Supplementary files: Use of intervention mapping for occupational risk prevention and health promotion: a systematic review of literature

Table 1. IM fidelity, process implementation and intervention effect checklist.

		Rating								
IM charac- teristics	IM steps	-	+/-	+	++					
	Step 1: Logic model of the problem									
Participa- tion	1a. Formation of planning group	No formation of a planning group/working group/project group was mentioned		Formation of planning group/working group/project group was mentioned						
Theory - based	1b.Conduct a needs assessment to create a logic model of the problem	No needs assessment performed	Needs assessment performed, determinants identified, but no causal pathways mentioned	Needs assessment performed, determinants identified and causal pathways mentioned						
	Step 2: Program Outcomes and Objectives; Logic Model of Change									
Theory - based	2a.Construct matrices of change objectives	No matrices of change objectives presented		Matrices of change objectives presented						
Participa- tion	2b.Participative approach (step 1 and/or step 2)	No participation during Step 1 and/or 2	Participation in Step 1 and/or 2 of target group OR other stakeholders	Participation in Step 1 and/or 2 of target group AND other stakeholders						
Ecological approach	2c. Differentiation between behavioral and environmental factors	No behavioral or environmental factors mentioned	Only behavioral factors mentioned, no environmental factors mentioned	Behavioral and environmental factors mentioned						
	Step 3: Program design									
Theory - based	3a.Choose theory- and evidence based change methods	No theory/evidence based change methods mentioned	Theory and evidence based methods mentioned, BUT no behavioral change theory used	Theory/evidence based change methods mentioned AND behav- ioral change theory used						
	Step 4: Program Production									
Participa- tion	4a.Participative approach (step 3 and/or step 4)	No participation/ no participation mentioned	Participation of stakeholders other than target group	Participation of target group						
Ecological approach	4b. Worker and workplace component of intervention	Intervention consists of no work- place and no worker component	Intervention consists of workplace OR worker component	Intervention consists of workplace AND worker component						
	Step 5: Program Implementation Plan									

Implemen-	5a.Identify potential program users:	Potential program users not ex-	Adopters/target group implicitly or	Adopters/target group implicitly	
tation plan-	adopters/target group, implementers and	plicitly mentioned	explicitly mentioned, implementers	or explicitly mentioned, imple-	
ning	maintainers		OR maintainers explicitly men-	menters AND maintainers explic-	
<u> </u>			tioned:	itly mentioned	
Implemen-	5b.State outcomes and performance ob-	No target behavior for program	Target behavior of adopters or im-	Target behavior of adopters or im-	
tation plan-	jectives for program use	use mentioned and not clear if tar-	plementors not explicitly men-	plementors explicitly mentioned	
ning		get behavior for program use was	tioned, but likely that target behav-		
		determined	ior was determined		
Implemen-	5c.Identify drivers and barriers for im-	No drivers/barriers for implemen-	No drivers/barriers for implementa-	Drivers/barriers for implementa-	
tation plan-	plementation	tation mentioned, and not clear if	tion mentioned, but likely that they	tion mentioned	
ning		they have been determined	have been determined		
Implemen-	5d.Design implementation interventions	No implementation interventions	No implementation interventions	Implementation interventions	
tation plan-		mentioned, and not clear if they	mentioned, but likely that they have	mentioned	
ning		have been determined	been determined		
Participa-	5e.Participative approach (step 5)	No participation/ no participation	Participation of target group or	Participation of implementors	
tion		mentioned	other stakeholders (excluding im-		
			plementors)		
Process in-	Operationalization	-	+/-	+	++
dicators					
Reach (%)	The proportion of the eligible workers	<50%	50-59%	60-69%	70% or higher
	that participates in an intervention (% re-				
	sponse to baseline questionnaire or % of				
	signed informed consents)				
Dose deliv-	The proportion of intended intervention	<60%	60-69% (or major differences be-	70-79%	80% or higher
ered	component delivered or provided.		tween intervention components:		
(mean ^a)			from – to ++)		
Dose re-	The proportion of participants participat-	<50%	50-59% (or major differences be-	60-69%	70% or higher
ceived	ing in/using intervention components.		tween intervention components:		
(meana)			from – to ++)		
Satisfaction	The extent to which the target group of	<5 (<50%)	5.0-5.9 (50-59%) (or major differ-	6 – 7.9 (60-79%)	8 or higher
(scale 1-10	the intervention is satisfied with the inter-		ences between intervention compo-		(80% or higher)
(%)	vention		nents: from – to ++)		
Fidelity	The extent to which the intervention was		adjustments are made to original	no adjustments are made to origi-	
(yes/no)	delivered as planned (according to proto-		protocol	nal protocol	
-	col).			_	
	(61).				
Interven-	Col).	-	+/-	+	++

Inter	ven-	primary and secondary outcomes	primary and/or secondary outcomes	primary outcomes overall effec-	All primary
tion o	effects	not effective	partially effective (but primary out-	tive, secondary outcomes not or	and secondary
			comes not effective)	partially effective	outcomes effec-
					tive

^a If dose delivered or dose received was calculated for more than one intervention component, the authors computed a mean of these components.

