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# Advanced Thermodynamic Analysis of a Transcritical R744 Booster Refrigerating Unit with Dedicated Mechanical Subcooling

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**Abstract:** In this work the thermodynamic performance of a transcritical R744 booster supermarket refrigeration system equipped with R290 dedicated mechanical subcooling (DMS) was exhaustively investigated with the aid of the advanced exergy analysis. The outcomes obtained suggested that improvement priority needs to be addressed to the manufacturing of more efficient high-stage (HS) compressors, followed by the enhancement of the gas cooler/condenser (GC), of the medium-temperature (MT) evaporators, of the R290 compressor, and of the low-temperature (LT) evaporators. These conclusions were different from those drawn by the application of the conventional exergy assessment. Additionally, it was found that GC can be enhanced mainly by reducing the irreversibilities owing to the simultaneous interaction among the components. The R290 compressor would also have significantly benefitted from the adoption of such measures, as half of its avoidable irreversibilities were exogenous. Unlike the aforementioned components, all the evaporators were improvable uniquely by decreasing their temperature difference. Finally, the approach temperature of GC and the outdoor temperature were found to have a noteworthy impact on the avoidable irreversibilities of the investigated solution.

**Keywords:** advanced exergetic analysis; advanced exergy analysis; CO<sub>2</sub>; commercial refrigeration system; exergy destruction; supermarket; transcritical refrigeration system

## 1. Introduction

Commercial refrigeration units play a crucial role in modern society, being widely employed for satisfying various human needs. However, supermarket refrigerating applications predominately rely on hydrofluorocarbons (HFCs), such as HFC-404A and HFC-507A, as refrigerants. These working fluids feature a Global Warming Potential (GWP) being thousands of times more environmentally damaging than carbon dioxide, leading the commercial refrigeration sector to be a major direct driver of global warming. To reduce the HFC consumption and, thus, significantly mitigate the carbon footprint of food retail stores in Europe, the EU F-Gas Regulation 517/2014 [1] was issued. This legislative act aims at progressively decreasing the HFC supply by 79% by 2030 in relation to the average levels in 2009–2012. Additionally, the EU F-Gas Regulation 517/2014 imposes a limit in terms of  $GWP_{100\text{ years}}$  for the refrigerants used in multipack centralized refrigeration systems with a rated capacity above 40 kW equal to  $150 \text{ kg}_{\text{CO}_2, \text{equivalent}} \cdot \text{kg}_{\text{refrigerant}}^{-1}$  since January 2022. The fight against HFCs is intensifying on global perspectives as well, as 197 countries recently agreed to bring the production and consumption of HFCs down by more than 80% over a 30-year period [2]. Therefore, the selection of a long-term refrigerant is becoming arduous for engineers and end-users in as strategic a sector as that of supermarket applications. This challenge is further complicated in warm climates

where, for climate reasons, refrigeration reclaim has a considerable impact on economic, energy, and environmental perspectives.

Commercial refrigeration plants using carbon dioxide as the sole refrigerant (R744) are perceived to be one of the most promising candidates with which to replace the currently employed units [3]. Being that R744 is non-flammable, non-toxic, and environmentally friendly (i.e., negligible GWP), in fact, this working fluid is bound not to be subject to any future restrictions. This refrigerant is also readily available and inexpensive, as well as features more favorable thermo-physical characteristics compared to HFCs [4]. However, as CO<sub>2</sub> presents a low critical temperature (about 30.98 °C), the heat rejection process through the high pressure heat exchanger (i.e., gas cooler) can commonly take place in transcritical conditions. These running modes feature large differences between rejection and absorption pressure, leading the conventional transcritical R744 supermarket refrigeration systems to have very poor energy efficiencies with a rise in outdoor temperature. As shown in [5], in fact, the aforementioned systems can energetically compete with refrigerating units employing man-made working fluids at external temperatures up to about 25 °C. Therefore, commercial “CO<sub>2</sub> only” refrigeration plants need a more sophisticated system architecture so as to perform equivalently to, or better than, HFC-based solutions in warm locations [3]. As a result of the entry into force of the EU F-Gas Regulation 517/2014, many measures with the purpose of enhancing the performance of such HFC-free units at severe running modes have been developed [3], such as:

- parallel compression [6–8], which represents the first step towards the adoption of “CO<sub>2</sub> only” supermarket refrigeration systems in high ambient temperature countries;
- cold thermal energy storages [9,10], which allows reducing the energy consumption by shifting a part of the refrigeration load from more adverse (i.e., daytime) to more advantageous (i.e., night-time) operating conditions;
- the implementation of the recovery of part of the available expansion work via two-phase ejectors [11–13], giving rise to a significant enhancement in overall thermodynamic performance. The conventional expansion valve, in fact, is responsible for the largest irreversibilities in basic transcritical CO<sub>2</sub> refrigerating cycles [14] and, thus, for the significant penalization in their efficiencies as the cooling medium temperature goes up.

In order to reduce the aforementioned inefficiencies, Fazelpour and Morosuk [14] recommended the adoption of an expedient aimed at reducing the temperature of R744 exiting the gas cooler. As showed in [15], this target can be achieved with the aid of a dedicated mechanical subcooling, which permits the refrigerant to go into the evaporator with a lower quality and, thus, leads to an increment in refrigerating effect. Also, as described by many researchers [16–18], an optimal high pressure, which maximizes the coefficient of performance (COP), has to be evaluated as a function of the gas cooler exit temperature as transcritical running modes occur. As revealed in [15], the integration of the dedicated mechanical subcooling also allows decreasing the optimal heat rejection pressure, giving rise to an additional improvement in performance. The benefits from the adoption of a dedicated mechanical subcooling are summarized in Table 1.

**Table 1.** Findings of the main investigations associated with transcritical R744 supermarket refrigeration systems with dedicated mechanical subcooling.

Reference	Investigation Typology	Main Findings
[15]	Theoretical	<i>COP</i> is 13.7% higher than that of the basic unit at the evaporating temperature of $-5\text{ }^{\circ}\text{C}$ , external temperature of $30\text{ }^{\circ}\text{C}$ and with a degree of subcooling of $5\text{ }^{\circ}\text{C}$
[19]	Experimental	Enhancements in <i>COP</i> by from 6.9% up to 30.3% at the evaporating temperature of $-10\text{ }^{\circ}\text{C}$ and at three heat rejection temperatures ( $24\text{ }^{\circ}\text{C}$ , $30\text{ }^{\circ}\text{C}$ , and $40\text{ }^{\circ}\text{C}$ )
[20]	Experimental	<i>COP</i> can be improved from 5.1% to 19.3% at the evaporating temperature of $-10\text{ }^{\circ}\text{C}$ and at two different temperatures of the water entering the gas cooler (i.e., $30\text{ }^{\circ}\text{C}$ , $35\text{ }^{\circ}\text{C}$ )
[21]	Experimental	Reduction in 24 h-energy consumption by from 3.3% to 7.2% at water heat rejection temperatures in the condenser between $38.2\text{ }^{\circ}\text{C}$ and $43.6\text{ }^{\circ}\text{C}$ for commercial MT applications
[22]	Validation against experimental data	Evaluation of the relative reduction in power for a shed of refrigeration load at either MT or LT evaporator level by employing transient models validated against laboratory test results
[23]	Field measurements	Energy conservations by about 25% at outdoor temperatures between $40\text{ }^{\circ}\text{C}$ and $48\text{ }^{\circ}\text{C}$ over a conventional booster solution
[24]	Theoretical	The authors recommended its adoption for applications featuring high cooling medium temperatures (i.e., hot climates) and low evaporating temperatures
[25]	Theoretical	The solution with dedicated mechanical subcooling outperforms the corresponding cascade arrangement in MT commercial refrigeration applications
[26]	Theoretical	Decrements in annual energy consumption between 14% and 16% over a R404A unit in the Chinese climate context
[27]	Theoretical	Annual energy consumption is between 77% and 97% of that related to a R404A system in the investigated locations

Additionally, an in-depth overview on the “CO<sub>2</sub> only” solutions using the dedicated mechanical subcooling was recently presented by Llopis et al. [28]. On the one hand, the findings listed in Table 1 reveal that such “CO<sub>2</sub> only” supermarket refrigeration plants are expected to offer promising performance in warm locations. On the other hand, it is also possible to notice that conventional energy-based methods are predominately employed for evaluating the performance of the aforementioned systems. This is due to the fact that such assessments offer simplicity with respect to their adoption, as well as intuitive interpretation of the results obtained, favoring their wide adoption. However, the thermodynamic performance of any energy system can be more appropriately evaluated by applying a conventional exergy analysis. Such an evaluation, in fact, allows bringing to light the location, the magnitude, and the sources of the inefficiencies caused by the irreversibilities taking place in the investigated system. More appropriate conclusions aimed at properly evaluating the thermodynamic performance of any energy system can be drawn with the aid of the advanced exergy analysis [29–31]. Unlike the conventional exergy evaluation, in fact, the implementation of this thermodynamic tool enables revealing: (1) the real improvement potential associated with the selected system via the assessment of the avoidable exergy destruction of its components; (2) the mutual interdependencies among the system components via the evaluation of their exogenous exergy destruction. As a consequence, at present the advanced exergy analysis is widely recognized as the most suitable thermodynamic method to adequately evaluate the performance of any energy system. Furthermore, as mentioned above, state-of-the-art transcritical R744 refrigeration systems have taken center stage in as a crucial sector as that of supermarket applications. To the best of the author’s knowledge, a few investigations combining these key research topics are still available and none of these involves “CO<sub>2</sub> only” supermarket refrigeration plants outfitted with dedicated mechanical subcooling, as summarized in Table 2. Therefore, this study is intended to take steps towards this

scientific gap by appropriately assessing the thermodynamic performance of a promising HFC-free solution, such as the commercial transcritical R744 booster refrigeration system employing a R290 dedicated mechanical subcooling, with the aid of one of the most powerful thermodynamic tools, i.e., the advanced exergy assessment.

