

Article

# Dehydrogenation of Formic Acid to CO<sub>2</sub> and H<sub>2</sub> by Manganese(I)–Complex: Theoretical Insights for Green and Sustainable Route

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**Abstract:** In this work, a detailed computational study on a recently synthesized Mn(I)-dependent complex  $[(^t\text{BuPNNOP})\text{Mn}(\text{CO})_2]^+$  is reported. This species promotes the dehydrogenation of formic acid to carbon dioxide and hydrogen. The here proposed catalytic cycle proceeds through the formation of stabilized adduct between  $[(^t\text{BuPNNOP}^t\text{Bu})\text{Mn}(\text{CO})_2]^+$  and formate and the progressive release of CO<sub>2</sub> and H<sub>2</sub>, mediated by the presence of trimethylamine. In order to evaluate the influence of the environment on the catalytic activity, different solvents have been taken into account. The computed barriers and the geometrical parameters account well for the available experimental data, confirming the robustness of the complex and reproducing its good catalytic performance. Outcomes from the present investigation can stimulate further experimental works in the design of new more efficient catalysts devoted to H<sub>2</sub> production.

**Keywords:** CO<sub>2</sub>; H<sub>2</sub>; formic acid; transition state; DFT



**Citation:** Marino, T.; Prejanò, M. Dehydrogenation of Formic Acid to CO<sub>2</sub> and H<sub>2</sub> by Manganese(I)–Complex: Theoretical Insights for Green and Sustainable Route. *Catalysts* **2021**, *11*, 141. <https://doi.org/10.3390/catal11010141>

Received: 4 January 2021

Accepted: 16 January 2021

Published: 19 January 2021

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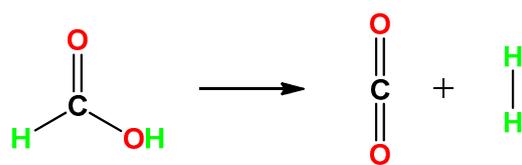
## 1. Introduction

The increasing need for new and sustainable energetic resources represents one of the most important challenges characterizing the current century [1,2]. Indeed, fossil fuels, gas, coal and nuclear energy are still widely used, but environmentally dangerous, energy sources [2–5]. The intensive use of fossil fuels, for example, has been directly linked to the increasing level of CO<sub>2</sub> and greenhouse gas emissions, dramatically influencing the climate changes [3].

For these reasons, in the last fifty years, the interest devoted to possible “green” alternatives, like the use of sunlight-, wind- and water-based energies [6], have been increased, but, despite their promising efficiency, different technical issues are related to them and in particular to the storage of energy vectors on large scale [7].

One of the possible solutions is represented by the so-called sustainable hydrogen economy [8–11]. In this route, indeed, the electricity is converted in a secondary chemical energy carrier that can be used on demand [12–16]. The combustion of H<sub>2</sub> in the presence of O<sub>2</sub>, in devices like fuel cells, formally produces electricity and H<sub>2</sub>O, a green product.

On the other hand, the H<sub>2</sub> is not present on earth and it can be obtained/stored from/in organic compounds, like methanol and formic acid (FA) [17–19]. In particular, since its chemical-physical properties and its involvement in chemical industries and biomass production, the FA is believed a promising species for the hydrogen economy [1,2,20,21]. The dehydrogenation of FA, which generates CO<sub>2</sub> and H<sub>2</sub>, (Scheme 1) is usually mediated by metal-containing catalysts.



