# MEDICAL ONCOLOGY



A personalized approach to treatment: use of EGFR tyrosine kinase inhibitors for the treatment of non-small-cell lung cancer in Canada

V. Hirsh MD,\* B. Melosky MD,† G. Goss MD,‡ D. Morris MD PhD,§ and W. Morzycki MD||

#### **ABSTRACT**

Lung cancer is one of the most commonly diagnosed malignancies and the leading cause of cancer-related mortality in Canada. The heterogeneity of NSCLC and the importance of linking new targeted agents to the appropriate disease subtype require an individualized approach to treatment. In patients with EGFR (epidermal growth factor receptor gene) mutations, EGFR tyrosine kinase inhibitors (TKIS) provide a highly effective treatment option, with improved toxicity compared with standard chemotherapy. However, the identification of mutation-positive patients is limited by a lack of funding for testing. The length of time required to receive test results and insufficient tissue from biopsies are additional limitations. In Canada, the use of EGFR-TKIS varies based on differences in provincial funding for both testing and treatment. With improvements in testing and access to funding for treatment, targeted use of EGFR-TKIS may greatly improve outcomes in NSCLC.

### **KEY WORDS**

NSCLC, EGFR, EGFR-TKIS, Canadian situation

# 1. BACKGROUND

Lung cancer is one of the most commonly diagnosed malignancies and the leading cause of cancer-related mortality in Canada <sup>1</sup>. Non-small-cell lung cancer (NSCLC) is the most common form of the disease, accounting for approximately 85% of lung cancers, and it includes a number of histologies—namely, adenocarcinoma, squamous cell carcinoma, large-cell anaplastic carcinoma, and adenosquamous carcinoma <sup>2,3</sup>.

Traditionally, systemic chemotherapies have been used to treat NSCLC, but improvements in outcomes have reached a plateau <sup>4,5</sup>. Use of platinum-based

doublet chemotherapy for advanced disease has improved median survival to 8–10 months from 4–5 months (if untreated), but the doublets are limited by significant toxicities. Recent advances in the understanding of cell signalling pathways have led to the development of targeted treatments, offering potential benefits in efficacy and safety. However, targeted agents are unlikely to offer advantages unless administered to patients with specific genetic subtypes, suggesting a need for adequate mutation testing <sup>6</sup>.

Examples of potentially targetable biomarkers associated with NSCLC subtypes include mutations in the epidermal growth factor receptor (EGFR) and KRAS genes, and the fusion genes echinoderm microtubuleassociated protein-like 4 and anaplastic lymphoma kinase  $(EML4-ALK)^{7-9}$ . Mutations in EGFR occur at exons 18-21, with approximately 90% occurring as short in-frame deletions in exon 19 or as point mutations in exon 21 10,11. These mutations are found in approximately 10% of patients from North America and in 33% of patients from East Asia, with most being found in female never-smokers with adenocarcinoma histology 11 (Figure 1). An ongoing study collecting data from a pan-Canadian *EGFR* mutation testing program found that 17.6% of samples (279 of 1588) were positive for exon 19 deletion and exon 21 L858R point mutation <sup>12</sup>. In almost all cases, EGFR mutations are non-overlapping with other oncogenic mutations such as KRAS and ALK.

Various clinical trials have demonstrated that EGFR inhibitors are clinically efficacious in the management of solid tumour types, such as those of breast, colon, pancreas, head and neck, kidney, gastrointestinal stroma, and lung <sup>13</sup>. The EGFR tyrosine kinase inhibitors (EGFR-TKIS) impede phosphorylation of the intracellular tyrosine kinase component of EGFR and thus block signal transduction pathways associated with the proliferation and survival of cancer cells <sup>14</sup>. Erlotinib is a reversible EGFR-TKI that

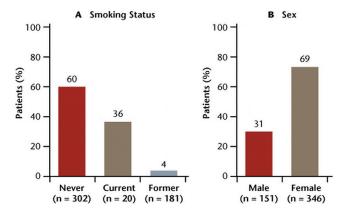


FIGURE 1 Mutations in EGFR in adenocarcinoma patients by (A) smoking status and (B) sex. Adapted from D'Angelo et al., 2011 <sup>24</sup>.

has been approved in the United States, Canada, and many other countries worldwide for use in NSCLC after failure of chemotherapy, based on clinical trial results showing it to be safe and efficacious <sup>15</sup>. Another reversible EGFR-TKI is gefitinib, which was recently granted marketing authorization by the European Medicines Agency and Canada for the first-line treatment of *EGFR* mutation—positive NSCLC. Newer irreversible EGFR-TKIS in clinical development—such as afatinib (BIBW 2992), PF-00299804, and neratinib (HK-272)—may also prevent or delay the development of resistance by inhibiting the growth of tumours harboring a T790M mutation in exon 20 of the *EGFR* gene <sup>16</sup>.

In unselected patients, response to monotherapy with EGFR-TKIS ranges from 4% to 27%; however, in subgroups of patients, such as never-smokers and people of Asian ethnicity, responses are achieved in approximately 40%  $^{17-20}$ . It is therefore important to test for biomarkers, such as the presence of *EGFR* mutations, that predict an optimal response to EGFR-TKIS. In trials that select patients based on the presence of activating *EGFR* mutations, responses to EGFR-TKIS occur in 30%–90% of patients  $^{21}$ .

The heterogeneity of the disease and the importance of linking new targeted agents to the appropriate disease subtype suggest the need for an individualized approach to the treatment of NSCLC. Testing patients for biomarkers to identify the presence of disease-specific genes or gene profiles that control cancer growth can optimize the use of target-specific therapies such as EGFR-TKIS. The present paper sets out a Canadian perspective on the use of EGFR-TKIS in NSCLC and addresses topics such as the need for *EGFR* mutation testing, the efficacy of EGFR-TKIS at various points in the treatment algorithm, and the use of EGFR-TKIS in Canada.