Table 2. Included studies and characteristics of the interventions.

Authors	Intended outcome	Target group	Sector	Intervention
Oude Hengel, 2011a (design); 2012; 2013 (effect); 2011b (process) (22,28,30)	Work ability (ORP)	Construction workers	Construction sector	Programme objective 1 (restore balance between physical load and need for recovery): protocol for two individual training sessions by a physical therapist (including assessment by therapist, 3 personal advices on pocket size card) and a Rest-Break tool. Programme objective 2 (increase range of influence at the worksite): 2 empowerment training sessions
Coffeng, 2012 (design); 2014a; 2014b (effect); 2013 (process) (21,31-33)	Need for recovery Physical activity Relaxation (ORP and HP)	Office employees	Financial service sector	1. Group Motivational interviewing (GMI) is delivered by teamleaders of the departments allocated to the intervention. They conduct 3 GMI-sessions with employees in their teams, and a booster session. The aim of the session is to stimulate physical activity and relaxation. Teamleaders have 2 GMI-coachingsessies, supervised by a GMI professional to share experiences. The GMI-sessions are supported by a web-based social media platform. 2. Environmental modifications: changing coffee corners (add bar with bar chairs), open office environment (exercise balls, curtains to reduce background noise), meeting rooms (standing table and poster) and entrance hall (table tennis, lounge chairs), by creating Active and Relax zones. In addition, footsteps are placed to promote stair walking.
Van Berkel, 2011 (design); 2014a; 2014b (effect); 2013 (process) (20,34-36)	Work engagement Mental health Lifestyle behavior (ORP and HP)	High educated workers	Research institutes	Mindfulness training (participatory focus group meetings were held to develop mindfulness training program). 8 sessions of 90 minutes by certified trainers. Cognitive components (enjoying here and now, count blessings etc.), behavioral components (home exercise-complementing colleagues), motivational components (goal setting, increasing resilience). Exercise behavior, rest behavior (EBRB) targeted components: exercises in mindfulness training aimed at determinants of EBRB (walking meditation, mindful eating), E-coaching to continue implementation of mindfulness principles learnt in training. Make Personal Energy Plan (PEP), supporting elements (providing fruit, providing routes for lunch walking and stimulation to find buddy for several activities.

Oude Hengel, 2014 (design); Van Deurssen, 2014b (effect); 2014a (process) (27,37,38)	Quartz exposure (ORP)	Dutch construction workers and manag- ers	Construction sector	Intervention is called: 'Working Relieved' Baseline: Mailing to workers (invitation, information and feedback). Month 1: Toolbox 1, plenary sessions (video, introduction technical devices and interactive presentation, factsheets, posters). Month 3: Toolbox workers: group sessions at worksite, video, identifying barriers and solutions and tailored advice, assignment. Toolbox employers shared program for the four employers to demonstrate and practice technical control measures. Month 5: personal postcard. Month 6: Toolbox (employer & workers), plenary sessions, providing feedback, discussing assignment and presentation.
Mc Eachan, 2008 (design); 2011 (effect), Lawton, 2014 (process) (19,38,39)	Physical activity (HP)	Employees in sedentary occupation	Variety of sectors	Key components of AME for ACTIVITY intervention: launch week, interactive leaflets, posters, knowledge quiz, setting personal targets, making plans, self-monitoring, team challenges, management support, newsletters and reminders.
Verweij, 2012 (design); 2013 (effect); 2011, 2012 (process) (23,41-44)	Weight gain (HP)	Occupational physicians (to facilitate health promotion activities for employees)	Variety of sectors	The Balance@Work intervention consisted of an occupational health guide-line, consisting of three sections a) prevention at the environmental level (advice for employers based on environment scan) b) prevention at the individual level (advice for employees; Ops were trained in an adapted form of motivational interviewing and provided 5 counselling sessions to promote employees' healthy lifestyle; Employees were provided with tools to monitor their behavior), and c) evaluation and maintenance.
Viester, 2012 (design); 2015 (effect); 2014 (process) (18,45,46)	Physical activity & dietary patterns (HP)	Blue collar workers (i.e. construction site and production work- ers)	Construction sector	The VIP in construction intervention programme consists of tailored information, face-to-face and telephone counselling, exercises and materials designed for the intervention (circumference measuring tape, pedometer, BMIcard, calorie guide, cookbook, knowledge tests, Personal Energy Plan forms) and an overview of the company health promoting facilities.
Strijk, 2009 (design); 2012, 2013 (effect); 2011 (pro- cess)(17,47-48)	Lifestyle & vitality (HP)	Older workers (45+) of an academic hospi- tal	Health care sector	The Vital@Work intervention insisting of two parts. 1. The Vitality Exercise Programme (VEP): yoga group sessions, workout groups sessions (once a week) and aerobic exercises Free fruit was provided at the guided group sessions of the VEP. 2. Three visits to a Personal Vitality Coach (PVC).
Brosseau, 2007 (design); Parker, 2009 (effect/process)(26,50)	Safety (ORP)	Owners and employers of small metal fabrication businesses	Metal industry	Presentation and discussion of report on machine and shop safety audits and employee surveys; presentation and demonstration of compact disc with checklists, tailored programs for lock-out, hazard recognition; list of resources; information about Minnesota OSHA grant process; Placards for 23

