

Article

Structural Modeling and Failure Assessment of Spar-Type Substructure for 5 MW Floating Offshore Wind Turbine under Extreme Conditions in the East Sea

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Abstract: Not only the driving for offshore wind energy capacity of 12 GW by Korea's Renewable Energy 2030 plan but also the need for the rejuvenation of existing world-class shipbuilders' infrastructures is drawing much attention to offshore wind energy in Korea, especially to the diverse substructures. Considering the deep-sea environment in the East Sea, this paper presents detailed modeling and analysis of spar-type substructure for a 5 MW floating offshore wind turbine (FOWT). This process uses a fully coupled integrated load analysis, which was carried out using FAST, a widely used integrated load analysis software developed by NREL, coupled with an in-house hydrodynamic code (UOU code). The environmental design loads were calculated from data recorded over three years at the Ulsan Marine buoy point according to the ABS and DNVGL standards. The total 12 maximum cases from DLC 6.1 were selected to evaluate the structural integrity of the spar-type substructure under the three co-directional conditions (45°, 135°, and 315°) of wind and wave. A three-dimensional (3D) structural finite element (FE) model incorporating the wind turbine tower and floating structure bolted joint connection was constructed in FEGate (pre/post-structural analysis module based on MSC NASTRAN for ship and offshore structures). The FEM analysis applied the external loads such as the structural loads due to the inertial acceleration, buoyancy, and gravity, and the environmental loads due to the wind, wave, and current. The three-dimensional FE analysis results from the MSC Nastran software showed that the designed spar-type substructure had enough strength to endure the extreme limitation in the East Sea based on the von Mises criteria. The current process of this study would be applicable to the other substructures such as the submersible type.

Keywords: floating offshore wind turbine (FOWT); substructures; spar-type; integrated load analysis; finite element model (FEM)

1. Introduction

Among the renewable energy resources, wind energy has been growing steadily and securing the competitiveness of price through its lowering levelized cost of energy (LCOE). In particular, onshore wind has shown large cost reductions over decades with economically large-scaled wind turbine size and wind farms construction in addition to the improvement of the technologies and logistics, which lead to a preferable choice among other alternative renewable energy sources against fossil fuel energy [1–4].

But the onshore wind farms have been facing many limitations for large-scale wind turbines installation due to the visibility issue, noise emission, transportation difficulty,

and other opposition aroused from residents. Moreover, due to their surrounding land conditions, onshore wind turbines are more likely to have lower capacity factors which could decrease the overall power performance [5–7]. To overcome these problems, wind turbines began to be installed on offshore instead of on the existing onshore regions. Normally offshore wind turbines tend to obtain higher wind speed, more sustained, lower turbulence, and better wind shear than onshore wind turbines, which means that it can increase the capacity factor [8]. For example, onshore wind farms in Europe have an average capacity factor of 24% while offshore wind farms reach 48% of their capacity in 2019 [9]. According to the 2019 offshore wind outlook by the International Energy Agency (IEA), offshore wind energy is considered to be a global trend and is poised to play an important role in future energy systems. Generally, the offshore wind turbines are categorized into bottom-fixed type and floating type depending on that the mounting substructure of the traditional wind turbines is floating or fixed [10–12].

The bottom-fixed offshore wind turbines exclusively dominate today's offshore wind energy market and the substructure types (monopile, gravity, and jacket) shown in Figure 1 are mostly utilized depending on the soil condition and water depth [13].

Arshad et al. reviewed the offshore structure foundation and also performed research on the design and analysis of the monopile with large-diameter and foundation under extreme conditions [14]. Abhinav and Sara focused on the dynamic analysis of monopile-supported offshore wind turbines depending on the soil condition related to the site-specific geotechnical investigations [15], and other researchers focused on the coupled simulation of wind turbines and substructures [16–18]. Chen et al. researched the design and analysis of jacket-type substructures using static stress analysis to ensure that all structures had a similar level of load-carrying capacity [19]. The design theory and tools for the jacket substructures are well-addressed in the document from NREL [20].

However, due to the installation limit of up to 35–50 m water depth, the bottom-fixed types are not easily applicable to many regions including the East Sea of South Korea with limited shallow waters [21,22]. In the case of a water depth over 35 m, floating offshore wind turbines (FOWTs) could be well utilized to access the incredible wind resources in terms of higher and steadier wind speed, and lower turbulence, which means that higher power generation efficiency can be expected from it [23]. Unlikely to be fixed offshore wind turbines, the floating offshore wind turbines (FOWTs) use floating foundations such as spar type, semi-submersible type including barge type, and TLP type as shown in Figure 2 [24].

The design of a FOWT in the deep-water environment is complicated compared to conventional fixed offshore wind turbines because of the complex external load conditions caused by waves, wind, and current as well as the structural loads including the hydrostatic pressure and ballast loads [25]. Due to the highly coupled interaction of aerodynamics from wind and hydrodynamics from the ocean, it is important to understand the dynamic behavior of the floating platform related to the six degrees of freedom such as pitch, yaw, roll, surge, heave, and sway to design the optimal substructure to mount the wind turbine generator [26]. There are many studies that have been performed for the dynamic response of floating offshore wind turbines and the resulting dynamic response values are then used for the structural analysis of the substructure model in detail [27–30].

Bagbanci et al. presented a coupled dynamic analysis of spar-type floating wind turbine and validated with results obtained from OC3 Hywind and obtained the tower base motions and platform motions for wind speed 3.7 m/s with 4 m wave height and 0 degrees heading angle. [31]. Han et al. found the optimal spar substructure design for a 3 MW FOWT with the maximum postural stability in 6-DOF motions using a genetic algorithm with a neural network approximation [32]. Hegseth et al. presented a linearized aero-hydro-servo-elastic floating wind turbine model to find out the optimal design solution using the gradient-based optimization for a 10 MW FOWT with a spar-type substructure [33]. Valk and Paul performed the coupled simulations of FOWT to derive

proper design strategies according to the dynamics of substructures [34]. However, there is little research on the detailed structural modeling process and stress analysis of a spar-type substructure for a FOWT utilizing the coupled load analysis and the interface between the nonlinear time-domain multi-physics models (aero-hydro-servo-elastic) and three-dimensional finite element model. Furthermore, even though there was a study on the substructures for the Southwest offshore wind farm in Korea with a water depth under 33 m, few studies were published for the FOWT in the East Sea of Korea, where six GW floating offshore wind farms were planned [35].

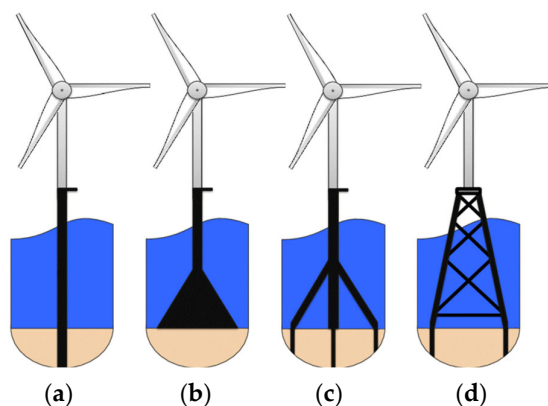


Figure 1. Various bottom-fixed substructure types: (a) Monopile; (b) gravity-base; (c) tripod; (d) jacket [34].

