



# Article Influence of Various Irradiance Models and Their Combination on Simulation Results of Photovoltaic Systems

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**Abstract**: We analyze the output of various state-of-the-art irradiance models for photovoltaic systems. The models include two sun position algorithms, three types of input data time series, nine diffuse fraction models and five transposition models (for tilted surfaces), resulting in 270 different model chains for the photovoltaic (PV) system simulation. These model chains are applied to 30 locations worldwide and three different module tracking types, totaling in 24,300 simulations. We show that the simulated PV yearly energy output varies between –5% and +8% for fixed mounted PV modules and between –26% and +14% for modules with two-axis tracking. Model quality varies strongly between locations; sun position algorithms have negligible influence on the simulation results; diffuse fraction models add a lot of variability; and transposition models feature the strongest influence on the simulation results. To highlight the importance of irradiance with high temporal resolution, we present an analysis of the influence of input temporal resolution and simulation models on the inverter clipping losses at varying PV system sizing factors for Lindenberg, Germany. Irradiance in one-minute resolution is essential for accurately calculating inverter clipping losses.

**Keywords**: photovoltaics; simulation; irradiation; BSRN; diffuse; diffuse fraction; irradiance; model; transposition; high resolution; tilted; inclined

## 1. Introduction

Irradiance models are among the most important elements of the complex model chain for simulations of photovoltaic systems. In an ideal case, the irradiance incident on the module plane is measured beforehand in-situ in high resolution, so that this time series can directly be used as an input for the electrical PV simulation. In standard use cases however, only the time series of the global horizontal irradiance in one-hour resolution at the nearest location and the location coordinates are available as input for time-step simulations. The models have to deliver estimates for the sun position, for the diffuse fraction of the horizontal irradiance and, most importantly, for the global irradiance on the plane of the module.

The output of the irradiance processor model chain, the global irradiance on the tilted plane of the PV module, is the most important input parameter for the subsequent model chain that is responsible for the electrical simulation of the modules, as the output current of any PV cell has an approximately linear dependency from the incident irradiance, while the output voltage shows a dependency that resembles logarithmic functions. Hence, the output power of PV modules is almost linearly dependent on the irradiance at moderate to high values, which implies a nearly linear dependency of the yearly PV energy yield on the irradiation—as the integral of the irradiance over time—on the module surface.

In this study, we want to focus on the irradiance model chain as a whole and systematically analyze the interplay of the different models and their influence on the global tilted irradiance (GTI) and the PV energy as the outputs of the model chain. We use high quality global horizontal irradiance (GHI) measurement data from the Baseline Surface Radiation Network (BSRN) [1] of 30 locations worldwide to estimate the model quality under various conditions. By altering the model chain, we combine all selected models of one category with the all models of the other categories, which leads to a considerable amount of simulations. With this analysis, we are able to answer questions about the importance of choosing the right sun position algorithm, the required temporal resolution or the best model for the diffuse fraction. Additionally, we can make statements about the variability of the simulation results under given conditions.

## 2. Methodology

#### 2.1. Input Data

To base the analysis on a broad and stable data basis, 30 locations were selected from the Baseline Surface Radiation Network (BSRN) [1], as listed in Table 1. Selection criteria were the availability of one-minute measurement data of global and diffuse horizontal irradiance with a high annual completeness and a latitude between -60 and  $60^{\circ}$ .

**Table 1.** Overview of the selected measurement datasets. It comprises 30 locations of the Baseline Surface Radiation Network (BSRN) [1], spread over the whole globe between 60° North and South. Height above sea level, surface, topography and climate zones (according to Köppen [2]) show a high level of variation. The optimal tilt angle for each location is determined according to Section 2.3.

| ID<br>(Location<br>and Year) | City,<br>Country        | Latitude<br>in ° N | Longitude<br>in ° E | Height<br>in m | Time<br>Zone | Surface         | Climate | Yearly<br>Clearness<br>Index <i>KT</i> | Yearly<br>Diffuse<br>Fraction DF | Optimal Tilt<br>Angle in ° |
|------------------------------|-------------------------|--------------------|---------------------|----------------|--------------|-----------------|---------|--|----------------------------------|----------------------------|
| ber 2006                     | Bermuda                 | 32.267             | -64.667             | 8              | -4           | water,<br>ocean | Cfa     | 0.53                                   | 0.44                             | 25                         |
| bou 2009                     | Boulder, US             | 40.05              | 105.007             | 1577           | -7           | grass           | BSk     | 0.578                                  | 0.367                            | 36                         |
| brb 2010                     | Brasilia, BR            | -15.601            | -47.713             | 1023           | -3           | concrete        | Aw      | 0.574                                  | 0.341                            | 22                         |
| brb 2011                     | Brasilia, BR            | -15.601            | -47.713             | 1023           | -3           | concrete        | Aw      | 0.548                                  | 0.345                            | 23                         |
| cab 2009                     | Cabauw, NL              | 51.971             | 4.927               | 0              | 1            | grass           | Cfb     | 0.462                                  | 0.542                            | 35                         |
| car 2014                     | Carpentras,<br>FR       | 44.083             | 5.059               | 100            | 1            | cultivated      | Csa     | 0.565                                  | 0.336                            | 36                         |
| clh 2013                     | Chesapeake<br>Light, US | 36.905             | -75.713             | 37             | -5           | water,<br>ocean | Cfa     | 0.551                                  | 0.383                            | 31                         |
| cnr 2011                     | Cener, ES               | 42.816             | -1.601              | 471            | 1            | asphalt         | Cfb     | 0.542                                  | 0.381                            | 34                         |
| daa 2002                     | De Aar, ZA              | -30.667            | 23.993              | 1287           | 2            | sand            | BSk     | 0.671                                  | 0.195                            | 29                         |
| fua 2011                     | Fukuoka, JP             | 33.582             | 130.375             | 3              | 9            | asphalt         | Cfa     | 0.428                                  | 0.532                            | 27                         |
| gob 2014                     | Gobabeb,<br>NA          | -23.561            | 15.042              | 407            | 1            | n.a.            | BWh     | 0.721                                  | 0.188                            | 23                         |
| ilo 1997                     | Ilorin, NG              | 8.533              | 4.567               | 350            | 1            | shrub           | Aw      | 0.498                                  | 0.557                            | 9                          |
| ish 2011                     | Ishigakijima,<br>JP     | 24.337             | 124.163             | 5.7            | 9            | asphalt         | Cfa     | 0.439                                  | 0.531                            | 12                         |
| iza 2011                     | Izaña, ES               | 28.309             | -16.499             | 2372.9         | 0            | rock            | Csb     | 0.753                                  | 0.201                            | 26                         |
| kwa 1999                     | Kwajalein,<br>MH        | 8.72               | 167.731             | 10             | 12           | water,<br>ocean | Af      | 0.548                                  | 0.387                            | 7                          |
| kwa 2005                     | Kwajalein,<br>MH        | 8.72               | 167.731             | 10             | 12           | water,<br>ocean | Af      | 0.573                                  | 0.399                            | 9                          |
| lin 2003                     | Lindenberg,<br>DE       | 52.21              | 14.122              | 125            | 1            | cultivated      | Cfb     | 0.495                                  | 0.471                            | 39                         |
| man 2009                     | Momote, PG              | -2.058             | 147.425             | 6              | 10           | grass           | Af      | 0.461                                  | 0.502                            | 2                          |
| mnm 2011                     | Minamitoris<br>hima, JP | 24.288             | 153.983             | 7.1            | 9            | water,<br>ocean | Af      | 0.569                                  | 0.369                            | 19                         |
| nau 2007                     | Nauru<br>Island, NR     | -0.521             | 166.917             | 7              | 12           | rock            | Af      | 0.579                                  | 0.377                            | 3                          |
| nau 2010                     | Nauru<br>Island, NR     | -0.521             | 166.917             | 7              | 12           | rock            | Af      | 0.589                                  | 0.369                            | 3                          |
| pal 2011                     | Palaiseau,<br>FR        | 48.713             | 2.208               | 156            | 1            | concrete        | Cfb     | 0.481                                  | 0.486                            | 35                         |
| pay 2009                     | Payerne, CH             | 46.815             | 6.944               | 491            | 1            | cultivated      | Cfb     | 0.501                                  | 0.473                            | 32                         |
| reg 2011                     | Regina, CA              | 50.205             | -104.713            | 578            | -6           | cultivated      | BSk     | 0.593                                  | 0.401                            | 41                         |
| sap 2011                     | Sapporo, JP             | 43.06              | 141.328             | 17.2           | 9            | asphalt         | Dfb     | 0.442                                  | 0.549                            | 35                         |