### 2. EGFR MUTATION TESTING

Given the heterogeneous nature of NSCLC and the number of genetically distinct subtypes that exist, individualizing treatment is the next step in improving patient outcomes. If used in the appropriate patients, EGFR-TKIS can improve efficacy and reduce toxicity of treatment. Given the improved outcomes in patients with *EGFR* mutations, it is important to identify those patients up front and to treat them with EGFR-TKIS.

Certain tumour tissue characteristics—such as adenocarcinomas with non-mucinous bronchioloalveolar component, and papillary and micropapillary patterns—appear to occur more frequently with EGFR mutations <sup>22,23</sup>. In addition, mutations occur more often in women and never-smokers <sup>24</sup> (Figure 1). Although phenotypic markers may aid in predicting the prevalence of EGFR mutation, using those markers to select patients for EGFR-TKIS would eliminate a number of patients who could benefit from such treatment. Currently, somatic mutations in the EGFR gene are the most robust biomarkers for EGFR-targeted therapy selection <sup>25</sup>. According to the 2011 provisional clinical opinion paper from the American Society of Clinical Oncology on EGFR testing in NSCLC, all patients being considered for first-line treatment with an EGFR-TKI should be tested for EGFR mutations  $^{26}$ .

Although mutation testing is needed in Canada, a number of barriers exist, such as a lack of funding for testing, the length of time needed to obtain test results, and the inadequacy of biopsy tissue samples. Despite the approval of erlotinib and gefitinib in mutation-positive NSCLC, funding for EGFR mutation testing is not readily available in Canada. Currently, only British Columbia and Alberta have access both to routine testing and to funding of gefitinib as initial treatment for advanced lung cancer patients with EGFR mutations  $^{27}$ . As a result, samples are typically sent to diagnostic laboratories, and it can take 3-4 weeks to receive results. Some patients may deteriorate while waiting, and others are too nervous to wait, which results in treatment with chemotherapy commencing before test results are received.

In an ongoing study using data from 5 regional diagnostic centres in a pan-Canadian mutation testing program, the median time for receipt of samples by test centres was 7 days and the time for centres to complete tests and report results was 11 days <sup>12</sup>. That study suggests that *EGFR* mutation testing is feasible. However, if funding for on-site testing were to be made available to institutions, the length of time to obtain results might be reduced considerably. With the shift from conventional chemotherapy to an era of personalized medicine, there is also a need

for increased involvement from pneumologists and thoracic surgeons in the testing process.

Obtaining tissue samples sufficient for testing is essential for delivering appropriate treatment to patients. With educational initiatives providing a greater understanding of the need for upfront *EGFR* testing, pneumologists can play a key role in ordering tests and obtaining biopsies sufficient to fulfill testing needs. In addition, there is a need for re-testing at each new line of treatment, because new mutations that may require a specific individualized treatment approach may develop over time.

Gene sequencing by polymerase chain reaction (PCR) assay is the most widely used method for *EGFR* mutation testing. Unfortunately, the test involves multiple steps and typically requires several days to obtain results. In addition, 25% of the total DNA must be mutant for detection; the sensitivity of the test may therefore be inadequate. False-negative results are therefore a concern with this method in the setting of NSCLC <sup>9,25</sup>.

In recent years, a number of new testing techniques that improve sensitivity and reduce testing time have been developed (Table 1) 9. Single-stranded conformational polymorphism, denaturing highperformance liquid chromatography, and highresolution melting analysis all rapidly screen for mutations in large numbers of samples with high sensitivity. However, those tests require direct sequencing to confirm the identity of the detected mutations. Other simple techniques are highly sensitive for the detection of specific EGFR mutations, such as the amplification refractory mutations system and the peptide nucleic acid-locked PCR clamping. Finally, the mutant-enriched PCR method selectively digests wild-type DNA templates with restriction endonucleases to enrich mutant alleles.

# 3. EFFICACY OF EGFR-TKIs

### 3.1 First-Line Treatment

The addition of EGFR-TKIS to platinum-based chemotherapy has been examined in a number of trials (Table II). Despite completion of a number of phase III studies, no benefit was found with EGFR-TKIS compared with chemotherapy used alone. The lack of benefit shown in combination trials might be explained by the fact that targeted agents slow the proliferation of malignant cells that is needed for chemotherapy activity.

Subsequently, a number of trials examined EGFR-TKI monotherapy in patients who were *EGFR* mutation—positive. In all studies pre-selecting patients with *EGFR* mutations, EGFR-TKIS achieved

TABLE I Techniques for detecting epidermal growth factor receptor mutations in lung cancer specimens<sup>a</sup>

Technique	Sensitivity (% mutant DNA)	Mutations identified	Comprehensive detection Yes	
Direct sequencing	25	Known and new		
PCR-SSCP	10	Known and new	Yes	
TaqMan <sup>b</sup> PCR	10	Known only	No	
Loop-hybrid mobility shift assay	7.5	Known only	Yes	
Cycleave <sup>c</sup> PCR	5	Known only	Yes	
PCR-RFLP and length analysis	5	Known only	Yes	
MALDI-TOF MS-based genotyping	5	Known only	No	
PNA-LNA PCR clamp	1	Known only	No	
Scorpions <sup>d</sup> ARMS	1	Known only	No	
<b>d</b> HPLC	1	Known and new	Yes	
Single-molecule sequencing	0.2	Known and new	Yes	
Mutant-enriched PCR	0.2	Known only	No	
SMAP	0.1	Known only	No	

- <sup>a</sup> Adapted from Pao and Ladanyi, 2007 <sup>28</sup>.
- <sup>b</sup> Roche Molecular Systems, Pleasanton, CA, U.S.A.
- Takara Bio, Otsu, Japan.
- d DxS Limited, Manchester, U.K.

