

# Chemotherapy in recurrent advanced non-small-cell lung cancer after adjuvant chemotherapy

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# ABSTRACT

**Introduction** Despite adjuvant systemic therapy in patients with completely resected non-small-cell lung cancer (NSCLC), many will subsequently relapse. We investigated treatment choices at relapse and assessed the effect of palliative platinum doublet systemic therapy in this population.

**Methods** With research ethics board approval, we performed a retrospective chart review of all patients with resected NSCLC who received adjuvant systemic therapy from January 2002 until December 2008 at our institution. The primary outcome was the response rate to first-line palliative systemic therapy among patients who relapsed.

**Results** We identified 176 patients who received adjuvant platinum doublet systemic therapy (82% received cisplatin–vinorelbine). In the 85 patients who relapsed (48%), median time to relapse was 18.5 months (95% confidence interval: 15 months to 21.3 months). Palliative systemic therapy was given in 43 patients. Of those 43 patients, 25 (58%) were re-challenged with platinum doublet systemic therapy, with a response rate of 29% compared with 18% in 18 patients who received other systemic therapy (p = 0.48). We observed a trend toward an increased clinical benefit rate (complete response + partial response + stable disease) in patients who were treated with a platinum doublet (67% vs. 41%, p = 0.12). Median overall survival (os) from relapse was 15.3 months in patients receiving palliative systemic therapy and 7.8 months in those receiving best supportive care alone. Compared with patients treated with non-platinum regimens, the platinum-treated group experienced longer survival after relapse (18.4 months vs. 9.7 months, p = 0.041).

**Conclusions** In patients previously treated with adjuvant systemic therapy, re-treatment with platinum doublet chemotherapy upon relapse is feasible. Moreover, compared with patients receiving other first-line systemic therapy, patients receiving platinum doublets experienced higher response rates and significantly longer survival.

Key Words Recurrent non-small-cell lung cancer, adjuvant therapy, first-line therapy, platinum

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# **INTRODUCTION**

Lung cancer is the most common cancer worldwide, with an estimated 1,600,000 new cases and 1,380,000 deaths per annum<sup>1</sup>. Non-small-cell lung cancer (NSCLC) accounts for approximately 85% of all cases of lung cancer<sup>2</sup>.

Most patients with early-stage NSCLC undergo surgery (71%); approximately 18% also receive chemotherapy or radiation therapy<sup>3</sup>. In Canada, the proportion of patients who underwent resection increased to 47% from 27% between 2007 and 2009<sup>4</sup>. Despite optimal surgical management, the 5-year survival rate in resected NSCLC ranges from 25% to 73%, according to pathologic stage<sup>3</sup>.

A meta-analysis of adjuvant chemotherapy trials in resected NSCLC published in 1995 reported a 13% reduction in the risk of death, suggesting an absolute benefit of 5% at 5 years with adjuvant chemotherapy<sup>3</sup>. However the role of adjuvant chemotherapy was not established until the completion of clinical trials utilizing more modern platinum doublets. The landmark studies included the IALT trial, which randomized stages I–III patients to cisplatin and vinca alkaloid or etoposide compared with observation; the JBR.10 trial, which randomized stages IB–II patients to cisplatin and vinorelbine compared with observation; and the ANITA trial, which randomized stages IB–IIIA patients to cisplatin and vinorelbine compared with observation<sup>5–7</sup>.

**Correspondence to:** Paul Wheatley-Price, The Ottawa Hospital Cancer Centre, 501 Smyth Road, Ottawa, Ontario K1H 8L6. E-mail: pwheatleyprice@toh.on.ca **DOI:** http://dx.doi.org/10.3747/co.23.3191 Adjuvant chemotherapy improves survival in patients with completely resected early-stage NSCLC. In that setting, the IALT, JBR.10, and ANITA trials found, at 5 years, an absolute improvement in os of 4.1%, 15%, and 8.6 % respectively<sup>5–7</sup>. More recently, the LACE meta-analysis confirmed the benefit of adjuvant cisplatin-based chemotherapy, with an improvement in disease-free survival of 5.2% at 5 years (p < 0.0001) and a 5.4% absolute improvement in os at 5 years (p = 0.0043)<sup>8</sup>. As a result, adjuvant cisplatin–vinorelbine is considered a standard of care in this population<sup>9,10</sup>.