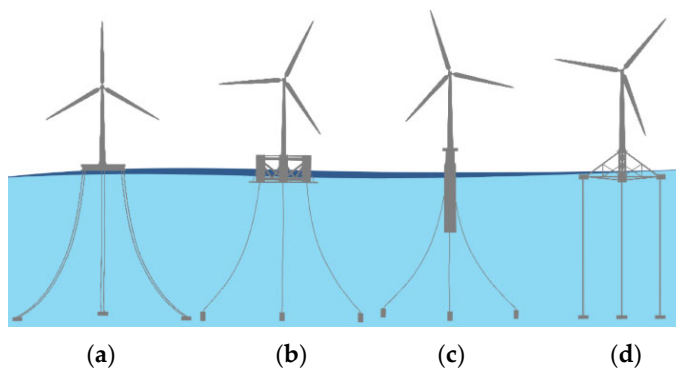


Figure 2. Various floating-type substructure types: (a) Barge; (b) semi-submersible; (c) spar; (d) tension leg platform (TLP) [24].

In this work, the design process on the structural model and analysis of a spar-type substructure for a 5 MW FOWT specially designated to the East Sea in South Korea will be presented in detail with a fully coupled integrated load analysis and three-dimensional finite element model/analysis. FAST, a widely used integrated load analysis software developed by NREL, was coupled with in-house hydrodynamic code (UOU code) [36,37]. The environmental design loads will be calculated from the data recorded for three years at the Ulsan Marine buoy point according to the ABS and DNV standards [38,39]. The three-dimensional (3D) structural finite element (FE) model of a spar-type substructure incorporating the wind turbine tower and floating structure bolted joint was constructed under the external loads such as the structural loads due to the inertial acceleration, buoyance, and gravity, and the environmental loads due to the wind, wave, and current. The structural integrity of the spar-type substructure was investigated under extreme limitations based on the von Mises criteria.

2. Specification of 5 MW FOWT

2.1. Overall Key Specifications and Schematic Layout

The schematic layout of the wind turbine and a spar-type floating platform are shown in Figure 3. Table 1 lists the overall key specification of 5 MW FOWT, and Tables 2 and 3 lists the detailed properties of the baseline part of the 5 MW rotor nacelle assembly (RNA) and tower developed by Unison (www.unison.co.kr), and the characteristics of the structural specifications of the floating platform, respectively.

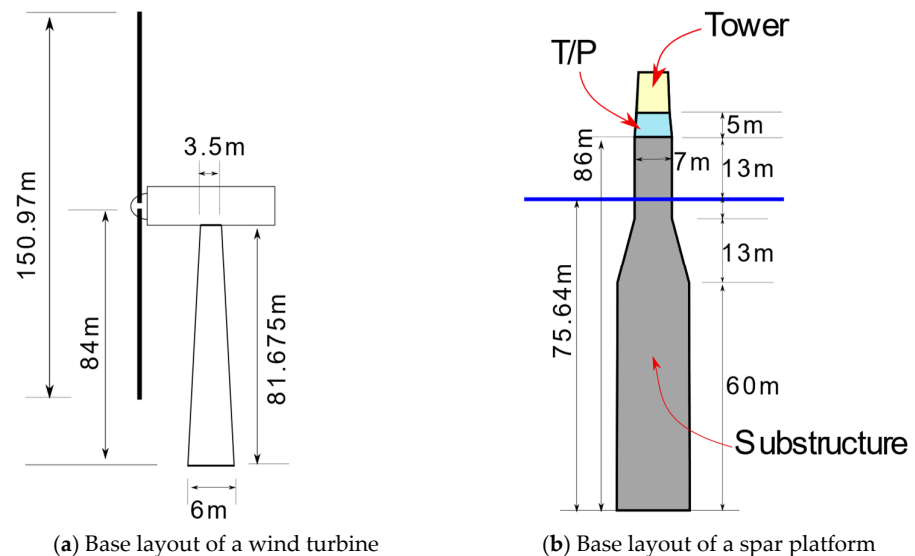


Figure 3. Schematic layout of 5 MW floating offshore wind turbine system.

Table 1. Overall key specification of 5 MW FOWT.

Description	Value
Rated power	5 MW
Rotor Orientation, configuration	Upwind, 3 blades
Control	Variable speed, collective pitch
Drivetrain	High speed, multiple-stage gearbox
Rotor, hub diameter	150.97 m, 3 m
Hub height	99.36 m
Cut-in, rated, cut-out wind speed	3 m/s, 10.8 m/s, 22 m/s
Cut-in, rated rotor speed	6.0 rpm, 11.3 rpm
Overhang, shaft tilt, pre-cone	5 m, 5°, 2.5°

Table 2. Properties of 5 MW baseline wind turbine.

Description		Value
Rotor	Diameter	150.97 m
	Projected area	17,900.7 m ²
	Mass	126,602 kg
Nacelle	Length	12.0 m
	Breadth	4.5 m
	Height	4.7 m
	Mass	200,000 kg
Tower	Diameter at top	3.5 m
	Diameter at base	6.0 m
	Height	81.675 m
	Mass	383,549 kg

Table 3. Structural characteristics of 5 MW floating platform.

Description		Unit		Value
FOWT (total)	Length (depth)	m		91.0
	Draft	m		75.64
	Freeboard	m		15.36
	CB (center of buoyancy)	m		34.561
	CG (center of gravity)	m		27.100
Platform	Hull	Weight	t	1471.2
		VCG	m	32.281
	Equipment	Weight	t	107
		VCG	m	86.000
	Subtotal	Weight	t	1579
		VCG	m	35.932
Ballast	Concrete	Weight	t	3093.9
		VCG	m	2.99
	Water	Weight	t	3785.7
		VCG	m	20.37
Platform + ballast	Weight	t		8458.1
	Freeboard	m		28.568
	CB (center of buoyancy)	m		31.107
	CG (center of gravity)	m		15.826
	Roll inertia	t × m ²		1,261,706
	Pitch inertia	t × m ²		1,228,964
	Yaw inertia	t × m ²		353,848

2.2. Mooring System Specification

The mooring system was designed as the catenary mooring type to suit the depth of 150 m in the waters of the Korea East Sea gas field. The layout and specifications of the mooring system are shown in Figure 4 and Table 4. It was designed as a studless link chain, the length of the chain was 560 m, the diameter was 120 mm, and the dry weight per unit length was 288 kg/m. The drag anchor is located at 532.21 m from the center of the floater, and by installing two clamp weights, it is designed so that lift-up does not occur at the anchor point even if the touched down length is short [40].

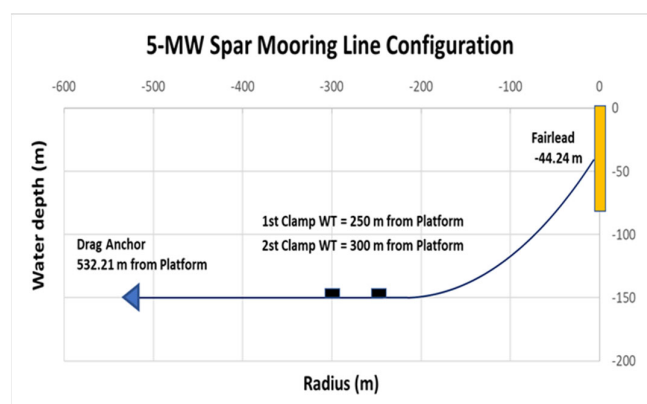


Figure 4. Baseline configuration of the mooring system.