PCR = polymerase chain reaction; sscp = single-strand conformation polymorphism; RFLP = restriction fragment length polymorphism; MALDI-TOF MS = matrix-assisted laser desorption/ionization time-of-flight mass spectrometry; PNA-LNA = peptide nucleic acid—locked nucleic acid; ARMS = amplified refractory mutation system; dHPLC = denaturing high-performance liquid chromatography; SMAP = smart amplification process.

significantly improved response rates (RRS), ranging from 43%–83%, compared with those achieved by chemotherapy. Progression-free survival (PFS) outcomes were also improved with EGFR-TKIS, with durations ranging from 4.6 months to 10.4 months. However, thus far, no study has shown an overall survival (os) benefit with the use of EGFR-TKIS. Reasons for a lack of observed os benefit may include the high degree of crossover and the frequent use of subsequent therapies.

In Canada, gefitinib is the only EGFR-TKI currently approved by Health Canada and indicated for first-line treatment of NSCLC <sup>40</sup>. However, funding for

TABLE II Phase III studies of epidermal growth factor receptor tyrosine kinase inhibitors as first-line treatment for advanced-stage non-small-cell lung cancer<sup>a</sup>

Reference (study name)	Treatment	Pts (n)	RR (%)	(months)	Median os (months)
Combination					
Giaccone <i>et al.</i> , 2004 30	Gemcitabine/cisplatin/placebo	363	47.2	6.0	10.9
(INTACT-1)	Gemcitabine/cisplatin/gefitinib (250 mg)	363	51.2	5.8	9.9
,	Gemcitabine/cisplatin/gefitinib (500 mg)	365	50.3	5.5	9.9
Herbst et al., 2004 31	Paclitaxel/carboplatin/placebo	345	28.7	5.0	9.9
(INTACT-2)	Paclitaxel/carboplatin/gefitinib (250 mg)	345	30.4	5.3	9.8
,	Paclitaxel/carboplatin/gefitinib (500 mg)	347	30.0	4.6	8.7
Herbst et al., 2005 32	Paclitaxel/carboplatin/placebo	533	19.3	4.9	10.5
(TRIBUTE)	Paclitaxel/carboplatin/erlotinib (150 mg)	526	21.5	5.1	10.6
	•			HR: 0.94;	HR: 0.99;
				95% ci: nr	95% CI: 0.86 to 1.10
Gatzemeier et al., 2007 33	Gemcitabine/cisplatin/placebo	586	29.9	24.6 weeks	44.1 weeks
(TALENT)	Gemcitabine/cisplatin/erlotinib (150 mg)	586	31.5	23.7 weeks	43.0 weeks
				HR: 0.98;	HR: 1.06;
				95% CI: 0.86 to 1.11	95% CI: 0.90 to 1.23
Monotherapy					
Lee et al., 2009 34	Gemcitabine/cisplatin	150	46.3	6.1	23.3
(first-signal)	Gefitinib (250 mg)	159	53.5	6.6 <sup>b</sup>	21.3
				HR: 0.81;	HR: 1.0;
				95% CI: 0.64 to 1.03	95% CI: 0.75 to 1.3
Mok et al., 2009 35	Paclitaxel/carboplatin	608	32.0	нк: 0.74;	HR: 0.91;
(IPASS, all patients)	Gefitinib (250 mg)	609	43.0 <sup>b</sup>	95% CI: 0.65 to 0.85	95% ci: 0.76 to 1.10
(IPASS, EGFR M+ patients)	Paclitaxel/carboplatin	129	47.3	нг: 0.48;	HR: 0.78;
	Gefitinib (250 mg)	132	71.2 <sup>b</sup>	95% CI: 0.36 to 0.64	95% ci: 0.50 to 1.20
Maemondo et al., 2010 36	Carboplatin/paclitaxel	114	30.7	5.5	23.6
(NEJS3, EGFR M+)	Gefitinib	114	$73.7^{b}$	10.4 <sup>b</sup>	30.5
				HR: 0.3;	
				95% CI: 0.22 to 0.41	
Mitsudomi et al., 2010 37	Cisplatin/docetaxel	89	32.2	6.3	NR
(wjtog 3405,	Gefitinib (250 mg)	88	62.1 <sup>b</sup>	9.2 <sup>b</sup>	NR
EGFR M+ patients)				HR: 0.49;	
				95% ci: 0.34 to 0.71	
Zhou et al., 2010 38	Gemcitabine/carbotaxel	72	36	13.1	NR
(OPTIMAL, EGFR M+)	Erlotinib	82	83 <sup>b</sup>	4.6 <sup>b</sup>	NR
				HR: 0.16; 95% CI: 0.10 to 0.26	
Rosell <i>et al.</i> , 2011 <sup>39</sup>	Distinum based shows the grown	76	10.5		100
(EURTAC, EGFR M+)	Platinum-based chemotherapy Erlotinib	76 77	10.5 54.5 <sup>b</sup>	5.2 9.4 <sup>b</sup>	18.8 22.9
(EURIAC, EGFR IVI+)	EHOUIIID	//	34.3°		
				HR: 0.42;	HR: 0.8;
				95% CI: NR	95% CI: NR

<sup>&</sup>lt;sup>a</sup> Adapted from Voon *et al.*, 2010 <sup>29</sup>.

b Statistically significant.