**Table 2.** Findings of the main investigations associated with the advanced exergy analysis.

Reference	Selected Energy System	Main Findings
[32,33]	Transcritical R744 supermarket refrigeration systems	The potential related to the application of the advanced exergy analysis to these solutions was showed
[34]	Ammonia refrigerating plant operating according to the Voorhees' principle	The results brought to light that the designer's efforts have to be mainly addressed to the evaporator
[35]	Ground-source heat pumping drying unit	Close attention has to be devoted to the condenser
[31]	Gas engine heat pump unit	The inefficiencies are mainly avoidable, apart from those of the compressor, drying cabinet and evaporator
[36]	R744 heat pumping unit for simultaneous water cooling and heating	Close attention had to be addressed to the compressor to improve the overall system performance
[37]	R717/R744 cascade arrangement	The designer needs to focus on the R744 expansion valve, R744 compressor and cascade condenser
[38]	Ejector refrigeration system	The authors recommended the use of R1233zd(E)
[39]	Ejector expansion transcritical CO <sub>2</sub> refrigeration system	The system performance can be improved by enhancing the compressor, the ejector and the evaporator

First of all, the advanced exergy analysis has been applied by selecting the external temperature of 40 °C as well as the typical operating conditions of the investigated solution, as the ones suggested in the open literature [8]. At a later time, a study involving the effect of the most influential parameters on the performance of the whole system, i.e., the high stage compressor efficiency, the gas cooler/condenser approach temperature, the R744 subcooler exit temperature and the outdoor temperature, has also been implemented. It is worth remarking that the approach temperature of a heat exchanger is defined as the difference between the outgoing hot fluid temperature and the ingoing cold fluid temperature. In addition to the Introduction, the present work presents five additional sections. In Section 2 the investigated solution and the assumptions in common in all the implemented evaluations are described, while the main concepts related to both the conventional and the advanced exergy assessment are presented in Section 3. The results obtained are shown and discussed in Sections 4 and 5, respectively. Finally, the conclusions are given in Section 6.

## 2. System Description and Assumptions in Common in All Implemented Analyses

### 2.1. System Description

A R744 booster refrigeration system with dedicated mechanical subcooling is schematized in Figure 1. The only difference from a conventional booster solution is the presence of a subcooling system (i.e., a self-contained unit) downstream of GC. Its target is to cool down R744 exiting GC (thermodynamic state 2 in Figure 1) by promoting the vaporization of the working fluid (e.g., R290, R1270, R1234ze(E)) flowing through the subcooler equipment (SB) (thermodynamic state 18 in Figure 1), which is typically a plate heat exchanger. In this work the refrigerant employed in the mechanical subcooling loop was R290 [7,8,15,24,26,27]. After SB (thermodynamic state 3 in Figure 1), R744 is throttled (thermodynamic state 4 in Figure 1) and the resulting vapor-liquid mixture enters the liquid receiver in which the two phases are separated. Therefore, the liquid (thermodynamic state 7 in Figure 1) is employed for feeding the MT and LT evaporators (MT evap and LT evap) (thermodynamic states 8 and 9 in Figure 1, respectively). The refrigerant coming out of LT evap (thermodynamic state 10 in Figure 1) is compressed with the aid of the low stage (LS) "booster" compressors (LS compr) (thermodynamic state 11 in Figure 1) and then mixed with the refrigerant



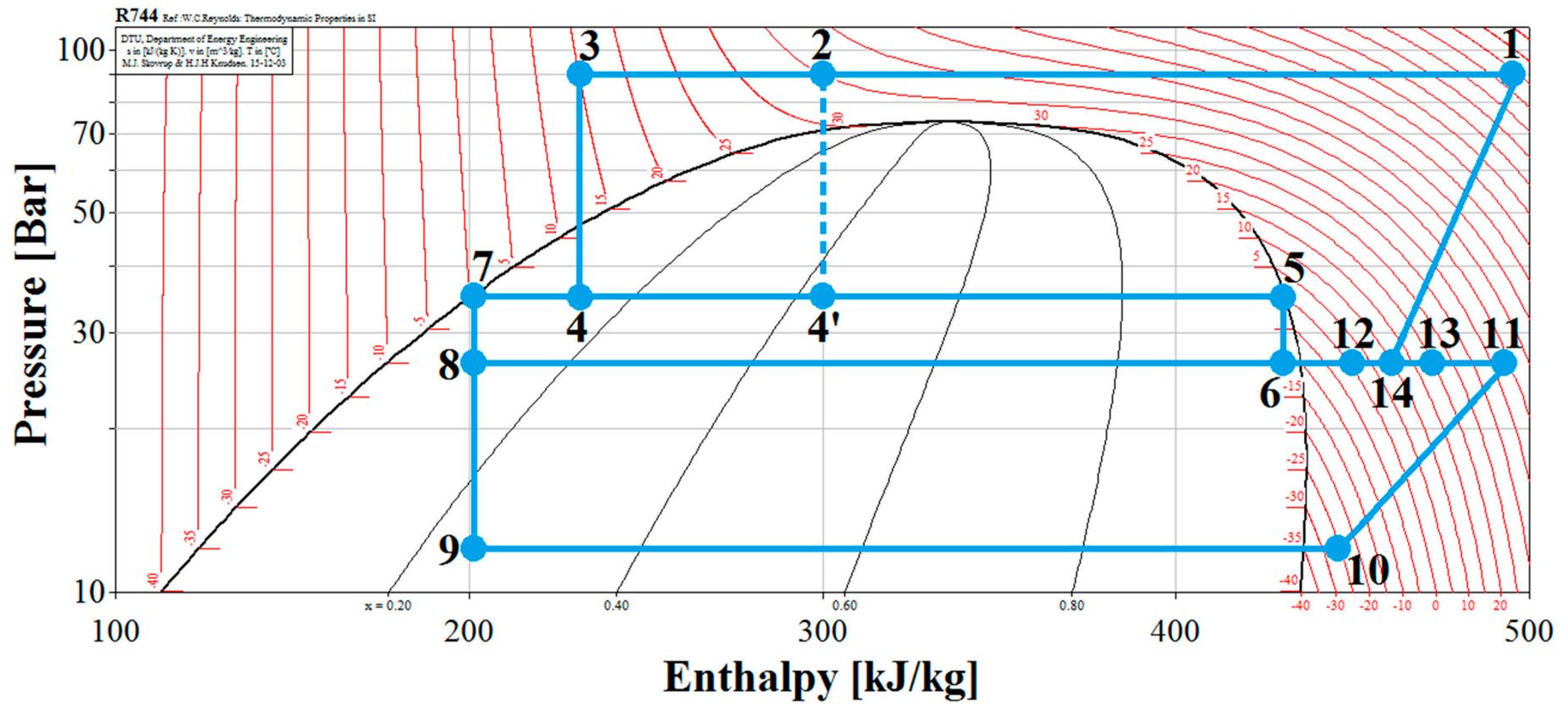


Figure 2. Log(p)-h diagram of R744 booster refrigeration systems with and without dedicated mechanical subcooling [8].

## 2.2. Assumptions in Common in All Implemented Analyses

The energy performance of the selected solution was exhaustively investigated in [8] by selecting realistic operating conditions of a typical supermarket refrigeration system. For this reason, the same running modes as in [8] were adopted in this study. As presented in Table 3, the temperature of R744 leaving the subcooler equipment was taken as 15 °C, as a result of the optimization procedure implemented by Gullo et al. [8]. The authors, in fact, showed that the energy saving achievable by adopting a lower value is negligible. However, at a later time, the influence of this parameter on the performance of the overall system was also investigated. In addition, the values of the air temperature presented in Table 3 were selected as suggested in [32]. Additionally, the procedure used for estimating the values of the optimal heat discharge pressure in all the implemented assessments was exhaustively described in [8].

**Table 3.** Assumptions in common in all the implemented analyses [8,32].

Parameter	Numerical Value	Unit of Measurement
$\dot{Q}_{MT}$	97.0	kW
$\dot{Q}_{LT}$	18.0	kW
$p_{intermediate}$	35.0	bar
$\Delta T_{pp,GC}$	Assumed to coincide with $\Delta T_{appr,GC}$	K
$t_{R744,outSB}$	15.0 (if not otherwise specified)	°C
$t_{air,outGC}$	$t_{ext} + 5.0$	°C
$t_{air,out R290 cond}$	$t_{condensation} - 1.0$	°C
$t_{air,inMT evap}$	5.0	°C
$t_{air,outMT evap}$	-5.0	°C
$t_{air,inLT evap}$	-18.0	°C
$t_{air,outLT evap}$	-25.0	°C

Furthermore, in this work the pressure drop was considered to be negligible and all the heat exchangers were simulated as well-insulated [8,32]. All the implemented numerical models were developed in Engineering Equation Solver (EES) [40].

## 3. Exergy Analyses

### 3.1. Conventional Exergy Analysis

Exergy is the maximum useful work which can be derived from bringing the investigated system into thermodynamic equilibrium with the surroundings as a consequence of a thermodynamic interaction only with this. Additionally, the evaluation of the exergy destruction ( $\dot{E}_D$ ) of a component belonging to the investigated system permits assessing the source of thermodynamic irreversibilities. In the present study,  $\dot{E}_D$  for each component was calculated by relying on the same approach as the one adopted by Morosuk and Tsatsaronis [41], being well-recognized experts in the conventional exergy evaluation and the pioneers of the advanced exergy analysis. In particular, being the kinetic, chemical and potential exergy variations negligible for any vapor-compression refrigeration unit and assuming steady state conditions, the exergy destruction rate for the selected component can be computed by applying the exergy balance in Equation (1) [42]:

$$\sum_j \left(1 - \frac{T_0}{T_j}\right) \cdot \dot{Q}_j - \dot{W} + \sum_{in} \dot{m}_{in} \cdot e_{in}^{PH} - \sum_{out} \dot{m}_{out} \cdot e_{out}^{PH} - \dot{E}_D = 0 \quad (1)$$

in which the term  $T_0$  represents the temperature (in Kelvin) of the dead state (i.e., selected outdoor temperature), while  $e_{in}^{PH}$  and  $e_{out}^{PH}$  indicate the physical exergy per unit of mass respectively related to the inflows and outflows. These can be computed with the aid of Equation (2):

$$e^{PH} = [h(T, p) - h(T_0, p_0)] - T_0 \cdot [s(T, p) - s(T_0, p_0)] \quad (2)$$

in which the temperature  $T$  (in Kelvin) and the pressure  $p$  describe a generic thermodynamic state, while  $p_0$  refers to the pressure of the dead state (taken as 1.01 bar). It is important to highlight that the results of the exergy analysis are not influenced significantly by the definition of the dead state [43].

The additional assumptions necessary to carry out the conventional exergy analysis are summarized in Table 4 [8].

**Table 4.** Further assumptions needed for the conventional exergy analysis [8].

Parameter	Numerical Value	Unit of Measurement
$t_{MT}$	−10.0	°C
$t_{LT}$	−35.0	°C
Internal (useful) superheating	5.0	K
Superheating in the suction lines	5.0	K
GC fan power	4.5	kW <sub>el</sub>
MT evaporator fans, lights, defrost	10.0	kW <sub>el</sub>
LT evaporator fans, lights, defrost	4.0	kW <sub>el</sub>
R290 condenser fans	1.0	kW <sub>el</sub>
$\Delta T_{appr,R290\ cond}$	8.0	K
$\Delta T_{appr,SB}$	5.0	K
$\Delta T_{appr,GC}$	2.0 (if not otherwise specified)	K

Furthermore, the global efficiencies of all the employed compressors were computed by means of the correlations listed in Table 5, which were derived from some manufacturers' software.

