



1 *Review*

## 2 **Biological factors, metals and biomaterials regulating** 3 **osteogenesis through autophagy**

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11 **Abstract:** Autophagy is a well conserved lysosomal degradation pathway, which is known to be  
12 highly active during differentiation and development. Bone loss raises great concern in numerous  
13 situations, such as ageing and many diseases and in both orthopaedic and dentistry fields of  
14 application, with an extensive impact on health care. Therefore, it is crucial the comprehension of  
15 the mechanisms and the determinants that can regulate osteogenesis and ensure bone balance. This  
16 review provides a revision of the literature on all the exogen factors that can modulate osteogenesis  
17 through autophagy regulation. Metal exposition, mechanical stimuli and biological factors,  
18 including hormones, nutrients and metabolic conditions, were taken into consideration for their  
19 ability to tune osteogenic differentiation through autophagy. In addition, an exhaustive overview  
20 of biomaterials, both for orthopaedic and dentistry applications, enhancing osteogenesis by  
21 modulation of the autophagic process is provided as well. The in-depth knowledge of the conditions  
22 already investigated for their ability to regulate bone regeneration through the modulation of  
23 autophagy, will offer the opportunity to finely tailor innovative therapeutic treatments and to  
24 design novel biomaterials.

25 **Keywords:** autophagy; osteogenesis; bone regeneration; osteoclastogenesis; biomaterial; osteoclast;  
26 oxidative stress; aging; cell survival; osteoblast.  
27

### 28 **1. Introduction**

29 Autophagy is a complex dynamic process of recycling of non-essential or damaged organelles and  
30 proteins for nutrients and/or energy generation. Initially believed a mere way of transporting  
31 intracellular components to lysosomes, it is now known for playing an important role in maintaining  
32 cell homeostasis and survival under stressful conditions. Three types of autophagy with distinct  
33 regulatory mechanisms have been described: chaperone-mediated autophagy, microautophagy, and  
34 macroautophagy. Among the three types, macroautophagy is the most extensively studied because  
35 it is the most involved in cell biology, physiology and disease.

36 Macroautophagy, henceforth referred to as “autophagy”, mainly involves the sequestration of  
37 cytoplasmic contents in a double-walled membrane followed by the fusion with the lysosomes. The  
38 lysosomal enzymes facilitate the degradation of the sequestered products. Autophagy is regulated  
39 by a group of evolutionarily conserved genes named Atg (autophagy related genes). The Atg genes  
40 have diverse functions, including the coordination of intracellular communication with all kinds of  
41 signaling pathways, even non-autophagic ones [1]. Autophagy has been shown to be essential for the  
42 maintenance of long-lived cells, such as neurons, cardiomyocytes and osteocytes [2].

43 Osteocytes are the most abundant cell type in bone. They originate from osteoblasts that have  
44 undergone terminal differentiation during bone formation and subsequently have been engulfed by  
45 the extracellular matrix. Osteoblasts develop from pluripotent mesenchymal stem cells and are  
46 responsible of the formation of new bone, a process called osteogenesis. They produce bone by  
47 synthesis and secretion of type I collagen and aid the mineralization of the bone matrix.  
48 Hydroxyapatite (HA) constitutes most of the inorganic component of bone tissue. A third type of  
49 bone cells are osteoclasts, large multinucleated cells capable of bone resorbing. Bone health and  
50 homeostasis are the result of a delicate balance between the activity of osteoblasts and osteoclasts [3].

51 The bone loss is a common side effect in many physiological and pathological conditions,  
52 including ageing, exposure to chemicals and various diseases, such as osteoporosis. In addition, the  
53 reconstruction of large bone defects represents an extraordinary challenge both in orthopaedics and  
54 dentistry. Biomaterial design and manufacturing requires a balanced combination of biochemical,  
55 biophysical, and material science concepts to make them biocompatible [4]. Interestingly, the  
56 previous definition of biocompatibility, as the lack of toxic or injurious effects on biological systems,  
57 has been recently replaced by a more complex idea. The notion of biocompatibility is currently  
58 intertwined with that of bioactivity, meaning the ability of a biomaterial to generate the most  
59 appropriate beneficial cellular or tissue response in a specific situation [5]. One of the strategies used  
60 to achieve this goal is the functionalization of the biomaterial by linking to its surface molecules able  
61 to modulate the oxidative stress and inflammation that may occur [6,7], promoting, at the same time,  
62 cell proliferation, migration and differentiation.

63 In the field of the biomaterials the disruption of the autophagic pathway is mainly seen as a  
64 preferential target for nanoparticle-induced cytotoxicity in various tumor models [8-10]. However,  
65 autophagy activation has been found to be a key player in the cellular response against nano-toxicity,  
66 in non-cancerous cells [11,12]. In this review biomaterials designed for bone regeneration are  
67 discussed for their ability to tune bone osteogenesis by regulation of the autophagic process.

68 Autophagy is, indeed, highly involved in the metabolism of bone tissue. Multiple components  
69 of the autophagic pathway contribute to mediating the survival and functioning of the cells of the  
70 bone tissue, namely osteoblasts, osteocytes, and osteoclasts [13,14]. Increasing evidence suggests that  
71 an appropriate level of autophagy is associated with the survival of bone cells in many adverse  
72 conditions. Moreover, the autophagic process contributes to preosteoblast differentiation, osteoblast-  
73 osteocyte transition, and the genesis and functioning of osteoclasts [15].

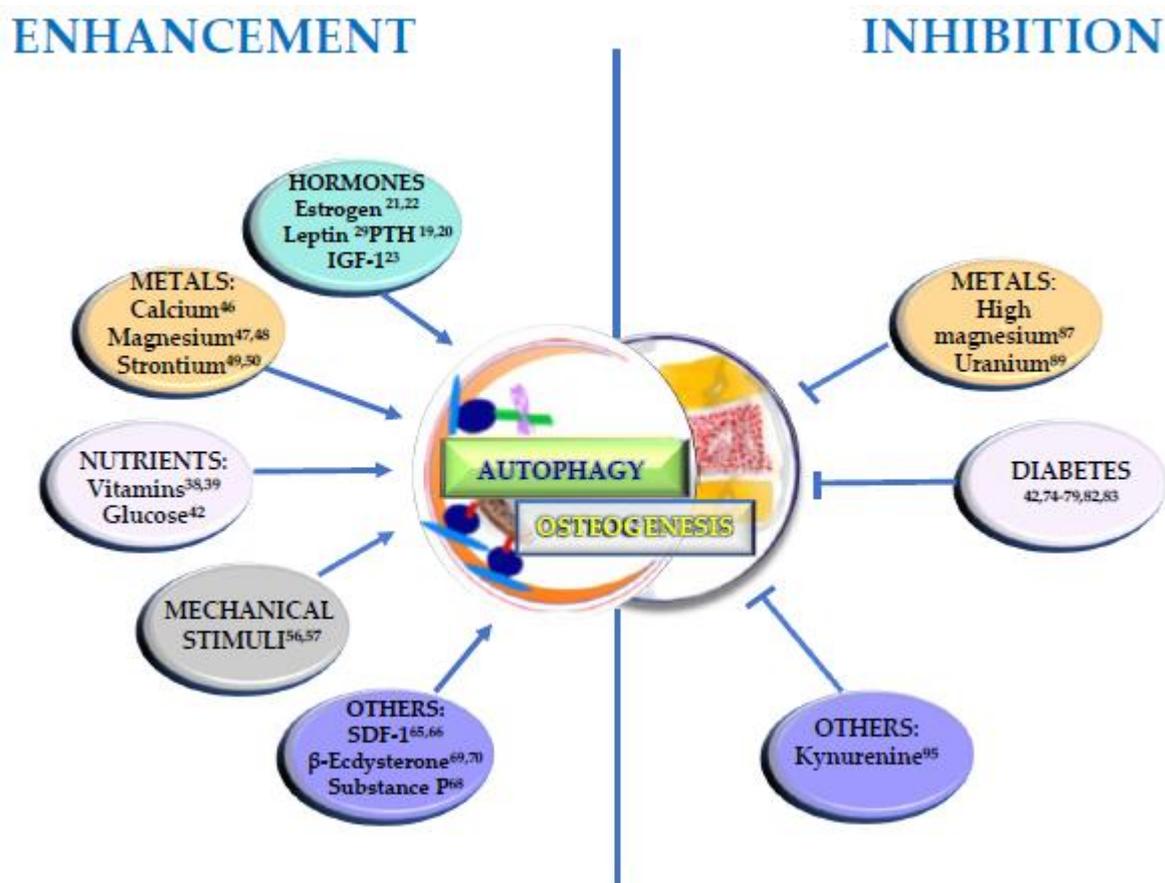
74 It is not surprising, therefore, that the research has long been focused on the role of autophagy  
75 in the homeostasis of the bone tissue, and, indeed, the mechanisms at the basis of both osteogenesis  
76 and autophagy have been extensively recently reviewed elsewhere [16,17].

