamma_j) = 0$, $\forall i, j, i \neq j$.

2.3.2. Measuring the Output of Wind Power and PV

(1) The output of wind power

It is well established that wind speed distribution follows the Weibull probability density function (PDF) [25,26]. The probability of wind speed v (m/s) following Weibull PDF with shape factor (k) and scale factor (c) is given by:

$$f_{v}(v) = \left(\frac{k}{c}\right) \left(\frac{v}{c}\right)^{(k-1)} e^{-(v/c)^{k}} \text{ for } 0 < v < \infty$$
(40)

The mean value of Weibull distribution is defined as:

$$M_{wbl} = c \cdot \Gamma(1 + k^{-1}) \tag{41}$$

where gramma function $\Gamma(x)$ is described as:

$$\Gamma(x) = \int_{0}^{\infty} e^{-t} t^{x-1} dt$$
(42)

In this study, the empirical values of the Weibull shape (k) and scale parameter (c) in three planning periods are different. In this way, the wind power output in three periods can be simulated. The function of wind speed (v) is described as:

$$P_{w}(v) = \begin{cases} 0, \text{ for } v(v_{in} \text{ and } v) v_{out} \\ P_{wr}(\frac{v - v_{m}}{v_{r} - v_{m}}) \text{ for } v_{in} \leq v \leq v_{r} \\ P_{wr} \text{ for } v_{r} < v \leq v_{out} \end{cases}$$
(43)

where v_{in} , v_r , and v_{out} are the cut-in, rated, and cut-out wind speeds of the turbine, respectively. P_{wr} is the rated output power of the wind turbine. The various speed values were $v_{in} = 3 \text{ m/s}$, $v_r = 16 \text{ m/s}$, and $v_{out} = 25 \text{ m/s}$. Figure 2 was obtained through Monte Carlo simulation by the sample size of 10,000.



Figure 2. The distribution of wind speed through the Monte Carlo simulation.

(2) The output of solar power

The output of the solar power depends on the solar irradiance (G), which follows the lognormal PDF [27]. The probability of solar irradiance (G) following lognormal PDF with mean μ and standard deviation σ is:

$$f_G(G) = \frac{1}{G\sigma\sqrt{2\pi}} \exp\left\{\frac{-(\ln x - \mu)^2}{2\sigma^2}\right\} \text{ for } G > 0$$
(44)

In this paper, $\mu = 6 \sigma = 0.6$ and G = 483 W/m² were based on [28]. The mean of the lognormal distribution is defined as:

$$M_{\lg n} = \exp(\mu + \frac{\sigma^2}{2}) \tag{45}$$

The solar irradiance (G) to energy conversion for solar PV is given by [29]

$$P_{s}(G) = \begin{cases} P_{sr}(\frac{G^{2}}{G_{std}R_{c}}) \text{ for } 0 < G < R_{c} \\ P_{sr}(\frac{G}{G_{std}}) \text{ for } G \ge R_{c} \end{cases}$$
(46)

where G_{std} is the solar irradiance in a standard environmental set as 800 W/m². R_c is a certain irradiance point set as 120 W/m². P_{sr} is the rated output power of the solar PV unit. Similarly, Figure 3 was obtained through Monte Carlo simulation by the sample size of 10,000 [28].



Solar irradiance (W/m²)distribution for solar power

Figure 3. Solar irradiance (W/m^2) level through the Monte Carlo simulation.

3. Scenario Setting

This study mainly concerned three key time nodes in the path of achieving the carbon peak of China during the energy–water–carbon nexus optimization in Inner Mongolia, including 2021, 2025, and 2030. Different non-renewable and renewable technologies were taken into consideration to meet not only the electric power demand and the CO₂ emissions mitigation target but also the water conservation goals. As the Chinese government announced that by 2020, China's CO₂ emissions intensity targets would fall by 40%~45% based on 2005's level, by 2030, the CO₂ emissions peak of China would be achieved. In this study, we assumed that the upper bound of these targets could be achieved as scheduled. Three CO₂ emissions mitigation scenarios were set based on this official statement in these

