



A Super Twisting Fractional Order Terminal Sliding Mode Control for DFIG-Based Wind Energy Conversion System

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Abstract: The doubly fed induction generator (DFIG)-based wind energy conversion systems (WECSs) are prone to certain uncertainties, nonlinearities, and external disturbances. The maximum power transfer from WECS to the utility grid system requires a high-performance control system in the presence of such nonlinearities and disturbances. This paper presents a nonlinear robust chattering free super twisting fractional order terminal sliding mode control (ST-FOTSMC) strategy for both the grid side and rotor side converters of 2 MW DFIG-WECS. The Lyapunov stability theory was used to ensure the stability of the proposed closed-loop control system. The performance of the proposed control paradigm is validated using extensive numerical simulations carried out in MATLAB/Simulink environment. A detailed comparative analysis of the proposed strategy is presented with the benchmark sliding mode control (SMC) and fractional order terminal sliding mode control (FOTSMC) strategies. The proposed control scheme was found to exhibit superior performance to both the stated strategies under normal mode of operation as well as under lumped parametric uncertainties.

Keywords: wind energy conversion system (WECS); doubly fed induction generator (DFIG); sliding mode control (SMC); fractional order control (FOC); super twisting sliding mode control (STSMC); terminal sliding mode control (TSMC); fractional order terminal sliding mode control (FOTSMC)

1. Introduction

Since the early 20th century, energy from wind has been utilized to add mechanical power to grind grains or to pump water. The first wind turbine was developed to generate electricity. Wind power was remarked as one of the promising renewable energy sources in the decade 1980–1990 [1]. During the end of the 20th century, the capacity of wind energy has approximately increased twice every three years worldwide. At present, windmills, wind-pumps and wind power plants are effectively working in many countries around the world. Since the early 1980, the cost of electricity generated from wind energy has decreased about one-sixth and the trend seems to continue, for example, in 1982, the average list price of Danish-produced wind turbines was 1770 US\$/kW, and in 1997, the average price was 850 US\$/kW [1]. The use of wind energy does not cause harmful emissions like greenhouse gases during its operating period and, without any surprise, worldwide wind power is one of the rapidly



growing renewable energy sources. According to the World Wind Association, wind capacity over the world has reached up to 597 GW in 2019 [2], which was 318 MW in 2013 [3]. The overall capacity for the past seven years is shown in Figure 1. Owing to this increase, the manufacturers are striving to develop wind turbines with a high capacity of 3–6 MW [4]. The wind energy conversion system (WECS) basically comprises an AC generator, gears, and a wind turbine to extract the maximum power from wind energy and transfer to the AC grid. Doubly fed induction generators (DFIGs) are widely used to extract the energy from the wind with the advantages of resilient structure, wide range speed operation capability, low inverter cost [5], active and reactive power control capability in the four-quadrant region, and torque control. Variable control of the DFIG under varying wind speed is necessary to reduce the stresses on mechanical structures and acoustic noises that are the result of high fluctuations in the electromagnetic torque.

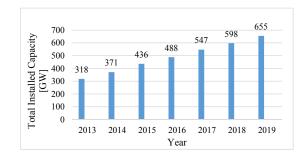


Figure 1. Total globally installed wind power capacity (GW) [2].

The development of a DFIG-based WECS control system is a trivial task. Researchers had already invested in developing a robust control paradigm to improve the performance of the closed-loop DFIG-based WECS. A proportional integral (PI) controller has been widely used as a linear controller for the speed and power control of the DFIG-based WECS. The family of linear controllers—including (1) repetitive control paradigms, (2) deadbeat control, and (3) proportional resonant control—is prone to various problems of robustness and speed convergence under modeled and unmodeled disturbances. A number of nonlinear control techniques have been proposed in the literature in order to cope with the problems of linear controllers. The most recent and prominent control techniques include (1) predictive power control, (2) H-infinity (H_{∞}) control, (3) fuzzy control, (4) artificial neural network (ANN), (5) sliding mode control (SMC), and (6) hybrid SMC techniques.

A predictive direct torque control (DTC) strategy has been proposed in [6] to reduce the torque ripples and flux ripples of the DFIG. A grid-connected strategy was adopted with constant switching frequency using a two-level voltage source converter (VSI). The authors in [7] have proposed a predictive power paradigm for the DFIG-WECS, in which the voltage was calculated directly from the power prediction variation. The accuracy and robustness of the proposed system have been improved by using switching delay compensation methodologies. The predictive control strategies for WECS have been improved by the introduction of optimization schemes. A constrained genetic algorithm (GA) has been proposed in [8] for the DFIG-based WECS. The weighting factors have been determined using a novel GA, and thus provided a better solution for constrained problems in the DFIG systems. The predictive control-based strategies provided a better solution, but these techniques were prone to computational problems. Comparative analysis of H_{∞} controller with SMC and exact feedback linearization [9] have been made in [10]. The main objective was to mitigate the voltage dips in the DFIG-based WECS and to provide an enhanced fault voltage-ride-through operation. A hybrid controller, combining the features of model predictive control (MPC) and H_{∞} controller, has been presented in [11]. An improved convergence feature was ensured using predictive strategy, while H_{∞} control strategy was used to provide enhanced robustness against external disturbances. Artificial intelligence (AI)-based systems have also been proposed to improve the steady-state response

of the DFIG-based WECS. A fuzzy logic controller has been proposed in [12]. The steady-state response was improved and compared with the deadbeat and PI controllers. A hybrid controller based on PI and fuzzy rules has been formulated for the DFIG-WECS [13]. The active and reactive powers were independently controlled and compared with the PI and fuzzy systems. The ANN-based system has also been proposed in the literature. The author in [14] eliminated the flux estimation and inner current loop using ANN based on the rotor loop design. The author in [15] analyzed both the super and sub-synchronous operational modes of the DFIG using ANN at some specific power factor. The adapted control strategy was a field-oriented vector control scheme for the grid side and rotor side converter. A direct power control strategy has been applied in [16] for the DFIG-based WECS using ANN. The direct power control strategy was also proposed in [17] using supervised and fixed models to minimize errors owing to the delay in control time with a shorter execution time. The outcomes from the above ANN-based controller concluded the superior performance over PI-based controllers, but there were certain shortcomings in the execution of ANN. A model-free and intelligent control strategy was proposed in [18]. The authors have addressed the stochastic and nonlinear variations in the DFIG using intelligent control system. The difficulties in ANN were the selection of hidden layers, number of neurons, and selection of suitable rules in the case of fuzzy paradigms.

