



# **Tapping into 5-HT3 Receptors to Modify Metabolic and Immune Responses**

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**Abstract**: 5-hydroxytryptamine type 3 (5-HT<sub>3</sub>) receptors are ligand gated ion channels, which clearly distinguish their mode of action from the other G-protein coupled 5-HT or serotonin receptors. 5-HT<sub>3</sub> receptors are well established targets for emesis and gastrointestinal mobility and are used as adjunct targets in treating schizophrenia. However, the distribution of these receptors is wider than the nervous system and there is potential that these additional sites can be targeted to modulate inflammatory and/or metabolic conditions. Recent progress in structural biology and pharmacology of 5-HT<sub>3</sub> receptors have provided profound insights into mechanisms of their action. These advances, combined with insights into clinical relevance of mutations in genes encoding 5-HT<sub>3</sub> subunits and increasing understanding of their implications in patient's predisposition to diseases and response to the treatment, open new avenues for personalized precision medicine. In this review, we recap on the current status of 5-HT<sub>3</sub> receptor-based therapies using a biochemical and physiological perspective. We assess the potential for targeting 5-HT<sub>3</sub> receptors in conditions involving metabolic or inflammatory disorders based on recent findings, underscoring the challenges and limitations of this approach.

**Keywords:** serotonin receptors; 5-hydroxytryptamine receptors; metabolism; adipose tissue; chemotherapy induced vomiting and emesis (CINV); inflammation; HTR3 variant associations; HTR3 single nucleotide polymorphism; 5-HT<sub>3</sub> receptor-based therapies

# 1. Introduction

Isolation of serotonin (sero = serum and tonin = vasoconstrictive) by Rapport [1] and the confirmation that the compound "enteramine" isolated by Erspamer was the same compound and actually 5-hydroxytryptamine (5-HT) [2] opened up the field of serotonin research. Initially two different types of 5-HT receptors were characterized as D and M receptors in guinea pig ileum, where D refers to receptors blocked by dibenzyline and M refers to those blocked by morphine found mainly in muscle and nervous tissue, respectively [3]. Radioligand binding studies, over the next 20 years or so, revealed that the situation was more complex and that further subtypes of 5-HT receptors existed. During the mid-1980s, 5-HT receptors were classified into three categories, 5-HT<sub>1</sub> receptors containing some D receptors and 5-HT<sub>1</sub> binding sites, 5-HT<sub>2</sub> receptors mainly D receptors and 5-HT<sub>3</sub> receptors being equivalent to M receptors [4] and then the 5-HT<sub>3</sub> receptor was found to be an ion channel [5].

Now it is known that there are seven classes of 5-HT receptors and that M or 5-HT<sub>3</sub> receptor are unique as ion channels and are distinct from the others, which are G protein coupled receptors [6–8]. The 5-HT<sub>3</sub> receptor is a member of the cysteine loop ligand gated ion channel family. The receptor is arranged as an assembly of five subunits around a

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/). central pore [9] and carries cations (e.g., sodium, potassium, and calcium ions) into cells [5]. More recent studies have revealed that several different 5-HT<sub>3</sub> receptor subunits (A, B, C, D and E) are expressed in humans [10–13]. Since the differential subunit composition of a 5-HT<sub>3</sub> receptor can alter its properties, we refer to the 5-HT<sub>3</sub> receptor of undefined subunit permutation as 5-HT<sub>3</sub> receptors for simplicity. However, the presence of the A subunit is essential for the formation of functional plasma membrane ion channels [10,14], and only 5-HT<sub>3</sub> A subunits can form a functional homopentamer. A schematic outline of several major events in the study of 5-HT<sub>3</sub> receptors is shown in Figure 1. Here, we first briefly review 5-HT<sub>3</sub> receptor distribution, function and 5-HT<sub>3</sub> receptor antagonists currently used in the clinic. The focus of this review is to discuss how recent structural insights combined with enhanced understanding of the role of 5-HT<sub>3</sub> receptors, contribute to potential novel therapeutic directions in inflammation and metabolic disorders.



**Figure 1.** Schematic timeline of major discoveries in 5-HT<sub>3</sub> receptor biology. 5-HT<sub>3</sub> receptors (5-HT<sub>3</sub>R) are cysteine loop ligand gated ion channels formed by a pentamer arrangement of subunits as shown by the five circles. Initial clinical approval by the US Food and Drug Administration (FDA) of the first generation 5-HT<sub>3</sub> receptor antagonist ondansetron and the second-generation antagonist palonosetron are also indicated. From the early 2000s, several single nucleotide polymorphisms (SNPs) and other polymorphisms have been associated with various clinical disorders. Further details are outlined in the text.

### 2. Distribution of 5-HT<sub>3</sub> Receptors

Since this is a well-documented and reviewed area (e.g., [8,15–22]), we will briefly describe the distribution of 5-HT<sub>3</sub> receptors throughout the body focusing on known and potential target regions and the potential contribution of the different subunits. As only the A subunit was initially identified, earlier studies merely report its expression. Discovery of the different subunits revealed their distribution across human body organ systems [11,13,23]. Some selective subunit localization is evident in humans and other species. Most notably, rodents only contain A and B subunits [14] and differ in other aspects of their cellular function and physiology to humans [24–26].

Autoradiography mapping studies using radiolabeled 5-HT<sub>3</sub> receptor antagonists such as granisetron revealed high density of 5-HT<sub>3</sub> receptors in human brainstem including nucleus tractus solitarius, area postrema, and dorsal motor nucleus of the vagus nerve [27–29]. All these areas are important for starting and coordinating the vomiting reflex and are thus likely to contribute to the anti-emetic effects of 5-HT<sub>3</sub> receptor antagonists [15,30]. 5-HT<sub>3</sub> receptors also occur in the medulla oblongata, hippocampus, caudate and putamen [31–33]. Heteromeric expression of 5-HT<sub>3</sub> receptors [34,35] is likely throughout the human brain correlating with the widespread distribution of transcripts of the different subunits reported in brain regions [13,14]. Postsynaptic 5-HT3 receptors modulate fast excitatory synaptic transmission when activated while the presynaptic 5-HT<sub>3</sub> receptors modulate neurotransmitter release indicating an interconnected and complex network [15] that, at least in the mouse model, modulates cerebellum synaptic plasticity [36] in cellular events involved in cortical construction [37]. Distribution patterns of 5-HT<sub>3</sub> receptors have encouraged the consideration of 5-HT<sub>3</sub> receptor antagonists generally as adjunct therapies in treating various neurological and psychiatric disorders [18,38]. Of note, mutations in genes encoding different 5-HT<sub>3</sub> subunits have been associated with the occurrence of bipolar affective disorder, depression, and schizophrenia, and may be of clinical relevance in predicting the effectiveness of their treatment (Table 1).

**Table 1.** Summary of disorders related to mutations of *HTR3* genes encoding 5-HT<sub>3</sub> receptor subunits <sup>1</sup>.