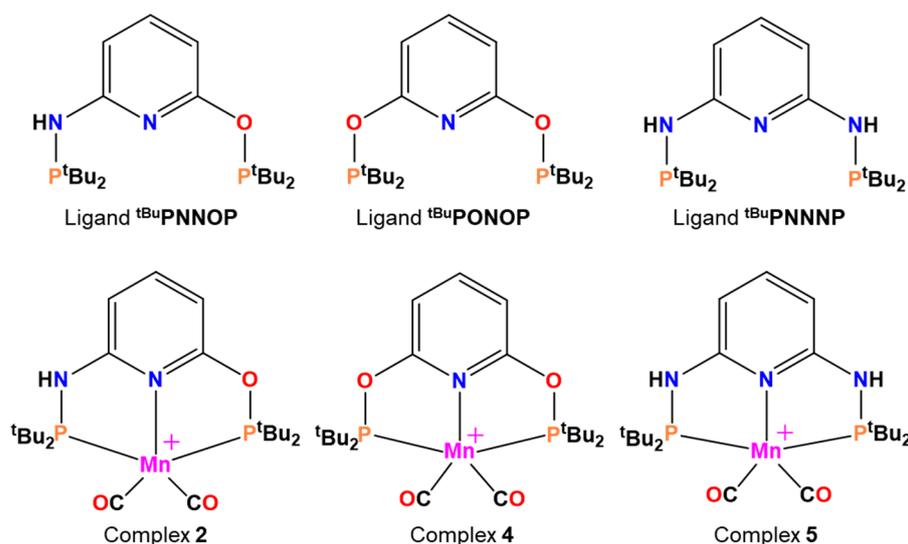
**Scheme 1.** Conversion of formic acid in CO<sub>2</sub> and H<sub>2</sub>.

In detail, the most widely adopted species contain Pd, Pt, Ir, Rh and Ru (see refs [22–26] as recent examples), with turnover frequencies (TOFs) varying from 10<sup>2</sup> to 10<sup>6</sup> h<sup>−1</sup> [27]. Since all these species contain precious metals, nowadays one of the main goals is synthesizing complexes containing equally efficient metal ions belonging to the first row of transition series, which are notoriously cheaper and more abundant in the Earth's crust.

Promising solutions can arise by Fe-based catalysts showing turnover values comparable to those containing precious metals, but still demanding an improvement of their catalytic efficiency [28–30]. In addition, these species require the presence of a general base and Lewis acid, like Li<sup>+</sup>, to explicate the catalysis [29].

Very recently, for a new synthesized complex of Mn(I)-based complex, fast catalyzed dehydrogenation of formic acid has been described [31]. The organometallic compound, having the structure [(<sup>t</sup>BuPNNOPMn(CO)<sub>2</sub>)<sup>+</sup> (**2**), with <sup>t</sup>BuPNNOP = 2,6-(di-tert-butylphosphinito)(di-tert-butylphosphinamine)pyridine), exhibited substantially higher catalytic activity with respect to the unique known Mn-dependent complex acting on the formic acid [32], with TOFs improved to the value of 8500 h<sup>−1</sup> [31]. The reaction requires the presence of a proton-acceptor system, but intriguingly the catalysis was not affected by the nature of the base [31].

In addition, the contribution of the hybrid <sup>t</sup>BuPNNOP ligand has been highlighted, since attempts with <sup>t</sup>BuPONOP (<sup>t</sup>BuPONOP = 2,6-bis(di-tert-butylphosphinito)pyridine, **4**) and <sup>t</sup>BuPNNNP (<sup>t</sup>BuPNNNP = 2,6-bis(di-tert-butylphosphinamine)pyridine, **5**), two ligands tested on a previous Ru-based organometallic complex and depicted in Scheme 2 [33], did not provide remarkable catalytic activity [31].



**Scheme 2.** The three ligands (on the top) and the three related complexes (on the bottom). The name of the complexes has been retained according to the experimental work [31].

Encouraged by the results' novelty, which bring Mn into the family of earth-abundant metals catalyzing FA dehydrogenation [31], a detailed mechanistic study on the catalysis mediated by **2** in the framework of density functional theory (DFT) has been performed, with the aim of shedding light on the possible reaction mechanism and to characterize

the intermediates and transition states intercepted along the related potential energy surface (PES).

The adducts with formic acid of two other manganese ligands (complex **4**, <sup>bu</sup>PNNOP and complex **5**, <sup>bu</sup>PNNNP of Scheme 2) have been considered. In addition, different solvents have been taken into account to evaluate their effect on the rate limiting step.