Pts = patients; RR = response rate; PFS = progression-free survival; os = overall survival; Intact = Iressa NSCLC Trial Assessing Combination Treatment; TRIBUTE = Tarceva Responses in Conjunction with Paclitaxel and Carboplatin; HR = hazard ratio; CI = confidence interval; TALENT = Tarceva Lung Cancer Investigation; IPASS = Iressa Pan-Asia Study; EGFR M+ = EGFR mutation-positive; NR = not reported; WJTOG = West Japan Thoracic Oncology Group; EURTAC = European Randomised Trial of Tarceva vs. Chemotherapy.

up-front treatment with gefitinib is not available in all provinces across Canada, and this lack of funding is a major barrier to effective treatment. As described earlier, given the benefit in PFS and RRS shown with erlotinib, it is likely that other EGFR-TKIS will also prove reasonable options in EGFR mutation—positive patients.

A number of studies are examining the use of other EGFR-TKIS, such as PF-00299804 and afatinib as first-line treatment in NSCLC. A phase II study of first-line PF-00299804 in EGFR mutation-positive patients demonstrated a median PFS of 9.3 months [95% confidence interval (ci): 6.5 months to 11.2 months] 41. Afatinib has been examined in a phase II study (LUX-Lung 2) as first- or second-line treatment in EGFR mutation-positive patients <sup>42</sup>. After treatment with 40 mg or 50 mg of afatinib, the overall response rate was 67%, the disease control rate was 86%, the median PFS was 14 months, and the median os was 24 months. Comparable efficacy was observed in the first- and second-line settings. In addition, an ongoing phase III study (LUX-Lung 6) is comparing first-line afatinib with cisplatin-gemcitabine in EGFR mutation-positive patients. Finally, a multicentre open-label phase III study (LUX-Lung 3) is comparing afatinib with chemotherapy (cisplatin or pemetrexed) for the first-line treatment of *EGFR* mutation–positive NSCLC patients. The accrual of LUX-Lung 3 has been completed, and results will be presented at the American Society of Clinical Oncology 2012 meeting.

Given the short survival times of patients with NSCLC, 40%–60% of patients will receive only one line of treatment <sup>43,44</sup>. It is therefore of key importance that the best treatment option be provided up front. In *EGFR* mutation—positive patients, it is clear that, compared with standard chemotherapy, EGFRTKIS achieve superior treatment outcomes. Upfront mutation testing is therefore necessary to optimize outcomes by selecting the appropriate patients for EGFR-TKIS. If the cost of mutation testing and treatment were to be covered, many more patients would be tested, allowing for a more personalized approach to treatment.

# 3.2 Pretreated Patients

Data from phase III studies in pretreated NSCLC patients show RR ranges from 6.8% to 28.1%, and median PFS ranges from 2.0 months to 3.6 months (Table III). Studies comparing EGFR-TKIS and chemotherapy have shown comparable PFS and os outcomes. In addition, non-inferiority was shown in INTEREST (Iressa Non-Small-Cell Lung Cancer Trial Evaluating Response and Survival Against Taxotere), which demonstrated

that os with gefitinib is non-inferior to that with docetaxel <sup>45</sup>. Although no PFS or os advantage was shown for EGFR-TKIS in pretreated patients, the V-15-32 and ISTANA (Iressa as Second-Line Therapy in Advanced NSCLC-Asia) trials did show a significant improvement in RRS for gefitinib compared with those for docetaxel in Asiatic patients <sup>46,47</sup>.

Placebo-controlled trials show significantly improved RR and PFS outcomes for EGFR-TKIS compared with those for chemotherapy (Table III). In addition, the BR.21 study showed an improved os for erlotinib compared with that for placebo (4.7 months vs. 6.7 months; p < 0.001) <sup>18</sup>. Although other studies have not found an improved os in unselected patient populations, the ISEL (Iressa Survival Evaluation in Lung Cancer) study showed that subgroups of patients—such as never-smokers and people of Asian origin—experienced a significantly longer os. In addition, exploratory analyses showed an increased RR in patients with EGFR mutations compared with that in patients having wild-type disease (37.5% vs. 2.6%). There may therefore be an EGFR-TKI treatment advantage for pretreated patients who are EGFR mutation—positive. However, the BR.21 study showed that the os advantage remained even in male current- or ex-smokers with squamous cell histology [6.1 months vs. 4.7 months; hazard ratio (HR): 0.66 (data on file)]. A benefit of EGFR-TKIS may therefore remain in second- and subsequent-line treatment for patients who typically do not have EGFR mutations.

A number of studies are examining the use of newer irreversible EGFR-TKIS, such as afatinib and PF-00299804 in previously treated patients with NSCLC. A phase IIB/III study (LUX-Lung 1) in patients failing chemotherapy and erlotinib or gefitinib found a tripling in median PFS to 3.3 months from 1.1 month (HR: 0.38; p < 0.0001) with the addition of afatinib (50 mg) to best supportive care <sup>50</sup> (Table III). In addition, a subgroup analysis examined the benefit of afatinib in patients with the highest likelihood of EGFR mutations—that is, those with a complete or partial response on prior erlotinib or gefitinib, or with 48 weeks or more on treatment with erlotinib or gefitinib, or both 51. Those patients experienced an improved median PFS (4.4 months vs. 1.0 months; HR: 0.28; 95% CI: 0.21 to 0.36) and a trend toward an improved os (11.8 months vs. 11.2 months; HR: 0.9; 95% ci: 0.69 to 1.18). As discussed earlier, afatinib has also been examined in a phase II study (LUX-Lung 2) as first- or second-line treatment in EGFR mutation-positive patients 42. In addition, a phase III study (LUX-Lung 5) is examining afatinib in combination with paclitaxel after first-line treatment with afatinib in patients failing erlotinib or gefitinib.