Gene	SNP <sup>2</sup> Number	Phenotype and Condition Affected	Reference
HTR3A	rs1150226	Temporal lobe activity	[39]
		Substance dependence (alcohol, nicotine)	[40,41]
	rs1062613	Irritable bowel syndrome (IBS)	[42-44]
		Dyspepsia and visceral symptoms	[45]
		Pain and fibromyalgia syndrome	[46,47]
		Bipolar affective disorder <sup>3</sup>	[48-50]
		Depression and response to paroxetine	[51–54]
		Schizophrenia and treatment response <sup>3</sup>	[55–59]
		Substance dependence (nicotine) <sup>3</sup>	[40,60]
		Disorders associated with childhood abuse	[50,54,61,62]
	rs1150220	Autism	[63]
	rs10160548	Substance dependence (alcohol, nicotine)	[40,60,64]
	rs1176713	Schizophrenia and risperidone response	[65]
		Substance dependence and ondansetron	[41,66]
		Post-operative vomiting	[67]
		Depression and bipolar disorder	[68,69]
	rs1176719	Bipolar affective disorder	[68]
HTR3B	rs3758987	Substance dependence (heroin, nicotine)	[60,70]
		Obsessive compulsive disorder	[71]
		Post-operative vomiting	[72]
	rs3831455	CINV and paroxetine induced nausea with altera-	[73–76]
	100001100	tions in responses to ondansetron and ramosetron	[10 10]
		Treatment resistant schizophrenia	[77]
	rs1176744	Major depression and bipolar affective disorder	[49,78,79]
		Nausea and vomiting (CINV, POV) <sup>3</sup>	[74– 76,80,81]
		Eating disorders and obsessive compulsive disorder	[71,82]
		Substance dependence (alcohol, nicotine)	[60,64,83]
		Pain	[46,84]
		Diabetes mellitus type 2 (DM2)	[85]
		Differences in channel characteristics and drug	[76,80,86–
		efficacy (paroxetine, setrons, topotecan)	89]
	rs3782025	Substance abuse (alcohol)	[64,66]
		CINV involving opioid treatment	[81]
		Attention deficit/hyperactivity disorder	[90]
	rs1672717	Substance abuse (nicotine)	[60]
		CINV involving opioid treatment	[81]
		Attention deficit/hyperactivity disorder	[90]
	rs12795805	Diabetes mellitus type 2 (DM2)	[85]
HTR3C	rs6766410	CINV <sup>3</sup>	[91–94]
		Autism and obsessive compulsive disorder	[71,95,96]
		Ondansetron effect in diarrhea predominant IBS	[97]
	rs6807362	CINV and pregnancy induced nausea	[92,98,99]
		Autism	[95]

	rs6807670	Pregnancy induced nausea	[98]
HTR3D	rs6443930	CINV and lack of therapeutic response	[100]
		Obsessive compulsive disorder	[71]
	rs12493550	Primary angle-closure glaucoma	[101]
HTR3E	rs56109847	Diarrhea predominant IBS	[42,44]
	rs7627615	Schizophrenia	[102,103]
		Obsessive compulsive disorder <sup>3</sup>	[96,104]

<sup>1</sup> For further details in relation to studies before 2011 refer to [19,21]. <sup>2</sup> Abbreviations: CINV— chemotherapy induced nausea and vomiting; DM2—Diabetes mellitus type 2; IBS—irritable bowel syndrome; POV—post-operative vomiting; SNP—single nucleotide polymorphism. <sup>3</sup> Reports have contradictory findings.

5-HT<sub>3</sub> receptor subunit expression occurs in the enteric nervous system and other regions of the gut, and they are particularly known for their involvement in brain-gut circuitry. Gene transcripts encoding 5-HT<sub>3</sub> receptor subunits have been detected in the human colon [13,14,105–108] and the stomach [109]. Unexpectedly, there was a spatial differential in the tissue distribution of the E subunit being only present in the mucosal layers [108] and a single nucleotide polymorphism in the gene encoding E subunit is associated with diarrhea predominant irritable bowel syndrome [42]. Other polymorphisms in the gene encoding C subunit are also useful in predicting treatment response [97] (Table 1). Interestingly, the expression level of 5-HT<sub>3</sub> receptors in intestinal mucosa of patients with diarrhea predominant irritable bowel syndrome is significantly higher than those of healthy subjects, thus 5-HT<sub>3</sub> receptors may be involved in the pathogenesis of this disease and act as a potential target for intervention [97,110].

5-HT<sub>3</sub> receptors in the gastrointestinal tract modulate physiological responses such as gastric emptying, colonic peristalsis and transit [111–113]. Thus, 5-HT<sub>3</sub> receptor antagonists are used to treat diarrhea predominant irritable bowel syndrome [22,114,115] in addition to chemotherapy-induced nausea and vomiting (CINV) or post-operative vomiting (POV) [30,116,117]. Genetic polymorphisms in genes for 5-HT<sub>3</sub>B, 5-HT<sub>3</sub>C, and 5-HT<sub>3</sub>D, predominantly expressed in the gastrointestinal tract and various brain regions [13,14], may contribute to facilitating individual risk predictions (Table 1). 5-HT<sub>3</sub> receptor expression has been detected in myenteric and submucosal plexus of human colon and rectum by autoradiography [118] and immunohistochemical [119,120] methods.

As this is discussed in detail in a later section, we only highlight here that 5-HT<sub>3</sub> receptors are expressed in various cells of the immune system. Mainly, the 5-HT<sub>3</sub> receptor A subunit has been reported in monocytes, chondrocytes, T-cells, B-cells, synovial tissue, platelets, dendritic cells [121-127] and is overexpressed in lymphomas [127]. The presence of 5-HT<sub>3</sub> receptors is likely to reflect immune challenge as there is a significant increase of the A receptor subunit in peripheral blood mononuclear cells from asthmatic patients and those exposed to air pollution [128] leading to inflammatory responses that can potentially be down regulated with 5-HT3 receptor antagonists [121,124]. Similarly, the lack of or reduced expression of 5-HT<sub>3</sub> receptors in psoriatic epidermis implies a role for these receptors in proliferation and differentiation of keratinocytes [129], one of the first lines of immune defense. Since 5-HT<sub>3</sub> receptors are expressed in basal epidermal cells, particularly in acrosyringium and in the epithelium of hair follicles [130], they may be targeted for the treatment of chronic skin diseases and pruritus. 5-HT<sub>3</sub> antagonists reduce the severity of serotonin-induced skin itch [131] and neuraxial opioid-induced pruritus [132]. Direct irradiation cell death evoked by serotonin in keratinocytes is mediated through 5-HT<sub>3</sub> receptors, thus identifying these as a potential target for ameliorating ionizing radiation damage [133].

5-HT<sub>3</sub> receptors are widely distributed in other human body organ systems highlighting the interconnectedness between physiological processes regulated via these receptors. 5-HT<sub>3</sub> receptor subunits are found in respiratory, urogenital, renal, and cardiovascular systems [13,14,23]. It is highly likely that further interconnections will be established between systems involving those occurring between gastrointestinal regulation and emetic events [134–136], inflammatory bowel disease as well as pain and inflammation in various sites [8,16–18,135,137,138].