Table 4. Mooring system properties.

Description	Unit	Value
Number of Mooring lines	-	3
Chain type	-	R4 Studless
MBL	kN	13,573
Angle between adjacent lines	deg.	120.0
Depth to anchors below SWL (water depth)	m	150.0
Depth to fairleads below SWL	m	44.24
Radius to anchors from floater centerline	m	532.21
Radius to fairleads from floater centerline	m	7.5
Unstretched mooring line length	m	560.0
Mooring ling diameter	m	0.12
Equivalent mooring line mass density	kg/m	288.0
Equivalent mooring line weight in water	N/m	250.0
Equivalent mooring line extensional stiffness	N	1,229,760,000
Drag anchor ultimate holding capacity	ton	612.0
Clamp Weight (Concrete Block)		
L × B × H	m	2.8 × 2.6 × 2.6
Dry weight	kg	39,400
Wet weight	kg	20,000

3. Extreme Environmental Conditions at the East Sea

The 5 MW SPAR FOWT is planned to be installed in the East Sea of 150 m water depth about 58 km from the Ulsan coastline in Korea. The wind and wave data were obtained from the data recorded for three (2016–2018) years at the nearest Ulsan Marine buoy point. The 50 years return period extreme sea state was estimated using extreme statistical analysis [41]. In the extreme sea state, the 50 years return period wind speed was applied to 39.83 m/s referring to the IEC standard [42,43]. The extreme period of significant wave height was 11.12 m with a wave period is 14.17 s. With reference to the annual tidal report, the extreme current speed was applied at 1.63 m/s [44]. Figure 5 shows the wind rose diagram with different wind speed configurations and Table 5 summarizes the extreme environmental design conditions.

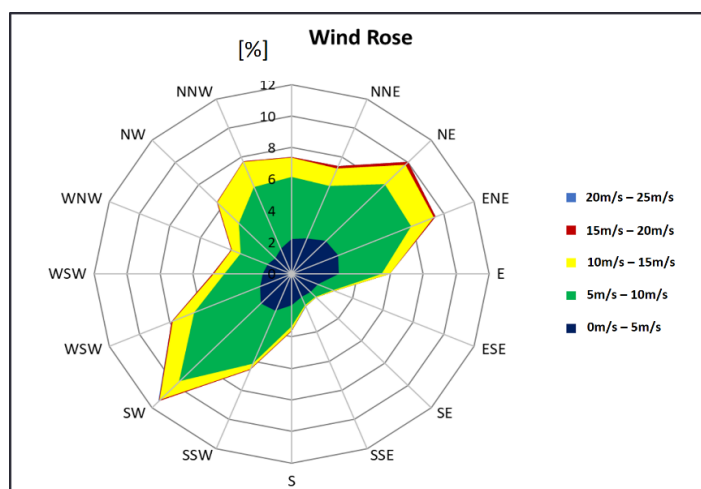


Figure 5. Wind rose with different wind speeds.

Table 5. Extreme environmental design condition.

Type	Items	Unit	50-yr
Wind	10 min at 10 m height	m/s	30.99
	10 min at hub height	m/s	39.83
	1 h at 10 m height	m/s	29.44
	1 h at hub height	m/s	37.49
	Direction	deg	45/225/337.5
	Exponent for profile	-	0.11
Wave	Significant wave height	m	11.117
	Spectral peak period	s	14.171
	Maximum wave height	m	17.859
	Direction	deg	0
Current	Surface	m/s	1.63
	Intermediate	m/s	0.61
	Bottom	m/s	0.34
	Direction	deg	12
Tide	Highest design water level	m	1.48
	Lowest design water level	m	0

4. Fully Coupled Integrated Load Analysis

4.1. Integrated Design and Analysis Platform

Figure 6 shows the structural modeling and analysis process of the substructure for FOWT design using a fully coupled integrated load analysis. Fully coupled load analysis and integrated modeling/design were performed using NREL FAST, UOU code, FEGate, and MSC Nastran.

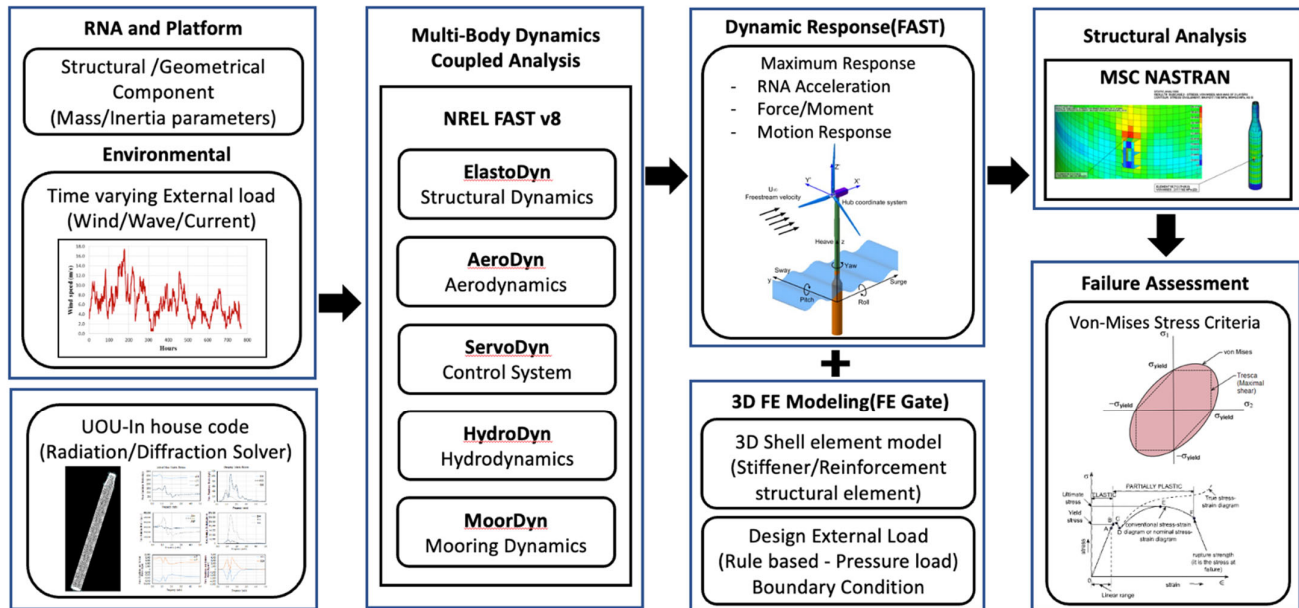


Figure 6. Modeling and analysis process of a FOWT substructure.

The numerical simulation analysis was carried out using FAST representative code to analyze floating offshore wind turbines. The FAST is open-source code developed by NREL. It is primarily used to perform complex aero-elastic analysis of three-bladed horizontal axis wind turbines (HAWTs), and the HydroDyn module was added to analyze floating offshore wind turbines [36]. In the FAST simulation, the hydrodynamic forces are calculated by adding the viscous drag of the Morison equation based on traditional potential theory. The volume and center of buoyancy under the waterline for the scaled floater were confirmed by 3D modeling, and the hydrostatic restoring coefficient was determined by the shape of the floater. The UOU in-house code solves the radiation problem and diffraction problem by using the 3D panel method for the interaction of surface waves with platform geometry through frequency domain analysis. The added mass, radiation damping, and wave excitation forces were obtained from the in-house code, and these values are used input data to the FAST fully coupled analysis of FOWT [45]. The numerical simulation of mooring lines was executed using the MoorDyn module. MoorDyn is based on a lumped-mass modeling approach that is able to capture mooring stiffness, inertia, and damping forces in the axial direction, weight and buoyancy effects, seabed contact forces, and hydrodynamic loads from mooring motion using Morison's equation [46].

