

Article

Density Functional Theory Study of CO₂ Hydrogenation on Transition-Metal-Doped Cu(211) Surfaces

Yushan Wang¹, Mengting Yu^{1,*}, Xinyi Zhang¹, Yujie Gao¹, Jia Liu¹, Ximing Zhang², Chunxiao Gong², Xiaoyong Cao³, Zhaoyang Ju^{1,2} and Yongwu Peng^{4,*}

¹ College of Chemical & Material Engineering, Quzhou University, Quzhou 324000, China; lucky11022022@163.com (Y.W.); xinyiz@qzc.edu.cn (X.Z.); gaoyj0111@163.com (Y.G.); angela13lj@163.com (J.L.); jzy@qzc.edu.cn (Z.J.)

² College of Biosystems Engineering and Food Science, Zhejiang University, Hangzhou 310058, China; zhangximing@zju.edu.cn (X.Z.); gongchunxiao@zju.edu.cn (C.G.)

³ Institute of Zhejiang University—Quzhou, Quzhou 324000, China; caoxy@zju.edu.cn

⁴ College of Materials Science and Engineering, Zhejiang University of Technology, Hangzhou 310014, China

* Correspondence: 39090@qzc.edu.cn (M.Y.); ywpeng@zjut.edu.cn (Y.P.)

Abstract: The massive emission of CO₂ has caused a series of environmental problems, including global warming, which exacerbates natural disasters and human health. Cu-based catalysts have shown great activity in the reduction of CO₂, but the mechanism of CO₂ activation remains ambiguous. In this work, we performed density functional theory (DFT) calculations to investigate the hydrogenation of CO₂ on Cu(211)-Rh, Cu(211)-Ni, Cu(211)-Co, and Cu(211)-Ru surfaces. The doping of Rh, Ni, Co, and Ru was found to enhance CO₂ hydrogenation to produce COOH. For CO₂ hydrogenation to produce HCOO, Ru plays a positive role in promoting CO dissociation, while Rh, Ni, and Co increase the barriers. These results indicate that Ru is the most effective additive for CO₂ reduction in Cu-based catalysts. In addition, the doping of Rh, Ni, Co, and Ru alters the electronic properties of Cu, and the activity of Cu-based catalysts was subsequently affected according to differential charge analysis. The analysis of Bader charge shows good predictions for CO₂ reduction over Cu-based catalysts. This study provides some fundamental aids for the rational design of efficient and stable CO₂-reducing agents to mitigate CO₂ emission.

Keywords: CO₂ hydrogenation; Cu-based catalyst; Bader charge; DFT



Citation: Wang, Y.; Yu, M.; Zhang, X.; Gao, Y.; Liu, J.; Zhang, X.; Gong, C.; Cao, X.; Ju, Z.; Peng, Y. Density Functional Theory Study of CO₂ Hydrogenation on Transition-Metal-Doped Cu(211) Surfaces. *Molecules* **2023**, *28*, 2852. <https://doi.org/10.3390/molecules28062852>

Academic Editors: Aleksandr S. Kazachenko and Nouredine Issaoui

Received: 5 March 2023

Revised: 20 March 2023

Accepted: 20 March 2023

Published: 22 March 2023



Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introduction

Since the industrial revolution, the massive emission of carbon dioxide (CO₂) has caused a series of environmental problems and social issues. Therefore, the reduction and utilization of CO₂ have drawn great attention from scientists [1–3]. There are three methods for the catalytic transformation of CO₂ into value-added chemicals: thermal catalysis, electrocatalysis, and photocatalysis [4–6]. As one kind of inert molecule, CO₂ is thermodynamically and kinetically stable due to its high C=O bond energy (750 kJ/mol). Generally, high temperatures are required for the utilization of CO₂ [7]. The hydrogenation of CO₂ into value-added chemicals can provide a sustainable pathway for its utilization [8,9]. The problems of hydrogen storage and transportation have been not only solved, but also, the valuable carbon-based resources have been effectively utilized [10]. For the various hydrogenation products, methanol is one of the most precious chemicals and has been widely used in automobiles, national defense, biomedicine, and so on [11,12].

Among the effective catalysts for CO₂ reduction, Cu-based catalysts had been considered one of the most suitable catalysts due to their excellent catalytic activity and stability for CO₂ hydrogenation for methanol production [13,14]. Liu et al. demonstrated through DFT and experiments that Cu⁰ species are active sites for CO₂ hydrogenation to methanol when Cu₄ supported on Al₂O₃ was used as the catalyst [15]. The study by Wu et al. [16]

showed that Cu(211) with pre-adsorbed formate successfully confirmed its status as a major intermediate in the subsequent production of methanol. The hexagonal Cu(111) monolayer was considered as an efficient and selective catalyst for CO₂ hydrogenation to CH₃OH because of its strong nucleophilic nature compared to bulk Cu-based and Cu nanocluster-based catalysts [17].

Generally, the activity, selectivity, and stability of the active components have certain limitations. Therefore, it is crucial to select promoters to improve the selectivity, catalytic activity, and stability of the target products. For example, the adsorption, activation, and reduction of CO₂ over Fe_x/Cu(100) ($x = 1-9$) were investigated, and the calculations showed that the doped Fe on the pure Cu(100) surface can improve the adsorption of CO₂ and enhance CO₂ activation [18]. Liu et al. [19] reported that the addition of Pd, Rh, Pt, and Ni metals into Cu catalysts can facilitate the production of methanol. Additionally, the optimal CuNi alloy supported on the CeO₂ nanotube catalyst showed a CO₂ conversion of 17.8% [14].

As one of the crucial elementary reaction steps for the utilization of CO₂ into value-added chemicals, the activation of CO₂ plays a critical role in the whole process. Currently, three pathways have been proposed, which are the direct dissociation of CO₂, formate (HCOO) pathways, and carboxylate (COOH) pathways [20,21]. Tang et al. [22] proposed that the Ga–Ni(211) surface prefers CO₂ hydrogenation, whereas Ni(211) is more favorable for the dissociation of CO₂. For the Cu–ZnO–Al₂O₃ catalysts, HCOO is an intermediate species for the synthesis of methanol [21]. However, Graciani et al. [23] proposed that COOH is an intermediate for the synthesis of methanol on the highly active CeO_x–Cu(111) catalysis. Theoretical calculations showed that HCOO is an intermediate species for the synthesis of methanol on the Cu(111) surface, and the hydrogenation reaction of HCOO and H₂COO is a rate-determining step [24]. Additionally, the optimal path for CO₂ hydrogenation to CH₃OH is CO₂* → HCOO* → HCOOH* → H₂COOH* → CH₃O* → CH₃OH* on the PdCu(111) surface [25]. Zhang et al. [26] believed that methanol is the dominant product via mono-HCOO intermediate on the Cu(111), Cu(100), Cu(111), Cu(111), Cu(111), and Cu(211) surfaces. Moreover, they proposed that the catalytic performance of CO₂ activation and conversion could be effectively tuned by adjusting defect site types.

The catalytic performance is attributed to the structure of the catalyst's surface [27,28]. Therefore, an in-depth understanding of the surface structure of the catalyst is of great significance to improving the performance of catalysts. As an effective computational chemistry method, density functional theory (DFT) has been widely used in the study of microscopic reaction mechanisms on the surface of catalysts [29,30]. It has been reported that metal surfaces are not always perfect under realistic conditions [31]. Previous studies have shown that stepped surfaces exhibit better catalytic activity than flat surfaces [32]. Compared to the flat Rh(111) surface, the stepped Rh(211) surface exhibits a lower activation barrier for CO dissociation [33]. In addition, the stepped Cu(211) surface is more favorable for the hydrogenation of CO₂ than the flat Cu(111) surface [34]. Therefore, the stepped Cu(211) surface was chosen to study CO₂ hydrogenation over Cu catalysts.