# 3. Structural Insights into 5-HT<sub>3</sub> Receptor Action

The structure of the mouse homologous 5-HT<sub>3</sub>A receptor revealed through crystallography [139] and cryo-electron micrography [140–146] provides considerable insight into 5-HT3 receptors and is reviewed [20,147]. The mouse A subunit shares 95% homology with the human A subunit, so these studies are readily translatable. The 5-HT<sub>3</sub> receptor subunits each share a similar architecture and arrange in a pentamer with a central pore (Figure 2). Each subunit contains extracellular N- and C-termini, four transmembrane (TM) spanning  $\alpha$ -helical-domains and an intracellular domain. The Cys-loop is located in the N-terminal region of the extracellular domain where cysteine and proline residues contribute to receptor conformation [148,149]. High-resolution structural studies have been particularly revealing about the extracellular and transmembrane domains of the homomeric receptor [140-146]. The extracellular N-terminal region contains the orthosteric ligand binding site that is created between two A subunits asymmetrically arranged to form the A+A- interface [141–147] (Figure 2A). The structural studies have, to a large degree, refined and elaborated on the molecular understanding of the orthosteric sites initially characterized through mutagenesis and ligand binding approaches [15,19,150,151]. The three-dimensional structures open up many opportunities to employ additional techniques such as molecular dynamics simulations [141,142,145,146] in conjunction with photo-crosslinking and mass spectrometry to delve further into the dynamics of ligand receptor interactions [152]. The transmembrane domains are arranged asymmetrically so transmembrane domain 2 (TM2) faces the pore and contributes most to ion interactions therein (Figure 2). The pore widens when 5-HT binds at the orthosteric site due to conformational changes twisting transmembrane domain 2 outwards [142,144,146,147].



**Figure 2.** Architecture of 5-HT<sub>3</sub> receptors. (**A**) Homology model of the human 5-HT<sub>3</sub>AC receptor assembled in the A<sub>3</sub>C<sub>2</sub> stoichiometry showing membrane spanning side and central pore views (maroon = A and green = C subunits). Examples of proposed binding sites for competitive, non-competitive, and dual acting antagonists (labeled 1–3), and allosteric modulators (indicated with 4). The model is from [153] where A and C subunits were created from the mouse 5-HT<sub>3</sub>A receptor structure [139] as they have 95% and 58% homology with the mouse A subunit, respectively. (**B**) Schematic diagram of a single 5-HT<sub>3</sub> receptor subunit showing the different domains and extracellular A–F loops (bolded in blue). A Clustal omega alignment was done on the human 5-HT<sub>3</sub> receptor subunit protein sequences obtained from NCBI [154] and this is shown for the Cys-loop (yellow box), membrane-spanning  $\alpha$ -helices of transmembrane domains 1 to 4 (TM1-TM4) (brown and orange boxes), the triple R region of the intracellular loop involved in pentamer assembly [155] and

the low conductance associated with A homomer receptors [156] (purple box). Accession numbers: NP\_000860 (A subunit), NP\_006019 (B subunit), NP\_570126 (C subunit), NP\_001157118 (D subunit), and NP\_872395 (E subunit). Identical residues are indicated with an asterisk (\*), conserved residues with a colon (:), and semi-conserved residues with a full stop (.). Image drawn in Adobe Illustrator.

The large intracellular loop between transmembrane domains 3 and 4 contains an intrinsically disordered domain refractory to structural studies. However, this intracellular domain is critically important in assembling 5-HT<sub>3</sub>A receptor pentamers [155,157]. This region has greater diversity in amino acid sequences between the different subunits (Figure 2B). The A subunit contains a triplet of arginine residues that are important in regulating conductance [156] and these residues form salt bridges that contribute to pentamer formation [155] possibly forming part of the explanation for the necessity of A subunits in heteromers. The intracellular domain is also necessary for direct interactions with acetyl-choline receptor chaperone (RIC3) that enables post-translational trafficking to the plasma membrane [158–161]. A specific region of the intracellular domain in the A subunit directly interacts with RIC3 [158] but RIC3 binding sites have not yet been identified for the other subunits [161].

5-HT<sub>3</sub> receptors are subject to post-translational modifications, including the disulfide bond in the Cys-loop present in all human 5-HT3 subunits, except 5-HT3D (Figure 2B), which impact their structure and function. These modifications include N-glycosylation in the extracellular N-terminus of human 5-HT<sub>3</sub>A and 5-HT<sub>3</sub>B subunits (at four and five asparagine residues, respectively) [162] important for cell surface trafficking and stability of the receptors [163–165]. Inhibiting glycosylation at any of the N-glycosylation sites reduced or prevented membrane expression of 5-HT<sub>3</sub>A subunits and interfered with the formation of 5-HT<sub>3</sub> receptor binding site. N-glycosylation at N5 is less critical, probably due to its distance from the ligand binding loops (Figure 2B) than modification of N81, N147, and N163 and notably these human 5-HT<sub>3</sub>A subunit canonical motifs are conserved across species, likely reflecting their significance [163]. Site-directed mutagenesis studies confirmed that the disruption of any N-glycosylation site on human 5-HT<sub>3</sub>B subunit (N31, N75, N117, N147, and N182) resulted in reduced expression of the subunit in cell membranes, presumably incorporated within heteromeric 5-HT<sub>3</sub> receptors [165]. Although Nglycosylation at N145, residing within the Cys-loop (Figure 2B), is conserved in both 5-HT<sub>3</sub>A and 5-HT<sub>3</sub>B subunits, and many other ligand-gated ion channels, it seems less important in facilitating 5-HT<sub>3</sub>B subunit membrane incorporation [165].

Phosphorylation is also a critical post-translational reversible protein modification. Phosphorylation of the large intracellular loop (Figure 2B) likely involves protein kinase A or C effects on ion conductance and rate of desensitization and depends on particular isoforms of 5-HT<sub>3</sub>A subunits present [166–170]. Effects of agents affecting the actin cyto-skeleton together with point mutations of the potential phosphorylation sites suggested that protein kinase C modulates 5-HT<sub>3</sub>A receptor function and trafficking indirectly, likely via an F-actin-dependent mechanism [169]. Moreover, protein kinase CK2 enhances current flux through 5-HT<sub>3</sub> receptor channels [171] while calcineurin—a calcium/calmodulin-dependent phosphatase—does not modulate the fast desensitization of 5-HT<sub>3</sub> receptor, it may regulate channel activity via the modulation of the steady-state desensitization [172].

The lipid membrane itself is also involved with 5-HT<sub>3</sub> receptors accumulating in lipid rafts—highly dynamic cell membrane microdomains enriched in cholesterol and glyco-sphingolipids [173]. The impairment of lipid rafts diminishes 5-HT<sub>3</sub> receptor currents although only a minor proportion of the 5-HT<sub>3</sub> receptors appeared to be constantly present in lipid rafts [174]. Interestingly, removal of cholesterol from the bilayer of large unilamellar vesicles containing homogenously distributed 5-HT<sub>3</sub> receptors resulted in the formation of tightly packed patches [175]. Cholesterol binds to and regulates some ligand gated ion channels [176]. Molecular dynamics simulations have revealed sustained interaction between cholesterol and TM domain of 5-HT<sub>3</sub>A receptors, where the lipid penetrates a preactivated receptor monomer through binding between M1 and M4 helices,

with hydrogen bonding between cholesterol and TM4 helix [148]. A lipid-binding pocket lined by TM3 of one subunit and TM1 and TM4 helices of the neighboring subunit, where R309 in TM3 and R435 in TM4 residues interact with the phospholipid has been revealed in 5-HT<sub>3</sub> receptors in the absence of the ligand [140]. A further structural basis for the receptor modulation by lipids, together with a model for allosterically lipid-modulated asymmetric activation of the homopentameric 5-HT<sub>3</sub> receptor reconstituted into saposin-based lipid bilayer discs, were recently proposed [146]. Apart from enabling the receptor to adopt an asymmetric conformation in the absence of the ligand, the lipid moieties were shown to facilitate a more compact, 'coupled' conformation of the receptor, with transmembrane domains compressed along the central axis, allowing free diffusion of sodium ions.