| sbo 2009        | Sede Boqer,<br>IL    | 30.905 | 34.782  | 500 | 2 | desert rock     | Cwb | 0.665 | 0.261 | 27 |
|-----------------|----------------------|--------|---------|-----|---|-----------------|-----|-------|-------|----|
| sov 2001        | Solar<br>Village, SA | 24.91  | 46.41   | 650 | 3 | desert,<br>sand | BWh | 0.705 | 0.278 | 23 |
| tat 2006        | Tateno, JP           | 36.05  | 140.133 | 25  | 9 | grass           | Cfa | 0.413 | 0.558 | 35 |
| tor 2006<br>(*) | Toravere, EE         | 58.254 | 26.462  | 70  | 2 | grass           | Dfb | 0.481 | 0.446 | 41 |
| xia 2006<br>(*) | Xianghe, CN          | 39.754 | 116.962 | 32  | 8 | desert,<br>rock | Dwa | 0.468 | 0.576 | 34 |

For all locations except the last two (marked with (\*)), there are also measured time series of the ambient temperature with a resolution of one, five, ten or sixty minutes, that are used afterwards for the models that calculate the temperature of the PV modules. Some locations (Brasilia, Brazil; Kwajalein, Marshall Islands; and Nauru Island) are taken twice with different years in order to assess the inter-annual stability of the results for exemplary locations.

The yearly clearness index KT and the yearly diffuse fraction are determined by

$$KT = \frac{GHI_{\text{year}}}{G_{\text{clear-sky, year}}} \text{ and } DF = \frac{DHI_{\text{year}}}{GHI_{\text{year}}}$$
 (1)

where the clear-sky irradiance  $G_{\text{clear-sky}}$  is calculated from the extraterrestrial irradiance  $G_{\text{ext}}$  and the elevation of the sun  $\gamma_s$  with a modification of the approach by Bourges [3]

$$G_{\text{clear-sky}} = 0.78 \ G_{\text{ext}} \sin(\gamma_{\text{S}})^{1.15} \tag{2}$$

#### 2.2. Data Preparations

Before conducting the simulations, the following data preparations are made. One-hour averages are generated from the one-minute values of the global and diffuse horizontal irradiance (GHI and DHI) and the ambient temperature. From the one-hour averages of the global horizontal irradiance, one-minute values are synthesized using a recently developed and published algorithm [4] that has been independently evaluated by Remund this year [5] and attested to be the best-performing algorithm for synthesizing one-minute time series from one-hour averages of GHI.

For the synthesized one-minute values of GHI, a virtual diffuse horizontal irradiance is generated using the measured diffuse fraction:

$$DHI_{\text{syn}, i} = GHI_{\text{syn}, i} * df_{\text{measured}} = GHI_{\text{syn}, i} * \frac{DHI_{\text{measured}, i}}{GHI_{\text{measured}, i}}$$
(3)

The calculation of the optimal tilt angle for all locations was conducted using the one-minute measurement values of the global and diffuse irradiance and the transposition model of Hay and Davies [6].

#### 2.3. Matrix Simulations

For each of the 30 locations, a complete time-step simulation of one year is conducted using the PV\*SOL simulation core [7]. For each location, all elements of each matrix dimensions (models and modes) are combined with all others to form 810 unique model chains per location. In total, 24,300 time-step simulations with a resolution of one-hour or one-minute are executed. Figure 1 gives a schematic overview over the various dimensions of the matrix simulations. The global horizontal irradiance (GHI), the diffuse horizontal irradiance (DHI) and the ambient temperature (Tamb) are measurement values form the BSRN database and come in one-minute resolution. These input values are then processed by diffuse fraction models that also need the output from solar position algorithms as input. The output of the diffuse fraction models. Their output, the global and diffuse irradiance on the tilted plane, GTI and DTI, is then used as input for the subsequent model chain for the simulation of the PV module.

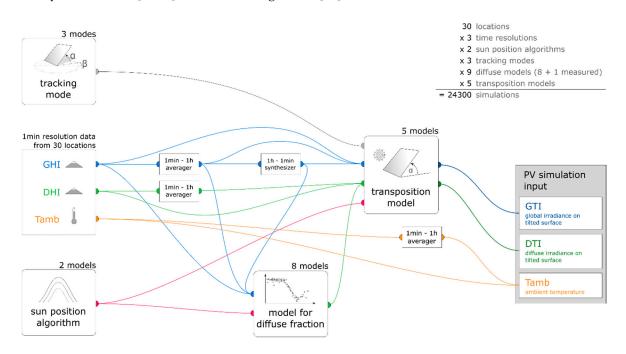
The mainly electrical PV module and inverter model chain that is connected to the irradiance model chain is simplified in this overview with the grey block on the right. Table 2 lists all the models

and modes in detail. The selection was made by concentrating on the most commonly used models by the energy meteorology community.

Regarding the sun position algorithms, the selection aimed to include the fastest, i.e., computationally most light-weight, algorithm taken from the German Standard Din5034-2 [8], and the most accurate known candidate, the Solar Position Algorithm from NREL [9].

The amount of models to calculate the diffuse fraction of the global irradiance renders it more difficult to make a representative selection. In a recent evaluation study, Gueymard and Ruiz-Arias [10] presented a classification and validation of 140 separation models against measurement data with one-minute resolution of 54 locations worldwide, using BSRN and NREL databases. Unfortunately, our newly developed model [11] could not be integrated due to the publishing date. The validation was conducted with the help of numerous statistical indicators and climate groups and showed heterogeneous results. The mean average deviation of the best models lies within 10% and 20% when omitting high albedo locations.