In this work, we investigated the effect of transition metal dopants on a Cu(211) surface for CO₂ activation by using DFT calculations. The research started with the investigation of the stability of Cu(211)-M(Rh, Ni, Co, Ru) surfaces followed by the adsorption structure and energy of intermediates on the pure Cu(211) and Cu(211)-M(Rh, Ni, Co, Ru) surfaces. Then, the activation barriers and reaction energies of the H₂ dissociation and CO₂ activation were calculated. Furthermore, differential charge density and Bader charge analysis were analyzed to elucidate the charge transfer and interaction between M (Rh, Ni, Co, Ru) and Cu surfaces. This will provide some help in understanding the mechanism of conversion of CO₂ and in designing more effective catalysts in the theoretical views.

2. Results and Discussion

2.1. Formation Energies of Cu(211)-M Surfaces

To evaluate the stability of the forming surface, the formation energy was introduced [22]. Table 1 reports the formation energies of Cu(211)-M (M = Rh, Ni, Co, Ru) surfaces. The calculated formation energies for Cu(211)-Rh, Cu(211)-Ni, Cu(211)-Co, and Cu(211)-Ru surfaces are -2.75 , -1.62 , -2.28 , and -4.02 eV, respectively. This clearly shows that it is favorable to exchange an M (M = Rh, Ni, Co, Ru) surface atom for a Cu atom in the Cu(211) model. The substitution of a Ru atom is most favorable because of the most negative formation energy.

Table 1. Formation energies (eV) and Bader charges (q, e) of transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, Ru) surfaces.

Surface	Formation Energy	q
Cu (211)-Rh	-2.75	-0.32
Cu (211)-Ni	-1.62	-0.03
Cu (211)-Co	-2.28	0.02
Cu (211)-Ru	-4.02	-0.16

2.2. Adsorption of Intermediates on Cu(211)-M Surfaces

To gain fundamental insights into M (M = Rh, Ni, Co, Ru) on reactivity, the adsorption of all possible species involved in CO₂ hydrogenation was examined [35,36]. Firstly we calculated the adsorption energy and corresponding adsorption configurations of CO₂, H₂, COOH, and HCOO on the Cu(211)-M (M = Rh, Ni, Co, Ru) surface. Table 2 lists the adsorption energies of the most stable adsorbed states on these surfaces. The corresponding adsorption configurations are presented in Figure 1. For CO₂ adsorption, the order of adsorption energy is Cu(211) < Cu(211)-Rh < Cu(211)-Ni < Cu(211)-Ru < Cu(211)-Co. For COOH adsorption, compared to that of on the pure Cu(211) surface (-1.76 eV), the adsorption energy is lowered by 0.61, 0.29, 0.59, and 0.85 eV on the Rh-, Ni-, Co-, and Ru-doped surfaces, respectively. Therefore, the addition of transition metals facilitates the formation of COOH intermediates. For HCOO adsorption, the order of adsorption energy is Cu(211)-Rh < Cu(211)-Ru < Cu(211)-Ni < Cu(211)-Co = Cu(211). For H₂ adsorption on the pure Cu(211) surface, H₂ has the strongest adsorption, with an energy of -0.30 eV, while on the Cu(211)-Co and Cu(211)-Ru surfaces, H₂ has the weakest adsorption, with an energy of -0.01 eV. Thus, H₂ adsorption is inhibited by Rh, Ni, Co, and Ru doping. To further understand the interaction between CO₂, COOH, HCOO, and H₂ species and the surface, Bader charge analysis was introduced. It was reported that the higher the net Bader charge, the more negative adsorption energy of CO₂ [37]. A similar conclusion can be drawn in our work. As shown in Table 2, on the Cu(211) surface, the weakest adsorption of CO₂ was observed due to the lowest net Bader charge. Conversely, the strongest energy of HCOO was attributed to the highest net Bader charge.

Table 2. Adsorption energies (E_{ads} , eV) and net Bader charges (q, e) of CO₂, COOH, HCOO, and H₂ on pure Cu(211) and transition-metal-doped Cu(211)-M (Rh, Ni, Co, Ru) surfaces.

Surface	CO ₂		COOH		HCOO		H ₂	
	E_{ads}	q	E_{ads}	q	E_{ads}	q	E_{ads}	q
Cu(211)	-0.26	0.80	-1.76	0.39	-3.32	0.65	-0.30	0.02
Cu(211)-Rh	-0.31	0.84	-2.37	0.51	-3.16	0.61	-0.18	0.01
Cu(211)-Ni	-0.34	0.85	-2.05	0.43	-3.20	0.62	-0.11	-0.02
Cu(211)-Co	-0.38	0.97	-2.35	0.50	-3.32	0.65	-0.01	-0.02
Cu(211)-Ru	-0.35	0.91	-2.61	0.55	-3.18	0.61	-0.01	-0.02

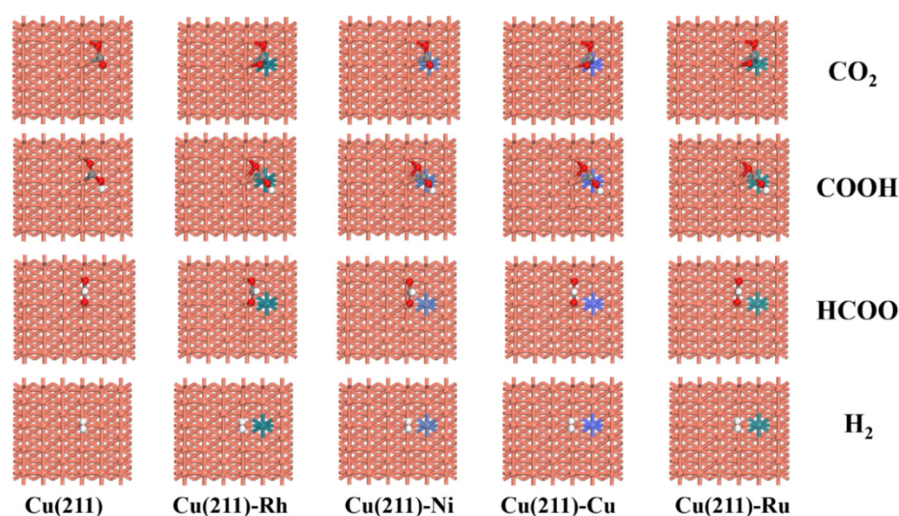


Figure 1. The stable adsorption configurations of CO_2 , COOH , HCOO , and H_2 on the pure and transition-metal-doped $\text{Cu}(211)$ ($M = \text{Rh, Ni, Co, and Ru}$) surfaces.

2.3. H_2 Dissociation

For the hydrogenation and activation of CO_2 , the dissociation of H_2 is the key initial step [26]. To investigate the H_2 dissociation on the catalyst surface, the activation barrier and reaction energy of H_2 dissociation on the $\text{Cu}(211)\text{-Rh}$, $\text{Cu}(211)\text{-Ni}$, $\text{Cu}(211)\text{-Co}$, and $\text{Cu}(211)\text{-Ru}$ surfaces were calculated and are shown in Table 3. The corresponding geometries of the initial state (IS) of H_2 adsorption, transition state (TS), and final state (FS) for H_2 dissociation on the pure and transition-metal-doped $\text{Cu}(211)$ surfaces are summarized in Figure 2.