Apart from these intelligent techniques, variable structure sliding mode control (SMC) has gained increased attention for the DFIG-based WECS owing to its robust nature against both modeled and unmodeled external disturbance, fault scenarios, parameter variations, simple structure, low parameter sensitivity and easy implementation for wind extraction [19], direct power control [20], and maintenance of DC voltage [21]. A number of SMC techniques have been proposed in the literature [22–26]. A novel experimental based SMC has been proposed in [27] using simplified vector control of the DFIG-based WECS. The authors in [28] have proposed an SMC based direct power control strategy to overcome the problem of under-voltage in the DFIG system. The strategy stated was also applied to eliminate the output power oscillation by removing the reactive power ripples interchange in the stator. The control target of achieving symmetrical sinusoidal current in the stator of DFIG was accomplished using an SMC-based power control paradigm. The robustness of the proposed SMC controller was validated using simulation and experimental results on a 2 kW DFIG system. The same strategy has been used in [29] in a discrete-domain to eliminate the power errors through the direct calculation of the rotor voltage. A converter of constant switching frequency was used to simplify the AC harmonic filter design, thus improved the quality of DFIG power in the presence of external distributions and fault scenario. The SMC-based WECS proved to be very robust as compared with previous designed PI-based controllers. The main disadvantage of the classical SMC is the inherently occurring chattering phenomenon owing to the introduction of discontinuous signum function in the control law. A number of hybrid techniques have been proposed in the literature to minimize or completely eliminate the chattering by enhancing the classical SMC. These enhancement techniques include designing different sliding surfaces, reaching law approaches, higher order SMC, and using composite SMC, as shown in Figure 2.

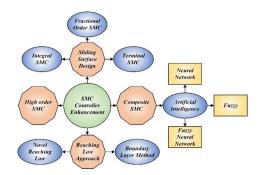


Figure 2. Enhancement made in sliding mode control (SMC) [30].

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A second-order SMC has been developed in [31–33] for DFIG-based WECS. Another second-order SMC has been developed in [34] for the DTC of the DFIG system. The conventional hysteresis control has been replaced with second-order SMC to reduce the flux and torque surface ripples. Classical adapted non-overlapped, fixed, and adjacent horizon adaptation time windows have been revisited with an appropriate receding horizon to model the disturbances and uncertainties due to gusty wind effects. A hybrid SMC has been proposed in [35] through the combination of gravitational search algorithm, its variants, and SMC for DFIG-based WECS. In order to reduce the inherent chattering in the conventional SMC, the author considered the control signals as an objective function [36]. Power flow was performed in [37] using particle swarm optimization based optimized SMC. Another enhancement technique to reduce chattering was the reaching law approach. A number of techniques based on exponential reaching law have been proposed in [38–41] to reduce chattering with an accelerated approaching process.

All of the above-mentioned techniques are integer order techniques. The recently developed fractional order control paradigm with promising features is widely applied for both nonlinear and linear systems [42,43]. The authors in [44] present a comprehensive review of the fractional order integration and differentiation. The authors in [45] have proposed that the fractional order control paradigm provided more degree of freedom with respect to integer order control paradigms. The stability of the fractional order paradigm was ensured by proposing fractional order Lyapunov functions in [46]. A number of fractional order control schemes have been proposed for DFIG-based WECS [47,48]. The authors in [48] have compared the fractional order SMC with integer order SMC schemes for WECS. The authors in [49] have proposed an adaptive fractional order terminal SMC (FOTSMC) for DFIG-based WECS, where a comparative analysis of the FOTSMC with the conventional SMC in terms of chattering reduction and robustness against external disturbances.

Keeping in view the previous research, a very robust and efficient controller is proposed in this paper by combining the attributes of super twisting SMC (STSMC) and FOTSMC, henceforth called super twisting fractional order terminal SMC (ST-FOTSMC). The performance of the proposed technique is validated through simulations carried out in MATLAB/Simulink, and it has been found to have a superior performance when compared with the benchmark SMC and FOTSMC strategies under the normal mode of operation as well as under lumped parametric uncertainties.

Furthermore, the sectional arrangement of this paper is as follows. The wind turbine and DFIG modeling are presented in Section 2. The control paradigm for the rotor side and grid side converter is presented in Section 3. Section 4 describes the performance evaluation of the proposed control strategy under two different case studies in Matlab/Simulink. Finally, the paper is concluded in Section 5. The basic definitions for fractional calculus are given in Appendix A.

2. DFIG-Based WECS Modeling

This section presents the complete model of the grid side converter, rotor side converters, and wind turbine. The mutual operation of the DFIG-based converters and wind turbine system is shown in Figure 3a.

2.1. Wind Turbine Model

The primary application of a wind turbine is to convert the kinetic energy of wind into mechanical energy. This mechanical energy is expressed in terms of aerodynamic power extracted from the wind. Because the wind speed is stochastic in nature, the power extracted from the wind turbine can never be as much as its total capacity. The aerodynamic power can also be calculated using some special software, as performed in [50].

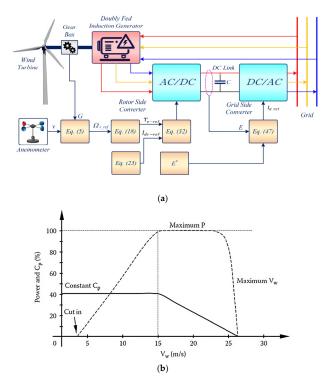


Figure 3. (a) Schematic of the doubly fed induction generator (DFIG)-based wind energy conversion system (WECS); (b) ideal wind turbine power curve.

The aerodynamic power depends on many factors including wind speed and wind turbine geometry, and is given as follows:

$$P = \frac{1}{2}\rho\pi R^2 C_p(\lambda,\beta)v^3 \tag{1}$$

where ρ is the air density; *R* denotes the rotor radius of the wind turbine; *v* is the wind speed; $C_p(\lambda, \beta)$ is the power coefficient, which is dependent on the geometry and shape of rotor blades; and λ and β represents the tip speed ratio and pitch angle, respectively, The tip speed ratio λ is given as:

$$\lambda = \frac{\Omega_t R}{v} \tag{2}$$

where Ω_t is the angular shaft speed of the wind turbine. C_p and λ are interrelated by a function given as follows:

$$C_p = c_1 \left(\frac{c_2}{\lambda} - 1\right) e^{\frac{-c_3}{\lambda}} \tag{3}$$

where c_1 , c_2 , and c_3 are positive constants. Referring to Figure 3b, it can be concluded that the maximum power is extracted when $\lambda = \lambda_{opt}$, thus giving the maximum value of $C_p = C_{p-max}$. The wind turbine torque is expressed as follows:

$$T_r = \frac{T_t}{G}\Omega_t = \frac{\Omega_r}{G} \tag{4}$$

where *G* represents gear ratio, Ω_r is generator speed, T_r is the generator torque, and T_t is the aerodynamic torque. Substituting Equation (4) into (1) and (2), the reference generator speed Ω_{r_ref} and the reference grid power P_{grid_ref} are given as follows:

$$\Omega_{r_ref} = \frac{\lambda_{opt} G}{R} v$$

$$P_{grid-ref} = \frac{1}{2} \eta \rho \pi^2 C_{p-max} v^3$$
(5)

where η is the efficiency of the wind turbine.