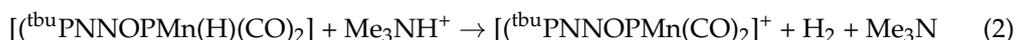
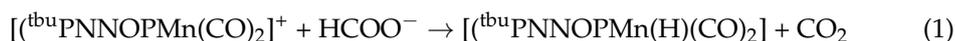
We hope that our results on the detailed catalytic mechanism of **2** can increase the knowledge of such a promising complex, helping the rationalization of experiments design of more efficient catalysts.

## 2. Results and Discussion

Before proceeding with the mechanistic study, it was mandatory to determine the spin multiplicity. For this purpose, optimizations of **2** catalyst in different spin states ( $2S + 1 = 1, 3, 5$ ) have been performed. The singlet state ( $2S + 1 = 1$ ) resulted in being the most stable one in agreement with  $d^6$  configuration of Mn(I) species, as analogously determined in a recent study concerning the Mn(I)-mediated catalysis of organic nitriles to amides [34], while the triplet and quintet lay at 12.1 kcal/mol and 18.7 kcal/mol, respectively, with respect to the singlet spin state.

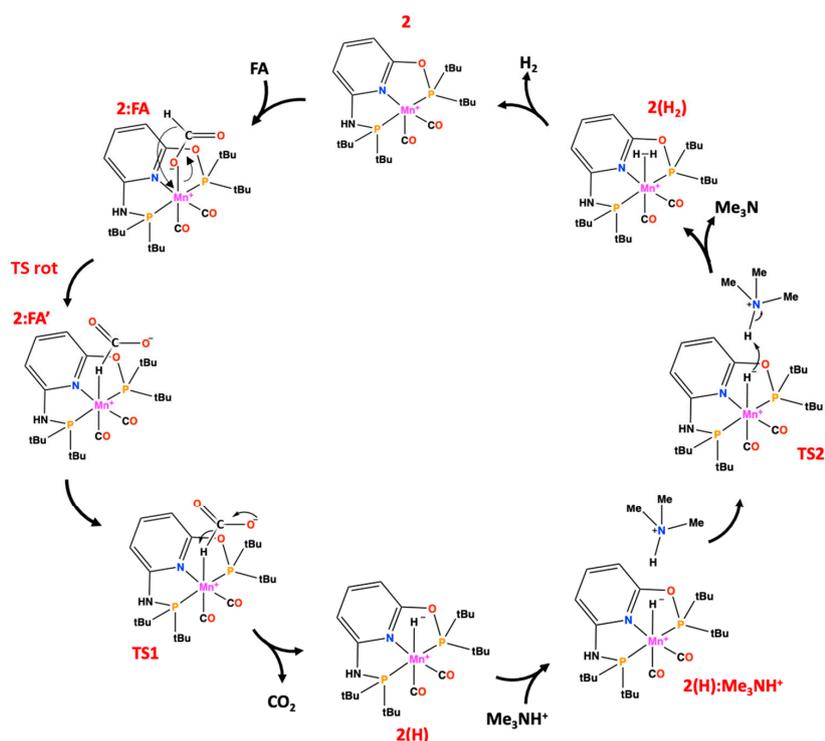
Small spin contamination (<6%, see Table S1), monitored as described in other works [35,36], has been observed with  $\langle S^2 \rangle$  equal to 2.10 and 6.29 for triplet and quintet (2.00 and 6.00 after annihilation, respectively) and the single state has been selected for mechanistic investigation. Consistently with the X-ray structure of substrate-free complex (CCDC 1848774) [31], in the case of singlet state, good agreement with crystallographic distances between Mn(I) and atoms of its coordination sphere were found, with small deviation (<0.05 Å) compared with the experimental counterpart (see Figure S1). In the case of higher spin states, an increasing shift with respect to the X-ray structure has been observed (see Figure S1), confirming that the singlet spin state represents the suitable species for the study of catalytic mechanism.