**Table 5.** Correlations employed for evaluating the global efficiency of the compressors belonging to the investigated solution [8,44,45].

Compressors	Correlation
HS compressors	$\eta_{glob,HS\ compr} = -0.0021 \cdot \left(\frac{p_{HP,R744}}{p_{MT,R744}}\right)^2 - 0.0155 \cdot \left(\frac{p_{HP,R744}}{p_{MT,R744}}\right) + 0.7325$
LS compressors	$\eta_{glob,LS\ compr} = -0.0012 \cdot \left(\frac{p_{MT,R744}}{p_{LT,R744}}\right)^2 - 0.0087 \cdot \left(\frac{p_{MT,R744}}{p_{LT,R744}}\right) + 0.6992$
R290 compressor	$\eta_{glob,R290\ compr} = -0.0226 \cdot \left(\frac{p_{HT,R290}}{p_{LT,R290}}\right)^2 + 0.1816 \cdot \left(\frac{p_{HT,R290}}{p_{LT,R290}}\right) + 0.3701$

The conventional exergy efficiency ( $\eta_{exergy}$ ) of a vapor-compression refrigeration system can be evaluated through Equation (3):

$$\eta_{exergy} = 1 - \frac{\dot{E}_{D,tot} + \dot{E}_{L,tot}}{\dot{W}_{in,tot}} \quad (3)$$

in which the total exergy loss rate ( $\dot{E}_{L,tot}$ ) is owing to the interaction between the surroundings and the system in the form of transfers of matter, heat, and work.

### 3.2. Advanced Exergy Analysis

The splitting of the exergy destruction rate related to the selected component ( $\dot{E}_D$ ) into its unavoidable ( $\dot{E}_D^{UN}$ ) and avoidable ( $\dot{E}_D^{AV}$ ) parts permits determining the real potential enhancements. The unavoidable exergy destruction of the investigated component ( $\dot{E}_D^{UN}$ ) identifies the part of inefficiencies which cannot be prevented even if the best available component is being employed owing to technological limitations, such as manufacturing methods, cost and accessibility of materials. Therefore,  $\dot{E}_D^{UN}$  refers to the remaining exergy destruction as the studied component is designed for the highest thermodynamically possible performance and economically feasible limit. The residual exergy

destruction of the selected component is the avoidable part ( $\dot{E}_D^{AV}$ ) and, thus, the part of inefficiencies to which the designer should pay attention [34,41,46]. Therefore, the Equation (4) can be formulated:

$$\dot{E}_D = \dot{E}_D^{UN} + \dot{E}_D^{AV} \quad (4)$$

The unavoidable irreversibilities ( $\dot{E}_D^{UN}$ ) can be computed by: (1) implementing a thermodynamic cycle based on the assumptions listed in both Section 2.2 and the third column in Table 6; (2) then calculating the exergy destruction of each component (i.e.,  $\dot{E}_D^{UN}$ ) via Equations (1) and (2), as suggested in [41]. At a later time,  $\dot{E}_D^{AV}$  for each component can be calculated by subtracting  $\dot{E}_D^{UN}$  from  $\dot{E}_D$  [41].

**Table 6.** Assumptions made to implement the advanced exergy analysis [32].

Component	Operations at Theoretical Conditions	Operations at Unavoidable Conditions
Compressors	$\eta_{glob}^{EN} = 1.00$	$\eta_{glob}^{UN} = 0.94$
Expansion valves	$\eta_{isen}^{EN} = 1.00$	-
Heat exchangers	$\Delta T_{pp}^{EN} = 0.00$ K	$\Delta T_{pp}^{UN} = 0.50$ K
Superheating in the suction lines	0.00 K	0.00 K
GC fan power	0.00 kW <sub>el</sub>	2.25 kW <sub>el</sub>
MT evaporator fans, lights, defrost	0.00 kW <sub>el</sub>	5.00 kW <sub>el</sub>
LT evaporator fans, lights, defrost	0.00 kW <sub>el</sub>	2.00 kW <sub>el</sub>
R290 condenser fans	0.00 kW <sub>el</sub>	0.50 kW <sub>el</sub>

Additional key suggestions for the best guidance to explore the thermodynamic performance of energy conversion systems can be derived from the splitting of the inefficiencies in the selected component ( $\dot{E}_D$ ) into its endogenous ( $\dot{E}_D^{EN}$ ) and exogenous ( $\dot{E}_D^{EX}$ ) parts, being:

$$\dot{E}_D = \dot{E}_D^{EN} + \dot{E}_D^{EX} \quad (5)$$

In order to calculate the endogenous destruction rate associated with the selected component ( $\dot{E}_D^{EN}$ ), it is necessary to realize a cycle whose component being considered operates at real conditions and all the remaining components work at theoretical operation conditions (i.e., at  $\dot{E}_D = 0$  if it is possible, otherwise  $\dot{E}_D = \text{minimum}$ ) [34,41,47]. The number of these cycles, which has to be implemented, is equal to the number of the components of the investigated system. The exogenous exergy destruction associated with the investigated component ( $\dot{E}_D^{EX}$ ) is due to the irreversibilities occurring in the remaining components [34,41,47]. In this work,  $\dot{E}_D^{EN}$  for each component was computed as recommended in [41], i.e., by implementing a thermodynamic cycle for each component in which: (1) the selected component performs according to the assumptions listed in Sections 2.2 and 3.1, whereas the others work in accordance with the assumptions listed in the second column in Table 6; (2) then computing the exergy destruction of each component (i.e.,  $\dot{E}_D^{EN}$ ) via Equations (1) and (2). At a later time,  $\dot{E}_D^{EX}$  for each component can be calculated by subtracting  $\dot{E}_D^{EN}$  from  $\dot{E}_D$  [41].

The components of the exergy destruction mentioned above can be additionally split to enhance the understanding and the detection of the potential irreversibilities, being [34,41]:

$$\dot{E}_D = \dot{E}_D^{UN,EN} + \dot{E}_D^{UN,EX} + \dot{E}_D^{AV,EN} + \dot{E}_D^{AV,EX} \quad (6)$$

in which:

- $\dot{E}_D^{UN,EN}$  represents the unavoidable endogenous exergy destruction of the selected component, which cannot be reduced because of the technical limitations associated with the component itself;

- $\dot{E}_D^{UN,EX}$  is the unavoidable exogenous exergy destruction of the investigated component, which cannot be decreased due to the technical limitations related to the remaining components;
- $\dot{E}_D^{AV,EN}$  identifies the part of inefficiencies associated with the selected component, which can be dropped by improving the component itself;
- $\dot{E}_D^{AV,EX}$  refers to the part of the irreversibilities related to the investigated component, which can be reduced by enhancing the remaining components.

To calculate  $\dot{E}_D^{UN,EN}$  for each component, it was implemented a thermodynamic cycle in which: (1) the selected component was considered as operating at unavoidable conditions (through the selection of the appropriate parameter in the third column in Table 6), whereas all the remaining components were simulated in theoretical operations (through the adoption of the suitable parameter in the second column in Table 6); (2) then calculating the exergy destruction of each component (i.e.,  $\dot{E}_D^{UN,EN}$ ) via Equations (1) and (2) [41]. At a later time,  $\dot{E}_D^{UN,EX}$  for the investigated component was computed by subtracting  $\dot{E}_D^{UN}$  from  $\dot{E}_D^{UN,EN}$  [41], whereas  $\dot{E}_D^{AV,EN}$  was calculated as the difference between  $\dot{E}_D^{EN}$  and  $\dot{E}_D^{UN,EN}$  [41]. Finally,  $\dot{E}_D^{AV,EX}$  was obtained by subtracting  $\dot{E}_D^{EX}$  from  $\dot{E}_D^{UN,EX}$  [41].

The concurrent interactions among three or more components of the evaluated system bring about the so-called exogenous exergy destruction, which can be quantified via Equation (7) for the selected (i.e.,  $k$ -th) component ( $\dot{E}_{D,k}^{MX}$ ) [34,45]:

$$\dot{E}_{D,k}^{MX} = \dot{E}_{D,k}^{EX} - \sum_{\substack{r=1 \\ r \neq k}}^n \dot{E}_{D,k}^{EX,r} \quad (7)$$

in which  $\sum_{\substack{r=1 \\ r \neq k}}^n \dot{E}_{D,k}^{EX,r}$  identifies the part of the exogenous exergy destruction of the  $k$ -th component generated by the inefficiencies, which occur in the  $r$ -th component. In particular, another cycle in which both the investigated component ( $k$ -th component) and another ( $r$ -th component) are operating at real conditions and the remaining  $n-2$  components are working at ideal running modes has to be implemented to compute  $\dot{E}_{D,k}^{EX,r}$  [34,48].

As mentioned above, firstly the thermodynamic performance of the solution suggested by Gullo et al. [8] was evaluated at the outdoor temperature of 40 °C with the aid of the advanced exergy analysis. At a later time, the influence of most relevant parameters on the performance of the entire system, i.e., the HS compressor efficiency, the gas cooler/condenser approach temperature, the R744 subcooler exit temperature, and the outdoor temperature, was also assessed.

## 4. Results

### 4.1. Results at Outdoor Temperature of 40 °C

#### 4.1.1. Results of Conventional Exergy Analysis

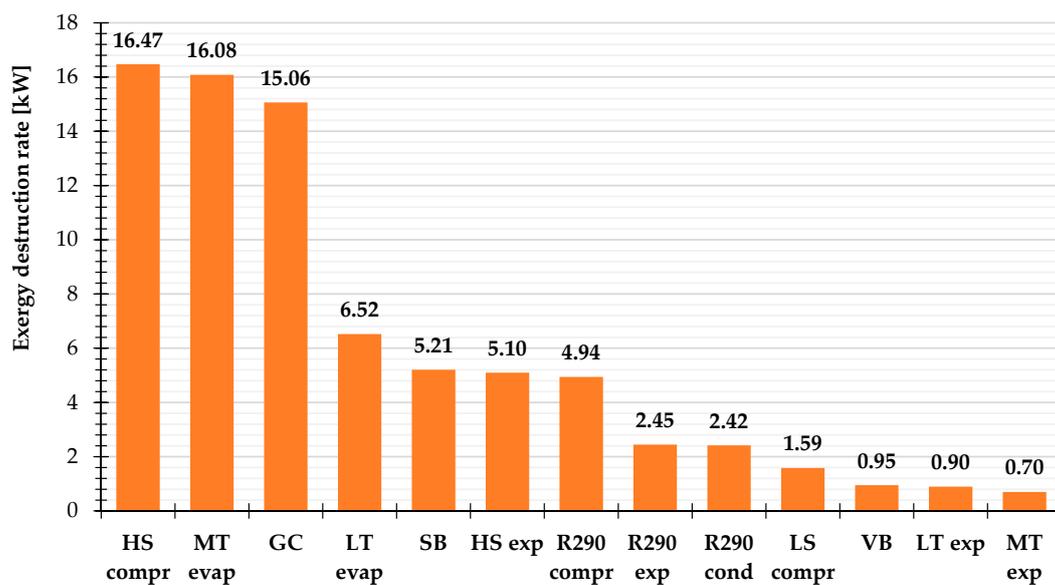
Table 7 shows temperature, pressure, mass flow rate, specific enthalpy, specific entropy and specific flow exergy at the external temperatures of 40 °C of the real thermodynamic cycle.