2.2. Doubly Fed Induction Generator Model

It is difficult to represent an asynchronous machine in three phases owing to its strong coupling. A DFIG model in the *dq*-synchronously reference frame is given below [51]:

$$V_{ds} = R_s I_{ds} + \frac{d}{dt} \varphi_{ds} - \omega_s \varphi_{qs}$$

$$V_{ds} = R_s I_{qs} + \frac{d}{dt} \varphi_{qs} + \omega_s \varphi_{ds}$$

$$V_{dr} = R_r I_{ds} + \frac{d}{dt} \varphi_{dr} - (\omega_s - \omega_r) \varphi_{qs}$$

$$V_{qr} = R_r I_{qs} + \frac{d}{dt} \varphi_{qr} - (\omega_s - \omega_r) \varphi_{ds}$$

$$(6)$$

$$\varphi_{qs} = L_s I_{dr} + M I_{ds}$$

$$\varphi_{qs} = L_s I_{qr} + M I_{ds}$$

where V_{ds} and V_{qs} are the stator voltage components; I_{ds} and I_{qs} are the stator current components; V_{dr} and V_{qr} are the rotor voltage components; I_{dr} and I_{qr} are the rotor current components; φ_{ds} and φ_{qs} are stator flux components; φ_{dr} and φ_{qr} are rotor flux components; R_s and R_r are the resistors of the stator and rotor, respectively; $\omega_r = p\Omega_r$ and ω_s are the rotor and stator angular velocity respectively; L_r and L_s are the inductances of the rotor and stator, respectively; and p is the number of pole pairs.

The generator rotating parts dynamics are given by the following:

$$J\frac{d}{dt}\Omega_r = T_{em} - T_r - f_r\Omega_r \tag{7}$$

where T_{em} is the electromagnetic torque, T_L is the load torque, J is the inertia, and F is the viscous friction coefficient. The electromagnetic torque T_{em} is given as follows:

$$T_{em} = P \frac{MV_s}{\omega_s L_s} \Big(\varphi_{qs} I_{dr} - \varphi_{ds} I_{qr} \Big)$$
(8)

Aligning the reference frame to the *d*-axis of stator flux, we have $\varphi_{ds} = \varphi_s$ and $\varphi_{qs} = 0$, and thus (8) can be simplified as follows:

$$T_{em} = -p \frac{MV_s}{\omega_s L_s} \varphi_s I_{qr} \tag{9}$$

Neglecting the per phase stator resistance and making the stature flux constant, $V_{ds} = 0$, and $V_{qr} = V_s = V_{dr} = \omega_s \varphi_s$. Using this assumption and substituting (9) in (6) and (7), we get the rotor voltages, active power, and reactive power, given as follows:

$$\left\{P_s = -\frac{MV_s}{L_s}I_{qr}; \quad Q_s = \frac{V_s^2}{\omega_s L_s}I_{qr} - \frac{MV_s}{L_s}I_{dr} \right. \tag{11}$$

Here, $\sigma = 1 - \frac{M}{L_r L_s}$ and $s = \frac{\omega_s - \omega_r}{\omega_s}$.

The rotor current in terms of stator flux can be given as follows:

$$\frac{d}{dt}I_{dr} = \frac{1}{\sigma L_r} \left(V_{dr} - R_r I_{dr} + s\sigma L_r \omega_s I_{qr} - \frac{M}{L_s} \frac{d}{dt} \varphi_{ds} \right)$$

$$\frac{d}{dt}I_{qr} = \frac{1}{\sigma L_r} \left(V_{qr} - R_r I_{qr} + s\sigma L_r \omega_s I_{dr} - s\omega_s \frac{M}{L_s} \frac{d}{dt} \varphi_{ds} \right)$$
(12)

3. Rotor Side and Grid Side Converter Control

A robust nonlinear and chattering free ST-FOTSMC-based paradigm is presented in this section. The overall control structure of the DFIG-based WECS works in two loops, which are the outer loop and inner loop control. A cascaded control structure is adopted in WECS control on the basis of the fact that the mechanical subsystem (outer loop) of the DFIG-based WECS is slower than the electrical subsystem (inner loop). The outer loop regulates the speed of the DFIG, while the inner loop regulates the electromagnetic torque and *d*-axis rotor current.

3.1. Speed Control

The outer loop speed control is formulated using Equation (7) and is given as follows:

$$\frac{d}{dt}\Omega_r = \frac{T_{em}}{J} + d_3, \quad d_3 = -\frac{1}{J}(T_r + f_r\Omega_r)$$
(13)

where T_{em} is the control input and d_3 is the lumped uncertainty. The speed tracking error is chosen as $e_{\omega} = \Omega_r - \Omega_{r_ref}$. Taking the derivative of Ω_r and substituting $\dot{\Omega}_r$ from Equation (28) in the derivative of speed error, we get the following:

$$\dot{e}_{\omega} = \dot{\Omega}_r - \dot{\Omega}_{r_ref}; \ \dot{e}_{\omega} = \frac{T_{em}}{J} + d_3 - \dot{\Omega}_{r_ref}.$$
(14)

Using the fractional order calculus, the proposed surface is presented as follows:

$$S_{\omega} = c_5 D^{-\alpha} e_{\omega} + c_6 D^{\alpha} |e_{\omega}|^{\gamma} sgn(e_{\omega}).$$
⁽¹⁵⁾

The surface derivative is given as follows:

$$\frac{\dot{S}_{\omega} = c_5 D^{1-\alpha} e_{\omega} + c_6 D^{\alpha} \underbrace{D^1 |e_{\omega}|^{\gamma} sgn(e_{\omega})}_{\dot{S}_{\omega} = c_5 D^{1-\alpha} e_{\omega} + c_6 \gamma D^{\alpha} |e_{\omega}|^{\gamma-1} \dot{e}_{\omega}}$$
(16)

By applying $D^{-\alpha}$ to both sides of Equation (16) and then substituting the value of \dot{e}_{ω} , we get the following:

$$D^{\overline{\alpha}}S_{\omega} = c_5 D^{2-2\alpha} e_{\omega} + c_6 \gamma \left(\frac{|e_{\omega}|^{\gamma-1} Tem}{J} + |e_{\omega}|^{\gamma-1} d_3 - |e_{\omega}|^{\gamma-1} \dot{\Omega}_{r-ref}\right) \text{ where } D^{\overline{\alpha}} = D^{1-\alpha}$$
(17)

The equivalent control law T_{em_eq} is derived from Equation (17) and the discontinuous control component T_{em_sw} is designed based on a super twisting control method and is given as follows:

$$U_{\omega} = T_{e-ref} = T_{em_eq} + T_{em-sw}$$

$$T_{em_eq} = J|e_{\omega}|^{1-\gamma} \left[\frac{|e_{\omega}|^{\gamma-1}\dot{\Omega}_{r-ref}}{c_{6\gamma}} - \frac{c_5}{c_{6\gamma}}D^{1-2\alpha}e_{\omega} \right]$$

$$T_{em-sw} = J|e_{\omega}|^{1-\gamma} \left[-\frac{\lambda_{\omega}}{c_{6\gamma}}|S_{\omega}|^{\frac{1}{2}} sign\left(S_{\omega}\right) + u_1 \right]$$
(18)