The proposed reaction mechanism is reported in Scheme 3 and the related free energy profile is presented in Figure 1. After the formation of the complex-FA<sup>-</sup> adduct, the rearrangement of the substrate takes place, in which the bond with the metal switches from the oxygen to the hydrogen of the substrate. Successively, the reaction mechanism can be divided in two phases, as follows:

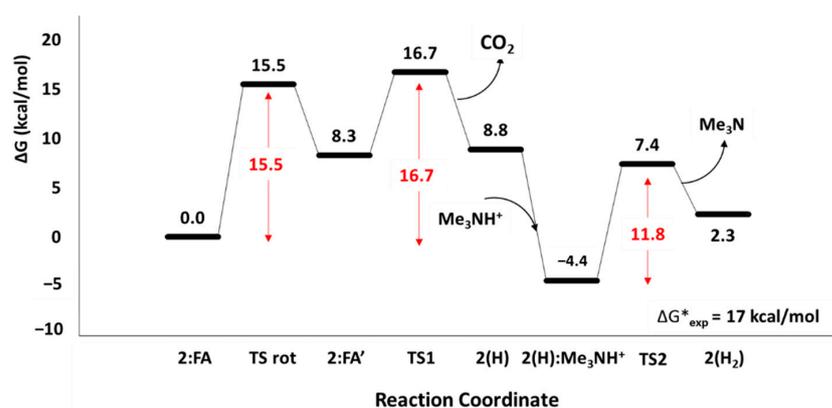


In the first (Equation (1)), the CO<sub>2</sub> (the first product) is released with concerted formation of H-Mn bond. In the second phase (Equation (2)), due to the presence of Me<sub>3</sub>NH<sup>+</sup> species, the H<sub>2</sub> (the second product) is formed and subsequently released. Every phase consisted of a multistep process. The final mechanism included the formic acid in its deprotonated form (FA) produced by the trimethylamine acting as proton acceptor, since all the attempts performed on neutral species failed.

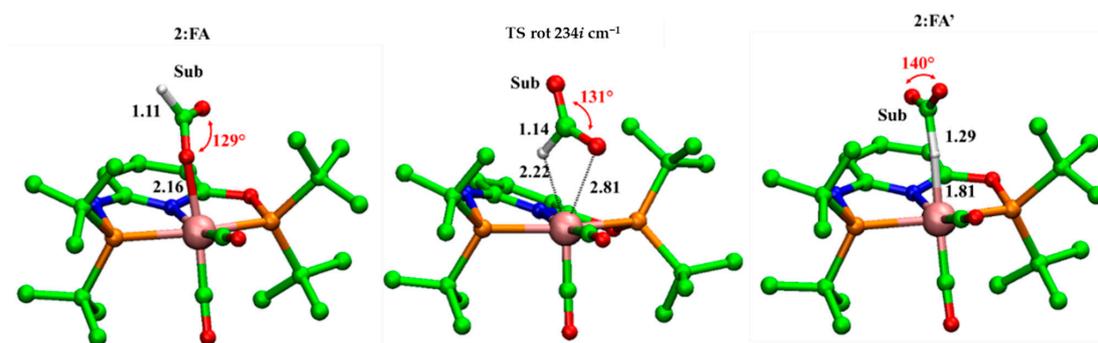
The first part of the study is related to the formation of adduct between complex **2** and formate (FA). Two different conformers have been characterized with the formate bound to the Mn(I) in both Mn-O<sup>-</sup> (**2:FA**) and Mn-H (**2:FA'**) fashions as shown in the optimized structures illustrated in Figure 2. The **2:FA'** is higher in energy (8.3 kcal/mol) with respect to **2:FA**, but, in spite of this, it resulted in being more reactive with the C-H distance of 1.29 Å and the OĈO angle of 140°, if compared to the corresponding ones in **2:FA** (1.11 Å and 129°, respectively).



