

## Article

# Satellite-Based Inversion and Field Validation of Autotrophic and Heterotrophic Respiration in an Alpine Meadow on the Tibetan Plateau

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**Abstract:** Alpine meadow ecosystem is among the highest soil carbon density and the most sensitive ecosystem to climate change. Partitioning autotrophic ( $R_a$ ) and heterotrophic components ( $R_m$ ) of ecosystem respiration ( $R_e$ ) is critical to evaluating climate change effects on ecosystem carbon cycling. Here we introduce a satellite-based method, combining MODerate resolution Imaging Spectroradiometer (MODIS) products, eddy covariance (EC) and chamber-based  $R_e$  components measurements, for estimating carbon dynamics and partitioning of  $R_e$  from 2009 to 2011 in a typical alpine meadow on the Tibetan Plateau. Six satellite-based gross primary production (GPP) models were employed and compared with GPP\_EC, all of which appeared to well explain the temporal GPP\_EC trends. However, MODIS versions 6 GPP product (GPP\_MOD) and GPP estimation from vegetation photosynthesis model (GPP\_VPM) provided the most reliable GPP estimation magnitudes with less than 10% of relative predictive error (RPE) compared to GPP\_EC. Thus, they together with MODIS products and GPP\_EC were used to estimate  $R_e$  using the satellite-based method. All satellite-based  $R_e$  estimations generated an alternative estimation of  $R_{e\_EC}$  with negligible root mean square errors (RMSEs,  $g\ C\ m^{-2}\ day^{-1}$ ) either in the growing season (0.12) or not (0.08). Moreover, chamber-based  $R_e$  measurements showed that autotrophic contributions to  $R_e$  ( $R_a/R_e$ ) could be effectively reflected by all these three satellite-based  $R_e$  partitions. Results showed that the  $R_a$  contribution of  $R_e$  were 27% (10–48%), 43% (22–59%) and 56% (33–76%) from 2009 to 2011, respectively, of which inter-annual variation is mainly attributed to soil water dynamics. This study showed annual temperature sensitivity of  $R_a$  ( $Q_{10,Ra}$ ) with an average of 5.20 was significantly higher than that of  $Q_{10,Rm}$  (1.50), and also the inter-annual variation of  $Q_{10,Ra}$  (4.14–7.31) was larger than  $Q_{10,Rm}$  (1.42–1.60). Therefore, our results suggest that the response of  $R_a$  to temperature change is stronger than that of  $R_m$  in this alpine meadow.

**Keywords:** remote sensing; autotrophic respiration; eddy covariance; chamber; alpine meadow; Tibetan Plateau

## 1. Introduction

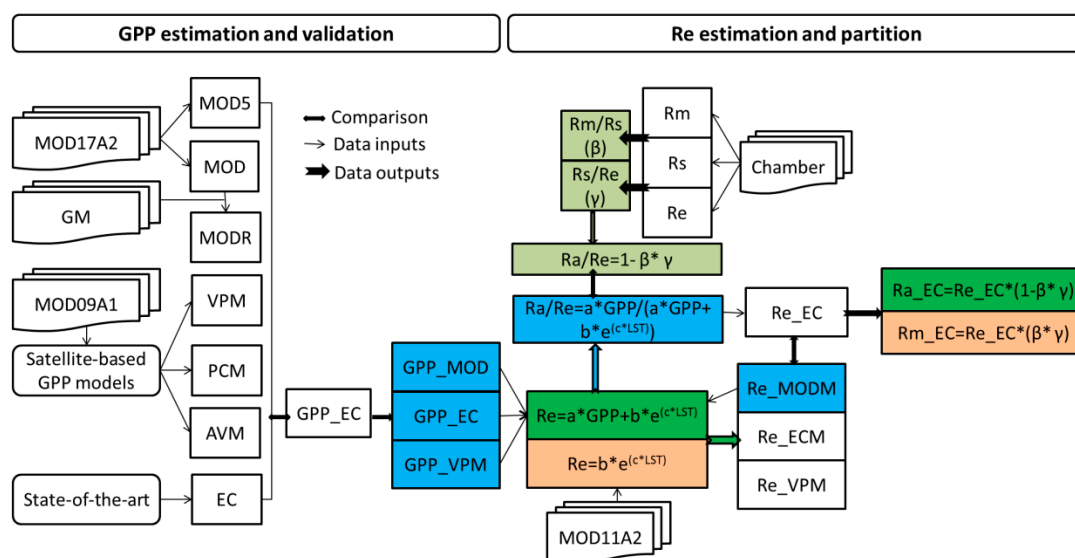
Gross primary production (GPP) is plant-driven carbon captures from atmosphere, and thus abundant organic carbon stores in the natural ecosystems [1,2], which forms the basis of all biosphere functions [3]. After GPP, ecosystem respiration (Re) is the second main carbon flux between ecosystems and the atmosphere [4], whose two components, autotrophic and heterotrophic respiration (Ra and Rm), may be controlled in different ways by abiotic and biotic factor [5,6]. For example, response of Ra and Rm to temperature may exhibit different temperature sensitivity ( $Q_{10}$ ) [7], which is the key parameter of the climate–carbon feedback, usually expressed as the percentage of Re increase for a 10 °C rise in temperature [8]. Climate–carbon feedback depends on whether Rm increases to warming are offset by corresponding increases in GPP [9]. This urges us to give a better understanding of the relative contribution of Ra and Rm components [10]. Recent studies have suggested that the contribution of Ra or Rm is approximately 0.5 [11,12]. However, the average estimates generally mask considerable uncertainties arising from different ecosystems, estimating techniques and time scales [5]. Therefore, accurate quantification of GPP and Re, and, further, effectively partitioning the components of Re, is critical to evaluating potential influence of climate change, especially warming, on ecosystem carbon cycling.

Alpine meadow ecosystems, with about 11.3 Pg of the soil carbon pool in China, is among the ecosystem with the highest soil carbon density ( $18.2 \text{ Kg m}^{-2}$ ) [13,14]. In addition, it is one of the most sensitive ecosystems to climate change across the globe [15]. This ecosystem occurs on the Qinghai-Tibetan Plateau, a unique geography mostly situated at 3000 m above sea level, and covers the largest areas (approximately 1/5) of alpine grass ecosystems ( $2.5 \times 10^6 \text{ km}^2$ ) in this Plateau [16]. Climatic prediction suggests a more intensive climate change, about twice of the average observed global warming rate (increase  $0.2 \text{ °C}$  per decade) [17], is ongoing on the Tibetan Plateau [15,18]. With its widespread areas and more intense climate change, a clearer understanding of climate–carbon cycle processes on this special ecosystem is necessary for it to serve as a sensitive indicator of regional and global carbon cycles [19,20].

Eddy covariance (EC) is a state-of-the-art method to measure net ecosystem  $\text{CO}_2$  exchange (NEE) between atmosphere and terrestrial ecosystems [21,22]. Further improvement of regional and global FLUXNET data benefits the needed GPP and Re estimations in EC-based NEE partitions [23,24]. Indeed, EC-based carbon cycle studies increasingly focus on the alpine meadow ecosystem. However, their results are spatially heterogeneous even in evaluating the carbon budgets in this specific ecosystem, which has showed carbon sink [6,14,19,25], carbon neutral [26], or carbon source [27]. Thus, accurate spatial extrapolation from site-specific footprints to regional and global scales is full of challenges.

Satellite-based products provide another pathway to capture quasi-continuous observations of vegetation structure and the resultant GPP estimations across broad temporal and spatial scales [2,28–30]. For example, six satellite-based GPP models, MODIS GPP version 5 [31] and 6 products (GPP\_MOD5 and GPP\_MOD) [32], revised GPP\_MOD (GPP\_MODR) [33], vegetation photosynthesis model (VPM) [28,29], photosynthetic capacity model (PCM) [34], and the alpine vegetation model (AVM) [35], were widely used to estimate GPP at local, regional, and global scales [30,36]. In general, key parameter inputs of these models, such as the maximum light use efficiency (LUE) [28,29,31,32] or the conversion coefficient [34,35], and the performance of these models are site-specific [30,33,37–40]. Thus, site-specific ground measurements, especially EC-based measurements, are always required to validate these models and obtain the best satellite-based GPP estimation models in diverse ecosystems. However, Re is rarely quantified from satellite-based products because its soil contribution (Rs) is arduous to observe by remote satellite [41,42]. Recently, using the coupled relationships between Ra and GPP [43], and between Rm and temperature [44], satellite-based models can give us comparable estimations to Re\_EC [42]. Nevertheless, another challenge of current EC-based measurements is that they still cannot directly provide partitioning of Ra and Rm components of Re. Thus, no evidence is provided for separately validating the accuracy of Ra and Rm estimations in this alpine meadow.

Chamber-based Re components measurements using gradual plant exclusion can provided complete Re estimations, including Re, Rs, Ra and Rm for site-specific ecosystem [45–48]. Although recent studies have found chamber-based CO<sub>2</sub> measurement generally overestimates or underestimates EC-based measurements [49–51], for many ecosystems, the ratios between Re components are similar as measured at specific sites [11,51]. Therefore, in this study, a combination of three methods, EC-based measurements, and satellite- and chamber-based ecosystem carbon flux measurements, were developed in a typical alpine meadow on the central Tibetan Plateau during 2009 to 2011 (Figure 1). Our study objectives were: (1) to select the most instrumental satellite-based GPP estimation methods through multi-model comparison with the EC-based GPP estimations; (2) to introduce a solely satellite-based Re estimation and partition method for seasonal Ra (or Rm) contributions of Re (Ra/Re), and validate its accuracy based on the tower- and chamber-based Re components measurements; and (3) to test what controls the seasonal variation of Ra/Re, and whether seasonal response of Ra and Rm to temperature change is identical in this alpine meadow.