In Equation (18), the term $u_1 = -\frac{\beta_{\omega}}{c_{6\gamma}} \int sign(S_{\omega})$ and $\lambda_{\omega} > 0$ and $\beta_{\omega} > 0$ represent control gains. To prove that the controller derived in Equation (18) is closed-loop stable, the Lyapunov function is expressed as $V_1 = 0.5S_{\omega}^2$. Applying the fractional operator $D^{\overline{\alpha}}$ to the Lyapunov function V_1 yields the following expression [3].

$$D^{\overline{\alpha}}V_{1} \leq S_{\omega}D^{\overline{\alpha}}S_{\omega} + \sum_{j=1}^{\infty} \frac{\mathcal{T}(1+\overline{\alpha})}{\mathcal{T}(1+\overline{\alpha}-j)(1+j)} D^{j}S_{\omega}D^{\overline{\alpha}-j}S_{\omega}$$
(19)

Consider the following inequality [3]:

$$\sum_{j=1}^{\infty} \frac{\mathcal{T}(1+\overline{\alpha})}{\mathcal{T}(1+\overline{\alpha}-j)(1+j)} D^{j} S_{\omega} D^{\overline{\alpha}-j} S_{\omega} \le \rho |S_{\omega}|$$
(20)

By combining the Equations (17)–(20), the following expression is obtained.

$$D^{\overline{\alpha}}V_1 \le S_{\omega} \left(c_5 D^{1-2\alpha} + e_{\omega} c_6 \gamma \left(\frac{|e_{\omega}|^{\gamma-1}}{J} (J|e_{\omega}|^{1-\gamma} \left[\frac{|e_{\omega}|^{\gamma-1} \dot{\Omega}_{r-ref}}{c_{6\gamma}} - \frac{c_5}{c_{6\gamma}} D^{1-2\alpha} e_{\omega} - \right] \right)$$

$$(21)$$

$$\frac{\lambda_{\omega}}{c_{6\gamma}}|S_{\omega}|^{\frac{1}{2}}sign\left(S_{\omega}\right) - \frac{\beta_{\omega}}{c_{6\gamma}}\int sign\left(S_{\omega}\right) \Big] + |e_{\omega}|^{\gamma-1}d_{3} - |e_{\omega}|^{\gamma-1}\dot{\Omega}_{r-ref}\Big) \rho|S_{\omega}|$$
(21)

After simplification, Equation (21) is expressed as follows.

$$D^{\overline{\alpha}}V_{1} \leq -\lambda_{\omega}|S_{\omega}|^{\frac{1}{2}}|S_{\omega}| - \beta_{\omega}\int |S_{\omega}| + \rho|S_{\omega}|$$
⁽²²⁾

By choosing λ_{ω} and β_{ω} such that $\lambda_{\omega}|S_{\omega}|^{\frac{1}{2}} |S_{\omega}| + \beta_{\omega} \int |S_{\omega}| > \rho|S_{\omega}|$, the expression $D^{\overline{\alpha}}V_1$ is always negative.

3.2. Current Control

The aim of this current control is to derive a controls strategy such that x tracks the given reference x_{ref} in the presence of uncertainty. The given current reference trajectory is defined as follows:

$$x_{ref} = \left[I_{dr_ref} \ I_{qr_ref} \right]^T \tag{23}$$

Using Equation (9), the reference current is calculated as follows:

$$I_{qr-ref} = \frac{\omega_s L_s}{PMV_s} T_{em-ref}$$
(24)

The *d*-axis reference current is derived by substituting $Q_{s-ref} = 0$, in reference reactive power $Q_{s-ref} = \frac{V_s^2}{\omega_s L_s} - \frac{MV_s}{\omega_s L_s} I_{dr}$ and is given as follows:

$$I_{dr-ref} = \frac{V_s}{\omega_s M} \tag{25}$$

The tracking errors of current are defined as follows:

$$e = x - x_{ref} = \begin{bmatrix} e_d & e_q \end{bmatrix}^T = \begin{bmatrix} I_{dr} - I_{dr_{ref}} & I_{qr} - I_{qr_{ref}} \end{bmatrix}$$
(26)

The error derivative is given as follows:

$$\begin{cases} \dot{e}_{d} = \frac{1}{\sigma L_{r}} \left(V_{dr} - R_{r} I_{dr} + s\sigma L_{r} \omega_{s} I_{qr} - \frac{M}{L_{s}} \frac{d}{dt} \varphi_{ds} \right) - \dot{I}_{dr_ref} \\ \dot{e}_{q} = \frac{1}{\sigma L_{r}} \left(V_{qr} - R_{r} I_{qr} + s\sigma L_{r} \omega_{s} I_{dr} - s\omega_{s} \frac{M}{L_{s}} \frac{d}{dt} \varphi_{ds} \right) - \dot{I}_{qr_ref} \end{cases}$$
(27)

Hence, Equation (27) can be written as follows:

$$\begin{cases} \dot{e}_d = G_1 + \frac{1}{\sigma L_r} V_{dr} - \frac{1}{\sigma L_r} R_r I_{dr} \\ \dot{e}_q = G_2 + \frac{1}{\sigma L_r} V_{qr} - \frac{1}{\sigma L_r} R_r I_{qr} \end{cases} \text{ where } \begin{cases} G_1 = \frac{1}{\sigma L_r} \left(s\sigma L_r \omega_s I_{qr} - \frac{M}{L_s} \frac{d}{dt} \varphi_{ds} \right) - \dot{I}_{dr_ref} \\ G_2 = \frac{1}{\sigma L_r} \left(s\sigma L_r \omega_s I_{dr} - s\omega_s \frac{M}{L_s} \frac{d}{dt} \varphi_{ds} \right) - \dot{I}_{qr_ref} \end{cases}$$
(28)

The proposed sliding surfaces and their first derivatives are given as follows:

$$\begin{cases} S_d = c_1 D^{-\alpha} e_d + c_2 D^{\alpha} |e_d|^{\gamma} sgn(e_d) \\ S_q = c_3 D^{-\alpha} e_q + c_4 D^{\alpha} |e_q|^{\gamma} sgn(e_q) \end{cases}$$
(29)

$$\begin{cases} \dot{S}_d = \left(c_1 D^{1-\alpha} e_d + c_2 \gamma D^{\alpha} |e_d|^{\gamma-1} \dot{e}_d\right) \\ \dot{S}_q = \left(c_3 D^{1-\alpha} e_q + c_4 \gamma D^{\alpha} |e_q|^{\gamma-1} \dot{e}_q\right) \end{cases}$$
(30)