Another approach of a worldwide validation was conducted by Zernikau [12], who chose 24 locations from the BSRN database and validated eight diffuse fraction models against their data. The average MAD per model over all locations was also found to lie around 10%. One-location validation studies include the work by Kambezidis [13], Wong [14] and Dervishi [15], who validated various models for the locations of Athens, Greece; Hong Kong; and Vienna, Austria, respectively. In our selection we wanted to include established algorithms like, amongst others, Reindl [16], Erbs, Klein and Duffie [17] or Orgill and Hollands [18], as well as more recently developed models like Boland, Ridley and Laurent [19,20] and our new algorithm [11].



**Figure 1.** Schematic overview over the matrix simulations. The model chain for the electrical simulation of the PV system is simplified with the grey block on the right. The combination of all models and inputs leads to a total of 24,300 simulations.

| Dimension                  | Models/Modes                   | Amount |
|----------------------------|--------------------------------|--------|
| Locations                  | See Table 1                    | 30     |
|                            | 1-min measurement values       |        |
| Input data/time resolution | • 1-min synthesized values [4] | 3      |
|                            | 1-h averaged values            |        |
|                            | • Din5034-2 [8]                | 2      |
| Sun position               | NREL SPA [9]                   | 2      |

Table 2. Overview over the dimensions of the matrix simulation.

| Total                            |  | 24,300 |  |
|----------------------------------|--|--------|--|
|                                  | • Reindl [26]  |        |  |
| on module plane)                 | • Perez [25]   |        |  |
| on module plane)                 | • Klucher [24]   | 5      |  |
| Transposition models (irradiance | Hay and Davies [6]   |        |  |
|                                  | Liu and Jordan [23]  |        |  |
|                                  | o Hofmann [11]   |        |  |
|                                  | <ul> <li>Perez and Ineichen (PI) [22]</li> </ul>                         |        |  |
|                                  | o Skartveit [21]   |        |  |
|                                  | <ul> <li>Orgill and Hollands (OH) [18]</li> </ul>                        |        |  |
| Diffuse fraction                 | • Erbs, Klein and Duffie (EKD) [17]                                      | 9      |  |
| Diffuse fraction                 | o Boland, Ridley and Laurent 2010 (BRL 2010) [20]                        | 9      |  |
|                                  | <ul> <li>Boland, Ridley and Laurent (BRL) [19]</li> </ul>                |        |  |
|                                  | <ul> <li>Reindl reduced [16]</li> </ul>                                  |        |  |
|                                  | • Models   |        |  |
|                                  | Measurement values   |        |  |
|                                  | <ul> <li>Two-axis tracking with 180° East-West rotation limit</li> </ul> |        |  |
| Tracking mode                    | <ul> <li>Optimal tilt angle for each location, see Table 1</li> </ul>    |        |  |
| Tracking mode                    | north on southern hemisphere   | 3      |  |
|                                  | • Fixed tilt at 40°, facing south on northern hemisphere,                |        |  |

A multitude of transposition models to calculate the irradiance on tilted surfaces has been developed in the past 60 years, and many validation studies have been presented. The first model to name is the isotropic approach of Liu and Jordan [23], other well-known and widely used models are Hay and Davies [6], Klucher [24], Perez [25] and Reindl [26]. These five models constitute our choice for the matrix simulations. There exist a lot more transposition models, where the approaches by Temps and Coulson [27], Muneer [28], Olmo [29], Gueymard [30] and Badescu [31] are probably the most well-known and validated except the models listed above.

Notable recent validation studies include the work by Yang [32], who lists and compares 26 models against one-minute measurement data from four locations with two to eight sensor orientations each, see values in brackets: Eugene, OR, USA (3); Oldenburg, Germany (2); Singapore (8); and Golden, CO, USA (5); the normalized MBD was found to lie between –11% and +12% for tilt angles up to 45° and between –45% and +20% for vertical surfaces. Another comprehensive contribution is made by Ineichen [33], who validates eight models against one-minute and one-hour data of two locations and the studies of Loutzenhiser [34], Gueymard [35], Demain [36] and Gulin [37], who compare seven to 14 models against the measurement data of one location. In these studies, measurement data were from Geneva and Duebendorf, Switzerland; Denver and Golden, CO, USA; Uccle, Belgium; and Zagreb, HR.

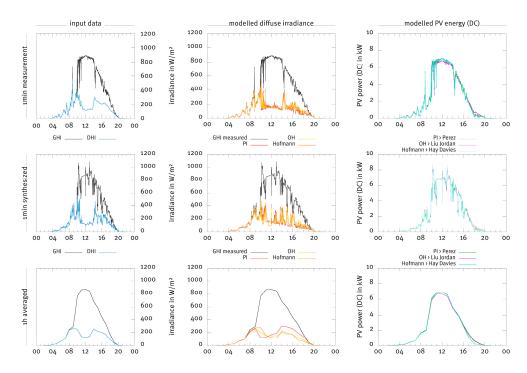
Other parameters and models used in the simulation of the PV system are listed in Table 3. These values and models represent the default setting in most simulation software like PV\*SOL. The albedo value of 0.2 represents a ground reflectance of, e.g., sand, grass or asphalt. The reflection model and the Incidence Angle Modifier (IAM) define how much irradiance is reflected on the glass surface of the PV module. The spectral losses consider the fact that the spectral distribution of the irradiance might not be equal to the AM1.5 solar spectrum on which the PV modules are tested.

The electrical modeling of the PV modules and the DC/AC inverter with maximum power point tracking (MPPT) is based on detailed superposed IV characteristics and real MPP tracking behavior with feedback loop to the PV generator. This means that like in real PV plants, the PV generator provides a full IV characteristic to the MPP tracker in each time step. The tracker then selects the MPP voltage and current, and converts them to AC energy at grid voltage. The selected MPP current is fed back to the PV generator and the energetic losses caused thereby are calculated. The ohmic resistance of the DC and AC cabling is set to 0.

| Parameter/Model                   | Value   |
|-----------------------------------|---|
| Albedo                            | 0.2   |
| Reflection model                  | ASHRAE [38,39]  |
| Incidence Angle Modifier<br>(IAM) | 0.95  |
| Spectral losses                   | 0.01  |
| PV modules                        | 8 kWp nominal power, 40 × 200 Wp polycrystalline standard module, modeled with the two-diodes model |
| Inverter                          | 7 kVA standard inverter, max. efficiency 94.6% at 50% load  |
| Sizing factor                     | 114%  |
| Electrical modeling               | Based on IV characteristics superposition, PV-MPPT-Inverter feedback loop                           |
| Grid voltage                      | 230 V   |
| Cable resistance                  | 0 Ω   |

Table 3. Other parameters and models used in the model chain for the PV system simulations.

To give an idea of how these matrix simulations look and how the results of one model chain differs from the other, an example of a very small matrix simulation is given in Figure 2. Here, only one day is simulated instead of a whole year, and only for the location of Lindenberg, Germany. The rows represent the three types of input data (one-minute measured, one-minute synthesized and one-hour averages). The first column shows the pure input data as a function of local time. In the second column, the output of the diffuse models is plotted in yellow to red color. Already here, significant differences can be observed between the different models. On the third column, the simulated PV energy for the exemplary 8 kWp plant is displayed for three different combinations of diffuse fraction and transposition model.