As shown in Figure 2, it can be found that H_2 prefers to adsorb at the top site on these five surfaces. After the dissociation of H_2 on the $\text{Cu}(211)$ surface, two H atoms can be adsorbed on the adjacent 3F site; for $\text{Cu}(211)\text{-Rh}$, $\text{Cu}(211)\text{-Ni}$, $\text{Cu}(211)\text{-Co}$, and $\text{Cu}(211)\text{-Ru}$ surfaces, two H atoms are adsorbed on 3F and bridge. For the dissociation of H_2 , the activation energy barrier on $\text{Cu}(211)$ is 0.44 eV, which is consistent with the previously reported literature and differs slightly from 0.09 eV [26]. Apparently, Co and Ru doping promote the H–H bond scission, and the barrier is lower by 0.25 and 0.22 eV. On the $\text{Cu}(211)\text{-Rh}$ and $\text{Cu}(211)\text{-Ni}$ surfaces, the dissociation of H_2 required to overcome the energy barrier is approximately 0.42 eV. Therefore, there is a slight effect on $\text{Cu}(211)$ surface. In addition, the reaction energies of H_2 dissociation on the $\text{Cu}(211)\text{-Rh}$, $\text{Cu}(211)\text{-Ni}$, $\text{Cu}(211)\text{-Co}$, and $\text{Cu}(211)\text{-Ru}$ surfaces are -0.84 , -0.57 , -0.86 , and -1.01 eV, respectively. Therefore, the values of E_r on all the surfaces suggest that the elementary step is exothermic. Tang et al. [22] reported that the existing adsorption form of H_2 is dissociative adsorption on the $\text{Ni}(211)$ and $\text{Ga-Ni}(211)$ surfaces. More importantly, it can also be found that H_2 is easily activated and dissociated into adsorbed H (H^*). The H^* is the main form of H_2 on these five surfaces.

Table 3. The activation barrier (eV) and reaction energy (eV) of H_2 dissociation on pure $\text{Cu}(211)$ and transition-metal-doped $\text{Cu}(211)\text{-M}$ ($M = \text{Rh, Ni, Co, Ru}$) surfaces together with the H–H bond length ($d_{\text{H-H}}/\text{\AA}$) in the transition state and the corresponding imaginary frequency of the transition state $\nu(\text{cm}^{-1})$.

Surface	Activation Barrier	Reaction Energy	$d_{\text{H-H}}$	$\nu(\text{cm}^{-1})$
$\text{Cu}(211)$ [26]	0.44	-0.51	1.3349	1054i
$\text{Cu}(211)\text{-Rh}$	0.41	-0.84	1.321	1186i
$\text{Cu}(211)\text{-Ni}$	0.42	-0.57	1.307	1254i
$\text{Cu}(211)\text{-Co}$	0.19	-0.86	1.320	1130i
$\text{Cu}(211)\text{-Ru}$	0.22	-1.01	0.969	465i

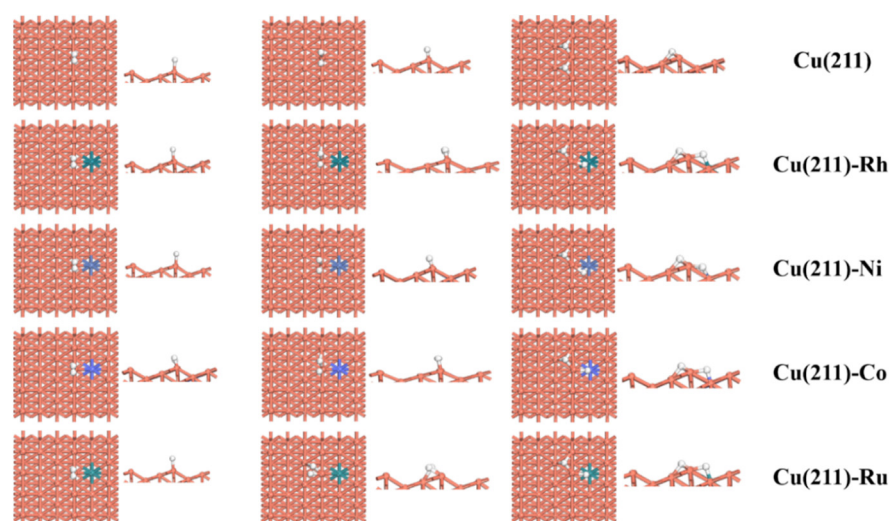


Figure 2. The stable adsorption configurations of CO₂, COOH, HCOO, and H₂ on pure and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces.

2.4. CO₂ Activation

The activation of CO₂ is a key step in many catalytic reactions [38,39]. Therefore, it is very important to study the mechanism of CO₂ activation. For CO₂ activation, H-assisted dissociation via carboxyl (COOH) and formate (HCOO) intermediates have been taken into consideration on the Cu(211)-Rh, Cu(211)-Ni, Cu(211)-Co, and Cu(211)-Ru surfaces. The activation barriers and the reaction energies for CO₂ activation on the pure and M-doped Cu(211) (M = Rh, Ni, Co, and Ru) surfaces are summarized in Tables 4 and 5.

Table 4. The activation barrier (eV) and reaction energy (eV) of carbon dioxide hydrogenation via COOH intermediate on the pure and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces together with the C–H bond length (d_{C-H} /Å) in the transition state and the corresponding imaginary frequency of the transition state ν (cm^{−1}).

Surface	Activation Barrier	Reaction Energy	d_{C-H}	ν (cm ^{−1})
Cu(211)	2.02	0.50	/	/
Cu (211)-Rh	0.57	−0.41	1.481	1189 <i>i</i>
Cu (211)-Ni	0.55	−0.41	1.491	1270 <i>i</i>
Cu (211)-Co	0.62	−0.33	1.467	1254 <i>i</i>
Cu (211)-Ru	0.48	−0.40	2.362	1070 <i>i</i>

Table 5. The activation barrier (eV) and reaction energy (eV) of carbon dioxide hydrogenation via HCOO intermediate on the pure and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces together with the C–H bond length (d_{C-H} /Å) in the transition state and the corresponding imaginary frequency of the transition state ν (cm^{−1}).

Surface	Activation Barrier	Reaction Energy	d_{C-H}	ν (cm ^{−1})
Cu(211) [26]	0.74	0.46	/	/
Cu(211)-Rh	2.55	−0.84	4.994	1001.5 <i>i</i>
Cu(211)-Ni	2.35	−1.06	3.206	498.5 <i>i</i>
Cu(211)-Co	0.87	−0.98	1.820	867.7 <i>i</i>
Cu(211)-Ru	0.30	−0.71	2.721	1160 <i>i</i>

As shown in Figure 3, in the initial state, the V-type adsorbed CO₂ molecules and H atoms were coadsorbed on the surface of the catalyst. CO₂ was adsorbed on the 4F active site, and H preferred to adsorb at the 3F site. When the reaction occurred, H moved to the O atom to form COOH, and COOH adsorbed on the 4F site. From Table 4, the activation

barrier of CO₂ hydrogenation is in the order Cu(211)-Ru < Cu(211)-Ni < Cu(211)-Rh < Cu(211)-Co < Cu(211). Thus, Co, Rh, Ni, and Ru doping promotes O-H bond formation and lowers the barrier by 0.81, 0.86, 0.88, and 0.95 eV, respectively. In addition, the reaction energy of CO₂ activation all are exothermic by 0.40 eV on the M-doped Cu(211)(M = Rh, Ni, Co, and Ru) surfaces. The above analysis, it clearly shows that Co, Rh, Ni, and Ru doping promotes CO₂ activation to form COOH.