Arrangement of the 5-HT<sub>3</sub> receptor heteromers has generated some controversy with earlier atomic force microscopy studies using antisera to tagged subunits suggesting that the AB heteromer had a B-B-A-B-A ( $A_2B_3$ ) stoichiometry [177], whereas the consensus of multiple mutagenesis studies indicated that at least one A+A- interface is necessary in the 5-HT<sub>3</sub>AB receptors [151,178,179], favoring an A-A-B-B-A or A-A-B-A-B (A<sub>3</sub>B<sub>2</sub>) conformation. Confirmation of the A<sub>3</sub>B<sub>2</sub> stoichiometry with separated B subunits was obtained using fluorescent proteins inserted into the intracellular loop between transmembrane domains 3 and 4 to obtain fluorescence intensity ratio (FIR) and FRET efficiency measurements [180]. The conformation of heteromers involving the other subunits is unknown but pharmacological studies involving agonist and antagonist binding strongly indicate that an A+A- interface is present like that which occurs with the AB heteromer [178]. Thus, 5-HT<sub>3</sub>A receptor homomers are likely to have more orthosteric binding sites than heteromers, possibly underscoring pharmacological differences in ligand binding between homomers and heteromers. Sequential ligand binding is proposed for A homomers especially in a lipid environment where cholesterol can contribute allosterically to receptor conformational changes [146,148].

#### 4. Insights from Pharmacology and Electrophysiology Studies

Electrophysiological and pharmacological approaches have provided many insights into the action of the 5-HT<sub>3</sub> receptor. The majority of studies use 5-HT<sub>3</sub>A or 5-HT<sub>3</sub>AB receptors as the C, D and E subunits in humans have been more recently discovered and are not expressed in all organisms [11,13,14]. 5-HT is the endogenous agonist and several synthetic 5-HT<sub>3</sub> receptor agonists incorporating a basic amine, an aromatic ring and hydrogen bond acceptors are mainly used as experimental tools. The potent agonists commonly used in experiments include 2-methyl-5-HT, phenylbiguanide, and *meta*-chlorophenylbiguanide (m-CPBG) [8,181]. Differences in functional responses to agonists are evident between species [8]. An example involves the functional neural 5-HT<sub>3</sub> receptors present along the length of the mouse, rat and guinea pig intestinal tracts that show differences in agonist efficacies between species and in separate regions of the tissue [182,183]. Interest has been generated in partial agonists as potential therapeutic agents and CSTI-300 is one such compound that has recently been characterized [184].

Electrophysiological studies reveal that at least part of the functional differences between species lie in the molecular structure and potential stoichiometry of the channel. 5-HT<sub>3</sub> receptors mainly carry sodium and potassium ions following activation by 5-HT to mediate a rapidly activating and desensitizing inward rectifying current, although ion substitution experiments have revealed that 5-HT<sub>3</sub> receptors also carry calcium ions [15]. 5-HT<sub>3</sub> receptors are homomeric (all A subunits) or heteromeric (mixture of A and either B, C, D, or E subunits) ion channels [8,15,18,19,21,22]. There are variations in the number of subunits between species, as rodents do not express the C, D or E subunits unlike humans and many other species including cats, dogs, and cattle [14]. Therefore, most work has focused on the 5-HT<sub>3</sub>A or 5-HT<sub>3</sub>AB receptors [8]. Heterologous system studies have revealed several differences between 5-HT<sub>3</sub>A and 5-HT<sub>3</sub>AB receptors [185]. The marked difference in single channel conductance (5-HT<sub>3</sub>A is <1 pS versus 5-HT<sub>3</sub>AB at 16–30 pS) is due to the triplet arginine residues present in the portal region of the intracellular loop between the transmembrane domains 3 and 4 of the A subunit that are lacking in the B subunit [156]. The corresponding residues present in the C, D and E subunits differ from either the A or B subunits (Figure 2B). Few studies have been made with the C, D or E subunit and these subunits appear to contribute subtle changes to 5-HT or various agonists relative to the B subunit [14,161,186–188]. Distinctive albeit subtly different pharmacological profiles for 5-HT<sub>3</sub>AC, 5-HT<sub>3</sub>AD and 5-HT<sub>3</sub>AE receptors were revealed using partial agonists [187].

All known agonists and competitive antagonists bind at the orthosteric binding site in the extracellular N-terminal region, at the interface between two A subunits [15,19,141– 143,145,151]. The competitive antagonists tend to be larger than agonists and are mainly represented by the setron family but include morphine and cocaine [3,8,18,22,151]. The first generation setrons including bemestron, tropisetron, granisetron and ondansetron have strong antiemetic activities and generally nanomolar affinity for 5-HT<sub>3</sub>A receptors [189–193]. Tropisetron also exhibits selective potent partial agonist activity at  $\alpha$ 7 nicotinic receptors not seen with other 5-HT3 receptor antagonists like ondansetron [194,195] while ondansetron at micromolar concentrations can attenuate solute carrier family 1 member 1 (SLC1A1 formerly known as excitatory amino acid transporter type 3 (EAAT3)) activity [196]. Ondansetron has more recently been shown to activate the ATP binding cassette subfamily A member 1 (ABCA1) activating transcription through the nuclear receptor subfamily 1 group H member 3 pathway (NR1H3) to stimulate apoliproprotein E (ApoE) secretion from astrocytes and liver cells [197]. Picrotoxin and related compounds, such as ginkgolides, block the 5-HT3 receptor channel [179,198]. Palonosetron is a second generation setron that has both competitive and non-competitive antagonistic properties [185,199]. Several ligands have allosteric properties acting at sites spatially distinct from the orthosteric ligand binding site and include anesthetics, n-alcohols, cannabinoids, steroids, as well as terpenes and pungent substances frequently occurring in plants [8,151,200–203]. Many of these positive or negative allosteric modulators bind to transmembrane sites of 5-HT<sub>3</sub> receptors [202,204,205].

Therefore, the ligands can be classified by the site they bind: 1. competitive antagonists (e.g., ondansetron); 2. non-competitive antagonists (e.g., picrotoxin) acting via locations other than the orthosteric binding site; 3. dual acting antagonists (e.g., palonosetron [206,207]), which bind at orthosteric or transmembrane sites although structural studies indicate palonosetron only binds at the orthosteric site [145]; and 4. allosteric modulators that bind at sites distinct from the orthosteric site such as the interface of the extracellular and transmembrane domains [15,19,141–143,145,150,151] (Figure 2A). Importantly from an experimental and potentially clinical view (see below), ligands can have different potencies at 5-HT3A versus 5-HT3AB receptors [89,184,185,208–210]. For instance, the agonist m-CPBG can bind at all five interfaces of 5-HT<sub>3</sub>AB distinguishing it from 5-HT, which only binds at the orthosteric binding site at the A+A- interface within the 5-HT<sub>3</sub>AB receptor enabling allosteric modulation [208]. While VUF10166 has a high affinity for 5-HT<sub>3</sub>A receptors binding at the A+A- site, its affinity for 5-HT<sub>3</sub>AB receptors is lower and its effects are thought to be mediated by binding to a secondary A+B- site [211]. Various anticancer drugs inhibit or potentiate current in 5-HT<sub>3</sub> receptors, and the degree differs with topotecan, irinotecan and imatinib depending on whether it is a receptor homomer of A subunits or heteromer of A and B subunits [89,212]. Whole-cell patch clamp recordings revealed that the dual acting antagonist palonosetron distinguishes 5-HT<sub>3</sub>ACE receptors from 5-HT3A, 5-HT3AC and 5-HT3AE receptors [188]. Most studies using C, D or E subunits use diheteromeric receptors in heterologous systems generally showing that these subunits only contribute subtle changes to agonists or antagonists compared to homomeric 5-HT<sub>3</sub>A receptors [14,153,161,186-188].