**Figure 2.** Simplified example of the matrix simulations for one day (5 June 2003) and one location (Lindenberg, Germany). Selected diffuse and transposition models only. In the left column, the three different input types are plotted: measurement one-minute values on the top, synthesized one-minute values in the middle and averaged one-hour values below. The second column displays the diffuse irradiance modeled by three different diffuse fraction models (OH, Orgill and Hollands [18]; PI, Perez and Ineichen [22]; and Hofmann [11]). The column on the right shows the resulting PV power (DC) for three different combinations of diffuse fraction and transposition models: PI with Perez [25], OH with Liu and Jordan [23] and Hofmann with Hay and Davies [6]. Sun position algorithm is Din5034-2 [8], tracking mode is fixed tilt at 40°.

#### 2.4. Clipping Losses Analysis

In addition to the matrix simulations, the inverter clipping losses are analyzed with a further set of simulations. In a previous publication [4] we already examined the clipping losses for Lindenberg, Germany, for an exemplary simulation setup. In this contribution we want to analyze the influence of the models and input data types on the clipping losses more in detail.

Out of the matrix subset listed in Table 2, only the model chains of the location of Lindenberg, Germany, are selected. As additional dimension, the sizing factor, i.e., the ratio of installed PV power on the DC side and AC inverter rating, is increased from 100% to 200%. To achieve this, the AC inverter rating is reduced from 8 kVA to 4 kVA in 0.5 kVA steps. This leads to a total of 2970 simulations. These are conducted twice: First with a realistic inverter efficiency curve with a maximum efficiency of 94.6%, and second with an ideal efficiency curve of 100% over the whole inverter range.

#### 3. Results

In this section, the results of the matrix simulations and the clipping losses analysis are presented. The amount of simulation runs requires a compact and grouped presentation of the results in subsections. In most cases, the simulation results are displayed in forms of boxes and whiskers that contain various results from different model chain combinations.

#### 3.1. Influence of Sun Position Models

The difference of irradiance and PV energy output over all simulations varies between -0.1% and 0% for one-hour values, while it can be found to be within -0.07% to -0.01% for measured and synthesized one-minute values. Due to this negligible spread in the results, the impact of sun position models is negligible. All following results are based on the Din5034-2 algorithm [8].

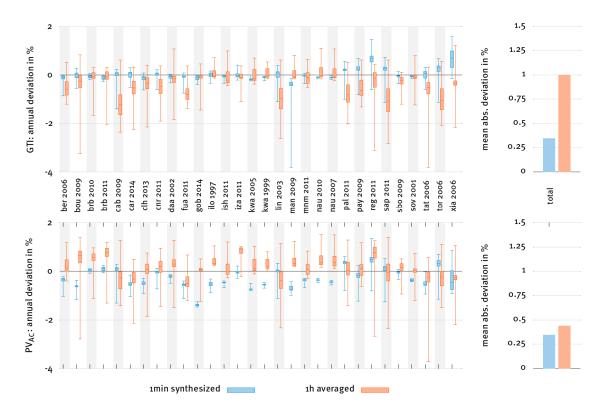
#### 3.2. Influence of Input Data

To assess the influence of the input data, the synthesized one-minute values and the averaged one-hour values are compared against the measured one-minute values. For each model chain combination, the resulting GTI and AC PV energy output of the synthesized one-minute values and the one-hour averages are compared to the results when using measured one-minute values as input.

Figure 3 shows the deviations for each location concentrated in boxes and whiskers on the left. On the right, the average over all absolute (unsigned) deviations is shown. In this plot, only results of simulations with optimal module tilt are displayed.

The first important fact to notice is the strong difference of the results between locations. This underlines the findings of precedent studies that models have to be developed and validated for as many locations as possible to adequately assess their quality and applicability for various locations.

Secondly, for GTI the results are significantly closer to the results of measured one-minute values with synthesized one-minute values than with averaged one-hour values. The mean absolute deviation for GTI is significantly smaller when using synthesized one-minute values compared to one-hour averages. These differences can be explained by the highly volatile nature of the solar irradiance that is not sufficiently modeled with one-hour averages, as diffuse fraction, transposition effects and the sun position are subject to substantial changes during one-minute time frames.



**Figure 3.** Influence of input data on the global horizontal irradiance (GTI, top) and the AC PV energy output (PCAC, bottom). The results of all model chains with one-minute measurement data are compared to one-minute synthesized data (blue) and one-hour averaged data (red). The boxes and whiskers contain 45 results each (nine diffuse fraction models by five transposition models). On the right, the absolute value of all single deviations is calculated and averaged over all locations.

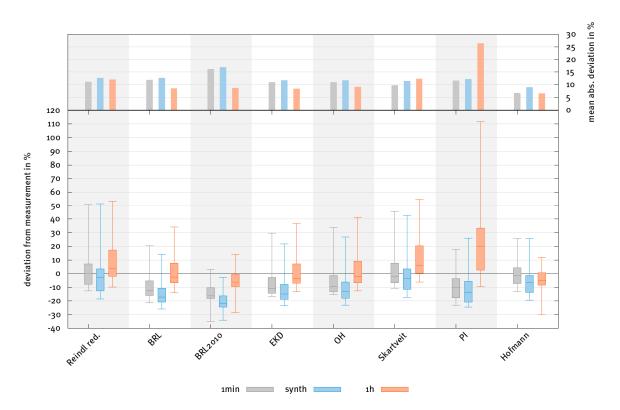
These differences decrease when looking at the AC output of the PV system (bottom plots), since the PV energy on the DC side at the inverter input is lower when using one-hour averages. However, the spread and the accuracy of synthesized one-minute data clearly outperform the one-hour averages.

#### 3.3. Diffuse Irradiance

In this section, the eight diffuse fraction models are compared against the measured one-minute values for all locations. For each location, the relative deviations of the diffuse irradiation values modeled by the eight models from the measured annual diffuse irradiation is calculated. Figure 4 displays the deviations at the bottom, each box and whisker contains the results from 30 locations. For the top plot, the absolute value of the relative deviations is taken and averaged per model.

As recently shown in the presentation of our new diffuse fraction model [11], our approach (Hofmann) is capable of producing mean absolute deviations (MAD) of around 6% for one-minute values (grey). The other models produce MAD of 10% to 16%, which also corresponds to the findings in previous analyses. For synthesized one-minute values the results are similar, the Hofmann model produced the smallest deviation slightly elevated MAD. For one-hour averages, the Hofmann model still produces the smallest MAD, but other models are also featuring MAD of less than 10%.

The bottom part of the plot reveals deeper insight in the quality of the diffuse fraction models and the spread of their results. While, e.g., the "Reindl red." and the "BRL" model lead to similar MAD in the top plot, the boxes and whiskers in the bottom plot show significant differences. The spread is higher for the "Reindl red." model, from -12% to +50% but the box remains between -9%and +8% with the median at 0%, i.e., 50% of all simulation results lead to deviations of less than  $\pm 10\%$ . The "BRL" model however has its median at -13% with the box only covering the range from -16%to -6% which reveals a systematic underestimation of the diffuse fraction by this model.



**Figure 4.** Deviation of the modeled annual diffuse irradiation (irradiance integrated over one year) from the measured irradiation. The bottom plot displays the deviations over all locations and model combinations grouped by the diffuse fraction model. Each box and whisker contains results from 30 simulations at different locations. The top plot displays the average over all absolute (unsigned) deviations in the boxes and whiskers at the bottom.