				machines; guidelines for a model safety committee; training materials; Further assistance if requested by owner; Building skills and knowledge of a health and safety committee.
Riphagen 2013a (design/process); Riphagen 2013b (effect)(25, 51)	Influenza vaccination (ORP)	Health care workers of University Medical Centers	University Medical Centers	A transparent influenza vaccination intervention implementation strategy, consisting of educational tools, influenza vaccination campaign (website, badges folders, video, posters, information meeting)
Kwak, 2007 (design); 2009 (effect/process); 2010 (effect)(16,52,53)	Weight gain prevention (HP)	Workers	Different sectors	Individual component: feedback on body composition measures, "in balance-box with self-monitoring devices, website with general information, two CD-ROMs (awareness of weight status and assisting participants with changing WGPBs. Environmental components: handbook serving as guide for the worksite linkage board to assist them through different stages of environmental interventions (e.g. change food assortment, workshops, info wall, prompts for stair use promotion etc.)
Looijmans, 2011 (design); 2010 (effect/process) (24,54)	Influenza vaccination (ORP)	Health care workers	Nursing homes	Three program components: 1. Visit to all nursing homes 2. Plenary information meetings, and 3. Appointment of a program coordinator in each home

Table 3. Data extraction IM fidelity.

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
1a. For-	Planning	Planning	Planning	Planning	Planning	An expert	Planning	Planning	Advisory	Planning	Planning	Planning
mation of	group is	group is	group is	group is	group is	group was	group is	group is	board	group is	group is	group is
planning	not explic-	not explic-	not explic-	not explic-	not explic-	formed	not explic-	not explic-	which	not explic-	not explic-	not explic-
group	itly men-	itly men-	itly men-	itly men-	itly men-	consisting	itly men-	itly men-	consisted	itly men-	itly men-	itly men-
(partici-	tioned	tioned	tioned	tioned	tioned	of target	tioned	tioned	target	tioned	tioned	tioned
pation)						group and			group and			
	Rating: -	Rating: -	Rating: -	Rating: -	Rating: -	lifestyle	Rating: -	Rating: -	other	Rating: -	Rating: -	Rating: -
						experts.			stakehold-			
									ers (e.g.			
						Rating: +			policy			
									makers,			

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
									profes- sional, technical school fac- ulty, un- ion repre-			
									sentative) Rating: +			
1b.Con- duct a	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs assessment	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs as- sessment	Needs as- sessment
needs as-	per-	per-	per-	per-	per-	per-	per-	per-	per-	per-	per-	per-
sessment	formed,	formed,	formed,	formed,	formed,	formed,	formed,	formed,	formed,	formed,	formed,	formed,
to create	determi-	determi-	determi-	determi-	determi-	determi-	determi-	causal re-	determi-	determi-	determi-	determi-
a logic	nants	nants	nants	nants	nants	nants	nants	lations are	nants	nants	nants	nants
model of	identified	identified	identified	identified	identified	identified	identified	not men-	identified	identified	identified	identified
the prob-	and causal	and causal	and causal	and causal	and causal	and causal	and causal	tioned lit-	and causal	and causal	and causal	and causal
lem (the-	pathways	pathways	pathways	pathways	pathways	pathways	pathways	erally	pathways	pathways	pathways	pathways
ory based	men-	men-	mentioned	men-	men-	mentioned	men-		mentioned	men-	men-	mentioned
approach)	tioned	tioned		tioned	tioned		tioned	Rating: +/-		tioned	tioned	
			Rating: +			Rating: +	- .		Rating: +		- .	Rating: +
	Rating: +	Rating: +		Rating: +	Rating: +		Rating: +			Rating: +	Rating: +	
2a.Con-	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices	Matrices
struct ma-	of change	of change	of change	of change	of change	of change	of change	of change	of change	of change	of change	of change
trices of	objective	objective	objective	objective	objective	objective	objective	objective	objective	objective	objective	objective
change	were	were not	were not	were not	were	were	were	were not	were	were not	were not	were not
objec-	made	made	made	made	made	made	made	made	made	made	made	made
tives (the-	Datin	Datin	Datin	Datin	Datin	Datin	Datin	Datin	Datin	Datin	Datin	Datis :
ory based	Rating: +	Rating: -	Rating: -	Rating: -	Rating: +	Rating: +	Rating: +	Rating: -	Rating: +	Rating: -	Rating: -	Rating: -
approach)	D 1 /	O	To to a . *	Comment	Г	Total	F	F	Const.	Divi	F	Tutuu
2b.Partic-	Round ta-	Question-	Interviews	Survey	Focus	Interviews	Focus	Focus	Consulta-	Discus-	Focus	Interviews
ipative	ble discus-	naires and	(stake-	(target	groups	(target	group in-	group in-	tion of ad-	sion re-	groups	and focus
approach	sions (tar-	focus	holders_,	group) Fo-	(target	group,	terviews	terviews	visory	search	(not clear	group ses-
(step 1	get group)			cus	group)		(target		board	team and		