77 Consequently, the authors decided to focus on the different conditions that can affect  
78 osteogenesis by modulating autophagy in various experimental models. This review is therefore  
79 focused on the role of autophagy in the regulation of mechanical, chemical and biomaterial-related  
80 osteogenesis. Hence, the interest to investigate the effect of different stimuli on both processes, aiming  
81 to find potential therapeutic alternative for many pathological conditions related to bone  
82 homeostasis. First, factors promoting and inhibiting osteogenesis through autophagy modulation are  
83 described. Dietary factors, mechanical stimuli and metal exposition are described among the  
84 conditions upregulating osteogenesis, whereas a focus on the stimuli inhibiting osteogenesis and  
85 related inflammation and oxidative stress is provided as well. A brief overview of the factors  
86 influencing osteoclastogenesis occurrence by autophagy modulation follows. Finally, a section  
87 describing the importance of autophagy in bone regeneration driven by various biomaterials for  
88 application in both orthopedics and dentistry, precedes the conclusion.

## 89 2. Osteogenesis enhancement

90 Osteogenesis occurs during the entire life of individuals participating to both bone modeling, i.  
91 e. the formation and shaping of bone, and remodeling, i. e. the replacement or renewal of old bone.

92 It is also involved in bone healing following a fracture. Bone contains a forth cellular type,  
 93 undifferentiated cells, that can be recruited to form osteoprogenitor cells and develop into  
 94 osteoblasts. Osteoblasts produce an organic matrix, called osteoid, whose deposition is followed by  
 95 its mineralization. Osteogenesis is therefore a complex multi-step process which is finely regulated  
 96 by many molecules and conditions. In this paragraph the factors that can positively regulate  
 97 osteogenesis, through the involvement of autophagy, are taken into consideration and summarized  
 98 in figure 1 (left).



99

100 **Figure 1:** Stimuli that enhanced osteogenesis through stimulation of autophagy (left) and conditions that  
 101 negatively regulate osteogenesis by inhibiting autophagy (right). The superscript numbers are referred to the  
 102 references.

### 103 2.1. Hormones

104 Many systemic and local hormones can influence bone growth and remodeling. Indeed, bone  
 105 homeostasis is related to the correct functioning of a number of systemic or circulating hormones that  
 106 respond to changes in blood calcium and phosphorus concentrations [18]. Three calcium-regulating  
 107 hormones play an important role in producing healthy bone: 1) parathyroid hormone or PTH, which  
 108 stimulates both resorption and formation of bone and maintains the blood level of calcium, 2)  
 109 calcitriol, derived from vitamin D, stimulates the intestines to absorb enough calcium and  
 110 phosphorus and also affects bone directly, and 3) calcitonin, which inhibits osteoclast activity and  
 111 reduce the levels of calcium in the blood. Previous studies have shown that PTH can promote  
 112 autophagy in osteoblasts and chondrocytes and can also alleviate osteoarthritis by activating  
 113 autophagy in articular chondrocytes [19]. In addition, in an osteocyte cell line, PTH upregulated the

114 expression of the two autophagic markers LC3-II and Beclin-1, and decreased the level of Caspase-3,  
115 a hallmark of the apoptotic process [20]

116 There are no studies on autophagy mediating the effects of calcitonin or calcitriol. The latter,  
117 however, is in same way treated in paragraph 2.2, where its precursor, vitamin D, is discussed.

118 Sex hormones are also extremely important in regulating the growth of the skeleton and  
119 maintaining the mass and strength of bone, both in men and women. The female hormones estrogens  
120 have long been known to be positive regulators of bone homeostasis, enhancing osteocyte viability  
121 and promoting bone formation. Their sudden decrease is the main cause of osteoporosis in post-  
122 menopausal women. A recent study clarifies the mechanism of action of estrogen in differentiating  
123 human osteoblasts and their precursors, the mesenchymal stem cells (MSCs). Estrogen reduced  
124 apoptosis by promoting autophagy, thus contributing to osteoblast longer lifespan and  
125 mineralization capacity, via upregulation of RAB3GAP1, a complex that regulates the GTPases [21].  
126 Florencio et al. suggested that estrogen maintains osteocytes viability, whereas its deficiency induces  
127 osteocytes apoptosis. The anti-apoptotic effect of estrogen on osteocyte may be related to autophagy  
128 regulation [22].

129 Growth hormone from the pituitary gland is also an important regulator of skeletal growth. It  
130 acts by stimulating the production of another hormone called insulin-like growth factor-1 (IGF-1),  
131 which can be produced also by bone tissue. IGF-1 binding to its binding protein 2 (IGFBP-2)  
132 stimulated osteoblast differentiation through induction of AMP-activated protein kinase (AMPK), a  
133 key sensor of cellular energy status. AMP-regulated osteoblast differentiation was finely tuned in  
134 time and is linked to the autophagic process. Early induction of AMPK in response to IGF-I/IGFBP-2  
135 followed by suppression was required for osteoblast differentiation. Inhibition of AMPK influenced  
136 three autophagic markers: the ULK-1 phosphorylation as well as beclin-1 and microtubule-associated  
137 protein 1A/1B light-chain phosphatidylethanolamine conjugate (LC3II) induction. Direct inhibition  
138 of autophagy inhibited differentiation [23]. The ULK1 serine threonine kinase complex (involving  
139 also FIP200) plays a major role in autophagy initiation, whereas Beclin 1 and class III  
140 phosphatidylinositol 3-kinase (PI3KC3) complexes generate phosphatidylinositol 3-phosphate (PI3P)  
141 to act in autophagosome nucleation.

142 Cortisol, one of the hormones produced by the adrenal gland, has complex effects on the  
143 skeleton [24]. Small amounts are necessary for normal bone development, but large amounts block  
144 bone growth. Synthetic forms of cortisol, called glucocorticoids, are used as therapeutic treatment in  
145 many diseases. One of their main side-effects is osteoporosis, resulting from their ability to activate  
146 osteoclasts. Consequently, they will be treated in a following section (par. 4.2).

147 Thyroid hormones increase the energy production of all body cells, including bone cells. They  
148 increase the rates of both bone formation and resorption but there is no evidence their effects on bone  
149 tissue are achieved through the autophagic pathway.

150 Another circulating hormone important for bone growth is insulin, to the point that the response  
151 to other factors that stimulate bone growth is impaired in individuals with insulin deficiency [25,26].  
152 Being the latter a condition that is a keystone in diabetic patients, it will be treated in the following  
153 section, in the paragraph "Diabetes" (3.1).

154 Finally, leptin, a hormone from fat cells, has also been shown to have effects on bone [27,28], and  
155 indeed was found able to protect mesenchymal stem cells from apoptosis by inducing autophagy. In  
156 addition to AMPK, the serine/threonine kinase mTOR (mechanistic target of rapamycin), a master  
157 regulator of the canonical autophagic response of cells to nutrient starvation, appears to be involved  
158 [29].