**Scheme 3.** The investigated mechanism for the FA to CO<sub>2</sub> conversion catalyzed by **2**.



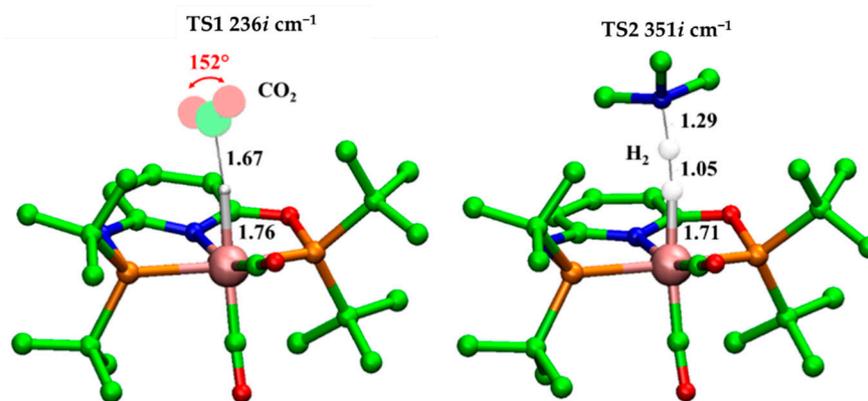
**Figure 1.** Free energy profile of the FA to CO<sub>2</sub> conversion catalyzed by complex **2** at the B3LYP-D3/6-311+G(2d,2p)/SDD/Chlorobenzene level of theory. Energy barriers are depicted in red.



**Figure 2.** B3LYP-D3/6-31+G(d,p) optimized geometries of **2:FA**, **TS rot** and **2:FA'**. Distances implicated in chemical event (black) are in Å. Imaginary frequencies are also reported. For clarity, hydrogens are not shown.

The interconversion between the Mn-O<sup>-</sup> and Mn-H takes place with an energy barrier of 15.5 kcal/mol across the transition state **TS rot** (see Figure 1). In the corresponding optimized geometry (see Figure 2), the formate acts as a bidentate ligand owing to the forming Mn-H 2.22 Å and the breaking Mn-O-bonds 2.81 Å, thus offering an epta-coordinated manganese. DFT calculations on the dehydrogenation of formic acid promoted by a pincer-supported iron catalyst evidenced analogous behavior proposing an energy barrier of 21.4 kcal/mol for the switching from the Fe-O<sup>-</sup> to the Fe-H conformer [29].

Starting from **2:FA'**, the CO<sub>2</sub> release takes place by overcoming the barrier of 8.4 kcal/mol represented by **TS1** in Figure 3. In particular, the H lies at 1.76 Å from the Mn(I) and at 1.67 Å from the C, while the value of O-C-O angle (152°) increased preparing for the next carbon dioxide release. The related imaginary frequency (236i cm<sup>-1</sup>) concerns the C-H stretching.



**Figure 3.** B3LYP-D3/6-31+G(d,p) optimized geometries of **TS1** and **TS2**. Relevant distances (in black) are in Å. Imaginary frequencies are also indicated. For clarity, hydrogens were not represented.

In the intermediate **2(H)** the H-Mn bond resulted in being definitely formed, as confirmed by the distance of 1.60 Å (see Figure S2). From this species starts the second phase of the dehydrogenation of formic acid leading to the formation of H<sub>2</sub>. To do this, a protonated species, most likely the conjugated acid of the base involved in the catalysis, must come into play acting as proton donor. As confirmed by experimental evidences [31], its presence is fundamental for the advancing of the reaction, being deputed to the deprotonation of the formic acid. Moreover, Tondreau et al. in their kinetic studies advised that “*the identity of the base does not significantly alter catalytic results*” [31], so in our investigation the protonated triethylamine used in the experiment has been replaced by the protonated trimethylamine (**Me<sub>3</sub>NH<sup>+</sup>** or **TMA**) in order to save computational cost.

The **2(H):Me<sub>3</sub>NH<sup>+</sup>** species lying at 4.4 kcal/mol below **2:FA<sup>-</sup>** is the result of the addition of the protonated base and represents the starting point of the next H<sub>2</sub> release step. The presence of the **Me<sub>3</sub>NH<sup>+</sup>** induces a small elongation of the Mn-H bond (1.65 Å) if compared with the value of 1.60 Å in **2(H)** species (see Figure S2) indicating its early activation.