TABLE III Phase III studies of epidermal growth factor receptor tyrosine kinase inhibitors in pretreated advanced-stage non-small-cell lung cancer<sup>a</sup>

Reference	Treatment	Pts	RR	PFS	Median os
(study name)		(n)	(%)	(months)	(months)
Chemo-controlled					
Kim et al., 2008 45	Docetaxel (75 mg/m <sup>2</sup> )	733	7.6	2.2	7.6
(INTEREST)	Gefitinib (250 mg daily)	733	9.1	2.7	8.0
Non-inferiority design				HR: 1.04;	HR: 1.02;
				95% ci: 0.93 to 1.18	95% CI: 0.91 to 1.15
Maruyama <i>et al.</i> , 2008 <sup>46</sup>	Docetaxel (60 mg/m <sup>2</sup> )	244	12.8	2.0	14
(V-15-32)	Gefitinib (250 mg daily)	245	22.5 <sup>b</sup>	2.0	11.5
Non-inferiority design				нг: 0.79;	нг: 1.12;
				95% ci: 0.72 to 1.12	95% CI: 0.89 to 1.40
Lee et al., 2010 47	Docetaxel (75 mg/m <sup>2</sup> )	79	7.6	нг: 0.73;	нг: 0.870;
(ISTANA)	Gefitinib (250 mg daily)	82	28.1 <sup>b</sup>	95% CI: 0.53 to 0.99	95% CI: 0.613 to 1.236
Vamvakas <i>et al.</i> , 2010 <sup>48</sup>	Pemetrexed	147	11.6	2.9	8.9
(NCT00440414)	Erlotinib (150 mg)	150	6.8	3.6	7.7
Placebo-controlled					
Shepherd et al., 2005 18	Placebo	243	<1	1.8	4.7
(BR.21)	Erlotinib (150 mg daily)	488	8.9 <sup>b</sup>	2.2 <sup>b</sup>	6.7 <sup>b</sup>
				HR: 0.61;	HR: 0.7;
				95% CI: 0.51 to 0.74	95% CI: 0.58 to 0.85
Thatcher <i>et al.</i> , 2005 <sup>49</sup>	Placebo	563	1.3	2.6	5.1
(ISEL)	Gefitinib (250 mg daily)	1129	8 <sup>b</sup>	3.0 <sup>b</sup>	5.6
				нг: 0.82;	HR: 0.89;
				95% CI: 0.73 to 0.92	95% ci: 0.77 to 1.02
Hirsh et al., 2011 50	Placebo	195	0.5	1.1	11.7
(LUX-Lung 1)	Afatinib (50 mg)	390	$7.0^{b}$	3.3 <sup>b</sup>	11.0
				нг: 0.38;	HR: 1.0
				95% ci: nr	

<sup>&</sup>lt;sup>a</sup> Adapted from Voon et al., 2010 <sup>29</sup>.

Pts = patients; RR = response rate; PFS = progression-free survival; OS = overall survival; INTEREST = Iressa Non-Small-Cell Lung Cancer Trial Evaluating Response and Survival Against Taxotere; HR = hazard ratio; CI = confidence interval; ISTANA = Iressa as Second Line Therapy in Advanced NSCLC—Asia; ISEL = Iressa Survival Evaluation in Lung Cancer; NR = not reported.

Several clinical trials have also examined PF-00299804. An open-label phase II study evaluated the safety and efficacy of PF-00299804 as first-line treatment in advanced NSCLC. Of 29 evaluable patients, 1 experienced a complete response; 6, a partial response; and 16, stable disease at 6 weeks or more <sup>41</sup>. Preliminary PFS rates at 3, 4, and 6 months were 90%, 79%,

and 79% respectively. A number of ongoing studies are also examining the efficacy of PF-00299804. The phase III BR.26 study (NCT01000025) is comparing PF-00299804 with placebo in patients with stage IIIB or IV NSCLC that has not responded to standard therapy for advanced or metastatic cancer. In addition, the ARCHER 1009 study (NCT01360554) is a phase III study

b Statistically significant.

comparing PF-00299804 with erlotinib in patients with advanced NSCLC who have been treated with at least one prior regimen. Several phase II studies are also examining PF-00299804 as second-line therapy compared with erlotinib <sup>52</sup> and as subsequent treatment after chemotherapy and erlotinib <sup>53</sup>.

## 4. THE CANADIAN EXPERIENCE

# 4.1 Mutation Testing

In Canada, mutation testing was supported by an AstraZeneca program until the middle of March 2011. Since then, the single greatest barrier to testing has been a lack of funding. Additional barriers include a lack of local pathology labs capable of performing the tests, insufficient tissue from biopsies, and long wait times. In some cases, local labs are available that can perform mutation testing, but in most cases, samples must be sent to a single centralized lab or to labs in other provinces. Advantages of a centralized lab are improved quality control and standardization of methods. However, by increasing the number of labs available locally, the sample transit time may be reduced, providing faster results.

In Atlantic Canada, no lab is currently able to test for *EGFR* or *ALK* mutations. In Halifax, about 20 selected nonsmoking patients were tested through the AstraZeneca program, of which 4 (20%) were *EGFR* mutation—positive. In the future, funding for *ALK* mutation testing in Atlantic Canada may become available through Pfizer. It is currently possible to send tissue samples to Montreal; however, if patients are mutation-positive for *EGFR*, they must pay for EGFR-TKIS themselves. In addition, *KRAS* mutation tests are sometimes performed for colon cancer, but need to be evaluated in lung cancer as well. In Halifax, advocacy discussions on mutation testing are ongoing, and the plan is to approach the provincial government for funding.

In Quebec, screening for *EGFR* mutations has declined since the termination of the AstraZeneca program. Until recently, no EGFR-TKIS were funded for first-line treatment of mutation-positive metastatic NSCLC. With the recent approval of gefitinib by the provincial Ministry of Health, it is hoped that the number of patients tested for *EGFR* mutations will increase in Quebec. Despite recent funding restrictions, testing varies widely, depending on whether pathology labs are available locally. In centres with access to local pathology labs, 60%–70% of adenocarcinoma patients are tested for *EGFR* mutations and 30%–40% for *ALK* mutation, depending on tissue availability. Fewer than 20% of squamous patients undergo mutation testing.