## 159 2.2. Dietary nutrients

160 The positive effects of dietary nutrients are largely correlated with autophagy in cancer,  
161 neurodegeneration and many other pathological conditions [30,31]. Vitamins in particular are  
162 regulatory of autophagy in various situations, ranging from ocular disease to cancer to disorders of  
163 the digestive systems [32-36].

164 Among the others, Vitamin D is involved not only in immune responses, anti-inflammation,  
165 anti-infection, and cancer prevention, but mainly in mineral and bone homeostasis [37]. Its active  
166 form, 1 $\alpha$ ,25-(OH) $_2$ D $_3$  (Vitamin D $_3$ ) proved to have a dual effect on osteoclastogenesis by regulating  
167 autophagy, suggesting that some drugs targeting autophagy may act as an effective supplement of  
168 1 $\alpha$ ,25-(OH) $_2$ D $_3$  in treating osteoporosis [38]. Vitamin K $_2$  as well exerted a protective effects during  
169 osteoporosis by promoting osteoblast differentiation and mineralization and it has been recently  
170 demonstrated to stimulate autophagy in doing so, confirming this process as a potential therapeutic  
171 target [39].

172 A positive effect on osteogenesis can be achieved also by negatively regulating  
173 osteoclastogenesis. Indeed puerarin, a phytoestrogen extracted from *Pueraria lobata*, exerted its  
174 significant bone-protective effect by inhibiting the osteoclast precursor (OCPs) autophagy.  
175 Depending on the the absence or presence of RANKL, puerarin reduced OCP proliferation or  
176 differentiation, respectively. Therefore, an autophagic mechanism underlies the well known  
177 therapeutic properties of Puerarin in treating osteoporosis [40].

178 Glucose is a nutrient whose metabolism is closely associated to bone tissue homeostasis.  
179 Osteocalcin (OCN), a proteic hormone specifically expressed in osteoblasts and released into the  
180 circulation, may regulate glucose homeostasis, but, more importantly, high concentration of glucose  
181 can cause bone fragility [41]. Indeed, osteoporosis is a major complication for Diabetes Mellitus (DM)  
182 and the interlink among bone impairment, high glucose concentration and autophagy is discussed in  
183 paragraph 3.1. Advanced glycation end products (AGEs) are proteins or lipids that become glycated  
184 as a result of exposure to sugars. They are a bio-marker implicated in aging and the development of  
185 many degenerative diseases, including diabetes. AGEs and their receptor RAGE are usually  
186 associated with the development and progression of diabetes-associated osteoporosis, as well.  
187 Anyway, Meng and collaborators [42] found that AGE-modified bovine serum albumin (AGE-BSA)  
188 induced a biphasic effect on the viability and function of hFOB1.19 osteoblastic cells. Low doses (150  
189 mg/L) and short exposure (up to 48 h) of AGE-BSA, increased cell proliferation and osteogenic  
190 markers expression, namely the soluble glycoprotein osteoprotegerin (OPG), the enzyme alkaline  
191 phosphatase (ALP) and OCN. The stimulation of both cell viability and osteogenic function were  
192 regulated by the Raf/MEK/ERK signal pathway and related to autophagy.

## 193 2.3. Metals

194 Metals represent another category of substances that can profoundly affect osteogenesis [43].  
195 They are indeed largely employed in regenerative medicine, and their use in biomaterials is reviewed  
196 in the fifth paragraph. Here we will discuss the positive effect of metals ions and autophagy on bone  
197 homeostasis.

198 Calcium is the most abundant metal of the human body where it provides skeletal strength and  
199 serves as a reservoir for maintaining blood calcium levels in a physiological range [44]. As  
200 electrolytes, calcium ions play a vital role in the physiological and biochemical processes of organisms  
201 and cells. As a second messenger, Ca $^{2+}$  is able to activate or inactivate various regulatory proteins  
202 such as enzymes, transcriptional factors, or molecular chaperones. Calcium ions outside cells are  
203 important for maintaining the potential difference across excitable cell membranes, protein synthesis,  
204 and bone formation.

205 Calcium has been implicated in autophagic signalling pathways encompassing both mTOR and  
206 AMPK. Numerous studies have shown that cytosolic Ca $^{2+}$  signals can trigger autophagy. Moreover,  
207 there is evidence that buffering Ca $^{2+}$  affects not only the triggering of autophagy, but also proximal

208 and distal steps during autophagic flux. However,  $\text{Ca}^{2+}$  plays an essential role not only as a pro-  
209 autophagic signal, but can exert anti-autophagic actions too. For example, the sequestration of  $\text{Ca}^{2+}$   
210 by mitochondria during physiological signalling appeared necessary to maintain cellular bio-  
211 energetics, thereby suppressing AMPK-dependent autophagy [45].

212 Calcium and inorganic phosphorus (present in biological systems as phosphate) are the ionic  
213 components required for hydroxyapatite formation during the mineralization of the extracellular  
214 matrix in bone tissue. The autophagic process has been demonstrated to be induced in osteoblasts  
215 during mineralization both *in vitro* and *in vivo*. The knockdown of autophagy-essential genes and  
216 osteoblast-specific autophagy-deficient mice demonstrated that autophagy deficiency reduces  
217 mineralization capacity. Moreover, it was suggested that autophagic vacuoles could be used as  
218 vehicles in osteoblasts to secrete apatite crystals [46].

219 Magnesium is the fourth most abundant metal ion in the body mostly stored in the skeleton and  
220 a natural agonist of calcium. It therefore plays a crucial role in bone metabolism and in the regulation  
221 of bone cells. Two recent papers demonstrated the upregulation of two of its transporters during the  
222 osteogenic differentiation. Silencing either one accelerated osteogenic differentiation, partly through  
223 the activation of autophagy, underpinning the contribution of magnesium to autophagy and  
224 osteoblastogenesis [47,48]. It is worth noting that these two studies investigated the modulation of  
225 magnesium transporter during physiological osteogenesis. Exposure to level of the same metal above  
226 the physiological value, results in an inhibition of the osteogenic process and it is discussed in  
227 paragraph 3.2. Strontium (Sr) is an alkaline earth metal, which is already known for improving bone  
228 formation and suppressing bone resorption, resulting in increased bone apposition rates and bone  
229 mineral density [49]. In a recent article, the mechanisms underlying such effects were clarified. Cheng  
230 and collaborators [50] demonstrated that osteogenic differentiation induced by Sr was attenuated  
231 when the cell autophagy was inhibited. This finding suggests that autophagic events in the  
232 osteoblastic cell line MC3T3-E1 are essential in terms of Sr-induced osteogenic differentiation process.  
233 Elemental metal nanoparticles like cadmium and silver are known to cause oxidative stress and to be  
234 highly toxic [51] and indeed they will be treated in the next section. Yet the exposure of human  
235 periodontal ligament progenitor cells (PDLPs) to gold nanoparticles (AuNPs) induced upregulation  
236 of antioxidants, stress response genes and autophagy as a cellular defence mechanism against  
237 oxidative stress toxicity [52].