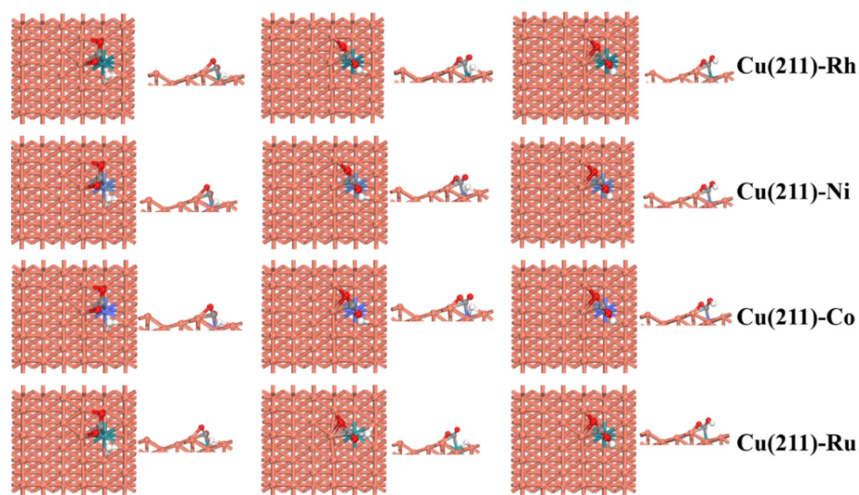


Figure 3. Top and side views of the initial state (IS), transition state (TS), and final state (FS) for CO₂ activation via COOH intermediate on the pure and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces.

In the initial state, CO₂ is adsorbed on the 4F active site and H prefers to adsorb at 3F site. When the reaction occurred, H moved to the C atom to form HCOO and HCOO adsorbed on the bridge site, as shown in Figure 4. From Table 5, compared to the pure Cu(211) [26] surface (0.74 eV), the activity of CO₂ activation to HCOO is higher on the Cu(211)-Ru (0.30 eV). Conversely, the C–H bond formation is inhibited by the Rh/Ni/Co doping, with the barrier being raised to 2.55, 2.35, and 0.87 eV, respectively. In addition, the reaction energy of CO₂ activation is exothermic on the M-doped Cu(211)(M = Rh, Ni, Co, and Ru) surfaces. In summary, this is different from the formation of COOH—only Ru additive promotes the production of HCOO. Figure 5 it clearly shows that CO₂ hydrogenation to COOH is more plausible on the Cu(211)-Rh, Cu(211)-Ni, and Cu(211)-Co surfaces, while CO₂ hydrogenation to HCOO is more preferable on the Cu(211)-Ru surface.

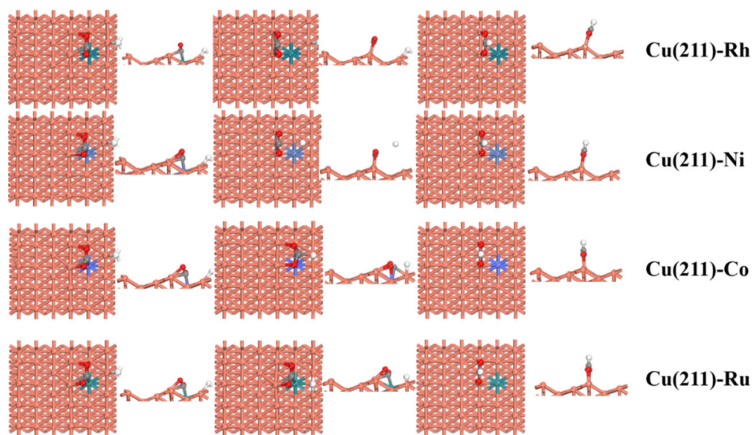


Figure 4. Top and side views of the initial state (IS), transition state (TS), and final state (FS) for CO₂ activation via HCOO intermediate on the pure and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces.

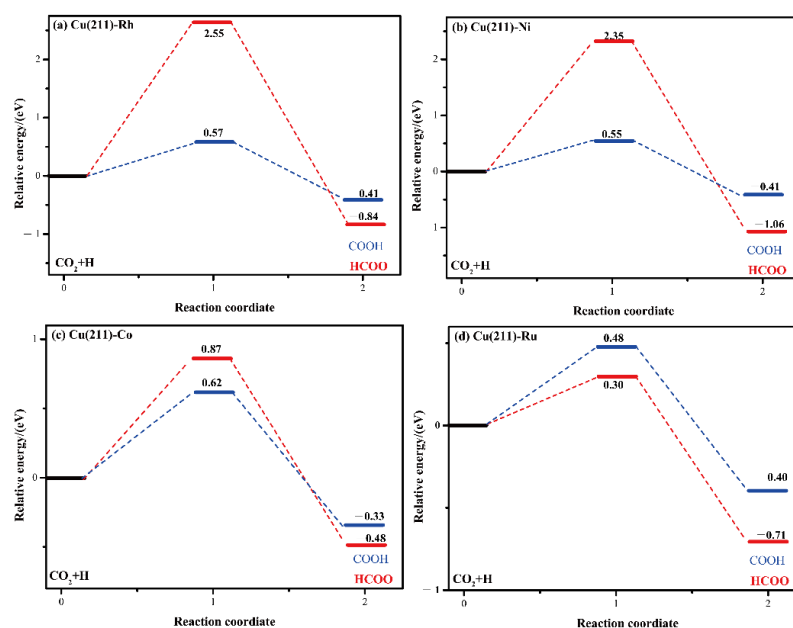


Figure 5. Energy profiles for CO_2 hydrogenation to COOH and HCOO on (a) Cu(211)-Rh, (b) Cu(211)-Ni, (c) Cu(211)-Co, and (d) Cu(211)-Ru surfaces.

2.5. Electronic Structure Analysis

Generally, the catalytic performance is attributed to the electronic properties [28,40,41]. The addition of a small number of additives could change the morphology of the catalyst or modify the electronic properties of the active phase metal Cu. To visualize the electronic interaction between M (M = Rh, Ni, Co, and Ru) and Cu surfaces, the differential charge density distribution of the M/Co systems is shown in Figure 6. The results showed that the doping of Rh, Ni, Co, and Ru modified the electronic properties of Cu and therefore affected the activity of Cu-based catalysts. The charge transfer between Co surfaces and M-doped surfaces was quantified using Bader charge analysis, which is listed in Table 1. The results show that the localized electron is transferred from the Cu surface to Rh, Ni, and Ru atoms, which is attributed to the fact that Rh, Ni, and Ru are more electronegative than Cu. In contrast, the localized electron is transferred from the Co atom to the Cu surface because of the lower electronegativity of Co.