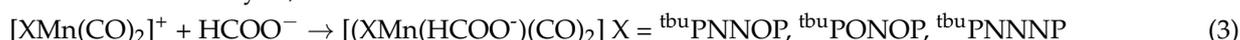
Indeed, the proton shift, which leads to the formation of the H<sub>2</sub> product, is described in the **TS2**, where the forming H<sub>base</sub>-H bond assumes a value of 1.05 Å vs. that of 1.45 Å found in **2(H):Me<sub>3</sub>NH<sup>+</sup>** of Figure S2 while the Mn-H results to be 1.71 Å. These findings evidence the important role of base also in the formation of the second product, since its nature as a proton-donor species. **TS2** lies at 11.8 kcal/mol related to the **2(H):Me<sub>3</sub>NH<sup>+</sup>** intermediate but at 7.4 kcal/mol with respect to **2:FA** (see Figure 1). In the **2(H<sub>2</sub>)** species, the molecular hydrogen is directly linked to the Mn(I). The H<sub>2</sub> σ bond length is 0.77 Å and the distance of H<sub>2</sub> from metallic center is equal to 1.91 Å, see Figure S2.

The **TS1** describing the release of CO<sub>2</sub> represents the highest relative barrier, presenting an energy 1.2 and 9.3 kcal/mol higher than those of the **TSrot** and **TS2**, respectively. The value of 16.7 kcal/mol, in addition, is in good agreement with the available

kinetic data proposing a  $k_{cat}$  value of  $2.4 \text{ s}^{-1}$ , converted to a  $\Delta G^\ddagger = 17 \text{ kcal/mol}$  adopting Eyring's equation [31].

As can be also noted from the analysis of the energy profile, the kinetic of reversible reaction, proceeding from  $2(\text{H}_2) \rightarrow 2:\text{FA}$  is slower. Indeed, for this reaction an energy barrier of  $21.1 \text{ kcal/mol}$  resulted for the step  $2(\text{H}):\text{Me}_3\text{NH}^+-\text{TS1}$ ,  $4.4 \text{ kcal/mol}$  higher than that calculated for the  $2:\text{FA} \rightarrow 2(\text{H}_2)$  pathway.

We also attempted to rationalize the effect of the pincer ligands **4** and **5** of Scheme 2 on the catalysis, focusing on their affinity ( $\Delta H_{aff}$ ) for the substrate and to the fundamental formation of complex:substrate adduct that represents a crucial step for the proceeding of catalysis, as follows:



$$\Delta H_{aff} = H_{[\text{XMn}(\text{HCOO}^-)(\text{CO})_2]} - H_{[\text{XMn}(\text{CO})_2]^+} - H_{\text{HCOO}^-} \quad (4)$$

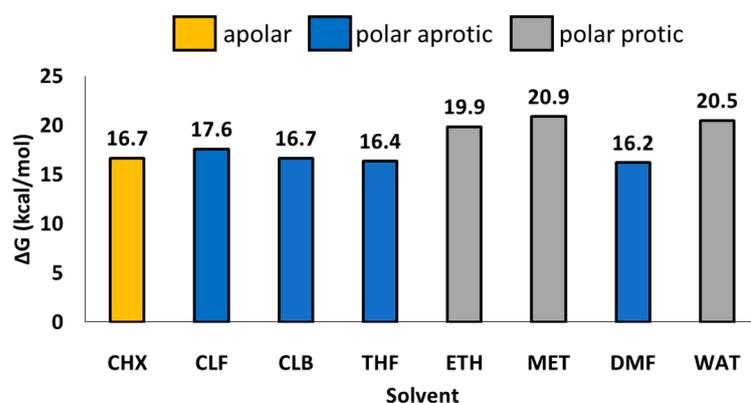
In the case of the complex **2** the adduct **2:FA** is more stabilized in energy ( $-35.1 \text{ kcal/mol}$  respect to the separated reactants), contrarily to the complexes **4:FA** and **5:FA** ( $16.6$  and  $22.0 \text{ kcal/mol}$ ). Based on these results, the increasing energy request for the formation of adduct (complex **2** > complex **4** > complex **5**) well reproduces the experimental observations [31].

A possible explanation for this behavior arises from the analysis of the charge distribution in the three **2:FA**, **4:FA** and **5:FA** adducts, reported in Figure S3.