Where pathology labs are not available locally, mutation testing may occur in fewer than 10% of patients. On January 1, 2012, all patients newly diagnosed with non-squamous tumours at the McGill University Health Centre will undergo mutation testing when adequate biopsies are provided. In Montreal, only two labs perform *EGFR* mutation testing, and it takes about 3 weeks from the time a biopsy is taken to obtain the lab report.

As in other provinces, mutation testing in Ontario has declined since the termination of the AstraZeneca program. However, it is likely that testing will increase in the near future, because the Ministry of Health has recently agreed to fund up-front mutation testing. Currently, around 15% of patients are tested for *EGFR* mutations. Adenocarcinoma patients who are Asian, female, and nonsmokers are most likely to be tested. With funding now available for testing, screening of NSCLC patients should increase, resulting in a greater percentage of patients being tested.

In Alberta, since the termination of the AstraZeneca program, testing has continued with provisional funding from Alberta Health Services. Approximately 50% of patients with NSCLC (adenocarcinoma) are tested for *EGFR* mutations up front. It is still unclear whether one central lab in Calgary will continue testing for the Prairie provinces or whether a number of subsidiary labs will be available. The goal for turnaround of test results is 2 weeks from anywhere in the province from the time samples are sent. To increase efficiency further, the aim is for pathologists to order mutation tests at the time of initial review instead of waiting for medical oncologists to order tests.

In British Columbia, approximately 50% of patients with stage IV NSCLC are tested for an *EGFR* mutation. Currently, a Vancouver lab performs mutation testing for the entire province. The goal for turnaround of test results is 2–3 weeks from the time samples are sent from anywhere in the province. Testing and tyrosine kinase therapy are both provided by the systemic drug program.

## 4.2 First-Line Treatment

In all provinces, *EGFR* mutation status (where available), performance status, and patient preference are considered in first-line treatment decisions. In Canada, gefitinib is the only EGFR-TKI currently approved by Health Canada for the first-line treatment of NSCLC <sup>40</sup>. Current data support the use of gefitinib up front, but if erlotinib were to become available, it would also be a reasonable option. Data from the EURTAC (European Erlotinib Versus Chemotherapy) trial support the use of upfront erlotinib, but its use in

the first-line setting awaits published data and Health Canada approval <sup>39</sup>. In addition, before the use of irreversible EGFR-TKIS can be considered, further data from head-to-head studies are needed.

Currently, first-line treatment with EGFR-TKIS is not approved or funded provincially in Atlantic Canada by either special access programs or industry. However, for certain frail adenocarcinoma patients who are nonsmokers, it is possible to obtain first-line erlotinib by applying to Roche directly.

In Quebec, gefitinib was not funded until recently; therefore, only privately insured *EGFR* mutation—positive patients were able to receive EGFR-TKIS as first-line treatment. However, with the recent approval of gefitinib by the provincial Ministry of Health and Social Services, it is hoped that this treatment option will be available for more patients who are *EGFR* mutation—positive.

In Ontario, gefitinib was recently approved by the Ministry of Health for the first-line treatment of patients with *EGFR* mutation—positive NSCLC. Currently, fewer than 5% of patients are prescribed gefitinib as first-line treatment. However, with the availability of testing and upfront gefitinib, it is expected that 10%–15% of patients with NSCLC will be given gefitinib up front.

In Alberta, whenever possible, *EGFR* mutation status is determined in all adenocarcinoma patients. All patients who are *EGFR* mutation–positive are considered for treatment with gefitinib by a medical oncologist. For patients who are gefitinib-eligible, funding can be obtained through a bridging program until reimbursement has undergone final approval through Alberta Health and Wellness. Patients who are mutation-negative are typically given platinum doublet chemotherapy, when appropriate.

On June 1, 2011, the BC Cancer Agency approved first-line gefitinib for patients harbouring the *EGFR* mutation; those patients receive gefitinib per the BC Cancer Agency policy. A submission for erlotinib using similar patient selection is pending. For mutationnegative or unknown patients, platinum doublet or single-agent chemotherapy is given, depending on age and performance status.

## 4.3 Pretreated Patients

In Canada, erlotinib is currently approved for the second-line treatment of NSCLC, regardless of mutational status, based on the significant os results shown in the BR.21 study <sup>54</sup>. Therefore, no mutation testing is needed for second-line treatment with EGFR-TKIS. Thus far, no other EGFR-TKIS have been approved, and other treatment options are being examined in ongoing clinical trials. In the future, it is hoped that

the LUX-Lung trials will provide support for using afatinib as rescue treatment in patients who fail first-line gefitinib or erlotinib.

In Atlantic Canada, erlotinib is funded provincially for second- and third-line therapy. About 50% of patients are given erlotinib as second-line treatment. In patients failing second-line chemotherapy, about 20%–30% are given erlotinib.

In Quebec, patients can receive erlotinib or docetaxel as second- or third-line treatment. The decision to use erlotinib or docetaxel is based on patient eligibility, performance status, comorbidities, and toxicities after first-line therapy. Unfortunately, pemetrexed has been refused approval by the Ministry of Health and Social Services in Quebec. Thus, pemetrexed is available only under special circumstances, such as in patients who are ineligible for docetaxel. The lack of approval of pemetrexed in Quebec has resulted in frustration and discontent amongst oncologists, who are unable to use this option to treat their patients.