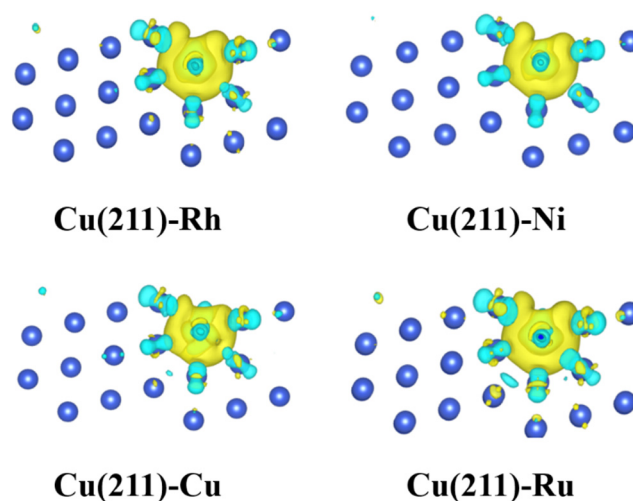


Figure 6. Side views of differential charge density distribution for M atoms (M = Rh, Ni, Co, and Ru) on Cu(211)-M surfaces. The yellow and blue regions represent charge accumulation and depletion, respectively.

Exploring CO₂ reduction on catalysts is a very complicated and comprehensive work, and we attempt to find descriptors that can predict activation energy in this part. Based on the computed energy data on the pure and M-doped Cu(211) surfaces (M = Rh, Ni, Co, and Ru), we examined the Brønsted–Evans–Polanyi (BEP) [42], which is the most successful example of the relationship between the associated activation barrier and reaction energy. In the previous studies, Chen et al. [41] found that the reaction energy can be a descriptor for the CO activation on different χ -Fe₅C₂ catalyst surfaces. Gong et al. [37] reported that there is a linear relationship between the CO activation barrier and reaction energy on the pure and M-doped Fe(100) surfaces (M = Cr/Mn/Co/Ni/Cu). Firstly, we analyze the relationship between the activation barrier and the reaction energy of CO₂ hydrogenation to COOH and HCOO on these five doped surfaces. The correlation is shown in Figure 7; it can be found that the reaction energy of CO₂ reduction does not give a good description of the CO₂ activation barrier for the different transition metal dopants' Cu(211) surfaces. In addition, Chen et al. [41] suggested that the corresponding linear relation is slightly poor between the activation barrier and the reaction energy for CO dissociation on the different χ -Fe₅C₂ surfaces.

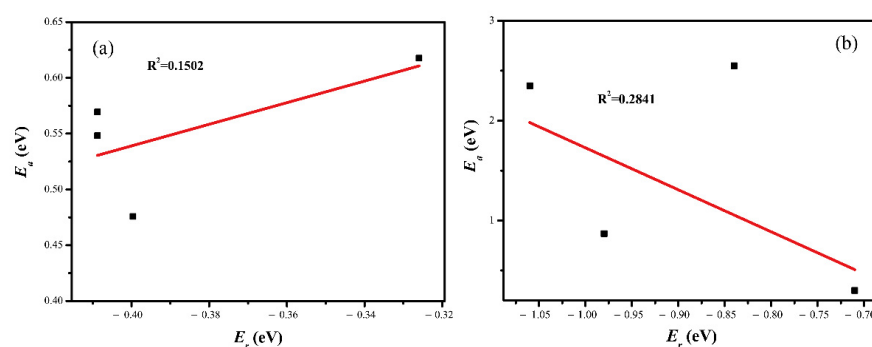


Figure 7. Relationship between the activation barrier and the reaction energy via (a) CO₂+H→COOH and (b) CO₂+H→HCOO.

In order to gain insight into the underlying mechanism of electronic effects introduced by transition metals for CO₂ reduction, the Bader analysis is employed. Figure 8 shows that the charges of the involved surface Cu and doped metal atoms follow a nearly linear relation with the CO₂ activation barrier. Obviously, different transition metal dopants' Cu surfaces have different abilities to donate electrons for the CO₂ activation. Therefore, the atomic charge of the involved surface and doped metal atoms for the CO₂ activation is suggested as a dominant factor to describe the CO₂ activation on the different Cu-based catalyst surfaces. Therefore, we could predict the reactivity of CO₂ reduction on the Cu surfaces with these correlations.

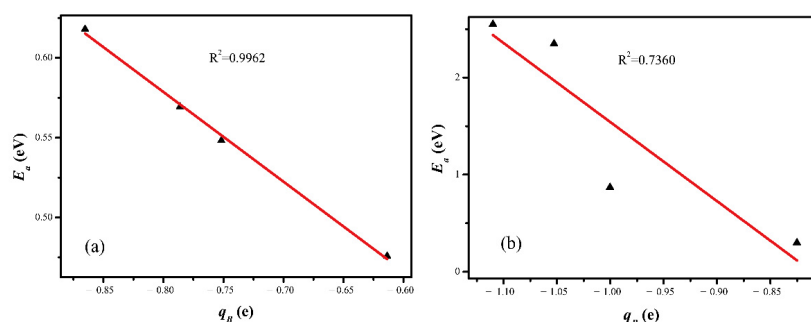


Figure 8. Trends in the CO₂ activation barrier (E_a) as a function of the average Bader charge (q_B) of the involved surface Cu and doped metal atoms for the CO₂ hydrogenation to (a) COOH and (b) HCOO.

As mentioned above, Ru has been shown to be the most effective additive for CO₂ hydrogenation in Cu-based catalysts. It can be argued that Ru additives can improve the catalytic activity of copper-based catalysts in several ways. First, electron transfer has been reported to be essential for reactant adsorption, which in turn affects the activity of reactants [43]. Ru alters the electronic properties of Cu, thus influencing the charge of surface reactants. Moreover, Ru is an effective catalyst for CO₂ hydrogenation [44]. Wesselbaum et al. suggested that a single Ru-triphos catalyst could improve the hydrogenation of CO₂ to methanol via the direct route [45]. Thus, the addition of Ru facilitates the conversion of CO₂.

3. Materials and Methods

3.1. Model

In order to study the CO₂ hydrogenation reaction mechanism using doped metals on the Cu-based catalysts, we selected a p(2×4) Cu(211) periodic model with three layers, which included 72 Cu atoms. There were different adsorption sites on the surface of Cu(211), including top (T), bridge (B), three-fold (3F), and four-fold (4F) sites, which are shown in Figure 9. Additionally, there was no interaction between the periodically repeated models. The vacuum layer was set to 15 Å. During the calculations, the adsorbates and top two layers were relaxed, and the remaining bottom layers were fixed in their bulk positions. As shown in Figure 9, the substitution model was used, in which the local surface Cu sites are replaced by Rh, Ni, Co, and Ru. The formula for formation energy is as follows [22]:

$$E_f = E_{\text{Cu(211)-M}} + E_{\text{Cu}} - E_{\text{M}} - E_{\text{Cu(211)}} \quad (1)$$

where E_{sub} is the substitution energy of the Cu(211)-M surface; $E_{\text{Cu(211)}}$ and $E_{\text{Cu(211)-M}}$ are the total energies of Cu(211) and Cu(211)-M surfaces, respectively. E_{Cu} and E_{M} are the total energies of single Cu and promoter atoms (including Rh, Ni, Co, and Ru). According to this definition, it is indicated that the negative E_f value suggests that the formation process is exothermic and preferable.