However, despite adjuvant chemotherapy, 30%–60% of patients will subsequently relapse with advanced incurable disease<sup>11</sup>. For individuals with a good performance status, palliative chemotherapy is an option, which may involve re-treatment with platinum doublet chemotherapy. To date, there has been no documentation in the literature of the efficacy of chemotherapy at time of relapse in this cohort of NSCLC patients previously treated with adjuvant chemotherapy. Specifically, the response rates (RRS) to platinum chemotherapy in the recurrent advanced setting after prior platinum adjuvant treatment in the adjuvant setting—have not been reported.

We investigated treatment choices on relapse after prior adjuvant chemotherapy, specifically in patients receiving palliative platinum doublet chemotherapy, and their effects on RR and os.

# **METHODS**

With institutional research ethics board approval, we performed a retrospective chart review of all patients with resected NSCLC who received adjuvant chemotherapy from January 2002 until December 2008 at our institution, a tertiary academic cancer centre that is the sole provider of medical oncology services to an urban and rural population of approximately 1.4 million. All patients were assigned a study number, and no personal identifying information was used during the data analysis.

We examined patient demographics, initial NSCLC stage and surgical treatment, adjuvant chemotherapy treatment (regimen, number of cycles, dose reductions, dose delays), time-to-event outcomes (date of relapse, date of death), and treatment on relapse (number and type of regimens, response to those regimens). The initial stage was recorded from the resection pathology report using the 6th edition of the American Joint Committee on Cancer TNM staging system. All imaging performed to assess response during follow-up was reviewed and reported using RECIST (the Response Evaluation Criteria in Solid Tumors), as assessed by the authors. Status of each individual patient was determined from the chart and from all available online obituary sources. If no evidence of death was found, status was reported as "alive," and the last follow-up date was recorded for censoring. The primary endpoint was the RR to first-line systemic therapy.

### **Statistical Analysis**

The Fisher exact test was used to compare categorical variables, and the Student t-test was used for numeric variables. Multivariate analyses of numeric variables used analysis of variance, and categorical multivariate analyses used logistic regression. Survival curves created by the Kaplan–Meier method were used for the relapse and survival analysis.

## RESULTS

Of the 241 patients initially identified in our institutional database, 65 were excluded (28 had received neoadjuvant treatment, 31 did not undergo surgery, 3 did not receive any type of chemotherapy, 2 had metastatic disease, and 1 had a neuroendocrine carcinoma; Figure 1). The remaining 176 patients who had received adjuvant platinum doublet chemotherapy were therefore included in the analysis, most of whom (82%) had received cisplatin–vinorelbine.

Median age in the cohort was 63 years (range: 25–82 years), and 55% were women. With respect to smoking status, 83 patients were current smokers, 76 were ex-smokers, and 12 were never-smokers; in 5 patients, smoking status was unknown. The primary surgical procedures were lobectomy (79%) and pneumonectomy (15%), followed by segmentectomy and bilobectomy (6% overall). The most common histologic subtypes were adenocarcinoma (53%), squamous cell carcinoma (29%), and large-cell carcinoma (10%), followed by adenosquamous, bronchioalveolar, large-cell neuroendocrine, and undifferentiated carcinomas (7% overall). The pathologic stages recorded after surgery were IA (2%), IB (30%), IIA (7%), IIB (40%), IIIA (15%), and IIIB (6%). Table I shows all patient characteristics.

Median follow-up in this group was 4.2 years. In the 85 patients who relapsed (48%), median time to relapse was 18.5 months (95% confidence interval: 15 to 21.3 months).

#### **Efficacy of Treatment on Relapse**

Of the 85 relapsed patients, 43 received palliative chemotherapy, and 42 received best supportive care alone. Of the 43 patients treated, 25 (58%) were rechallenged with



**FIGURE 1** Inclusion process and patient subgroup survival. OS = overall survival [median time from relapse to death or last follow-up in months (mo), with range in parenthesis].