In Ontario, erlotinib is funded for second- and third-line treatment of NSCLC. Patients failing first-line treatment are typically treated with pemetrexed, docetaxel, or erlotinib. About 20% of all patients who originally present with NSCLC end up being treated with erlotinib. Patients typically prescribed erlotinib include those who refuse or are unable to tolerate chemotherapy, and those who are likely to benefit from erlotinib based on their clinical profile.

In Alberta, patients are not currently funded to receive maintenance therapy after completion of first-line therapy; however, they may receive erlotinib (non-selected), pemetrexed (adenocarcinomas only), or docetaxel (mainly squamous histology) as second-line treatment. Erlotinib is not funded for patients that have previously been treated with gefitinib in the first-line setting. After second-line therapy, patients are may be offered any of the first- or second-line treatment options that they have not already received.

In British Columbia, about 50% of patients with non-squamous histology are given erlotinib, and 50% are given pemetrexed. In patients with squamous histology, erlotinib or docetaxel are the treatment options. For patients with non-squamous histology, some patients prefer the oral administration of erlotinib; others prefer to receive pemetrexed chemotherapy every 3 weeks. After second-line therapy, patients are given any of the above treatment options that they have not already received.

# 5. OVERCOMING RESISTANCE TO EGFR-TKIS

As presented in the foregoing section, treatment with EGFR-TKIS demonstrates good RRS and PFSS in *EGFR* 

mutation—positive patients with NSCLC. However, acquired resistance to EGFR-TKIS usually occurs after a median of approximately 10 months from treatment initiation. To date, several mechanisms of acquired resistance have been discovered, such as secondary mutation of the EGFR gene, amplification of the MET gene, and overexpression of  $HGF^{16}$ .

Studies using clinical specimens from patients with acquired resistance to EGFR-TKIS have found that about 50% have a secondary T790M mutation <sup>16</sup>. This secondary mutation involves a threonine-tomethionine substitution in codon 790 (T790M) of the EGFR gene. In addition, MET gene amplification is present in approximately 20% of cases of acquired resistance and appears to occur independently of T790M mutations. MET causes phosphorylation of ErbB3, which in turn sustains activation of the phosphatidylinositol 3 kinase (PI3K)/AKT signal downstream. Because of those phenomena, reversible EGFR-TKIS are unable to inhibit the proliferation signal because of the maintenance of phosphorylation of ErbB3 by MET, resulting in resistance. Finally, HGF has been shown to induce restoration of the PI3K/AKT signalling pathway through phosphorylation of Met.

Given the development of resistance to first-generation EGFR-TKIS, a number of second-generation EGFR-TKIS are being developed. Unlike first-generation EGFR-TKIS, second-generation agents covalently and irreversibly bind a cysteine residue in *EGFR* to the amino acid position 797 <sup>16</sup>. That binding enables the inhibition of EGFR kinase activity, even in the presence of an *EGFR* T790M mutation. Many of the irreversible inhibitors have demonstrated activity in preclinical studies against T790M mutations. The dual inhibitor HKI-272 [against EGFR and the human epidermal growth factor receptor 2 (HER2)], and PF-00299804 and afatinib (multi-inhibitors against EGFR, HER2, and HER4) are agents currently undergoing clinical trials.

# 6. SAFETY OF EGFR-TKIS

Studies examining the addition of gefitinib or erlotinib to platinum chemotherapy have demonstrated that EGFR-TKIS do not increase the incidence or severity of the hematologic and pulmonary toxicities associated with the use of those chemotherapies <sup>30–32</sup>. In addition, placebo-controlled trials showed that the overall incidence of adverse events, especially myelosuppression, alopecia, and fatigue are higher in patients given chemotherapy than in those given EGFR-TKIS <sup>37,38,47</sup>.

Although targeted agents are generally less toxic than traditional antineoplastic agents, EGFR-TKIS are associated with a number of bothersome adverse effects

that need to be managed in most patients. The two most common adverse events are rash and diarrhea. The incidence of rash varied from 37% to 78% in phase III clinical trials and appears to be dose-dependent, with up to 25% of patients experiencing severe reactions (grade 3 or greater). In addition, the incidence of diarrhea varies from 27% to 87%, with up to 25% of patients experiencing severe reactions (grade 3 or greater, Table IV) 55. Despite the higher incidences of rash and diarrhea with EGFR-TKIS, these side effects can be effectively managed through early treatment, and they rarely result in treatment discontinuation.

# 7. BENEFITS OF EGFR-TKIs

As discussed earlier, EGFR-TKIS demonstrate a side effect profile superior to that seen with chemotherapy, despite the higher incidence of rash and diarrhea 37,38,47. In addition, evidence from clinical trials comparing chemotherapy with EGFR-TKIS shows improved quality of life (QOL) with EGFR-TKIS. Results from the OPTIMAL trial demonstrated a clinically relevant improvement in scores on the Functional Assessment of Cancer Therapy-Lung, the Lung Cancer Symptom Scale, and the Trial Outcome Index with erlotinib  $(p < 0.0001)^{38}$ . Improved QOL was also shown in the BR.21 study, which showed reductions in dyspnea, pain, and cough, and improved physical functioning related to symptom improvement with erlotinib 18. In addition, results from the INTEREST study showed that significantly more patients sustained a clinically relevant improvement in QOL with gefitinib than with docetaxel, as assessed by the Functional Assessment of Cancer Therapy-Lung and Trial Outcome Index  $(p < 0.01)^{45}$ . Improved QOL with gefitinib was also demonstrated in V-15-32 and IPASS (Iressa Pan-Asian Study), which compared that agent with chemotherapy <sup>35,46</sup>. Finally, results of the LUX-Lung 1 study showed significantly improved global QOL, physical function, and fatigue with afatinib use. In addition, afatinib also improved lung cancer-related symptoms such as cough, dyspnea, and pain, and significantly delayed time to deterioration <sup>50</sup>.