Applying $D^{-\alpha}$ to both sides of Equation (30), setting $D^{1-\alpha} = D^{\overline{\alpha}}$ and substituting Equation (28), we get the following:

$$\begin{pmatrix}
D^{\overline{\alpha}}S_d = c_1 D^{1-2\alpha} e_d + c_2 \gamma |e_d|^{\gamma-1} (G_1 + \frac{1}{\sigma L_r} V_{dr} - \frac{1}{\sigma L_r} R_r I_{dr}) \\
D^{\overline{\alpha}}S_q = c_3 D^{1-2\alpha} e_q + c_4 \gamma |e_q|^{\gamma-1} (G_2 + \frac{1}{\sigma L_r} V_{qr} - \frac{1}{\sigma L_r} R_r I_{qr})
\end{cases}$$
(31)

The equivalent control laws $[V_{dr_eq} V_{qr_eq}]$ are derived from Equation (31) and the discontinuous control components $[V_{dr_sw} V_{qr_sw}]$ are designed based on a super twisting control method.

$$\begin{cases} V_{dr_{eq}} = \sigma L_r \bigg[-G_1 + \frac{1}{\sigma L_r} R_r I_{dr} - \frac{c_1 |e_d|^{1-\gamma} D^{1-2\alpha} e_d}{c_2 \gamma} \bigg] \\ V_{dr_sw} = -\frac{\lambda_{\omega 1 |e_d|^{1-\gamma}}}{c_2 \gamma} |S_d|^{\frac{1}{2}} sign(S_d) - \frac{\beta_{\omega_{1 |e_d|}^{1-\gamma}}}{c_2 \gamma} \int sign(S_d) \\ V_{qr_{eq}} = \sigma L_r \bigg[-G_2 + \frac{1}{\sigma L_r} R_r I_{qr} - \frac{c_3 |e_q|^{1-\gamma} D^{1-2\alpha} e_q}{c_4 \gamma} \bigg] \\ V_{dr_sw} = -\frac{\lambda_{\omega 2 |e_q|^{1-\gamma}}}{c_4 \gamma} |S_q|^{\frac{1}{2}} sign(S_q) - \frac{\beta_{\omega_{2 |e_q|}^{1-\gamma}}}{c_4 \gamma} \int sign(S_q) \end{cases}$$
(32)

Thus, the complete control law, which is $u = \begin{bmatrix} U_d \\ U_q \end{bmatrix} = \begin{bmatrix} V_{dr_{eq}} + V_{dr_sw} \\ V_{qr_{eq}} + V_{qr_sw} \end{bmatrix}$, is deduced from Equation (32). In Equation (32), the constant terms $[\lambda_{\omega 1} > 0 \ \lambda_{\omega 2} > 0]$ and $[\beta_{\omega 1} > 0 \ \beta_{\omega 2} > 0]$ represent control gains. To prove the closed-loop stability of the controller derived in Equation (32), the Lyapunov function is expressed as $V_2 = 0.5(S_d^2 + S_q^2)$. Applying the concept presented in Equation (20) and by applying fractional operator $D^{\overline{\alpha}}$ to the Lyapunov function V_2 yields the following expression [3].

$$D^{\overline{\alpha}}V_2 \le S_d D^{\overline{\alpha}}S_d + S_q D^{\overline{\alpha}}S_q + \rho_1 |S_d| + \rho_2 |S_q|$$
(33)

In Equation (33), ρ_1 and ρ_2 represent positive constants. By combining Equations (31)–(33), the following expression is obtained.

$$D^{\overline{\alpha}}V_{2} \leq -\lambda_{\omega 1}|S_{d}|^{\frac{1}{2}} |S_{d}| - \lambda_{\omega 2}|S_{q}|^{\frac{1}{2}} |S_{q}| - \beta_{\omega 1} \int |S_{d}| - \beta_{\omega 2} \int |S_{q}| + \rho_{1}|S_{d}| + \rho_{2}|S_{q}|$$
(34)

By choosing the constant terms $[\lambda_{\omega 1} \lambda_{\omega 2}]$ and $[\beta_{\omega 1} \beta_{\omega 2}]$ such that $\lambda_{\omega 1}|S_d|^{\frac{1}{2}} |S_d| + \lambda_{\omega 2}|S_q|^{\frac{1}{2}} |S_q| + \beta_{\omega 1} \int |S_d| + \beta_{\omega 2} \int |S_q| > \rho_1 |S_d| + \rho_2 |S_q|$, the expression $D^{\overline{\alpha}} V_2$ is always negative.

3.3. Grid Side Control

The magnitude and flow direction of power through the DFIG rotor are not always constant owing to both gusty and sluggish behavior of wind flow. The consistency of the DC link voltage is the key objective of grid side control under the aforementioned circumstances. For this purpose, a vector control strategy is deployed with the orientation of the reference frame along with the grid voltage or stator voltage [3]. Hence, the active and reactive power after $V_s = V_d$ and $V_q = 0$ are given as follows:

$$P = \frac{3}{2} \Big(V_d I_d + V_q I_q \Big) = \frac{3}{2} (V_d I_d) \\ Q = \frac{3}{2} \Big(V_q I_d + V_d I_q \Big) = \frac{3}{2} \Big(V_d I_q \Big)$$
(35)

Equation (35) reveals that the power flow between the grid side converter and grid is proportional to the value of I_d and I_q . The active power flow between the grid and the grid side converter is equal to the DC power. Hence, the dynamics can be expressed as follows:

$$I_{os} = \frac{3}{2E} V_d I_d \tag{36}$$

$$C\frac{dE}{dt} = I_{os} - I_{or} \tag{37}$$

where *E* is the DC link voltage. Substituting Equation (36) in Equation (37), we get the following:

The function g(x) is expressed as follows:

$$g(x) = g_0(x) + \Delta g(x); \ g_o(x) = \frac{1}{C} \frac{3}{2E^*} V_d$$
(39)

where E^* is the reference value of *E*, while $\Delta g(x)$ is the uncertainty term. Substituting g(x) from Equation (39) into Equation (38) yields the following:

$$\dot{E} = g_0(x)I_d - \frac{1}{C}I_{or} + dE; \ dE = \Delta g(x)I_d$$
(40)

The voltage tracking error e_E and its derivative \dot{e}_E are defined as follows:

$$e_E = E - E^* \tag{41}$$

$$\dot{e}_E = \dot{E} - \dot{E} \tag{42}$$

Replacing *E* from Equation (40) we get the following:

$$\dot{e}_E = g_0(x)I_d - \frac{1}{C}I_{or} + dE - \dot{E}^*$$
(43)

By defining a fractional order surface S_E and its derivative S_E , we have the following:

$$S_E = c_7 D^{-\alpha} e_E + c_8 D^{\alpha} |e_E|^{\gamma} sgn(e_E)$$

$$\dot{S}_E = c_7 D^{1-\alpha} e_E + c_8 \gamma D^{\alpha} |e_E|^{\gamma-1} \dot{e}_E$$

$$\left.\right\}$$
(44)