From the dynamic analysis in FAST, the following results were used for the structural analysis of the spar structure in MSC NASTRAN (FEGate); six degrees of freedom motions at COG (surge, sway, heave, roll, pitch, yaw). FEGate requires the period value as well as acceleration values directly calculated from the FAST model. The traditional and standard equations from DNVGL were used to derive the periods as mentioned in the above answer. The associated periods corresponding to the six-degrees of motion were calculated from the equation below [47].

The angular acceleration of roll motion shall be taken as Equation (1):

$$a_{roll} = f_p \theta \frac{\pi}{180} \left(\frac{2\pi}{T_\theta} \right)^2 \quad (1)$$

where,

a_0 = acceleration parameter

$f_p = 1.0$ for extreme sea loads design load scenario

θ = roll angle, in deg

T_θ = roll period, in s

Inertial acceleration and period information are used as input for the FEM analysis in MSC NASTRAN (FEGate). In other words, from the FAST simulation, the dynamic response result according to the environmental load of the time varying was derived. Of these, 12 extreme value conditions were extracted for the structural analysis conditions. We used inertial acceleration directly from FAST which was input information for the FEM analysis. As a conservative approach, we also applied the direction of the environmental condition such as wind, wave, and current in which the extreme value occurs was also considered.

In the structural analysis, 3D shell elements were used for the plates and reinforcements such as stiffeners and girders. From the structural analysis, the failure assessment was performed according to the von Mises stress criteria.

4.2. Dynamic Load Case (DLC)

DLC 6.1 was selected for the ultimate limit analysis of the FOWT under extreme environmental conditions [48]. Table 6 shows the summary of the simulation configuration for DLC 6.1.

Table 6. Simulation configuration for DLC 6.1.

Turbine Operational Mode	DLC	Floater Type	Wind Condition	Environmental Directions			Wave & Wind Misalignment	Yaw Error (deg)	Wind Speed at Hub (m/s)	Wave Condition		Current (m/s)	Water Level
				Wind (deg)	Wave (deg)	Current (deg)				Hs (m)	Tp (s)		
Parked (Idling)	6.1	Spar	50 years Storm	45	45	45	Co-direction	-8, 0, +8	39.832	11.117	11.996	1.63	MSL
				45	45	45	Co-direction	-8, 0, +8	39.832	11.117	13.726	1.63	MSL
				45	45	45	Co-direction	-8, 0, +8	39.832	11.117	15.455	1.63	MSL
				-45	-45	-45	Co-direction	-8, 0, +8	39.832	11.117	11.996	1.63	MSL
				-45	-45	-45	Co-direction	-8, 0, +8	39.832	11.117	13.726	1.63	MSL
				-45	-45	-45	Co-direction	-8, 0, +8	39.832	11.117	15.455	1.63	MSL
				-135	-135	-135	Co-direction	-8, 0, +8	39.832	11.117	11.996	1.63	MSL
				-135	-135	-135	Co-direction	-8, 0, +8	39.832	11.117	13.726	1.63	MSL
				-135	-135	-135	Co-direction	-8, 0, +8	39.832	11.117	15.455	1.63	MSL
				-135	-135	-135	Co-direction	-8, 0, +8	39.832	11.117	15.455	1.63	MSL

Dynamic simulations for leveraging structural analysis models are commonly used to calculate wind turbine loading effects. In these cases, the total duration of the loading data should be long enough to ensure statistical reliability of the estimate of the characteristic loading effect. In general, continuous 1 h periods are required for each mean, hub height wind speed, and sea condition considered in the simulation [43]. In this study, each load case consisted of three random seeds of 1 h per simulation to conservatively approach the spectral gap of the wave at low frequencies, which is the low natural frequency of the floating substructure. Moreover, a 3D Kaimal turbulence model with six turbulence fields across all simulations and the wind gradient value of 0.11 was used. Extreme sea state with irregular waves was defined using the Jonswap spectrum. The load safety factor of 1.35 was considered for the load calculation. According to the wind rose previously shown in Figure 5, the environmental loads were applied in three main directions (45°, 135°, and 315°) as shown in Figure 7, and simulated under co-direction conditions by using FAST, an integrated analysis open software by NREL, coupled with the in-house hydrodynamic code developed by the University of Ulsan (Ulsan, Korea).

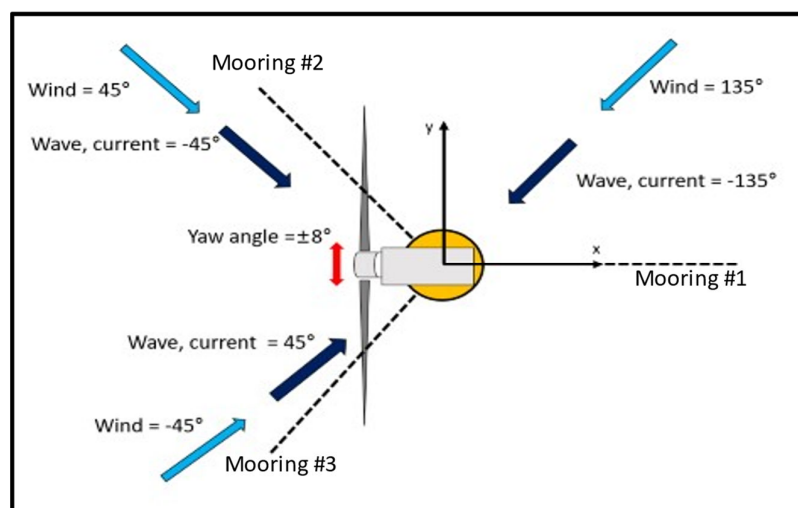


Figure 7. Co-directional conditions of wind, wave, and current.

5. 3D Finite Element Modeling and Structural Analysis of a Spar-Type Substructure

Based on the conceptual layout, the 3D finite element model of the spar-type substructure was constructed with the aid of CATIA (CAD Modeler) and FEGate (FEM Pre/Post Processor) software and later numerically analyzed by MSC Nastran, a commercially available finite element analysis software [49–51]. All structural elements of the substructure were checked for compliance with the requirements of equivalent stress criteria in accordance with DNVGS-ST-0119 [24]. The individual design stress components and von Mises equivalent design stresses of the structure should not be greater than the design resistance considering the safety factors through linear elastic analysis [52].

5.1. 3D Finite Element Model

Finite element modeling was constructed as close as possible to the conceptual layout and basic specification of the spar-type substructure, and all structural components of the floater such as the shell, decks, girders, and reinforced stiffeners are modeled as shell elements. The mesh sizes of the rectangular and triangular shell elements are both set to less than 500 mm × 500 mm. Among the upper part of the FOWT, the RNA component was modeled as the lumped mass element to the center of gravity of the RNA, and the tower component was modeled as shell elements for the wind load application. Figure 8 shows the 3D finite element model of a spar structure. This approach has been used in several studies available in the literature and is in accordance with the DNV-RP-C208 [53].