In particular, an evident effect concerns the Mn(I) center that exhibits a more negative charge in presence of  $\text{t}^{\text{bu}}\text{PNNNP}$  ( $-0.08 \text{ |e|}$ ) and of  $\text{t}^{\text{bu}}\text{PONOP}$  ( $-0.05 \text{ |e|}$ ) than in presence of the  $\text{t}^{\text{bu}}\text{PNNOP}$ . This could consequently affect the coordination of the negatively charged  $\text{HCOO}^-$  with a reduced catalytic activity.

The ligand  $\text{t}^{\text{bu}}\text{PNNOP}$  proposes the right balance between electron withdrawing and electron donating effect, represented respectively by the O and N atoms, to the pyridine ring. Moreover, from the maps of the electrostatic potential of the three ligands depicted in Figure S4 emerges as the presence of two oxygens in  $\text{t}^{\text{bu}}\text{PONOP}$  leads to a marked decrease of negative charge on the aromatic ring contrarily to what occurs in  $\text{t}^{\text{bu}}\text{PNNNP}$ , this behavior can have repercussions on their catalytic activity.

Given the important role played in homogeneous catalysis by the solvent, with the aim of investigating its effect on the dehydrogenation of formic acid assisted by the **2** catalyst, different implicit solvents have been tested on the  $\text{CO}_2$  release step (**TS1**), considering dielectric constant with gradually increasing values to simulate apolar, polar aprotic and polar protic solvents extensively used in organometallic reactions [37]. The results are collected in Figure 4.



**Figure 4.** Variation of **TS1** barrier as function of implicit solvents: Cyclohexane (CHX)  $\epsilon = 2.0$ ; Chloroform (CLF)  $\epsilon = 4.7$ ; CLB (Chlorobenzene)  $\epsilon = 5.7$ ; THF (Tetrahydrofuran)  $\epsilon = 7.4$ ; ETH (Ethanol)  $\epsilon = 24.8$ ; MET (Methanol)  $\epsilon = 32.6$ ; DMF (Dimethylformamide)  $\epsilon = 37.2$ ; WAT (Water)  $\epsilon = 78.3$ .

The use of polar aprotic solvent with lower dielectric constant, respect to chlorobenzene ( $\epsilon = 5.7$ ), like chloroform ( $\epsilon = 4.7$ ), results in a destabilization of the energy barrier

(+0.9 kcal/mol), while an increased  $\epsilon$ , like in the case of tetrahydrofuran ( $\epsilon = 7.4$ ) and dimethylformamide ( $\epsilon = 37.2$ ) gives rise to lower energy barriers (0.3 kcal/mol and 0.5 kcal/mol, respectively).

An interesting behavior has been observed in the case of protic polar solvents. In the case of ethanol ( $\epsilon = 24.8$ ), methanol ( $\epsilon = 32.6$ ) and water ( $\epsilon = 78.3$ ), a remarkable increment of TS1 barrier resulted, with values of 3.2 kcal/mol, 4.2 kcal/mol and 3.8 kcal/mol, respectively, indicating that the choice of operative conditions can affect the efficiency of catalytic mechanism. Finally, for the apolar solvent cyclohexane ( $\epsilon = 2.0$ ), no relevant variations occurred.

### 3. Materials and Methods

All the calculations have been carried out with the Gaussian09 D.01 software package [38]. The available x-ray data (CCDC 1848774) has been selected as starting structure for the mechanistic study [31]. The geometries have been fully optimized without any physical constraints, adopting B3LYP functional [39,40] and 6-31+G(d,p) basis set for C, H, N, O and P atoms. For the Mn, instead, the SDD pseudopotential [41] and its related basis set has been chosen. In addition, the dispersions according to Grimme's scheme (D3-BJ) have been included in all the computations [42]. The strategy here presented has been successfully described and adopted in previous works on catalytic systems containing first row transition metals [34,43–47]. Given the lack of information on the multiplicity of the catalytic system, preliminary investigation has been carried out considering different spin states, ( $2S + 1 = 1, 3, 5$ ).