#### 238 2.4. Mechanical stimuli

239 The study of the influence of mechanical stimuli on the structure of bone has long been a topic  
240 of scientific interest. Osteocytes have been defined as mechanosensory cells within the bone [53].  
241 Osteocytes coordinate the remodeling process by the conversion of external mechanical forces into  
242 biochemical responses: a process called mechanotransduction [54]. During mechanotransduction,  
243 osteocytes acts like sensory cells within the bone, and their response is mediated by strain-derived  
244 fluid flow shear stress through the lacuno-canalicular network. Osteocytes will respond to this  
245 mechanical stimuli by opening ion channels and increasing the levels of intracellular  $\text{Ca}^{2+}$  and protein  
246 Kinase C, which consequently stimulate the release of potent anabolic regulators of bone growth,  
247 such as NO and PGE2 [55] Interestingly, mechanical stimuli in bone tissue can regulate autophagy .  
248 Mechanical stretching, known to be able to promote the differentiation of BMSCs to osteoblasts, was  
249 found related to autophagy. Its activation ameliorated hindlimb unloading-induced bone loss, by  
250 promoting osteoblast differentiation and consistent bone formation in a murine model [56]. The role  
251 of physical exercise in inducing osteogenic differentiation was confirmed by another study that found  
252 the modulation of osteogenic gene expression during physical activity. The expression of most  
253 osteogenesis-related genes, namely, RUNX2, MSX1, and SPP1, appeared upregulated after running.  
254 RUNX2 (runt-related transcription factor 2), the master regulator of osteogenesis, acts early to commit  
255 mesenchymal stem cells to the osteochondral lineages and then induces the expression of collagen  
256 type I alpha 1 chain (COL1A1), which is crucial for the osteogenic phenotype. The genes belonging  
257 to the MSX (Msh homeobox) family are abundantly expressed at sites of inductive cell-cell interactions  
258 in the embryo, suggesting that they have a pivotal role during early development. SSP1 is the gene

259 encoding for the protein osteopontin (OPN), also known as bone sialoprotein (BSP), a protein  
260 synthesized by bone cells to modulate matrix mineralization. Moreover, a positive correlation  
261 between ATG3 and ULK1 gene expression and SOX9, encoding a protein involved in chondrocyte  
262 differentiation, and RUNX2 gene expression in circulating progenitors were observed following  
263 physical exercise. Therefore it could be assumed that the increased expression of chondrogenic and  
264 osteogenic genes is due to enhanced autophagy [57].

#### 265 2.5. Direct and indirect proof

266 A direct link between autophagy and osteogenesis is represented by the use of the autophagy  
267 activator rapamycin in two different models. In the first, aging bone marrow mesenchymal stem cells  
268 exhibited degenerative changes, including imbalanced differentiation and reduced proliferation  
269 during aging, that contributed to age-related bone loss. Rapamycin could restore the biological  
270 properties of aged BMSCs by increasing osteogenic differentiation and proliferation capacity and  
271 decreasing adipogenic differentiation [58]. However, the supplementation of the diet with rapamycin  
272 offered no benefit in a model of osteogenesis imperfecta [59]. On the other hand, another strong  
273 correlation between autophagy and osteogenesis came from the demonstration that BMP-2-induced  
274 osteoblastic differentiation depends on the induction of the autophagic related gene Atg7, an essential  
275 regulator of autophagosome assembly [60]. In addition, another paper [61] reported that mice lacking  
276 the same autophagy related gene Atg7, had impairment in skeletal homeostasis. They had low bone  
277 mass and fractures associated with reduced numbers of osteoclasts and osteoblasts. Atg7 silencing  
278 suppressed autophagy, reduced the amount of osteocyte cellular projections and led to retention of  
279 endoplasmic reticulum and mitochondria in osteocytes.

280 The relevance of autophagy in bone regeneration was found also in an *in vivo* model of rabbits  
281 treated with implantation of tissue-engineered bone and injection of different concentrations of  
282 angiopoietin 2 in the bone defect site [62]. The growth factor promoted neovascularization in tissue-  
283 engineered bone and the repair of bone defects in a dose-dependent manner, which involved  
284 induction of autophagy. In this case the impact on osteogenesis was indirect, being the effect exerted  
285 on angiogenesis, that, in turn, favours bone regeneration. However these findings highlighted the  
286 importance of autophagy in the complex multi-step process of bone formation.

287 Another indirect proof of the significance of autophagy in osteogenesis could be found in an *in*  
288 *vitro* model of fibroblasts from osteogenesis imperfecta (OI) recessive patients exposed to 4-  
289 phenylbutyrate (4-PBA). 4-PBA, a well-known chemical chaperone, FDA-approved as an ammonia  
290 scavenger for urea cycle disorders, alleviated cellular stress by restoring ER cisternae size,  
291 normalizing the expression of apoptotic markers and stimulating autophagy [63].

#### 292 2.6. Others

293 The stromal cell-derived factor-1 (SDF-1), also known as C-X-C motif chemokine 12 (CXCL12),  
294 is a cytokine protein ubiquitously expressed in many tissues and cell types and that is important in  
295 stem and progenitor cell recruitment in tissue repair after injury. It was found able to increase and  
296 accelerate bone formation both *in vitro* and *in vivo* [64]. Interestingly a direct interaction of the SDF-  
297 1/CXCR4 signaling axis, and specifically the SDF-1 $\beta$  isoform, with autophagy in proliferation and  
298 survival of bone marrow stem cells was demonstrated [65]. Moreover, SDF-1 $\alpha$ -loaded silk fibroin  
299 scaffolds induced matrix-formation and new dentin deposition accompanied by autophagy in dental  
300 pulp stem cells (DPSCs) [66].

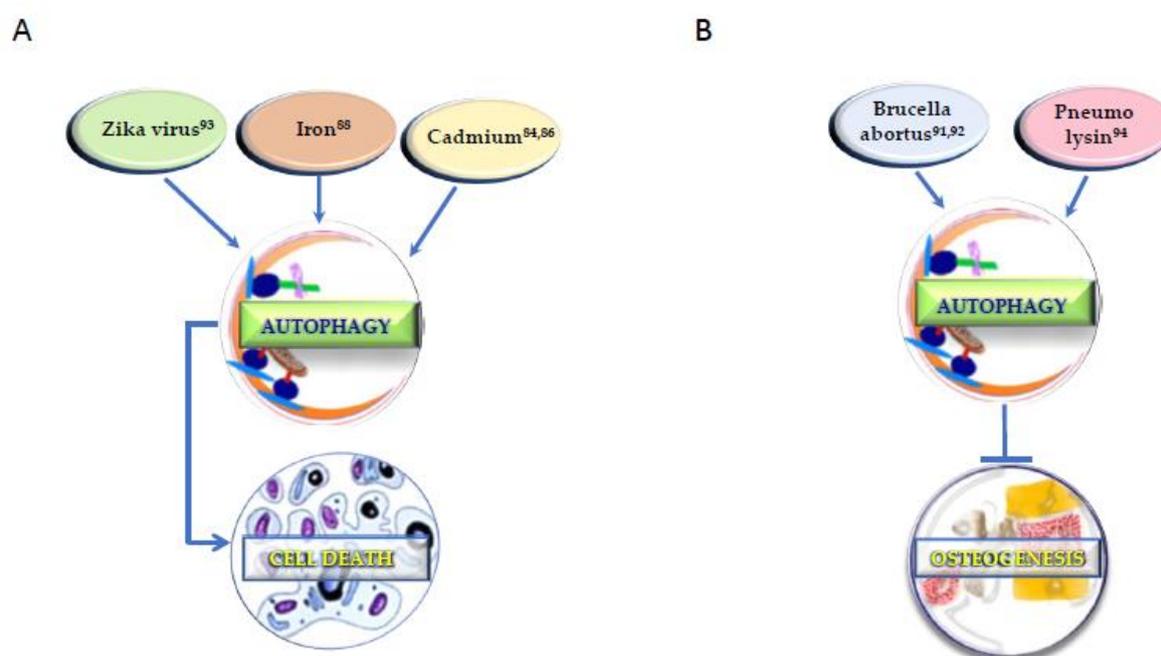
301 Substance P (SP), released predominantly by the peripheral terminal, is a conserved  
302 undecapeptide and a member of the tachykinin peptide family that acts as a sensory neurotransmitter  
303 and neuromodulator. Similar to growth factors, increasing studies have demonstrated that  
304 neuropeptides are critical for maintaining tissue homeostasis and SP has been demonstrated to have  
305 an osteogenic effect on BMSCs [67]. A recent study [68] indicated that SP could promote osteogenic  
306 differentiation by activating autophagy in the same cell type. In parallel, autophagic activity played  
307 an important role in restricting the excessive reactive oxygen species (ROS) generation and in  
308 mediating SP-enhanced BMSC osteogenic differentiation.