Since the discovery of additional 5-HT<sub>3</sub> receptor subunits and various clinically relevant polymorphisms such as the single nucleotide polymorphism in the B subunit that alters electrophysiological characteristics of the 5-HT<sub>3</sub>AB receptors [86], there has been an

interest in targeting specific 5-HT<sub>3</sub> receptor subunits (Table 1). Despite this interest, current progress in this area has been limited in part due to the difficulties involved in selectively targeting the active site of heteromeric receptors. Specific allosteric modulators and improved characterization of the receptor heteromers provide some hope that personalized approaches will eventuate. Future refinement of ligand design potentially can lead to drugs that target homomeric or heteromeric receptors with a degree of specificity that will enable targeted approaches for patients expressing specific polymorphisms (e.g., subunit B variants associated with bipolar affective disorder [49,79], Table 1) or those who have alternate loads of the different 5-HT<sub>3</sub> receptor subunits (e.g., patients expressing more C or E subunits).

#### 5. Current Clinically Used 5-HT3 Receptor-Based Therapies

As noted above, both 5-HT<sub>3</sub> receptor small molecule antagonists and agonists have been identified, but currently 5-HT3 receptor antagonists dominate since 5-HT3 receptor agonists have major emetogenic effects handicapping their clinical use (Figure 3). 5-HT<sub>3</sub> receptor antagonists were initially established for clinical use to treat chemotherapy-induced nausea and vomiting (CINV) in the 1980s as ondansetron outshone the commonly used antiemetic, metoclopramide [213–215]. Ondansetron is still a common component in chemotherapy-induced nausea and vomiting therapies [22,30,116,216]. The evolutionary development of this 5-HT<sub>3</sub> receptor antagonist class has generated many structural analogs designed to improve selectivity, reduce side effects, and improve ligand pharmacodynamics for delayed emesis compared to acute emesis, bringing additional 'setron' ligands, such as granisetron, ramosetron, dolasetron, tropisetron, and the second-generation ligand, palonosetron. These advances have been thoroughly reviewed [8,19,21,22,151,217– 219]. This drug class possesses excellent antiemetic treatment and prophylaxis effects due to their ability to inhibit serotonin activity on both the central nervous system in the chemoreceptor trigger zone and peripherally on gastrointestinal vagal nerve terminals [22,30,217,218,220]. Over time, their clinical usage has expanded beyond chemotherapy induced emesis to nausea and vomiting provoked by radiation therapy and post-operative procedures in adult and pediatric patients [30,116,136,217] and also pregnancy induced emesis [217].

5-HT and its interaction with multiple types of 5-HT receptors are a critical component of the healthy functional gastrointestinal tract (Figure 3). Increases in intestinal and serum 5-HT levels are associated with the functional disorder, irritable bowel syndrome (IBS) [135,221]. 5-HT<sub>3</sub> receptor antagonists (e.g., ondansetron, alosetron) are effective at treating motility problems and abdominal pain associated with diarrhea predominant irritable bowel syndrome but can lead to potential constipation [222]. Since 5-HT levels rise in diarrhea conditions, a new rationale involving use of 5-HT<sub>3</sub> receptor partial agonists has been suggested. Selective partial 5-HT<sub>3</sub> receptor agonists (e.g., CSTI-300) compete with high levels of endogenous 5-HT but do not completely inhibit the receptor and preclinical studies in animal models did not evoke constipation or emesis [184]. Therapies involving CSTI-300 or its analogs are also likely to be beneficial for patients suffering chronic diarrhea and increased circulating 5-HT such as occurs in carcinoid syndrome or diabetes induced diarrhea currently treated with 5-HT<sub>3</sub> receptor antagonists [184,223– 225].

The association of irritable bowel disease with depression and a central nervous component may in part contribute to the effectiveness of 5-HT<sub>3</sub> receptor antagonists in treating the diarrhea predominant version [18,226–230]. The relatively few adverse effects of 5-HT<sub>3</sub> receptor antagonists combined with their efficacy for treating conditions with a central component prompted investigations into their use in neurological and psychiatric disorders and are extensively reviewed [18,231,232]. 5-HT<sub>3</sub> receptor antagonists show most promise in adjunct therapy for treating schizophrenia, perhaps reflective of the 5-HT<sub>3</sub> receptor antagonist activity of clozapine [233,234]. Patients with schizophrenia receiving ondansetron in addition to their primary treatment of either haloperidol or risperidone showed improvement of their primary symptoms [232,235,236]. Other neurological conditions, such as obsessive compulsive disorder, may benefit from 5-HT<sub>3</sub> receptor antagonist therapy but this requires further exploration [237].

5-HT<sub>3</sub> receptor antagonists are also useful in treating alcohol dependence [22,41,238–240] but show little clinical usefulness in treating dependencies on other drugs of abuse [18,241]. Since 5-HT<sub>3</sub> receptors are present in the reward center [242], this differential is unexpected. One possible contributing factor to the improved effect of 5-HT<sub>3</sub> receptor antagonists on alcohol dependence may be due to ethanol acting as an allosteric modulator of 5-HT<sub>3</sub> receptors [19,151,243–247].



Figure 3. Roles of 5-HT3 receptors in diseases and metabolic disorders. Microbiota and their metabolites present in the lumen of the gut can affect the epithelial cells and any dendritic cells to modulate local immune function and induce enterochromaffin (EC) cells to secrete serotonin (5-HT, shown as green circles) that enters the circulation. Visceral stimulation via the sympathetic nervous system induces production of serotonin by EC cells. The enteric nervous system, consisting of submucosal plexus underneath the epithelial cells lining, interacts directly with layers of the gut wall and parasympathetic, spinal, and vagal nerves to enable bidirectional communication with the central nervous system. 5-HT release from the ECs activates 5-HT3 receptors on enteric neurons, vagal and spinal afferents, and these in turn relay input to the dorsal root ganglia and the brain stem. Overactivation of the chemoreceptor complex in the brainstem can lead to emesis and the processes also contribute to functional gastrointestinal (GI) disorders, such as irritable bowel syndrome, and nociception. Neuropsychiatric disorders like depression and schizophrenia are affected by disturbed 5-HT<sub>3</sub> receptor signaling in the limbic region of the brain. Increased circulatory 5-HT is associated with increases in production of cytokines (shown as magenta circles), and sometimes nociception. In addition, these circulatory changes in 5-HT can potentially modify adipose function where brown adipose cells decrease thermogenesis normally raised by sympathetic activation, although this has mainly been studied using rodent models. By activating 5-HT<sub>2</sub> and 5-HT<sub>3</sub> receptors,

5-HT in the pancreas enhances ß cells to secrete more insulin, which acts on  $\alpha$  cells to inhibit glucagon secretion. Physiological levels of 5-HT released by ß cells in response to glucose also lead to decrease in glucagon secretion and this effect is mediated by 5-HT<sub>1</sub> receptor on  $\alpha$  cells. For further details see the text (Sections 5 and 6). Image drawn in Adobe Illustrator with brain image created by Hugh Guiney (CC BY-SA 3.0 https://creativecommons.org/licenses/by-sa/3.0, accessed on 25 October 2021), via Wikimedia Commons (https://commons.wikimedia.org/wiki/File:Humanbrain.SVG, accessed on 25 October 2021) and red blood cells (https://commons.wikimedia.org/wiki/File:Blausen\_0761\_RedBloodCells.png, accessed on 25 October 2021) created by [248].