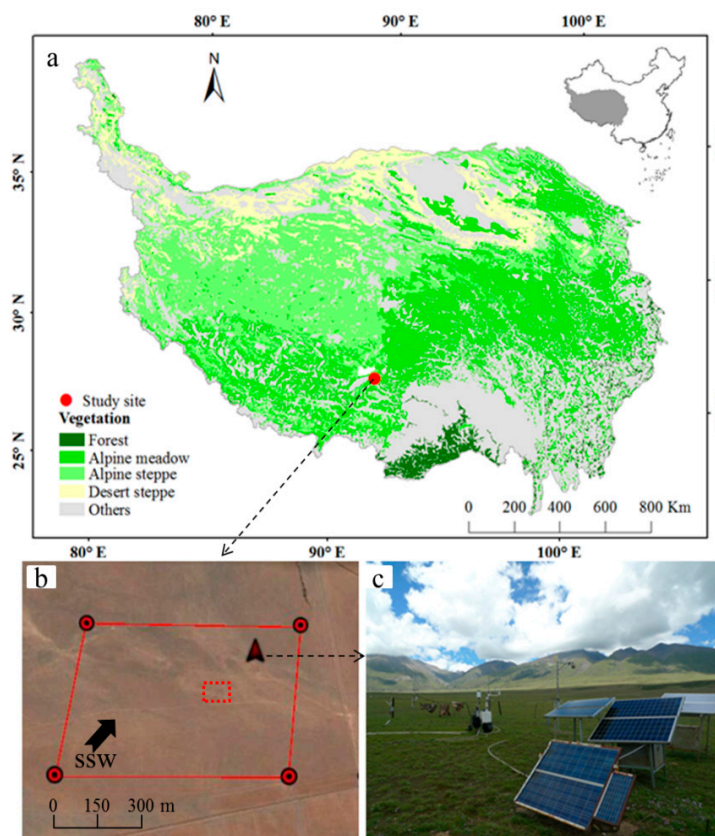
**Figure 1.** Conceptual graph for satellite-based estimation and partitioning of ecosystem respiration in an alpine meadow on the Tibetan Plateau. MOD17A2, MOD09A1, and MOD11A2 are the MODIS products. GPP is gross primary production. GM is short for ground measurements, including meteorological and vegetation measurements (Methods). MOD5, MOD and MODR are the MODIS version 5, version 6 GPP products from MOD17A2 and revised version 6 GPP products based on ground measurements. VPM, PCM, and AVM are different satellite-based GPP estimation models that are vegetation photosynthesis model, photosynthetic capacity model, and alpine vegetation model, respectively. EC is short for eddy covariance, which is the state-of-the-art method to measure CO<sub>2</sub> flux. Re, Rs, Rm and Ra are ecosystem respiration, soil respiration, heterotrophic respiration and autotrophic respiration, respectively. Re\_MODM, Re\_ECM, and Re\_VPM are the satellite-based Re estimations based on GPP\_MOD, GPP\_EC and GPP\_VPM, respectively. Blue, green and orange boxes are the best satellite-based estimations of corresponding measurements based on multi-model comparison, Re estimations in growing season (or Ra\_EC), and Re estimations in non-growing season (or Rm\_EC), respectively.

## 2. Materials and Methods

### 2.1. Site Descriptions

The experimental site is a typical alpine steppe—*Korobresia* meadow on the northern Tibetan Plateau (30.49783°N, 91.06636°E, elevation 4333 m)—located at the Damxung grassland station, a long-term positioning monitoring station of the Chinese Academy of Sciences (Figure 2). The dominant climate

characteristics are strong radiation (annual mean sunlight and solar radiation are 2880.9 h and 7527.6 MJ m<sup>-2</sup>, respectively), low air temperature, and short cool summers, which is generally categorized as a plateau monsoon climate. Fifty years of climatic records from 1963 to 2012 show that the average annual air temperature is 1.8 °C, with coldest monthly mean of −9.0 °C in January and the warmest of 11.1 °C in July. Average annual precipitation is 476.6 mm, over half of which concentrated in July and August. Flat terrain (less than 2° slope) of this alpine meadow is dominated by three species, *Stipa capillacea*, *Carex montis-everestii* and *Kobresia pygmaea*, the total coverage is about 50–80%. The soil is classified as meadow soil with sandy loam [26] with a shallow soil layer depth of about 30 cm, and the deeper is gravel layer.



**Figure 2.** Site descriptions of this typical alpine steppe—*Kobresia* meadow at the Damxung grassland station: (a) Vegetation type of the Qinghai-Tibet Plateau and the geographical location of this study. (b) The position of flux-tower (red arrow), chamber-based observation field (red dotted box), and the corresponding MODIS 0.5 km × 0.5 km pixel (red solid box). The black arrow represents the direction of prevailing wind (SSW, south-southwest) in this alpine meadow. (c) Real photo of this observational field.

## 2.2. Meteorological, Soil and Vegetation Measurements

The auxiliary meteorological and soil measurements include photosynthetically active radiation (PAR), air temperature at the height of 2 m ( $T_a$ ), precipitation (PPT), air relative humidity (RHa), vapor pressure deficit (VPD), soil temperature at depths of 5 cm ( $T_s$ ), and soil water content (SWC). All measurements were averaged and recorded in a data-logger once per 30 min. We also measured the vegetation leaf area index (LAIg) with a harvest method every two weeks during the growing season. From 2009 to 2011, the frozen period ( $T_s < 0$  °C) is from November to March. Vegetation begins to green-up at the beginning of May, thus, in this study, we coarsely defined the growing season from 1 May (the 121st day of year, DOY 121) to mid-October (DOY 281).

### 2.3. Eddy Covariance-Based Measurements

A standard open-path eddy covariance (EC) system [52] was implemented at the height of 2.1 m in this site to measure net exchange of CO<sub>2</sub> (*NEE*) between atmosphere and vegetation [26]. Due to the occurrence of situations that are inappropriate for EC measurements, including instrument malfunction, rainfall, dew or cobwebs formation, human disturbance, and near-static atmospheric conditions, the EC-based *NEE* measurements inevitably contain abnormal values [25]. To avoid these potential influences, raw measurements were processed according to the ChinaFLUX data-processing [53,54], which included despiking, coordinate rotation, air density corrections, outlier rejection, and friction velocity threshold (*u\**) corrections.

The processed data were filled by linear interpolation for small gaps (less than 2 h) in one week window. For gaps more than 2 h, two nonlinear empirical models were applied separately for daytime and nighttime data. The daytime CO<sub>2</sub> fluxes were estimated using the Michaelis–Menten equation [55,56].

$$NEE = -\frac{a \times Amax \times PAR}{a \times PAR + Amax} + Re \quad (1)$$

where *NEE* (μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) and *Re* (μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) are the day time net ecosystem exchange and ecosystem respiration, respectively. *Amax* (μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) is the maximum ecosystem photosynthesis rate, and *a* (μmol CO<sub>2</sub> μmol photons<sup>-1</sup>) is the apparent quantum yield. Both are taken as indicators of plant photosynthetic capacity. The nighttime missing *NEE* data (*Re\_EC*) were filled with the exponential relationship between *Re* and *Ts* due to *GPP* is assumed to be zero at night [57,58].

$$Re\_EC = a \times e^{(b \times Ts)} \quad (2)$$

where *Re\_EC* (μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) is nighttime ecosystem respiration. *a* (the reference respiration when *Ts* = 0 °C) and *b* are the regression parameters, which can be used for daytime *Re* filled. The gap-filled daytime *NEE* were partitioned into EC-based *GPP* (*GPP\_EC*) as CO<sub>2</sub> assimilation and *Re\_EC* as CO<sub>2</sub> emission during daytime [59].

$$GPP\_EC = Re\_EC - NEE \quad (3)$$

### 2.4. Satellite-Based Products

We extracted eight-day MODIS version 6 products, including surface reflectance composite data (MOD09A1, 500 m) [60], land surface temperature (*LST*) products (MOD11A2, 1 km) [61], MODIS LAI/FPAR data (MOD15A2, 500 m) [62], and MODIS *GPP* (*GPP\_MOD*) products (MOD17A2, 500 m) [32] at the position of the flux tower for the years 2009 to 2011 from NASA EOSDIS Land Processes Distributed Active Archive Center (LP DAAC, <https://lpdaac.usgs.gov/>). To compare the agreement between the *GPP\_MOD* and the previous MODIS version 5 *GPP* products (*GPP\_MOD5*) [31], we also extracted the contemporaneous *GPP\_MOD5* products (Figure 1).