Applying fractional operator $D^{-\alpha}$ to Equation (44), we have the following:

$$D^{1-\alpha}S_E = c_7 D^{1-2\alpha} e_E + c_8 \gamma |e_E|^{\gamma-1} \dot{e}_E$$
(45)

A simplified equation is obtained by replacing $D^{1-\alpha}$ on $D^{\overline{\alpha}}$ and substituting the value of \dot{e}_E from Equation (43) in Equation (46), which yields the following:

$$D^{\overline{\alpha}}S_E = c_7 D^{1-2\alpha} e_E + c_8 \gamma \left(|e_E|^{\gamma-1} g_0(x) I_d - \frac{|e_E|^{\gamma-1}}{C} I_{or} + |e_E|^{\gamma-1} dE - |e_E|^{\gamma-1} \dot{E}^* \right)$$
(46)

The equivalent control law $I_{d_{eq}}$ is derived from Equation (46) and the discontinuous control component $I_{d_{sw}}$ is designed based on a super twisting control method.

$$\begin{bmatrix} I_{d_eq} = \frac{1}{g_0(x)} |e_E|^{1-\gamma} \left[\frac{|e_E|^{\gamma-1}}{C} I_{or} - |e_E|^{\gamma-1} \dot{E}^* - \frac{c_7}{c_8\gamma} D^{1-2\alpha} e_E \right] \\ I_{d_sw} = -\frac{\lambda_{\omega 3|e_E|^{1-\gamma}}}{g_0(x)c_8\gamma} |S_E|^{\frac{1}{2}} sign\left(S_E\right) - \frac{B_{\omega 3|e_E|^{1-\gamma}}}{g_0(x)c_8\gamma} \int sign\left(S_E\right)$$
(47)

Thus, the complete control law, $I_{d_ref} = I_{d_eq} + I_{d_sw}$, is deduced from (47). In Equation (47), the constant terms $\lambda_{\omega3} > 0$ and $\beta_{\omega3} > 0$ represent control gains. To prove the closed-loop stability of the controller derived in Equation (47), the Lyapunov function is expressed as $V_3 = 0.5(S_E^2)$. Applying the concept presented in (20) and by applying fractional operator $D^{\overline{\alpha}}$ to the Lyapunov function V_3 yields the following expression [3].

$$D^{\alpha}V_{3} \le S_{\mathrm{E}}D^{\alpha}S_{\mathrm{E}} + \rho_{3}|S_{\mathrm{E}}| \tag{48}$$

In Equation (48), ρ_3 represents a positive constant. By combining Equations (46)–(48), the following expression is obtained.

$$D^{\overline{\alpha}}V_3 \le -\lambda_{\omega 3}|S_E|^{\frac{1}{2}} |S_E| - \beta_{\omega 3} \int |S_E| + \rho_3|S_E|$$
(49)

By choosing $\lambda_{\omega 3}$ and $\beta_{\omega 3}$ such that $\lambda_{\omega 3}|S_E|^{\frac{1}{2}} |S_E| + \beta_{\omega 3} \int |S_E| > \rho_3|S_E|$, the expression $D^{\overline{\alpha}}V_3$ is always negative.

4. Results and Discussion

Numerical simulations were carried out in Matlab/Simulink environment to analyze and validate the effectiveness of the proposed control paradigm. The parameters of the proposed controller were selected using Matlab/Simulink optimization toolbox. The minimization of the objective function was carried out using the criteria of integral absolute error. The authors in [52] have presented the parameter selection criteria using response optimization. The selected values of parameters are given in Table A1. Figure 4 shows the wind speed profile used in the simulation. Two cases are discussed in detail for both the rotor side as well as the grid side converter's control.

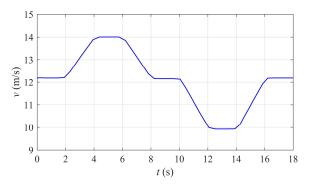


Figure 4. Wind speed profile.

4.1. CASE 1

In this case, the system is supposed to operate in ideal conditions, such that it is not affected by any uncertainty or external disturbance.

Figure 5a shows the speed response of the DFIG for the SMC, FOTSMC, and proposed ST-FOTSMC strategies. The SMC scheme exhibits an oscillatory response owing to inherent chattering. The FOTSMC strategy alleviates chattering through the fractional approach. The proposed ST-FOTSMC scheme offers superior and accurate chattering-free speed tracking to the other two candidates. This is further validated by the speed tracking error e_{ω} , illustrated in Figure 5b, where the speed tracking error is the minimum for the proposed strategy as compared with the SMC and FOTSMC techniques.

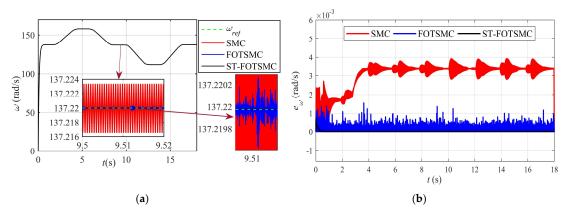


Figure 5. (a) Reference speed tracking comparison under normal conditions; (b) speed error comparison.

The active and reactive power comparisons for the DFIG stator are depicted in Figure 6a,b, respectively. The active power with the SMC controller suffers from the highest oscillations, while the FOTSMC strategy makes these oscillations less severe. Again, the proposed ST-FOTSMC paradigm offers a superior and smooth chattering free active power. The same is true for the reactive power. The FOTSMC strategy takes a certain amount of time to bring the reactive power to zero, but it exhibits less chattering than the SMC strategy. The proposed controller brings the reactive power to zero without any disturbance and oscillations, thus ensuring superior performance to its competitors.

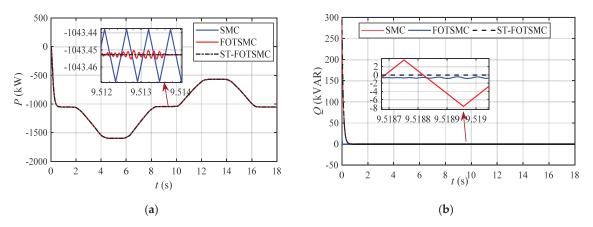
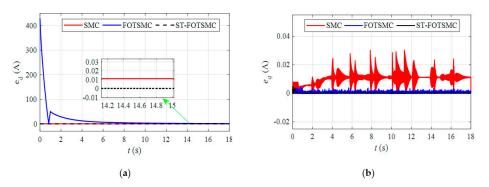


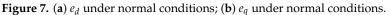
Figure 6. (a) Active power comparison; (b) reactive power comparison.