309  $\beta$ -Ecdysterone is a naturally-occurring estrogen analog derived from *Achyranthes bidentata* and  
 310 *Cyanotis arachnoidea*. Multiple uses have been reported for this molecule, including similar protective  
 311 effects to estrogen, which is the primary therapeutic strategy for the treatment of osteoporosis.

312 BMSCs induced to osteoblastic differentiation were treated with dexametazone to study  
 313 glucocorticoid-induced osteoporosis. The osteogenic markers ALP, RUNX2 and OCN were  
 314 decreased, along with the expression levels of the autophagic regulators Beclin-1, autophagy protein  
 315 5 and microtubule-associated protein 1 light chain 3 II. The effects on cell differentiation and  
 316 autophagy induced by dexamethasone were reversed by  $\beta$ -ecdysterone in a dose-dependent manner  
 317 [69]. Similar results were obtained *in vivo*: in a murine model of osteoporosis,  $\beta$ -ecdysterone was able  
 318 to inhibit apoptosis through the induction of the autophagic process [70].

### 319 3. Osteogenesis inhibition

320 In order to have an exhaustive comprehension of the factors that can regulate osteogenesis  
 321 through autophagy, it is crucial to take into consideration also the conditions that show a negative  
 322 regulation of osteogenesis through autophagy. If the positive regulation of osteogenesis is generally  
 323 linked to autophagy stimulation, in osteogenesis inhibition the mechanism may vary. In figure 1  
 324 (right) the substances that inhibit both osteogenesis and the autophagic process are reported, while  
 325 in figure 2 other two different strategies of action of the determinants presented in this paragraph are  
 326 described. In figure 2A, the conditions upregulating autophagy and leading to cell death are  
 327 summarized, whereas in figure 2B the agents leading to osteogenesis inhibition by autophagy  
 328 enhancement are represented.



329

330 **Figure 2:** Conditions affecting osteogenesis by inducing cell death through upregulation of autophagy (A) and  
 331 factors impairing osteogenesis by stimulation of the autophagic process. The superscript numbers are referred  
 332 to the references.

#### 333 3.1. Diabetes

334 In addition to other well known complications, type 2 diabetic patients also have fragile bones  
 335 caused by faulty mineralization, mainly due to increased adiposity among diabetic patients that  
 336 affects both osteoblast and osteoclast functions. Other factors that increase fracture risk in diabetic  
 337 patients are augmented oxidative stress, inflammation, and drugs administered to treat the diabetes  
 338 [71]. Long-standing diabetes causes disruption of the bone marrow microenvironment by depleting

339 and altering stem/progenitor cells resulting in enhanced adipogenesis and depressed osteogenesis  
340 [72,73]. On the basis of the results from a streptozotocin-induced diabetic rat model, bone marrow  
341 stromal cells were grown in a hyperglycemic medium. They underwent an autophagy mechanism,  
342 and diverted from an osteogenic to a metabolically stressed adipogenic phenotype with production  
343 of a monocyte-adhesive hyaluronan matrix. The latter could be the mechanism involved in the  
344 osteopenic response of streptozotocin-treated diabetic rats [472]. Another study found that BMSCs  
345 from type 2 diabetes mellitus patients (DM-BMSCs) showed decreased osteogenic differentiation and  
346 autophagy level, and increased senescent phenotype. The same type of cells from healthy donors  
347 exposed to hyperglycemic and hyperinsulinemic conditions showed phenotypes similar to those of  
348 DM-BMSCs. In summary, insulin impeded osteogenesis of BMSCs by inhibiting autophagy and  
349 promoting premature senescence, with the involvement of the TGF- $\beta$ 1 pathway, notoriously related  
350 to cell differentiation [75]. Consistent with these findings, the early induction of AMPK in response  
351 to IGF-1/IGFBP-2, by activating autophagy, is required for osteoblast differentiation as already  
352 suggested by another research group [76]. Insulin-like growth factor I is a potent stimulant of  
353 osteoblast proliferation and recent studies showed that a member of the insulin-like growth factor  
354 binding protein family, IGFBP-2, was also required for optimal IGF-I-stimulated osteoblast  
355 proliferation and differentiation [77]. These findings suggested that these early catabolic changes  
356 were important for determining the energy source for osteoblast respiration. Down-regulation of  
357 these components could be required for induction of glycolysis, which is required during the final  
358 anabolic stages of differentiation [78].

359 Advanced glycation end products (AGEs) are a group of heterogeneous compounds that  
360 accumulate in the bone tissue of diabetic patients. In a study, AGEs increased apoptosis in the  
361 osteoblastic cell line MC3T3-E1. At the same time, the autophagy was upregulated as represented by  
362 an increase in the total LC3 level and the LC3II/LC3I ratio, and a decrease in the expression of  
363 p62/SQSTM1, a biomarker of the degradation of autolysosomes with its expression negatively  
364 correlated with autophagy level. The further induction of autophagy by administration of rapamycin  
365 attenuated AGE-induced apoptosis. Interestingly, blunting the oxidative stress with the antioxidant  
366 N-acetylcysteine, suppressed autophagy. Autophagy hence played a protective role in MC3T3-E1  
367 cells during AGEs-induced apoptosis, and ROS were essential in upregulating AGEs-induced  
368 autophagy [79]. AGEs were already reported to trigger osteogenesis through autophagy at low  
369 concentrations (see par 2.2). However, in the same paper [42], it was demonstrated that increasing  
370 AGE concentration (200 mg/mL) and exposure time (72 h) resulted in decreased cell proliferation and  
371 osteogenic functions in hFOB1.19 cells.

372 Since many studies have shown that a high glucose environment can impede PDLSC  
373 proliferation and differentiation ability and affect the regeneration of periodontal tissue [80,81], in  
374 another model of diabetic rat the role of autophagy in this process was investigated. Fluctuations in  
375 many autophagy and osteogenesis related markers implied that autophagy was involved in the  
376 osteogenic process and that high glucose weakened physiological functions in PDLSCs, including  
377 osteogenesis and autophagy. Remarkably, regulation of autophagy could partly recover the cells'  
378 osteogenic abilities both *in vitro* and *in vivo* [82].

379 To complete the picture, it is crucial to mention a study about the effect of melatonin on type 2  
380 diabetes osteoporosis. This hormone, also employed as pharmaceutical treatment, could suppress  
381 autophagy, enhance bone microstructure and promote osteoblast osteogenesis, by downregulating  
382 the ERK pathway in type 2 diabetic osteoporosis and in hFOB 1.19 osteoblasts treated with high  
383 glucose [83].

### 384 3.2. Metals

385 As already mentioned above, metals as cadmium can be toxic for cells involved in osteogenesis.  
386 To this regard, two papers from the same group are consistent in demonstrating autophagy induction  
387 following cadmium exposure in mouse bone marrow mesenchymal stem cells. The first [84] found  
388 that Cd increased both mRNA and protein expression of FOXO3a, a member of the forkhead-box  
389 (Fox) family of transcription factors, which plays an evolutionarily conserved role in cell proliferation

390 and survival in a variety of tissues. In addition AMPK was demonstrated to enhance FOXO3a nuclear  
391 translocation and transcriptional activity. These results demonstrated that overactivated autophagy  
392 may be the primary contributing factor underlying Cd-induced MSC death. However, since the *Foxo3*  
393 knockdown could not completely prevent Cd-induced autophagy, in a more recent paper other  
394 pathways were investigated [85]. TFE3 (transcription factor E3) is a member of the basic helix-loop-  
395 helix leucine zipper family of transcription factors, and has recently been identified as a master  
396 regulator of the expression of genes that are associated with autophagy and lysosomal biogenesis  
397 [86]. TFE3 was found to play a role in Cd induced autophagic cell death in MSCs, independently by  
398 MTORC1.