MODIS *GPP* products, both *GPP\_MOD5* and *GPP\_MOD*, were calculated from the MOD17A2 algorithm [31,32] based on light energy use efficiency (LUE) model [2,63].

$$GPP\_MOD = \varepsilon_{max} \times f(Tmin) \times f(VPD) \times FPAR \times SWrad \times 0.45 \quad (4)$$

where  $\varepsilon_{max}$  (g C MJ<sup>-1</sup>) is a default parameter obtained from the Biome Properties Look-Up Table (BPLUT), but is not the same for grassland between *GPP\_MOD5* (0.68 g C MJ<sup>-1</sup>) and *GPP\_MOD* (0.86 g C MJ<sup>-1</sup>) [2,31,32,63]. Two attenuation scalars (*f(Tmin)* and *f(VPD)*) are simple linear ramp functions of the daily minimum air temperature (*Tmin*) (Equation (5)) and daytime average VPD (Equation (6)) with a range of 0 to 1 [64]. FPAR is the fraction of absorbed PAR by vegetation canopy that is derived from the MOD15A2 product. Incident shortwave radiation (*SWrad*) are obtained from the NASA Data Assimilation Office (DAO) dataset [65].



$$f(T_{min}) = \begin{cases} 0, & T_{min} \in (-\infty, T_{min\_min}] \\ \frac{T_{min\_max} - T_{min\_min}}{T_{min\_max} - T_{min\_min}}, & T_{min} \in (T_{min\_min}, T_{min\_max}) \\ 1, & T_{min} \in [T_{min\_max}, +\infty) \end{cases} \quad (5)$$

$$f(VPD) = \begin{cases} 0, & VPD \in [VPD_{max}, +\infty) \\ \frac{VPD_{max} - VPD}{VPD_{max} - VPD_{min}}, & VPD \in (VPD_{min}, VPD_{max}) \\ 1, & VPD \in (-\infty, VPD_{min}] \end{cases} \quad (6)$$

In this alpine meadow, a previous study confirmed GPP\_MOD strongly underestimated GPP (about −40.58% of GPP\_EC) because of the 16.05% underestimation of  $\epsilon_{max}$  and 14.70% overestimation of ground FPAR (FPAR<sub>g</sub>) from 2005 to 2007 [40]. Thus, in this study, we also use the ground observations to revise these two parameter inputs of MOD17A2 algorithm for discussing the accuracy of MODIS products from 2009 to 2011, especially the new GPP\_MOD. Based on the Beer–Lambert law [66], FPAR<sub>g</sub> can be calculated from the LAI<sub>g</sub> measurements (Equation (7)).

$$FPAR_g = 0.95 * (1 - e^{(-k * LAI_g)}) \quad (7)$$

where  $k$  is the light extinction coefficient with a value of 0.5 for herbaceous crops in this study [40,67]. The LAI<sub>g</sub> is a linear regression conversion between ground LAI measurements and MOD15A2 for consecutive measurements on eight-day time step.

$\epsilon_{max}$  can be estimated by three approaches in the growing season. One is the MOD17A2 algorithm based on LAI<sub>g</sub> and GPP\_EC measurement data on LAI<sub>g</sub> measurement days. More precisely, it is the linear regression slope between GPP\_EC and multiple multiplication of  $f(T_{min})$ ,  $f(VPD)$ , FPAR<sub>g</sub> and PAR on LAI<sub>g</sub> measurement days [33,40]. The other two approaches are both unit conversion of the apparent quantum yield ( $\alpha$ ,  $\mu\text{mol CO}_2 \mu\text{mol photons}^{-1}$ ) (Equation (8)) based on the relationship between NEE and PAR [33].

$$\epsilon_{max} = \lambda * Mc * \alpha \quad (8)$$

where  $\lambda$  is the conversion ratio with a value of 4.43 because 1 J energy of PAR is equivalent to 4.43  $\mu\text{mol}$  quantum [68].  $Mc$  ( $12 \text{ g mol}^{-1}$ ) is the molar quantity of carbon. The difference between these two approaches is the calculation of  $\alpha$ , one is based on the Michaelis–Menten rectangular hyperbolic function of NEE and PAR as Equation (1), and the other is based on a linear function between NEE and PAR under low light ( $PAR < 300 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ) [56,69,70]. Thus, in this study,  $\alpha$  can be calculated from Equations (9) and (10) [71,72].

$$a = \frac{Ca - \tau}{Ca + 2\tau} \times a_0 \quad (9)$$

$$\tau = 42.7 + 1.68 \times (T - 298) + 0.0012 \times (T - 298)^2 \quad (10)$$

where  $Ca$  ( $\mu\text{mol mol}^{-1}$ ) is the atmospheric  $\text{CO}_2$  concentration with a value of 350 ppm,  $a_0$  ( $\mu\text{mol CO}_2 \mu\text{mol}^{-1} \text{ PAR}$ ) is the maximum apparent quantum use efficiency with a value of 0.016 in this study [70], and  $\tau$  ( $\mu\text{mol mol}^{-1}$ ) is the  $\text{CO}_2$  compensation point,  $T$  is the canopy Kelvin temperature ( $T_a$ , K).

## 2.5. Satellite-Based GPP Estimations

Satellite-based GPP models can also provide alternative GPP estimations except for the direct MODIS GPP products. In this study, we introduced three satellite-based GPP models, including VPM [28,29,73], PCM [34] and AVM [35], to estimate GPP of this alpine meadow (Figure 1). Both of them are based on the vegetation indices (NDVI and EVI) and land surface water index (LSWI), which can be calculated by Equations (11) to (13) based on the MOD09A1 [29,36,74], respectively. The detailed information for model structures of these three satellite-based GPP models can be completely found in our previous study [30] or separately in their respective references, thus is not repeated here.

$$NDVI = \frac{\rho_{nir1} - \rho_{red}}{\rho_{nir1} + \rho_{red}} \quad (11)$$

$$EVI = \frac{2.5 \times (\rho_{nir1} - \rho_{red})}{(\rho_{nir1} + 6 \times \rho_{red} - 7.5 \times \rho_{blue} + 1)} \quad (12)$$

$$LSWI = \frac{\rho_{nir1} - \rho_{swir1}}{\rho_{nir1} + \rho_{swir1}} \quad (13)$$

where  $\rho_{nir1}$  (841–876 nm),  $\rho_{red}$  (620–670 nm),  $\rho_{blue}$  (459–479 nm), and  $\rho_{swir1}$  (1628–1652 nm) are the four surface reflectance values from different spectral bands.

## 2.6. Satellite-Based Re Estimations

Re is mainly composed of two components, autotrophic respiration by plants (Ra) and heterotrophic respiration by heterotrophic microorganisms (Rm) [75]. Ra is the sum of respiration from all plant components, including leaves, stems, flowers of aboveground biomass and roots of underground biomass, which generally depend on current photosynthate [43], and thus couple closely with GPP [42]. Rm is mainly composed of microbial respiration of plant residues and soil organic matter decomposition [43], which is strongly exponentially correlated to temperature variation under water-unlimited conditions [42,44]. Thus in this study, we also considered the LSWI as a water index for regulating the Re variation as Equation (14) [44].

$$Re = Ra + Rm = a \times GPP + b \times e^{(c \times LST + d \times LSWI + e \times LSWI^2)} \quad (14)$$

where Re is the satellite-based ecosystem respiration estimations. GPP can be estimated from all the seven methods we mentioned above (Figure 1), and we selected those which have no significant biases for GPP\_EC. LST is the land surface temperature that is the average of daytime and nighttime land surface temperature extracted from MOD11A2.  $a$ ,  $b$ ,  $c$ ,  $d$  and  $e$  are regression parameters. Based on the GPP\_EC, we trained the satellite-based Re model separately in growing season (GS) and non-growing season (NG) from 2009 to 2011 (Table 1). In NG, we assumed GPP approaches zero thus we adjusted the parameter of  $a$  as zero.

**Table 1.** Regression parameters for the satellite-based ecosystem respiration (Re) estimations based on tower-based gross primary production (GPP) and Re measurements (Equation (14)).

Year	Period	$a$	$b$	$c$	$d$	$e$	$R^2$	$p$
2009	GS	0.195 *	2.631 **	0.044 **	1.691	9.551	0.56	***
	NG	0	2.009 ***	0.044 ***	1.828	14.714	0.78	***
2010	GS	0.293 **	6.436 ***	−0.012 *	1.138	−4.209	0.84	***
	NG	0	2.085 ***	0.015 *	6.569	53.088	0.17	***
2011	GS	0.517 ***	2.712 *	−0.057 *	−0.449	55.408	0.71	***
	NG	0	1.058 ***	0.061 **	5.655	45.792	0.30	***
All	GS	0.268 ***	3.657 ***	0.017 *	1.875 *	7.562	0.54	***
	NG	0	1.652 ***	0.045 ***	5.151	42.125	0.38	***

\* Correlation is significant at  $p < 0.05$ , \*\*  $p < 0.01$ , and \*\*\*  $p < 0.001$  level (two-tailed test,  $\alpha = 0.05$ ). GS, growing season ( $n = 21$  for a particular year from 2009 to 2011). NG, non-growing season ( $n = 25$ ).

Based on our preliminary test for Equation (14), we found the effect of LSWI on Re estimation was insignificant ( $p > 0.05$ ) for all separate seasons from 2009 to 2011 (parameters of  $d$  and  $e$  in Table 1). Therefore, we simplified Equation (14) for further Re estimations (Equation (15)).

$$Re = Ra + Rm = a \times GPP + b \times e^{(c \times LST)} \quad (15)$$

## 2.7. Chamber-Based Re Estimations

Ecosystem respiration ( $Re$ ) and its components, soil surface  $CO_2$  flux ( $Rs$ ) and  $Rm$ , were measured in each polyvinyl chloride (PVC) collar (diameter  $\times$  height = 20 cm  $\times$  5 cm) by a LI-COR 8100 Automated Soil  $CO_2$  Flux System (LI-COR Inc., Lincoln, NE, USA) in the growing season from 2010 to 2011. Chamber-based  $Re$  measurements are determined by the rate of change of trace gas concentration in the chamber headspace [76–78]. We selected 7 sample plots (3 m  $\times$  3 m) near the EC flux tower for chamber-based  $Re$  measurements, which were basically along the local prevailing wind direction, thus were believed to be similar to the average characteristics of EC-based measurements (Figure 2b). Before the growing season, the PVC collar was randomly inserted into soil at depth of 3–5 cm in each plot for  $Re$  and  $Rs$  measurements.  $Re$  were measured with all plant material in the collar left intact, which includes both above ( $Ra_{above}$ ) and belowground components ( $Rs$ ) (Equation (16)) [45,48].

$$Re = Rs + Ra_{above} \quad (16)$$

After  $Re$  measurements, aboveground plant materials within those collars were removed. To avoid the disturbance of clipping and ensure relative steady measurements [12], one day later, we measured  $Rs$ , which included  $Rm$  and underground autotrophic respiration by plants roots ( $Ra_{under}$ ) (Equation (17)) [5,11,43,79].

$$Rs = Rm + Ra_{under} \quad (17)$$

Root exclusion was used to estimate  $Rm$ , which is the soil  $CO_2$  efflux rates without living plant roots respiration [5,47,80]. This alpine meadow had a shallow soil layer depth of about 30 cm, and over 95% of plant roots were contained in the top 0–15 cm of soil layer [47,81]. As the gravel content is high (about 30%) and higher as one goes deeper [26], it is arduous to insert the PVC collars. Thus we selected 4 of 7 measurement plots to develop the root exclusion. The root-free soil quadrat (length  $\times$  width  $\times$  height = 0.5 m  $\times$  0.5 m  $\times$  15 cm) was developed by removing all aboveground and belowground plant materials separately in every 5-cm layer and backfilling the soil according to the original order before the growing season in each plot [47,81]. Then, the PVC collars were inserted into these quadrats to about 13–15 cm depth. These were kept free of plant growth by frequent manual removal during the whole growing season.  $Rm$  measurements were identical to  $Re$  and  $Rs$  measurements [76–78].