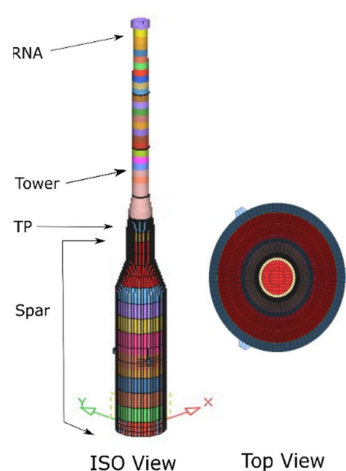


Figure 8. 3D finite element model of a spar structure.

Most shell and reinforcement plates consisting of the spar structure were constructed with the mild steel of grade A and the high tensile steel AH36/DH36 was especially used at the high-stress region such as the mooring fairleads. The corresponding material properties of plates considering the safety factor were listed in Table 7. According to the ABS MODU, the safety factor of 1.43 was used for the static loading analysis [54].

Table 7. Material properties of the plate structures.

Description	Mild Steel (Grade A)	AH36/DH36
Elastic modulus	206,000 MPa	206,000 MPa
Poisson ratio	0.3	0.3
Yield stress	235 MPa	355 MPa
Tensile stress	400 MPa	490 MPa
Material factor (k)	1	0.72
Safety factor	1.43	1.43
Allowable stress	167.86	253.57

The bolted connection between the tower bottom flange and the TP (transition piece) mounted on the spar substructure was also constructed in the FE model, where the bolts were modeled in three different ways to check the sensitivity of bolted connection depending on the element types. Figure 9 shows three different modeling approaches for the bolted connection: RBE3 elements, the combination of RBE3 and beam elements, and solid elements. The flange was also modeled as either a shell element or solid element. The high strength bolt with the grade of 10.9 was used according to ISO 898-1 and the corresponding material property is listed in Table 8 [55].

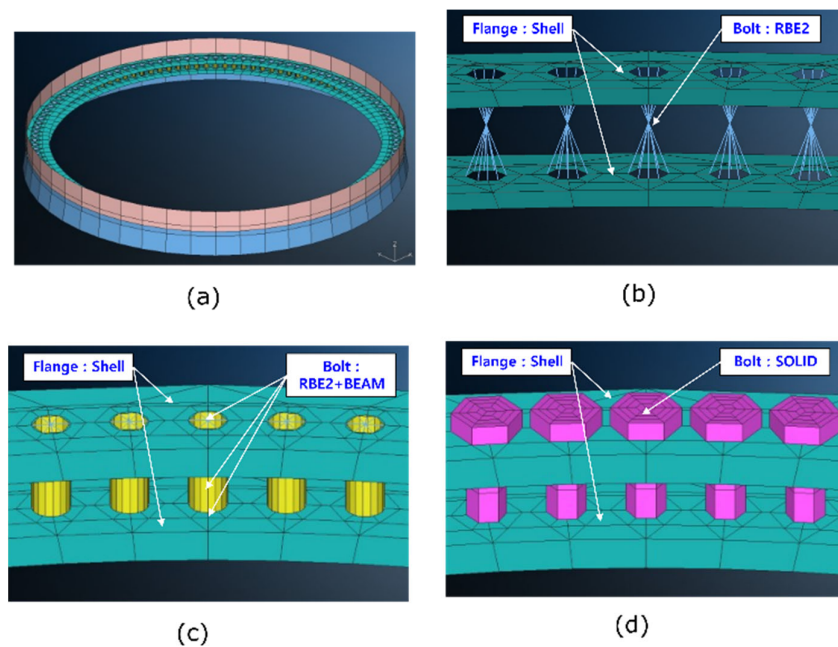


Figure 9. Various element representations of a bolted joint connection. (a) FE modeling of tower bottom and TP flange; (b) Connection method (Flange: Shell, Bolt: RBE2); (c) Connection method (Flange: Shell, Bolt: RBE2+Beam); (d) Connection method (Flange: Shell, Bolt: Solid)

Table 8. Material properties of bolt.

Grade	Tensile Strength (MPa)	Stress under Proof Load (MPa)
10.9	1000	830.0

The three-dimensional (3D) structural finite element (FE) model also incorporated the three mooring lines with the fixed boundary conditions at the end of the mooring lines. The basic shape of the mooring fairlead foundation was modeled and applied to the FE analysis using MPC (multi-point constraint). The external loads such as the structural loads due to the inertial acceleration, buoyance, and gravity, and the environmental loads due to the wind, wave, and current will be explained in the next section.

5.2. Structural Analysis Case

Based on the dynamic responses calculated from FAST coupled with UOU code, 12 cases with the maximum values were selected for the structural analysis of the spar substructure as listed in Table 9. Each analysis cases contain all the external loadings such as dynamic inertia loads from DLC 6.1, gravity-induced loads due to structural weights, buoyance, ballast, and environmental loads from wind, wave, and current.

Table 9. Structural simulation cases.

Case No.	Description
LC01	Max. X-dir. moment (tower base)
LC02	Max. Y-dir. moment (tower base)
LC03	Max. Z-dir. moment (tower base)
LC04	Max. X-dir. force (tower base)
LC05	Max. Y-dir. force (tower base)
LC06	Max. mooring tension
LC07	Max. surge motion

LC08	Max. sway motion
LC09	Max. heave motion
LC10	Max. roll motion
LC11	Max. pitch motion
LC12	Max. yaw motion

5.3. External Loadings

Figure 10 shows the typical dynamic response with six degrees of freedom (surge, sway, heave, roll, pitch, and yaw). Calculated from the fully coupled integrated load analysis.

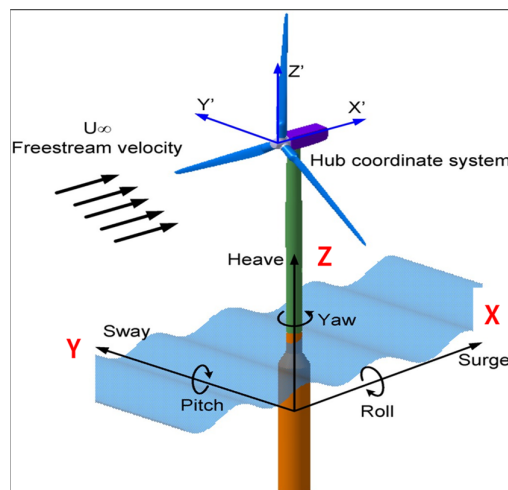
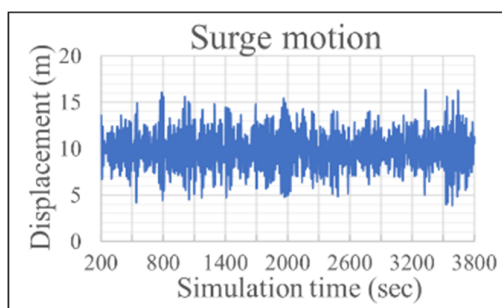


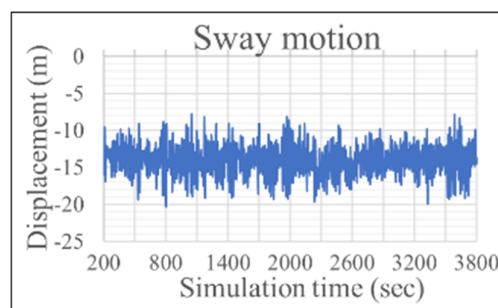
Figure 10. The degrees of freedom of a FOWT with a spar substructure [56].