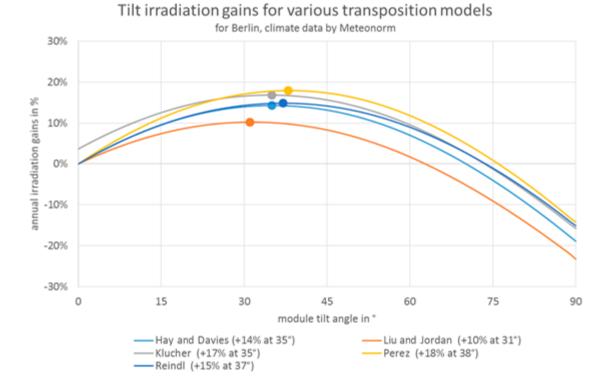
The distribution of the results of the "Hofmann" model confirms the superiority of the MAD analysis: The median values lie close to 0%, the boxes do not exceed a range of 12% and the whiskers remain relative short in comparison to the other models.

#### 3.4. Transposition Models

In this section, we want to present the main conclusions that can be extracted from a wide range of simulation results for modeling the PV energy for tilted surfaces. First, it is important to notice that, in this case, we do not have a "truth" to compare against as we have for the diffuse irradiance. In the validation data set, there is no measured irradiance for the tilted plane which is why we are unable to validate the performance of the models in this study as we did for the horizontal plane. However, other studies have analyzed and validated a subset of these transposition models in the past, most notably by Yang [32] and Gueymard [35], for selected locations and tilt angles.

In the absence of validation data, we focus on the analysis of the qualitative differences of the five transposition models and give an idea on their influence on PV system simulations.

In Figure 5, the annual irradiation gains for the tilted modules are plotted over the tilt angle of the module for the five analyzed transposition models. The irradiation gains were calculated with one-hour data of Berlin, Germany, from Meteonorm [40]. Significant differences in the model output can be observed throughout the tilt angle range. While the Klucher model [24] even produces irradiation gains of >0% for horizontal modules due to a term on the diffuse irradiation that is not fully dependent on the tilt angle, the isotropic model by Liu and Jordan [23] calculates the lowest irradiation gains over the whole tilt angle range. For tilt angles for over 28°, the model by Perez [25] produces the highest gains.



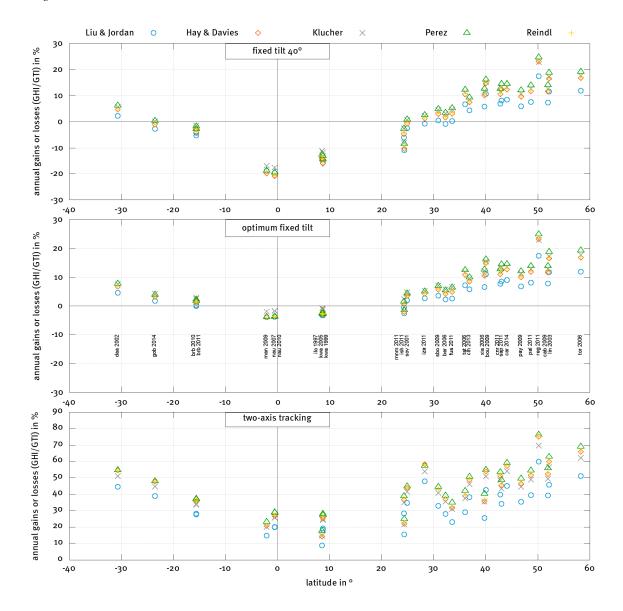
**Figure 5.** Annual tilt irradiation gains for various transposition models, calculated for Berlin, Germany, with one-hour climate data from Meteonorm [40]. The optimum tilt angles calculated by the models lie in the range from 31° to 38°. The Liu and Jordan model [23] produces the lowest irradiance gains while the model by Perez [25] produces the highest gains for tilt angles higher than 28°. Remarkably, the Klucher models [24] produces an irradiation gain of >0% for horizontal modules. It also produces highest gains for tilt angles up to 28°.

The calculated optimum tilt angle ranges between 31° for the Liu and Jordan model [23] (maximum tilt gain of 10%) and 38° for the Perez model [25] (maximum tilt gain of 18%). These significant differences can be observed in similar intensity over the whole tilt angle range: The irradiation loss for vertical modules (90°) ranges from -14% for the Perez model [25] to -23% for the Liu and Jordan model [23].

These results are in good agreement with the results of the reviews by Yadav [41] and Hafez [42] that also find the optimal tilt angle to vary significantly depending on the method used for determining it. The differences in energy gains presented in [41] between optimal yearly, seasonal and monthly tilt angles are found to be in the same range that is perceivable in Figures 5 and 6.

The study of Beringer [43] also compares measurements of tilt angle energy gains with modeled values for Hannover, Germany, and presents variations of the energy gain over the tilt angles that are comparable to the results presented here. The conclusion drawn in that study is fundamentally different, however, as it considers a difference of the annual PV energy yield of up to 6% as negligible.

Figure 6 displays the irradiation gains for all 30 locations over the latitude for three module mounting modes: With a fixed tilt of 40°, with a location-dependent optimum tilt (see Table 1) and with two-axis tracking. Each data point is calculated as the average of all model chains containing the respective transposition model. As expected, a clear dependency of the irradiation gains from the latitude is apparent for all three tracking modes. The longer the distance to the equator, the higher the irradiation gains will be. The small offsets to 0 for locations near the equator (man 2009 and nau 2007/2010) can be explained by reflection losses.



**Figure 6.** Annual irradiation gains and losses for the analyzed locations and three different module tilt modes: Fixed tilt at 40°, optimum fixed tilt (refer to Table 1 for location tilt angles) and two-axis tracking. A significant spread of the irradiation gains can be observed in all cases. The spread grows with increasing distance from the equator. For two-axis tracking systems, the spread is generally very high and reaches maximum differences between the lowest and highest modeled value per location of around 20% in Toravere, Estonia.

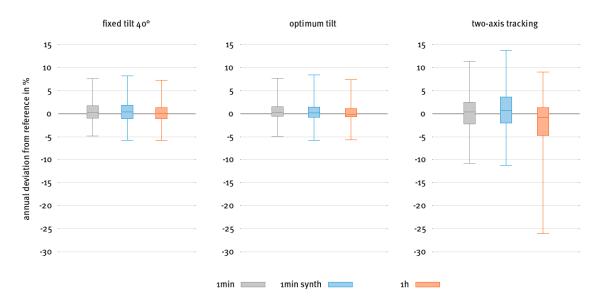
In general, a wide spread between calculated irradiation gains can be observed. The model by Liu and Jordan [23] leads to the lowest gains whereas the models by Klucher [24] and Perez [25] lead to the highest gains depending on the location and tilt mode. The maximum spread between the models is about 20% for two-axis tracking systems in Toravere, Estonia. For two-axis tracking systems in general, a spread of 10% to 15% can be observed.