All chamber-based measurements were conducted with intervals of about 10 days from June to October [48], and each measurement was recorded between 9:00 and 11:00 a.m. for approximating the daily average estimation [82]. Therefore, we can calculate the  $Ra$ , the ratio of  $Rm$  and  $Rs$  ( $Rm/Rs$ ,  $\beta$ ),  $Rs/Re$  ( $\gamma$ ),  $Ra/Re$  ( $1 - \beta * \gamma$ ) based on chamber-based  $Re$  ( $Re_{CAM}$ ),  $Rs$  ( $Rs_{CAM}$ ) and  $Rm$  measurements ( $Rm_{CAM}$ ) as Equations (16) to (20).

$$Ra = Ra_{above} + Ra_{under} \quad (18)$$

$$Rm/Rs = \beta \quad Rs/Re = \gamma \quad (19)$$

$$Ra/Re = 1 - \beta * \gamma \quad (20)$$

## 2.8. Components Partitioning of Re

Flux tower was established in a massive and flat alpine steppe—*Kobresia* meadow—which was over 500 m in all directions. Thus, we confirm that the observation field is homogeneous for our study, which made the comparison between satellite-based and ground measurements feasible. Tower-based  $CO_2$  estimations provided consecutive GPP and  $Re$  estimations over this alpine meadow. Satellite-based models also supplied eight-day time step GPP and  $Re$  estimations, and  $Re$  partition for autotrophic and heterotrophic components. Chamber-based  $Re$  revealed seasonal variation of the proportion of  $Re$  components, which was used to validate the accuracy of the satellite-based



Re partition. Thus, using a joint flux tower, satellite-based and chamber-based Re estimations, we separated the EC-based Re estimations into autotrophic (Ra\_EC) and heterotrophic components (Rm\_EC) based on the calibrated Ra/Re ratio ( $1 - \beta \cdot \gamma$ ) (Figure 1).

## 2.9. Statistical Analysis

We assumed the plants conditions in each eight-day interval was the same as many previous studies assumed [33,40,42]. Thus, all tower-based flux, meteorological and soil measurements were averaged in eight-day time steps consistent with the remote sensing products. We also put ground vegetation measurements and chamber-based Re estimations into this consecutive eight-day time step measuring series from 2009 to 2011 according to the specific measuring date. We employed linear regression and a paired *t*-test ( $\alpha = 0.05$ ) to investigate the performance of different models for GPP estimations as compared to GPP\_EC [30]. In addition, two indices, root mean square error (RMSE) and relative predictive error (RPE) (Equations (21) and (22)), were used to evaluate the model agreement and bias from GPP\_EC [30,33]. After tests of the normality (Shapiro–Wilk test) and homogeneity of variance (Bartlett test) ( $p > 0.05$ ), we employed the one-way analysis of variance (ANOVA) and Tukey’s honest significant difference (HSD) to evaluate the accuracy of the cumulative growing season GPP estimations.

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \quad (21)$$

$$RPE = \left( \frac{\bar{y} - \bar{x}}{\bar{x}} \right) \times 100\% \quad (22)$$

where  $x_i$ ,  $\bar{x}$ ,  $y_i$  and  $\bar{y}$  represents the satellite-based GPP (Re) estimations, the mean value of satellite-based GPP (Re) estimations, the GPP\_EC (GPP\_Re) time series, and the mean value of GPP\_EC (GPP\_Re), respectively.

Both those satellite-based GPP estimations that accurately represented GPP\_EC ( $p > 0.05$  and  $RPE < 10\%$ ) and GPP\_EC were used to evaluate satellite-based Re estimations during the growing season. Satellite-based Re estimations were also compared with the EC-based Re (Re\_EC) using identical methods to satellite-based GPP comparison with GPP\_EC. The best satellite-based Re estimation was used to partition Re into Ra and Rm. Linear regression and paired *t*-test ( $\alpha = 0.05$ ) were used to validate the accuracy of satellite-based Re partition ratios based on the chamber-based Re components measurements. In addition, we test the effect of SWC on seasonal variation of the Re partition ratio, and the missing values of SWC in 2010 were filled by the quadratic regression relationship between SWC and LSWI. Using the exponential relationship between Re and  $T_s$  (Equation (2)), we estimated the temperature sensitivity ( $Q_{10}$ ) of Re and respiration components (Equation (23)) [8].

$$Q_{10} = e^{(10 \times b)} \quad (23)$$

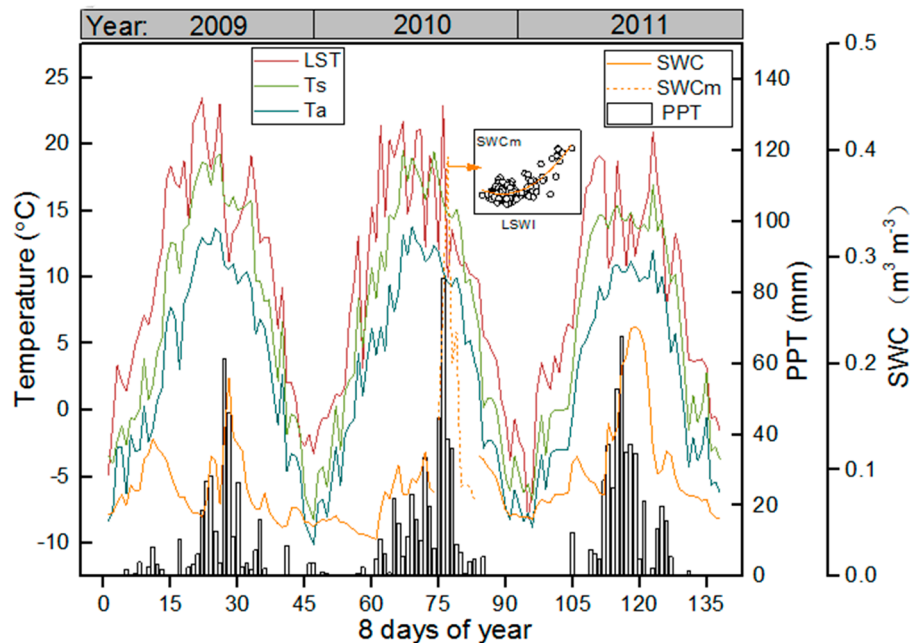
where  $Q_{10}$  is used for representing the change in Re and respiration components rate over a 10 °C change in soil temperature, and  $b$  is regression parameter from Equation (2). All statistical and modeling procedures were performed in the R statistical computing packages (Version 3.2.0).

## 3. Results

### 3.1. Meteorological and Vegetation Conditions

Annual average air temperature ranged from 2.3 °C in 2011 to 3.4 °C in 2009 with a mean value of 2.9 °C, which was warmer than 50 year climate records mean (1.8 °C). Soil temperature was generally higher than air temperature by 4.0 °C, and the difference was more pronounced during the growing season (Figure 3). MODIS LST showed a well consistent trend for seasonal and inter-annual temperature variation with ground temperature measurements, but had a significant overestimation

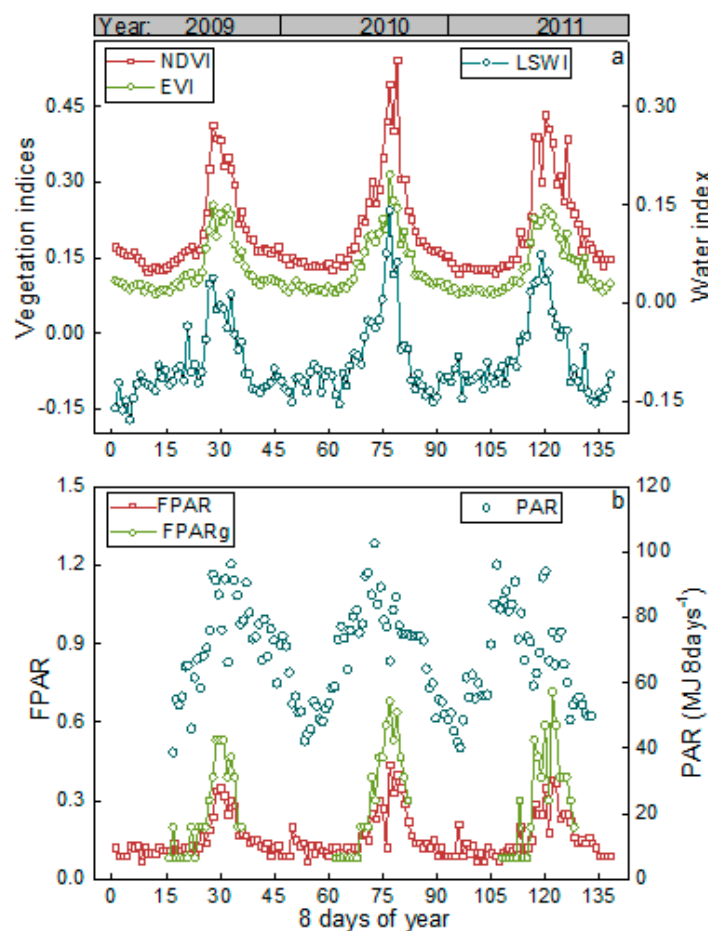
of ground temperature measurements. The year 2009 was a drought year with a PPT of 327.7 mm only accounting for 68.7% of 50-year mean rainfall (Figure 3). In general, SWC ranged from 0.05 to  $0.25 \text{ m}^3 \text{ m}^{-3}$ , except for the higher value (0.39) in 2010 caused by a consecutive 10 days from 17 to 26 August of strong rainfall (daily average of 12.7 mm) (Figure 3).