As shown in Figure 3 and further highlighted by Figure 4, the results related to the conventional exergy analysis suggested paying close attention to HS compr, MT evap and GC. These components were responsible for a similar contribution to  $\dot{E}_{D,tot}$  (i.e., about equal to 20%) at the selected outdoor temperature. In addition, the designer also had to pay close attention to both LT evap ( $\dot{E}_{D,LT\ evap} = 8.2\%$  of  $\dot{E}_{D,tot}$ ) and SB ( $\dot{E}_{D,SB} = 6.6\%$  of  $\dot{E}_{D,tot}$ ). According to [14], the expansion valve downstream of the gas cooler/condenser generates the highest exergy destruction rate of

a conventional transcritical CO<sub>2</sub> refrigeration machine. In the system under investigation, it was considerably reduced by means of the adoption of the dedicated mechanical subcooling. This induced 6.4% of  $\dot{E}_{D,tot}$  at the external temperature of 40 °C, similarly to R290 compr. Additionally, the irreversibilities due to the mixing point amounted to about 0.97 kW, while the exergy loss rate was equal to 1.06 kW for GC and to 0.94 kW for R290 cond, respectively. Therefore, the calculated exergy efficiency of the overall system was 0.187 at the evaluated outdoor temperature, the total power input being equal to 100.1 kW.

**Table 7.** Thermodynamic parameters of DMS at the outdoor temperature of 40 °C calculated in real conditions.

State Point	Fluid	$t$ (°C)	$p$ (bar)	$\dot{m}$ (kg·s <sup>-1</sup> )	$h$ (kJ·kg <sup>-1</sup> )	$s$ (kJ·kg <sup>-1</sup> ·K <sup>-1</sup> )	$e^{PH}$ (kJ·kg <sup>-1</sup> )
1	R744	131.9	95.04	0.614	551.6	2.060	258.80
2	R744	42.0	95.04	0.614	340.5	1.446	239.80
3	R744	15.0	95.04	0.614	230.6	1.093	243.00
4	R744	0.2	35.00	0.614	230.6	1.112	234.70
5	R744	0.2	35.00	0.081	430.8	1.844	205.50
6	R744	-10.0	26.49	0.081	430.8	1.882	193.70
7	R744	0.2	35.00	0.533	200.4	1.001	239.10
8	R744	-10.0	26.49	0.442	200.4	1.006	237.50
9	R744	-35.0	12.02	0.091	200.4	1.033	229.30
10	R744	-30.0	12.02	0.091	441.6	2.045	153.40
11	R744	44.0	26.49	0.091	499.4	2.122	187.30
12	R744	-5.0	26.49	0.442	442.3	1.926	191.60
13	R744	2.4	26.49	0.533	452.1	1.962	190.10
14	R744	5.1	26.49	0.614	455.7	1.974	189.70
15	R290	65.0	16.40	0.256	660.7	2.456	153.40
16	R290	48.0	16.40	0.256	331.5	1.434	144.20
17	R290	10.0	6.37	0.256	331.5	1.465	134.60
18	R290	15.0	6.37	0.256	594.9	2.395	106.80
19	Air	40.0	1.01	26.630	313.5	6.912	0.00
20	Air	45.0	1.01	26.630	318.5	6.928	0.04
21	Air	5.0	1.01	9.645	278.3	6.793	2.13
22	Air	-5.0	1.01	9.645	268.2	6.756	3.60
23	Air	-18.0	1.01	2.557	255.1	6.706	6.18
24	Air	-25.0	1.01	2.557	248.1	6.678	7.90
25	Air	40.0	1.01	12.110	313.5	6.912	0.00
26	Air	47.0	1.01	12.110	320.5	6.934	0.08

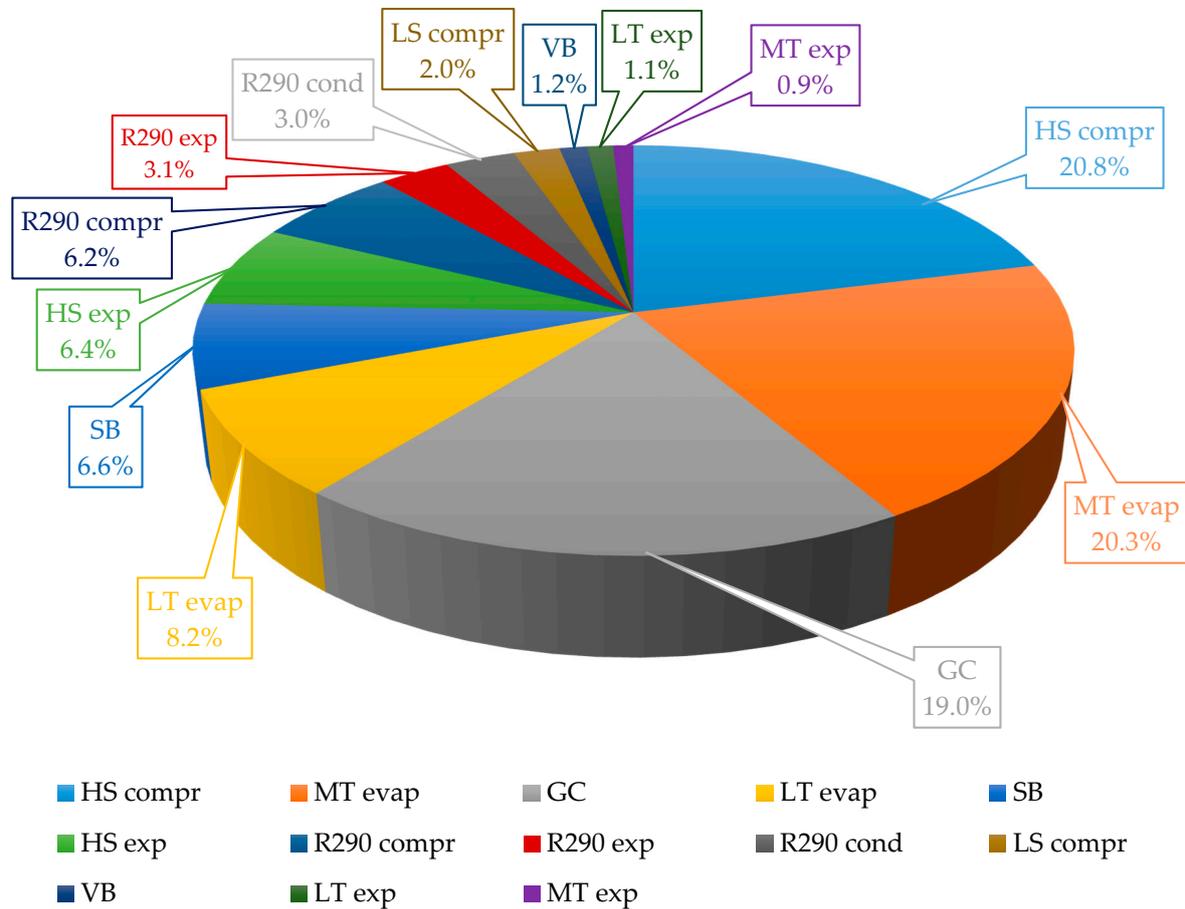


**Figure 3.** Exergy destruction rates of the components belonging to DMS at the outdoor temperature of 40 °C.

### 4.1.2. Results of Advanced Exergy Analysis

#### Avoidable and Unavoidable Exergy Destruction

All the results obtained at the external temperatures of 40 °C for the advanced exergy analysis are listed in Table 8.



**Figure 4.** Breakdown of the contribution (%) of each component belonging to DMS to the total exergy destruction rate at the outdoor temperature of 40 °C.

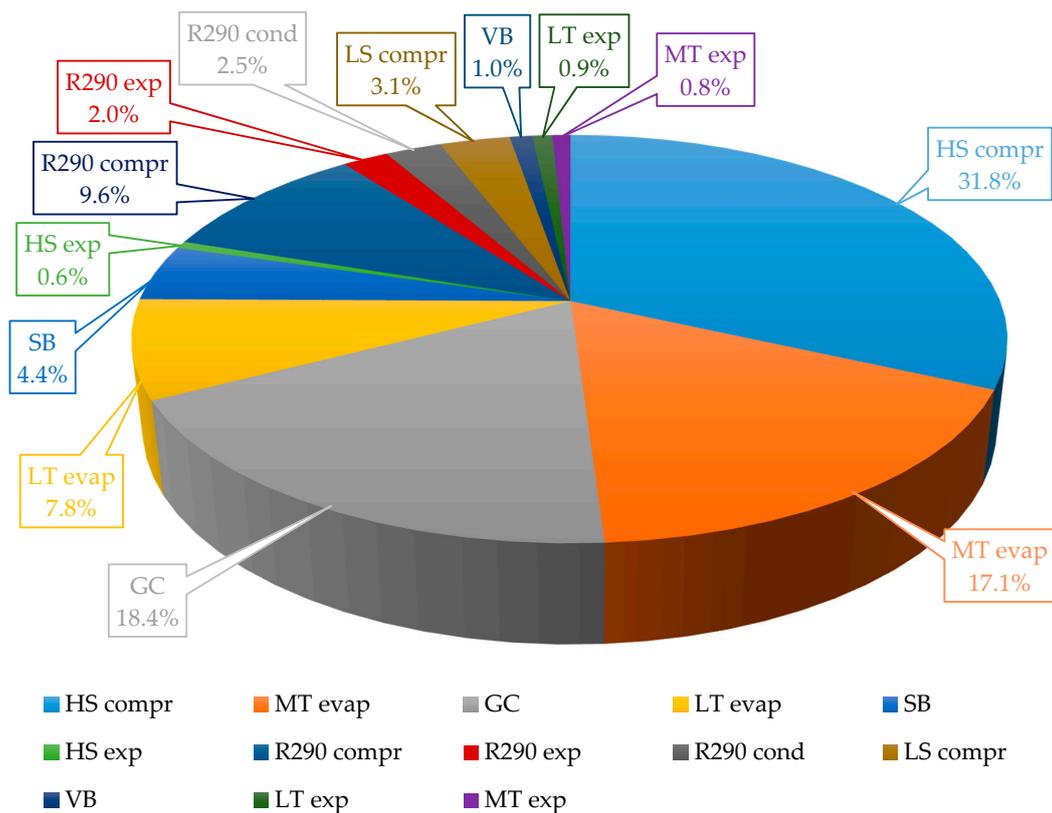
**Table 8.** Results related to the advanced exergy analysis for DMS at the outdoor temperature of 40 °C.