399 In the second section (see par. 2.3) physiological levels of magnesium were already described to  
400 be crucial for a healthy osteogenesis, acting this metal as a calcium antagonist and preventing  
401 aberrant ossification. It is however valuable to point out that, at high doses, magnesium can impair  
402 the process of osteogenesis. Matrix mineralization, expression of collagen 1 and the mineral crystals  
403 growth in human bone marrow-derived mesenchymal stem cells can be suppressed by high  $Mg^{2+}$  (1  
404 mM). The upregulation of autophagy by ATP reverted the effects of high magnesium on extracellular  
405 mineralized matrix deposition [87].

406 The divalent metal transporter 1 (DMT1) is a 12-transmembrane-domain protein found in a  
407 range of tissues, including bone, on which the cellular transport of  $Fe^{2+}$  is heavily dependent. It was  
408 previously found closely associated to osteoporosis, but in a recent paper the increased expression of  
409 DMT1 was found to induce iron overload. The iron accumulation in turn, induced osteoblast  
410 autophagy and apoptosis, thus affecting the pathological processes of bone loss [88].

411 Natural uranium (U), which is present in our environment, exerts a chemical toxicity,  
412 particularly in bone where it accumulates. In UMR-106 osteoblastic cell line, U(VI), the form uranium  
413 is found in atmospheric conditions and in most environmental systems, affected mineralization  
414 function even at subtoxic concentrations. At the same time, the autophagic flux resulted impaired. In  
415 addition, a reduced degradation of autophagic vesicles could lead to non-elimination of damaged  
416 mitochondria, resulting in enhanced ROS production which is one of the mechanisms of U(VI)  
417 toxicity in osteoblasts [89].

### 418 3.3. Pathogens

419 A little investigated regulation of osteogenesis through autophagy came from biological agents.  
420 First, bacteria of the genus *Brucella* are Gram-negative microorganisms that causes brucellosis, a  
421 disease that commonly results in persistent, chronic involvement of osteoarticular system which  
422 usually leads to bone damage [90]. *B. abortus* induced the activation of autophagy pathway in  
423 osteoblast cells and this activation was involved in the impairment of osteoblast function and bone  
424 formation [91]. More importantly, it was demonstrated that *Brucella* infection uses the autophagic  
425 pathway to inhibit matrix deposition early during infection, while at later times the process of  
426 differentiation of osteoblasts takes control of the pathway, confirming that autophagy was required  
427 for osteoblast terminal differentiation [92]. Second, infection from the Zika virus (ZIKV), a mosquito-  
428 borne flavivirus, during gestation is deemed to be coupled to birth defects through direct impairment  
429 of neurogenesis. It has become an international health concern and has been declared as a public  
430 health emergency by the World Health Organization. Most relevant to the aim of this review, ZIKV  
431 infection caused aberrant cranial osteogenesis by greatly enhancing autophagy, which led to  
432 neural crest cells (the progenitor cells of bone formation in the skull) apoptosis [93]. Third,  
433 pneumolysin (PLY) is the main virulence factor of *Streptococcus pneumoniae* and a common cause of  
434 septic arthritis and osteomyelitis. As other toxins, PLY induced ROS production during osteoblast  
435 differentiation, leading to early upregulation of autophagy. The ROS-mediated regulation of AMPK  
436 and mTOR, which downregulated the expression of the transcription factor Sp1, resulted in an  
437 inhibition of differentiation in human osteoblast-like cells [94].

### 438 3.4. Kynurenine

439 Kynurenine, a tryptophan metabolite, is a key upstream mechanism that appears to target a  
440 number of osteogenic pathways with age. Physiological levels of kynurenine disrupted autophagic  
441 flux and autophagolysosomal production, inducing a senescent phenotype in BMSCs via Aryl  
442 hydrocarbon receptor (AhR) signaling, inducing downregulation of osteogenesis [95].

#### 443 4. Osteoclastogenesis

444 Aim of the present review is to extensively summarize the literature on the interplay between  
445 autophagy and osteogenic differentiation. Indeed, recent studies have highlighted the influence of  
446 autophagy in osteoclast differentiation and function. The receptor activator of NF- $\kappa$ B ligand  
447 (RANKL) is involved in osteoclast differentiation [96]. During this process an increase of autophagic  
448 protein levels such as ATG5, ATG7, ATG4 $\beta$ , and LC3 was evident. These are the main proteins for  
449 autophagosome formation responsible for generating the osteoclast-ruffled border and the lysosomal  
450 secretion [97]. Moreover, the increase of LC3/ILC-3I ratio is related to p62 degradation, essential in  
451 the generation of filamentous actin ring, a key feature of osteoclastogenesis [98].

452 A brief overview of the main factors influencing osteoclastogenesis is provided. Nevertheless, it  
453 is not meant to be an exhaustive reviewing of the literature on the subject.

##### 454 4.1. High glucose

455 If it is clear that high glucose affects negatively the osteoblastogenesis, the role of high glucose  
456 in the physiology and differentiation of osteoclasts is still controversial. In the only study relating  
457 autophagy to osteoclast differentiation, glucose proved to negatively affect osteoclast formation and  
458 function but did not affect the proliferation of RAW264.7 cells. Suppression of the  
459 AMPK/mTOR/ULK1 signaling axis by high glucose decreased autophagy in differentiating  
460 osteoclasts, demonstrating that autophagy participates in osteoclast differentiation and function and  
461 can be inhibited by high glucose concentration [99].

##### 462 4.2. Glucocorticoids

463 Glucocorticoids remain an effective therapy for many inflammatory/autoimmune disorders.  
464 Nevertheless, moderate-to-high doses of glucocorticoids or their prolonged administration lead to  
465 osteoporosis, characterized by consistent changes in bone remodeling with decreased bone formation  
466 as well as increased bone resorption [100].

467 Autophagy protects osteocytes from glucocorticoid-induced apoptosis, but passed some  
468 threshold, the process of autophagy leads the cells to apoptosis. Excess glucocorticoids impaired  
469 osteoblastogenesis by inducing Wnt antagonists, including Dkk1, Sost, and sFRP-1 [101]. Lian et al.  
470 reported that HSP60 (Heat shock protein 60) was required to sustain autophagic markers Atg4, and  
471 Atg12 expression, LC3-II conversion, and autophagic puncta formation. It also alleviated the  
472 glucocorticoid-induced loss of osteogenic gene expression and mineralized matrix accumulation via  
473 RPTOR signaling. [102].

474 Interestingly, reactive oxygen species, which play a crucial role in osteoclastogenesis, and  
475 autophagy flux activity were found up-regulated consistently with the dose-dependent effects of the  
476 glucocorticoids on osteoclast formation and function. These results implied that with glucocorticoid  
477 administration, ROS and autophagy, as a downstream factor of ROS, played vital roles in osteoclast  
478 formation and function. [103]. The same conclusions were found in an *in vivo* model of osteoporosis  
479 [104]. Taken together, the knowledge of the mechanisms at the basis of glucocorticoid-induced  
480 osteoporosis, suggests the use of autophagy as a target in this disease [105].

481 Consistent with these data, lipopolysaccharide (LPS) induced autophagy, osteoclastogenesis,  
482 and reactive oxygen species in bone marrow derived macrophages that were pre-stimulated with  
483 RANKL. Removal of ROS decreased LPS-induced osteoclast formation and autophagy as well [106].

484 A very recent paper reviewed the role in bone homeostasis of both autophagy and apoptosis induced  
485 by glucocorticoids [107].

#### 486 4.3. Oxidative stress

487 Since oxidative stress has long been linked to osteoclastogenesis enhancement, another study  
488 suggested that the differentiation of osteoclast precursors (OCPs) induced by monocyte chemotactic  
489 protein-1 (MCP-1), a CC chemokine commonly found at the site of tooth eruption, is mediated via  
490 oxidative stress. The oxidative stress, in turn, caused ER stress leading to autophagy, revealing a  
491 novel mechanism in OC differentiation [108]. As oxidative stress and apoptosis are strictly related,  
492 already published data demonstrated that TNF receptor associated factor-6 (TRAF6)/c-Jun N-  
493 terminal kinase1 (JNK-1) prevented OCP apoptosis and mediated autophagy, enhancing RANKL-  
494 induced osteoclastogenesis via TRAF3 degradation [109].