**Figure 3.** Temperature and moisture conditions from 2009 to 2011 in this alpine meadow. LST is the land surface temperature that is the average of daytime and nighttime land surface temperature.  $T_s$  and  $T_a$  are soil temperature at the depth of 5 cm and air temperature at the height of 2 m, respectively. PPT is precipitation. SWC is the soil water content. SWCm is the modeled SWC for its missing value from land surface water index (LSWI) as the inset shows ( $\text{SWCm} = 0.1411 + 1.133 * \text{LSWI} + 4.611 * (\text{LSWI})^2$ ,  $R^2 = 0.61$ ,  $p < 0.0001$ ,  $n = 129$ ). Data are eight-day composited and showed with the first day of each eight-day interval in horizontal axis (hereafter the same).

Two vegetation indices, NDVI and EVI, and the water index, LSWI, had a consistent single peak trend with the peak value generally showing in mid-August (Figure 4a). In contrast, 2009 had a lower growing season NDVI with a mean value of 0.12 and LSWI (0.06) than the latter two years. Seasonal PAR was robust and ranged from  $7.5$  to  $12.5 \text{ MJ m}^{-2} \text{ day}^{-1}$  with an average value of  $9.83 (\pm 1.81, 1 \text{ time standard deviation (SD)})$  in GS and from  $5.8$  to  $7.5 \text{ MJ m}^{-2} \text{ day}^{-1}$  with an average value of  $7.45 (\pm 1.83)$  in NG (Figure 4b). Seasonal PAR reached the peak (about  $12.0 \text{ MJ m}^{-2} \text{ day}^{-1}$ ) in the beginning of July, while the maximum FPAR was generally in August, which closely followed the vegetation conditions (Figure 4b). The MODIS FPAR products underestimated the FPARg, which was derived from ground LAI measurements based on the Beer–Lambert law (Equation (7)), in this alpine meadow by a multi-year average of 32.6% in GS except a few days in the beginning of GS (Figure 4b). Seasonal average of FPARg was higher in the final two years (30.3%) than 2009 (25.6%).

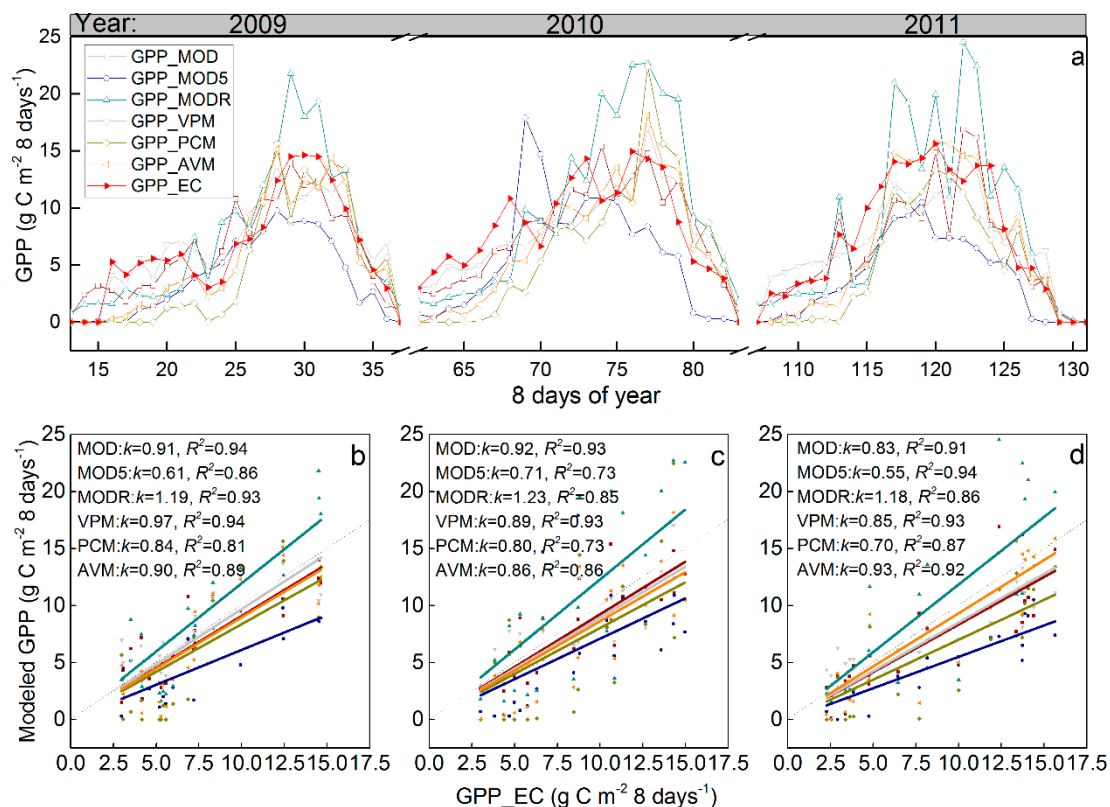
A combination of three methods, MOD17A2 algorithm, Michaelis–Menten rectangular hyperbolic function (Equations (1) and (8)), and empirical equation (Equations (8–10)), were used to estimate the  $\epsilon_{\max}$  values, which were 0.73, 0.73 and  $0.75 \text{ g C MJ}^{-1}$  from 2009 to 2011, respectively. Compared to the default value ( $0.68 \text{ g C MJ}^{-1}$ ) for grassland in MODIS GPP version 5 (GPP\_MOD5), it was underestimated. However, MODIS GPP version 6 (GPP\_MOD) used a higher default value of  $0.86 \text{ g C MJ}^{-1}$  thus it was overestimated.



**Figure 4.** Seasonal variations of land surface vegetation and water indexes (a), and the photosynthetically active radiation (PAR) and the fraction of PAR absorbed by vegetation canopy (FPAR). (b) FPAR is directly obtained from MODIS products (MOD15A2H), while FPARg is calculated from ground measured leaf area index (LAI) based on the Beer–Lambert law.

### 3.2. Satellite-Based GPP Estimations

Six satellite-based GPP estimations (MOD5, MOD, MODR, VPM, PCM and AVM) in this study showed similar seasonal tendencies of GPP<sub>EC</sub> but with different quantities in all growing seasons (Figure 5a). Indeed, all these modeled GPP showed an extremely significant ( $p < 0.0001$ ) linear relationship with EC measurements. This indicates that temporal variation of GPP could be well explained by these satellite-based GPP results (Figure 5b–d). However, different models generally had different performance in accuracy of GPP estimations. For example, MODR had slope ( $k$  value in Figure 5b–d) beyond 1.0 in all years, which indicates that MODR overestimated GPP<sub>EC</sub> by 18% to 23%. On the contrary, GPP<sub>EC</sub> was generally undervalued by the other satellite-based models. In contrast, MOD and VPM not only had the slope most closely approximating to 1.0, from 0.85 to 0.97 for VPM and from 0.83 to 0.92 for MOD, but the best representative of GPP<sub>EC</sub> ( $R^2 > 0.9$ ,  $p < 0.0001$ ) (Figure 5).



**Figure 5.** Seasonal variations of gross primary production (GPP) estimations from different methods (a); and comparison with the EC-based GPP estimations from: 2009 (b); 2010 (c); and 2011 (d),  $k$  values and dashed lines in (b–d) are the linear slope between GPP\_EC and modeled GPP, and the reference lines of 1:1, respectively. All linear regressions are extremely significant ( $p < 0.0001$ ). Colors in (b–d) are one-to-one corresponding with different GPP estimations in (a), respectively. Different GPP estimations are identical to Figure 1.

Daily average GPP\_EC in GS were 0.94, 1.09 and 1.09 g C m<sup>-2</sup> day<sup>-1</sup> from 2009 to 2011 in this alpine meadow, respectively. MOD5 and PCM strongly underestimated this daily GPP\_EC (39.2% and 28.2% of RPE, respectively) with large RMSE values of more than 0.5 g C m<sup>-2</sup> day<sup>-1</sup> (Table 2). Although the rest of the satellite-based GPP models failed to generate significant deviations for GPP estimation compared to GPP\_EC ( $p > 0.05$ ), they either slightly overestimated (only MODR with 15.9 of RPE), or underestimated, including MOD, AVM, and VPM, the GPP\_EC (Figure 5 and Table 2). Specifically, AVM had a 14.5% underestimation for GPP\_EC with a RMSE of 0.37 g C m<sup>-2</sup> day<sup>-1</sup>. MOD and VPM further improved the accuracy of GPP estimation by 5.5% of RPE and 11.7% of RPE compared to AVM, which caused smaller RPEs less than 10% with the lowest average RMSE values of 0.31 and 0.32 g C m<sup>-2</sup> day<sup>-1</sup>, respectively (Table 2).