Component	$\dot{E}_{D,k}^{UN}$ (kW)	$\dot{E}_{D,k}^{AV}$ (kW)	$\dot{E}_{D,k}^{EN}$ (kW)	$\dot{E}_{D,k}^{EX}$ (kW)	$\dot{E}_{D,k}^{UN,EN}$ (kW)	$\dot{E}_{D,k}^{UN,EX}$ (kW)	$\dot{E}_{D,k}^{AV,EN}$ (kW)	$\dot{E}_{D,k}^{AV,EX}$ (kW)
GC	6.49 (43.1%)	8.57 (56.9%)	8.23 (54.6%)	6.83 (45.4%)	5.72 (38.0%)	0.76 (5.0%)	2.51 (16.7%)	6.07 (40.3%)
HS compr	1.63 (9.9%)	14.84 (90.1%)	12.05 (73.1%)	4.43 (26.9%)	1.46 (8.9%)	0.18 (1.1%)	10.59 (64.3%)	4.25 (25.8%)
HP exp	4.83 (94.9%)	0.26 (5.1%)	4.54 (89.0%)	0.56 (11.0%)	4.53 (89.0%)	0.30 (5.9%)	0.00 (0.0%)	0.26 (5.1%)
LS compr	0.14 (8.9%)	1.44 (91.1%)	0.93 (58.9%)	0.65 (41.1%)	0.12 (7.5%)	0.02 (1.3%)	0.81 (50.9%)	0.64 (40.3%)
LT evap	2.88 (44.2%)	3.64 (55.8%)	6.52 (100.0%)	0.00 (0.0%)	2.88 (44.2%)	0.00 (0.0%)	3.64 (55.8%)	0.00 (0.0%)
LT exp	0.48 (53.9%)	0.41 (46.1%)	0.42 (47.2%)	0.47 (52.8%)	0.42 (47.2%)	0.06 (6.7%)	0.00 (0.0%)	0.41 (46.1%)

Table 8. Cont.

Component	$\dot{E}_{D,k}^{UN}$ (kW)	$\dot{E}_{D,k}^{AV}$ (kW)	$\dot{E}_{D,k}^{EN}$ (kW)	$\dot{E}_{D,k}^{EX}$ (kW)	$\dot{E}_{D,k}^{UN,EN}$ (kW)	$\dot{E}_{D,k}^{UN,EX}$ (kW)	$\dot{E}_{D,k}^{AV,EN}$ (kW)	$\dot{E}_{D,k}^{AV,EX}$ (kW)
MT evap	8.09 (50.3%)	7.99 (49.7%)	16.08 (100.0%)	0.00 (0.0%)	8.09 (50.3%)	0.00 (0.0%)	7.99 (49.7%)	0.00 (0.0%)
MT exp	0.33 (47.1%)	0.37 (52.9%)	0.28 (40.0%)	0.42 (60.0%)	0.28 (40.0%)	0.05 (7.1%)	0.00 (0.0%)	0.37 (52.9%)
R290 compr	0.48 (9.7%)	4.46 (90.3%)	2.77 (56.1%)	2.17 (43.9%)	0.39 (7.9%)	0.09 (1.8%)	2.38 (48.2%)	2.08 (42.1%)
R290 cond	1.26 (52.1%)	1.16 (47.9%)	1.76 (73.0%)	0.65 (27.0%)	1.12 (46.3%)	0.14 (5.8%)	0.64 (26.4%)	0.52 (21.5%)
R290 exp	1.50 (61.5%)	0.94 (38.5%)	1.22 (50.0%)	1.22 (50.0%)	1.22 (50.0%)	0.28 (11.5%)	0.00 (0.0%)	0.94 (38.5%)
SB	3.14 (60.3%)	2.07 (39.7%)	3.45 (66.3%)	1.75 (33.7%)	2.61 (50.1%)	0.53 (10.2%)	0.84 (16.1%)	1.23 (23.6%)
VB	0.49 (51.6%)	0.46 (48.4%)	0.30 (31.6%)	0.65 (68.4%)	0.30 (31.9%)	0.18 (19.1%)	0.00 (0.0%)	0.46 (48.9%)
Overall system	31.74 (40.5%)	46.63 (59.5%)	51.55 (75.4%)	16.83 (24.6%)	29.14 (37.2%)	2.59 (3.3%)	29.40 (37.5%)	17.23 (22.0%)

At the selected outdoor temperature, over 59% of the total exergy destruction of the evaluated system was avoidable. Also, the results presented in Table 8 and Figure 5 reveal the benefits offered by the application of the advanced exergy analysis. Firstly, it was possible to notice that actually the designer's attention to HS compr had to be much closer than to that associated with both GC and MT evap. In fact, HS compr was accountable for 31.8% of  $\dot{E}_{D,tot}^{AV}$  (Figure 5). On the contrary, GC and MT evap showed contributions of 18.4% and 17.1% to  $\dot{E}_{D,tot}^{AV}$  (Figure 5), respectively. Furthermore, the application of the advanced exergy analysis to the investigated system suggested that noteworthy values of  $\dot{E}_D^{AV}$  were also given by R290 compr ( $\dot{E}_{D,R290\ compr}^{AV} = 9.6\%$  of  $\dot{E}_{D,tot}^{AV}$ ) (Figure 5), whereas HP exp actually caused only 0.6% of  $\dot{E}_{D,tot}^{AV}$  (Figure 5). Additionally, LT evap, SB and LS compr were respectively accountable for 7.8%, 4.4%, and 3.1% of  $\dot{E}_{D,tot}^{AV}$  (Figure 5). Finally,  $\dot{E}_D^{AV}$  related to all the compressors was abundantly greater than the corresponding  $\dot{E}_{D,tot}^{UN}$ , being above 90% of  $\dot{E}_{D,HS\ compr}^{UN}$  (Table 8). On the contrary, only 5.1% of  $\dot{E}_{D,HP\ exp}^{AV}$  could be actually reduced (Table 8). As for the other components, their values of  $\dot{E}_D^{AV}$  were comparable to those of  $\dot{E}_D^{UN}$  at the selected operation conditions (Table 8).



**Figure 5.** Breakdown of the contribution (%) of each component belonging to DMS to the total avoidable exergy destruction rate at the outdoor temperature of 40 °C.

#### Avoidable Endogenous and Exogenous Exergy Destruction

The potential for improvement of the chosen system was mainly associated with the enhancement of the components themselves, since more than two-thirds of the avoidable exergy destruction was endogenous. The further advantageous related to the application of the advanced exergy analysis to the selected solution could be described as follows:

- although HS compr was mainly improvable by enhancing the compressors themselves ( $\dot{E}_{D,HS\ compr}^{AV,EN} = 71.4\%$  of  $\dot{E}_{D,HS\ compr}^{AV}$ ), their avoidable exogenous inefficiencies were notable;
- GC presented room for decreasing its exergy destruction via improving the remaining components, being  $\dot{E}_{D,GC}^{AV,EX}$  equal to 70.7% of  $\dot{E}_{D,GC}^{AV}$ ;
- the conspicuous contribution to  $\dot{E}_{D,tot}^{AV}$  on the part of R290 compr could be halved by improving the other components;
- close attention had to be addressed to the enhancement of the other components to decrease the avoidable irreversibilities related to LS compr and SB;
- all the expansion valves had a null component of the avoidable endogenous part.

Finally, it is worth remarking that all the evaporators had a null avoidable exogenous part in accordance with the outcomes in [30,34,41]. This means that only half of the irreversibilities occurring in the evaporators could be actually avoided and only by enhancing the performance of these components themselves.

#### Interactions among Components

The calculation of the mexogenous part allows understanding how the components of the evaluated system affect one another. As showed in Table 9, the inefficiencies of GC could be brought

down mainly by reducing the irreversibilities caused by the simultaneous interaction among the components. Further significant improvements were offered by the enhancement of HS compr due to their high discharge temperature, leading to a significant mismatch between R744 and air through GC. Additionally, as brought to light by the results obtained, the improvement in HS compr could be achieved mainly by increasing their efficiency and then by incrementing its suction pressure (i.e., need for growth in MT). With respect to R290 compr, the outcomes revealed that the inefficiencies owing to the concurrent interaction among the components had to be decreased. Such a component would also have benefitted from the enhancement of GC. The negative value of  $\dot{E}_{D,R290\ cond}^{MX}$  and  $\dot{E}_{D,HP\ exp}^{MX}$  suggested worsening the thermodynamic performance of the remaining components to improve both. Additional thermodynamic benefits could be offered to R290 cond by decreasing the temperature difference through MT evap. From Table 9 it is also straightforward that if R744 in GC could have more appropriately fitted the air temperature profile, SB could have experienced significant enhancements in its performance.

**Table 9.** Mexogenous exergy destruction rates of the components belonging to DMS at the outdoor temperature of 40 °C.

<i>k</i> -th Component	$\dot{E}_{D,k}^{EN}$ (kW)	$\dot{E}_{D,k}^{EX}$ (kW)	<i>r</i> -th Component	$\dot{E}_{D,k}^{EX,r}$ (kW)
GC	8.23	6.83	HS compr	2.18
			HP exp	0.08
			LS compr	0.16
			LT evap	0.41
			LT exp	0.02
			MT evap	0.8
			MT exp	0.01
			VB	0.04
			MX	3.13
HS compr	12.05	4.43	HP exp	0.4
			LS compr	0.07
			LT evap	0.54
			LT exp	0.03
			MT evap	2.57
			MT exp	0.02
			VB	0.02
MX	0.78			
R290 compr	2.77	2.17	GC	0.53
			HP exp	0.1
			LT evap	0.09
			LT exp	0.04
			MT evap	0.22
			R290 cond	0.13
			R290 exp	0.06
			SB	0.17
MX	0.82			
R290 cond	1.76	0.65	GC	0.17
			HP exp	0.05
			LT evap	0.65
			LT exp	0.02
			MT evap	0.71
			MT exp	0.02
			R290 compr	0.16
			R290 exp	0.04
			SB	0.03
MX	−1.2			

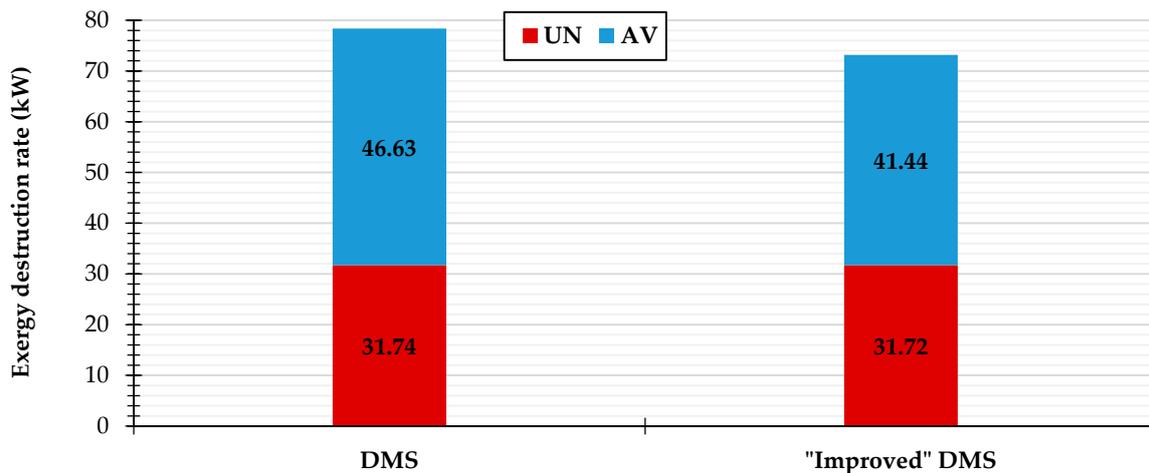
Table 9. Cont.