Since 5-HT is an effective nociceptive inflammatory mediator, interactions between the different receptor classes are complex and this is further complicated by 5-HT<sub>3</sub> receptors having a mixed nociceptive responses [249]. Both nociceptive events contributing to chronic pain and antinociceptive effects are mediated by 5-HT<sub>3</sub> receptors and influence neuropathic pain in particular [249–251]. 5-HT<sub>3</sub> receptor antagonists are used in some clinical situations to alleviate chronic pain like fibromyalgia [21,252,253]. The role of 5-HT<sub>3</sub> receptor antagonists in the treatment of headaches is more controversial, with some studies reporting efficacy and others recommending against their use [254,255], although they may have roles in combatting nausea and emesis associated with some headaches. Irritable bowel syndrome is associated with abdominal pain and 5-HT<sub>3</sub> receptor antagonists moderate the pain relative to placebo [256].

The efficacy of 5-HT<sub>3</sub> receptor ligands is complicated by the natural heterogeneity of 5-HT<sub>3</sub> receptor complexes and various polymorphisms, which may account for discrepancies in patient responses to treatments. Multiple genomic studies have revealed differences in responses to 5-HT<sub>3</sub> ligands and/or clinical disorders that can be related to polymorphisms in individual subunits. Importantly, these findings indicate that there are subtle contributions of the different subunits that can have impacts on function so that, when disturbed via polymorphisms, can lead to different outcomes. For instance, single nucleotide polymorphisms in genes encoding A and B subunits are associated with bipolar affective disorder involving modifications of receptor expression and electrical properties [49,86,257,258]. Relationships between function or disorder and polymorphisms in genes encoding 5-HT<sub>3</sub> receptor subunits were previously reviewed [19,21]. Advances since these reviews have continued the strong themes involving different subunits associated with clinical conditions (Table 1) highlighting the potential to target 5-HT<sub>3</sub> receptors in neurological and psychiatric disorders as discussed by Fakhouri et al. [18]. Studies of considerable statistical power are required to unlock several of these associations as many polymorphisms are relatively rare and confounding interactions exist. Meta-analyses of separate studies have revealed associations that were previously obscured [44,99], enhancing our understanding of effects of polymorphisms on receptor function that will continue as genomic data becomes a more routine aspect of medicine.

#### 6. 5-HT3 Receptors in Whole Body Metabolism

It should be kept in mind that 5-HT activates several serotonin receptors and there are recent reviews capturing interactions between 5-HT receptors in metabolism [259,260]. This review focuses on the roles of 5-HT<sub>3</sub> receptors in energy homeostasis that are being unmasked. Several early studies focused on the interaction between glucose metabolism and centrally located 5-HT<sub>3</sub> receptors in the brain. The effects of 5-HT<sub>3</sub> receptors on peripheral glucose metabolism have been the subject of some controversy. Early findings using 5-HT<sub>3</sub> receptor agonists, such as 2-methyl-5-HT, indicated that 5-HT<sub>3</sub> receptors were not involved in glucose metabolism as no change in glucose, glucagon or insulin levels were detected [261]. However, administration of the 5-HT<sub>3</sub> receptor agonist m-CPBG to rat brains raised blood glucose levels that could be blocked by ondansetron [262], implicating 5-HT<sub>3</sub> receptors in centrally regulated whole body glucose metabolism. Now a consensus is being reached that the role of the 5-HT<sub>3</sub> receptors is influenced by the metabolic state and sites of stimuli. The 5-HT<sub>3</sub> receptor agonist SR-57227 that acts both centrally and

peripherally [263] inhibits food intake by fasting but not fed mice [264], while in rats the effect of 5-HT<sub>3</sub> receptor agonists depends on the brain region stimulated [265]. The 5-HT<sub>2</sub>c receptor particularly, but also the 5-HT<sub>1B</sub>, 5-HT<sub>6</sub> and 5-HT<sub>3</sub> receptors, are all involved in regulating satiety at a central level where 5-HT itself interacts with peripheral leptin and cholecystokinin (for reviews see [8,266,267]).

In the gut, where ~95% of the body's serotonin resides, most 5-HT is produced by a specialized subtype of endocrine cells, called enterochromaffin (EC) cells, residing alongside the epithelium lining the gut lumen. Enterochromaffin cells release 5-HT into the lamina propria, where it can signal via 5-HT receptors expressed on nerves or be inactivated by the serotonin reuptake transporter (SERT)-mediated uptake into enterocytes where it is catabolized by monoamine oxidase [268]. Indigenous spore-forming bacteria promote biosynthesis of 5-HT in enterochromaffin cells [269] and the release of 5-HT is controlled by luminal glucose status. For instance, high post-prandial glucose levels stimulate the rapid secretion of 5-HT, whereas enterochromaffin cells adapt to chronic low glucose levels by increasing transcripts of *Tph1* involved in synthesizing 5-HT [270]. The 5-HT then activates 5-HT<sub>3</sub> receptors on mucosal vagal afferent terminals [271,272] (Figure 3) and this is augmented by glucose [273]. Extracellular glucose levels alter rat gastric vagal afferent 5-HT<sub>3</sub> receptor density rapidly and probably by receptor trafficking as increased glucose rapidly results in more functional surface receptors [273]. Similarly, in healthy older subjects, local duodenal motor effects are modulated by 5-HT<sub>3</sub> receptor mediated responses to glucose present in the small intestine [274]. However, short exposures to high fat diets compromise the ability of glucose to amplify 5-HT<sub>3</sub> mediated responses in gastric vagal afferent neurons [275].

Tropisetron treatment reduced weight gain in mice with access to glucose infused water but increased their ketone body production implicating 5-HT<sub>3</sub> receptors in hepatic carbohydrate and fat metabolism [276]. There also appears to be a relationship with the microbiome and inflammation and the gut brain axis with 5-HT<sub>3</sub> receptors playing a role [260,277,278]. Tropisetron and palonosetron reduce endotoxin escape into the body in the *ob/ob* (leptin deficient) genetic obese model mouse and this subsequently reduces liver inflammation and fat accumulation [279]. These effects could be in part because tropisetron can reverse 5-HT inhibition of insulin release [280]. Polymorphisms in 5-HT<sub>3</sub> receptor B subunits have also been related to type 2 diabetes mellitus [85], further highlighting the roles of these receptors in modulating metabolism. Exercise, which utilizes plasma glucose and fatty acids, also stimulates increases in hippocampal 5-HT levels. 5-HT<sub>3</sub> receptors are critical for mediating exercise enhanced hippocampal neurogenesis, and interestingly also in the anti-depressant effects induced by exercise but not learning, as demonstrated by behavioral studies [281].