Annual cumulative GPP\_EC were 158.09, 183.95 and 183.51 g C m<sup>-2</sup> from 2009 to 2011, which were significantly underestimated by MOD5 and PCM with more than 50 g C m<sup>-2</sup> of RMSE (Table 2). On the contrary, MODR had a significant ( $p < 0.05$ ) overestimation for annual cumulative GPP\_EC with mean RMSE of 28.43 g C m<sup>-2</sup>. AVM could be treated as an alternative method to evaluate annual GPP because of the insignificant differences compared to annual GPP\_EC, actually, the magnitude of RMSE (25.86 g C m<sup>-2</sup>) was larger than MOD (17.43 g C m<sup>-2</sup>) and VPM (10.81 g C m<sup>-2</sup>) (Table 2). Therefore, VPM and MOD presented superior performances in daily and annual GPP estimations compared to other satellite-based GPP models.

**Table 2.** Satellite-based gross primary production (GPP) estimations and comparison with tower-based GPP observation during growing seasons from 2009 to 2011.

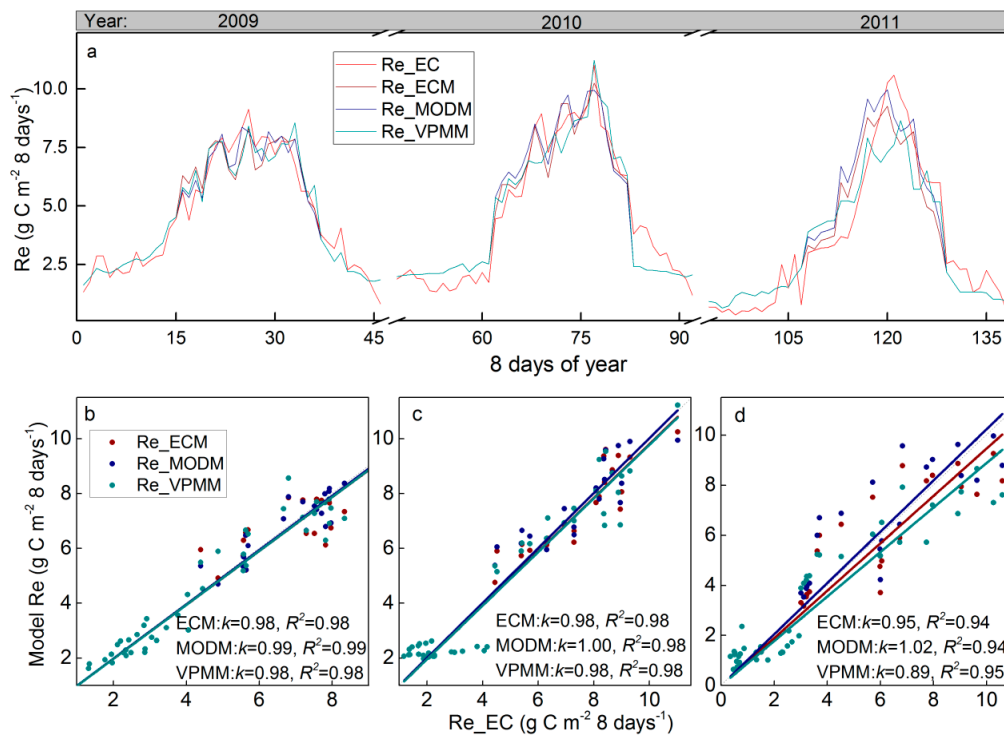
Method	Daily Average GPP Estimations ( $n = 21$ ) ( $\text{g C m}^{-2} \text{ 8 days}^{-1}$ )											Cumulative GPP Estimations ( $\text{g C m}^{-2} \text{ year}^{-1}$ , $n = 3$ )	
	Mean (SD)			RMSE				RPE (%)				Mean (SD)	RMSE
	2009	2010	2011	2009	2010	2011	Mean	2009	2010	2011	Mean		
MOD5	4.54 (3.26) ***	6.09 (5.09) **	4.59 (3.32) ***	3.85	4.88	4.65	4.46	−39.7	−30.5	−47.44	−39.2	106.55 (18.50) a	69.92
<b>MOD</b>	7.02 (3.79)	8.20 (4.05)	7.51 (4.39)	2.1	2.46	3.04	2.53	−6.8	−6.3	−14.0	−9.0	159.17 (12.51) bc	17.43
MODR	8.55 (6.22)	10.33 (7.51)	10.15 (7.63)	3.24	5.29	4.89	4.47	13.6	17.9	16.2	15.9	203.21 (20.55) d	28.43
<b>VPM</b>	7.89 (3.13)	8.16 (3.47)	8.18 (3.17)	2.11	2.57	2.70	2.46	4.7	−6.8	−6.3	−2.8	169.63 (3.47) cd	10.81
PCM	5.62 (5.56) *	6.64 (6.05) *	5.69 (4.86) ***	3.57	4.88	3.95	4.13	−25.4	−24.2	−34.9	−28.2	125.61 (11.94) ab	50.65
AVM	6.44 (4.89)	7.24 (5.22)	7.71 (5.87)	2.72	3.53	2.70	2.98	−14.4	−17.4	−11.7	−14.5	149.75 (13.49) bc	25.86
<b>EC</b>	7.53 (3.96)	8.76 (3.84)	8.74 (4.81)	0	0	0	0	0	0	0	0	175.19 (14.81) cd	0

\* Difference is significant at  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$  level compared to GPP\_EC at one particular year (paired  $t$ -test,  $\alpha = 0.05$ ). GPP is eight-day composited and the different methods are identical to the Figure 1. SD is standard deviation of the average eight-day composited GPP estimations. RMSE (root mean square error) and RPE (relative predictive error) are two statistical indices to adequately evaluate the model agreement and bias from GPP\_EC (Equations (21) and (22)). The negative RPE indicates that satellite-based GPP models underestimate the GPP observations from EC tower. Columns with the different alphabets indicate that significant difference existed among diverse cumulative GPP estimations ( $\alpha = 0.05$ ,  $p < 0.01$ ). The methods with bold type are used to further  $Re$  estimations (blue boxes in Figure 1).



### 3.3. Satellite-Based Re estimations

We used the most instrumental satellite-based GPP estimation methods, GPP\_VPM and GPP\_MOD, as compared to GPP\_EC and GPP\_EC together with MOD11A2 LST products to estimate Re and its components (Ra and Rm) recorded as VPMM, MODM and ECM, respectively (Equation (15) and Figure 1). The temporal variation of Re\_EC could be well explained by these three modeled Re values ( $R^2 > 0.9$ ,  $p < 0.0001$ ) (Figure 6). In addition, the slopes of the linear regression between Re\_EC and modeled Re estimations are quite close to 1.0 (mostly from 0.98 to 1.02) (Figure 6b–d), which indicated Re\_EC could be effectively estimated by modeled Re estimations.



**Figure 6.** Seasonal variations of ecosystem respiration (Re) estimations from different methods (a); and comparison with eddy covariance partitioned Re (Re\_EC) from: 2009 (b); 2010 (c); and 2011 (d).  $k$  values and dashed lines in (b–d) are the linear slope between Re\_EC and modeled Re, and the reference lines of 1:1, respectively. All linear regressions are extremely significant ( $p < 0.0001$ ). Colors in (b–d) are one-to-one corresponding with different Re estimations in (a), respectively. Different Re estimations based on the diverse GPP estimation models are identical to the Figure 1.

Daily Re\_EC were 0.86, 0.93 and 0.77  $\text{g C m}^{-2}$  in GS and 0.33, 0.28 and 0.17  $\text{g C m}^{-2}$  in NG from 2009 to 2011 (Table 3). All satellite-based daily Re estimations had a small RMSE less than 0.12  $\text{g C m}^{-2} \text{ day}^{-1}$  in GS and 0.08  $\text{g C m}^{-2} \text{ day}^{-1}$  in NG, which actually did not show significant ( $p > 0.1$ ) differences compared to Re\_EC regardless of in GS or NG (paired  $t$ -test,  $\alpha = 0.05$ ) (Table 3). Thus, both of the cumulative Re estimations in GS and NG calculated from these three satellite-based models also failed to generate significant ( $p > 0.1$ ) differences with cumulative Re\_EC, which showed an average  $\text{CO}_2$  emission of 143.74  $\text{g C m}^{-2}$  in GS and 51.36  $\text{g C m}^{-2}$  in NG from 2009 to 2011 (Table 3).

**Table 3.** Satellite-based ecosystem respiration (Re) estimations and comparison with eddy-covariance (EC) tower-based Re observation from 2009 to 2011.