## 3.5. Variance of Calculated PV Energy

For systems with a fixed tilt at 40° and optimum tilt the simulated PV energy varies between -5% and +8% (grey boxes and whiskers on the left and middle). For two-axis tracking systems, the results lie between -11% and +12% (left grey box and whisker). For synthesized one-minute values as input (blue), the distribution is of the same quality but shows slightly higher values. One-hour

The median for all simulations is close to 0. In the case of the two-axis tracking systems, the box of the synthesized one-minute values has a tendency to positive deviations, whereas the results tend to be distributed more on the negative side for one-hour values.

These results have to be interpreted in context of the inter-annual variability and the economic impact of energetic losses or gains on the internal rate of return (IRR). The inter-annual variability of the results for the exemplary locations (Brasilia, Brazil; Kwajalein, Marshall Islands; and Nauru Island) lies between -2.6% and +2.0% with median values of -2.2% to 1.8%, which is comparable to the results presented in Figure 7. Detailed data of the inter-annual deviation is listed in Table 4.



**Figure 7.** The annual deviation of the modeled PV energy from the reference model chain, grouped by tilt mode and input data type. The reference is calculated from the output of the model chains that contain one-minute measurement data for both global and diffuse irradiation. Each box and whisker contains results from all model chains that can be assigned to the corresponding tilt mode and input data type, i.e., 1200 results per box and whisker (30 locations, eight diffuse fraction models, and five transposition models).

| Location             | Deviation | Min     | Q2      | Median  | Q3      | Max     |
|----------------------|-----------|---------|---------|---------|---------|---------|
| PDP(2010  and  2011) | devgti    | -0.0109 | -0.0070 | -0.0062 | -0.0055 | -0.0042 |
| BRB (2010 and 2011)  | devpv     | -0.0081 | -0.0055 | -0.0047 | -0.0041 | -0.0020 |
| KWA (1999 and        | devgti    | -0.0260 | -0.0235 | -0.0222 | -0.0207 | -0.0162 |
| 2005)                | devpv     | -0.0189 | -0.0164 | -0.0157 | -0.0148 | -0.0103 |
| NAU (2007 and        | devgti    | 0.0150  | 0.0166  | 0.0172  | 0.0176  | 0.0189  |
| 2010)                | devpv     | 0.0153  | 0.0169  | 0.0175  | 0.0184  | 0.0199  |

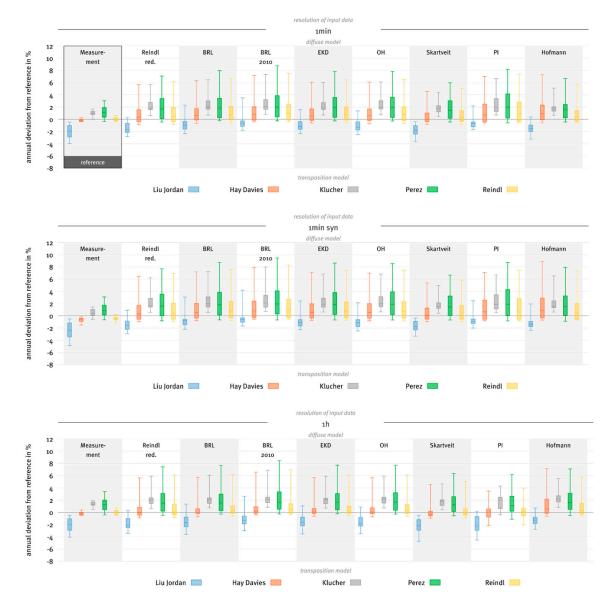
**Table 4.** Inter-annual variability of the results for fixed-tilt systems for the locations of Brasilia, Brazil(BRB), Kwajalein (KWA) and Nauru Island (NAU).

For PV system simulations, three main statements can be extracted from these results:

- 1. Positive: For most of the combinations of models for different locations, the simulated PV energy differs only by a few percent from the reference.
- 2. Negative: For some combinations, however, the deviation can be as high as -5% to 8% for fixed tilt systems and up to ±12% for two-axis tracking systems.
- 3. Negative: There is a very high uncertainty of the model quality when using one-hour averages on two-axis tracking systems.

The effect of energy losses on the IRR of a solar power investment is influenced by numerous variables and has to be analyzed on a case-by-case basis. For a simple grid feed-in PV system in Berlin, Germany, for example, with a feed-in tariff according to the EEG 2017 [44], no loan financing and without the consideration of taxes, the IRR decreases by 2.5% for every energy loss of 1%. That means that an energy loss of 8%, which is within the scope of the variability, can lead to a reduction of the IRR of 20% and can render a PV project uneconomical.

In order to analyze the influence of the model combinations in more in detail, the annual deviations from the reference are displayed in Figure 8. Only the results from systems with optimum tilt are used, grouped by diffuse fraction model, transposition model and input data type. Each box and whisker contains results from 30 locations.



**Figure 8.** The annual deviation of the modeled PV energy from the reference model chain, grouped by diffuse fraction model, transposition model and input data type. The reference is calculated from the output of the model chains that contain one-minute measurement data for both global and diffuse irradiation (black frame). Each box and whisker contains results from 30 locations. Here, only the results of the optimal tilt mode are displayed. See Appendix A Figures A1–A4 for other tilt modes and overall results.

In analogy to Figure 8, the results for systems with a fixed tilt angle of 40°, for systems with the optimum tilt angle for the respective location (same data as in Figure 8), two-axis tracking systems

and the overall results for all three tracking types are shown in the Appendix A, Figures A1–A4. The general character of the results is the same for all tracking types, with some extreme outliers for the Perez and Ineichen transposition model for two-axis tracking system, which is why the analysis is based only on the optimal tilt systems and the other results are shown in the Appendix A for reference.

When comparing the first group per row with the rest, it becomes apparent that diffuse models in general add a lot of spread to the results. While the results vary clearly and systematically as a function of the transposition model (comparing box and whiskers within a group), the influence of the diffuse fraction models on the distribution of the annual deviations is less prominent.

We would like to emphasize here that the deviation shown in Figures 7 and 8 is calculated against a reference that consists of simulated data only. The reference is the average of all simulation results in the black frame in Figure 8, i.e., with one-minute measurement values of global and diffuse horizontal irradiance. This is not to be understood as validation of transposition models against measurement values.

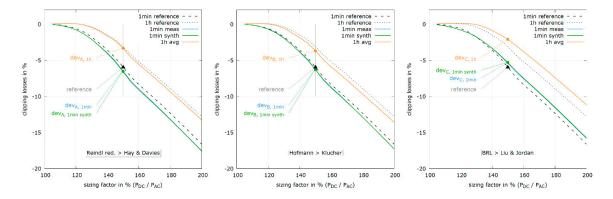
Nevertheless, we conclude that the transposition model by Liu and Jordan [23] leads to the smallest variation, but also to the lowest results, as seen above. The models by Hay and Davies [6] and Reindl [26] produce results that lie close around the reference in most of the cases. The models by Perez [25] and Klucher [24] produce the results with the highest deviation from the reference. Simulations using the model by Klucher [24] also feature a high variation of the results.

#### 3.6. Inverter Clipping Losses

In this section, we present the results of the analysis of the inverter clipping losses for the location of Lindenberg, Germany, 2003. As described in Section 2.4, the inverter AC rating is decreased from 8 kVA to 4 kVA in steps of 0.5 kVA, which leads to sizing factors that increase from 100% to 200%. The PV plant is simulated with every combination of diffuse fraction and transposition models, and for all three types of input data: one-minute measurement, one-minute synthesized and one-hour averages.