The oral administration of EGFR-TKIS confers other benefits in addition to improved QOL. Because of the high demand for chemotherapy at oncology clinics, patients often wait for weeks to start intravenous treatment. Moreover, many patients are elderly and not able to come to the clinic by themselves to receive intravenous treatment. Other family members often act as caregivers and need to take time off work to transport patients for treatment. The oral administration of EGFR-TKIS is therefore far more convenient than intravenous chemotherapy. Finally, some patients,

TABLE IV Incidence of rash and diarrhea with epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIS) in non-small-cell lung cancer trials<sup>a</sup>

EGFR-TKI	Dosage	Description	Ra	ash	Diarrhea	
			All grades (%)	Grade≥3 (%)	All grades (%)	Grade≥3 (%)
Erlotinib	150 mg	All studies	33–79	3–10	10–69	0-17
		Phase III studies	62–76	3–10	40–68	2–12
a	250 mg	All studies	34–75	0–13	27–75	0-25
	and	250 mg	34–66	0–4	27–58	0-10
	500 mg	500 mg	57–75	4–13	51-75	5-25
		Phase III studies	37–67	2-13	27-69	3-25
		250 mg	37–66	2–4	27-58	3-10
		500 mg	57–67	12–13	51–69	12–25
Afatinib	20 mg,	All studies	33–100	0–25	0–100	0–33
(BIBW 2992)	40 mg,	40 mg	90-100	0–7	67–97	0-7
	and	50 mg	67–92	0-25	87-100	17-33
	50 mg	Phase III studies (50 mg)	78	14	87	17
PF-00299804 <sup>b</sup>	15 mg,	All studies (phase II)	68–100	0–15	77–97	0–15
	30 mg,	30 mg	69	0	77	0
	and	45 mg	68-85	15	81–97	13-15
	45 mg	Č				

a Adapted from Hirsh and Cadranel, 2011 50.

such as those with comorbidities or poor performance status, are often unable to tolerate chemotherapy. Less-aggressive treatments, such as the EGFR-TKIS, are therefore preferable for those patients.

# 8. CONCLUSIONS

In recent years, a plateau has been reached with the use of traditional chemotherapies for the treatment of NSCLC. The development of agents that target key signalling pathways provides alternative treatment options that may improve the efficacy and reduce the toxicity associated with chemotherapy, thus improving QOL. In patients with *EGFR* mutations, EGFR-TKIS provide a highly effective treatment option, with an improved toxicity profile compared with that for standard chemotherapy. However, identification of mutation-positive patients is limited by a lack of funding for testing in Canada.

In Canada, gefitinib is the only EGFR-TKI currently approved by Health Canada for first-line treatment of NSCLC. Recent study results show that erlotinib may also prove to be a reasonable first-line option. In pretreated patients, erlotinib is currently approved

for the second-line treatment of NSCLC, regardless of mutational status. Results of ongoing studies will determine whether other EGFR-TKIS such as gefitinib, afatinib, or PF-00299804 are good or even better alternatives in pretreated patients. Despite the success of EGFR-TKIS, development of resistance typically occurs over time. To reduce the risk of resistance, a number of second-generation EGFR-TKIS such as afatinib, PF-00299804, and HKI-272 are under development. Lack of funding for both testing and treatment is a major barrier to the use of EGFR-TKIS in Canada.

Despite Health Canada approval for the use of gefitinib in mutation-positive NSCLC, only patients in British Columbia and Alberta have access both to routine testing and to funding in that setting. Barriers to testing include the length of time required to receive test results and insufficient tissue from biopsies. Given the shift from conventional chemotherapy to individualized treatment, there is an increased need for education of respirologists and thoracic surgeons in the testing process to ensure that adequate tissue samples are obtained. Standardization and validation of testing methods used by local laboratories is also necessary to ensure the quality of test results.

b To date, no phase III study results for PF-00299804 are available.

The heterogeneity of NSCLC and the importance of linking new targeted agents to the appropriate disease subtype require an individualized approach to the treatment of NSCLC. With careful selection of patients, EGFR-TKIS may dramatically improve the efficacy and safety of NSCLC treatment, bringing personalized medicine one step closer.

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### 10. CONFLICT OF INTEREST DISCLOSURES

VH has acted as an advisory board member for Hoffmann–La Roche, AstraZeneca, Amgen, Boehringer Ingelheim, Pfizer, Eli Lilly, Novartis, and Celgene. DM has acted as an advisory board member for AstraZeneca, Boehringer Ingelheim, and Pfizer, and has received grant funding from Pfizer. WM has received an educational grant and has acted as an advisory board member for AstraZeneca, Eli Lilly, Hoffmann–La Roche, Pfizer, and Boehringer Ingelheim. BM has received honoraria from Hoffmann–La Roche, Eli Lilly, and Boehringer Ingelheim. GG has acted as an advisory board member for AstraZeneca, Pfizer, and Boehringer Ingelheim.

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*Correspondence to:* Vera Hirsh, Royal Victoria Hospital, 687 Pine Avenue West, Montreal, Quebec H3A 1A1.

E-mail: vera.hirsh@muhc.mcgill.ca

- \* Hematology—Oncology Services, Santa Cabrini Hospital; Oncology Services, Royal Victoria Hospital and Montreal General Hospital; and Department of Oncology, Faculty of Medicine, McGill University, Montreal, QC.
- † BC Cancer Agency and University of British Columbia, Vancouver, BC.
- <sup>‡</sup> The Ottawa Hospital Cancer Centre, Ottawa, ON.
- Tom Baker Cancer Centre and University of Calgary, Calgary, AB.
- Cancer Care Nova Scotia and Dalhousie University, Halifax, NS.