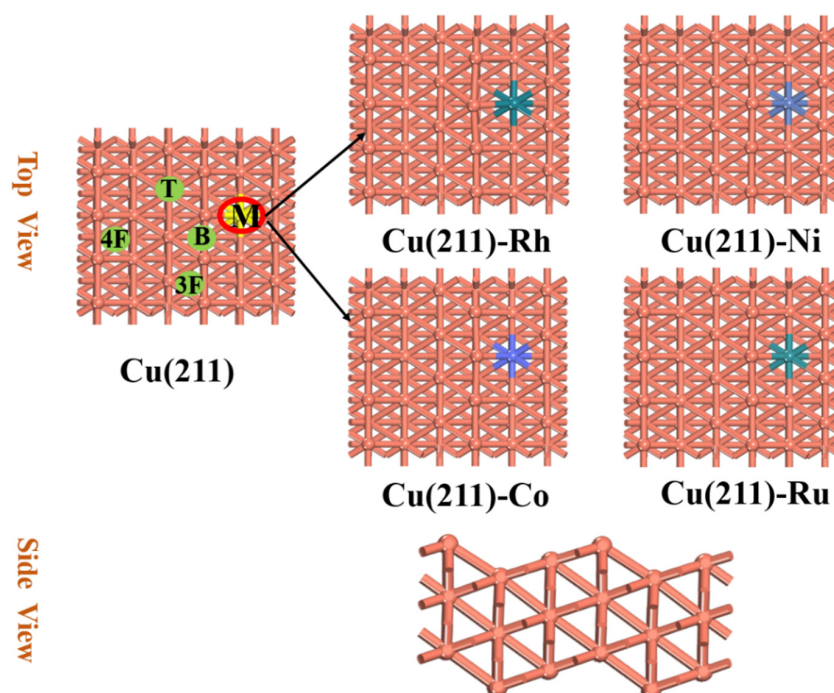


Figure 9. Top and side views of structures of pure Cu(211) surface and transition-metal-doped Cu(211)-M (M = Rh, Ni, Co, and Ru) surfaces and possible adsorption sites: top site (T), bridge site (B), three-fold site (3F), and four-fold site (4F).

3.2. Calculation Method

The VASP (Vienna Ab-initio Simulation Package) software (version 5.4.4) developed by the University of Vienna Hafner was used to study the adsorption energies and activation energies of the CO₂ hydrogenation [46,47]. The generalized gradient approximation (GGA) method with Perdew–Burke–Ernzerhof (PBE) was used as the exchange–correlation energy. [48] The plane wave basis set was set to 400 eV. When the total energy converges to 10^{−5} eV and the force is less than 0.03 eV/Å, the geometry optimization is thought to be converged. A 3 × 2 × 1 k-point sampling in the surface Brillouin zone was used for all calculations. We tested the parameters including k-point grids and cutoff energy (see Table 6) for convergence accuracy using COOH adsorption on a Cu(211)-Ru surface as an example, and the results showed energy differences in the range of 0.01–0.04 eV. The CI-NEB (climbing image-nudged elastic band) [49,50] was performed to confirm the transition state structure. When atomic force is less than 0.05 eV/Å, the transition state would be converged. In addition, the vibrational frequencies were introduced to verify the transition states with only one imaginary frequency. The adsorption energy (E_{ads}) of adsorbates is defined as:

$$E_{\text{ads}} = E_{\text{adsorbate/slab}} - E_{\text{slab}} - E_{\text{adsorbate}} \quad (2)$$

here, $E_{\text{adsorbate/slab}}$, E_{slab} , and $E_{\text{adsorbate}}$ are the total energies of the slab with the adsorbate, the slab surface, and the free adsorbate, respectively. It is indicated that the more negative the value of E_{sub} , the stronger the adsorption. The activation barrier (E_a) and reaction energy (E_r) are defined as:

$$E_a = E_{\text{TS}} - E_{\text{IS}} \quad (3)$$

$$E_r = E_{\text{FS}} - E_{\text{IS}} \quad (4)$$

here, E_{IS} , E_{TS} , and E_{FS} are the total energy of the initial, transition, and final states.

Table 6. Model testing parameters for COOH adsorption on the Cu(211)-Ru surface.

Surface Slabs	Cut-Energy	k-Points	E_{ads} (eV)
Cu(211)-Ru	400	3 × 2 × 1	−2.61
	400	3 × 3 × 1	−2.57
	400	4 × 4 × 1	−2.59
Cu(211)-Ru	400	3 × 2 × 1	−2.61
	500	3 × 2 × 1	−2.57
	600	3 × 2 × 1	−2.57

4. Conclusions

In this work, the effects of transition metal doping on Cu(211) surfaces for CO₂ hydrogenation were investigated using the density functional theory method. It is revealed that the doping of Rh, Ni, Co, and Ru doping enhances the dissociation of H₂ and the hydrogenation of CO₂ to COOH. For the hydrogenation of CO₂ to HCOO, Ru shows a positive role in promoting the formation of HCOO, while the doping of Rh, Ni, and Co leads to an increase in the energy barrier. Therefore, the doping of Ru is the most effective for the reduction of CO₂. Differential charge analysis showed that the doping of Rh, Ni, Co, and Ru alters the electronic properties of Cu, which in turn influences the activity of Cu-based catalysts for CO₂ reduction. Bader charge as a descriptor was introduced in CO₂ activation on various Cu(211) surfaces. According to the calculations, there is a good relationship between the atomic charges of the involved surface Cu and M (M = Rh, Ni, Co, and Ru) atoms and the activation barriers for CO₂ activation. With these correlations, the performance of different Cu-based catalysts could be reasonably and accurately predicted.

Author Contributions: Conceptualization, Z.J. and M.Y.; methodology, X.Z. (Xinyi Zhang) and Y.G.; validation, J.L. and Y.W.; investigation, X.Z. (Ximing Zhang) and C.G.; writing—original draft preparation, Y.W., M.Y. and Z.J.; writing—review and editing, X.C., X.Z. (Ximing Zhang), Z.J. and Y.P.; supervision, M.Y. and Y.P. All authors have read and agreed to the published version of the manuscript.

Funding: This work was funded by the National College Student Innovation and Entrepreneurship Training Program (No. 202111488004), the Research Fund for the Quzhou University (No. BSYJ202015 and BSYJ202113), and the Research Fund of Institute of Zhejiang University-Quzhou (No. IZQ2021RCZX030).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data can be found in the manuscript.

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are not available from the authors.