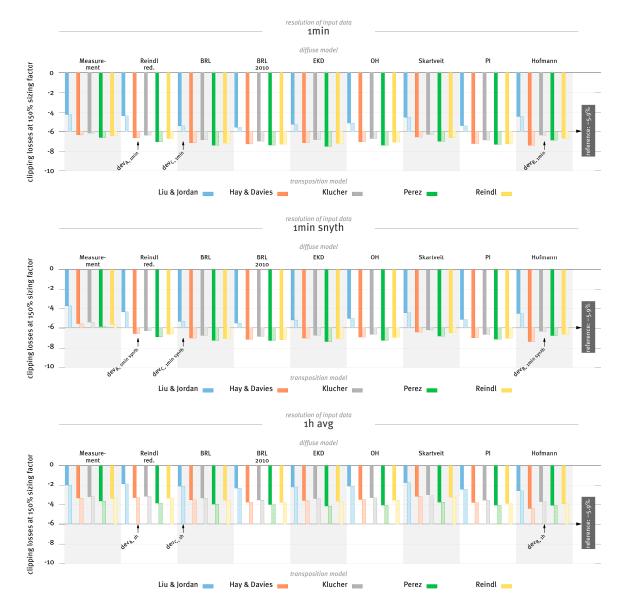
The entirety of the results is displayed in the Appendix A, in Figure A5, for DC/AC inverters with realistic efficiency characteristics at the lower end, with a maximum efficiency of 95% at 50% load. In Figure 9, three selected model combinations illustrate the main aspects of the analysis.

For comparison, the results of simulations with ideal inverters are displayed in the Appendix A, Figure A6.



**Figure 9.** Inverter clipping losses as a function of the sizing factor of the PV plant, for one-minute measurement and synthesized data and one-hour averages. Three different combinations of diffuse fraction and transposition models as example. The clipping losses at 150% sizing factor for all model combinations are displayed in Figure 10.

In all of the analyzed cases, the clipping losses are significantly higher when using one-minute data compared to one-hour averages. When using one-hour averages as input data, the simulated clipping losses remain at 0% up until sizing factors of 120%, where one-minute data already show significant losses of 1% and more. The underestimation of the inverter clipping losses continues to



rise until around 160% sizing factor, where the simulated losses using one-minute data lie around 8%, with losses using one-hour averages at around 5%, an underestimation of over 60%.

**Figure 10.** All inverter clipping losses simulated with s sizing factor of 150%. Selected data points (dev<sub>A</sub>, dev<sub>B</sub> and dev<sub>C</sub>) can be compared to Figure 9. The main bars in full color represent the absolute clipping loss as a result of the simulation with the respective choice of models and input data type. The side bars in light color represent the deviation from the reference. The reference is calculated as the average of the model chains using one-minute data for global and diffuse irradiance.

The absolute value of the clipping loss also depends on the selected models for the diffuse fraction and transposition. Figure 10 displays the clipping losses for all model combination and input data types for a sizing factor of 150%. There is no significant difference in clipping losses when using synthesized one-minute data instead of measured (compare top plot against middle plot). When using one-hour averages, the clipping losses lie between 2% and 4%, while the reference calculated from one-minute data of global and diffuse irradiance lies at 5.9%.

The influence of the models for the diffuse fraction cannot be clearly answered again, as the main drivers for simulation differences remain the transposition models. Again, the model by Liu and Jordan [23] leads to underestimated clipping losses, while the model by Klucher [24] leads to the highest values in most of the cases.

To simulate PV plants with sizing factors of more than 110%, one-minute values are needed. This corresponds to the findings by Burger and Rüther [45] and Ransome [46]. If measured values are not available, the use of synthetic values is highly recommended.

#### 4. Conclusions

From the above analyses and results, the following main conclusions can be extracted. Based on our results, we derived recommendations for models used in PV system simulations to put the conclusions in a practical context for PV system modeling and compiled them in Table 5.

| No. | Result   | Recommendation for PV System Modeling   |
|-----|--|---|
| 1.  | Results of PV system simulations vary strongly from one location to another.   | No model should be validated using only one location.<br>Results from models developed for a specific location<br>should be used with great care only   |
| 2.  | The simulated PV energy varies between $-5\%$ and $+8\%$ from the reference for fixed tilt (40° or optimum) and between $-10\%$ and $+15\%$ for two-axis tracking systems. | Diffuse fraction and transposition models have to be<br>carefully selected and should be improved.<br>Further validation for transposition models to the full<br>extent is urgently needed.   |
| 3.  | The sun position algorithm is of minor importance  | Usage of faster DIN5034-2 algorithm over NREL Spa is reasonable.  |
| 4.  | Synthesized one-minute values lead to results of comparable quality as measured values.  | Either measured or synthesized one-minute values<br>should be used for PV system simulations.<br>One-hour averages are only utilizable for PV systems<br>with sizing factors of less than 110%.   |
| 5.  | The superior performance of the previously presented diffuse fraction model could be confirmed in this study.  | The Hofmann diffuse fraction model may be used as a state-of-the-art model.   |
| 6.  | Diffuse models lead to wider spread of simulation results.   | Where available, diffuse irradiance measurement<br>should be used. Influence of diffuse fraction models is<br>highly location-dependent.<br>Further analysis of the performance of the diffuse<br>fraction models as function of climatic parameters is |
| 7.  | Transposition models have a high impact on simulation results.   | required.<br>Further validation studies for different locations and<br>tilt angles are required.  |

Table 5. Main results of this study and their consequences on PV modeling.

It should be emphasized that the present study does not reveal the impact of spectral effects, which become more important for tilted surfaces. In addition, we investigate the effect on yearly sums only. Even if this is presently the most relevant feature for PV systems, effects on the diurnal variation will be become more important for the use of renewable energies in the future in the absence of large and cheap energy storage systems. One aspect that deserves more attention than was possible in the scope of this paper is the dependency of the performance of diffuse models on the climatic conditions at a location. This study also highlights the importance to intensify the effort to validate transposition models in order to minimize the uncertainties of PV system simulations. In the absence of globally available high-resolution measurement data of the irradiance on tilted planes, however, this task incorporates a complexity that is not to be underestimated. Future work must include thorough meta-study analyses on the topic, data collection of high quality measurement setups and intense validation.

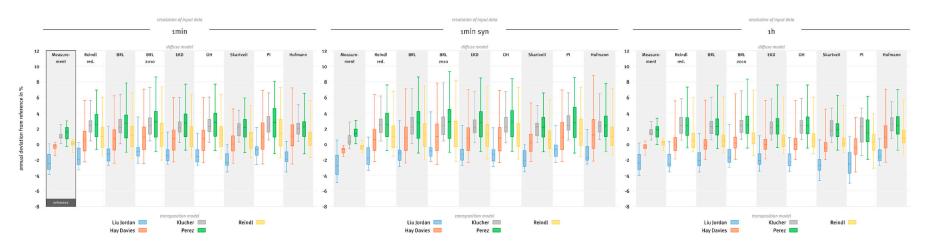
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**Author Contributions:** Martin Hofmann designed the study, implemented the simulations, analysed the data and edited the manuscript. Gunther Seckmeyer helped editing the manuscript.