planning periods. The CO₂ emissions' intensity in 2005 was the baseline. For example, GHG intensity will decrease by 45% of that in 2005 in period t = 1 (2021), and it will be 60% and 65% of the baseline in the next two periods, respectively. Meanwhile, three different electricity demand levels (low, medium, and high) with different occurrence probability were designed to cover different electricity supply likelihoods. As Inner Mongolia is located in a typical semi-arid region with drought-stressed water resources, two possible water conservation policy scenarios were taken into account, including three main cooling technologies in each scenario. The mix of these scenarios will help investigate the energy-water–carbon nexus during the achievement of the carbon emissions peak path in 2030. The detailed, flexible, and strict data were based on the national water intake standard: Water Quota Part I: Thermal Power (GB/T18916) [30] and Water Saving Enterprise: Thermal Power Industry (GB/T26925-2011) [31], which is shown in Table 2. The pre-regulated installation capacity of each power technology is presented in Table 3. The key parameters of the PCIO model are shown in Table 4.

Table 2. The water withdrawal factor of different technologies under two scenarios (m³/MWh).

	The Cooling Form of Different Technologies	Unit Capacity \leq 300 MW	Unit Capacity > 300 MW
The flexible scenario	The cycling cooling system	1.85	1.68
	The one-through system	0.41	0.33
	The air-cooling system	system 0.41 0.33 system 0.45 0.37	
	The cycling cooling system	1.7	1.49
The strict scenario	The one-through system	0.36	0.29
	The air-cooling system	0.39	0.31

Table 3. The pre-regulated installation capacity in Inner Mongolia (MW) [32].

	t = 1	t = 2	t = 3
Coal-fired power	34,632	32,900.4	31,255.38
Gas-fired power	665.2	700.5	753.46
Wind power	12,984.21	15,364.34	20,136.54
Solar power	3658	5000	5600

Table 4. Economic and technological data for different power plants.

	Time Period				
	<i>t</i> =1	<i>t</i> =2	<i>t</i> =3		
Cost to purchase domestic energy carrier (10 ³ RMB¥/TJ) and water resources (RMB¥/Tonne)					
Coal products	32.24	41.75	48.53		
Coal gas	52.34	60.73	65.53		
Water resources	5.5	6.2	10.4		
Cost for the ope	Cost for the operation of electricity conversion technology (10 ⁶ RMB¥/MWh)				
Coal-fired	16.52	18.43	20.12		
Gas-fired	22.18	24.32	25.25		
Wind-power	77.32	85.45	90.23		
Solar-power	68.55	74.31	77.64		
The construction investment of CCS technology ($10^3 \text{ RMB}^{+}/\text{MW}$) [33]					
	3196	2854	2573		
The benchmark technology cost for CCS technology (RMB¥/MW)					
	100.5	90.5	85		

	Time Period				
	<i>t</i> =1	<i>t</i> =2	<i>t</i> =3		
Cost for capacity expansion (RMB¥/MW)					
Coal-fired	18.58	22.36	32.14		
Gas-fired	22.34	27.15	33.44		
Wind-power	96.72	101.24	105.37		
Solar-power	100.32	104.51	107.32		
Energy conversion efficiency (10 ³ TJ/MWh)					
Coal-fired	9.3	9.9	11.4		
Gas-fired	8.7	9.2	10.5		
The average utilization hours of renewable technologies (hours)					
Wind-power	2300	2500	2700		
Solar-power	2600	2800	3000		

Table 4. Cont.