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
and/or step 2) (partici- pation)	& interviews HRM (stake-holders) Rating: +	group interviews (target group), interviews (stakeholders). Rating: +	question- naire and focus groups (target group) Rating: +	groups and inter- views (stake- holders) Rating: +	Rating: +/-	stakehold- ers) Rating: +	group, stakehold- ers) Rating: +	(target group) Rating: +/-	(representation of target group and other stakeholders) Rating: +	other stakehold- ers. Not clear if target group was involved directly. Rating: +/-	with whom) Rating: +/-	sions (target group and stake- holders) Rating: +
2c. Dif- ferentia- tion be- tween be- havioral and envi- ronmen- tal factors (ecologi- cal ap- proach)	Personal and envi- ronmental determi- nant are men- tioned Rating: +	Personal and envi- ronmental determi- nant are men- tioned Rating: +	Personal and envi- ronmental determi- nant are mentioned Rating: +	Behav- ioural and organiza- tional de- terminant are men- tioned Rating: +	Behav- ioural de- termi- nants are men- tioned Rating: +/-	Personal and envi- ronmental determi- nant are mentioned Rating: +	Personal and envi- ronmental determi- nant are men- tioned Rating: +	In this stage environmental determinants/ factors not mentioned. In step 3, methods involved environmental changes. Rating: +/-	Personal and envi- ronmental determi- nant are mentioned Rating: +	Personal and envi- ronmental determi- nant are men- tioned Rating: +	Personal and envi- ronmental determi- nant are men- tioned Rating: +	Behavioural organisational and demographical determinants are mentioned Rating: +
3a.Choos e theory- and evi- dence based change methods	Table with theory based methods Rating: +	Table with theory based methods Rating: +	Table with theory based methods Rating: +	Table with theory based methods Rating: +	Table with theory based methods Literature review to determine	Table with theory based methods Rating: +	Table with theory based methods Rating: +	Table with theory based methods Rating: +	Theory based methods are men- tioned in the text	Theory based methods are mentioned in the text	Table with theory based methods Rating: +	Table with theory based methods Rating: +

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
(theory based ap- proach)					most ef- fective strategies Rating: +				Rating: +	Rating: +		
4a.Participative approach (step 3 and/or step 4) (participation)	Not mentioned in this stage Rating: -	Strategies based on focus group (target group) ad- vice of project group, and feed- back from experts in the field (other stakehold- ers) Rating: +	Focus groups (target group) to develop mindful- ness pro- gram Rating: +	First version was subjected to commentary by researchers, managers and sector organisations (stakeholders) Rating: +/-	Focus group (target group), expert steering group, contacts within participating organisations(stak eholders) Rating: +	Interview data (tar- get group) Experts in the field of lifestyle com- mented on first draft Rating: +	Feedback from key contacts within the organiza- tion (stake- holders) and focus group data (tar- get group) Rating: +	Not mentioned in this stage Rating: -	Input from advi- sory board (stake- holders). Pilot test with tar- get group Rating: +	Collaboration with UMC (stake-holders) Rating: +/-	Brain- storm ses- sion with experts. Rating: +/-	Collaboration with UMC, pretested by target group Rating: +
4b. Worker and work- place compo- nent of interven- tion (eco- logical	Components target personal and environmental determinant Rating: +	Components target individual behaviour and physical environment	Components target individual behaviour and physical environment	Intervention components are aimed at workers and managers, materials are made available.	Intervention components are aimed at awareness, motivation and environment.	Intervention components are aimed at individual behaviour and environment	Intervention components are aimed at personal and external determinant	Intervention components are aimed behavioural determinants, and require some en-	Intervention program was targeted at employees and business owners. Rating: +	Intervention components are aimed at personal and external determinants	The intervention was arranged into two components, an individual component and a	Methods and strate- gies were aimed at manage- ment and HCW level
approach)		1301116.	Talling.	avanabic.	Rating: +	Rating: +	Rating: +	Some cit	Taurie.	Rating: +	worksite	Tuunig.