495 Oxidative stress is strictly associated to inflammation, which, in bone, leads to activation of  
496 osteoclasts and to the subsequent bone destruction [110]. The pro-inflammatory cytokine IL-17,  
497 already related to aberrant ossification in rheumatoid arthritis and osteoarthritis patients [111], is also  
498 associated to an elevated number of osteoclasts in periodontitis [112]. Two studies suggested that IL-  
499 17 was responsible for osteoclast differentiation and bone resorption, both *in vitro* and *in vivo*, via  
500 activation of autophagy. These effects of IL-17 were found both in primary mouse bone marrow  
501 macrophages [113] and osteoclast precursors through the activation of the RANKL-JNK pathway  
502 [114].

#### 503 4.4. Microgravity

504 A non common situation in which bone loss is experienced, is the microgravity in space flights.  
505 Osteoclasts (OC) and their precursors were already found to be the target of mechanical forces that  
506 could be responsible for modulating gene expression associated with OC differentiation/activity  
507 [115]. During exposure to microgravity, an induction of autophagy was registered and proved to play  
508 an important role in enhanced osteoclast differentiation [116].

### 509 5. Biomaterials, autophagy and osteogenesis

510 A biomaterial is any substance that has been engineered to interact with biological systems for a  
511 medical purpose, either a therapeutic (treat, augment, repair or replace a tissue function of the body)  
512 or a diagnostic one. Biomaterials are used every day in dental and orthopaedic applications, surgery,  
513 and drug delivery. They can be derived either from nature or synthesized in the laboratory using a  
514 variety of chemical approaches and materials. Biomaterials can be broadly categorized in metals,  
515 polymers, ceramics and composite materials. This classification is followed in this section to discuss  
516 biomaterials promoting bone regeneration by modulating the autophagic process. The research in  
517 the field of biomaterials applied to bone regeneration is actually focused on the modifications of their  
518 surfaces in order to improve their bioactivity. In this perspective two strategies can be used: the  
519 functionalization of the biomaterial/cell interface by linking to its surface osteoinductive  
520 /osteoconductive molecules; and the modification of surface topography to make them more suitable  
521 for cell growth and differentiation. In the following paragraphs many examples of these strategies  
522 are given, relating them to the biomaterial used.

523 Table 1 provides an overview of the biomaterials discussed in this paragraph along with the  
524 experimental model they were tested and the signaling pathway involved (where applicable).

525 **Table 1:** Biomaterials, experimental models and signaling pathways.

Biomaterial	Model	Pathway	Reference(s)
Orthosilic acid	Murine preosteoblast MC3T3-E1	BMP2/RUNX2 Col1	120
Silica NPs	Murine preosteoblast MC3T3-E1	ERK1/2	121

Chitosan	Primary hMSCs	mTOR/S6K/S6/4E-BP1	126
Titanium	hBMSCs		131
Titanium	Human osteoblasts	PI3K/Akt	132
Titanium	Murine preosteoblast MC3T3-E1		133
Titanium	Murine preosteoblast MC3T3-E1	$\beta$ -catenin/YAP	134
Alumina	rBMSCs	Wnt BMP	137
Silver NPs	hMSCs		145
Hydroxyapatite	Murine preosteoblast MC3T3-E1	mTOR	148
Hydroxyapatite	PDLSCs	AMPK mTOR	150
Fluorapatite	hASCs		152

## 526 5.1 Polymers

527 Silicon based materials have long been studied for their application in regenerative medicine  
528 either for their proangiogenic role [117] or their use in scaffolds that mimic the structure and  
529 composition of bone tissue [118]. In this field, the synthesis of silicate-containing hybrids by the sol-  
530 gel method is a new route to preparing bioactive implants with improved mechanical properties.  
531 These materials can be degraded by the physiological environment, which involves the eventual bone  
532 colonization and full tissue restoring. Actually, the research is focused on tailoring the hybrid  
533 implants for bone tissue regeneration rather than bone substitution. Silicate-containing hybrids must  
534 promote the osteogenic performance of the osteoblast-like cells [119]. Interestingly, the orthosilic acid,  
535 unique soluble form of silicon, enhanced the BMP-2/RUNX2 and COL-1 protein expression in  
536 preosteoblastic cells, promoting differentiation and mineralization of osteoblasts through the  
537 activation of the autophagic pathway [120]. Moreover, an engineered bioactive silica-based  
538 nanoparticle formulation (NPs) was found able to stimulate *in vitro* differentiation and mineralization  
539 of osteoblasts and increased bone mineral density in young mice *in vivo* [121,122]. In the search of the  
540 mechanisms underlying such results, Ha and collaborators [123] found that the stimulation of  
541 autophagy and associated signaling suggests a cellular mechanism for the stimulatory effects of silica  
542 nanoparticles on osteoblast differentiation and mineralization. They notably suggested that it is the  
543 size of the nanoparticles (50 nm) to stimulate autophagy rather than the materials they are made of.  
544 These considerations are remarkably in line with what was found about gold nanoparticles discussed  
545 in paragraph 2.4. In the study cited above [52] the 45 nm AuNPS were the most effective in promoting  
546 both autophagy and osteogenesis.

547 Chitosan is a polysaccharide copolymer of glucosamine and N-acetylglucosamine derived by  
548 partial deacetylation of chitin from crustacean shells. Recently, many studies have investigated the  
549 effects of chitosan film or membrane on the morphology, stemness and multi-differentiation abilities  
550 of MSCs. It has been demonstrated that MSCs cultured on chitosan film formed spheres and the  
551 expression of stemness marker genes increased significantly when MSCs were cultured using  
552 chitosan film compared with 2D monolayer culture systems [124]. More importantly, culture on  
553 chitosan film resulted in an increased differentiation potential of MSCs into mesenchymal lineages,  
554 such as osteoblasts [125]. In the same experimental model, mTOR signaling was activated especially  
555 in senescent cells, whereas its suppression or knockdown selected more primitive MSCs that are  
556 enriched in gene expression of pluripotency, *in vitro* osteogenesis and *in vivo* bone formation [126].

## 557 5.2 Metals

### 558 5.2.1 Titanium and nanostructure

559 Most of the recent research on biomaterials is actually focused on titanium, the most often used  
560 material, due to its biocompatibility and mechanical properties, both for orthopaedic and dentistry  
561 applications, in substitution of ceramics, polymers and other metals [127,128].

562 In a lately published paper, an osteocyte-conditioned medium proved to inhibit osteoclast  
563 differentiation from bone marrow monocytes (BMMs) to osteoclasts. However, TiAl6V4 alloy  
564 particles (TiPs) attenuated this inhibitory effect by markedly decreasing the expression of IFN- $\beta$ , an  
565 osteoclastogenesis-associated factor. Additional evidence suggested that TiPs decreased the  
566 expression of IFN- $\beta$  in osteocytes via stimulation of autophagy [129].

567 Among the others, one distinctive strategy used to improve the bio-functionality for titanium  
568 implants, was the use of exosomes derived by macrophage stimulated with BMP2, that were already  
569 known for their beneficial effects on osteogenic differentiation [130]. The incorporation of  
570 BMP2/macrophage derived exosomes dramatically increased the expression of osteoblastic  
571 differentiation markers in MSCs. Remarkably, the pro-osteogenic role of the titanium nanotubes  
572 incorporated with BMP2/macrophage-derived exosomes is mediated by autophagy [131].

573 In the biomaterial field of research, it is already known that non-flat surfaces have more  
574 biocompatible features and better interactions with the surrounding living tissues. In a study the  
575 molecular mechanisms regulating the interactions between various titanium-based surfaces and  
576 human osteoblast cells were investigated. Rough surfaces caused osteoblast differentiation via the  
577 autophagic-dependent PI3/Akt signalling pathway. One surface provoked the development of a third  
578 population of small, granular cells, responsible for cell cluster formation, which were important for  
579 the formation of bone noduli and mineralization. When autophagy was inhibited, neither the mature  
580 osteoblasts nor the small cells appeared, and the cell cluster formation was also prevented.  
581 Autophagy therefore has to play an essential role in the osteoblast differentiation on titanium-based  
582 surfaces with rough topography [132].