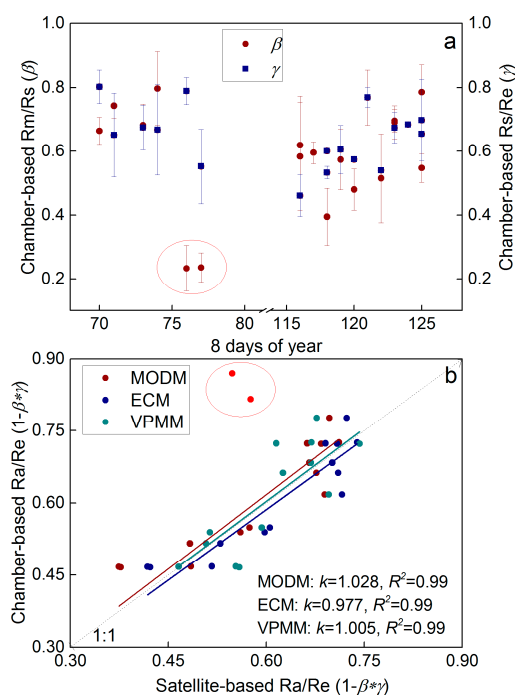
Period	Method *	Daily Re Estimations ( $\text{g C m}^{-2} \text{ 8 days}^{-1}$ )							Cumulative Re Estimations ( $n = 3$ )	
		Mean (SD)			RMSE				Mean (SD) ( $\text{g C m}^{-2}$ )	RMSE ( $\text{g C m}^{-2}$ )
		2009	2010	2011	2009	2010	2011	Mean		
GS ( $n = 21$ )	MODM	6.90 (1.12)	7.69 (1.47)	6.71 (2.22)	0.59	0.81	1.51	0.97	149.12 (10.83) a	7.24
	VPMM	6.90 (0.97)	7.47 (1.50)	5.91 (1.42)	0.82	0.83	1.44	1.03	142.04 (13.60) a	2.94
	ECM	6.90 (0.96)	7.47 (1.56)	6.16 (1.23)	0.84	0.72	1.39	0.98	143.71 (13.90) a	0.05
	EC	6.90 (1.27)	7.47 (1.73)	6.16 (2.56)	0	0	0	0	143.74 (13.90) a	0
NG ( $n = 25$ )	Model	2.63 (0.89)	2.23 (0.19)	1.33 (0.41)	0.44	0.80	0.71	0.65	51.49 (16.66) A	0.16
	EC	2.62 (0.75)	2.23 (0.84)	1.32 (0.85)	0	0	0	0	51.36 (16.72) A	0

\* Satellite-based daily Re estimations do not show significant ( $p > 0.1$ ) differences with Re\_EC (paired  $t$ -test,  $\alpha = 0.05$ ). Re is eight-day composited and the different methods are identical to the Figure 1. GS and NG are growing season and non-growing season. In NG, all satellite-based Re estimations, showed as “model”, are identical that are derived from the exponential relationship with land surface temperature (LST) (Equation (15)). SD is standard deviation of the average eight-day composited Re estimations. Most of RPEs are smaller than 1% and approximate to zero, thus we do not showed here. Columns with the same alphabets indicate that insignificant difference existed among diverse cumulative Re estimations ( $\alpha = 0.05$ ,  $p > 0.1$ ).

### 3.4. Re Partitioning and Chamber-Based Validation

We used Re and its components derived from satellite-based VPMM, MODM and ECM to simulate the ratios of Ra and Re ( $1 - \beta^*\gamma$ ) (satellite-based Ra/Re), respectively. Meanwhile, chamber-based Re, Rs and Rm measurements directly showed Rm/Rs ( $\beta$ ) and Rs/Re ( $\gamma$ ), which can also be used to calculate Ra/Re ( $1 - \beta^*\gamma$ ) (chamber-based Ra/Re) from 2010 to 2011 (Figure 7). Both satellite-based Ra/Re and chamber-based Ra/Re were not constant throughout the GS. Specifically, all three satellite-based Ra/Re ranged from 0.21 to 0.76 with an average value of 0.50 ( $\pm 0.14$ , SD,  $n = 126$ ) from 2010 to 2011. Chamber-based Re measurements showed that both Rm/Rs and Rs/Re ranged from 0.40 to 0.80 with two exceptions of Rm/Rs that were around 0.20 (dots in circle in Figure 7a). Thus, chamber-based Ra/Re ranged from 0.39 to 0.87, including two values of 0.82 and 0.87 that derived from two abnormal measurements of Rm/Rs (red dots in Figure 6b). Excluding these two abnormal measurements, which will be further discussed later, chamber-based Ra/Re could be well explained (slope  $\approx 1.0$  from 0.98 to 1.03,  $R^2 = 0.99$ ,  $p < 0.0001$ ) by all three satellite-based Ra/Re (Figure 7b). In addition, all three satellite-based Ra/Re failed to pose significant differences with chamber-based Ra/Re (paired  $t$ -test,  $\alpha = 0.05$ ,  $p > 0.1$ ). Therefore, any of Ra/Re from satellite-based models calibrated by corresponding linear relationships with chamber-based Ra/Re can be used to separate the EC-based Re estimations into autotrophic (Ra\_EC) and heterotrophic components (Rm\_EC).

In this study, we selected MODM because it was the most convenient method whose data inputs, GPP\_MOD and LST, can be directly extracted from MODIS products. In the growing season, the average Ra\_EC/Re\_EC ratios were 0.27 (0.10–0.48, seasonal variation), 0.43 (0.22–0.59) and 0.56 (0.30–0.76) from 2009 to 2011, respectively.



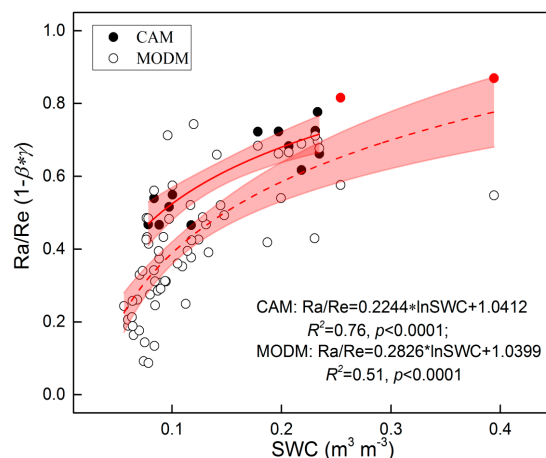
**Figure 7.** Chamber-based estimations for the ratios of ecosystem respiration (Re) components from 2010 to 2011 (a); and comparison with the corresponding ratios based on the satellite-based Re partitions (b). Rm, Rs and Ra are heterotrophic respiration, autotrophic respiration and soil respiration, respectively. Error bars in (a) are standard deviations of the mean values from sample plots ( $n = 4$  for  $\beta$  and 7 for  $\gamma$ ). Dots in red circles in (a,b) are the abnormal measurements due to the high moisture condition.  $k$  values and dashed line in (b) are the linear slope between modeled Ra/Re and chamber-based Ra/Re, and the reference line of 1:1, respectively. All linear regressions are extremely significant ( $p < 0.0001$ ). Different Re estimations based on the diverse GPP estimation models are identical to the Figure 1.

## 4. Discussion

### 4.1. Variability of Re Components

Our results showed that  $Ra/Re (1 - \beta^*\gamma)$  was not constant, but with a distinct seasonal variation throughout the growing season in this alpine meadow. The contribution of  $Ra$  to  $Re$  rises with spring warming and generally reaches the maximum value in the vigorous growth period, then declines with autumn cooling [9,49,78,79,83,84]. Although research on  $R_s/Re (\gamma)$  also showed a distinct seasonal pattern similar to  $Ra/Re$  before the summer, this ratio increased again in the following autumn [78,85]. Different trends between the two ratios might ascribe to different controlling mechanisms. Specifically, the  $Ra/Re$  was mainly followed plants' photosynthetic capacity from the phenological stages and was generally coupled with the seasonality of temperature and moisture [9,86]. However, the  $R_s/Re$  was mainly controlled by the availability of stored substrates and the lags between temperature changes of air and soil [78]. The heterotrophic contribution to  $R_s (\beta)$  is relatively robust and showed only a negligible seasonal pattern compared to  $Ra/Re (1 - \beta^*\gamma)$  and  $R_s/Re (\gamma)$  [9,81,84,85], because both autotrophic and heterotrophic respiration increased with seasonal warming [9].

In this study, chamber-based  $R_m/R_s (\beta)$  generally ranged from 0.40 to 0.80 with two obvious exceptions around 0.2, which were measured on 24 August and 4 September 2010 (Figure 7a). These two days both had extremely high SWC caused by consecutive strong rainfall events (Figure 3), which dramatically stimulated the autotrophic contribution to  $Re$  due to the significantly positive relationship between SWC and  $Ra/Re$  ( $p < 0.0001$ ) (Figure 8). Many relevant studies also suggest that high SWC has a more significant effect on plant activity than on soil microorganisms [84]. Meanwhile, extremely high SWC inhibited  $R_m$  from deep soil layers due to the water block of soil porosity [87], and limited the oxygen supply for decomposition by aerobic microbes [88]. Besides, root exclusion was likely to intensify soil moisture due to a decrease of transpiration under this extremely wet condition [12,84]. Although these pulse effects were not detected by satellite-based  $Ra/Re$  (Figure 7b), the daily SWC in this semiarid alpine meadow was generally less than 0.25 (Figure 3). In addition, any other chamber-based measuring dates were selected at least two days after a rainfall event [47]. Therefore, to avoid the impacts of pulse effects and ensure the comparability with other chamber-based measurements, these two measurements were assumed to be abnormal and excluded from further analysis.



**Figure 8.** The effect of soil water content (SWC) on the ratio of autotrophic respiration ( $Ra$ ) and ecosystem respiration ( $Re$ ) ( $1 - \beta^*\gamma$ ). CAM is derived from the chamber-based  $Re$  components measurements. MODM is estimated from satellite-based  $Re$  partitions. The red dots are abnormal measurements due to the high moisture condition. The red solid and dashed curves are the best fitted lines for the relationship between chamber-based and satellite-based  $1 - \beta^*\gamma$  and SWC, respectively. The shadows are the 95% confidence bands for corresponding fitting curves.