TABLE I	Demographic characteristics of the study group	
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Characteristic	Value	
Patients ( <i>n</i> )	176	
Age (years)		
Median	63	
Range	25-82	
Sex ratio (women:men)	96:80 (1.2:1)	
Final pathologic type [ <i>n</i> (%)]		
Adenocarcinoma	94 (53.4)	
Squamous cell carcinoma	51 (29)	
Large-cell carcinoma	18 (10.2)	
Others	13 (7.4)	
Primary surgical procedures [n (%)]		
Lobectomy	137 (79)	
Pneumonectomy	27 (15.3)	
Other	12 (5.7)	
Pathologic stage at surgery [ <i>n</i> (%)]		
IA	3 (1.7)	
IB	53 (30.1)	
IIA	12 (6.8)	
IIB	71 (40.3)	
IIIA	27 (15.3)	
IIIB	10 (5.7)	

platinum doublet chemotherapy, achieving a RR of 29% compared with 18% (p = 0.48) in the 18 patients receiving other systemic therapy, most commonly docetaxel (n =7, 39%) or erlotinib (n = 4, 22%). The platinum and nonplatinum groups showed no significant differences with respect to age, sex, smoking status at diagnosis or packyears smoked at diagnosis, performance status, tumour size, nodal status, or general pathologic stage. The median time from surgery to relapse for the group re-treated with platinum was 19.8 months; it was 9.5 months for the group re-treated with non-platinum agents (p = 0.002). The platinum doublets commonly used were carboplatin-docetaxel, carboplatin-paclitaxel, cisplatin-gemcitabine, and cisplatin-vinorelbine. Other alternatives were drugs used as monotherapy; they included docetaxel, erlotinib, gefitinib, gemcitabine, pemetrexed, and vinorelbine.

Response was assessed in 41 of the 43 patients treated with first-line systemic therapy after relapse. The overall RR in the assessed patients was 24% [complete response (CR) in 2 patients, partial response (PR) in 8 patients], with stable disease in a further 13 patients (32%) and progressive disease in 18 patients (44%). In the platinum group, the RR was 29% (7 of 24 patients: 1 CR, 6 PR); in the non-platinum group, it was 18% (3 of 17 patients: 1 CR, 2 PR; p = 0.48). We observed a trend toward an increased clinical benefit rate (CR, PR, and stable disease) in patients who were treated with a platinum doublet (67% vs. 41%, p = 0.12). Table II details the responses.

Only 1 patient was re-exposed to platinum therapy in the second line. At diagnosis she had a stage IIIB squamous cell carcinoma of the left main bronchus and underwent

TABLE II Relevant measures of response and survival duration

Measure	Platinum re-challenge		р
	Yes ( <i>n</i> =25)	No ( <i>n</i> =18)	- value
Response (n)			
Complete (CR)	1	1	
Partial (PR)	6	2	0.43
Stable disease (SD)	9	4	0.34
Progressive disease	8	10	0.13
Response rate (CR or PR)	7	3	0.48
Clinical benefit rate (CR, PR, or SD)	16	7	0.12
Missing	1	1	
Median time (months) from			
Surgery to relapse	19.8	9.5	0.002
Relapse to death or last follow-up	18.4	9.6	0.041

pneumonectomy. She relapsed 21.6 months after surgery and experienced disease progression after 2 cycles of first-line docetaxel. After a platinum-free interval of 17.2 months she received 5 cycles of cisplatin–vinorelbine in the second line and achieved stable disease for 8.3 months, very similar to the pattern observed in the patients treated in the first line.

For all patients, median time from date of surgery to last follow-up or death was 51.8 months. In patients who relapsed, that time was 36.5 months, with a median time from relapse to death or last follow-up of 11.6 months (Figure 2). In patients receiving any chemotherapy after relapse (n = 43), median time from relapse to last follow-up or death was 15.3 months (range: 1.8–83.8 months); it was 7.8 months (range: 0.3–76.1 months) in those receiving best supportive care alone (p = 0.017).

Patients in the platinum-treated group experienced longer survival after relapse than did patients treated with non-platinum regimens [18.4 months (range: 3.4-83.8 months) vs. 9.7 months (range: 1.8-55.8 months), p = 0.03]. Table 11 compares patients treated with platinum and non-platinum regimens.