583 The nanosized surface is well known for its ability to interfere with intracellular procedures and  
584 a nanotube (NT) structure was found able to enhance mTOR-independent autophagy in osteoblasts  
585 compared to a flat surface. Further analysis revealed that autophagy was temporally promoted by  
586 NTs in the initial day contact, and cell membrane stretching appeared to be the central regulation  
587 factor. The process was also reversible by exchanging the substrate nanotopographies in different cell  
588 lines. In summary, the nanotopographic surface is able to induce temporal and reversible autophagy,  
589 which may be used as a versatile method to control cell differentiation [133].

590 Implant topography is associated with the functionality of osteogenic transcription factors  
591 directed by  $\beta$ -catenin in the nucleus. This protein can be degraded by YAP (Yes-associated protein)  
592 which is susceptible to autophagic flux. Nanotopography, in comparison with smooth surfaces, was  
593 associated with higher  $\beta$ -catenin nuclear translocation, osteogenic differentiation, and autophagy,  
594 and less cytoplasmic YAP in MC3T3-E1 cells. These results demonstrated an involvement of this  
595 pathway in the osteogenesis observed in response to titanium implants [134].

### 596 5.2.2 Alumina

597 The osteoimmune environment plays indispensable roles in bone regeneration because the early  
598 immune environment that exists during the regenerative process promotes the recruitment and  
599 differentiation of osteoblastic lineage cells [135]. Nanoporous anodic alumina with different sized  
600 pores had modulatory effects on macrophage responses and consequently on the osteogenic  
601 differentiation of bone marrow stromal cells (BMSCs). The role of macrophages in osteogenesis was  
602 already suggested to be indispensable [136]. The effect of the 50 nm nanoporous alumina structures  
603 on macrophage spreading and shape, resulted in osteogenic differentiation of BMSCs, improving the  
604 osteogenic capacity of bone biomaterials with a mechanism related to autophagy activation [137].

### 605 5.2.3 Silver

606 Silver is used in a variety of medical and general devices for its well known antimicrobial  
607 properties. It is, therefore, widely used in the form of nanoparticles in medicine, in order to retard  
608 and avoid bacterial infection [138,139]. Despite their antimicrobial action, silver nanoparticles (Ag  
609 NPs) lack toxicity towards eukaryotic cells, because of the induction of the autophagic process [12].

610 Interestingly, linking the silver nanoparticles to thermosets made of materials commonly used in the  
611 dental practice, resulted in a further reduced cytotoxicity [140], confirming that the adsorption of  
612 molecules on biomaterials surface can improve their biocompatibility.

613 Many results were recently achieved regarding effects of Ag NPs on osteogenesis of stem cells  
614 [141-143]. Again, the linking of Ag NPs, whose potential toxicity raises serious concerns, on titanium  
615 surfaces proved to be a successful strategy [144]. Moreover, Ag NPs activated autophagy and  
616 osteogenesis. The administration of the well-known autophagy inhibitor 3-methyladenine could  
617 reverse both processes, binding the occurrence of osteogenesis to the autophagic activity in hMSCs  
618 [145].

### 619 5.3 Ceramics

620 Hydroxyapatite (HA) is a natural occurring mineral present in human skeleton. In biomaterial  
621 applications it can be used in combination with alginate to study the improved osteoblast  
622 differentiation of DPSCs [146,147].

623 HA-nanoparticles (HANPs) promoted osteoblast differentiation in a dose-dependent manner  
624 the osteoblast cell line MC3T3E1. In addition, the internalized HANPs were located in typical  
625 autophagic vacuoles and increased the ratio of LC3II/LC3I, indicating HANPs induced cell  
626 autophagy. Moreover, the induction of autophagy was via mTOR signaling pathway also in a  
627 concentration dependent manner. Collectively, these results revealed that HANPs modulates  
628 osteoblast differentiation by mediating autophagy in a dose-dependent manner [148].

629 Polydopamine-templated hydroxyapatite (tHA) is a type of nano-biomaterial, designed as an  
630 alternative to the traditional hydroxyapatite (HA,) that can promote osteogenesis in bone tissue  
631 engineering. The reinforcement of polycaprolactone (PCL) matrix with tHA enhanced cell adhesion,  
632 spreading and proliferation of human mesenchymal stem cells. More importantly, tHA nanoparticles  
633 exposed on the surface of composite nanofibers could further promote osteogenesis of hMSCs in vitro  
634 [149]. However, as already seen in other experimental systems, the concentration is crucial. Indeed,  
635 high concentrations of tHA stimulated production of reactive oxygen species (ROS), resulting in cell  
636 injury and apoptosis in PDLSCs. Nevertheless, the triggering of the AMPK/mTOR signaling pathway  
637 when tHA is in combination with metformin, led to autophagy activation and consequent  
638 increased viability of hPDLSCs with a further improvement of the osteogenic effect [150].

639 Interestingly, also the incorporation of fluorapatite (FA) crystals within the three-dimensional  
640 PCL nanofiber scaffolds provided a favorable extracellular matrix microenvironment for the growth,  
641 differentiation, and mineralization of human DPSCs [151]. In a different cellular model, the inhibition  
642 of autophagy at earlier stages (days 1 to 3) could affect human adipose stem cell (hASCs) osteogenic  
643 capability and mineralization when grown on PCL+FA scaffolds. These results suggested that  
644 autophagy was indispensable during the early stage of osteogenic differentiation in this model [152].

645

## 646 6. Conclusions

647 The health of the bone tissue is strictly related to the differentiation of osteoblasts, the cell  
648 responsible for the deposition of organic osteoid and matrix mineralization, which leads to  
649 osteogenesis. Autophagy is thoroughly involved in the development of these cells, contributing  
650 therefore to bone homeostasis and representing an intriguing potential target for ageing, biomaterial  
651 design and the therapy of various pathological conditions. This review offers a deep insight in the  
652 mechanisms and stimuli driving osteogenesis in combination with autophagy, providing a useful  
653 tool for the developing of innovative therapeutic strategies. As far as the authors know, this is the  
654 first review summarizing the role of autophagy in the osteogenesis promoted by different types of  
655 biomaterials. The knowledge of the conditions improving biomaterial bioactivity will help future  
656 research to design new biomaterial solutions.

657

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659 on osteoclastogenesis and provided a skillful editing and an experienced overview of the paper. VdG and SS  
660 wrote the rest of the article. All the authors have read and approved the manuscript.

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## 664 Abbreviations

665	4-PBA	4-phenylbutirrate
666	AGEs	advanced glycation end products
667	ASCs	adipose stem cells
668	BMMs	bone marrow monocytes
669	BMP	bone morphogenetic protein
670	BMSCs	bone marrow stem cells
671	DM-BMSCs	diabete mellitus bone marrow stem cells
672	DMT1	divalent metal transporter 1
673	DPSCs	dental pulp stem cells
674	FA	fluorapatite
675	HA	hydroxyapatite
676	IGF-1	Insulin-like growth factor 1
677	IGFBP	insulin-like growth factor binding protein
678	LPS	lipopolysaccharide
679	MCP-1	chemotactic protein-1
680	MSCs	Mesenchimal Stem Cells
681	NPs	nanoparticles
682	NTs	nanotubes
683	OCPs	osteoclast precursors
684	OCs	osteoclasts
685	OI	osteogenesis imperfect
686	PDLPs	periodontal ligament progenitor cells
687	PDLSCs	periodontal ligament stem cells
688	PLY	pneumolysin
689	ROS	reactive oxygen species
690	SDF-1	stromal cell-derived factor-1
691	SP	Substance P
692	Sr	strontium
693	TFE3	transcription factor E3
694	ZIKV	Zika virus

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