4. Result and Discussion

4.1. The Uncertainty Simulation in Different Periods

(1) The fuel price prediction

Figure 4 indicates that the fuel prices from 2015 to 2030 in Inner Mongolia showed an upward trend for both coal and natural gas. However, the performances of the coal price and natural gas were fairly different. Generally, the price of coal showed an increasing trend over the whole planning horizon, while they had relatively dramatic fluctuations under some scenarios. The highest coal price in Inner Mongolia would increase to 726.18 yuan/tonne in 2030. The variation of the natural gas price showed different performances before and after 2022. It showed that from 2015 to 2022, the natural gas price had an apparent increasing trend, while it showed fluctuations after 2022 until 2030, which means that uncertainty during the prediction process made it difficult to forecast the nature gas price after 2022. To make the price prediction of two fuels available for the PCIO model, the price values in each period using the mean value under 16 scenarios were adopted to avoid the predictive deviation.

(2) The output prediction of wind and solar power

Figure 5 shows the output prediction results of the wind and solar power in four seasons under three planning periods. It indicates that both the output of wind and solar power showed seasonal variation. For wind power, the simulation result showed that spring and winter were the main seasons that generate power in Inner Mongolia. Meanwhile, the peak output of wind power varied at different times of the day. For example, in spring, the peak output of the wind power appeared from 5:00 to 9:00 am, while in winter, it appeared from 20:00 to 23:00. Through the adjustment of shape factor and scale factor in period t=2 and t=3, the output simulation in these two periods could be executed. It indicates that the output of the wind power also varied at different times of the day and different seasons.



Figure 4. The prediction price of the fuel under 16 scenarios from 2015~2030.



Figure 5. The output of wind and solar power in different periods (MW).

4.2. The Optimized Electricity Supply and Cooling Technologies

Optimized solutions for electricity supply scheme and cooling technologies in Inner Mongolia are presented in Figure 6. It indicates that the amount of coal-fired power showed a downward trend from t=1 to t=3, while the strict water withdrawals scenario further decreased the amount of coal-fired power generation compared with the flexible one. The average decreasing amplitude under each demand level would be 9%, 5%, and 4% in period t=1, t=2 and t=3, respectively. Gas-fired power, wind power, and solar power showed an increasing trend as time goes on to make up the power generation shortage because of the reduction in coal-fired power. Meanwhile, the electric power production from wind and solar power was larger in the strict water policy scenario than in the flexible one. The phenomena could be attributed to the fact that wind power and solar power consumed no water during the power generation process, so strict water withdrawals policy resulted in more wind and solar power instead of coal-fired and gasfired power in Inner Mongolia. The distribution of three cooling technologies of coal-fired and gas-fired power in different time periods under different water withdrawals policy and power demand levels is also presented in Figure 5. It indicates that the air-cooling system would play the most important role when the strict water withdrawals policy is executed in Inner Mongolia, especially when the power demand level was medium and high, it increased by 77.53% (medium power demand) and 82.17% (high power demand) when t=1, 69.50% and 71.56% when t=2 and 64.74% and 57.53% when t=3 for the coal-fired power. The phenomenon showed that the strict water policy would be a powerful push on the application of the air-cooling system for coal-fired power. The installation capacity of coal-fired power also showed that as time goes on, the air-cooling system will replace the dominant position of the cycling cooling system and one through system. In 2035, the installation capacity of coal-fired with air-cooling technology would reach 70.64 GWh (flexible scenario) and 116.37 GWh (strict scenario). Meanwhile, the one-through system would gradually be replaced by the other two cooling technologies.



Figure 6. Cont.



Figure 6. The optimized electric power generation and capture and storage technology (CCS) scheme (ACS, OTS, and CCS denote the air-cooling system, the one-through system, and the cycling cooling system, respectively).

4.3. Capacity Expansion

The CO₂ emissions up to the peak (CEUP) target and water withdrawal policies in the planning periods may greatly impact the power sources structure in Inner Mongolia. We analyzed the distributions of the generation capacity of Inner Mongolia for each technology under four scenarios in 2030 and tried to find out the interactions between the capacity expansion schemes and environmental limits. In Figure 7, the 65% CO₂ emissions reduction on the base of 2005 was the CO₂ limit. The flexible and strict water withdrawal policies coupled with this CO₂ reduction level was chosen to investigate the mixed impact of CO₂ and water constraints on the capacity expansion in 2030. The box-and-whisker diagram shows different impacts from different scenarios on the capacity expansion in Inner Mongolia. The boundary closest to zero is the first quartile, the one closest to the top indicates the 75th percentile, and the line within the box marks the median, error bars above and below the box indicate the 90th and 10th percentiles, respectively. Moreover, the dots above or below the error bars represent the 5th and 95th percentiles.