<i>k</i> -th Component	$\dot{E}_{D,k}^{EN}$ (kW)	$\dot{E}_{D,k}^{EX}$ (kW)	<i>r</i> -th Component	$\dot{E}_{D,k}^{EX,r}$ (kW)
R290 exp	1.22	1.22	GC	0.24
			HP exp	0.05
			LT evap	0.04
			MT evap	0.1
			R290 cond	0.12
			SB	0.4
HP exp	4.54	0.56	MX	0.26
			LT evap	0.14
			LT exp	0.01
			MT evap	0.36
			R290 compr	0.56
SB	3.45	1.75	MX	−0.48
			GC	1.02
			HP exp	0.13
			LT evap	0.12
			LT exp	0.01
			MT evap	0.28
			MT exp	0.01
VB	0.3	0.65	MX	0.18
			HP exp	0.11
			LT evap	0.01
			MT evap	0.37
			LT exp	0.01

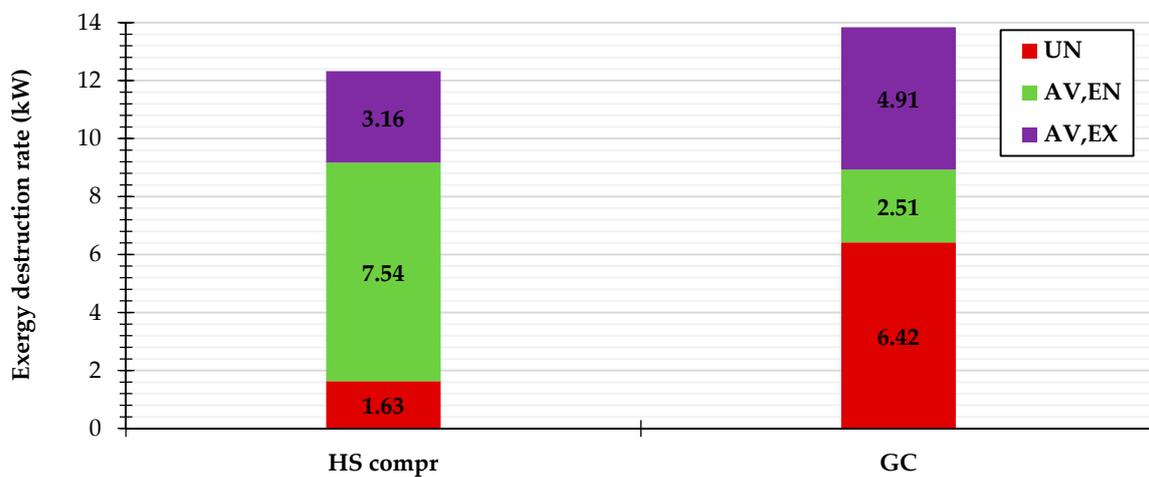
## 4.2. Results of the Sensitivity Analysis

### 4.2.1. Effect of Efficiency of High Stage Compressors

The previous results demonstrate the need to considerably enhance the efficiency of the high stage compressors. Therefore, the exergy destruction rates of the system investigated above (i.e., DMS) and those related to the same solution featuring an improvement by 10% in  $\eta_{glob,HS compr}$  (i.e., “Improved” DMS), as suggested in [12], are contrasted in this Subsection. It was found that an increase by 10% in  $\eta_{glob,HS compr}$  leads to a reduction by 6.6% in  $\dot{E}_{D,tot}$  and by 11.1% in  $\dot{E}_{D,tot}^{AV}$  (Figure 6), respectively. This was strongly depending on the considerable contribution of  $\dot{E}_{D,HS compr}^{AV}$  to  $\dot{E}_{D,tot}^{AV}$ , as highlighted by Figure 7 in which the exergy destruction rates of the most affected components are reported. In particular,  $\dot{E}_{D,HS compr}^{AV}$  and  $\dot{E}_{D,HS compr}^{AV,EN}$  related to “Improved” DMS respectively reduced by 28% and by 28.8% compared to the scenario involving DMS. As regards  $\dot{E}_{D,HS compr}^{AV,EX}$  associated with “Improved” DMS, this decreased by 25.8% as a result of the decrement in irreversibilities owing to the simultaneous interaction among the components. Additionally, it was found that the potential implementation of such a measure would permit respectively reducing  $\dot{E}_{D,GC}^{AV}$  and  $\dot{E}_{D,GC}^{AV,EX}$  related to “Improved” DMS by 13.5% and by 19.1% compared to the reference system, whereas  $\dot{E}_{D,GC}^{AV,EN}$  would not experience any changes (Figure 7).



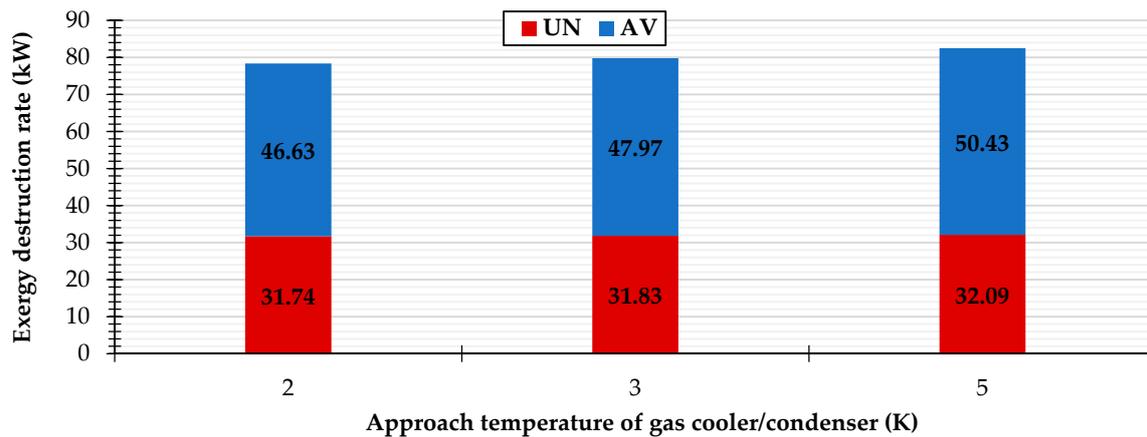
**Figure 6.** Comparison of the exergy destruction rates associated with the reference (DMS) and improved ("Improved" DMS) systems at the outdoor temperature of 40 °C.



**Figure 7.** Exergy destruction rates associated with some selected components belonging to the improved system ("Improved" DMS) at the outdoor temperature of 40 °C.

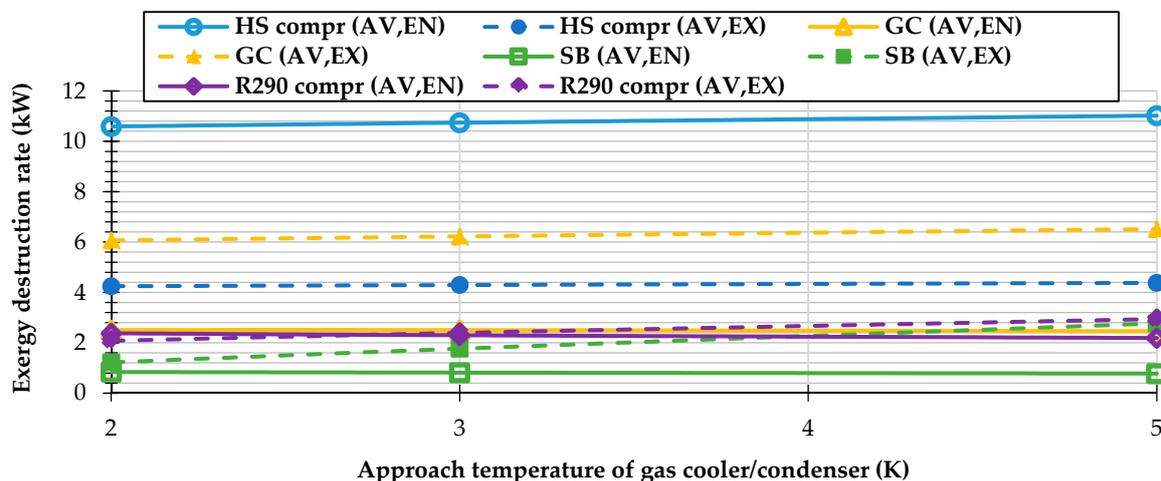
#### 4.2.2. Effect of Gas Cooler/Condenser Approach Temperature

As mentioned above, the performance of "CO<sub>2</sub> only" supermarket refrigeration systems is significantly affected by the R744 gas cooler exit temperature in transcritical running modes. Consequently, being this parameter usually computed as the sum of  $\Delta T_{appr,GC}$  and  $t_{ext}$  [49], the optimal operating conditions are substantially influenced by the approach temperature of the gas cooler. Therefore, in addition to the reference value of 2 K, two further GC approach temperatures respectively equal to 3 and 5 K were chosen. According to the results depicted in Figure 8, the increase in  $\Delta T_{appr,GC}$  led to an increment in  $\dot{E}_{D,tot}^{AV}$  by 2.9% for  $\Delta T_{appr,GC} = 3$  K and by 8.2% for  $\Delta T_{appr,GC} = 5$  K, as well as in  $\dot{E}_{D,tot}$  by 1.8% for  $\Delta T_{appr,GC} = 3$  K and by 5.3% for  $\Delta T_{appr,GC} = 5$  K. On the contrary,  $\dot{E}_{D,tot}^{UN}$  was not significantly influenced by the variation in  $\Delta T_{appr,GC}$ .



**Figure 8.** Effect of the gas cooler/condenser approach temperature on the avoidable and unavoidable exergy destruction rates of the investigated system at the outdoor temperature of 40 °C.