References

1. Dimitriou, I.; García-Gutiérrez, P.; Elder, R.H.; Cuéllar-Franca, R.M.; Azapagic, A.; Allen, R.W.K. Carbon dioxide utilisation for production of transport fuels: Process and economic analysis. *Energy Environ. Sci.* **2015**, *8*, 1775–1789. [\[CrossRef\]](#)
2. Sun, R.; Liao, Y.; Bai, S.-T.; Zheng, M.; Zhou, C.; Zhang, T.; Sels, B.F. Heterogeneous catalysts for CO₂ hydrogenation to formic acid/formate: From nanoscale to single atom. *Energy Environ. Sci.* **2021**, *14*, 1247–1285. [\[CrossRef\]](#)
3. Bai, X.; Zhao, X.; Zhang, Y.; Ling, C.; Zhou, Y.; Wang, J.; Liu, Y. Dynamic stability of copper single-atom catalysts under working conditions. *J. Am. Chem. Soc.* **2022**, *144*, 17140–17148. [\[CrossRef\]](#)
4. Zhou, W.; Cheng, K.; Kang, J.; Zhou, C.; Subramanian, V.; Zhang, Q.; Wang, Y. New horizon in C₁ chemistry: Breaking the selectivity limitation in transformation of syngas and hydrogenation of CO₂ into hydrocarbon chemicals and fuels. *Chem. Soc. Rev.* **2019**, *48*, 3193–3228. [\[CrossRef\]](#)
5. Yang, J.; Wang, Z.; Jiang, J.; Chen, W.; Liao, F.; Ge, X.; Zhou, X.; Chen, M.; Li, R.; Xue, Z.; et al. In-situ polymerization induced atomically dispersed manganese sites as cocatalyst for CO₂ photoreduction into synthesis gas. *Nano Energy* **2020**, *76*, 105059. [\[CrossRef\]](#)
6. Jiang, J.-C.; Chen, J.-C.; Zhao, M.-d.; Yu, Q.; Wang, Y.-G.; Li, J. Rational design of copper-based single-atom alloy catalysts for electrochemical CO₂ reduction. *Nano Res.* **2022**, *15*, 7116–7123. [\[CrossRef\]](#)
7. Podrojková, N.; Sans, V.; Oriňák, A.; Oriňáková, R. Recent developments in the modelling of heterogeneous catalysts for CO₂ conversion to chemicals. *ChemCatChem* **2020**, *12*, 1802–1825. [\[CrossRef\]](#)
8. Wang, L.X.; Wang, L.; Xiao, F.S. Tuning product selectivity in CO₂ hydrogenation over metal-based catalysts. *Chem. Sci.* **2021**, *12*, 14660–14673. [\[CrossRef\]](#) [\[PubMed\]](#)
9. Zhang, L.; Liu, X.; Wang, H.; Cao, L.; Huang, C.; Li, S.; Zhang, X.; Guan, Q.; Shao, X.; Lu, J. Size-dependent strong metal–support interaction in Pd/ZnO catalysts for hydrogenation of CO₂ to methanol. *Catal. Sci. Technol.* **2021**, *11*, 4398–4405. [\[CrossRef\]](#)
10. Zhang, Z.; Shen, C.; Sun, K.; Jia, X.; Ye, J.; Liu, C.-j. Advances in studies of the structural effects of supported Ni catalysts for CO₂ hydrogenation: From nanoparticle to single atom catalyst. *J. Mater. Chem. A* **2022**, *10*, 5792–5812. [\[CrossRef\]](#)
11. Li, K.; Chen, J.G. CO₂ hydrogenation to methanol over ZrO₂-containing catalysts: Insights into ZrO₂ induced synergy. *ACS Catal.* **2019**, *9*, 7840–7861. [\[CrossRef\]](#)
12. Murthy, P.S.; Liang, W.; Jiang, Y.; Huang, J. Cu-based nanocatalysts for CO₂ hydrogenation to methanol. *Energy Fuels* **2021**, *35*, 8558–8584. [\[CrossRef\]](#)
13. Zhao, H.; Yu, R.; Ma, S.; Chen, Y.; Xu, K.; Fang, Y.; Zhu, C.; Liu, X.; Tang, Y.; Wu, L.; et al. The role of Cu₁-O₃ species in single-atom Cu catalyst for directional methanol synthesis from CO₂ hydrogenation. *Nat. Catal.* **2021**, *8*, 818–831.
14. Tan, Q.; Shi, Z.; Wu, D. CO₂ hydrogenation to methanol over a highly active Cu–Ni/CeO₂–nanotube catalyst. *Ind. Eng. Chem. Res.* **2018**, *57*, 10148–10158. [\[CrossRef\]](#)
15. Liu, C.; Yang, B.; Tyo, E.; Seifert, S.; DeBartolo, J.; von Issendorff, B.; Zapol, P.; Vajda, S.; Curtiss, L.A. Carbon dioxide conversion to methanol over size-selected Cu₄ clusters at low pressures. *J. Am. Chem. Soc.* **2015**, *137*, 8676–8679. [\[CrossRef\]](#) [\[PubMed\]](#)
16. Wu, P.; Yang, B. Significance of surface formate coverage on the reaction kinetics of methanol synthesis from CO₂ hydrogenation over Cu. *ACS Catal.* **2017**, *7*, 7187–7195. [\[CrossRef\]](#)
17. Mandal, S.C.; Rawat, K.S.; Garg, P.; Pathak, B. Hexagonal Cu(111) monolayers for selective CO₂ hydrogenation to CH₃OH: Insights from density functional theory. *ACS Appl. Nano Mater.* **2019**, *2*, 7686–7695. [\[CrossRef\]](#)
18. Qiu, M.; Li, Y.; Zhang, Y. The mechanism for CO₂ reduction over Fe-modified Cu(100) surfaces with thermodynamics and kinetics: A DFT study. *RSC Adv.* **2020**, *10*, 32569–32580. [\[CrossRef\]](#)
19. Yang, Y.; White, M.G.; Liu, P. Theoretical study of methanol synthesis from CO₂ hydrogenation on metal-doped Cu(111) surfaces. *J. Phys. Chem. C* **2011**, *116*, 248–256. [\[CrossRef\]](#)