5.3.1. Dynamic Response from the DLC 6.1

A one-hour simulation for the LC06 case, for example, is shown in Figure 11. As shown in Figure 11, all dynamic response results from the integrated load analysis are listed in Table 10, which were then applied to the FE model of the spar structure as the converted inertial acceleration values based on the periods.



(a) Surge motion



(b) Sway motion

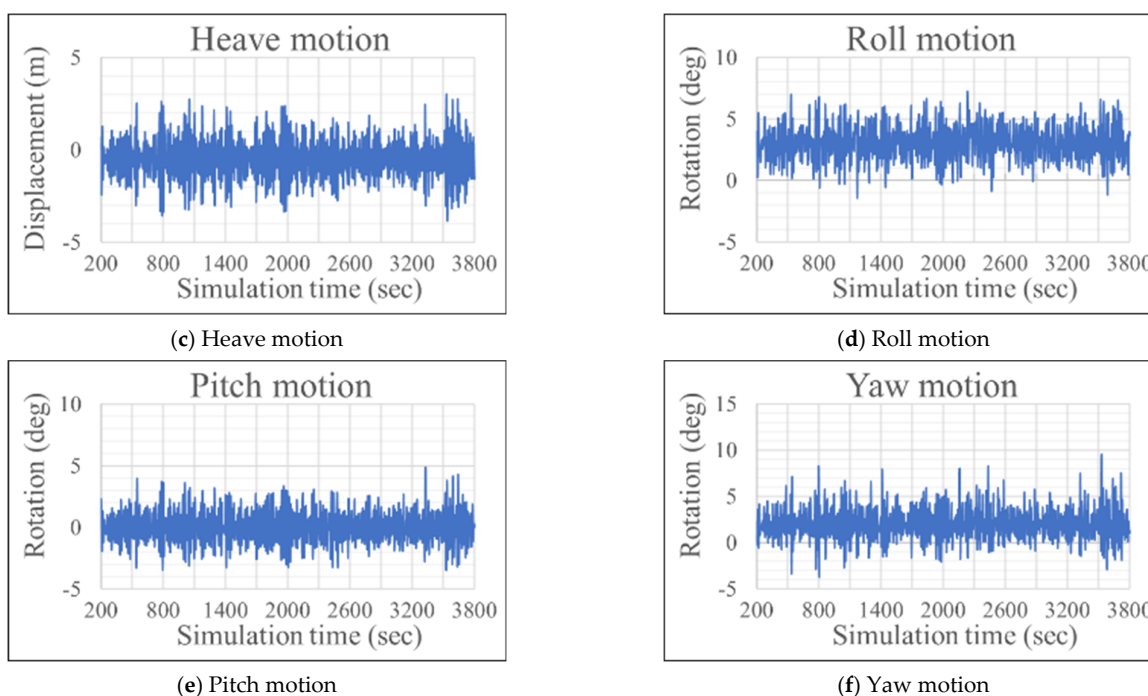


Figure 11. Six D.O.F. motion results of spar-type platform (LC06 case).

Table 10. Dynamic motions of 5 MW FOTW platform.

Case No.	Environment Angle (deg)	Surge	Sway	Heave	Roll	Pitch	Yaw
		Displacement (m)	Displacement (m)	Displacement (m)	Displacement (deg)	Displacement (deg)	Displacement (deg)
		Acceleration (m/s ²)	Acceleration (m/s ²)	Acceleration (m/s ²)	Acceleration (deg/s ²)	Acceleration (deg/s ²)	Acceleration (deg/s ²)
LC01	45	16.17	−18.98	−3.38	6.82	3.71	13.63
		1.578	−1.685	−0.836	1.209	1.113	5.568
LC02	135	−19.01	−25.90	−3.57	4.60	−4.19	3.28
		1.540	−1.564	−0.809	1.025	0.933	1.914
LC03	315	14.65	18.97	−3.37	−6.59	3.68	−19.13
		1.602	1.694	−0.845	−1.25	−1.148	−9.355
LC04	315	16.56	18.35	−3.43	−6.33	3.96	−14.84
		1.589	1.677	−0.8437	−1.198	1.078	6.945
LC05	45	16.17	−18.98	−3.38	6.82	3.71	13.63
		1.578	−1.685	−0.873	1.209	1.113	5.568
LC06	45	16.34	−20.33	−3.85	7.24	4.89	9.55
		1.425	−1.408	−0.726	0.912	0.957	1.913
LC07	135	−19.33	−26.45	−3.87	5.16	−4.69	1.94
		−1.395	−1.392	−0.685	−0.871	−0.900	−0.668
LC08	135	−19.33	−26.45	−3.87	5.16	−4.69	1.94
		−1.395	−1.392	−0.685	−0.871	−0.900	−0.668
LC09	135	−19.33	−26.45	−3.87	5.16	−4.69	1.94
		−1.395	−1.392	−0.685	−0.871	−0.900	−0.668
LC10	45	16.34	−20.33	−3.85	7.24	4.89	9.55
		1.425	−1.408	−0.726	0.912	0.957	1.913
LC11	45	13.70	−20.73	−3.79	7.12	−4.96	11.98

		1.453	−1.408	−0.724	0.934	−1.005	−2.408
LC12	315	13.20	19.39	−3.23	−6.42	−3.75	−19.80
		1.605	1.662	−0.842	−1.224	−1.116	−9.519

5.3.2. Structural Loads

The structural mass information applied to the finite element model is listed in Table 11. The structure weight corresponding to 2316 tons was properly distributed to the entire elements through FE modeling and a buoyancy of 9338 tons is applied as a hydrostatic pressure load to the FE modeling. Moreover, the concrete ballasts were modeled as beam elements with masses and added to the center of gravity of the permanent ballast tank. Figure 12 shows the hydrostatic pressure as a buoyancy loading of 9338.12 tons and pressure loading due to the water ballast loading of 3558.10 tons applied to the substructure.

Table 11. Structural loads.

Description	Value (ton)
Structure weight	2316.24
Buoyancy	9338.12
Permanent ballast	3085.20

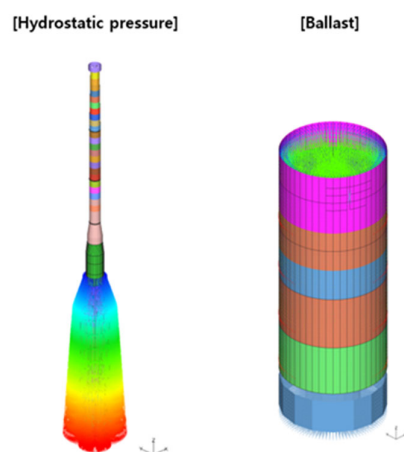


Figure 12. Visual representation of structural loads.