Figure 9 shows the influence of the gas cooler/condenser approach temperature on the avoidable endogenous and exogenous exergy destruction rates of the most affected components belonging to DMS at the external temperature of 40 °C. It was revealed that an increase in  $\Delta T_{appr,GC}$  up to 5 K leads to increments in  $\dot{E}_{D,HS\ compr}^{AV,EN}$  (due to the deterioration in HS compressor efficiency) and  $\dot{E}_{D,HS\ compr}^{AV,EX}$  by up to 4.1% and 3.1% compared to the scenario involving  $\Delta T_{appr,GC} = 2$  K, respectively. As for GC, the growth in its approach temperature respectively caused a worsening in  $\dot{E}_{D,GC}^{AV,EN}$  and  $\dot{E}_{D,GC}^{AV,EX}$  by up to 2% and 6.9%. These results can be respectively justified by taking into account the more marked mismatch between R744 and air and the increment in discharge temperature of HS compr. An enormous deterioration in avoidable endogenous and exogenous irreversibilities of both SB and R290 compr, respectively, by up to 55.7% and 29.3% was also observed.

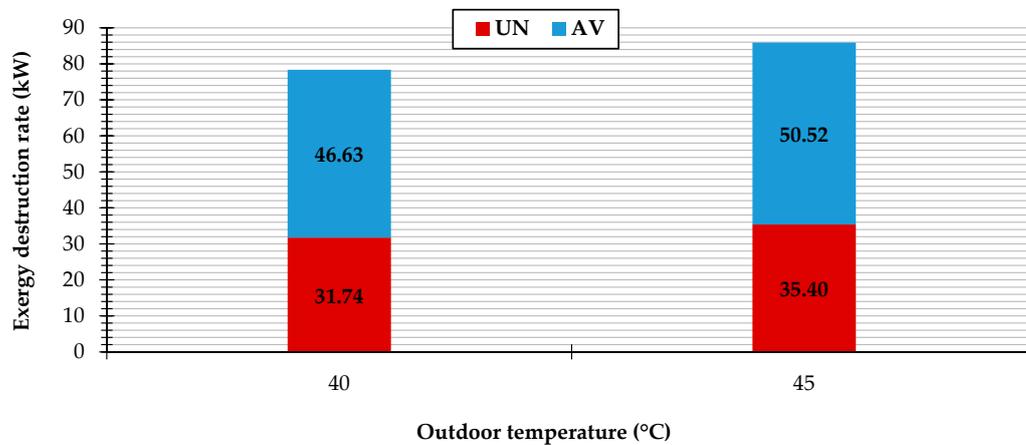


**Figure 9.** Effect of the gas cooler/condenser approach temperature on the avoidable endogenous and exogenous exergy destruction rates of some selected components belonging to the investigated system at the outdoor temperature of 40 °C.

#### 4.2.3. Effect of Outdoor Temperature

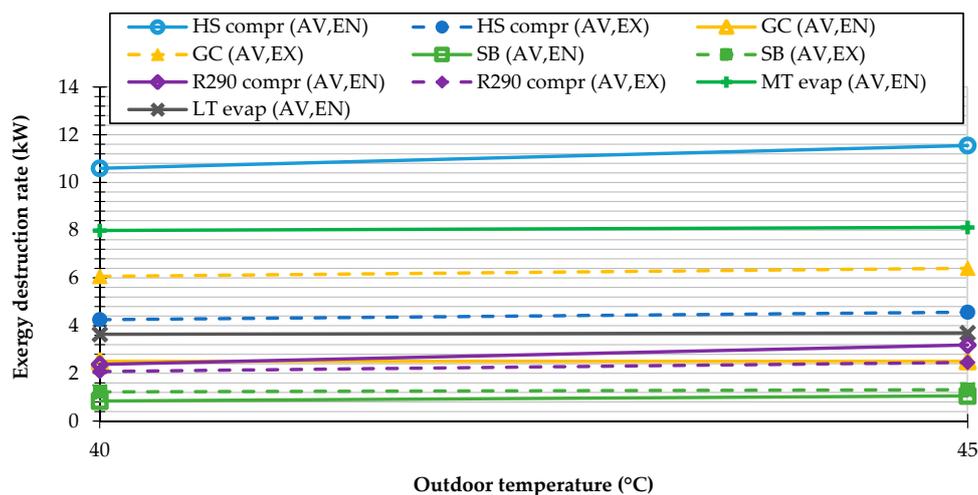
As explained in Section 4.2.2, the outdoor temperature plays a pivotal role with respect to the performance of the investigated solution in transcritical operation conditions. Therefore, besides the external temperature of 40 °C, the additional scenario involving  $t_{ext} = 45$  °C was considered. The corresponding results referring to the influence of this parameter on the avoidable and unavoidable

exergy destruction rates of the investigated system are presented in Figure 10. It was found that  $\dot{E}_{D,tot}^{AV}$  and  $\dot{E}_{D,tot}$  respectively increase by 8.3% and 9.6% at  $t_{ext} = 45\text{ }^{\circ}\text{C}$  over the outcomes obtained at  $t_{ext} = 40\text{ }^{\circ}\text{C}$ .



**Figure 10.** Effect of the outdoor temperature on the avoidable and unavoidable exergy destruction rates of the investigated system.

The avoidable irreversibilities of the most influenced components by the increment in  $t_{ext}$  are estimated in Figure 11. Due to the growth in  $t_{ext}$ ,  $\dot{E}_{D,HS\ compr}^{AV,EN}$  and  $\dot{E}_{D,HS\ compr}^{AV,EX}$  underwent an increment by 9.1% and 7.4%, respectively. As regards the high pressure heat exchanger,  $\dot{E}_{D,GC}^{AV,EN}$  was not significantly affected by the variation in  $t_{ext}$ , whereas  $\dot{E}_{D,GC}^{AV,EX}$  increased by 5.6%. Considerable growths in  $\dot{E}_{D,SB}^{AV,EN}$ ,  $\dot{E}_{D,R290\ compr}^{AV,EN}$  and  $\dot{E}_{D,R290\ compr}^{AV,EX}$  were evaluated, being respectively about equal to 25.9%, 34.2%, and 18.3%. Furthermore,  $\dot{E}_{D,R290\ compr}^{AV,EX}$  grew by 7.4% as a result of the increment in  $t_{ext}$ , whereas the variation in both  $\dot{E}_{D,MT\ evap}^{AV,EN}$  and  $\dot{E}_{D,LT\ evap}^{AV,EN}$  was modest (i.e., about equal to 1.6%).



**Figure 11.** Effect of the outdoor temperature on the avoidable endogenous and exogenous exergy destruction rates of some selected components belonging to the investigated system.

#### 4.2.4. Effect of Temperature of R744 Leaving Subcooler

The temperature of the CO<sub>2</sub> exiting the subcooler can be used as an additional independent variable for the procedure of the COP maximization for the investigated solution, as evaluated in [8]. The influence of this parameter on the avoidable and unavoidable exergy destruction rates of DMS at

the outdoor temperature of 40 °C is presented in Figure 12. It was clear that the optimal temperature of R744 coming out of the subcooler from the energy viewpoint is quite close to the value minimizing the total avoidable irreversibilities. In fact, as shown in Figure 12, a negligible variation in both  $\dot{E}_{D,tot}^{AV}$  and  $\dot{E}_{D,tot}$  was obtained by bringing  $t_{R744out,SB}$  down to 10 °C. On the other hand,  $\dot{E}_{D,tot}^{AV}$  and  $\dot{E}_{D,tot}$  respectively increased by 5.6% and 3.3% with rise in  $t_{R744out,SB}$ . The most influenced components by the variation in  $t_{R744out,SB}$  in terms of avoidable endogenous and exogenous exergy irreversibilities at the external temperature of 40 °C are showed with the aid of Figure 13. As regards HS compr,  $\dot{E}_{D,HS\ compr}^{AV,EN}$  and  $\dot{E}_{D,HS\ compr}^{AV,EX}$  increased from 10.17 kW to 11.23 kW and from 4 kW to 4.61 kW due to the growth in  $t_{R744out,SB}$  between 10 °C and 20 °C. Additionally,  $\dot{E}_{D,SB}^{AV,EN}$  and  $\dot{E}_{D,GC}^{AV,EX}$  raised up to 1.58 kW and from 5.96 kW to 6.36 kW as a consequence of the increase in  $t_{R744out,SB}$  between 10 °C and 20 °C. Finally, it was found that the increment in  $t_{R744out,SB}$  from 10 °C to 20 °C leads to a decrement in  $\dot{E}_{D,R290\ compr}^{AV,EN}$  from 2.63 kW to 1.76 kW, whereas  $\dot{E}_{D,R290\ compr}^{AV,EX}$  undergoes a growth up to 2.49 kW.

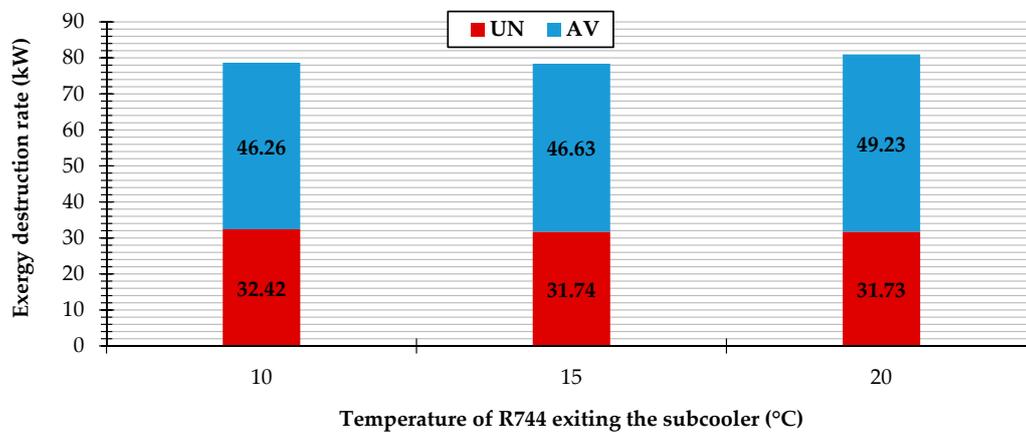


Figure 12. Effect of the temperature of R744 leaving the subcooler on the avoidable and unavoidable exergy destruction rates of the investigated system at the outdoor temperature of 40 °C.