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
				Rating: +				vironmen- tal changes Rating: +			compo- nent Rating: +	
5a.Identify potential program users: adopters/target group, implementers and maintainers (implementation planning)	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group and imple- menters are men- tioned Rating: +/-	Adopters/ target group, im- plement- ers and maintain- ers are men- tioned Rating: +	Adopters/ target group and imple- menters are men- tioned Rating: +/-				
5b.State outcomes and per- formance objec- tives for program use (im- plementa- tion plan- ning)	Not mentioned Rating: -	Target be- havior of adopters or imple- mentors not explic- itly men- tioned, but likely that target behavior was deter- mined:	Not mentioned Rating: -	Target be- havior of adopters or imple- mentors explicitly men- tioned Rating: +	Not mentioned Rating: -	Not mentioned Rating: -						

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
					"Step 5 then, essentially involved repeating steps 2-3 of the intervention mapping process for behaviours we specifically wished to see from the facilitators"							
5c.Identify drivers and barriers for implementation (implementation planning)	Several barriers for the in- tervention are men- tioned Rating: +	Strengths and limitations are not literally mentioned in the paper, but discussion about strengths and limitations had taken	Possibilities for success and potential challenges for implementation were discussed Rating: +	Drivers and barri- ers for adoption were men- tioned Rating: +	Rating: +/- Drivers and barriers for adoption were mentioned Rating: +	Barriers for adop- tion were mentioned Rating: +	Managers and users were in- terviewed to gain in- sight into facilitating factors and barri- ers Rating: +	Drivers and barri- ers for adoption were men- tioned Rating: +	not mentioned Rating: -	Not mentioned Rating: -	not mentioned Rating: -	Not mentioned literally, but stakeholders were approached to give feedback on and to support the program. Feedback

	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
		place and resulted in adapta- tions. Rating: +/-										was used to fine- tune pro- gram ele- ments
5d.Design implementation interventions (implementation planning)	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	No concrete measures are described except a passage in the OP guideline to emphasize confidentiality and resolve resistance from employees Rating: +/-	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Measures were taken to optimize adoption/ imple- mentation Rating: +	Rating: +/- Measures were taken to optimize adoption/ imple- mentation Rating: +
5e.Participative approach (step 5) (participation)	Imple- mentation plan was written to- gether with im- plement- ers (imple- menters)	Test with intended users (target group and implementers) Rating: +	Focus group meetings to design imple- mentation plan (im- plement- ers)	Meeting with man- agers to discuss barriers and solu- tions (im- plement- ers)	Focus groups to discuss barriers and solu- tions (tar- get group	Not mentioned Rating: -	Interviews with po- tential us- ers (target group) HRM in- volved in program	Not mentioned Rating: -	Not mentioned Rating: -	UMC contacts and communication staff were visited (implementers)	Linkage board with re- search team, po- tential us- ers and imple- menters	Stakeholders were approached to provide feedback and support the program

Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	Van Ber- kel, 2011 (20)	Oude Hengel, 2012 (27)	McEachan , 2008 (19)	Verweij, 2011 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2013 (25)	Kwak, 2007 (16)	Looijman s, 2011 (24)
Rating: +		Rating: +	Rating: +	and implementers) Rating: +		develop- ment (im- plement- ers)			Rating: +	Rating: +	(stake- holders and im- plement- ers)
						Rating: +					Rating: +

Table 4. a: Data extraction process evaluation study 1-6.