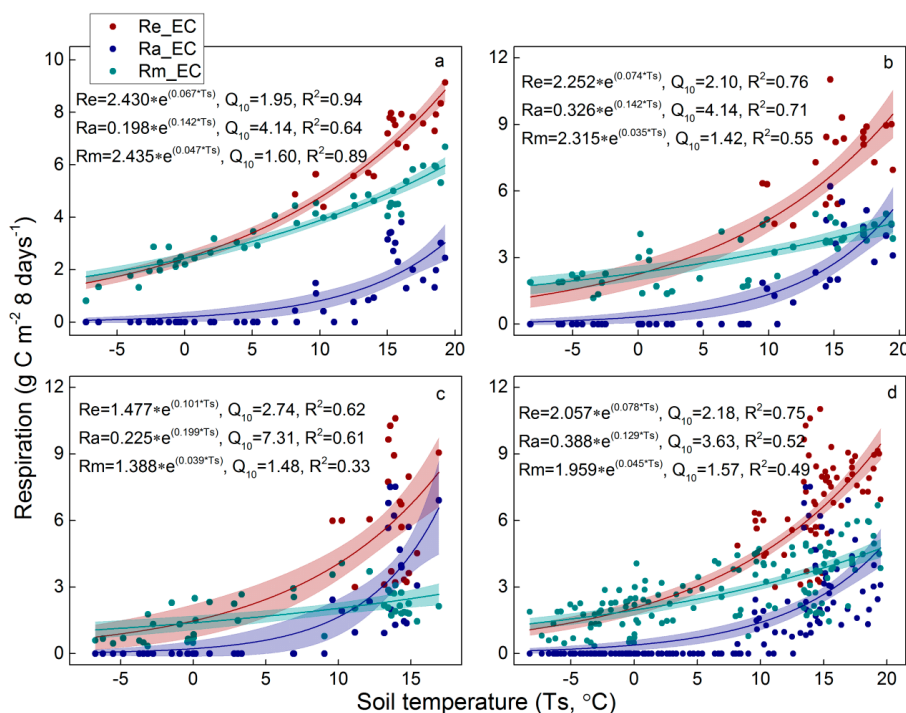
In the growing season during 2009 to 2011, our results showed that autotrophic contribution to Re ( $Ra_{EC}/Re_{EC}$ ) ranged from 0.27 to 0.56, which fell within the range of similar alpine ecosystem in Qinghai [83] and Tibet [45] (Table 4). However, it was slightly lower than that of an alpine meadows in Haibei [79], which might be mainly caused by the significant lower biomass ( $91.9 \text{ g drought weight (DW) m}^{-2}$ ) in this site than Haibei with biomass of  $355.7\text{--}512.4 \text{ g DW m}^{-2}$  in 2009 [79]. Meanwhile, the inter-annual variation of  $Ra_{EC}/Re_{EC}$  showed that the year 2009 had a lower autotrophic contribution to Re ( $Ra_{EC}/Re_{EC}$ ) (0.27) than the latter two years (0.50), which attributed to the most drought and lowest vegetation conditions (NDVI) in 2009 (Figures 3, 4 and 8).

**Table 4.** Multi-site comparison of autotrophic contribution to ecosystem respiration ( $Ra/Re$ ) in the alpine meadows on the Qinghai-Tibet Plateau.

Site	Method	Year	$Ra/Re$ ( $1 - \beta^*\gamma$ )	References
Alpine meadow, Tibet	Regression	2011	0.20–0.91	[45]
Alpine meadow, Qinghai	Plant exclusion	2003	0.39–0.46	[83]
Alpine meadow, Haibei	Regression	2009	0.53–0.80	[79]
Alpine meadow, Tibet	Satellite-based	2009–2011	0.27–0.56	This study

#### 4.2. Temperature Sensitivity of Re Components

Our results showed that temperature sensitivity of  $Re_{EC}$  ( $Q_{10,Re}$ ) was 2.26, ranging from 1.95 to 2.74 from 2009 to 2011 (Figure 9a–c), which is slightly lower than 2003 (3.2) and 2004 (3.4) in this alpine meadow [26]. For one reason, warmer (about  $1^\circ\text{C}$ ) and drier (about 150 mm annual precipitation) climatic conditions played crucial roles for the decreased  $Q_{10,Re}$  in this study compared to in 2003 and 2004, which could be evidenced in many relevant studies [89–92]. Indeed, we also found that the year with cooler and wetter climate showed a greater  $Q_{10,Re}$  amid these three years (Figure 8). For another reason, the higher  $Q_{10,Re}$  was estimated only during the GS of 2003 and 2004, while we estimated  $Q_{10,Re}$  in this study based on annual scale. Thus, the vegetation seasonality or the different contributions of  $Ra$  to  $Re$  was likely to exert important impacts on  $Q_{10,Re}$  variation [93,94].



**Figure 9.** Relationships between ecosystem respiration components and soil temperature from: 2009 (a); 2010 (b); and 2011 (c); and (d) a graph for data from all years, 2009 to 2011.



After partitioning of Re components at seasonal scale, we found that temperature sensitivity of Ra\_EC ( $Q_{10,Ra}$ ) with an average of 5.20 was significantly higher than that of Rm\_EC with an average of 1.50 ( $Q_{10,Rm}$ ) (Figure 9). Our results confirmed that autotrophic and heterotrophic contributions of Re differed in their responses to temperature change [94,95]. Furthermore, variation of  $Q_{10}$  in Rm (1.42 to 1.60) was more stable than that in Ra (4.14 to 7.31) (Figure 9a–c), which implying that the ecosystem acclimation of Ra might be stronger than heterotrophic contributions of Re response to temperature change [95–97].

#### 4.3. Methods Applications and Uncertainty

In this study, we provided a pure satellite-based method, which was driven by MODIS GPP and LST products, to estimate and partition Re, and we confirmed it could generate comparable results by validation and improvement from tower- and chamber-based measurements. High temporal-spatial resolution of remote sensing products give the method two potential applications. The direct application is to estimate and partition Re into autotrophic and heterotrophic contributions in similar alpine meadows, which would help in accurate quantification of carbon balance under future climate change [11,46,85,95]. Moreover, many parameter inputs used in bulks of biogeochemical cycle models, such as  $Q_{10}$  [98], net ecosystem productivity (NEP, GPP–Ra) and net carbon sequestration (1–Re/GPP) [99], can also be simply estimated.

However, there are some cautions should be taken for further application of this satellite-based Re estimation and partition method. One is that spatial extrapolation for other vegetation types or regions should be based on appropriate validation, such as chamber-based measurements as we have suggested in this study. For example, PCM underestimated GPP at this alpine meadow, but it could be used as an alternative method for GPP estimation, albeit with a bit of overestimation about 10% of GPP\_EC, in near alpine swamp meadow [30] and in the ecosystems of northern China [34]. Thus, ground validation is needed for further scale extrapolation.

The other fact is that the satellite-based Re estimation and partition method is not sensitive to pulse effect of rainfall on Re, resulting in underestimation of Ra/Re compared to the chamber-based Re partition. However, this study confirmed that the satellite-based Ra/Re could explain chamber-based Ra/Re without significant discrepancies. For one reason, this kind of instantaneous underestimation would be weakened after reconciling Re measurements at eight-day composited time resolution. For another reason, instantaneous change of components of Rs due to disturbance of rainfall pulse might gradually tend to re-equilibration with recovery of the soil gas-permeability [87]. Thus, chamber-based Re measurements should effectively avoid the rainfall events for stable and representative measurements [47].

The remaining uncertainties are likely to originate from the undemanding agreement of temporal-spatial matching between MODIS-based products and ground measurements [33,100]. Specifically, MODIS products has an regular eight-day time step and 1 km pixel, while chamber-based measurements only reflects the specific Re state in the measurement day and the restricted samples plot we selected, thus the errors of temporal-spatial match is inevitable. However, this mismatch failed to significantly affect the accuracy of GPP and Re estimation, and Re partitioning in this alpine meadow.

## 5. Conclusions

This study introduced a pure satellite-based method to estimate GPP and Re, and partitioning Re from 2009 to 2011 in one typical alpine meadow on the Tibetan plateau. Using flux-tower measurements and chamber-based Re components measurements, we also validated and discussed its application and uncertainty. Temporal variation of GPP could be well explained by six satellite-based GPP estimations, including GPP\_MOD5, GPP\_MOD, GPP\_MODR, GPP\_VPM, GPP\_PCM and GPP\_AVM. Daily average GPP\_EC in GS were 0.94, 1.09 and 1.09 g C m<sup>−2</sup> day<sup>−1</sup> from 2009 to 2011, respectively. In contrast, GPP\_MOD and GPP\_VPM provided the most reliable satellite-based GPP estimations (less than 10% of RPE) compared to GPP\_EC. Then, using GPP\_EC, GPP\_MOD and GPP\_VPM, together with MODIS

products, satellite-based Re estimations, Re\_ECM, Re\_MODM and Re\_VPMM, were compared with the Re\_EC. Daily Re\_EC were 0.86, 0.93 and 0.77 g C m<sup>-2</sup> in GS, and 0.33, 0.28 and 0.17 g C m<sup>-2</sup> in NG from 2009 to 2011, respectively. All satellite-based Re estimations could give an alternative estimation of Re\_EC with a negligible bias less than 0.12 and 0.08 g C m<sup>-2</sup> day<sup>-1</sup> of RMSE in GS and NG, respectively. Moreover, chamber-based Re (Re\_CAM) partitioning of autotrophic (Ra\_CAM) and heterotrophic (Rm\_CAM) contributions showed that Ra\_CAM/Re\_CAM could be effectively reflected by all these three satellite-based Re partitions. Our results showed that 27% (10–48%), 43% (22–59%) and 56% (33–76%) of Re\_EC were plant autotrophic respiration (Ra\_EC) from 2009 to 2011, respectively, whose inter-annual variation was ascribed to soil water content dynamics. Our results suggested response of seasonal Ra and Rm to temperature change is different. Specifically, the temperature sensitivity of Ra\_EC ( $Q_{10,Ra}$ ) with an average of 5.20 was significantly higher than that of Rm\_EC with an average of 1.50 ( $Q_{10,Rm}$ ), and the inter-annual variation of  $Q_{10,Ra}$  (4.14–7.31) also larger than  $Q_{10,Rm}$  (1.42–1.60). Thus, this study suggested that response of Ra to temperature change might be stronger than Rm in this alpine meadow, which confirmed that partitioning Re into Ra and Rm facilitates a better understanding of carbon balance in this alpine meadow. High temporal-spatial resolution of remote products made this kind of satellite-based Re estimation and partitioning easy to upscale both at temporal and spatial scale, especially in the remote areas with scarce field observation.

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