Conflicts of Interest: The authors declare no conflict of interest.

## Appendix A

Fixed 40°:



**Figure A1.** The annual deviation of the modeled PV energy from the reference model chain, grouped by diffuse fraction model, transposition model and input data type. The reference is calculated from the output of the model chains that contain one-minute measurement data for both global and diffuse irradiation (black frame). Each box and whisker contains results from 30 locations.

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## Optimum Tilt:

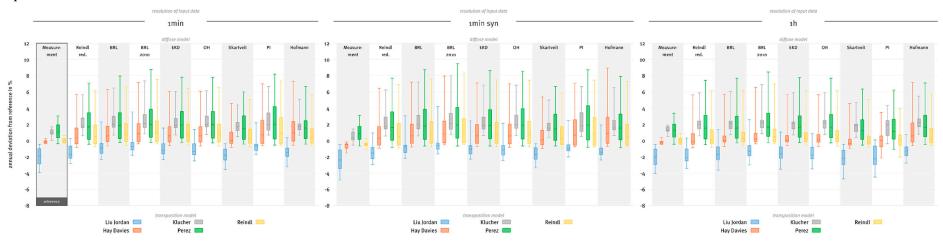


Figure A2. Same plot type as Figure A1, but for modules with optimal tilt. Corresponds to Figure 8.

Two Axis Tracking:

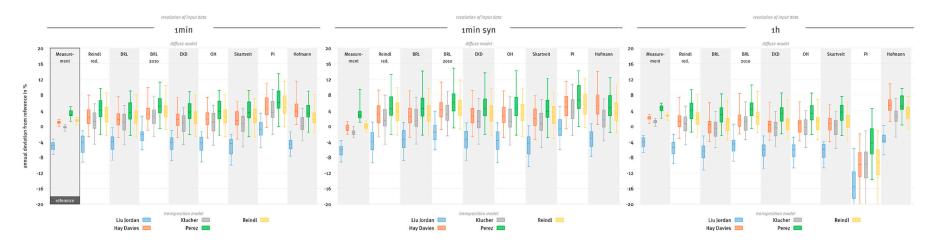


Figure A3. Same plot type as Figure A1, but for modules with two-axis tracking. Note the different y scale in comparison to Figures A1 and A2.

All

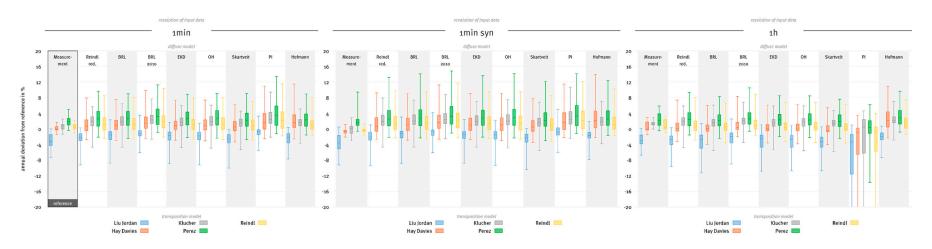
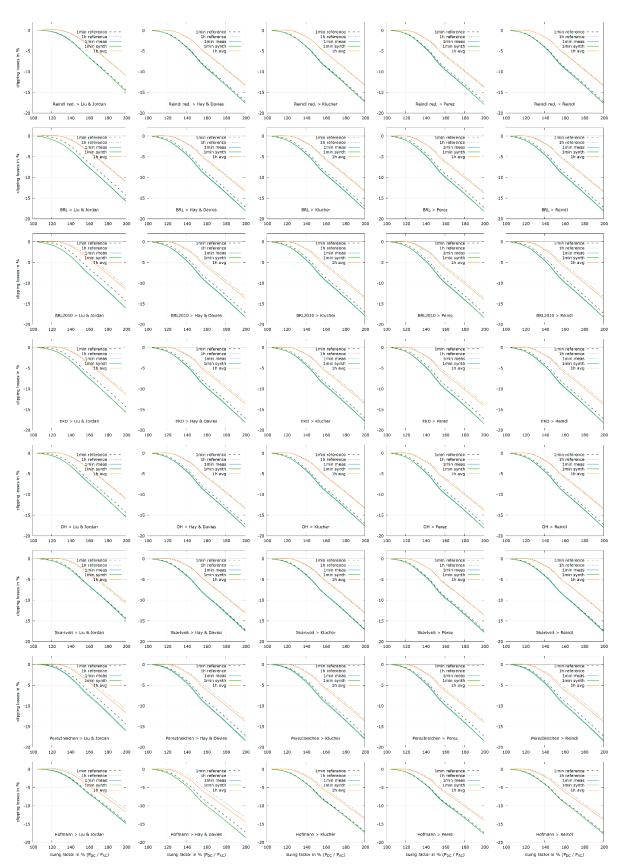
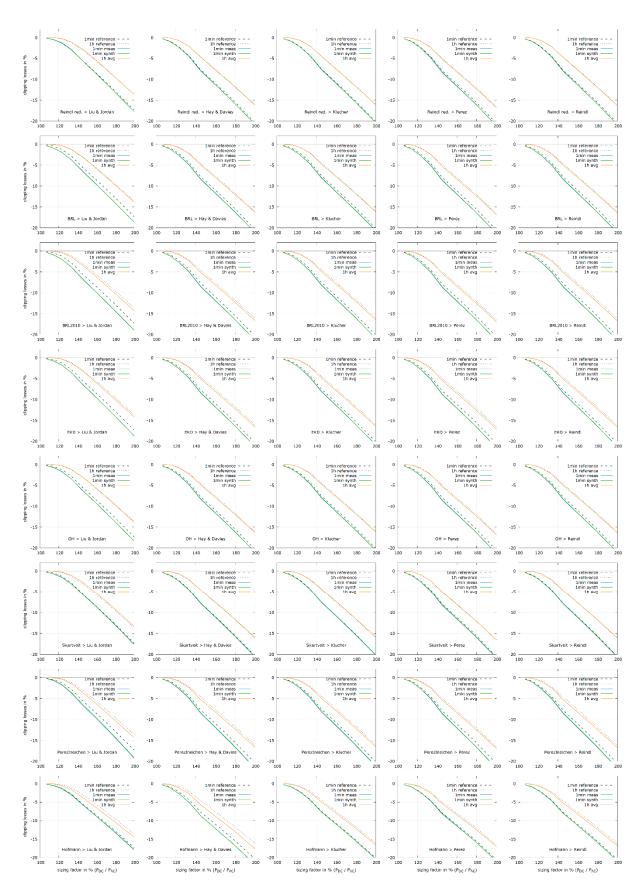


Figure A4. Same plot type as Figure A1, but with all results from all three module tilt modes. Note the different y scale in comparison to Figures A1 and A2.



**Figure A5.** Inverter clipping losses as a function of the sizing factor of the PV plant, for one-minute measurement and synthesized data and one-hour averages. Rows refer to different diffuse fraction models, whereas columns refer to the five transposition models.



**Figure A6.** Same plot as Figure A5, but for inverter with an ideal efficiency of 100% over the whole operating range.

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