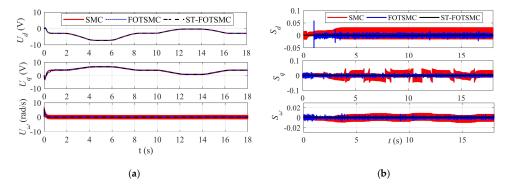
As discussed earlier, the active and reactive powers are controlled through the *d* and *q* components of the stator current, respectively. Figure 7a,b illustrate the tracking errors of the *d* and *q* components of the stator currents, respectively. It is evident from the stated figures that the steady-state current errors, e_d and e_q are the least in the case of the proposed ST-FOTSMC technique, thus validating its superior performance to the other two strategies.

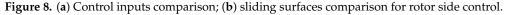
The control inputs $(U_d, U_q, \text{ and } U_\omega)$ comparison for the rotor side controller and the current controller is depicted in Figure 8a, while Figure 8b shows the sliding surfaces comparison for the current controllers and the speed controller. It can be observed that, in each case, the proposed ST-FOTSMC technique has a very fast dynamic response with almost no chattering as compared with the SMC and FOTSMC schemes.

The DC-link voltage is shown in Figure 9a for each candidate. It is evident that the proposed ST-FOTSMC technique maintains a constant DC-link voltage as compared with the SMC and FOTSMC. This is verified by the DC-link voltage error shown in Figure 9b, where the error is almost equal to zero in the case of the ST-FOTSMC. On the hand, the stated error is not zero in the case of the SMC and FOTSMC paradigms. Furthermore, the error is the largest in the case of the conventional SMC.









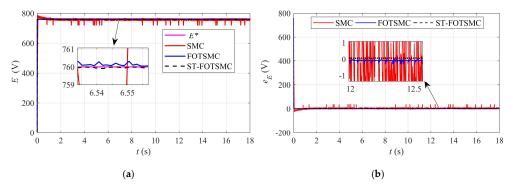


Figure 9. (a) DC-link voltage; (b) DC-link voltage error comparison under normal conditions.

Moreover, the control input and sliding surface for the grid side controller are shown in Figure 10a,b, respectively. The worst performance of the conventional SMC can be seen in the form of excessive chattering. However, the proposed ST-FOTSMC scheme exhibits a superior and chattering-free performance to both of its competitors.

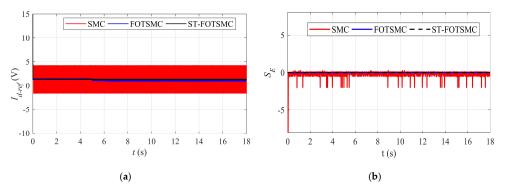


Figure 10. (a) Control input for grid side control; (b) grid side control sliding surface.

4.2. CASES 2

The effectiveness of the proposed controller is further tested under parametric uncertainties and external disturbance. A lumped uncertainty is added to the system, which simulates variation in the DFIG parameters and external disturbance. In this case, the total variation in the DFIG parameters and the external disturbance is assumed to be 25%. The mathematical formulation of the lumped uncertainty is expressed as follows:

$$\begin{cases} \dot{x} = F(x) + Hu = f(x) + hu + d \\ d = 25\% f(x) + 25\% hu + 2 - sin(wt) \end{cases}$$

A similar case is assumed in Section 4.3. It is assumed that a 25% variation in the nominal parameter with sinusoidal disturbance is acting on the grid side controller. The mathematical formulation is expressed as follows:

$$dE = 25\% g(x) + 5\sin(wt)$$

The addition of the sinusoidal term generally represents the power oscillations of slow frequency in the DFIG system. The reference speed tracking comparison of the conventional SMC, FOTSMC, and ST-FOTSMC is depicted in Figure 11a, which shows that the proposed controller has superior reference speed tracking performance under parameter uncertainties and external disturbances. The corresponding speed tracking error is shown in Figure 11b, which further validates the superior performance of the proposed scheme. The conventional SMC has been found to have the worst performance in terms of severe chattering.

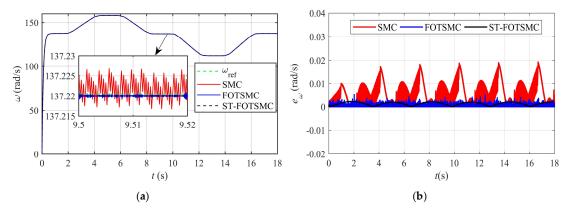


Figure 11. (**a**) Speed response under parametric uncertainties; (**b**) speed error comparison under lumped uncertainties.

The active and reactive powers of the DFIG under lumped uncertainty are shown in Figure 12a,b, respectively. It can be seen that the proposed ST-FOTSMC technique exhibits chattering-free active and reactive powers compared with the conventional SMC and FOTSMC schemes. Furthermore, the proposed strategy has the fastest convergence in the case of the reactive power.

The current error convergence analysis of the stator d and q components under lumped uncertainty is shown in Figure 13a,b, respectively. It is evident that the proposed scheme converges the stated errors to zero much faster than both the conventional SMC and FOTSMC schemes, with almost no chattering. On the other hand, chattering can be seen in both the conventional SMC and FOTSMC schemes. This validates the superior performance of the proposed technique under lumped uncertainties.

The DC-link voltage and the corresponding DC-link voltage error under lumped uncertainties are shown in Figure 14a,b, respectively. The proposed control scheme exhibits superior performance to the other candidates by maintaining a chattering-free DC-link voltage with the least error.

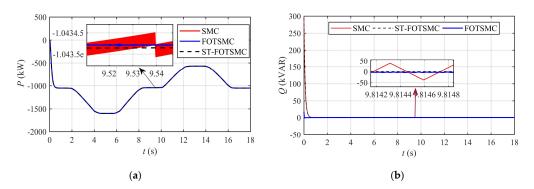


Figure 12. (a) Active power under lumped disturbances; (b) reactive power comparison under lumped disturbances.

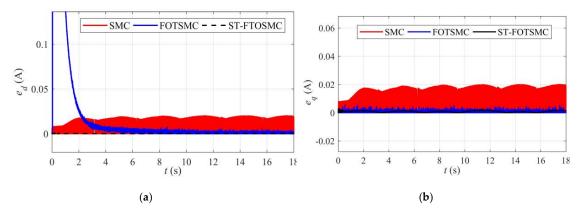


Figure 13. (a) e_d under lumped uncertainties; (b) e_q under lumped uncertainties.

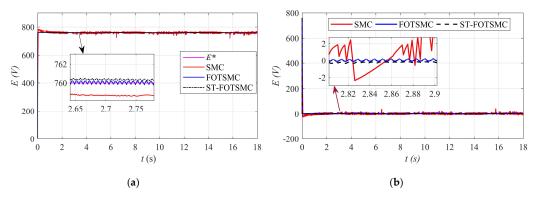


Figure 14. (a) DC-link voltage; (b) voltage error under lumped uncertainties.