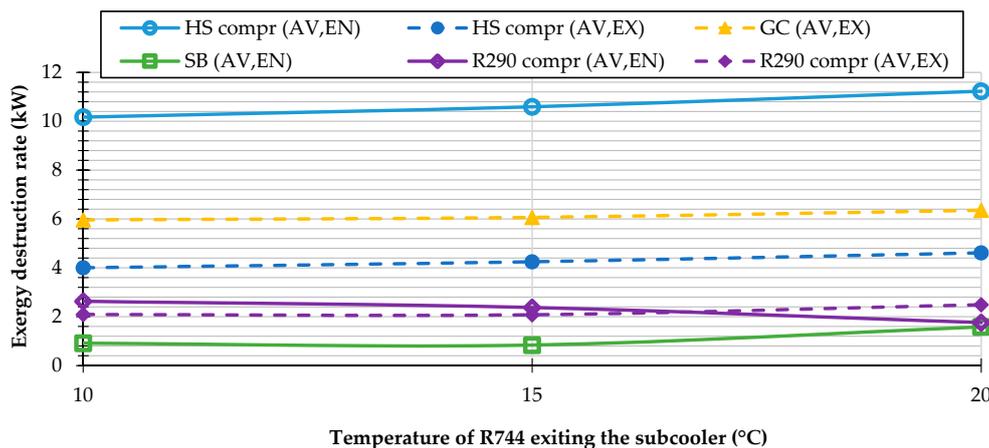


Figure 13. Effect of the temperature of R744 leaving the subcooler on the avoidable endogenous and exogenous exergy destruction rates of some selected components belonging to the investigated system at the outdoor temperature of 40 °C.

### 5. Discussion

At the outdoor temperature of 40 °C the results of the conventional exergy analysis show that high stage compressors, the MT evaporators, and the gas cooler/condenser present the highest

exergy destruction rates, contributing each for about 20% to the total exergy destruction rate ( $\dot{E}_{D,tot}$ ). Significant irreversibilities can also be ascribable to the LT evaporators ( $\dot{E}_{D,LT\ evap} = 8.2\%$  of  $\dot{E}_{D,tot}$ ) and the subcooler ( $\dot{E}_{D,SB} = 6.6\%$  of  $\dot{E}_{D,tot}$ ). In addition, despite the presence of the mechanical subcooling loop, the high pressure expansion valve features a contribution of 6.4% to  $\dot{E}_{D,tot}$  at the selected external temperature, similarly to the R290 compressor. The conduction of the advanced exergy analysis has led to a better understanding of the real potential improvements achievable by the evaluated system. First of all, it has been found that only 59% of the irreversibilities occurring in the investigated solution can be actually avoided. This can be attained by mainly enhancing its components. In addition, the designer should focus even more on the high stage compressors, as these are responsible for 31.8% of the total avoidable irreversibilities taking place in the selected system. On the contrary, the contribution on the part of the high pressure expansion valve to the total avoidable exergy destruction rate ( $\dot{E}_{D,tot}^{AV}$ ) is negligible. Furthermore, the basic analysis has indicated the gas cooler/condenser as a component, which needs to be substantially improved. However, only about half of its inefficiencies are actually avoidable and mainly by reducing the irreversibilities owing to the simultaneous interaction among the components, as well as improving the high stage compressors. Additionally, the R290 compressor and the subcooler feature 9.6% and 4.4% of  $\dot{E}_{D,tot}^{AV}$ , being roughly half of their avoidable inefficiencies exogenous. The thermodynamic performance of the former can be incremented by reducing the inefficiencies brought about by the concurrent interaction among the components. The enhancement of the gas cooler/condenser would lead to reductions in irreversibilities associated with both the R290 compressor and the subcooler. Furthermore, approximately one third of the avoidable exergy destruction related to the high stage compressors is due to the other components and mainly associated with the increase in medium temperature. Although the conventional exergy analysis has suggested that large improvements can be accomplished by enhancing the MT evaporators, only half of their irreversibilities can be actually avoided. In particular, the MT evaporators and the LT evaporators, respectively, cause 17.1% and 7.8% of  $\dot{E}_{D,tot}^{AV}$ , being improvable uniquely by enhancing the heat exchangers themselves.

Finally, the sensitivity analyses have revealed that:

- the increment in high stage compressor efficiency by 10% at the external temperature of 40 °C would imply a decrease by 6.6% in  $\dot{E}_{D,tot}$  and by 11.1% in  $\dot{E}_{D,tot}^{AV}$ , respectively. In particular, the avoidable irreversibilities related to the high stage compressors and the gas cooler/condenser would reduce by 28% and 13.5%, respectively;
- in comparison with the scenario relying on  $\Delta T_{appr,GC} = 2$  K,  $\dot{E}_{D,tot}$  and  $\dot{E}_{D,tot}^{AV}$  would respectively increase by 5.3% and 8.2% as a gas cooler/condenser approach temperature of 5 K is adopted at the outdoor temperature of 40 °C. Also, such an increment would cause growths in  $\dot{E}_{D,HS\ compr}^{AV,EN}$  and  $\dot{E}_{D,HS\ compr}^{AV,EX}$  by up to 4.1% and 3.1% as well as increases in  $\dot{E}_{D,GC}^{AV,EN}$  and  $\dot{E}_{D,GC}^{AV,EX}$  by up to 2% and 6.9%;
- as the external temperature is taken as 45 °C,  $\dot{E}_{D,tot}^{AV}$  and  $\dot{E}_{D,tot}$  grow by 8.3% and 9.6%, respectively. In particular,  $\dot{E}_{D,HS\ compr}^{AV,EN}$ ,  $\dot{E}_{D,HS\ compr}^{AV,EX}$  and  $\dot{E}_{D,GC}^{AV,EX}$  have been found to be 9.1%, 7.4%, and 5.6% higher, respectively. Finally, the aforementioned parameter does not affect  $\dot{E}_{D,MT\ evap}^{AV,EN}$  significantly;
- it has been showed that the optimal temperature of R744 exiting the subcooler from the energy perspective is similar to the value minimizing the total avoidable irreversibilities at the outdoor temperature of 40 °C.

Gullo et al. [32] applied the advanced exergy analysis to a CO<sub>2</sub> booster refrigeration system with parallel compression at similar boundary conditions as the ones used in this study. Consistently with the outcomes available in the open literature, all the evaporators can be enhanced uniquely through the reduction in inefficiencies occurring in the components themselves in both investigations.

Although the improvement in high stage compressors would allow reducing the majority of its avoidable irreversibilities, further enhancements can be obtained by enhancing the MT evaporators in both studies and increasing the irreversibilities in the remaining components in the configuration with parallel compression. As for the gas cooler/condenser, although in both investigations this component can be improved by reducing the inefficiencies occurring in the other components, discordant outcomes have been found. In fact, a significant enhancement in performance of the MT evaporators and a substantial worsening in irreversibilities occurring in the other components are required to improve the gas cooler/condenser operating in the solution with parallel compression. On the other hand, this component is mainly affected by the simultaneous interaction of all the components and high stage compressors in the configuration with dedicated mechanical subcooling.

## 6. Conclusions

Thanks to its favorable environmental and safety properties, carbon dioxide as the sole refrigerant for supermarket refrigerating systems has taken center stage worldwide. However, commercial “CO<sub>2</sub> only” refrigeration plants need to implement some expedients in order to be able to outperform HFC-based systems in warm/hot climates. This target can be properly accomplished by adopting a dedicated mechanical subcooling, leading this technology to be in the spotlight.

In this paper, the thermodynamic performance of a transcritical CO<sub>2</sub> booster supermarket refrigeration unit equipped with a mechanical subcooling loop relying on R290 has been exhaustively investigated with the aid of the advanced exergy analysis. This method is currently considered the most effective thermodynamic tool to implement such evaluations. Therefore, in the present work two of today’s most relevant key research topics have been combined for the first time ever to the best of the author’s knowledge. The subcooler outlet temperature has been firstly set to 15 °C and the cooling capacities have been selected equal to 97 kW at the evaporating temperatures of −10 °C and to 18 kW at −35 °C.

The application of the advanced exergy analysis has provided additional and useful information, which could foster the spread of the investigated system. It can be concluded that:

- only 59% of its inefficiencies can be actually reduced and mainly by enhancing its components;
- it is crucial that the manufacturers promote the diffusion of more efficient high stage compressors;
- close attention needs to be devoted to the gas cooler/condenser. Its performance is improvable mainly by decreasing the irreversibilities due to the simultaneous interaction among the components;
- focus on the performance of the R290 compressor, MT and LT evaporators is also necessary. In particular, about half of the avoidable inefficiencies occurring in the R290 compressor are mainly due to the concurrent interaction among the components and to the gas cooler/condenser. On the contrary, all the evaporators need for a reduction in their temperature difference;
- the approach temperature of the gas cooler/condenser and the outdoor temperature have also been found to affect the thermodynamic performance of the selected solution.

As future work an advanced exergoeconomic analysis will be applied to the investigated system to suitably investigate the connection between the costs related to the equipment and its thermodynamic inefficiencies. However, it is worth remarking that, although realistic operating conditions have been adopted, the proposed work would significantly benefit from the validation of the results obtained against field measurements.

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## Nomenclature

*Symbols, abbreviations, and subscripts/superscripts*

0	Dead state
appr	Approach
AV	Avoidable
compr	Compressor(s)
cond	Air-cooled R290 condenser
COP	Coefficient of performance (-)
D	Destruction
DMS	Transcritical R744 booster supermarket refrigeration system with R290 dedicated mechanical subcooling
$\dot{E}$	Exergy rate (kW)
$e$	Exergy per unit of mass ( $\text{kJ}\cdot\text{kg}^{-1}$ )
EES	Engineering Equation Solver
el	Electrical
EN	Endogenous
evap	Evaporators
EX	Exogenous
exp	Expansion valve
ext	External
GC	Air-cooled R744 gas cooler/condenser
glob	Global
GWP	Global Warming Potential ( $\text{kgCO}_2\text{equivalent}\cdot\text{kg}_{\text{refrigerant}}^{-1}$ )
$h$	Enthalpy per unit of mass ( $\text{kJ}\cdot\text{kg}^{-1}$ )
HFC	Hydrofluorocarbon
HP	High pressure (bar)
HS	High stage
HT	High temperature
in	Inlet
isen	Isentropic
$k$	$k$ -th component of the system
L	Loss
LS	Low stage
LT	Low temperature ( $^{\circ}\text{C}$ )
$\dot{m}$	Mass flow rate ( $\text{kg}\cdot\text{s}^{-1}$ )
MT	Medium temperature ( $^{\circ}\text{C}$ )
MX	Mexogenous
$n$	Total number of components
out	Outlet
$p$	Pressure (bar)
PH	Physical
pp	Pinch point
$\dot{Q}$	Heat transfer rate (kW)
$r$	$r$ -th component of the system
$s$	Entropy per unit of mass ( $\text{kJ}\cdot\text{kg}^{-1}\cdot\text{K}^{-1}$ )
SB	Subcooler
$t$	Temperature ( $^{\circ}\text{C}$ )
$T$	Temperature (K)
tot	Total
UN	Unavoidable
VB	Vapor by-pass valve
$\dot{W}$	Power (kW)

## Greek symbols

$\Delta$	Difference
$\eta$	Efficiency (-)

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