Author	Oude Hengel, 2011b (30)	Coffeng, 2013 (33)	Van Berkel, 2013 (36)	Van Deursen, 2014a (38)	Lawton, 2014 (40)	Verweij, 2011,2012 (43,44)
Process evalua- tion framework	Linnan & Steckler	Linnan & Steckler	Linnan & Steckler & RE-AIM	Linnan & Steckler	No framework, but following similar approach as Dane and Schneider, 1998	Linnan & Steckler
Methods	Questionnaires, logs and checklists, interviews	Registration, program records, observations, questionnaire	data from questionnaires, and semi-structured inter- views with participants (high and low compliers wre se- lected to maximize variety of views) Reach (Glasgow et al)	Logs, company records, checklists, attendance regis- tration forms, questionnaire	Different data sources (minutes, logs, observations, follow-up surveys, archives, study records)	Questionnaires (two levels: Ops and employees)
Reach	85% response baseline questionnaire (293/347) (293 workers, of which 171 in intervention group)	35% response rate (412/1182) Rating: -	14% response rate (257/1820) Rating: -	29% response rate (116/404) Rating: -	99% response baseline questionnaire Rating:++	N.M.
Dose delivered	90-100% Rating:++	88%-92% 'acceptable' Rating: ++	N.M.	sessions: >95% worksite visits: 20% relatively low Rating: +/-	Adherence: Local council: 81% (7,3/9) Hospital: 84% (7,6/9) Bus company: 28% (2,5/9) Government organization: 84% (7,6/9) University: 56% (5/9) Rating :+/-	86% of counseling sessions Rating: ++
Dose received (Participation intervention component- (range)	63%-79% ('satisfactory') Session therapist 1: 74% Empowerment training 1: 79% Session therapist 1: 63% Empowerment training 1: 73% Rating:++	45-67% Rating: +/-	At least once: 30%-81% High compliant (75% of intended use): 6%-54% ('compliance to training was high, but to e-coaching low') Rating: +/-	28%-54% ('lower than expected') Session 1: 28% Worksite visit: 20% Session 2: 54% At least one: 58% All: 11% Rating: -	Received according to questionnaires by workers Exposure to intervention components: Local council: 78% (7,1/9) Hospital: 76% (6,9/9) Bus company: 29% (2,6/9) Government organization: 78% (7/9) University: 27% (3/9) Rating: +/-	20%-72% 72% counselling session 60% read flyer 42% used measure tape 34% used pedo meter 20% used diary Rating: +/-

Fidelity	Modifications had to be made, rest-break tool not implemented as intended Rating: +/-	Improvements had to be made regarding the physical environment to improve implementation Rating: +/-	Differences between trainers in how they dealt with buddy system/home work. Rooms were not well equipped. Rating: +/-	Compared to previous studies fidelity was high, only the 2 nd session was not implemented as intended since the assignment was not completed by construction workers. All other parts were implemented according to protocol Rating: +	N.M.	Guideline was partly imple- mented by OPS as intended. Guideline adherence was as- sessed as moderate Rating: +/-
Satisfaction	64% recommends implementation. 'Content was rated moderate.' Rating: +	Social environment intervention: 6.0 Physical environment intervention: 7.0 Rating: +	Overall intervention: 7.0 Training: 7.9 E-coaching: 6.8 Fruit: 7.9 Rating: +	Overall intervention: 7.5 (workers) 6.5 (managers) Rating: +	N.M.	Workers rated Bal- ance@Work intervention: 7.1 Rating: +

Note: Implementation process rating: ++ (excellent), + (satisfactory) or +/- (moderate) or – (unsatisfactory).

Table 4. b: Data extraction process evaluation study 7-12.

Author	Viester, 2014b (46)	Strijk, 2011 (49)	Parker, 2009 (50)	Riphagen, 2014a (25)	Kwak, 2009 (52)	Looijmans, 2011 (54)
Process evalua- tion framework	RE-AIM	Linnan & Steckler	No framework	No framework	Rogers' diffusion theory	Compliance
Methods	web based registration system, follow-up question- naire, interviews	Attendance registration forms, follow up questionnaire	Process evaluation survey	Qualitative (checklist, annual communication reports) and quantitative (web based questionnaire) process evaluation	two post-test question- naires, observations and reg- istrations of activities	Registration of visits to nurs- ing homes, questionnaire
Reach	1021 workers invited, 314 included in the study (31%) Rating: -	3756 invited, 730 workers were included as they com- pleted baseline (19%) Rating: -	N.M.	N.M.	Response to baseline questionnaire: 88% (487/553) Rating: ++	N.M.
Dose delivered	coaching appointment: 98% materials: 99% ('satisfactory') Rating: ++	Yoga session: 72% Work out session: 96% PVC visits: 100% ('as planned') Rating: ++	N.M.	N.M.	N.M.	All intervention homes were visited and received the materials, all homes organized information meetings Rating: ++
Dose received (Participation intervention component - range)	15%-61% All coaching sessions: 61% Using forms: 26% Pedometer: 52% Measuring tape: 43% BMI card: 30% Calorie card/ cookbook: 15% Exercise card: 62% 84% (at least one coaching sessions) Rating: +/-	52% attendance rate yoga sessions 45% attendance rate work out sessions 58% attendance rate PVC visits Rating: +/-	N.M.	2009/2020: attendance rate to information session: 24% 2010/2011 attendance rate to information session: 9% Rating: -	5%-87% Read personal feedback: 87% Website visit: 75% Carry out advise (energy balance): 21% Carry out advice (physical activity): 29% Take stairs: 50% Take bike: 5% Rating: +/-	attendance rate to information session: 7% At nursing home level we found a variation in compliance with the intervention Rating: -
Fidelity	Adjustments to the program should be made to improve fidelity; 'fidelity was moderate' Rating: +/-	'The intervention protocol with respect to the time schedule of the yoga and work out sessions were partly followed by the providers'	N.M.	N.M.	Only two out of six work- places formed a linkage group Rating: +/-	N.M.