Knock out mice studies provide a powerful tool to investigate the roles of peripheral and central 5-HT in addition to the receptors mediating its action. Mice on a high fat diet are not only heavier but have increased insulin resistance and non-alcoholic fatty liver disease that can be reduced pharmacologically with inhibitors of Tph1 or genetically in mice deficient in Tph1 [282]. Increased peripheral 5-HT is important in pregnancy to drive pancreatic beta cell expansion in mice via 5-HT<sub>2B</sub> receptors [283]. Beta cells also contain 5-HT that co-locates in the vesicles with insulin [284], where it is co-secreted. 5-HT<sub>1D</sub>, 5-HT<sub>4</sub> and 5-HT<sub>3</sub> receptors are found on beta cells and have autocrine responses to secreted 5-HT [259,285] regulating insulin production and glucose levels. 5-HT<sub>3</sub> receptors are required for glucose-induced insulin secretion and this is more important in mice fed high fat diets where an obesity induced insulin-resistant state is developed [286] and in pregnant mice also suffering insulin resistance [287]. Both tropisetron and granisetron reduced plasma glucose levels [288–290], however, only tropisetron exhibited positive effects on diabetic nephropathy in rats with streptozotocin induced diabetes [288] and also lowers damage to liver tissue [289] possibly due to extra actions of tropisetron [195]. These findings implicate 5-HT<sub>3</sub> receptor antagonists in improving glucose tolerance but should be treated cautiously before applying to human subjects. Ondansetron and tropisetron inhibit multidrug and toxin extrusion (MATE) and organic cation transporters (OCT) [291,292]. Although ondansetron lowered glucose levels in metformin treated healthy subjects relative to placebo, its affect alone on glucose tolerance is unclear and it is likely that pharmacodynamic interactions of the two drugs occur [293].

Polymorphisms in Thp1 [294] and Thp2 [295] are implicated in obesity. These findings are supported by higher Thp1 expression in duodenum of obese subjects with an increased ability to secrete 5-HT [296]. Links between 5-HT and adipose tissue and metabolism have been reviewed [259,278,297,298] where mouse studies have been particularly informative. White adipose tissue is the major site of lipid storage whereas brown adipose tissue is involved in whole body thermogenic regulation as it contains mitochondrial uncoupling protein 1 (UCP1). In rodents, brown adipose tissue is sympathetically innervated [299– 302], while it is only recently that a nerve has been associated with brown-like adipose tissue deposits in humans [303]. High fat diet induced obesity is reduced by inhibiting Thp1 or in *Thp1* deficient mice and this correlates with activation of uncoupling protein 1 (UCP1) mediated thermogenesis [282]. Mice on high fat diets have increased 5-HT levels that promote lipogenesis in white adipose tissue by activating 5-HT<sub>2</sub> receptors while augmenting the suppression of thermogenesis in brown adipocytes by activating 5-HT<sub>3</sub> receptors [304]. Central thermoregulatory neural circuits activating serotonergic neurons have been further implicated using fiber photometry and electrophysiology studies. Body weight and energy expenditure are modified through sympathetic regulation of brown adipose tissue that is directed through neurons in the dorsal raphe nucleus expressing melanocortin 4 receptors that in turn innervate 5-HT neurons [305]. A high fat diet is also associated with anxiety and depression that correlates with desensitization of GABAergic AgRP neurons projecting onto melanocortin 4 receptor neurons in the dorsal bed of nucleus of the stria terminus containing  $\alpha$ 5-GABAA receptors and afferent serotonergic neurons with 5-HT<sub>3</sub> receptors [306]. Pharmacologically or genetically subduing 5-HT<sub>3</sub> receptors or enhancing  $\alpha$ 5-GABAA receptors suppressed food intake and removed the high fat diet induced anxiety or depression [306]. In fact, altered food intake preferences favoring a low fat diet were seen in combined pharmacotherapies of granisetron and zonisamide [306]. Zonisamide is a sulfonamide anticonvulsant that has been considered as a clinical treatment for obesity [307,308] but not in combination with 5-HT<sub>3</sub> receptor antagonists. It should be noted that 5-HT<sub>3</sub> receptors are expressed widely in epileptogenic neural networks and 5-HT3 receptor antagonists have been associated with seizures (for a discussion see [18]), so this combination of pharmacotherapy may be effective. However, the 5-HT<sub>3</sub> receptor antagonist ondansetron reduces meal evoked satiety in subjects taking a satiation nutrient drink test [309], highlighting influences of central and peripheral 5-HT<sub>3</sub> receptors. Yet at this stage, links between 5-HT $_3$  receptors and poor metabolic control are not properly established although some tenuous connections are indicated in Figure 3 based on rodent and human studies as discussed above.

#### 7. 5-HT<sub>3</sub> Receptors in Inflammation

5-HT has long been associated with inflammatory responses in part because of the extensive release of 5-HT from platelet stores upon platelet activation and stimulation of 5-HT release from enterochromaffin cells during inflammatory bowel conditions [135,310–312]. In addition, pro-inflammatory white blood cells, such as mast and T cells, can synthesize and selectively release 5-HT [313–317]. 5-HT receptors are expressed by many inflammatory cells including monocytes, macrophages, dendritic cells, T cells, B cells and mast cells (reviewed by [310–312]). In fact, monocytes contain several types of 5-HT receptors [311,318,319] and 5-HT modifies macrophage polarization principally via 5-HT<sub>2B</sub> and 5-HT<sub>7</sub> receptors [320].

Initially, a role for 5-HT<sub>3</sub> receptors in regulating lymphocyte ion currents was implicated in a patch clamp study using 5-HT and the 5-HT<sub>3</sub> receptor agonist 2-methyl-5-HT, where the inactivation of lymphocyte potassium ion conductance was inhibited by tropisetron (ICS-205-930) [321]. Sodium ion influx into human lymphocytes was stimulated by 5-HT<sub>3</sub> receptor specific agonists further confirming the presence of 5-HT<sub>3</sub> receptors [123]. However, studies into the effects of 5-HT<sub>3</sub> receptors in inflammatory conditions have been confounded by various off-target effects of the commonly used 5-HT<sub>3</sub> receptor antagonists such as tropiseton [38,194,195]. Tropisetron has been implicated in modulating calcineurin regulated nuclear factor of activated T cells pathways independently of 5-HT<sub>3</sub> receptors [18,322,323] which is of interest as calcineurin is likely to affect sensitivity of 5-HT<sub>3</sub> receptors to 5-HT [172]. Studies using cell lines have also been complicated by the presence of 5-HT in serum [311,315].

A complex interplay is likely to exist between signaling mediated by toll-like receptors (TLRs) recognizing molecules associated with microbial infection, such as lipopolysaccharide, and 5-HT receptors. For instance, TLR2 and TLR4 knock out studies in mice have shown changes in 5-HT<sub>2</sub>, 5-HT<sub>3</sub>, 5-HT<sub>4</sub> and 5-HT<sub>7</sub> receptor transcript expression and, importantly, this correlates with changes in function in the ileum and colon [324,325]. In addition, 5-HT modulates the lipopolysaccharide-induced release of various pro-inflammatory cytokines such as interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6, IL-8/CXCL8, IL-12p40, tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), but not IL-18 and interferon  $\gamma$  (IFN $\gamma$ ), from human monocytes [318]. A 5-HT<sub>3</sub> receptor knockout study reveals its role in mediating IL-6 production and intestinal mobility contributing to rotavirus-induced diarrhea [326].