Results indicated that the capacity expansion of different technologies had different appearances in mixed scenarios. Take the coal-fired power as an example, the mid hinge turned into a lower level, which meant the average amount of capacity expansion decreased (nearly 20%~50% reduction), and the dispersion of the distribution mainly aggregated on the low-capacity level (less than 30 GW for the coal-fired power) when the CO₂ limit and water constraints were taken into account. The performance of the average amount of the capacity expansion for the CO₂ limit and that coupled with the flexible water was approximately the same, although their distribution was different. When the strict water limit was involved, the average amount of capacity expansion showed the lowest level, which was just less than 10 GW. It indicated that the strict water policy dramatically reduced the capacity expansion amount of coal-fired power. For the gas-fired power,

the skewness of gas-fired turned to a higher level (increased by 40%) when the CO₂ limit was taken into account. Moreover, the capacity expansion would be further promoted when the water limits were present, especially for the strict one.

The other two technologies show the same performance as the gas-fired power, which was in the presence of CO_2 limit, the amount of capacity expansion would be promoted, and water limits would further increase the amount of capacity expansion. The reason can be attributed to the fact that coal-fired power has higher CO_2 emissions and water withdrawals for cooling. The joint constraints from the CO_2 emissions limit and water withdrawals limit will lead to a substantial reduction in CO_2 and water withdrawals. Gas-fired and the other two technologies with fewer water withdrawals and emissions will have a large potential to make up the capacity gap when the amount of coal-fired power decrease.



Figure 7. The capacity expansion under mixed scenarios.

4.4. The CO₂ Emissions and Water Withdrawals

The installation level of CCS devices, the amount of CO_2 emissions, and the CO_2 reduction levels in Inner Mongolia are shown in Figure 8. It indicates that more CCS devices were acquired under the flexible water withdrawals scenario than the strict one.

Compared with the flexible scenario, almost 10.19%, 15.78%, and 27.98% fewer CCS devices were required under the strict water scenario when the power demand level was low, medium, and high, respectively. The result indicates that strict water policy not only helps to decrease the water withdrawals but also indirectly leads to the CO₂ emissions' mitigation because of the reduction in the thermal power (coal-fired and gas-fired) installations. With the help of the CCS devices, the CO₂ emissions showed a decreasing trend in Inner Mongolia as time goes on to realize the target of reaching the CO₂ emissions peak of China in 2030. For example, the amount of CO₂ emissions was 10,015 × 10¹⁰ tonne in t=1, 9634 × 10¹⁰ tonne in t=2, and 8635×10^{10} tonne in t=3 when the power demand level was low, while when the power demand level was high, the CO₂ emission was 14795×10^{10} tonne, $12,689 \times 10^{10}$ tonne, and 11158×10^{10} tonne in t=1,2, and 3, respectively. Meanwhile, the CO₂ reduction through the CCS devices showed an increasing trend as time goes on. Higher power demand led to more CO₂ emissions reduction to meet the CO₂ emissions criteria.



Figure 8. The installation level of CCS devices and CO₂ emissions (-F denotes the flexible water policy scenario, while -S denotes the strict water policy scenario).