4.3. Efficiency

The appropriate control of DFIG-based WECS is essential for efficiency enhancement of the system. The efficiency of the DFIG-based WECS is the ratio of the active power injected into the grid to the available wind power. The efficiency of the system under consideration is given as follows [53]:

$$\eta = \frac{P}{P_w} \tag{50}$$

where *P* is the active power injected into the grid given in Equation (35) and is shown in Figure 6a. The wind, P_w , is calculated as follows:

$$P_w = A\rho v^3 \tag{51}$$

where ρ is the density of air, v is the wind speed, and A is the wind turbine rotor area. The efficiency of the DFIG for the conventional SMC, FOTSMC, and ST-FOTSMC schemes is compared in Figure 15.

It can be observed that the efficiency of the proposed controller reaches up to 80%, which is higher than the efficiencies of both the conventional SMC and FOTSMC schemes. This improvement in efficiency validates the superior performance of the proposed ST-FOTSMC strategy for DFIG-based WECS.

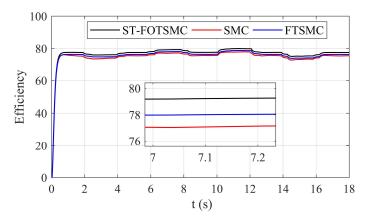


Figure 15. Efficiency of the DFIG.

5. Conclusions

By combining the attributes of super twisting SMC and fractional order terminal SMC strategies, a new nonlinear super twisting algorithm based fractional order terminal SMC strategy was proposed in this paper for a utility grid-connected 2 MW DFIG-WECS. The proposed controller is compared with the other two existing variants of the SMC, including the conventional SMC and fractional order terminal SMC, proposed earlier in the literature. Both the stated variants of the SMC and proposed controller are compared with each other under two different cases including normal mode of operation and operation under lumped parametric uncertainties. The numerical simulations carried out in MATLAB/Simulink validate the superior performance of the proposed controller under each condition in terms of minute chattering, fast dynamic response, and fast convergence.

Author Contributions: I.S. and N.U. developed the concept; I.S. and S.U. performed the simulation work, I.S. wrote the paper; Z.A. analyzed the data; J.-S.R. supervised the project. These authors contributed equally to this work. All authors have read and agreed to the published version of the manuscript.

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Conflicts of Interest: The author has no conflict of interest.

Appendix A. Basic Definitions for Fractional Calculus

A Fractional operator aD_t^{α} is defined as follows [46].

$$aD_t^{\alpha} = \begin{cases} \frac{d^{\alpha}}{dt^{\alpha}} & \alpha > 0\\ 1 & \alpha = 0\\ \int_a^t (d\tau)^a & \alpha < 0 \end{cases}$$
(A1)

The operator α denotes the order of the system where R (α) is the set of real number. A general fractional operator is described by three definitions [46]. The definitions are named as follows: Caputo definition (A2) for (n - 1) < α < n, Grunwald–Letnikov definition (A3), the Riemann–Liouville definition is also formulated in (A4).

$$aD_t^{\alpha} \cong D^{\alpha} = \begin{cases} \frac{1}{\Gamma(n-\alpha)} \int_a^t \frac{f^n(\tau)}{(t-\tau)^{\alpha-n+1}} d\tau & (n-1 \le \alpha \le n) \\ \frac{d^m}{dt^n} f(t) & (\alpha = n) \end{cases}$$
(A2)

$$a^{GL}D_t^{\alpha}f(t) = \lim_{n \to \infty} \left(\frac{1}{h^{\alpha}}\right) \sum_{j=0}^{\left[(t-\alpha)/h\right]} (-1)^j \binom{\alpha}{j} f(t-jh) \\ \binom{\alpha}{j} = \frac{\Gamma(\alpha+1)}{\Gamma(j+1)\Gamma(\alpha-j+1)}$$
(A3)

Here, *h* is the representation of step time, *n* is the first integer larger than "a", and $\Gamma(.)$ denotes gamma function.

$$D_t^{\alpha} = \frac{d^{\alpha}}{dt^{\alpha}} f(t) = \frac{1}{\Gamma(m-\alpha)dt^m} \int_a^t \frac{f(\tau)}{\Gamma(t-\tau)^{\alpha-m+1}} d\tau$$

$$aD_t^{-\alpha} = I^{\alpha} f(t) = \frac{1}{\Gamma(\alpha)} \int_a^t \frac{f(\tau)}{(t-\tau)^{1-\alpha}} d\tau$$
(A4)

The author in [54,55] has discussed the stability of fractional order system in detail. A complete comparison of fractional order integration and differentiation is presented in [56]. The authors have reviewed the physical and geometrical interpretation of fraction integration and differentiation. A fractional order s (Laplace operator) is usually approximated using the integer order transfer function. A method has been proposed by Oustaloup to approximate a function having a form given as follows:

$$H(s) = s^a, \ a > 0 \tag{A5}$$

The rational function to approximate (A5) is given as follows:

$$H(s) = K \prod_{n=-N}^{N} \frac{1 + \frac{s}{\omega_{z,n}}}{1 + \frac{s}{\omega_{p,n}}} a > 0$$
(A6)

where *K* is the gain responsible to make the unit gain of the both sides of (A6) at 1 rad/sec. 2N + 1 is the number of poles and zeros. $\omega_{z,n} = \omega_b \left(\frac{\omega_h}{\omega_b}\right)^{\frac{n+N+\frac{1-a}{2}}{2N+1}}$ and $\omega_{p,n} = \omega_b \left(\frac{\omega_h}{\omega_b}\right)^{\frac{n+N+\frac{1+a}{2}}{2N+1}}$, where ω_h and ω_b denotes the upper limit and lower limit of the approximation frequency, usually chosen as $\omega_h \omega_b = 1$, and thus $K = \omega_h^a$.

DFIG and Wind Turbine Parameter	Values	Control Parameters	Values	Control Parameters	Values
Voltage	700 V	k	0.5–5	<i>c</i> ₅	0.02
No. of pole pairs	3	γ	0.7	c ₆	5
Rs	1.4 Ohm	α	0.8	kr ₁	0.002
Rr	1.2 Ohm	$\lambda_{\omega 1}$	0.1	kr ₂	0.02
М	0.0051839 H	$\beta_{\omega 1}$	0.001	kr ₃	0.006
Lr = Ls	0.0053 H	$\lambda_{\omega 2}$	0.01	C7	0.005
f_f	$0.00015 \text{ N ms}^{-1}$	$\beta_{\omega 2}$	0.2	<i>C</i> 8	1
J	765.6 kg/m ²	$\lambda_{\omega 3}$	0.003	kr_4	0.003
DC Link Voltage (E)	800 V	$\beta_{\omega 3}$	0.001		
DC Link Capacitor	0.01 farad	<i>c</i> ₁	0.0015		
Frequency	50 Hz	<i>c</i> ₂	5		
Blade radius	35 m	c ₃	0.02		
Gear ratio	62.5	<i>C</i> ₄	5		
λ_{opt}	6.325				

Table A1. System and controller parameters. DFIG, doubly fed induction generator.

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