5.3.3. Wind Force

The wind force was calculated from the Equation (2) according to the standards of ABS-MODU.

$$P = f \cdot V_k^2 \cdot C_h \cdot C_s \quad (2)$$

where,

$$f = 0.611$$

V_k = wind velocity (m/s)

C_h = height coefficient (according to th height , 1.48)

C_s = shape coefficeint (cylindrical shapes, 0.5)

The design load is listed in Table 12. In the calculation of wind pressure (P), the shape and vertical height are to be subdivided approximately in accordance with the values of references. A total wind force of 27.77 tons is applied to the FE model, according to the shapes and vertical height of FOWT.

Table 12. Wind forces.

Height above Water Line (m)	Parts	Projection Area (m ²)	Height Coef. (Ch)	Wind Force (ton)
0.0~15.3	Tower	32.50	1.00	1.61
	Platform	72.52	1.00	3.58
15.3~30.5	Tower	76.16	1.10	4.14
30.5~46.0	Tower	68.20	1.20	4.04
46.0~61.0	Tower	66.00	1.30	4.24
61.0~76.0	Tower	65.16	1.37	4.41
76.0~91.5	Tower	60.74	1.43	4.29
91.5~106.5	Tower	19.84	1.48	1.45
Total		461.12		27.77

5.3.4. Wave Load

The wave load was calculated from the Equation (3) based on DNV-RP-H103 [57].

$$F_{wd} = 1/8 \cdot \rho_w \cdot g \cdot R^2 \cdot B \cdot H_s^2 \quad (3)$$

where,

ρ_w = density of sea water (1025 kg/m³)

g = gravity acceleration (9.81 m/s²)

H_s = significant wave height (m)

B = breadth of towed object (m)

The calculated design load was summarized in Table 13. A wave force of 159.41 tons was applied to the FE model at a determined angle notated in each load case.

Table 13. Wave load.

TYPE	Breadth of Towed Object (m)	Reflection Coefficient (R)	Significant Wave Height (m)	Wave Force (ton)
Spar	13.00	0.88	11.117	159.41

5.3.5. Current Load

The current force was calculated from Equation (4) according to the ABS-MODU standards.

$$F_D = (C/2) \cdot D \cdot C_D \cdot u_n \cdot |u_n| \quad (4)$$

where,

$C = 1.025$

D = projected width (m)

C_D = drag coefficient

u_n = component of the velocity vector (m/s)

The drag coefficient is taken 0.62 as references recommended. The current velocity was calculated of average value as the vertical height of the floater. A current force of 23.366 tons is applied to the FE model; it would be drag force for the structure as listed in Table 14.

Table 14. Current load.

TYPE	Projected Area (m ²)	Drag Coefficient (Cd)	Current Velocity (m/s)	Current Force (ton)
Spar	1058.48	0.62	1.63	25.366

Figure 13 shows the visualization of the load distribution applied to the FE model of the spar structure from the environmental loads such as wind, wave, and current.

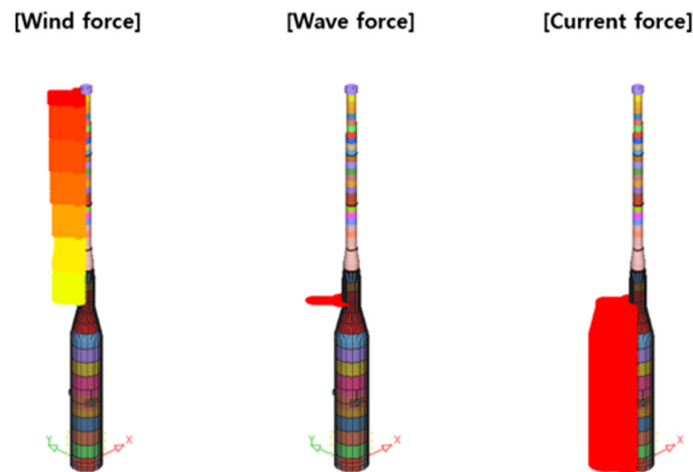


Figure 13. Visual representation of environmental loads (wind force, wave force, and current force).

5.4. Failure Assessment

The structural strength of the spar-type substructure was numerically analyzed by considering all external loadings such as inertia due to dynamic motions, gravitation forces due to weights, wind force, wave force, and current force under extreme conditions in the East Sea in Korea. The linear static analyses of about 12 cases were performed with MSC Nastran, a commercially available finite element analysis software, and the calculated design stress components of the structure were investigated according to the von Mises criteria.

5.4.1. Stresses from the Substructure Platform

Figures 14–16 show that the maximum equivalent stress of 218.08 MPa occurred at the mooring fairlead point from the analysis case 6, which was the reason to apply the high tensile steel AH36/DH36 grade specifically to the fairlead parts. In addition, other structures can be seen where all stresses of shell element mesh of structure are less than the allowable stress of the mild steel A grade. Because all the maximum equivalent stresses (von Mises stresses) from 12 load cases are less than the allowable stress, it can be addressed that the spar-type substructure for the 5 MW floating offshore wind turbine has enough strength to endure extreme conditions. The results showed that the von Mises stresses of the plates including fairlead points on the 12 analysis cases were under the allowable stress (253.57 MPa) of the plate as shown in Table 15.

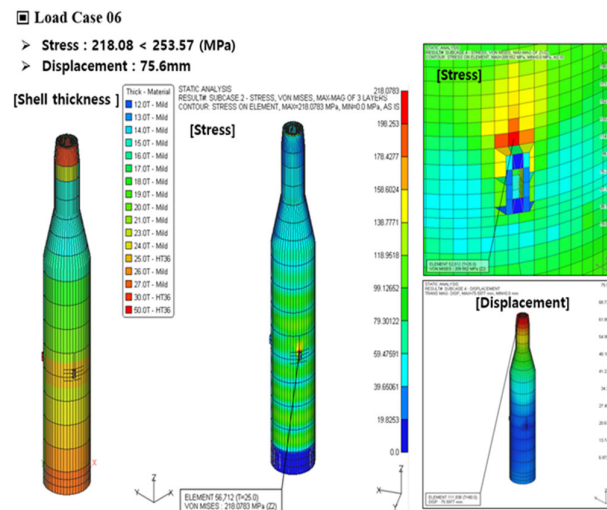


Figure 14. Three-dimensional finite element model and results of a spar structure.

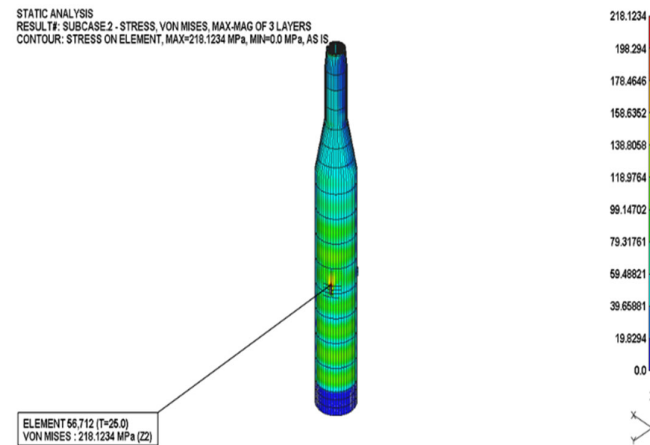


Figure 15. Equivalent stress distribution (LC06).