The water withdrawals and system cost are shown in Figure 9. It indicates that the strict water policy had an apparent effect on the reduction in water withdrawals than the flexible water policy. For example, the water withdrawals decreased by 41.02%, 48.28%, and 59.07% in t=1,2, and 3 when the power demand was low. The system cost showed an increasing trend as time goes on, and the result also showed that increasing power demand level led to an increase in the system cost. The system cost under the flexible water withdrawal policy led to an average level of 16.32%, 13.76%, and 7.36% reduction than that under the strict policy when the demand level was low, medium, and high. The reason can be attributed to the fact that on the one hand, more air-cooling technologies will take the place of the once-through or the cycling cooling system to reach the water reduction target, which may result in higher system cost; on the other hand, more renewable power technologies, whose water consumption can even be neglected but with higher fixed investment fees, will be applied instead of the thermal power technologies, especially the coal-fired power under the strict water policy, which will further lead to the increase in the system cost. Although the thermal power showed a decreasing trend as time goes on, more CCS devices were still necessary to achieve the more and more strict CO_2 emissions target, and this was the third sector, which led to the increase in the system cost. Generally, under the triple constraints of power demand, water policy, and carbon



emissions mitigation, more system costs should be invested to help reach the synergetic energy–economy–environment goals in the EPS of Inner Mongolia.

Figure 9. The water withdrawals and system cost.

4.5. The Optimized Penetration and Satisfaction Degree

The optimized result of renewable energy penetration and satisfaction degree of the system is shown in Table 5. The renewable penetration showed an increasing trend as time goes on, and the strict water withdrawal policy led to a higher renewable penetration rate in each planning period. For example, in t=2, the renewable penetration rate under the strict water policy was 3.58% more than that under the flexible one. However, the satisfaction degree of the system, which implies that each part of the system has reached its best balance, had different performances. The supreme satisfaction degree (λ =0.9523) appeared in t=2 under the strict water withdrawal policy, which means that the renewable penetration rate and the system cost achieved the optimal tradeoff under the CO₂ emissions reduction level in the period t=2. The satisfaction degree helps quantize the overall system satisfaction level. Decision-makers can evaluate and adjust their optimized strategies based on the satisfaction degree.

	Time Periods					
	t = 1		t = 2		t = 3	
Water policy scenario	flexible	strict	flexible	strict	flexible	strict
Optimized penetration rate (%)	27.74	32.41	31.67	35.25	33.59	42.34
Satisfaction degree (λ)	0.8426	0.8715	0.9241	0.9523	0.9213	0.8954

Table 5. The optimized renewable energy penetration and the satisfaction degree of the system.

5. Conclusions

This study proposed a patron–client interactive optimized (PCIO) model to investigate the energy–water–carbon nexus optimization in Inner Mongolia under the policy background of 2030 CO_2 emissions up to the peak target in China, concerning the uncertainty of fuel price and output of the wind and solar power. PICO model not only had the ability to tackle problems with the hierarchical relationship and achieved the proper tradeoffs between maximizing the renewable power penetration and minimizing the system cost but also synergistically dealt with multiple optimized targets, including the power supply and capacity expansion schemes, water consumption control through the combination of different cooling techniques and CO₂ emissions mitigation target with the CCS device.

The optimized results indicated that the coal-fired power showed a downward trend during the whole planning horizon under the CEUP target in Inner Mongolia. Gas-fired and renewable power will continuously substitute the place of coal-fired power in this region. The strict water withdrawal policy further exacerbated the substitution effect of the coalfired power by wind and solar power, with an average level of 9%, 5%, and 4% in three periods. To achieve both the CEUP and water-saving target, the CCS device and the aircooling system will play gradually important roles in Inner Mongolia's EPS, especially in the strict water withdrawal scenario, even when the coal-fired power decreases as time goes on. However, these targets will be achieved at the expense of high system costs, which may increase the financial burden of the local government of Inner Mongolia. The PICO model makes it possible to make reasonable tradeoffs between maximizing the renewable energy proportion and minimizing the system costs through the quantized satisfaction degree. Decision-makers can make flexible strategies through the adjustment of the membership function of the PICO model.

As Inner Mongolia is a major energy supply province, which shoulders the responsibility of transferring the electric power resource to other regions (mainly to the Jing-Jin-Hebei region), the potential impacts on the local energy–water–carbon nexus when transmitting energy to other regions should also be taken into consideration. Meanwhile, wind and solar power generation curtailment may also be core concerns with respect to the CEUP target in Inner Mongolia, which will also be investigated in our future studies.

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