20. Chen, X.; Su, X.; Su, H.-Y.; Liu, X.; Miao, S.; Zhao, Y.; Sun, K.; Huang, Y.; Zhang, T. Theoretical insights and the corresponding construction of supported metal catalysts for highly selective CO₂ to CO conversion. *ACS Catal.* **2017**, *7*, 4613–4620. [[CrossRef](#)]
21. Shyam Kattel, P.J.R.; Jingguang, G.C.; José, A.; Rodriguez, P.L. Active sites for CO₂ hydrogenation to methanol on Cu/ZnO catalysts. *Science* **2017**, *355*, 1296–1299. [[CrossRef](#)] [[PubMed](#)]
22. Tang, Q.; Ji, W.; Russell, C.K.; Zhang, Y.; Fan, M.; Shen, Z. A new and different insight into the promotion mechanisms of Ga for the hydrogenation of carbon dioxide to methanol over a Ga-doped Ni(211) bimetallic catalyst. *Nanoscale* **2019**, *11*, 9969–9979. [[CrossRef](#)] [[PubMed](#)]
23. Graciani, J.; Mudiyansele, K.; Xu, F.; Baber, A.E.; Evans, J.; Senanayake, S.D.; Stacchiola, D.J.; Liu, P.; Hrbek, J.; Sanz, J.F.; et al. Highly active copper-ceria and copper-ceria-titania catalysts for methanol synthesis from CO₂. *Science* **2014**, *345*, 546–549. [[CrossRef](#)] [[PubMed](#)]
24. Yang, Y.; Evans, J.; Rodriguez, J.A.; White, M.G.; Liu, P. Fundamental studies of methanol synthesis from CO₂ hydrogenation on Cu(111), Cu clusters, and Cu/ZnO(0001). *Phys. Chem. Chem. Phys.* **2010**, *12*, 9909–9917. [[CrossRef](#)] [[PubMed](#)]
25. Nie, X.; Jiang, X.; Wang, H.; Luo, W.; Janik, M.J.; Chen, Y.; Guo, X.; Song, C. Mechanistic understanding of alloy effect and water promotion for Pd-Cu bimetallic catalysts in CO₂ hydrogenation to methanol. *ACS Catal.* **2018**, *8*, 4873–4892. [[CrossRef](#)]
26. Ma, L.; Zhao, W.; Wang, B.; Ling, L.; Zhang, R. CO₂ activation and conversion on Cu catalysts: Revealing the role of Cu surface defect types in tuning the activity and selectivity. *Fuel* **2022**, *313*, 122686. [[CrossRef](#)]
27. Zhao, P.; Cao, Z.; Liu, X.; Ren, P.; Cao, D.B.; Xiang, H.; Jiao, H.; Yang, Y.; Li, Y.-W.; Wen, X.D. Morphology and reactivity evolution of HCP and FCC Ru nanoparticles under CO atmosphere. *ACS Catal.* **2019**, *9*, 2768–2776. [[CrossRef](#)]
28. Liao, F.; Huang, Y.; Ge, J.; Zheng, W.; Tedsree, K.; Collier, P.; Hong, X.; Tsang, S.C. Morphology-dependent interactions of ZnO with Cu nanoparticles at the materials' interface in selective hydrogenation of CO₂ to CH₃OH. *Angew. Chem. Int. Ed.* **2011**, *50*, 2162–2165. [[CrossRef](#)]
29. Zhang, Y.; Zhao, Y.; Otroshchenko, T.; Han, S.; Lund, H.; Rodemerck, U.; Linke, D.; Jiao, H.; Jiang, G.; Kondratenko, E.V. The effect of phase composition and crystallite size on activity and selectivity of ZrO₂ in non-oxidative propane dehydrogenation. *J. Catal.* **2019**, *371*, 313–324. [[CrossRef](#)]
30. Nie, L.; Li, Z.; Kuang, T.; Lyu, S.; Liu, S.; Zhang, Y.; Peng, B.; Li, J.; Wang, L. Role of well-defined cobalt crystal facets in Fischer-Tropsch synthesis: A combination of experimental and theoretical studies. *Chem. Commun.* **2019**, *55*, 10559–10562. [[CrossRef](#)]
31. Zhang, R.; Wang, G.; Wang, B. Insights into the mechanism of ethanol formation from syngas on Cu and an expanded prediction of improved Cu-based catalyst. *J. Catal.* **2013**, *305*, 238–255. [[CrossRef](#)]
32. Liu, J.X.; Su, H.Y.; Sun, D.P.; Zhang, B.Y.; Li, W.X. Crystallographic dependence of CO activation on cobalt catalysts: HCP versus FCC. *J. Am. Chem. Soc.* **2013**, *135*, 16284–16287. [[CrossRef](#)] [[PubMed](#)]
33. Mavrikakis, M.; Bäumer, M.; Freund, H.J.; Nørskov, J.K. Structure sensitivity of CO dissociation on Rh surfaces. *Catal. Lett.* **2002**, *81*, 153–156. [[CrossRef](#)]
34. Behrens, M.; Studt, F.; Kasatkin, I.; Kühl, S.; Hävecker, M.; Abild-Pedersen, F.; Zander, S.; Girgsdies, F.; Kurr, P.; Knief, B.-L.; et al. The active site of methanol synthesis over CuZnO/Al₂O₃ industrial catalysts. *Science* **2012**, *336*, 893–897. [[CrossRef](#)]
35. Liu, X.; Zhang, C.; Tian, P.; Xu, M.; Cao, C.; Yang, Z.; Zhu, M.; Xu, J. Revealing the effect of sodium on iron-based catalysts for CO₂ hydrogenation: Insights from calculation and experiment. *J. Phys. Chem. C* **2021**, *125*, 7637–7646. [[CrossRef](#)]
36. Zhang, M.; Dou, M.; Yu, Y. Theoretical study of the promotional effect of ZrO₂ on In₂O₃ catalyzed methanol synthesis from CO₂ hydrogenation. *Appl. Surf. Sci.* **2018**, *433*, 780–789. [[CrossRef](#)]
37. Gong, H.; He, Y.; Yin, J.; Liu, S.; Qing, M.; Peng, Q.; Huo, C.-F.; Wang, H.; Yang, Y.; Wen, X.-D. Electronic effects of transition metal dopants on Fe(100) and Fe₅C₂(100) surfaces for CO activation. *Catal. Sci. Technol.* **2020**, *10*, 2047–2056. [[CrossRef](#)]
38. Zhang, X.; Liu, J.-X.; Zijlstra, B.; Pilot, I.A.W.; Zhou, Z.; Sun, S.; Hensen, E.J.M. Optimum Cu nanoparticle catalysts for CO₂ hydrogenation towards methanol. *Nano Energy* **2018**, *43*, 200–209. [[CrossRef](#)]
39. Bai, S.T.; De Smet, G.; Liao, Y.; Sun, R.; Zhou, C.; Beller, M.; Maes, B.U.W.; Sels, B.F. Homogeneous and heterogeneous catalysts for hydrogenation of CO₂ to methanol under mild conditions. *Chem. Soc. Rev.* **2021**, *50*, 4259–4298. [[CrossRef](#)] [[PubMed](#)]
40. Chi, S.; Huang, H.; Yu, Y.; Zhang, M. Mechanism insight into MnO for CH_x(x = 1 to 3) hydrogenation and C1-C1 coupling processes on Co(0001) surface: A DFT and kMC study. *Appl. Surf. Sci.* **2022**, *586*, 152840. [[CrossRef](#)]
41. Chen, B.; Wang, D.; Duan, X.; Liu, W.; Li, Y.; Qian, G.; Yuan, W.; Holmen, A.; Zhou, X.; Chen, D. Charge-tuned CO activation over a χ -Fe₅C₂ Fischer-Tropsch Catalyst. *ACS Catal.* **2018**, *8*, 2709–2714. [[CrossRef](#)]
42. Bronsted, J.N. Acid and basic catalysis. *Chem. Rev.* **1928**, *5*, 231–338. [[CrossRef](#)]
43. Pilot, I.A.W.; Fariduddin, F.; Broos, R.J.P.; Zijlstra, B.; Hensen, E.J.M. A quantum-chemical DFT study of CO dissociation on Fe-promoted stepped Rh surfaces. *Catal. Today* **2016**, *275*, 111–118. [[CrossRef](#)]
44. Xie, S.; Zhang, W.; Lan, X.; Lin, H. CO₂ Reduction to methanol in the liquid phase: A review. *ChemSusChem* **2020**, *13*, 6141–6159. [[PubMed](#)]
45. Wesselbaum, S.; Moha, V.; Meuresch, M.; Brosinski, S.; Thenert, K.M.; Kothe, J.; Stein, T.V.; Englert, U.; Holscher, M.; Klankermayer, J.; et al. Hydrogenation of carbon dioxide to methanol using a homogeneous ruthenium-Triphos catalyst: From mechanistic investigations to multiphase catalysis. *Chem. Sci.* **2015**, *6*, 693–704. [[CrossRef](#)] [[PubMed](#)]
46. Kresse, G.; Furthmüller, J. Efficiency of ab-initio total energy calculations for metals and semiconductors using a plane-wave basis set. *Comput. Mater. Sci.* **1996**, *6*, 15–50. [[CrossRef](#)]

47. Kresse, G.; Furthmüller, J. Efficient iterative schemes for ab initio total-energy calculations using a plane-wave basis set. *Phys. Rev. B* **1996**, *54*, 11169–11186. [[CrossRef](#)]
48. Perdew, J.P.; Burke, K.; Ernzerhof, M. Generalized gradient approximation made simple. *Phys. Rev. Lett.* **1996**, *77*, 3865–3868. [[CrossRef](#)]
49. Sheppard, D.; Xiao, P.; Chemelewski, W.; Johnson, D.D.; Henkelman, G. A generalized solid-state nudged elastic band method. *J. Chem. Phys.* **2012**, *136*, 074103. [[CrossRef](#)]
50. Sheppard, D.; Terrell, R.; Henkelman, G. Optimization methods for finding minimum energy paths. *J. Chem. Phys.* **2008**, *128*, 134106. [[CrossRef](#)]

Disclaimer/Publisher’s Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.