#### DISCUSSION

In the present study, we report on the efficacy of platinum chemotherapy as palliative treatment in patients who previously received adjuvant platinum chemotherapy. Given the significant number of patients who relapse despite adjuvant therapy, determining the efficacy of platinum re-treatment is clearly of clinical relevance. We found a relevant RR difference of 11 percentage points favouring a platinum doublet re-challenge compared with other systemic therapies. Further, we observed a significant median benefit in time from relapse to last follow-up or death of 8.7 months in the platinum doublet group (18.4 months vs. 9.7 months, p = 0.03). After adjuvant therapy with platinum, platinum resistance could be expected on second exposure for relapse. However, a response of 29% in our platinum group (compared with 18% in the



FIGURE 2 Survival according to type of systemic therapy after relapse.

non-platinum group, p = 0.48) is very much in line with the first-line response rates to platinum chemotherapy as reported in the well-known phase III studies investigating platinum doublets<sup>12,13</sup>.

We question whether our analysis supports rechallenging all patients with a platinum doublet on recurrence of disease after platinum-based adjuvant chemotherapy in anticipation that the response will be similar to that seen in chemonaïve patients. Rather, we suggest that re-challenging patients with platinum is a reasonable option in selected patients. A retrospective report by Imai *et al.*<sup>14</sup> that included 16 patients who received complete resection followed by an adjuvant platinum doublet and who were re-treated with a platinum doublet upon relapse found a response rate of 31.2%, which clearly supports our findings.

We moderate our conclusions because the primary limitation of our study is an inability to address the issue of patient selection. We fully recognize that differences in relapse-free survival after adjuvant chemotherapy for those who were subsequently re-treated with platinum (19.8 months) and those who received non-platinum therapy (9.5 months) reflects underlying differences in tumour biology. It is quite plausible that the features of a cancer that led to an earlier relapse are the same factors that led to platinum resistance. Alternatively, clinicians could have chosen the fittest patients to receive platinum therapy and that fitness could have predisposed the patients to longer survival. Clinical judgment could have thus led to the selection of platinum-sensitive patients.

A recent pooled analysis of platinum re-challenge in the second line for metastatic NSCLC that included retrospective and prospective trials demonstrated a RR of 26.9% for cisplatin combinations. That rate is similar to the RR that we report here. If proven prospectively, those results could signify a benefit that is constantly available at various points in the disease course despite previous use of the drug<sup>15</sup>.

Re-challenging with prior chemotherapy regimens, including platinum, has proved effective and appropriate in other settings. One is second-line therapy for small-cell lung cancer, where reports (although retrospective) have found significantly higher RR, progression-free survival, and os on re-challenge in platinum-sensitive patients<sup>16–20</sup>.

Another issue is the ability to predict response in patients for whom re-exposure is feasible. Unfortunately, given our data and the most current evidence, we cannot say to what extent a long relapse-free interval after adjuvant platinum therapy can predict response. Likewise, determining mechanisms of drug resistance was not the goal of our study, and that issue would be best addressed by biopsy at relapse for genomic and proteomic evaluation.

Ultimately, it will take a randomized study of platinum compared with non-platinum chemotherapy in this group of patients to definitively answer the foregoing questions. However, an alternative would be to analyze response and survival in patients entered into modern first-line platinum chemotherapy trials and to retrospectively analyze according to the presence or absence of prior adjuvant chemotherapy. Our group is planning such an analysis.

Further limitations include the number of patients in the cohort and the retrospective nature of the data. Nonetheless, our patient population is representative of patients with non-small-cell lung cancer who undergo adjuvant systemic therapy: median age 63 years, 40%-50%adenocarcinoma, 30%-40% squamous cell carcinoma, and 60%-65% men<sup>5–7</sup>. Our relapse rate was 48\%, which is approximately 10% higher than that observed in earlier prospective trials in which only patients with a very good performance status were enrolled<sup>5–7</sup>.

# CONCLUSIONS

In patients previously treated with adjuvant chemotherapy, re-treatment with a platinum doublet upon relapse is feasible and is associated with a higher RR and significantly longer survival than are seen in patients receiving other first-line systemic therapy. However, our data cannot answer the issue of patient selection, and so further investigation with prospectively gathered data is planned.

#### CONFLICT OF INTEREST DISCLOSURES

We have read and understood *Current Oncology*'s policy on disclosing conflicts of interest, and we declare that we have none.

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