The potential energy surfaces have been explored via relaxed linear transit scan and the nature of intercepted minima and maxima has been confirmed through thermochemical analysis (one and no imaginary frequency for intermediates and transition states, respectively). To include the effect of solvent, the SMD implicit solvation method [48] has been assumed, selecting the chlorobenzene as medium ( $\epsilon = 5.7$ ) to better reproduce the experimental conditions [31]. In order to investigate the effect to the catalysis, cyclohexane ( $\epsilon = 2.0$ ), chloroform ( $\epsilon = 4.7$ ), chlorobenzene ( $\epsilon = 5.7$ ), Tetrahydrofuran ( $\epsilon = 7.4$ ), ethanol ( $\epsilon = 24.8$ ), methanol ( $\epsilon = 32.6$ ), dimethylformamide ( $\epsilon = 37.2$ ) and water ( $\epsilon = 78.3$ ) implicit solvents have been additionally tested.

The final energies ( $\Delta G$ ) reported on the PESs additionally include the most accurate electronic energy obtained from single point energy calculations employing the more extended 6-311+G(2d,2p) basis set, and the Gibbs free energy corrections, extrapolated from thermochemical analysis.

### 4. Conclusions

On the basis of a detailed computational investigation performed in solvent and taking into account the experimental information, a catalytic cycle for the dehydrogenation of formic acid promoted by a recently synthesized Mn(I)-dependent complex [ $(\text{t}^{\text{Bu}}\text{PNNOP})\text{Mn}(\text{CO})_2$ ] $^+$  as catalyst has been proposed. The process consists of two elementary steps: release of  $\text{CO}_2$  and production of  $\text{H}_2$ . The proposed reaction mechanism well fits the experimental behaviors.

The  $\text{CO}_2$  release represents the rate determining state requiring 16.7 kcal/mol.

The pincer ligand with its steric hindrance ensures the occurrence of the catalytic process confirmed by the scaffold stability on all the intercepted stationary points.

Our calculations highlight that the presence of a base is fundamental for the dehydrogenation of formic acid, not only in the deprotonation of acid, but also during the formation of the second product, acting as proton-donor species.

The behavior of other pincer ligands (with  $\text{t}^{\text{Bu}}\text{PONOP}$  ( $\text{t}^{\text{Bu}}\text{PONOP} = 2,6$ -bis(di-tert-butylphosphinito)pyridine 4 and  $\text{t}^{\text{Bu}}\text{PNNNP}$  ( $\text{t}^{\text{Bu}}\text{PNNNP} = 2,6$ -bis(di-tert-butylphosphinamine)pyridine, 5) has been rationalized in terms of a different electrostatic distribution induced on the pyridine ring coordinated to Mn(I) by nitrogen.

The effect of the solvent on the catalytic process has been evaluated by taking into account apolar, polar aprotic and polar protic solvents simulated by opportune dielectric constant values.

We believe that the results from the present investigation can stimulate further experimental works in the design of new more efficient catalysts devoted to H<sub>2</sub> production.

**Supplementary Materials:** The following are available online at <https://www.mdpi.com/2073-4344/11/1/141/s1>, Figure S1. On the left, superposition of substrate-free complex fixing singlet, triplet (green) and quintet (gray) state. On the right, comparison between optimized and experimental observed relevant geometrical parameters. Figure S2. B3LYP-D3/6-31+G(d,p) optimized geometries of 2(H), 2(H):Me<sub>3</sub>NH<sup>+</sup> and 2(H<sub>2</sub>) stationary points. Relevant distances (in black) are in Å. Figure S3. Charge distribution in NBO population of relevant atoms. Figure S4. Map of electrostatic potential plotted for the three different ligands. Table S1. Calculated spin contamination for triplet and quintet spin states. Cartesian coordinates.

**Author Contributions:** T.M. and M.P. performed the calculations and analyzed the results. T.M. and M.P. wrote the manuscript and contributed to the final version. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research received no external funding.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** Data are contained within the article.

**Acknowledgments:** We thank the Università degli Studi della Calabria-Dipartimento di Chimica e Tecnologie Chimiche (CTC) for the financial support.

**Conflicts of Interest:** The authors declare no conflict of interest.

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