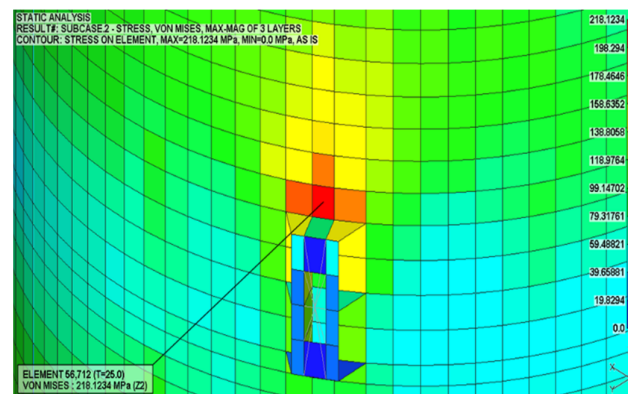


Figure 16. Maximum equivalent stress at fairlead (close up).

Table 15. Maximum equivalent stresses of the plates.

Load Case	Environment Angle (deg)	Equivalent Stress (MPa)	Allowable Stress (MPa)	Result
LC01	45	217.12	253.57	Satisfied
LC02	135	181.35	253.57	Satisfied
LC03	315	215.38	253.57	Satisfied
LC04	315	214.59	253.57	Satisfied
LC05	45	217.12	253.57	Satisfied
LC06	45	218.08	253.57	Satisfied
LC07	135	182.87	253.57	Satisfied
LC08	135	182.87	253.57	Satisfied
LC09	135	182.87	253.57	Satisfied
LC10	45	218.08	253.57	Satisfied
LC11	45	217.73	253.57	Satisfied
LC12	315	214.79	253.57	Satisfied

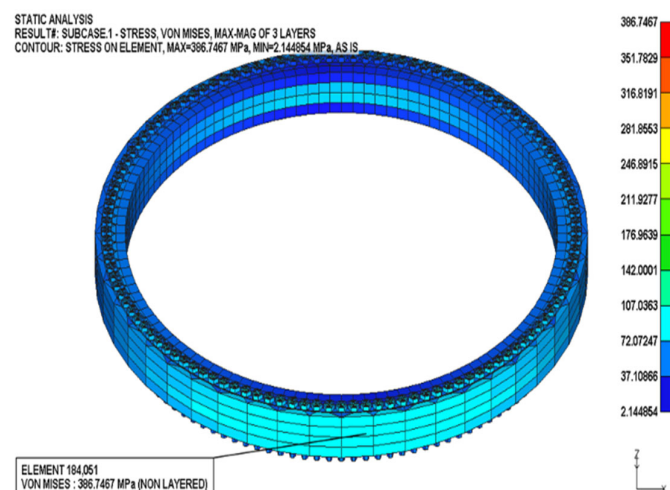
5.4.2. Stresses from the Bolted Joint Connection

Three types of bolt elements and two types of flange elements were studied for the bolted connection joints between TP and the upper part of the substructure, and it was found that the solid element applied to the flange was conservative in the analysis as listed in Table 16.

Table 16. Maximum equivalent stresses of the flange.

Bolt Element	Part	Stress (MPa)	Allowable Stress (MPa)
RBE3	Flange (shell)	88.9	248.25
RBE3 + beam	Flange (shell)	115.9	248.25
solid	Flange (shell)	140.5	248.25
RBE3	Flange (solid)	211.0	248.25
RBE3 + beam	Flange (solid)	201.4	248.25
solid	Flange (solid)	179.9	248.25

Based on the investigation of bolt and shell element types, the solid elements were applied to bolted joint connections of a spar structure FE model. Figures 17 and 18 show the stress distribution of the bolted connection in the simulation case of LC06.

**Figure 17.** Equivalent stress distribution of bolted joint connection (LC06).

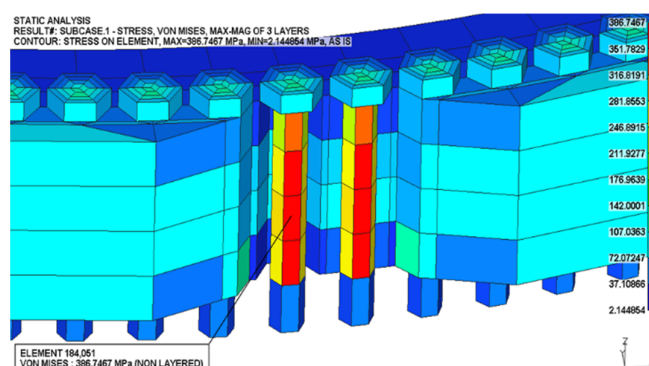


Figure 18. Maximum equivalent stress at bolt (Close-up).

The results showed that the von Mises stresses of bolts on the 12 analysis cases were under the allowable stress (830 MPa) of the bolt as shown in Table 17.

Table 17. Maximum equivalent stresses of bolts.

Load Case	Environment Angle (deg)	Equivalent Stress (MPa)	Allowable Stress (MPa)	Result
LC01	45	369.65	830.00	Satisfied
LC02	135	282.27	830.00	Satisfied
LC03	315	362.07	830.00	Satisfied
LC04	315	350.63	830.00	Satisfied
LC05	45	369.65	830.00	Satisfied
LC06	45	386.75	830.00	Satisfied
LC07	135	304.91	830.00	Satisfied
LC08	135	304.91	830.00	Satisfied
LC09	135	304.91	830.00	Satisfied
LC10	45	386.75	830.00	Satisfied
LC11	45	382.94	830.00	Satisfied
LC12	315	354.44	830.00	Satisfied

6. Conclusions

In this paper, the finite element model of the spar-type substructure for a 5 MW FOWT planned in the East Sea was constructed in detail for structural integrity evaluation under extreme environmental conditions. The extreme environmental conditions in the sea with 150 m water depth about 58 km away from the coastline of Ulsan were also investigated. Based on the data recorded for three years (2016–2018) at the nearest Ulsan Marine buoy point, the 50 years return period extreme sea state was calculated which resulted in extreme wind load, wave load, and current load to be used for the fully coupled integrated load analysis with FAST, a widely used load analysis software developed by NREL, coupled with the in-house hydrodynamic code developed by UOU. From the integrated load analysis, the dynamic responses with six degrees of freedom motions of the floating offshore wind turbine were applied to the FE model of the spar-type substructure under three co-directional conditions (45°, 135°, and 315°) of wind and wave. The three-dimensional (3D) structural finite element (FE) model incorporating the mooring lines and bolted joint connection was constructed in FEGate with the fixed boundary conditions at the end of the mooring lines and the external loads such as the structural loads due to the inertial acceleration, buoyancy, and gravity, and the environmental loads due to the wind, wave, and current. The three-dimensional FE analysis in MSC Nastran software showed that the designed spar-type substructure had enough strength to endure the extreme limitation in the East Sea based on the von Mises

criteria. For future work, the fatigue life calculation and damage tolerance design using the current process will be executed with high fidelity. The performed structural modeling and analysis process based on the fully coupled integrated analysis will also be beneficial to the modeling and analysis of the other FOWT substructures, such as submersible type.

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Abbreviations

CFD	Computational fluid dynamics
CM	Center of mass
FFT	Fast Fourier transform
FOWT	Floating offshore wind turbine
JONSWAP	Joint North Sea Wave Project
PM	Pierson–Moskowitz
QTF	Quadratic transfer function
RAO	Response amplitude operator
RWT	Reference wind turbine
S-FOWT	Superconducting floating offshore wind turbine
SWL	Still water level

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