Expression levels of 5-HT<sub>3</sub>A receptors are increased in asthmatic patients or those exposed to air pollution [128] suggesting a response to immune challenge. The activation of 5-HT<sub>3</sub> receptors forms part of the allergen-induced inflammation responses in mast cells within nodose ganglia [327]. Further evidence for a role for 5-HT<sub>3</sub> receptors comes from a study showing that tropisetron dose dependently inhibited lipopolysaccharide-induced TNF $\alpha$  and IL-1 $\beta$  secretion in human monocytes [121,124]. However, neither mRNA levels of these cytokines nor the transcriptional activity of TNF $\alpha$  promoter were affected by tropisetron in lipopolysaccharide-activated human peripheral monocytes. Instead, the proinflammatory cytokines are selectively inhibited at post-translational level, and the anti-inflammatory effects of tropisetron involve inhibition of p38 mitogen-associated protein kinase [328].

Several other immune related cells contain functional 5-HT<sub>3</sub> receptors. For instance, dolasetron was found to be the more effective than tropisetron or granisetron in reducing prostaglandins and IL-6 secreted by human primary chondrocytes induced by IL-1β [329]. Alveolar epithelial type II cells are regulators of immune function in the lung and contain several functional 5-HT receptors including 5-HT<sub>3</sub> receptors that stimulate release of IL-8 [330]. Ondansetron, palonosetron, and ramosetron blocked A549 human lung adenocarcinoma cell proliferation in a dose-dependent manner and promoted autophagy via the extracellular signal-regulated kinase pathway [331], indicating the anti-tumor potential of 5-HT<sub>3</sub> receptor antagonists. Similarly, dendritic cells also contain several 5-HT receptors including 5-HT<sub>3</sub> receptors that stimulate release of pro-inflammatory cytokines [332,333]. Interestingly, dendritic cells matured in the presence of 5-HT switch to secreting the anti-inflammatory cytokine, IL-10 [333]. Notably, IL-10 can reprogram macrophage metabolism by enhancing selective degradation of mitochondria via autophagy (mitophagy), thus preventing accumulation of dysfunctional and ROS-producing mitochondria, promoting macrophages with fewer dysfunctional mitochondria [334].

Macrophages will contribute to any inflammation present in functional digestive tract disorders where 5-HT<sub>3</sub> receptors are the target, in part because they are widely found in the enteric nervous system. Various studies have revealed that the microbiome alters with inflammatory bowel conditions [277,278,298] and this is probably associated with influx of host macrophages. Macrophages in the small intestine lamina propria express the greatest proportion of 5-HT<sub>3</sub> receptors [335,336] and peritoneal macrophages express 5-HT<sub>3</sub> receptors in mouse models [337]. A mouse model of post-operative ileus supports the use of 5-HT<sub>3</sub> receptor antagonists to reduce inflammation by preferentially targeting peritoneal macrophages expressing 5-HT<sub>3</sub> receptors [337]. 5-HT upregulates pro-inflammatory mediators (e.g., inducible nitric oxide synthase (iNOS), TNF $\alpha$ , IFN $\gamma$  and IL-17A)

via 5-HT<sub>3</sub> receptors as this was inhibited by ondansetron or ramosetron in a dextran sulfate sodium-induced colitis mouse model [335]. Post-inflammatory visceral hypersensitivity in male rats that had recovered from acetic acid-induced colitis was relieved by 5-HT<sub>3</sub> receptor antagonists, alosetron and granisetron [338]. Granisetron also alleviates colonic levels of cytokines and histological inflammatory appearance in acetic acid-induced colitis [339].

5-HT<sub>3</sub> receptor antagonists thus have the potential to suppress pro-inflammatory cytokine production and so reduce inflammation. No studies have investigated how the different subunits contribute to 5-HT<sub>3</sub> receptor function in immune cells. Microarray studies suggested that only very low levels of genes encoding 5-HT<sub>3</sub> receptor subunits were found in white blood cells [127] despite the several lines of evidence for receptor function discussed above. More recent RNAseq studies on human lymphoblastoid cell lines have revealed that the A, B and C subunit transcripts are all equally distributed [23,340]. This finding suggests that 5-HT<sub>3</sub> receptors containing A homomers, and/or AB or AC heteromers are likely to be present in white blood cells.

Rats with diabetes induced by streptozotocin and treated with tropisetron had decreased levels of oxidative stress and TNF $\alpha$  as well as reduced urinary cytokine secretion [288] and showed renoprotective effects in early-stage diabetic nephropathy by blocking calcineurin/nuclear factor of activated T-cell pathway [322]. This pathway was inhibited by tropisetron to halt the antigen-induced proliferation of human peripheral T cells and the generation of IL-2 [323]. In a recent cohort study, ondansetron usage was associated with reduced risk-adjusted in-hospital mortality in critically ill patients suffering from acute kidney injury [341]. Anti-inflammatory effects of 5-HT<sub>3</sub> receptor antagonists underscore the potential of 5-HT<sub>3</sub> receptors as immunomodulatory targets in autoimmune diseases, including multiple sclerosis and rheumatoid arthritis [310].

#### 8. Conclusions

Although 5-HT<sub>3</sub> receptors play roles in the regulation of metabolism and inflammation, further studies are required to elucidate the complex interplay of the large number of functional 5-HT3 receptors, their contribution to energy homeostasis, and their detailed biochemical and pharmacological properties. Despite recent advances in the field, the comprehension of 5-HT<sub>3</sub> receptor physiological functions is hindered due to complex expression patterns, numerous isoforms, and subunit types. This is further complicated by difficulties in studying different types of heteromers, whose assembly, composition, stoichiometry and expression in diverse cell types and tissues appears to have significant physiological implications but is poorly understood. The function of most available drugs has been studied using 5-HT<sub>3</sub>A homomeric receptors and, to a lesser extent, the AB heteromer. However, rare genetic variations in genes encoding other 5-HT<sub>3</sub> receptor subunits also contribute to a number of disorders (Table 1). Therefore, a thorough characterization of heteromeric receptors and determination of their pharmacology is required, as subunit arrangements influence ligand binding kinetics and the subsequent physiological function of the receptor. Further challenges are imposed by the fact that rodents lack the 5-HT<sub>3</sub> receptor C, D and E subunits, complicating the practicality of in vivo genetic studies. Finally, although most attention has been focused on 5-HT3 receptors present at the cell surface, the receptors are also distributed on intracellular organelles. However, the roles and subunit composition of organelle-located 5-HT<sub>3</sub> receptors are still to be investigated. Interestingly, 5-HT<sub>3</sub> receptors are present in mitochondrial membranes, where they augment calcium ion uptake in hypoxia and increase the respiration control ratio in mice [342]. Furthermore, emerging studies revealed that blood in the normal physiological state contains whole functional cell-free mitochondria [343,344]. This observation may have profound implications for the field of inflammation and clinical applications [343], potentially involving signaling via 5-HT<sub>3</sub> receptors present in these mitochondrial membranes.

In conclusion, emerging studies suggest there is much potential for therapeutic intervention in areas beyond those for which 5-HT<sub>3</sub> receptors are currently used. Collectively, genetic animal models combined with the identification of genetic variants and the increasing availability of human genotyping will be of benefit in gaining insight into metabolic signaling. Targeting 5-HT<sub>3</sub> receptors may form a new prospect for the personalized treatment of immune and metabolic diseases.

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