		Rating: +/-				
Satisfaction	Intervention: 7.6 Rating: +	Yoga: 7.5 Work out: 7.7 PVC visits: 6.9 Rating: +	Program helped improve knowledge: 94% Program improved safety practice: 76% Rating: ++	2009/2010: Rated as appealing (1-10): - Badge: 3.2 - Poster: 9.6 - Folder: 9.2 - Video: 2.8 Rating: +/-	Intervention components were rated interesting by: 58%-65% and comprehensive by: 79%-89% Rating: +	N.M.

Note: Implementation process rating: ++ (excellent), + (satisfactory) or +/- (moderate) or – (unsatisfactory).

 Table 5. Summary of assessment intervention effect.

Author	Study design	Sample size	Primary outcomes	Secondary outcomes	Rating
Oude Hengel,	RCT	n=297	Work ability: -	work engagement: -	-
2012; 2013			Physical and mental health status: -	social support: -	
(28,29)			Musculoskeletal symptoms: -	Physical workload: -	
			Long term sickness absence: -	need for recovery: -	
Coffeng, 2012a;	2X2 factorial	n=412	Presenteeism: -	need for recovery: -	+/-
2014b (31,32)	study design		Absenteeism,: -	exhaustion: + (combined intervention)	
			Contextual performance: + (opposite direction ; combined interven-	vigorous physical activities: + (combined intervention)	
			tion)	small breaks: + (combined intervention)	
			Dedication: + (opposite direction; combined intervention)	active commuting: + (combined intervention/ physical environmen-	
			Task performance: + (social environmental intervention)	tal intervention)	
			Absorption: + (physical environmental intervention)	exhaustion: + (social environmental intervention)	
				sedentary behavior: + (social environmental intervention/ physical	
				environmental intervention))	
				small breaks: + (social environmental intervention)	
				leisure activities: + (social environmental intervention)	
				stair climbing: + (physical environmental intervention)	
Van Berkel,	RCT	n=257	work engagement: -	vigorous physical activity in leisure time: -	-
2014; 2015			mental health: -	sedentary behavior: -	
(34,35)			need for recovery: -	fruit and vegetable intake: -	
			mindfulness: -	behavioral determinants: -	
Van Deursen, 2014b (37)	RCT	n=282	quartz exposure: +	Use of technical control measures: +/- (only effect for subgroup)	+
McEachan,	RCT	n=1260	systolic blood pressure: +	minutes of activity: -	+
2011 (39)			resting heart rate: +	Subgroup analyses: association between intervention participation	
			body mass index: + (opposite direction)	and weight gain prevention	
Verweij, 2013	RCT	n=523	body weight: -	sedentary behavior at work: +	+/-
(42)			body weight related outcomes: -	fruit intake: +	
			CVD-risk factors: -	physical activity: -	
			Quality of life: -	sedentary behavior in leisure time: -	
				snack intake: -	
Viester, 2014a	RCT	n=314	musculoskeletal symptoms: -		-
(45)			physical functioning: -		
			work ability: -		
			work-related vitality: -		
			work performance: -		
		1	sickness absence: -		

Strijk, 2012, 2013 (47,48)	RCT	n=730	vitality: - work engagement: - work performance: - sick leave: -	sport activities: + fruit intake: + Need for recovary: + Vigorous intensity physical activities: - earobic capacity: - mental health: - subgroup analyses: favourable effects on vitality among high yoga compliers	+/-
Parker, 2009 (50)	RCT – no con- trol group	n=40	devices or adequate guarding of machine safety: + presence of required safety programs and practices: + Difference between T0 and T1 is significant for both outcomes.		+ (no control group)
Riphagen 2014b (51)	Pragmatic RCT	n=3367	Vaccination uptake: +	Nosocomial influenza and/ or pneumonia among health care workers: + In-hospital patient morbidity: +	++
Kwak, 2009, 2010 (52,53)	quasi-experi- mental pre-test multiple post control group design	n=487	Skinfold: + Waist circumference: +	Dietary intake: + Physical activity: + Motivational stage: + Cognitive determinants: -	++
Looijmans, 2011 (54)	RCT	n=6636	Vaccination uptake: + Higher compliance with program elements was associated with higher vaccine uptake.		+

Note: Intervention effects rating: ++ (all primary and secondary outcomes overall effective), + (all primary outcomes overall effective and secondary outcomes partially or not effective) or +/- (at least one of the primary and/or secondary outcomes partially effective, but not all primary outcomes effective) or – (all primary and secondary outcomes not effective).

Table 6. Results of the IM fidelity review, implementation process review and effect review translated into scores [used for the figures].

	1	2	3	4	5	6	7	8	9	10	11	12	
	Oude Hengel et al. 2011 (22)	Coffeng et al. 2012 (21)	van Ber- kel, 2011 (20)	Oude Hengel et al. 2014 (27)	McEacha n,2008 (19)	Verweij, 2012 (23)	Viester, 2012 (18)	Strijk, 2009 (17)	Brosseau, 2007 (26)	Riphagen, 2014 (25)	Kwak, 2007 (16)	Looijmans , 2010 (24)	Total
IM Fidelity score (Step 1-5)(score ranged from 0-2)	1,5	1,4	1,5	1,4	1,5	1,5	1,8	0,9	1,5	1,2	1,2	1,4	1,4
Participation	1,0	1,5	1,5	1,3	1,3	1,5	1,5	0,3	1,5	1,0	1,0	1,5	1,2
Theory-based approach	2,0	1,3	1,3	1,3	2,0	2,0	2,0	1,0	2,0	1,3	1,3	1,3	1,6
Ecological model	2,0	2,0	2,0	2,0	1,5	2,0	2,0	1,5	2,0	2,0	2,0	2,0	1,9
Implementation	1,3	1,0	1,3	1,3	1,5	1,0	1,8	1,3	0,8	0,8	1,0	1,0	1,1
Implementation process (score ranged from 0-3)	2,4	1,4	1	1	1,67	1,75	1,4	1,4	-	-	1,75	-	1,5
Reach	3	0	0	0	3	-	0	0	-	-	3	-	1,1
Dose delivered	3	3	-	1	1	3	3	3	-	-	-	3	2,5
Dose received	3	1	1	0	1	1	1	1	-	0	1	0	0,6
Fidelity	1	1	1	2	-	1	1	1	-	-	1	-	1,1
Satisfaction	2	2	2	2	-	2	2	2	3	1	2	-	2
Intervention effect (score ranged from 0-3)	0	1	0	2	2	1	0	1	2	3	3	2	1,2

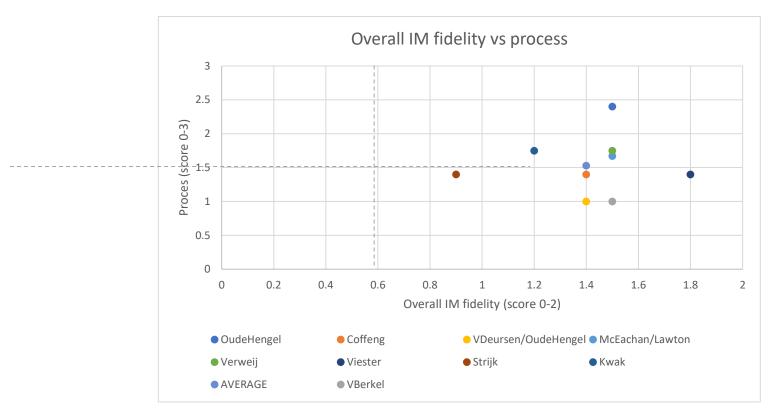


Figure 1. Scatterplot of scores on overall IM fidelity (score 0-2) and implementation process (score 0-3) per study (the dotted lines show the average scores for the overall IM fidelity and the implementation process). For three of the process evaluations, there was not enough data available to calculate a process score (Looijmans, 2010 (54), Riphagen, 2013 (25) and Parker, 2009 (50)).

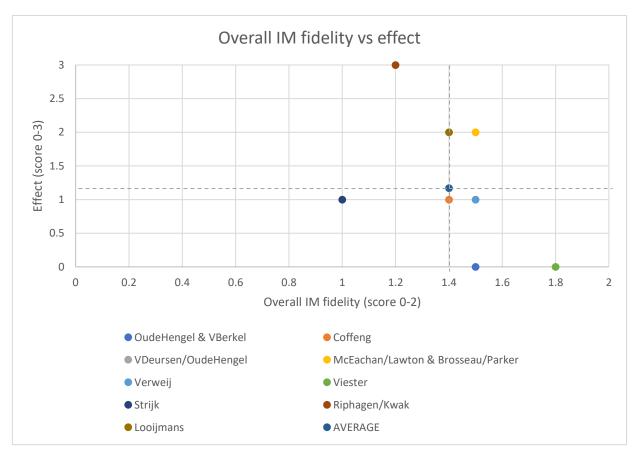


Figure 2. Scatterplot of scores on IM fidelity (score 0-2) and intervention effect (score 0-3) per study (the dotted lines show the average scores for the overall IM fidelity and the effects).